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Groups generated by a finite Engel set

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ABSTRACT

A subset S of a group G is called an Engel set if, for all $x, y \in S$, there is a non-negative integer $n = n(x, y)$ such that $[x, {}_n y] = 1$. In this paper we are interested in finding conditions for a group generated by a finite Engel set to be nilpotent. In particular, we focus our investigation on groups generated by an Engel set of size two.

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1. Introduction

A subset S of a group G is called an Engel set if, for all $x, y \in S$, there is a non-negative integer $n = n(x, y)$ such that $[x, {}_n y] = 1$. It is known that, for a group G satisfying Max- ab , a normal subset $S \subseteq G$ is an Engel set if and only if it is contained in the Fitting subgroup of G (see [7, Theorem 7.23]; see also [1]) and so, in this case, $\langle S \rangle$ is nilpotent whenever S is finite. However, a group generated by a finite Engel set is not necessarily nilpotent: Golod's examples show that there exist infinite non-nilpotent groups generated by an Engel set with three or more elements (see [5]). Furthermore, if S is an Engel set of size three, then an easier example of a non-nilpotent group generated by

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S is the wreath product of the alternating group of degree 5 with the cyclic group of order 3; it has a presentation of type (r, s, t) (see [3]), i.e. $S = \langle a, b, c \rangle$ where $\langle a, b \rangle$ is nilpotent of class r , $\langle a, c \rangle$ is nilpotent of class s and $\langle b, c \rangle$ is nilpotent of class t . All these groups are not soluble, but the nilpotency does not hold even in the soluble case. In [3] it was shown that every group with a presentation of type $(1, 2, 2)$ is soluble of length at most 3 and that there are non-nilpotent groups of this type.

In this paper, we first get that any nilpotent-by-abelian group generated by a finite Engel set is nilpotent and then we focus on groups generated by an Engel set of size two. In particular, we prove that such a group is nilpotent whenever it is abelian-by-(nilpotent of class 2). This is the best possible result in the soluble case. In fact, we construct by GAP (see [4]) a non-nilpotent counterexample which is abelian-by-(nilpotent of class 3). On the other hand, some of the counterexamples in [3], mentioned above, are abelian-by-(nilpotent of class 2) and generated by an Engel set of size three.

2. Groups that are nilpotent-by-abelian

We start with a result that is certainly already known. It generalizes, for metabelian groups, two basic properties of commutators.

Lemma 2.1. *Let G be a metabelian group and x, y, z be elements of G . For all positive integers n , we have*

- (i) $[x^{-1}, {}_n y] = [x, {}_n y]^{-x^{-1}}$;
- (ii) $[xy, {}_n z] = [x, {}_n z][x, {}_n z, y][y, {}_n z]$.

Proof. Since G is metabelian, every g in G induces on G' an endomorphism $-1 + g$ that maps u to $u^{-1}u^g$, and any two of these endomorphisms commute. We thus have

$$[x^{-1}, {}_n y] = ([x, y]^{-x^{-1}})^{(-1+y)^{n-1}} = [x, y]^{-(-1+y)^{n-1}x^{-1}} = [x, {}_n y]^{-x^{-1}}.$$

The proof of (ii) is similar. \square

As a consequence of Lemma 2.1, we get:

Lemma 2.2. *If G is a metabelian group generated by an Engel set S , then any $x \in S$ is a left Engel element. In particular, G is locally nilpotent.*

Proof. Take a finite subset of S , say $T = \{x_1, \dots, x_r\}$, and suppose $[x_i, {}_n x_j] = 1$ for all $1 \leq i, j \leq r$. By the previous lemma, every x_i is a left n -Engel element in G . Then $(-1 + x_i)^n = 0$. It follows that any product in the endomorphisms $-1 + x_i$ of weight $(n - 1)r + 1$ is trivial. Hence $\langle T \rangle$ is nilpotent of class at most $(n - 1)r + 2$. This proves that G is locally nilpotent. \square

For a finite Engel set, we then obtain the following:

Theorem 2.3. *Let G be a nilpotent-by-abelian group generated by a finite Engel set. Then G is nilpotent.*

Proof. If N is a normal nilpotent subgroup of G such that G/N is abelian, then G/N' is nilpotent by Lemma 2.2 and so G is nilpotent by a well-known result of P. Hall. \square

3. Engel sets of size two

Let $G = \langle x, y \rangle$ be a group and assume that $\{x, y\}$ is an Engel set. Then $[x, {}_n y] = 1$ and $[y, {}_m x] = 1$ for some positive integers n, m . We also say that the elements x and y are *mutually Engel* and, whenever $n \geq m$, that they are *mutually n -Engel*. If $n = m = 2$, then G is obviously nilpotent of class at most 2 and the nilpotency still holds for $n = 2$ and $m = 3$.

Proposition 3.1. *Let $G = \langle x, y \rangle$ be an arbitrary group such that $[x, y, y] = 1$ and $[y, x, x, x] = 1$. Then G is nilpotent of class at most 3.*

Proof. By the Hall–Witt identity we have

$$[[y, x], x^{-1}, y]^x [x, y^{-1}, [y, x]]^y [y, [y, x]^{-1}, x]^{[y, x]} = 1,$$

from which it follows

$$[y, x, x^{-1}, y] = 1$$

since $[x, y^{-1}] = [x, y]^{-1}$ and $[y, [y, x]^{-1}] = [x, y, y]^{-1} = 1$. Then $[y, x, x, y] = 1$ and hence $[y, x, x] \in Z(G)$. Now $[x, y, y] = [y, x, x] = 1$ modulo $Z(G)$, so $G/Z(G)$ is nilpotent of class ≤ 2 and G is nilpotent of class ≤ 3 . \square

However, as we will see in the next section, this is not true in general, even in the soluble case. We are therefore led to consider extra conditions for a group generated by an Engel set of size two to be nilpotent. In the sequel, we will turn our attention to groups which are abelian-by-(nilpotent of class 2).

Let G be any abelian-by-(nilpotent of class 2) group generated by two mutually Engel elements x and y . By assumption $[x, n y] = 1$ and $[y, n x] = 1$ for some n . Suppose, by way of contradiction, that G is not nilpotent. Then G has a non-nilpotent finite image by Theorem 10.51 of [7] and so we may assume that G is finite.

Using induction on the order of the group, we may assume that G is a minimal counterexample. It follows that G contains a unique minimal normal subgroup A such that G/A is nilpotent. As G is not nilpotent there is a maximal subgroup H that is not normal. On the other hand G/A is nilpotent, therefore $A \not\leq H$ (otherwise $H/A \triangleleft G/A$ implies that $H \triangleleft G$). Thus $G = AH$. The group $A \cap H$ is normal in G and $A \cap H < A$. The minimality of A then forces $A \cap H = 1$.

Clearly, A is an elementary abelian p -group for some prime p and H is nilpotent. Let P be the Sylow p -subgroup of H . Then $AP/A \triangleleft G/A$ and so AP is the Sylow p -subgroup of G . Since AP is nilpotent, we have that $[A, AP] < A$ and by the minimality of A , the normal subgroup $[A, AP]$ must be trivial. Thus $[A, P] = 1$ and $P^G = P^{AH} = P^H = P$, that is $P \triangleleft G$. But $A \not\leq P$, hence $P = 1$ and H is a Hall p' -subgroup of G .

Lemma 3.2. *Every nontrivial element of $Z(H)$ acts fixed point freely on A by conjugation.*

Proof. For all $z \in Z(H)$ and $h \in H$, $C_A(z)^h = C_A(z)$ and thus $C_A(z) \triangleleft G$. As $\langle z \rangle$ cannot be normal in G , we get $C_A(z) = 1$ by minimality of A . \square

The next lemma shows that H is nilpotent of class 2 and that we can restrict our attention to $n = 3$.

Lemma 3.3. *Let $G = AH = \langle x, y \rangle$ be a minimal counterexample that is abelian-by-(nilpotent of class 2). Then $A = \gamma_3(G)$, $[x, y, y] = 1$ and $[y, x, x, x] = 1$.*

Proof. Of course, $A \subseteq \gamma_3(G)$ by minimality of A . Let $q \neq p$ be a prime. Then any q -subgroup of $\gamma_3(G)$ is necessarily trivial. But G/A is a p' -group, therefore $A = \gamma_3(G)$ and H is nilpotent of class 2.

Assuming now $[x, n-1 y] \neq 1$, we will prove that $n \leq 3$. Let $y = ah$ where $a \in A$, $h \in H$, and suppose $n > 3$. We have $[x, y, y] \in A$ and $n - 2 \geq 2$, so that $[x, n-2 y]$ and $[x, n-2 y, y]$ lie in A . It follows that

$$[x, n-2 y, y^p] = [x, n-2 y, y]^p = 1.$$

Notice that $y^p = a_1 h^p$ with $a_1 \in A$ and $h = h^{\alpha p}$ for some integer α . Thus

$$1 = [x, {}_{n-2}y, y^p] = [x, {}_{n-2}y, a_1 h^p] = [x, {}_{n-2}y, h^p]$$

and

$$1 = [x, {}_{n-2}y, h^{\alpha p}] = [x, {}_{n-2}y, h].$$

But then

$$1 = [x, {}_{n-2}y, ah] = [x, {}_{n-2}y, y],$$

that is a contradiction. \square

We need one more preliminary lemma before proving our main result.

Lemma 3.4. *Let $x = ah$, $y = bk$ where $a, b \in A$ and $h, k \in H$. If $[x, y] = [h, k]$, then*

$$[a, k^{-1}] = [b, h^{-1}], \quad [a, h] = 1 \quad \text{and} \quad [b, k] = 1,$$

with $a \neq 1$ and $b \neq 1$.

Proof. We have

$$[h, k] = [x, y] = [ah, bk] = [a, k]^h [h, k] [h, b]^k.$$

This implies $[a, k]^h [h, b]^{h^{-1}kh} = 1$ and then $[a, k]^{k^{-1}} = [b, h]^{h^{-1}}$, or equivalently $[a, k^{-1}] = [b, h^{-1}]$.

As $G \neq H$ we must have that one of a, b is nontrivial. Without loss of generality, we may assume $a \neq 1$. Clearly, $[y, x, x] \in A$ and $1 \neq [y, x] \in Z(H)$. Then $1 = [y, x, x, x] = [y, x, x, h]$ and

$$[x, h]^{[y, x]} = [x^{[y, x]}, h] = [[y, x, x]^{-1} x, h] = [x, h].$$

Thus $1 = [x, h, [y, x]] = [[a, h]^h, [y, x]] = [a, h, [y, x]]^h$, so $[a, h]$ is fixed by $[y, x]$. By Lemma 3.2 it follows that $[a, h] = 1$. As a consequence $b \neq 1$, otherwise $[a, k] = 1$ and $[a, [h, k]] = 1$. Arguing as for a , we then conclude that $[b, k] = 1$. \square

Theorem 3.5. *Let G be any abelian-by-(nilpotent of class 2) group generated by two mutually Engel elements x and y . Then G is nilpotent.*

Proof. Put $x = ah$, $y = bk$ where $a, b \in A$ and $h, k \in H$. Then $[x, y] = [h, k]c$ with $[h, k] \in Z(H)$ and for some $c \in A$. By Lemma 3.3 we know that

$$[x, y, y], [y, x, x] \in A \quad \text{and} \quad [x, y, y, y] = [y, x, x, x] = 1.$$

This gives

$$[x, y, y^p] = 1 \quad \text{and} \quad [x, y, x^p] = 1.$$

If $\langle x^p, y^p \rangle \cap A \neq 1$, the commutator $[x, y]$ commutes with a nontrivial element of A . Thus $[h, k] = 1$ by Lemma 3.2, and $[x, y] \in A$. Indeed $G' \leq A$ and G is nilpotent by Lemma 2.2. Therefore $A \cap \langle x^p, y^p \rangle = 1$

and we may assume $H = \langle x^p, y^p \rangle$, since $\langle h, k \rangle \simeq \langle h, k \rangle A/A = \langle x^p, y^p \rangle A/A \simeq \langle x^p, y^p \rangle$. It follows that c must be trivial. Then $1 \neq [x, y] = [h, k]$ and, by Lemma 3.4, we have

$$[a, k^{-1}] = [b, h^{-1}] \quad \text{and} \quad [a, h] = 1,$$

with $a \neq 1$.

Now, the Hall–Witt identity

$$[a, k^{-1}, h]^k [k, h^{-1}, a]^h [h, a^{-1}, k]^a = 1$$

implies

$$[a, k^{-1}, h]^k = [k, h^{-1}, a]^{-h}.$$

But $[k, h^{-1}, a]$ commutes with h , so $[[a, k^{-1}], h] = [[b, h^{-1}], h]$ commutes with $h^{k^{-1}}$. Then $[b, h, h]^{h^{-1}} = [b, h^{-1}, h]^{-1}$ commutes with $h^{k^{-1}}$, in particular $[b, h, h]$ commutes with $h^{k^{-1}h} = h^{k^{-1}}$. Hence $[b, h, h] \in C_A(h^{k^{-1}})$.

Let $B = C_A(h^{k^{-1}})$ and $K = \langle h, h^{k^{-1}} \rangle A$. Then $B \triangleleft K$ because $[h^{-1}, k] \in Z(H)$. If q is the order of h , we also have $B = [b, h^q]B = [b, h]^q B$. However, the order of $[b, h]$ is coprime with q , thus $[b, h] \in B$ and $[a, k^{-1}] = [b, h^{-1}] \in B$. So $[a, k^{-1}, h^{k^{-1}}] = 1$ and $[k, a, h] = 1$. Finally, from

$$[a, k, h]^{k^{-1}} [k^{-1}, h^{-1}, a]^h [h, a^{-1}, k^{-1}]^a = 1,$$

it follows $[k, h, a] = 1$ which contradicts Lemma 3.2. \square

When x and y are mutually 3-Engel elements, we get thanks to GAP that the group G in Theorem 3.5 is nilpotent of class at most 8. In fact, using the ANU NILPOTENT QUOTIENT package of W. Nickel (see [6]), we can construct the largest nilpotent quotient of G which is isomorphic to G .

Also notice that the theorem above can be extended to a group generated by more than two mutually Engel elements, provided that none of the generators has order divisible by 2 or 3.

Corollary 3.6. *Let S be a finite Engel set and assume that $G = \langle S \rangle$ is abelian-by-(nilpotent of class 2). If every element in S has order that is not divisible by 2 or 3, then G is nilpotent.*

Proof. For all $x, y \in S$, the subgroup $\langle x, y \rangle$ is nilpotent by Theorem 3.5. Thus the claim follows by Proposition 1 of [3]. \square

Using Theorem 3.5, we now present a criterion for nilpotency of a finite soluble group depending on information on its Sylow subgroups.

Corollary 3.7. *Let $G = \langle x, y \rangle$ be a finite soluble group with x and y mutually Engel elements. If all Sylow subgroups of G are nilpotent of class ≤ 2 , then G is nilpotent.*

Proof. Let G be a counterexample of least possible order and let N be a minimal normal subgroup of G . Then G/N is nilpotent by minimality. Moreover, all Sylow subgroups of G/N are nilpotent of class ≤ 2 , so that G/N is nilpotent of class ≤ 2 . On the other hand N is abelian, because G is soluble. Hence G is abelian-by-(nilpotent of class 2) and thus nilpotent by Theorem 3.5: a contradiction. \square

4. Examples

Our first example shows that, for any positive integer n , there exists a group generated by two mutually n -Engel elements which are not $(n - 1)$ -Engel. This is the dihedral group of order 2^{n+1} .

Example 4.1. Let us consider $G = \langle x, y \mid x^2 = y^2 = 1, (xy)^{2^n} = 1 \rangle$. If $z = xy$, then $[x, y] = z^2$ and $z^x = z^y = z^{-1}$. For any $k \geq 1$, we get by induction $[x, {}_k y] = z^{-(2)^k}$ and $[y, {}_k x] = z^{(2)^k}$. Therefore $[x, {}_{n-1} y], [y, {}_{n-1} x] \neq 1$ whereas $[x, {}_n y] = [y, {}_n x] = 1$. Thus x and y are mutually n -Engel elements. Furthermore, we have $G = \langle y, z \rangle$ and $[y, {}_2 z] = [z, {}_2 y] = 1$, so even y and z are mutually n -Engel elements.

The following is an example obtained by GAP of a non-nilpotent group G generated by two mutually 3-Engel elements, for which $\gamma_4(G)$ is abelian.

Example 4.2. Let $W = S_3 \text{ wr } \mathbb{Z}_4$ be the wreath product of the symmetric group of degree 3 with the cyclic group of order 4. Thus, $|W| = 2^6 3^4$. We have $W = Q \rtimes N$, where N is an elementary abelian group of order 3^4 and $Q \simeq \mathbb{Z}_2 \text{ wr } \mathbb{Z}_4$. Moreover, Q is nilpotent of class 4. With the notation of GAP, let $\text{ele} := \text{Elements}(W)$, $x := \text{ele}[4]$ and $y := \text{ele}[228]$. Then $o(x) = o(y) = 4$ and $[x, {}_3 y] = [y, {}_3 x] = 1$. As $o(xy^{-1}) = 6$, the subgroup $G = \langle x, y \rangle$ of W is not nilpotent. Finally, one can check that $G = S \rtimes N$ where S is a group of order 2^5 which is nilpotent of class 3.

For completeness reasons, we point out that $W = \langle x, y' \rangle$ with $y' := \text{ele}[509]$ of order 6 and $[x, {}_3 y'] = [y', {}_4 x] = 1$. Hence, W is a generated by two mutually 4-Engel elements and is not nilpotent.

Notice that some more non-nilpotent groups generated by two mutually n -Engel elements can be found in the literature. For instance, Corollary 0.2 of [2] says that, for $n \geq 26$, the group $G(n) = \langle x, y \mid [x, {}_n y] = [y, {}_n x] = 1 \rangle$ is not nilpotent. We can improve upon this. In fact, we show below that $G(4)$ is not soluble, because it has a quotient isomorphic to the symmetric group S_8 .

Example 4.3. Let S_8 be the symmetric group of degree 8, and let $x = (1, 2, 3, 4)(5, 6)(7, 8)$ and $y = (1, 3)(2, 5)(4, 7, 6, 8)$. Put $x_n = [x, {}_n y]$ and $y_n = [y, {}_n x]$, for any $n \geq 0$ (so $x_0 = x, y_0 = y$). We then have

$$\begin{aligned} x_1 &= (1, 6)(2, 7)(3, 8)(4, 5), & y_1 &= (1, 6)(2, 7)(3, 8)(4, 5), \\ x_2 &= (1, 5)(4, 6), & y_2 &= (2, 4)(5, 7), \\ x_3 &= (1, 5)(2, 3)(4, 6)(7, 8), & y_3 &= (1, 3)(2, 4)(5, 7)(6, 8), \\ x_4 &= (1), & y_4 &= (1). \end{aligned}$$

In particular, $[x, {}_4 y] = [y, {}_4 x] = 1$. However x and y are of order 4, but $xy = (1, 5, 8, 6, 2)(3, 7, 4)$ is of order 15. The subgroup $G = \langle x, y \rangle$ is thus non-nilpotent. Using GAP, it is easy to see that $|G| = 8!$, so $G = S_8$.

We now discuss the situation of Example 4.3. Clearly, if the pair $(x, y) \in G \times G$ satisfies the condition

$$[x, {}_4 y] = [y, {}_4 x] = 1, \tag{*}$$

then all conjugates (x^g, y^g) , for all $g \in G$, satisfy the analogous property. Therefore it is sensible to consider classes under conjugation.

It turns out by GAP that the only pairs $(x, y) \in G \times G$ satisfying $(*)$, that generate a non-nilpotent subgroup of G , have both x and y with cycle structure of type $(4)(2)(2)$ and, in addition, x, y necessarily generate the whole group G . Without loss of generality, we may assume $x = (1, 2, 3, 4)(5, 6)(7, 8)$.

For this x , we calculated all solutions $y \in G$ of $(*)$. We ended up with precisely 64 solutions. Of course, the group $C_G(x)$ of order 32 acts on the pairs of solutions. The stabilizer of this action is $C_G(x) \cap C_G(y) = Z(G) = 1$, so that we obtain two essentially distinct solutions.

Other examples? Suppose that in some finite group we can find Sylow p -subgroups P , Q and elements $x \in P$, $y \in Q$ such that $[x, y] \in P \cap Q$. Let c be the nilpotency class of P . Thus, $[x, {}_{c+1}y] = [y, {}_{c+1}x] = 1$. If xy is not a p -element, then $\langle x, y \rangle$ is non-nilpotent. The groups in Examples 4.2 and 4.3 are of this form for $p = 2$. It would be very interesting to find analogous examples for all odd primes p .

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