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Extremal problems in logic programming and stable model computation

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Abstract

We study the following problem: given a class of logic programs \mathcal{C} , determine the maximum number of stable models of a program from \mathcal{C} . We establish the maximum for the class of all logic programs with at most n clauses, and for the class of all logic programs of size at most n . We also characterize the programs for which the maxima are attained. We obtain similar results for the class of all disjunctive logic programs with at most n clauses, each of length at most m , and for the class of all disjunctive logic programs of size at most n . Our results on logic programs have direct implication for the design of algorithms to compute stable models. Several such algorithms, similar in spirit to the Davis–Putnam procedure, are described in the paper. Our results imply that there is an algorithm that finds all stable models of a program with n clauses after considering the search space of size $O(3^{n/3})$ in the worst case. Our results also provide some insights into the question of representability of families of sets as families of stable models of logic programs. © 1999 Elsevier Science Inc. All rights reserved.

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1. Introduction

In this paper we study extremal problems appearing in the context of finite propositional logic programs. Specifically, we consider the following problem: given a class of logic programs \mathcal{C} , determine the maximum number of stable models a program in \mathcal{C} may have. Extremal problems have been studied in other disciplines, especially in combinatorics and graph theory [1]. However, no such results for logic programming have been known so far.

We will consider finite propositional disjunctive logic programs built of *clauses* (rules) of the form

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$$a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m, \text{not}(c_1), \dots, \text{not}(c_n),$$

where a_i , b_i and c_i are atoms. In an effort to establish a semantics for disjunctive logic programming, Gelfond and Lifschitz [2] introduced the notion of an *answer set* of a disjunctive program. It is well known that for *normal* logic programs (each clause has exactly one literal in the head), answer sets coincide with *stable models* [2,3]. We will denote the set of answer sets of a disjunctive program P (stable models, if P is normal) by $ST(P)$ and we will set

$$s(P) = |ST(P)|.$$

Given a class \mathcal{C} of disjunctive programs, our goal will be to determine the value of

$$\max\{s(P) : P \in \mathcal{C}\}.$$

We will also study the structure of *extremal* programs in \mathcal{C} , that is, those programs in \mathcal{C} for which the maximum is attained.

We will focus our considerations on the following classes of programs:

1. $\mathcal{DP}_{n,m}$ – the class of disjunctive programs with at most n clauses and with the length of each clause bounded by m .
2. \mathcal{LP}_n – the class of normal logic programs with at most n clauses.

We will establish the values

$$s(n) = \max\{s(P) : P \in \mathcal{LP}_n\}$$

and

$$d(n, m) = \max\{s(P) : P \in \mathcal{DP}_{n,m}\}.$$

We will show that $s(n) = \Theta(3^{n/3})$ (an exact formula will be given) and $d(n, m) = m^n$, and we will characterize the corresponding *extremal* programs.

We will also show that the bound for logic programs can be improved if additional restriction on the length of a clause is imposed. We will study the class \mathcal{LP}_n^2 of logic programs with n clauses such that each clause has at most one literal in its body. We will show that if P is in \mathcal{LP}_n^2 , then $s(P) = O(2^{n/4})$.

We will also study classes of programs defined by imposing restrictions on the total size of programs. By the *size* of a program P , we mean the total number of atom occurrences in P . We will investigate the following classes of programs:

1. \mathcal{DP}_n – the class of disjunctive programs with size at most n ,
 2. \mathcal{LP}_n – the class of normal logic programs with size at most n ,
- and obtain similar results to those listed above.

The motivation for this work comes from several sources. First of all, this work has been motivated by our efforts to develop fast algorithms for computing stable models of logic programs. It turns out that bounding the number of stable models and search for extremal logic programs are intimately connected to some recursive algorithms for computing stable models. Two results given in Section 2 (Corollaries 2.1 and 2.2) imply both the bounds on the number of stable models, and a whole spectrum of algorithms to compute stable models. These algorithms share some common features with the Davis–Putnam procedure for testing satisfiability of CNF formulas. One of these algorithms is similar to the algorithms recently described and studied in Refs. [4–6]. The corollaries also imply the worst-case bounds on the size of the search space traversed by those algorithms.

Let us note here that in order to lead to implemented systems for computing stable models, several research issues remain to be resolved. In particular, heuristics for choosing atoms and rules in the algorithms presented in Section 3 must be studied. Similarly, the effects of using well founded semantics as a preprocessing mechanism, which is known to be critical for the performance of the s-models system [7], has to be investigated. Finally, in order to gain actual insights into the quality of the algorithms proposed here and compare them to other systems (such as s-models), extensive experimental studies is necessary. All these issues are the subject of our current studies.

Additional motivation for our work presented here comes from considerations of expressive power of logic programming and of representability issues. Both concepts help understand the scope of applicability of logic programming as a knowledge representation tool. Disjunctive logic programs with answer set semantics (logic programs with stable model semantics) can be viewed as encodings of families of sets, namely, of the families of their answer sets (stable models). A family of sets \mathcal{F} is *representable* if there is a (disjunctive) logic program P such that

$$ST(P) = \mathcal{F}.$$

Important problems are: (1) to find properties of representable families of sets, and (2) given a representable family of sets \mathcal{F} , to find possibly concise logic program representations of \mathcal{F} . Related problems in default logic have been studied in Ref. [8]. It is well known [2] that every representable family of sets must be an antichain. Our study of extremal problems in logic programming provide additional conditions. Namely, every family of sets representable by a program from $\mathcal{DP}_{n,m}$ must have cardinality bounded by m^n and every family of sets representable by a logic program from \mathcal{LP}_n must have size bounded by $3^{n/3}$. The best bound known previously for families of sets representable by logic programs from \mathcal{LP}_n was $\approx 0.8 \times 2^n / \sqrt{n}$.

In addition, the results of this paper allow some comparison of the expressive power of different classes of programs. For example, there is a disjunctive logic program of size n with $\Theta(2^{n/2})$ answer sets while the largest cardinality of a family of sets representable by a logic program of size n is only $\Theta(2^{n/4})$. This observation might perhaps be interpreted as evidence of stronger expressive power of disjunctive logic programs. A formal definition of the appropriate notion of expressiveness and its properties are open areas of research.

To make the paper self-contained we will now recall the definitions of a stable model and an answer set [2,3]. Let P be a (disjunctive) propositional logic program built of atoms in the set At . Let $M \subseteq At$. By the *Gelfond–Lifschitz reduct of P with respect to M* , denoted by P^M , we mean the program obtained from P by:

1. removing from P all rules with a literal $\text{not}(a)$ in the body, for some $a \in M$,
2. removing all negative literals from all other rules in P .

If P is a normal logic program (no disjunctions), P^M is a Horn program. Consequently, this logic program has its least model $LM(P^M)$. A set of atoms M is a *stable model* of P if $M = LM(P^M)$.

If P is a disjunctive logic program, instead of the notion of a least model of P^M (which may not exist), we will use the concept of a minimal model. A set of atoms M is an answer set for P if M is a minimal model for P^M .

The paper is organized as follows. In the next section, we present our main results on normal logic programs. In particular, we determine $s(n)$ and characterize the class

of extremal logic programs. The following section discusses the implications of these results for the design and analysis of algorithms to compute stable models. In Section 4, we study disjunctive logic programs and the Section 5 contains conclusions.

2. Normal logic programs

In this section we study extremal problems for normal (nondisjunctive) logic programs. We will determine the value of the function $s(n)$ and we will provide a characterization of all programs in the class \mathcal{LP}_n which have $s(n)$ stable models. No bounds on the length of a clause are needed in this case. It is well known that each stable model of a program P is a subset of the set of heads of P . Consequently, $s(n) \leq 2^n$. This bound can easily be improved. Stable models of a program form an antichain. Since the size of the largest antichain in the algebra of subsets of an n -element set is

$$\binom{n}{\lfloor n/2 \rfloor} \approx 0.8 \times 2^n / \sqrt{n}.$$

It clearly follows that, $s(n) \leq 0.8 \times 2^n / \sqrt{n}$. We will still improve on this bound by showing that $s(n) = \Theta(3^{n/3}) \approx \Theta(2^{0.538n}) \ll 0.8 \times 2^n / \sqrt{n}$. We obtain similar results for the class \mathcal{LP}_n^2 of logic programs with n clauses each of which has at most one literal in the body, and for the class \mathcal{LP}'_n of all logic programs with at most n atom occurrences.

Our approach is based on the following version of the notion of reduct first described in Ref. [9] and, independently, in Ref. [4]. Let P be a logic program and let T and F be two sets of atoms such that $T \cap F = \emptyset$. By $\text{simp}(P, T, F)$ we mean a logic program obtained from P by

1. removing all clauses with the head in $T \cup F$,
2. removing all clauses that contain an atom from F in the body,
3. removing all clauses that contain literal $\text{not}(a)$, where $a \in T$, in the body,
4. removing all atoms a , $a \in T$ and literals $\text{not}(a)$, $a \in F$, from the bodies of all remaining rules.

The simplified program contains all information necessary to reconstruct stable models of P that contain all atoms from T ("make them true") and that do not contain any atoms from F ("make them false"). The following result was obtained in Ref. [9] (see also Ref. [4]). We provide its proof due to the key role this result plays in our considerations.

Lemma 2.1. *Let P be a logic program and let T and F be disjoint sets of atoms. If M is a stable model of P such that $T \subseteq M$ and $M \cap F = \emptyset$, then $M \setminus T$ is a stable model of $\text{simp}(P, T, F)$.*

Proof. Let us define a partition of P into five disjoint programs P_1, \dots, P_5 (some of them may be empty):

1. P_1 consists of all clauses in P with the head in T ,
2. P_2 consists of all clauses in P with the head in F ,
3. P_3 consists of all the remaining clauses in P that have an atom a , where $a \in F$ in the body,

4. P_4 consists of all the remaining clauses in P that have a literal $\text{not}(a)$, where $a \in T$ in the body,

5. P_5 consists of all remaining clauses in P .

It is clear that $\text{simp}(P, T, F) = \text{simp}(P_5, T, F)$.

Let M be a stable model for P such that $T \subseteq M$ and $M \cap F = \emptyset$. Since M is the least model of P^M , M is a model of P_5^M . Define $M' = M \setminus T$. We will show that M' is a model of $\text{simp}(P_5, T, F)^M$. Consider a clause

$$a \leftarrow b_1, \dots, b_k$$

from $\text{simp}(P_5, T, F)^M$ such that $\{b_1, \dots, b_k\} \subseteq M'$. By the definition of Gelfond-Lifschitz reduct, there is a clause

$$a \leftarrow b_1, \dots, b_k, \text{not}(c_1) \dots, \text{not}(c_r)$$

in $\text{simp}(P_5, T, F)$ such that $c_i \notin M$, $1 \leq i \leq r$. Furthermore, by the definition of $\text{simp}(P_5, T, F)$, there is a clause

$$a \leftarrow b_1, \dots, b_k, b_{k+1}, \dots, b_l, \text{not}(c_1) \dots, \text{not}(c_r), \text{not}(c_{r+1}), \dots, \text{not}(c_s)$$

in P_5 such that $b_i \in T$, $k+1 \leq i \leq l$, and $c_i \in F$, $r+1 \leq i \leq s$. Since $F \cap M = \emptyset$, it follows that the clause

$$a \leftarrow b_1, \dots, b_k, b_{k+1}, \dots, b_l$$

belongs to P_5^M . Moreover, since $T \subseteq M$, $\{b_1, \dots, b_l\} \subseteq M$. Since M is a model of P_5^M , $a \in M$. By the definition of programs P_i , $a \notin T$. Hence, $a \in M'$ and, consequently, M' is a model of $\text{simp}(P_5, T, F)^M$.

Consider a model M'' of $\text{simp}(P_5, T, F)^M$. Assume that $M'' \subseteq M'$. Observe that $M'' \cup T$ is a model of P_5^M . Since $F \cap (M'' \cup T) = \emptyset$, $M'' \cup T$ is a model of P_5^M . It is also clear ($T \subseteq M$) that $P_5^M = \emptyset$.

Consider a rule

$$a \leftarrow b_1, \dots, b_k$$

from P_5^M . Since M is a model of P_5^M and since $a \notin M$ (recall that $a \in F$ and $M \cap F = \emptyset$), there is i , $1 \leq i \leq k$, such that $b_i \notin M$. Since $M'' \cup T \subseteq M$, $b_i \notin M'' \cup T$. Thus, any rule in P_5^M is satisfied by $M'' \cup T$.

Finally, consider a rule

$$a \leftarrow b_1, \dots, b_l$$

from P_5^M . Assume that $\{b_1, \dots, b_l\} \subseteq M'' \cup T$. Without loss of generality, we may assume that $\{b_{k+1}, \dots, b_l\}$ are the only b_i s that belong to T . Then, $\{b_1, \dots, b_k\} \subseteq M''$ and

$$a \leftarrow b_1, \dots, b_k$$

is in $\text{simp}(P_5, T, F)^M$. Since M'' is a model of $\text{simp}(P_5, T, F)^M$, $a \in M''$.

Thus, it follows that $M'' \cup T$ is a model of P_5^M and, taking into account the observations made earlier, also of P^M . Since $M'' \cup T \subseteq M$ and since M is the least model of P^M , it follows that $M'' \cup T = M$. Since $M'' \cap T = \emptyset$, it follows that $M'' = M'$. Consequently, M' is the least model of $\text{simp}(P_5, T, F)^M$. By the definition of P_5 , it follows that $\text{simp}(P_5, T, F)^M = \text{simp}(P_5, T, F)^{M'}$. Moreover, since $\text{simp}(P, T, F) = \text{simp}(P_5, T, F)$, we have that $\text{simp}(P_5, T, F)^M = \text{simp}(P, T, F)^{M'}$. Therefore, M' is the least model of $\text{simp}(P, T, F)^{M'}$ and, consequently, a stable model of $\text{simp}(P, T, F)$. \square

In general, the implication in this result cannot be reversed. However, it is well known [4] that if T and F are the sets of atoms respectively true and false under the well-founded semantics for P , then the converse result holds, too. That is, for every stable model M' of $\text{simp}(P, T, F)$, $M' \cup T$ is a stable model of P .

Let P be a propositional logic program and let q be an atom. We define

1. $P(q^+) = \text{simp}(P, \{q\}, \emptyset)$,
2. $P(q^-) = \text{simp}(P, \emptyset, \{q\})$.

Programs $P(q^+)$ and $P(q^-)$ are referred to as *positive* and *negative reducts* of P with respect to q , respectively. Intuitively, $P(q^+)$ and $P(q^-)$ are the programs implied by P and sufficient to determine all stable models of P . Those stable models of P that contain q can be determined from $P(q^+)$, and those stable models of P that do not contain q , from $P(q^-)$. Formally, we have the following result.

Corollary 2.1. *Let P be a logic program and q be an atom in P .*

1. *Let M be a stable model of P . If $q \in M$ then $M \setminus \{q\}$ is a stable model of $P(q^+)$. If $q \notin M$ then M is a stable model of $P(q^-)$.*
2. $s(P) \leq s(P(q^+)) + s(P(q^-))$.

Similarly, we will define now *positive* and *negative reducts* of P with respect to a clause r . Assume that $r = q \leftarrow a_1, \dots, a_k, \text{not}(b_1), \dots, \text{not}(b_l)$. Then, define

1. $P(r^+) = \text{simp}(P, \{q, a_1, \dots, a_k\}, \{b_1, \dots, b_l\})$, and
2. $P(r^-) = P \setminus \{r\}$.

We say that a logic program clause r is *generating* for a set of atoms S if every atom occurring positively in the body of r is in S and every atom occurring negated in r is not in S . Using the concept of a generating clause, the intuition behind the definitions of $P(r^+)$ and $P(r^-)$ is as follows. The reduct $P(r^+)$ allows us to compute all those stable models of P for which r is a generating clause. The reduct $P(r^-)$, on the other hand, allows us to compute all those stable models of P for which r is *not* generating. More formally, we have the following lemma.

Corollary 2.2. *Let P be a logic program and $r = q \leftarrow a_1, \dots, a_k, \text{not}(b_1), \dots, \text{not}(b_l)$ be a clause of P .*

1. *Let M be a stable model of P . If $\{a_1, \dots, a_k\} \subseteq M$ and $\{b_1, \dots, b_l\} \cap M = \emptyset$ then $M \setminus \{q, a_1, \dots, a_k\}$ is a stable model of $P(r^+)$. Otherwise M is a stable model of $P(r^-)$.*
2. $s(P) \leq s(P(r^+)) + s(P(r^-))$.

Also in the case of this result, the implication in its statement cannot be replaced by equivalence. That is, not every stable model of the reduct ($P(r^+)$ or $P(r^-)$) gives rise to a stable model of P .

It should be clear that Corollaries 2.1 and 2.2 imply recursive algorithms to compute stable models of a logic program. We will discuss these algorithms in the next section. In the remainder of this section, we will investigate the problem of the maximum number of stable models of logic programs in classes \mathcal{LP}_n , \mathcal{LP}_n^2 and \mathcal{LP}_n^* .

To this end, we will introduce the class of canonical logic programs and determine for them the number of their stable models. We will use canonical programs to characterize extremal logic programs in the class \mathcal{LP}_n .

Definition 2.1. Let $A = \{a_1, a_2, \dots, a_k\}$ be a set of atoms. By $c(a_i)$ we denote the clause

$$c(a_i) = a_i \leftarrow \text{not}(a_1), \dots, \text{not}(a_{i-1}), \text{not}(a_{i+1}), \dots, \text{not}(a_k).$$

A canonical logic program over A , denoted by $CP[A]$, is the logic program containing exactly k clauses $c(a_1), \dots, c(a_k)$, that is

$$CP[A] = \bigcup_{i=1}^k \{c(a_i)\}.$$

Intuitively, the program $CP[A]$ “works” by selecting exactly one atom from A . Formally, $CP[A]$ has exactly k stable models of the form $M_i = \{a_i\}$, for $i = 1, \dots, k$.

Definition 2.2. Let P be a logic program and A be the set of atoms which appear in P . Program P is a 2,3,4-program if A can be partitioned into pairwise disjoint sets A_1, \dots, A_l such that $2 \leq |A_i| \leq 4$ for $i = 1, \dots, l$, and

$$P = \bigcup_{i=1}^l CP[A_i].$$

Roughly speaking, a 2,3,4-program is a program which arises as a union of independent canonical programs of sizes 2, 3 or 4. A 2,3,4-program is stratified in the sense of Ref. [10] and the canonical programs are its strata. Stable models of a 2,3,4-program can be obtained by selecting (arbitrarily) stable models for each stratum independently and, then, forming their unions.

By the *signature* of a 2,3,4-program P we mean the triple $\langle \lambda_2, \lambda_3, \lambda_4 \rangle$, where λ_i , $i = 2, 3, 4$, is the number of canonical programs over an i -element set appearing in P .

Up to isomorphism, a 2,3,4-program is uniquely determined by its signature. Other basic properties of 2,3,4-programs are gathered in the following proposition (its proof is straightforward and is omitted).

Proposition 2.1. Let P be a 2,3,4-program with n clauses and with the signature $\langle \lambda_2, \lambda_3, \lambda_4 \rangle$. Then:

1. $n = 2\lambda_2 + 3\lambda_3 + 4\lambda_4$,
2. $s(P) = 2^{\lambda_2} 3^{\lambda_3} 4^{\lambda_4}$.

As a direct corollary to Proposition 2.1, we obtain a result describing 2,3,4-programs with n clauses and maximum possible number of stable models. For $k \geq 1$, let us define $A(k)$ to be the unique (up to isomorphism) 2,3,4-program with the signature $\langle 0, k, 0 \rangle$, and $C(k)$ and $C'(k)$ to be the unique (up to isomorphism) 2,3,4-programs with the signatures $\langle 2, k-1, 0 \rangle$ and $\langle 0, k-1, 1 \rangle$, respectively. Finally, for $k \geq 0$, let us define $B(k)$ to be the unique (up to isomorphism) 2,3,4-program with the signature $\langle 1, k, 0 \rangle$.

Corollary 2.3. Let P be a 2,3,4-program with n clauses and maximum number of stable models. Then,

1. if $n = 3k$ for some $k \geq 1$, $P = A(k)$,
2. if $n = 3k - 1$ for some $k \geq 1$, $P = C(k)$ or $C'(k)$,

3. if $n = 3k + 2$ for some $k \geq 0$, $P = B(k)$.

Consequently, the maximum number of stable models of an 2,3,4-programs with n clauses is given by

$$s_0(n) = \begin{cases} 3 * 3^{\lfloor n/3 \rfloor - 1} & \text{for } n \equiv 0 \pmod{3}, \\ 4 * 3^{\lfloor n/3 \rfloor - 1} & \text{for } n \equiv 1 \pmod{3}, \\ 6 * 3^{\lfloor n/3 \rfloor - 1} & \text{for } n \equiv 2 \pmod{3}. \end{cases}$$

Corollary 2.3 implies that $s_0(n) = \Theta(3^{n/3})$ and that

$$s(n) \geq s_0(n) \geq 3^{n/3}. \quad (2.1)$$

We will show that $s(n) = s_0(n)$. We will also determine the class of all extremal programs.

We call an atom q occurring in P *redundant* if q is not the head of a clause in P . Let P be a logic program. By \bar{P} we denote the logic program obtained from P by removing all negated occurrences of redundant atoms. We define the class \mathcal{E}_n to consist of all programs P such that

1. \bar{P} is $A(k)$, if $n = 3k$ ($k \geq 1$),
2. \bar{P} is $B(k)$, if $n = 3k + 2$ ($k \geq 0$), or
3. \bar{P} is $C(k)$ or $C'(k)$, if $n = 3k + 1$ ($k \geq 1$).

Theorem 2.1. *If P is an extremal logic program with $n \geq 2$ clauses, then P has $s_0(n)$ stable models. That is, for any $n \geq 2$*

$$s(n) = s_0(n).$$

In addition, the extremal programs in \mathcal{LP}_n are exactly the programs in \mathcal{E}_n .

Theorem 2.1 can be proved by induction on n . The proof relies on Corollaries 2.1 and 2.2 that establish recursive dependencies between the number of stable models of P and of its reducts. It is rather lengthy and, therefore, we provide it in the Appendix A.

The general bound of Theorem 2.1 can still be slightly improved (lowered) if the class of programs is further restricted. Since there are extremal programs for the whole class \mathcal{LP}_n with no more than 2 literals in the body of each clause, the only reasonable restriction is to limit the number of literal occurrences in the body to at most 1. The class of programs with n clauses and satisfying this restriction will be denoted by \mathcal{LP}_n^2 .

Denote by $P(k)$ a 2,3,4-program with signature $\langle k, 0, 0 \rangle$. Clearly, $P(k) \in \mathcal{LP}_n^2$. We have the following result. The proof uses similar techniques as the proof of Theorem 2.1 and is omitted.

Theorem 2.2. *For every program $P \in \mathcal{LP}_n^2$, $s(P) \leq 2^{\lfloor n/2 \rfloor}$. Moreover, there are programs in \mathcal{LP}_n^2 for which this bound is attained. Program $P(k)$ is a unique (up to isomorphism) extremal program with $n = 2k$ clauses, and every extremal program with $n = 2k + 1$ clauses can be obtained by adding one inore clause to $P(k)$ of one of the following forms: $p \leftarrow a$, $a \leftarrow$, and $a \leftarrow \text{not}(b)$, where p is an arbitrary atom (may or may not occur in $P(k)$), and a and b are atoms not occurring in $P(k)$.*

Next, we will consider the class \mathcal{LP}'_n of all logic programs with the total size (number of literal occurrences in the bodies and heads) at most n . Let $s'(n)$ be defined as the maximum number of stable models for a program in \mathcal{LP}'_n . We have the following result.

Theorem 2.3. *For every integer $n \geq 1$, $s'(n) = \Theta(2^{n/4})$.*

Proof. We will show that for every $n \geq 1$, and for every logic program of size at most n , $s(P) \leq 2^{n/4}$. We will proceed by induction. Consider a logic program P such that the size of P is at most 4. If P has one rule, then it has at most one stable model. If P has two rules and one of them is a fact (rule with empty body), then P has at most one stable model. Otherwise, $P \in \mathcal{LP}'_n$ and $s(P) \leq 2^{n/4}$ follows from Theorem 2.2. If P has three rules, then at least two of these rules are facts and P has at most one stable model. If P has four rules, it is a Horn program and has exactly one stable model. Hence, in all these cases, $s(P) \leq 2^{n/4}$. Since P has size 4, it has at most four rules and the basis of induction is established.

Consider now a logic program P of size $n > 4$. Assume that P has a rule, r , with at least two elements in its body. Let a be the head of r . If a and $\text{not}(a)$ do not occur in the body of any rule in $P \setminus \{r\}$, then $s(P) \leq s(P \setminus \{r\})$ and the result follows by the induction hypothesis. So, assume that there is a rule in $P \setminus \{r\}$ such that a or $\text{not}(a)$ occurs in its body. Then, both $P(a^+)$ and $P(a^-)$ have sizes at most $n - 4$. By Corollary 2.1, $s(P) \leq s(P(a^+)) + s(P(a^-))$. Consequently, by the induction hypothesis, $s(P) \leq 2^{n/4}$.

Thus, assume that each rule in P has at most one literal in its body. If at least one of these rules, say r , has empty body, then every stable model of P contains the head of r (say a). Thus, $s(P) \leq s(P(a^+))$ (Corollary 2.1) and the result follows by the induction hypothesis.

Hence, assume that each rule in P has nonempty body. Let p be the number of rules in P . Then, $p \leq \lfloor n/2 \rfloor$. Moreover, $P \in \mathcal{LP}'_p$. By Theorem 2.2, $s(P) \leq 2^{\lfloor p/2 \rfloor} \leq 2^{n/4}$. \square

Finally, let us observe that every antichain \mathcal{F} of sets of atoms is representable by a logic program.

Theorem 2.4. *For every antichain \mathcal{F} of finite sets there is a logic program P such that $ST(P) = \mathcal{F}$. Moreover, there exists such P with at most $\sum_{B \in \mathcal{F}} |B|$ clauses and total size at most $|\mathcal{F}| \times \sum_{B \in \mathcal{F}} |B|$.*

Proof. Consider a finite antichain \mathcal{F} of finite sets. Let $B \in \mathcal{F}$. For every $C \in \mathcal{F}$, $B \neq C$, denote by $x_{B,C}$ an element from $C \setminus B$ (it is possible as \mathcal{F} is an antichain). Now, for each element $b \in B$, define

$$r_b = b \leftarrow \text{not}(x_{B,C_1}), \dots, \text{not}(x_{B,C_k}),$$

where C_1, \dots, C_k are all elements of \mathcal{F} other than B . Next, define a program P_B to consist of all rules r_b , for $b \in B$. Finally, define

$$P_{\mathcal{F}} = \bigcup_{B \in \mathcal{F}} P_B.$$

It is easy to verify that $ST(P_{\mathcal{F}}) = \mathcal{F}$ and that the size of $P_{\mathcal{F}}$ is $|\mathcal{F}| \times \sum_{B \in \mathcal{F}} |B|$. \square

On one hand this theorem states that logic programs can encode any antichain \mathcal{F} . On the other, the encoding that is guaranteed by this result is quite large (in fact, larger than the explicit encoding of \mathcal{F}). In the same time, our earlier results show that often substantial compression can be achieved. In particular, there are antichains of the total size of $\Theta(n3^{n/3})$ that can be encoded by logic programs of size $\Theta(n)$. More in-depth understanding of applicability of logic programming as a tool to concisely represent antichains of sets remains an open area of investigation.

3. Applications in stable model computation

In this section we will describe algorithms for computing stable models of logic programs. These algorithms are recursive and are implied by Corollaries 2.1 and 2.2. They select an atom (or a clause, in the case of Corollary 2.2) and compute the corresponding reducts. According to Corollaries 2.1 and 2.2, stable models of P can be reconstructed from stable models of the reducts. However, it is not, in general, the case that every stable model of a reduct implies a stable model of P (see the comments after Corollary 2.2). Therefore, all candidates for stable models for P , that are produced out of the stable models of the reduct, must be tested for stability for P . To this end, an auxiliary procedure `IS_STABLE` is used. Calling `IS_STABLE` for a set of atoms M and a logic program P returns *true* if M is a stable model of P , and it returns *false*, otherwise.

In our algorithms we use yet another auxiliary procedure, `IMPLIED_SET`. This procedure takes one input parameter, a logic program P , and outputs a set of atoms M and a logic program P_0 (modified P) with the following properties:

1. M is a subset of every stable model of P , and
2. stable models of P are exactly the unions of M and stable models of P_0 .

There are several specific choices for the procedure `IMPLIED_SET`. A trivial option is to return $M = \emptyset$ and $P_0 = P$. Another possibility is implied by our comments following the proof of Lemma 2.1. Let T and F be sets of atoms that are true and false, respectively, under the well-founded semantics for P . The procedure `IMPLIED_SET` might return T as M , the program $\text{simp}(P, T, F)$ as P_0 . This choice turned out to be critical to the performance of the s-models system [7] and, we expect, it will lead to significant speedups once our algorithms are implemented. However, in general, there are many other, intermediate, ways to compute M and P_0 in polynomial time so that conditions (1) and (2) above are satisfied. Experimental studies are necessary to compare these different choices among each other (this is a subject of an ongoing work).

We will now describe the algorithms. We adopt the following notation. For a logic program clause r , by $\text{head}(r)$ we denote the head of r and by $\text{positivebody}(r)$, the set of atoms occurring positively in the body of r .

First, we will discuss an algorithm based on splitting the original program (that is, computing the reducts) with respect to a selected atom. This idea and the resulting algorithm appeared first in Ref. [4]. The correctness of this method is guaranteed by Lemma 2.1 (or, more specifically, by Corollary 2.1). We call this algorithm `STABLE_MODELS_A`.

In this algorithm, to compute stable models for an input program P we first simplify it to a program P_0 by executing the procedure `IMPLIED_SET`. A set of atoms

M contained in all stable models of P is also computed. Due to our requirements on the `IMPLIED_SET` procedure, at this point, to compute all models of P , we need to compute all models of P_0 and expand each by M . To this end, we select an atom occurring in P_0 , say q , by calling a procedure `SELECT_ATOM`. Then, we compute the reducts $P_0(q^+)$ and $P_0(q^-)$. For both reducts we compute their stable models. Each of these stable models gives rise to a set of atoms $\{q\} \cup N$ (in the case of stable models for $P_0(q^+)$) or N (in the case of stable models for $P_0(q^-)$). Each of these sets is a candidate for a stable model for P_0 . Calls to the procedure `IS_STABLE` determine those that are. These sets, expanded by M , are returned as the stable models of P . We present the pseudocode for this algorithm in Fig. 1.

The second algorithm, `STABLE_MODELS_R`, is similar. It is based on Corollary 2.2. That is, instead of trying to find stable models of P among the sets of atoms implied by the stable models of $P(q^+)$ and $P(q^-)$, we search for stable models of P using stable models of $P(r^+)$ and $P(r^-)$, where r is a clause of P . The correctness of this approach follows by Corollary 2.2. The pseudocode is given in Fig. 2.

Algorithms `STABLE_MODELS_A` and `STABLE_MODELS_R` can easily be merged together into a hybrid method, which we call `STABLE_MODELS_H` (see Fig. 3). Here, in each recursive call to `STABLE_MODELS_H` we start by deciding whether the splitting (reduct computation) will be performed with respect to an atom or to a clause. The function `SELECT_MODE("atom", "clause")` makes this decision. Then, depending on the outcome, the algorithm follows the approach of either `STABLE_MODELS_A` or `STABLE_MODELS_R`. That is, either an atom or a clause is selected, the corresponding reducts are computed and recursive calls to `STABLE_MODELS_H` are made.

All three algorithms provide a convenient framework for experimentation with different heuristics for pruning the search space of all subsets of the set of atoms.

`STABLE_MODELS_A(P)`

Input: a finite logic program P ;

Returns: family Q of all stable models of P ;

`IMPLIED_SET(P, M, P0);`

if ($|P_0| = 0$) **then return** $\{M\}$

else

$Q := \emptyset$;

$q := \text{SELECT_ATOM}(P_0)$;

$P_1 := P_0(q^+)$;

$L := \text{STABLE_MODELS_A}(P_1)$;

for all $N \in L$ **do if** `IS_STABLE`($P_0, \{q\} \cup N$) **then** $Q := Q \cup \{M \cup \{q\} \cup N\}$;

$P_2 := P_0(q^-)$;

$L := \text{STABLE_MODELS_A}(P_2)$;

for all $N \in L$ **do if** `IS_STABLE`(P_0, N) **then** $Q := Q \cup \{M \cup N\}$;

return Q ;

Fig. 1. Algorithm for computing stable models by splitting on atoms.

```

STABLE_MODELS_R( $P$ )
  Input: a finite logic program  $P$ ;
  Returns: family  $Q$  of all stable models of  $P$ ;

  IMPLIED_SET( $P, M, P_0$ );
  if ( $|P_0| = 0$ ) then return  $\{M\}$ 
  else
     $Q := \emptyset$ ;
     $r := \text{SELECT\_CLAUSE}(P_0)$ ;

     $P_1 := P_0(r^+)$ ;
     $L := \text{STABLE\_MODELS\_R}(P_1)$ ;
    for all  $N \in L$  do if IS\_STABLE( $P_0, N \cup \text{positivebody}(r) \cup \{\text{head}(r)\}$ )
      then  $Q := Q \cup \{M \cup N \cup \text{positivebody}(r) \cup \{\text{head}(r)\}\}$ ;

     $P_2 := P_0(r^-)$ ;
     $L := \text{STABLE\_MODELS\_R}(P_2)$ ;
    for all  $N \in L$  do if IS\_STABLE( $P_0, N$ ) then  $Q := Q \cup \{M \cup N\}$ ;

  return  $Q$ ;

```

Fig. 2. Algorithm for computing stable models by splitting on clauses.

In general, the performance of these algorithms depends heavily on how the selection routines **SELECT_ATOM**, **SELECT_CLAUSE** and **SELECT_MODE** are implemented. Although any selection strategy yields a correct algorithm, some approaches are more efficient than others. In particular, the proof of Theorem 2.1 implies selecting techniques for the algorithm **STABLE_MODELS_H** guaranteeing that the algorithm terminates after the total of at most $O(3^{n/3})$ recursive calls.

Let us also observe that the recursive dependencies given in Corollaries 2.1 and 2.2 indicate that in order to keep the search space (number of recursive calls) small, selection heuristics should attempt to keep the total size of $P(q^+) \cup P(q^-)$ or $P(r^+) \cup P(r^-)$ as small as possible.

The presented algorithms compute all stable models for the input program P . They can be easily modified to handle other tasks associated with logic programming. That is, they can be tailored to compute one stable model, determine whether a stable model for P exists, as well as answer whether an atom is true or false in all stable models of P (cautious reasoning), or in one model of P (brave reasoning). All these tasks can be accomplished by adding a suitable stop function and by halting the algorithm as soon as the query can be answered.

The general structure of our algorithms is similar to well-known Davis–Putnam method for satisfiability problem. The **IMPLIED_SET** procedure corresponds to the, so called, unit-propagation phase of Davis–Putnam algorithm. In this phase necessary and easy-to-compute conclusions of the current state are drawn to reduce the search space. If the answer is still unknown then a guess is needed and two recursive

```

STABLE_MODELS_H(P)
Input: a finite logic program P;
Returns: family Q of all stable models of P;

IMPLIED_SET(P, M, P0);
if ( $|P_0| = 0$ ) then return {M}
else
    Q := ∅;
    split_mode := SELECT_MODE("atom", "clause");

    if (split_mode = "atom") then
        begin
            q := SELECT_ATOM(P0);
            P1 := P0(q+);
            L := STABLE_MODELS_H(P1);
            for all N ∈ L do if IS_STABLE(P0, {q} ∪ N) then Q := Q ∪ {M ∪ {q} ∪ N};
            P2 := P0(q−);
            L := STABLE_MODELS_H(P2);
            for all N ∈ L do if IS_STABLE(P0, N) then Q := Q ∪ {M ∪ N};
        end
    else (* split_mode = "clause" *)
        begin
            r := SELECT_CLAUSE(P0);
            P1 := P0(r+);
            L := STABLE_MODELS_H(P1);
            for all N ∈ L do if IS_STABLE(P0, N ∪ positivebody(r) ∪ {head(r)})
                then Q := Q ∪ {M ∪ N ∪ positivebody(r) ∪ {head(r)}};
            P2 := P0(r−);
            L := STABLE_MODELS_H(P2);
            for all N ∈ L do if IS_STABLE(P0, N) then Q := Q ∪ {M ∪ N};
        end
    return Q;

```

Fig. 3. Hybrid algorithm for computing stable models.

calls are performed to try both possibilities. But there are also differences. First, in our case, splitting can also be done with respect to a clause. The second difference is due to nonmonotonicity of stable semantics for logic programs. When a recursive call in Davis–Putnam procedure returns an answer, this answer is guaranteed to be correct. There is no such guarantee in the case of stable models. Each answer (stable model) returned by a recursive call in our algorithms must be additionally tested (by **IS_STABLE** procedure) to see whether it is a stable model for the original program.

4. Disjunctive logic programs

In this section, we will focus on the class of disjunctive logic programs $\mathcal{DP}_{n,m}$. For a set of atoms $\{a_1, \dots, a_m\}$, let us denote by $d(a_1, \dots, a_m)$ the disjunctive clause of the form

$$a_1 \vee \dots \vee a_k \leftarrow .$$

By $D(n, m)$, we will denote the disjunctive logic program consisting of n clauses:

$$d(a_{1,1}, \dots, a_{1,m})$$

...

$$d(a_{n,1}, \dots, a_{n,m}),$$

with all atoms $a_{i,j}$ – distinct. It is clear that every set of the form

$$\{a_{i,j_i} : i = 1, \dots, n, 1 \leq j_i \leq m\}$$

is an answer set for $D(n, m)$, and that all answer sets for $D(n, m)$ are of this form. Hence,

$$|ST(D(n, m))| = m^n.$$

Consequently, general upper bounds on the number of answer sets for disjunctive programs in such classes that allow clauses of arbitrary length do not exist.

Turning attention to the class $\mathcal{DP}_{n,m}$, it is now clear that, since $D(n, m) \in \mathcal{DP}_{n,m}$,

$$d(n, m) \geq m^n.$$

The main result of this section shows that, in fact,

$$d(n, m) = m^n$$

and the program $D(n, m)$ is the only (up to isomorphism) extremal program in this class.

Consider a clause d of the form

$$a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_p, \text{not}(c_1), \dots, \text{not}(c_q).$$

By d^+ we will denote the clause obtained from d by moving all negated atoms to the head. That is, d^+ is of the form:

$$a_1 \vee \dots \vee a_k \vee c_1 \vee \dots \vee c_q \leftarrow b_1, \dots, b_p.$$

Let D be a disjunctive program. Define

$$D^+ = \{d^+ : d \in D\}.$$

Lemma 4.1. *For every disjunctive logic program D , $ST(D) \subseteq ST(D^+)$.*

Proof. Let $M \in ST(D)$. Then, M is a minimal model of the Gelfond–Lifschitz reduct D^M and, as is well known, M is a model of D . It follows that M is a model of D^+ . To show that $M \in ST(D^+)$, we need to show that M is a minimal model of D^+ .

Consider a model M' of D^+ and assume that $M' \subseteq M$. Take a clause

$$a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m$$

from D^M . Then, there is a rule

$$a_1 \vee \dots \vee a_k \leftarrow b_1, \dots, b_m, \text{not}(c_1), \dots, \text{not}(c_n)$$

in D such that $n \geq 0$ and $c_1, \dots, c_n \notin M$. Since $M' \subseteq M$, $c_1, \dots, c_n \notin M'$. Assume that $\{b_1, \dots, b_m\} \subseteq M'$. Then, since M' is a model of D (recall that it is a model of D^+),

there is i , $1 \leq i \leq k$, such that $a_i \in M'$. It follows that M' is a model of D^M . Since M is a minimal model of D^M , $M = M'$. Hence, M is a minimal model of D^+ . \square

Lemma 4.1 allows us to restrict our search for disjunctive programs with the largest number of answer sets to those programs that do not contain negated occurrences of atoms.

Lemma 4.2. *Let D be a disjunctive program with n rules d_1, \dots, d_n . Assume that for each i , $1 \leq i \leq n$, d_i has empty body and exactly h_i different disjuncts in the head. Then D has at most $h_1 \times \dots \times h_n$ answer sets. Moreover, if D has exactly $h_1 \times \dots \times h_n$ different answer sets, then no two rules have the same atom in their heads.*

Proof. Clearly, for each program whose every rule has empty body, answer sets are exactly minimal models. So, we have to prove that D has at most $h_1 \times \dots \times h_n$ minimal models. We will proceed by induction on the size of D (total number of literal occurrences in D). If the size of D is 1, the assertion holds. Consider now a disjunctive logic program D of size $k > 1$, whose each rule has empty body. Assume D has n rules d_1, \dots, d_n and that for each i , $1 \leq i \leq n$, d_i has exactly h_i different disjuncts in the head.

Consider a minimal model M of D . Let a be any atom appearing in the head of d_1 . Let M' be a minimal model of D . Assume that $a \notin M'$. Then, M' is a minimal model of a program D' obtained from D by removing a from the head of each rule in which it appears. By induction hypothesis applied to D' , there are at most $(h_1 - 1) \times h_2 \times \dots \times h_n$ minimal models M' of D that do not contain a . Moreover, this number equals $(h_1 - 1) \times h_2 \times \dots \times h_n$ precisely if the heads of rules of D' have $h_1 - 1$, h_2, \dots, h_n disjuncts in their heads, and if no atom appears in D' more than once. This happens precisely when no atom appears more than once in D .

The other possibility for M is that $a \in M$. In this case, define D' to be a program obtained from D by removing all clauses with a in the head (in particular, d_1 is removed). Assume that $D' = \{d_{i_1}, \dots, d_{i_p}\}$. Since d_1 is removed, $p < n$. Clearly, $M \setminus \{a\}$ is a minimal model of D' . If $D' \neq \emptyset$, by induction hypothesis, it follows that there are at most $h_{i_1} \times \dots \times h_{i_p} \leq h_2 \times \dots \times h_n$ minimal model of D that contain a . Moreover, this number equals $h_2 \times \dots \times h_n$ occurs precisely when a occurs only in d_1 and if no atom appears more than once in d_2, \dots, d_n .

It follows that the total number of minimal models of D is at most

$$(h_1 - 1) \times h_2 \times \dots \times h_n + h_2 \times \dots \times h_n = h_1 \times h_2 \times \dots \times h_n.$$

It also follows that the number of minimal models of D is $h_1 \times \dots \times h_n$ if and only if no atom appears in D more than once. \square

Theorem 4.1. *For every integers $m \geq 1$ and $n \geq 1$, and for every program $D \in \mathcal{DP}_{n,m}$, $|ST(D)| \leq m^n$. Moreover, the program $D(n, m)$ is the only program in the class $\mathcal{DP}_{n,m}$ for which the bound of m^n is reached. In particular, $d(n, m) = m^n$.*

Proof. We will proceed by induction on n . The theorem clearly holds if $n = 1$. It is also true if $m = 1$. So, assume that $m \geq 2$ and $n \geq 2$.

We will first focus on disjunctive programs in $\mathcal{DP}_{n,m}$ that do not contain negated occurrences of atoms. Let $D \in \mathcal{DP}_{n,m}$ be such a program, say $D = \{d_1, \dots, d_n\}$. Assume that the rule d_i has h_i atoms in its head.

If each clause in D has a nonempty body, D has exactly one answer set model, the empty set. Since $m \geq 2$, $s(D) < m^n$ (the inequality holds and D is not extremal).

Next, assume that at least one rule in D has empty body. Let D' be a subset of D consisting of all the clauses with the empty body. Let n' denote the number of clauses in D' . Hence, $n' > 0$. Each minimal model for D can be obtained by the following procedure:

1. Pick a minimal model M' of D' . If $D = D'$, output M' and stop.
2. Otherwise, reduce $D \setminus D'$ by removing clauses satisfied by M' as well as atoms from the bodies of the remaining rules that belong to M' . Call the resulting program D'' .
3. Pick a minimal model M'' of D'' .
4. Output $M' \cup M''$ as a minimal model of D .

Clearly, Lemma 4.2 applies to D' . Hence, $|ST(D')| \leq m^{n'}$, with equality if and only if $D' = D(n', m)$. If $D' = \emptyset$, then there is only one possibility for M'' , namely $M'' = \emptyset$. If $D' \neq \emptyset$, $D'' \in \mathcal{DP}_{n'', m}$, for some $n'' \leq n - n' < n$. By induction hypothesis, $|ST(D'')| \leq m^{n''}$. Moreover, equality holds if and only if $D'' = D(n'', m)$. Consequently, $|ST(D)| \leq m^{n'} \times m^{n''} \leq m^n$, with equality holding if and only if $D = D(n, m)$.

Consider now an arbitrary program $D \in \mathcal{DP}_{n, m}$. Assume that D is extremal. It follows from Lemma 4.1 that D^+ is also extremal. Hence, $D^+ = D(n, m)$. Assume that $D \neq D^+$. Then, there is a rule in D that contains at least one negated atom, say a . It follows from the definitions of D^+ and $D(n, m)$, and from the equality $D^+ = D(n, m)$ that:

1. there is an answer set M of D^+ such that $a \in M$, and
2. no answer set for D contains a .

Since $ST(D) \subseteq ST(D^+)$, and since D^+ is extremal, it follows that D is not extremal, a contradiction. Hence, $D = D^+ = D(n, m)$. \square

Finally, we will consider the class \mathcal{DP}_n of all logic programs with the total size (number of literal occurrences in the bodies and heads) at most n . Let $d'(n)$ be defined as the maximum number of answer sets for a disjunctive program in \mathcal{DP}_n . We have the following result.

Theorem 4.2. For every $n \geq 2$, $d'(n) = \Theta(2^{n/2})$.

Proof. Assume that D has size n and that it has k rules. By Theorem 4.1 it follows that $|ST(D)| \leq m^k$, where $m = \lceil n/k \rceil$. The value m^k , under the constraint $m = \lceil n/k \rceil$, assumes its maximum for $k = \lfloor n/2 \rfloor$. Hence, for every disjunctive logic program D of size n , $|ST(D)| = O(2^{n/2})$. In the same time, program $D(\lfloor n/2 \rfloor, 2)$ demonstrates that there is a disjunctive program D of size at most n such that $|ST(D)| = \Omega(2^{n/2})$. Hence, the assertion follows \square

Compared with the estimate from Theorem 2.3 for the function $s'(n)$, the function $d'(n)$ is much larger (it is, roughly the square of $s'(n)$). Consequently, there are antichains representable by disjunctive logic programs with the cardinality of the order of the square of the cardinality of largest antichains representable by logic programs of the same total size. This may be an additional argument for disjunctive logic programs as a knowledge representation mechanism.

5. Conclusions

In this paper, we studied extremal problems appearing in the area of logic programming. Specifically, we were interested in the maximum number of stable models (answer sets) a program (disjunctive program) from a given class may have. We have studied several classes in detail. We determined the maximum number of stable models for logic programs with n clauses. Similarly, this maximum was also established for logic programs with n clauses, each of length at most 2, and for logic programs of total size at most n . In some of these cases we also characterized the extremal programs, that is, the programs for which the maxima are attained. Similar results were obtained for disjunctive logic programs. Our results have interesting algorithmic implications. Several algorithms, having a flavor of Davis–Putnam procedure, for computing stable model semantics are presented in the paper.

Extremal problems for logic programming have not been studied so far. This paper shows that they deserve more attention. They are interesting in their own right and have interesting computational and knowledge representation applications.

Appendix A. Proof of the main result

First, we prove auxiliary lemmas which will be used in the proof of Theorem 2.1.

Lemma 6.1. *For any $n \leq 1$, $s(n) < s(n + 1)$.*

Proof. Let P be a program with n rules and $s(P)$ stable models. To complete the proof it is enough to show that there is a logic program P' with $n + 1$ rules and $s(P) < s(P')$. Assume first that $s(P) \leq 1$. Then, as P' we can take any program with $n + 1$ rules and 2 or more stable models (since $n + 1 \geq 2$, such programs exist).

Suppose now, that P has at least 2 stable models. Let M_1, M_2, \dots, M_k be the all stable models of P . We construct P' as follows. Since stable models of a logic program form an antichain, every model M_i , $1 \leq i \leq k$, is not empty. Let b be a propositional atom not occurring in P . Let $A = \{a_1, a_2, \dots, a_l\}$ be any set of atoms such that for all i , $1 \leq i \leq k$, $A \cap M_i \neq \emptyset$. Finally, let

$$P' = \{ \text{head}(r) \leftarrow \text{body}(r), \text{not}(b) : r \in P \} \\ \cup \{ b \leftarrow \text{not}(a_1), \text{not}(a_2), \dots, \text{not}(a_l) \}$$

It is easy to see that $M_1, M_2, \dots, M_k, \{b\}$ are stable models for P' . Thus, the proof of the lemma is complete. \square

A clause r of P is called *redundant* if the head of r occurs (negated or not) in the body of r , or if there is an atom q such that both q and $\text{not}(q)$ occur in the body of r .

Lemma 6.2. *If P is an extremal program with $n \geq 2$ rules then:*

1. P contains no positive redundant literals.
2. P contains no redundant rules.
3. P contains no facts (i.e. rules with empty body).
4. every head of a rule in P appears in the body of another rule in P .

Proof. If P contains a positive redundant literal q in the body of a rule r then every stable model for P is a stable model for $P(r^-)$. Hence $ST(P) \subseteq ST(P(r^-))$. So, from Lemma 6.1, we have that

$$s(P) \leq s(P(r^-)) \leq s(n-1) < s(n).$$

This means that P is not extremal.

If P contains a redundant rule r then stable models of P are exactly the stable models of $P(r^-)$. Again, P is not extremal. If P contains a fact $q \leftarrow$ then q must belong to every stable model of P . That is,

$$s(P) \leq s(P(q^+)) \leq s(n-1) < s(n),$$

and P is not extremal.

Assume that P contains a rule r with head q and q does not appear negatively or positively in the body of any other rule. For any set of atoms M , M is a stable model for P if and only if $M \setminus \{q\}$ is a stable model for $P(q^+)$. Hence, again $s(P) \leq s(P(q^+)) < s(n)$ and P is not an extremal program. \square

Lemma 6.3. Let n be a positive integer and $n = 3m + l$, where $0 \leq l \leq 2$. For any $n \geq 3$

$$s_0(n) \geq 2s_0(n-2). \quad (\text{A.1})$$

Moreover, if $l = 0$ then $s_0(n) > 2s_0(n-2)$, otherwise $s_0(n) = 2s_0(n-2)$. For any two integers x, y , such that $x, y \geq 2$ and $\max(x, y) < n$,

$$s_0(n) > s_0(n-x) + s_0(n-y). \quad (\text{A.2})$$

For any $n \geq 5$

$$s_0(n) \geq s_0(n-1) + s_0(n-4). \quad (\text{A.3})$$

Moreover, if $l = 1$ then $s_0(n) = s_0(n-1) + s_0(n-4)$, otherwise $s_0(n) > s_0(n-1) + s_0(n-4)$.

For any integer x , such that $4 < x < n$,

$$s_0(n) > s_0(n-1) + s_0(n-x). \quad (\text{A.4})$$

Proof. Straightforward arithmetic for inequalities (A.1) and (A.3). Inequalities (A.2) and (A.4) are implied by (A.1) and (A.3) and monotonicity of s_0 . \square

Lemma 6.4. Let P be a logic program with n rules with pairwise distinct heads a_1, \dots, a_n . If the family of all stable models of P is $\{\{a_1\}, \dots, \{a_n\}\}$, then $\bar{P} = CP[\{a_1, \dots, a_n\}]$.

Proof. Consider the program \bar{P} . Assume that it consists of rules r_1, \dots, r_n . Without loss of generality we will assume that the head of r_i is a_i , $1 \leq i \leq n$.

Observe that since r_1 is generating for $\{a_1\}$, the only positive literal it may contain is a_1 . So, assume that a_1 appears positively in the body of r_1 . Then, $\bar{P}^{(a_1)}$ contains the rule $a_1 \leftarrow a_1$. Since all other rules in $\bar{P}^{(a_1)}$ have atoms different from a_1 in their heads, a_1 does not belong to the least model of $\bar{P}^{(a_1)}$, a contradiction. Hence, r_1 has no positive literals. By symmetry, all rules r_i have no positive literals in their bodies.

Next, observe that r_1 is generating for $\{a_1\}$ but not for any other stable model $\{a_i\}$ ($i \neq 1$). Hence, all literals $\text{not}(a_i)$, $2 \leq i \leq n$, must appear in the body of r_1 and $\text{not}(a_1)$ does not. Since r_1 has no redundant negative literals,

$$r_1 = a_1 \leftarrow \text{not}(a_2) \dots \text{not}(a_n).$$

By symmetry, it follows that $\bar{P} = CP[\{a_1, \dots, a_n\}]$. \square

To prove Theorem 2.1, we establish the basis of induction in Lemma 6.5 and the induction step in Lemma 6.6.

Lemma 6.5. *Let P be an extremal program with n , $2 \leq n \leq 4$ clauses. Then, for some atoms a , b , c and d :*

1. if $n = 2$, $\bar{P} = CP[\{a, b\}] (= B(0))$.
2. if $n = 3$, $\bar{P} = CP[\{a, b, c\}] (= A(1))$.
3. if $n = 4$, $\bar{P} = CP[\{a, b, c, d\}] (= C'(1))$, or $\bar{P} = CP[\{a, b\}] \cup CP[\{c, d\}] (= C(1))$.

Proof. Let P be an extremal program with n clauses, $2 \leq n \leq 4$. Since P is extremal, P has at least n stable models (note that $B(0)$ has 2 stable models, $A(1)$ has 3 stable models, and $C(1)$ and $C'(1)$ have 4 stable models each).

Let H be the set of heads of the rules in P . Then, each stable model of P is a subset of H , and all stable models of P form an antichain. If $|H| = 1$, the largest antichain of subsets of H has one element. Thus, $|H| \geq 2$.

Observe also that since P is extremal, its rules contain no positive redundant literals in their bodies (Lemma 6.2). Additionally, by the construction of \bar{P} , its rules contain no redundant negative literals, either. Hence, the rules of \bar{P} are built of atoms in H only.

Assume first that $n = 2$. Then, $|H| = 2$, say $H = \{a, b\}$. There is only one antichain of subsets of H that has two elements: $\{\{a\}, \{b\}\}$. Hence, P has two stable models: $\{a\}$ and $\{b\}$. The assertion follows by Lemma 6.4.

Assume next that $n = 3$. If $|H| = 2$, then the largest antichain of subsets of H has two elements, a contradiction (recall that P has at least three stable models). Hence, $|H| = 3$, say $H = \{a, b, c\}$. The program \bar{P} has three rules, say r , s and t , with heads a , b and c , respectively.

There are only two antichains of subsets of H with three elements:

- (1) $\{\{a, b\}, \{a, c\}, \{b, c\}\}$, and
- (2) $\{\{a\}, \{b\}, \{c\}\}$.

Hence, the family of stable models of P (and, hence, also of \bar{P}) is either $\{\{a, b\}, \{a, c\}, \{b, c\}\}$ or $\{\{a\}, \{b\}, \{c\}\}$.

Consider the first possibility. Assume that rule r contains a negative literal. Clearly, rules r and s are generating for $\{a, b\}$. Thus, the only negative literal that they may contain is $\text{not}(c)$. Reasoning in the same way, we find that the only negative literal that may be contained in the rules r and t is $\text{not}(b)$, a contradiction. Hence, r and, by symmetry, s and t have no negative literals. Thus, \bar{P} is a Horn program and has exactly one stable model, a contradiction.

It follows that the family of stable models of \bar{P} is $\{\{a\}, \{b\}, \{c\}\}$. Now, the assertion follows by Lemma 6.4.

Finally, assume that $n = 4$. If $|H| \leq 3$, the size of any antichain of subsets of H is at most 3. Since P has at least 4 stable models, $|H| = 4$. Assume that $H = \{a, b, c, d\}$ and that \bar{P} consists of rules r , s , t , and u with heads a , b , c and d , respectively.

Let \mathcal{A} be an antichain consisting of 4 or more subsets of H . Clearly, \mathcal{A} contains neither \emptyset nor H . Assume that \mathcal{A} contains a one-element subset of H , say $\{a\}$. Then, there are exactly two possibilities for \mathcal{A} :

- (1) $\mathcal{A} = \{\{a\}, \{b\}, \{c\}, \{d\}\}$, and
- (2) $\mathcal{A} = \{\{a\}, \{b, c\}, \{b, d\}, \{c, d\}\}$.

In the first case, the assertion follows from Lemma 6.4. So, let us consider the second case. In this case, rule r is not generating for any of the stable models $\{b, c\}$, $\{b, d\}$ and $\{c, d\}$. Hence, $\{b, c\}$, $\{b, d\}$ and $\{c, d\}$ are the stable models of $\bar{P} \setminus \{r\}$. This is a contradiction. We proved above that no 3-rule program can have the antichain $\{\{b, c\}, \{b, d\}, \{c, d\}\}$ as its family of stable models.

Next, assume that \mathcal{A} contains a set with three elements, say $\{a, b, c\}$. Then, there are exactly two possibilities for \mathcal{A} :

- (1) $\mathcal{A} = \{\{a, b, c\}, \{a, b, d\}, \{a, c, d\}, \{b, c, d\}\}$, and
- (2) $\mathcal{A} = \{\{a, b, c\}, \{a, d\}, \{b, d\}, \{c, d\}\}$.

Assume the first case. Assume that at least one rule in \bar{P} , say r , has a negative literal. Since r , s and t are generating for $\{a, b, c\}$, it follows that r has exactly one negative literal, $\text{not}(d)$. But then, r is not generating for $\{a, b, d\}$, a contradiction. Hence, r and, by symmetry, all the rules in \bar{P} have no negative literals in their bodies. Consequently, \bar{P} is a Horn program and has only one stable model, a contradiction.

Thus, assume that $\mathcal{A} = \{\{a, b, c\}, \{a, d\}, \{b, d\}, \{c, d\}\}$. Assume that r has a negative literal. Reasoning as before, it follows that r has exactly one negative literal, $\text{not}(d)$. But then, r is not generating for the stable model $\{a, d\}$, a contradiction. Hence, r and, by symmetry, s and t have no negative literals in their bodies. Assume that u has a negative literal in its body, say $\text{not}(x)$. Then, since u is generating for $\{a, d\}$, $\{b, d\}$ and $\{c, d\}$, $x \notin \{a, d\} \cup \{b, d\} \cup \{c, d\}$, which is impossible. Hence, as before, \bar{P} is a Horn program and has only one stable model, a contradiction.

The last case to consider is when \mathcal{A} contains only sets consisting of two elements. First, assume that some three sets in \mathcal{A} contain the same element, say a . Then $\{a, b\}$, $\{a, c\}$ and $\{a, d\}$ are all in \mathcal{A} . Since r is a generating rule for all three stable models, it contains no negative literals and the only positive literal it may contain in its body is a . Since facts do not belong to extremal programs (Lemma 6.2), a is in the body of r . Consequently, $a \leftarrow a$ is in $\bar{P}^{(a, b)}$. Hence, a is not in the least model of $\bar{P}^{(a, b)}$, a contradiction.

The only remaining possibilities for \mathcal{A} are

- (1) $\mathcal{A} = \{\{a, c\}, \{a, d\}, \{b, c\}, \{b, d\}\}$,
- (2) $\mathcal{A} = \{\{a, b\}, \{a, d\}, \{b, c\}, \{c, d\}\}$,
- (3) $\mathcal{A} = \{\{a, b\}, \{a, c\}, \{c, d\}, \{b, d\}\}$.

They are isomorphic, so it is enough to consider one of them only, say the first one.

Assume that r has a positive literal in its body. Since r is a generating rule for $\{a, c\}$ and $\{a, d\}$, it follows that r has exactly one such literal, namely a . Hence, rule $a \leftarrow a$ is in $\bar{P}^{(a, c)}$. Since no other rule in $\bar{P}^{(a, c)}$ has a as its head, a is not in the least model of $\bar{P}^{(a, c)}$, a contradiction. Hence, r and, by symmetry, all rules in $\bar{P}^{(a, c)}$ have no positive literals in their bodies.

Next observe that r is generating for $\{a, c\}$ and $\{a, d\}$ and it is not generating for $\{b, c\}$ and $\{b, d\}$. Since it has no positive literals in the body, it follows that $r = a \leftarrow \text{not}(b)$. By symmetry, clauses $b \leftarrow \text{not}(a)$, $c \leftarrow \text{not}(d)$ and $d \leftarrow \text{not}(c)$ are all in \bar{P} . Hence, $\bar{P} = CP[\{a, b\}] \cup CP[\{c, d\}]$. \square

Now, we will establish the induction step.

Lemma 6.6. *Let n be an integer, $n \geq 5$. Assume that every extremal program with $2 \leq n' < n$ rules and no redundant atoms is a 2,3,4-program. If P is an extremal program with $n \geq 5$ rules and no redundant atoms then:*

1. P contains no two rules with the same head
2. P contains no atoms that appear only positively in the bodies of the rules in P
3. P contains no rules of the form $q \leftarrow p$
4. P is a 2,3,4-program

Proof. Our assumption that every extremal program with $2 \leq n' < n$ rules and no redundant atoms is a 2,3,4-program implies that for every n' , $2 \leq n' < n$, $s(n') = s_0(n')$.

(1) Let $r = q \leftarrow a_1, \dots, a_k, \text{not}(b_1), \dots, \text{not}(b_l)$ be a rule in P . Assume that there is another rule r' with head q . From Lemma 6.2 it follows that $k > 0$ or $l > 0$. Moreover, from Lemma 6.2 we have that there is a rule r'' such that q appears in the body of r'' . Also, since there are no redundant rules in P , r'' is different than r and r' .

If q appears positively in the body of r'' then $|P(q^-)| \leq n - 3$. Since $|P(q^+)| \leq n - 2$, the inequality (A.2) in Lemma 6.2 and the inductive assumption imply that

$$s(P) \leq s(P(q^+)) + s(P(q^-)) \leq s_0(n - 2) + s_0(n - 3) < s_0(n).$$

So, P is not extremal.

Assume then that q appears negatively in the body of r'' . Now, $|P(q^-)| \leq n - 2$, $|P(q^+)| \leq n - 3$ and we can show that $s(P) < s_0(n)$ in the same way as before. Hence, P contains no two rules with same head and (1) follows.

Therefore, for every atom q which appears as a head in P , there is exactly one rule with head q . We will denote this rule by $r(q)$.

(2) Assume that P contains an atom q which appears only positively in bodies of rules of P . There is a unique rule $r(q)$. Let

$$r(q) = q \leftarrow a_1, \dots, a_l, \text{not}(b_1), \dots, \text{not}(b_m)$$

and P' be the program obtained from P by replacing every premise q by the sequence $a_1, \dots, a_l, \text{not}(b_1), \text{not}(b_m)$. Then $|P| = |P'|$ and the programs P and P' have the same stable models. Also, P' contains an atom which never appears in a body of a rule in P . So, from Lemma 6.2 it follows that P' is not extremal. Hence, $s(P) < s(n)$, a contradiction.

(3) Assume that P contains a rule of the form $r = q \leftarrow p$. Since there is only one rule in P with head q , for every stable model M of P , $q \notin M$ if and only if $p \notin M$. Let P' be the program obtained from P by replacing every premise $\text{not}(q)$ by the premise $\text{not}(p)$. Clearly, P and P' have the same stable models. In addition, P' contains an atom which does not appear negated in P' . From part (2) of this proof, it follows that P' is not extremal. Consequently, since P and P' have the same number of rules and the same number of stable models, P is not extremal, contrary to the assumption.

(4) Assume first that P contains a rule r of the form $q \leftarrow \text{not}(p)$. Let $M \in ST(P)$. If $q \in M$, then $M \setminus \{q\} \in ST(P(r^+))$. If $q \notin M$, then, $M \in ST(P(r^-))$ and $p \in M$. Hence, $M \setminus \{p\} \in ST((P(r^-))(r(p)^+))$ (recall that $r(p)$ is the unique rule in P with p as its head, cf. part (1) of the proof). Hence,

$$s(P) \leq s(P(r^+)) + s((P(r^-))(r(p)^+)).$$

Observe now that $|P(r^+)| \leq n - 2 - \delta$, where δ is the number of rules different from $r(p)$ and containing $\text{not}(q)$ in the body.

Next, observe that $|(P(r^-))(r(p)^+)| \leq n - 2 - \epsilon$, where ϵ is the number of literals in the body of $r(p)$ different than q and $\text{not}(q)$. Therefore,

$$s(n) = s(P) \leq s(P(r^+)) + s((P(r^-))(r(p)^+)) \leq s(n - 2 - \delta) + s(n - 2 - \epsilon).$$

If $\delta > 0$ or $\epsilon > 0$ then the inequality (A.2) of Lemma 6.3 and the equality $s(n') = s_0(n')$, for $2 \leq n' < n$, imply that $s(n) < s_0(n)$. It follows that $\delta = 0$, $\epsilon = 0$ and both $P(r^+)$ and $P(r^-)(r(p)^+)$ are extremal. Moreover, since $\epsilon = 0$, $r(p) = p \leftarrow \text{not}(q)$ (P does not contain redundant rules and rules of the form $p \leftarrow q$).

Let $P' = P \setminus \{r, r(p)\}$. Since $\delta = 0$, it also follows that there are no rules in P' with $\text{not}(q)$ in the body. By symmetry, it follows that no rule of P' contains $\text{not}(p)$.

Assume now that there is a rule in P' , say r' , containing q in its body. Again, let $M \in ST(P)$. If $q \in M$, then $M \setminus \{q\}$ is a stable model of $(P(q^-))(p^-)$. Otherwise, M is a stable model of $P(p^+)(q^-)$. Since $|(P(q^-))(p^-)| \leq n - 2$ and $|(P(p^+))(q^-)| \leq n - 3$,

$$\begin{aligned} s(P) &\leq s(P(q^-)(p^-)) + s(P(p^+)(q^-)) \leq s(n - 2) + s(n - 3) \\ &= s_0(n - 2) + s_0(n - 3) < s_0(n) \leq s(n), \end{aligned}$$

a contradiction. Hence, neither q nor (by symmetry) p appear in P' . It is easy to see that $P' = P(r^-)$. Since $P(r^-)$ is extremal, P' is extremal. It follows by induction that P' and, consequently, P are both $\{2, 3, 4\}$ -programs.

From now on, we will assume that every rule in P has at least 2 literals in the body. Assume that there is a rule r in P with a positive literal, say a , in its body. Since the body of $r(a)$ has at least two literals, $|P(a^+)| \leq n - 3$. Since r has a in its body, $|P(a^-)| \leq n - 2$. It follows that $s(P) \leq s(n - 3) + s(n - 2) = s_0(n - 3) + s_0(n - 2) < s_0(n) \leq s(n)$, a contradiction. Hence, every rule in P has only negative literals in its body.

Assume next that there is a rule r in P with $k \geq 4$ literals in the body. Let q be the head of r . Then $|P(q^-)| \leq n - 5$ and $|P(q^+)| \leq n - 1$. Hence, $s(P) \leq s(n - 5) + s(n - 1) = s_0(n - 5) + s_0(n - 1) < s_0(n) \leq s(n)$, a contradiction. It follows that every rule in P has 2 or 3 literals in its body.

We will show now that P is a $\{2, 3, 4\}$ -program. To this end, we will consider two cases. First, we will assume that all rules in P have exactly 3 negative literals in their bodies. Consider a rule r from P , say r is of the form:

$$a \leftarrow \text{not}(b), \text{not}(c), \text{not}(d).$$

Assume that the rules $r(b)$, $r(c)$, and $r(d)$ are of the following respective forms (by our assumption, each must have exactly 3 negative literals in the body):

$$b \leftarrow \text{not}(x), \text{not}(y), \text{not}(z),$$

$$c \leftarrow \text{not}(x'), \text{not}(y'), \text{not}(z'),$$

$$d \leftarrow \text{not}(x''), \text{not}(y''), \text{not}(z'').$$

Assume that at least one of the atoms $x, y, z, x', y', z', x'', y''$ and z'' is not in $\{a, b, c, d\}$. Without the loss of generality, we may assume that $x'' \notin \{a, b, c, d\}$.

For a stable model M of P , let G_M denote the set of generating rules for M . Then, we have the following four mutually exclusive cases for M :

- (i) $r(a) \in G_M$,
- (ii) $r(a) \notin G_M$ and $r(b) \in G_M$.
- (iii) $r(a) \notin G_M$, $r(b) \notin G_M$ and $r(c) \in G_M$, and
- (iv) $r(a) \notin G_M$, $r(b) \notin G_M$, $r(c) \notin G_M$ and $r(d) \in G_M$.

If $r(a) \in G_M$ then by Corollary 2.2 $M \setminus \{a\}$ is a stable model of $P(r(a)^+)$. Since $|P(r(a)^+)| \leq n - 4$ the number of stable models for which (i) holds is bounded by $s(n - 4)$.

Similarly, by considering $P(r(b)^+)$ and $P(r(c)^+)$ we have that the number of stable models for which (ii) or (iii) hold is bounded, in each case, by $s(n - 4)$.

Consider $P(r(d)^+)$. Since $x' \notin \{a, b, c, d\}$, the number of stable models for which (iv) holds is bounded by $s(n - 5)$. Hence, $s(P) \leq 3s(n - 4) + s(n - 5)$. Lemma 6.1 implies that $s(P) < 4s(n - 4)$. Using the inductive assumption and, twice, the inequality (A.1) of Lemma 6.3 we have that $4s(n - 4) = 4s_0(n - 4) \leq s_0(n)$. So, $s(P) < s_0(n) \leq s(n)$. This is a contradiction. Consequently, all atoms appearing in the negated form in the bodies of the rules $r(b)$, $r(c)$ and $r(d)$ belong to $\{a, b, c, d\}$. Hence, $\{r(a), r(b), r(c), r(d)\} = CP[\{a, b, c, d\}]$.

Let us now observe that none of $\text{not}(a)$, $\text{not}(b)$, $\text{not}(c)$ and $\text{not}(d)$ appears in

$$P \setminus \{r(a), r(b), r(c), r(d)\}.$$

Indeed, if, say $\text{not}(a)$, appears in the body of a rule $r(q)$, where $q \notin \{a, b, c, d\}$, then one can show that $s(P) \leq s(n - 5) + s(n - 1) = s_0(n - 5) + s_0(n - 1) < s_0(n) \leq s(n)$, a contradiction.

Since $s(P) \leq s(P(a^+)) + s(P(a^-)) \leq s(n - 4) + s(n - 1) = s_0(n - 4) + s_0(n - 1) \leq s_0(n) \leq s(n)$, it follows that $P(a^+)$ is extremal and that $P(a^+) = P \setminus \{r(a), r(b), r(c), r(d)\}$. Consequently, $P \setminus \{r(a), r(b), r(c), r(d)\}$ is a $\{2, 3, 4\}$ -program. Thus, P is a $\{2, 3, 4\}$ -program.

To complete the proof we need to consider one more case when P contains a rule, say $r(a)$, with exactly 2 negative literals in the body. Let us assume that

$$r(a) = a \leftarrow \text{not}(b), \text{not}(c).$$

Let us also assume that $r(b)$ has literals $\text{not}(x)$ and $\text{not}(y)$ in its body (and, possibly, one more) and that $r(c)$ has literals $\text{not}(x')$ and $\text{not}(y')$ (and, possibly, one more) in its body. If $r(b)$ or $r(c)$ has three negative literals in its body or if at least one of x, y, x' and y' is not in $\{a, b, c\}$, reasoning as in the previous case we can show that $s(P) \leq 2s(n - 3) + s(n - 4) = 2s_0(n - 3) + s_0(n - 4) < 3s_0(n - 3)$. Corollary 2.3 implies that $3s_0(n - 3) \leq s_0(n) \leq s(n)$. Hence, $s(P) < s(n)$. This is a contradiction. Hence, $\{r(a), r(b), r(c)\} = CP[\{a, b, c\}]$. Moreover, again reasoning similarly as before, we can show that none of $\text{not}(a)$, $\text{not}(b)$ and $\text{not}(c)$ occurs in $P \setminus \{r(a), r(b), r(c)\}$. Hence, $s(P) \leq s(P(a^+)) + s(P(a^-)) \leq s(P(a^+)) + 2s_0(n - 3) \leq 3s_0(n - 3) \leq s_0(n) \leq s(n)$. It follows that $P(a^+)$ is extremal. Moreover, $P(a^+) = P \setminus \{r(a), r(b), r(c)\}$. Consequently, $P \setminus \{r(a), r(b), r(c)\}$ is a $\{2, 3, 4\}$ -program and, thus, so is P . \square

We can now complete the proof of Theorem 2.1. Let P be an extremal program. Then, by Lemmas 6.5 and 6.6, \bar{P} is a $2, 3, 4$ -program. Thus, by Corollary 2.3, $P \in \mathcal{E}_n$. Consequently, $s(n) = s_0(n)$.

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