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### Surrogate Light Chain Expression Is Required to Establish Immunoglobulin Heavy Chain Allelic Exclusion during Early B Cell Development

Dirk Löffert, Andreas Ehlich, Werner Müller, and Klaus Rajewsky Institute for Genetics University of Cologne Weyertal 121 D-50931 Cologne Federal Republic of Germany

#### Summary

Allelic exclusion at the IgH locus was examined in B lineage cells of wild-type mice and mice unable to express the surrogate light chain molecule λ5 using a single-cell PCR approach. By analyzing B precursor cells containing two  $V_H D_H J_H$  rearrangements, we found that in wild-type animals, cells are allelically excluded as soon as  $\mu$  chains are expressed. Furthermore, we provide evidence that in cells expressing D<sub>µ</sub> proteins  $V_{H} \rightarrow D_{H}J_{H}$  rearrangement is inhibited. In contrast, in the absence of λ5 protein, B precursor cells were allelically "included," indicating that allelic exclusion at the IgH locus requires expression of the pre-B cell receptor either containing a µ chain or a Dµ chain. However, although  $\mu$  chain double-producing B precursor cells are generated in  $\lambda$ 5-deficient mice, such cells were not detected among surface immunoglobulin positive B cells.

#### Introduction

B cell antigen receptor genes are assembled by a program of somatic gene rearrangements from variable region genes (V<sub>H</sub>), diversity genes (D<sub>H</sub>), and joining elements (J<sub>H</sub>) at the immunoglobulin heavy chain (IgH) loci and from V<sub>L</sub> and J<sub>L</sub> genes at the immunoglobulin light chain (IgL) loci, respectively (reviewed by Tonegawa, 1983). At the IgH locus, first D<sub>H</sub>J<sub>H</sub> rearrangements are assembled on both chromosomes, and this is followed by V<sub>H</sub> $\rightarrow$ D<sub>H</sub>J<sub>H</sub> joining (Alt et al., 1984). If the V<sub>H</sub> $\rightarrow$ D<sub>H</sub>J<sub>H</sub> rearrangement creates an open reading frame, i.e., is productive, a heavy chain of class  $\mu$  is expressed. Although B lineage cells can undergo V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements on both IgH loci, only one of both IgH alleles is expressed by a B cell, i.e., B cells are allelically excluded.

Several models have been proposed to account for allelic exclusion. The stochastic model suggests that allelic exclusion of IgH loci is due to a low probability to yield two productive  $V_H D_H J_H$  rearrangements in a cell within a given time period (Coleclough et al., 1981; Walfield et al., 1981; Langman and Cohn, 1987; Cohn and Langman, 1990). In a second model, Wabl and Steinberg (1982) proposed that expression of both IgH chain loci is toxic for the respective cell and leads to the death of  $\mu$  chain double producers. While these models assume that  $V_H \rightarrow D_H J_H$  joining proceeds independently at both alleles, Alt et al. (1981, 1984) postulated that  $\mu$  chain expression from a productive  $V_H D_H J_H$  rearrangement

prevents further IgH gene recombination (Figure 1). This ordered model of rearrangement, initially based on work using transformed B cell precursors, is supported by studies with  $\mu$  chain transgenic and gene knockout mice. First, µ chain transgenic mice show greatly decreased levels of  $V_H D_H J_H$  rearrangements at the endogenous IgH loci, suggesting an inhibitory effect of the transgene-encoded  $\mu$  chain on endogenous V<sub>H</sub> $\rightarrow$ D<sub>H</sub>J<sub>H</sub> joining (Rusconi and Köhler, 1985; Weaver et al., 1985; Manz et al., 1988; Nussenzweig et al., 1988). Second, B cells of mice carrying a disrupted membrane exon of the  $\mu$  chain on one IgH allele ( $\mu$ MT mice, Kitamura et al., 1991) show allelic inclusion of the targeted IgH chain locus, suggesting that expression of a membranebound  $\mu$  chain generates a signal leading to inhibition of  $V_H \rightarrow D_H J_H$  recombination at the IgH locus (Kitamura and Rajewsky, 1992).

With respect to the B cell precursor population, the ordered model would predict that cells are allelically excluded already at very early developmental stages; namely, as soon as they express  $\mu$  chains. Our previous analysis (Ehlich et al., 1994) showed that CD43<sup>-</sup> precursors are indeed allelically excluded; however, that study did not address this question for cells at the preceding CD43<sup>+</sup> stage.

By studying transformed pre-B cell lines, it was discovered that the  $\mu$  chain associates with a surrogate L chain encoded by the two genes *VpreB* and  $\lambda 5$  (Pillai and Baltimore, 1987; Karasuyama et al., 1990; Takemori et al., 1990; Nishimoto et al., 1991; Tsubata and Reth, 1990). This so-called pre-B cell receptor can be expressed on the cell surface (Tsubata and Reth, 1990; Lassoued et al., 1993; Karasuyama et al., 1993; Winkler et al., 1995) and is active in signal transduction (Tsubata et al., 1992). This has led to the hypothesis that  $\mu$  chainmediated allelic exclusion requires the assembly of the  $\mu$  chain and the surrogate L chain into a pre-B cell receptor complex (Figure 1; Rolink and Melchers, 1991; Rajewsky, 1992; Bauer and Scheuermann, 1993).

Early pre-B cells can also express a second form of a pre-B cell receptor that contains a truncated  $\mu$  chain lacking the V<sub>H</sub> domain (Reth and Alt, 1984). This so-called  $D_{\mu}$  protein is expressed from  $D_{\mu}J_{\mu}$  rearrangements in one of the three possible  $D_H$  reading frames (reading frame [RF] 2 in the nomenclature of Ichihara et al., 1989) and associates with  $\lambda 5$  and VpreB as conventional  $\mu$ chains do (Tsubata et al., 1991). Interestingly, D<sub>H</sub> elements joined in the D<sub>µ</sub> protein encoding RF are rarely found in  $D_H J_H$  and  $V_H D_H J_H$  complexes of surface immunoglobulin (slg)<sup>-</sup> pre-B cells and peripheral B cells (Meek, 1990; Gu et al., 1991a; Kaartinen and Mäkelä, 1985). Whereas most productive  $V_H D_H J_H$  joints of peripheral B cells contain D<sub>H</sub> genes joined to J<sub>H</sub> segments in RF1, RF1 and RF3 are equally utilized in nonproductive  $V_H D_H J_H$ joints. This underrepresentation of RF3 in productive joints is due to stop codons present in most D<sub>H</sub> elements if read in that frame (Kaartinen and Mäkelä, 1985; Gu et al., 1991a). B cells of heterozygous µMT mice (Kitamura et al., 1991) show no counterselection against RF2 in  $D_H J_H$  joints on the targeted H chain allele (Gu et al.,



Figure 1. Patterns of IgH Gene Rearrangements during B Cell Development

According to the ordered model, expression of a D<sub>µ</sub> or conventional µ chain inhibits  $V_{\mu} \rightarrow D_{\mu}J_{\mu}$  joining, leading to the suppression of pathways marked by a cross. Asterisk, pre-B cell receptor, containing a D<sub>µ</sub> (left) or µ (right) chain. IgL chain gene rearrangement is not considered in this figure.

1991a). From this result, Gu and coworkers (1991a) hypothesized that cells expressing membrane-bound Dµ proteins are impeded in further development because the Dµ protein, similar to a conventional µ chain, prevents V<sub>H</sub>→D<sub>H</sub>J<sub>H</sub> recombination (Figure 1), as had been earlier suggested in a more general way by Reth et al. (1985) and Lennon and Perry (1985). Thus, Dµ protein expressing B cell progenitors would provide a model system to study allelic exclusion at the IgH locus.

Mutant mice lacking either a (membrane-bound)  $\mu$ chain or the  $\lambda 5$  component of the pre-B cell receptor show a severe block in early B cell development, indicating that this receptor complex is essential in guiding early differentiation steps in B cell development (reviewed by Löffert et al., 1994). If exclusion of the second IgH allele also is achieved through pre-B cell receptor signaling, one would predict that allelic exclusion is not established in precursor B cells lacking pre-B cell receptor expression. Surprisingly, however, Kitamura et al. (1992) found that peripheral B cells of  $\lambda$ 5-deficient mice are allelically excluded. Thus, either the hypothesis of pre-B cell receptor-mediated allelic exclusion is incorrect, or, in contrast with the situation in wild-type mice, double-producing precursor cells are indeed generated in  $\lambda$ 5-deficient mice but do not enter the pool of mature B cells.

We have addressed this issue of allelic exclusion in the B cell precursor compartment by analyzing single cells for their IgH gene rearrangements. Furthermore, to answer the question whether the second form of the pre-B cell receptor (containing a D $\mu$  protein) is indeed capable of signaling allelic exclusion, we followed the usage of the D $\mu$  protein encoding RF at various stages of B cell development by the analysis of D<sub>H</sub>J<sub>H</sub> and V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements isolated by polymerase chain reaction (PCR) from B lineage cell populations. By using wildtype and  $\lambda$ 5-deficient mice in these analyses, we were able to distinguish between the role of the  $\mu$  chain (or D $\mu$  protein) and its respective pre-B cell receptor for allelic exclusion at the IgH locus.

#### Results

#### Early B Progenitor Cells Are Allelically Excluded Already at the CD43<sup>+</sup> Stage

The analysis of IgH gene rearrangements at the level of individual cells (Ehlich et al., 1994; Ehlich and Küppers, 1995) allows us to address directly the question whether allelic exclusion at the IgH locus is established by  $\mu$  chain expression. Using multicolor flow cytometry, single cells were isolated from the precursor compartment in which IgH gene rearrangements become first detectable. In the flow cytometric system developed by Hardy

et al. (1991), slg<sup>-</sup> B cell precursors in mouse bone marrow are resolved into CD43 expressing B progenitor cells and CD43<sup>-</sup> pre-B cells. CD43<sup>+</sup> B cell progenitors can be further dissected into fractions A, B, C, and C' according to the expression of cell surface markers CD45R/B220, CD43/S7, HSA, and BP-1 (Hardy et al., 1991). Whereas at the CD43<sup>-</sup> pre-B cell stage all cells already contain productive  $V_H D_H J_H$  joints, the CD43<sup>+</sup> compartment comprises cells undergoing  $D_H \rightarrow J_H$  and  $V_H \rightarrow D_H J_H$  rearrangements (Ehlich et al., 1994). It has been suggested that CD43<sup>-</sup> (or CD43<sup>10</sup>) cells undergo rapid proliferation before they differentiate into small resting pre-B cells (Karasuyama et al., 1993). Therefore, to exclude the possibility of counterselection of  $\mu$  chain double producers during such a proliferative phase, we isolated single progenitor cells that are still at the CD43<sup>+</sup> stage (cells of fraction C [B220<sup>+</sup>CD43<sup>+</sup>HSA<sup>+</sup>BP-1<sup>+</sup>] where cells have been detected that contain  $V_H D_H J_H$  rearrangements at both chromosomes; Ehlich et al., 1994). Cells carrying productive  $V_H D_H J_H$  rearrangements were enriched by isolating single cytoplasmic  $\mu^+$  cells. From these cells,  $V_{\scriptscriptstyle H} D_{\scriptscriptstyle H} J_{\scriptscriptstyle H}$  and  $D_{\scriptscriptstyle H} J_{\scriptscriptstyle H}$  joints were amplified by PCR and sequenced. The sequences representing rearrangements of those cells carrying two  $V_H D_H J_H$  joints are shown in Table 1.

Assuming that the distribution of productive and nonproductive  $V_H D_H J_H$  joints is random in B cell progenitors, 14% of the  $\mu^+$  cells containing two  $V_H D_H J_H$  rearrangements would be expected to express  $\mu$  chains from both IgH loci (taking into account that one-third of the joints carry the  $V_H$  gene sequence in frame with the  $J_H$  element, and that approximately 80% of the  $D_H$  genes encode stop codons when joined to a  $J_H$  element in RF3 [Gu et al., 1991a]; note that the predicted number of double producers might vary between 11%–14% depending on whether RF2 counterselection is taken into consideration). In contrast with this expectation, all 21 B progenitor cells that contained two  $V_H D_H J_H$  rearrangements carried only one productive  $V_H D_H J_H$  joint (Table 2).

It thus appears that allelic exclusion at the IgH locus is established early in B cell development, as soon as  $\mu$  chains are expressed.

#### Allelic Inclusion at the IgH Locus in Early B Progenitor Cells of Mice Unable to Express λ5 Protein

To investigate whether allelic exclusion at the IgH locus can be established by  $\mu$  chains alone or whether this process requires also the presence of the surrogate L chain, we examined V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements in B cell progenitors of  $\lambda$ 5-deficient mice isolated from the same cellular fraction that was analyzed in wild-type mice (cytoplasmic  $\mu^+$  cells of fraction C).

Table 1. Sequer	Ices of V <sub>H</sub> D <sub>H</sub> J <sub>H</sub> Junctional Region	is in B Cell Precursors of A5T Mice	and C57BL/6 Mice as well as	Splenic B Ce	lls of $\lambda 5T$ Mice				
3'V <sub>H</sub>	P, N	D <sub>H</sub>	P, N		۴	۲	D <sub>H</sub>	P <sub>H</sub> /J <sub>H</sub>	+ RF
Bcf4 1. TGT GCA AGA 2. TGT GCA AGA	ATC GG G ACG	C TAC GGT AGT AGC TAC ATT ACT AGG GTA GTA GCT AC	G G TGG C	U H U U	TTT GCT TAC TGG ATG GAC TAC TGG	VH1 VH12	DF116.1 DF116.1	5 13	- 0 + 1
BCf5 1. TGT GCA AGA 2. TGT GC			TGG GGA GGG CTC CT TC GAA TAG CAA G	C TAT GCT CC TGG	ATG GAC TAC TGG TTT GCT TAC TGG	VH9 VH8	~ ~	4ر 13	~ ~ + ∣
BCI30 1. TGT GCA 2. TGT GCA AGA	0 000 400 00	AT TAC TAC GGT AGT AG A CTA TGA TTA CG	G T CCT G	CC TGG	TTT GAC TAC TGG TTT GCT TAC TGG	VH1 VH12	DF116.1 DSP2.2	2L 8L	+ 1
BCT05 1. TGT NCA AGA 2. TGT GCA AGA	CC TCT TCT	GGT AG CTG GGA C	G GG GA GG	T TAC TAT GCT	ATG GAC TAC TGG C TAC TGG	VH1 VH5	DF116.1 DQ52	4L 2L	<del>-</del> + 1
BCf70 1. TGT GCA AGA 2. TGT GCA AGA	TGG TTA GGA A	TGA TTA CGA C TC TAC TAT GAT TAC	GT CC CC	U	C TAC TGG TTT GAC TAC TGG	VH1 VH9	DSP2.2 DSP2.2	21 21	+ ∞ ←
BCT82 1. TGT G 2. TGT GCA AG	TG AGA ACG G GT	AC TAC GGT AG GAT TAC	C CC TTC	G TAC TAT GCT	TTT GCT TAC TGG ATG GAC TAC TGG	VH6 VH1	DF116.1 DSP2.2	ر 14	+ -
BCI85 1. TGT GCA AGA 2. TGT GCA AGA	GA	TAT GGT TA	GGG GAC CTT TCG AAC CAG G A C	AC	TTT GAC TAC TGG AC TAC TGG	VH1 VH10	? DSP2.3,4,6	21 21	+ ∣
BCP22 1. TGT NCA AGA 2. TGT GCA AGA		TAT GAT TAC G		<u>AC</u> TAT GCT	TT GCT TAC TGG ATG GAC TAC TGG	1H1 VH1	? DSP2.2	5L 14	- + - +
BCI98 1. TGT NC 2. TGT ACA AGA			CA AAA CNC TAC CCT AAG G CCC	AC TAT GCT	ATG GAC TAC TGG TAC TGG	VH2 VH5	~ ~	4ر 12	~ ~ ⊢ +
BCT114 1. TGT GCA 2. TGT GCA AGA	н	TA CGG TAG TAG CT	C C CAG A	AC TGG TAC	TT GAC TAC TGG TTC GAT GTC TGG	VH1 VH5	DF116.1 ?	21 11	ε ε. +
BCT129 1. TGT GCA AG 2. TGT GCA AGA	G А С АТА АС	АТ ТАС ТАС GGT АGT АGC ТАС Т СТА ТGA TGG TT	TCT G		TTT GCT TAC TGG GAC TGG	VH1 VH5	DF116.1 DSP2.9	5L 2L	+ 1 + 1
BCT138 1. TGT GCA AG 2. TGT GCA AGA	T GGG	GGT AAC TAC	C G GA		AA GGG ACT T TAC TGG	VH1 VH3	? DSP2.1,5,7,8	در اع	+
Bcf2.6 1. TGT GCA AGA 2. TGT GCA AGA	GAC CC	t tat tac tac get agt age $\frac{1}{100}$	CA TGT GGG GTT TTA C		TGG TT GAC TAC TGG	VH1 VH5	DF116.1	2 12	+
DUCLED 1. TGT GCC AGA 2. TGT GCA AGA DOF0 10	A GAA GGG GTA A	TC TAC TAT	TCT GCT A	CC TGG	TTT GCT TAC TGG TTT GAC TAC TGG	VH2 VH4	? DSP2.1–5,10	5L 2L	+ I
1. TGT GCA 2. TGT GCA AGA	gga gac ag gag g	A TTA CTA CGG TAG TAG TC TAC TAT G	AGG TTT C CC C	AT GCT GG TAC	ATG GAC TAC TGG TTC GAT GTC TGG	VH12 VH5	DF116.1 DSP2.1-5	4 1	- + 1
BCI2.26 1. TGT GCA AGA 2. TGT ATG AGA Def3 43		T TAC TNC GGT AGT AG TAT GGT AGT AGC	ц Ц	AC TAC TAC TGG TAC	TTT GAC TAC TGG TTC GAT GTC TGG	VH1 VH11	DF116.1 DF116.1	27 17	- + L (j)
1. TGT GCA AG 2. TGT GCA AGA	T T	TGG GA GAT GGT TAC TAC	G GGG TGG TTA AAG GAG G G	AT GCT AC TAT GCT	ATG GAC TAC TGG ATG GAC TAC TGG	VH1 VH4	DQ52 DSP2.9	4ر 14	+ 1
									continued)

Table 1. (contir	nued)								
3'V <sub>H</sub>	P, N	D <sub>H</sub>	P, N		٦H	>	D <sub>H</sub>	<sup>μ</sup> // <sup>μ</sup>	+I RF
Bcf2.97 1. TGT GCA AGA 2. TGT GCA AGA 2. TGT GCA AGA	TCC A TTT	TC TAC TAT GAT TAC GAC T GGT AAC TAC	666 A CCT C	CT	TT GCT TAC TGG ATG GAC TAC TGG	VH1 VH3	DSP2.2 DSP2.1,5,7,8	13 14	+
BCI2.99 1. TGT GCA AGA 2. TGT GCA AGA	TCC A CC CC	AC TAT GAT TAC GAC T AGT AAC TAC	GTG GGG TCA GGG	GCT	ATG GAC TAC TGG GAC TAC TGG	VH3 VH5	DSP2.2 DSP2.x	4L 12	+
λ5cf4.4 1. NGN GCC AGA 2. TGT GCA AGA	. AGG G	GA TGG TTA CTA C T ACT ACG GTA GTA NC	GC CCC ACG C G	GCT AC TGG TAC	ANG GAC TAC TGG TTC GAT GTC TGG	VH2 VH9	DSP2.9 DF116.1	4L 11	о о + +
А5сf4.10 1. ТСТ 2.	AGA G G G G G	СТ АГС СТА АСТ АС ССТ АСТ АСТ АС	C TTT CAG A	TAT GCT	ATG GAC TAC TGG AC TAC TGG	VH6 VH1	DSP2.1,5,7 DF116.1	4L 12	+
A5ct28 1. TGT NCA AG 2. TGT GCC AGA	6 G 6 G	GG TAA CNA C TA TTA CTA CG	GA C CT	C TAC	TTT GCT TAC TGG TTT GAC TAC TGG	VH1 VH2	DSP2.1,5,7,8 DF116.1	5 13	κ κ + ⊢
ACT33 1. TGT NCA AG 2. TGT GCA	G AC TA A	Τ ΑΤΤ ΑCT ACG GTA GTA CT ATG ATG GTT ACT AC	TCT G CCT G	9 9	GGC TTT GCT TAC TGG	VH10 VH1	DF116.1 DSP2.9	13 13	<b>7 7</b> + 1
Abct4/ 1. TGT GCC A 2. TGT TCA ANA Meefed	AA CCC CG CAG GGN	C TGG GA CTA TGG TAA CT	G G T TTT	AC TAT GCT	ATG GAC TAC TGG TTT GAC TAC TGG	VH2 VH5	DQ52 DSP2.1,5,7	4L J2	<b>ب</b> + ا
1. TGT GCA AGA 2. TGT GCA AGA 2. TGT GCA AGA	. GGG GGG G	AC TAT GGT AAC TA GGT AGT ANC	ТТ СС	AC TAC	TTT GAC TAC TGG C TGG	VH5 VH1	DSP2.1,5,7 DF116.1	12 12	+
A3C102.1 2. TGT GCC A 2. TG	AA GGG A	TC TAC TAT GGT AAC TAC ATG GTT ACT AC	ს ც	AC	TTT GAC TAC TGG TTT GAC TAC TGG	VH2 VH5	DSP2.1,5 DSP2.9	72 72	+
ABCI/0 1. TGT GC 2. TGT GCA	T CAA ATC NCC GAT CTG A C CTC	TC TAT GAT GGT CTA TGA TTA C	TTC TC TT TTT T	G AT TAC TAT GCT	TTT GCT TAC TGG ATG GAC TAC TGG	VH9 VH5	DSP2.9 DSLP2.2	13 14	+ I
1. TGT GCA AGA 2. TGT GCG AGA	<pre>L CAT ATA TGT CCC C L T GGG G</pre>	CT ACT ATA GTA ACN AC TC NAC TAT GAT TA	G TGG G A N	GG AC	TTT GCT TAC TGG TTT GAC TAC TGG	VH5 VH9	DSP2.x DSP2.2	13 12	+   +
Abcryz 1. TGT GCA A 2. TGT NCA AG	CC ACA G GGG GGA G	TCT ACT ATG ATT AGG AG CT GGG AC	5 U	AC TGG TAC AT GCT	TTC GAT GTC TGG ATG GAC TAC TGG	VH1 VH1	DSP2.2 DQ52	11 4	<b>7</b> + +
A5ct97 1. TGT GC 2. TGT GC	c aaa aac gg Tc gaa	C GGT AGT AGC TAG CT	CCC T T AC	AT TAC TAT GCT G	ATG GAC TAC TGG TTT GCT TAC TGG	VH2 VH8	DF116.1 DF116.1	4ر 13	+ I
ADCI98 1. TGT NCA AGA 2. TGT GCA AG	A TCC CA G CTA A	T ATG ATG TT TAT TAC TAC GGT AGT AGC TAC	ATA TTT T	TAC CC TGG	TTT GAC TAC TGG TTT GCT TAC TGG	VH1 VH5	DSP2.9 DF116.1	J2 J3	<b>-</b> 7
A3CH 2/ 1. TGT 2. TGT GCG AGA	TCC AGN CCC AN	T TAC CAC GGT AGT AG G ATT ACG	G AAC CNT GGA CGG C		TTT NCT TAC TGG TT GCT TAC TGG	VH5 VH9	DF116.1 DSP2.2	J3 J3	+ +
ABCHIDS 1. TGT GCA AGA 2. TGT GCC AG	AAG GGC CTT A	TT TAT TAC TAC GGT AGT AGC TAC	G TT CCC TCT TCT CTT	GG TAC	TTC GAT GTC TGG TAC TGG	VH1 VH2	DF116.1 ?	17 7	+
1. TGT GC 2. TGT GC	TC GAA GGA AGA AGG T T CGA ATC CCA GGA CAG CTC AGG	TG ATG GTT ACT A CTA TG	AA AGG	υ	GCT TAC TGG TTT GAC TAC TGG	VH8 VH8	DSP2.9 DSP2.1-7	13 12	<b>3 7</b>
									(continue

Table 1. (contir	(pen)									
3'V <sub>H</sub>	P, N	D <sub>H</sub>	P, N	۳		V <sub>H</sub> D	Ŧ	D <sub>H</sub> /J <sub>H</sub>	+ FF	
λ5cf158 1. TGT ACA AGA 2. TGT GCG AGA	AAG GGG GGG GAG TIT TIG GG	TTA CTA T CTA TGG TTA CG	GAC GGC ACT G G G	GG TAC TT' TGG TAC TT'	C GAT GTC TGG	CH1 CH3 D	F116;DSP2.9 SP2.3,4	55	ოო + +	
λ5sp11 1. TGT NCA AGA 2. TGT GCA AGA	GG CAT G	ACT ACG GTA GTA GC TG ATT ACG AC	ი ი ი	AC TAC TT' AT GCT AT'	r gac tac tgg 3 gac tac tgg	VH1 D VH5 D	F116.1 SP2.2	12 14	<b>7 7</b> + 1	
N5sp12 1. TGT GCA AGA 2. TGT	ACC ATC C	CTA CGG TAG TAG CTA C TC TAC TAT	TG T AAC CG T TAO	AC TAC TT	F GAC TAC TGG	VH1 D VH6 D	F116.1 SP2.1–5,10	J2 J4	+ + -	
N5sp3.1 1. TGT GCA AGA 2. TGT	gga Agt agg aag ga	ATG ATT AC A TAG TAA CTA C	С Т ТАА АТТАС	CT AT	GAC TAC TGG	VH1 D VH13 D	SP2.2 SP2.x	14 14	3 7 1 +	
A5sp3.2 1. TGT GCA AGA 2. TGT GCA AGA	GAG AGT CAT C	TAT AGT A A TGA TGG TTA CT	с т	TGG TAC TT AC TAC TT	C GAT GTC NGG	VH3 D VH5 D	SP2.x SP2.9	1L 2L	э Э н н	
Absp4.2 1. TGT GCA AGA 2. TGT NCA AGN	666 CC 667 CC	C TAC GGT AGT A CTA TGG	F4	CC TGG TT' GCT AT'	F GCT TAC TGG	VH1 D VH9 D	F116.1 SP2.1,3-7	ر 14	- + +	
1. TGT NCA A 2. TGT		AC TAT AGT AAC	CT G <u>TAC</u>	GG TT' TAT GCT AT'	r GCT TAC TGG	VH1 ? VH10 D	SP2.x	ر 14	<ul><li>← (Ξ)</li></ul>	
1. TGT GCA AGA 2. TGT GCC AGA	GA GGG G AGC CC	GA TTA CGA C C TAC TAT AGT AAC	AG GG <u>TAC</u>	C TT' TGG TAC TT'	F GAC TAC TGG	VH1 D VH2 D	SP2.2 SP2.x	2L 1	- + -	
A55PD.14 1. TGT NC 2. TGT GCA AGA	C AAA	tac tac get agt ag	<u> </u>	TAT GCT AT	GAC TAC TGG	VH2 D VH5 ?	F116.1	4ر اع	÷ ÷	
1. TGT GCA AGA 2. TGT GCA AGA	AAG GG T AGA TCT C	T ACT ATA GTA ACT CT ACG GT	TT C GTT TCT TTT	TAT GCT AT	5 GAC TAC TGG 5 GAC TAC TGG	VH1 D VH9 D	SP2.x F116.1	4ر 14	0 0 + 1	
Abspect 1. TGT GCA AGA 2. TGT GCA A		TCT ACT ATG GTA A		<u>CT</u> <u>A</u> T	GAC TAC TGG	VH1 D VH7 ?	SP2.11,5	4ر 13	~ ~ +	
ADSPINI 1. TGT NCC A 2. TGT GCA AGA	CCA CC AAA	T AGT AG ACT ATG GTA AC	5 000 00	TAT GCT AT	GAC TAC TGG	VH2 D VH9 D	F116.1 SP2.1,5,7	4ر 14	+ +	
Asspez/ 1. TGT GC 2.	G GGA GG TGC TTG GAG AGA GCA A	GAT GGT TAC TAC АС ТАТ GGT ААС ТАС	GAG GGG	GCT AT	5 GAC TAC TGG	VH1 D VH8 D	SP2.9 SP2.1,5,7	14 14	+	
Cells are designal number. The two segments, summe Lafaille et al., 198 Ichihara et al. (191 elements (Gu et al	ed by a letter denoting the cellular souu $V_{\rm n}D_{\rm H}$ joints of a cell are arbitrarily num rized by Chang et al. (1992), if there wa: ) are listed under P, N. A functional $V_{\rm hl}$ 39), 1gH gene rearrangements that lack , 1990; Feeney, 1990). Such $D_{\rm h}$ i, and V	cce and mouse strain (Bcf: fraction C, C5 nbered 1 and 2. V <sub>4</sub> gene sequences were s homology of at least 5 nt. Nucleotides th D <sub>4</sub> J <sub>1</sub> joint is represented by a plus, and r N nucleotides are predominantly joined t ( <sub>4</sub> D <sub>4</sub> J <sub>1</sub> joints (numbers in parenthesis) were	7BL/6 mice: eq: Asct: fraction C, \label{eq: Ast micu- i identified by comparison with V <sub>ii</sub> gen- hat can be either assigned to D <sub>ii</sub> genes nonfunctional by a minus. RF indicates to J <sub>ii</sub> in RF1 due to the presence of si re omitted from the RF calculations in	e; \delta 55 splenic tes published to the D <sub>H</sub> segment the D <sub>H</sub> reading the text.	B cells, $\lambda 5T$ mic y Kabat et al. (19 s are underlined. J frame that was sequence homolo	e) from 991), D <sub>H</sub> N and P determir ogy at th	which they were isc genes were assigne ' nucleotides (Alt an ned according to th he border of the co	lated, al d to puk d Baltim e nomen mbining	nd a c blishec iore, 19 D <sub>H</sub> and	ode 1 D <sub>H</sub> 982; e of 1 J <sub>H</sub>

Table 2. Numbers of B Lineage Cells Carrying Two $V_H D_H J_H$
Rearrangements Isolated from $\lambda$ 5T Mice and C57BL/6 Wild-
Type Mice

Cells	Mouse strain	VDJ <sup>+</sup> /VDJ <sup>-</sup>	VDJ <sup>+</sup> /VDJ <sup>+</sup>
CD43 <sup>+</sup> $c\mu^+$ pro-B cells (fraction C)	C57BL/6 λ5T/λ5T	21* 11	0 5
Splenic B cells	λ5Τ/λ5Τ	12	0

Data are summarized from Table 1. The absence of double producers from the CD43<sup>+</sup> B cell progenitor compartment of wild-type mice is statistically significant as is shown by a p value below 0.05 (Fischer's exact test). Asterisk, including two cells previously described by Ehlich et al. (1994) and V. Martin and K. R. (unpublished data), respectively.

If the surrogate L chain molecule  $\lambda 5$  is essential for  $\mu$  chain-mediated allelic exclusion, in  $\lambda 5$ -deficient mice about 14% of the  $\mu^+$  cells containing two V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joints should be  $\mu$  chain double producers (see above). Experimentally, we found 5 potential double producers among 16 progenitors that harbored two V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joints (Table 2; Table 1, cells  $\lambda 5$ cf1.4,  $\lambda 5$ cf92,  $\lambda 5$ cf98,  $\lambda 5$ cf127,  $\lambda 5$ cf158).

This finding indicates that allelic exclusion at the IgH locus requires coexpression of a  $\mu$  chain and the surrogate L chain component  $\lambda 5$  in early B progenitor cells. We do not have a good explanation why we found, in the  $\lambda 5$ -deficient mice, more cells bearing two in-frame V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joints than theoretically expected (5 instead of 2). If it were due to statistical variation, this result would have a probability of around 5%.

### slg<sup>+</sup> B Cells of λ5-Deficient Mice

#### Are Allelically Excluded

In contrast with the frequent observation of progenitor B cells in  $\lambda$ 5-deficient mice containing productive V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements at both IgH alleles, Kitamura et al. (1992) did not detect double-producing mature B cells by cytoplasmic staining of lipopolysaccharide-activated splenic B cells for the  $\mu$  chain allotype in that mouse mutant. Since it cannot be excluded that this system selects against double producers, we directly analyzed ex vivo V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements of single B220<sup>+</sup>sIgM<sup>+</sup> splenic B cells of  $\lambda$ 5-deficient mice by single-cell PCR (see Table 1).

Each of 12 splenic B cells containing two  $V_H D_H J_H$ rearrangements carried only one productive rearrangement, while the second  $V_H D_H J_H$  joint was nonproductive (Table 2). This result suggests that, in contrast with the situation in the progenitor compartment, splenic B cells of  $\lambda$ 5-deficient mice are allelically excluded at the IgH locus.

To assess the question at which developmental stage double-producing B lineage cells are eliminated in the mutant animals, we examined newly generated B cells (B220<sup>+</sup>slgM<sup>+</sup>slgD<sup>-</sup>) in the bone marrow of  $\lambda$ 5-deficient and wild-type mice. Newly generated B cells are thought to be the direct descendants of CD43<sup>+</sup> progenitor cells in  $\lambda$ 5-deficient mice, because this mouse mutant essentially lacks CD43<sup>-</sup> B cell precursors (Ehlich et al., 1993). The analysis was carried out by staining slg on newly



Figure 2. Newly Generated B Cells of  $\lambda 5\text{-Deficient}$  Mice Are Allelically Excluded at the Level of Immunoglobulin Cell Surface Expression

Flow cytometric analysis of newly generated B cells from a 8-week-old F1(C57BL/6  $\times$  BALB/c) mouse (left) and a 4-week-old F1( $\lambda$ 5T/ $\lambda$ 5T,IgH<sup>a</sup>  $\times \lambda$ 5T/ $\lambda$ 5T,IgH<sup>b</sup>) mouse (right) for the presence of  $\mu$  chain allotype double-producing cells.

generated B cells in wild-type and  $\lambda$ 5-deficient mice heterozygous for the  $\mu$  chain allotypes *a* and *b*.

As depicted in Figure 2, in  $\lambda$ 5-deficient mice and in control animals only 2.2% and 1.7% of the cells, respectively, stained positive for both allotypes (varying from 1.6%–2.7% of slgM<sup>+</sup>slgD<sup>-</sup> bone marrow B lymphocytes in different experiments; data not shown). To find out whether these few cells are indeed double producers, we examined the IgH gene rearrangements of four such cells by single-cell PCR. One cell carried a combination of a productive and a nonproductive V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joint and each of the three other cells contained a productive V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joint and a D<sub>H</sub>J<sub>H</sub> rearrangement (data not shown). This result suggests that the observed double producers in the compartment of newly generated B cells represent a staining artifact.

We conclude that double-producing B progenitor cells in  $\lambda$ 5-deficient mice are already eliminated before they express antibody molecules on the cell surface.

## $D\mu$ Proteins Mediate Allelic Exclusion in Early B Progenitor Cells in Conjunction with the Surrogate L Chain

In support of the model of D $\mu$  protein–mediated allelic exclusion at the IgH locus, Ehlich et al. (1994) found a random distribution of D<sub>H</sub> RFs in early B cell progenitors harboring two D<sub>H</sub>J<sub>H</sub> rearranged alleles, whereas D $\mu$  protein–encoding joints (RF2) were strongly underrepresented in cells that carried a D<sub>H</sub>J<sub>H</sub> joint and a V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangement. This result contradicts the hypothesis of Haasner et al. (1994), which proposes that D $\mu$  proteins prevent proliferation of the respective B progenitor cell that leads to outgrowth of mainly those B cell precursors that carry D<sub>H</sub>J<sub>H</sub> joints in RF1 and RF3.

To investigate whether, as suggested, down-regulation of  $V_H \rightarrow D_H J_H$  joining by  $D\mu$  protein expression is dependent on the presence of the surrogate L chain, we compared the  $D_H$  element RF usage in IgH gene rearrangements in B lineage cells of various developmental stages between wild-type and  $\lambda$ 5-deficient mice. For details, see Experimental Procedures.

		Wild-type	mice		λ5T/λ5T r	nice	
Cells	Rearrangement	RF1	RF2	RF3	RF1	RF2	RF3
CD43 <sup>+</sup> B cell progenitors	DJ	9	<b>9</b> <sup>b</sup>	9	19 (1)	19	19
	VDJ <sup>+</sup>	27	4	4	6	5	1
	VDJ-	9	2 <sup>b</sup>	11	8	6	7
CD43 <sup>+</sup> $c\mu^+$ B cell progenitors <sup>a</sup>	VDJ <sup>+</sup>	9 (3)	0	0	7	7	5
	VDJ <sup>-</sup>	8	1	5	3	3	4
CD43 <sup>–</sup> B lineage cells	DJ	12 (1)	1	8			
	VDJ <sup>+</sup>	24 (1)	8	5		-	
	VDJ <sup>-</sup>	5	2	7			
Splenic B cells	DJ	7	1	9	10 (1)	3	10
	VDJ <sup>+</sup>	12 (1)	1	1	11 (3)	8	4
	VDJ-	2	2	1	6 (5)	6	6
		6	0	6+			
Splenic B cells <sup>a</sup>	VDJ <sup>+</sup>		ND		2 (3)	5	1
	VDJ-				2 (2)	2	4

Table 3. D<sub>H</sub> Reading Frame Usage in D<sub>H</sub>J<sub>H</sub> and V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> Rearrangements of CD43<sup>+</sup> and CD43<sup>-</sup> B Cell Progenitor Cells as well as of Splenic B Cells of Wild-Type and  $\lambda$ 5-Deficient Mice

Numbers in parenthesis represent  $D_{\mu}J_{\mu}$  or  $V_{\mu}D_{\mu}J_{\mu}$  joints with sequence homology at the recombinatorial breakpoints. IgH joints utilizing RF2 are represented in bold. For each cellular fraction, productive and nonproductive  $V_{\mu}D_{\mu}J_{\mu}$  rearrangements were obtained from the same library. For details, see Experimental Procedures. The statistical analysis using the  $\chi^2$  test indicates a nonrandom RF usage in  $V_{\mu}D_{\mu}J_{\mu}$  joints of the CD43<sup>+</sup> progenitor compartment as well as in  $D_{\mu}J_{\mu}$  and  $V_{\mu}D_{\mu}J_{\mu}$  rearrangements isolated from B lineage cells of other developmental stages due to the underrepresentation of RF2 in wild-type mice (p < 0.05; except for  $V_{\mu}D_{\mu}J_{\mu}^{-}$  rearrangements isolated from CD43<sup>-</sup> B lineage cells and unselected  $V_{\mu}D_{\mu}J_{\mu}^{-}$  joints from splenic B cells due to the low number of joints analyzed in these cases). A plus indicates nonproductive  $V_{\mu}D_{\mu}J_{\mu}$  rearrangements obtained in the single-cell analysis; data taken from Table 1.

<sup>b</sup> Rearrangements utilizing RF2, including one nonproductive joint caused by a stop codon at the  $D_H$ - $J_H$  border. ND, not done.

 $D\mu$  protein–encoding  $D_H J_H$  joints (RF2) are readily detectable in cells of the CD43<sup>+</sup> B cell progenitor compartment in wild-type and in  $\lambda$ 5-deficient mice (Table 3). In wild-type animals, 30% (8 of 27  $D_H J_H$  joints) of the  $D_H J_H$  rearrangements in this cell population represent  $D_H J_H$  joints in RF2, and in  $\lambda$ 5-deficient mice, 33% (19 of 57  $D_H J_H$  joints) of such joints are found among all  $D_H J_H$  rearrangements. In contrast with this finding,  $D_H J_H$  joints utilizing RF2 are strongly underrepresented at all later stages in B cell development in both wild-type and  $\lambda$ 5-deficient mice (Table 3).

Although  $D_HJ_H$  joints of CD43<sup>+</sup> B precursor cells are generated in all three RFs, we observed an underrepresentation of the  $D_{\mu}$  protein encoding RF in both productive (4 of 35 V<sub>H</sub> $D_HJ_H$  joints, 11%; 0 of 12 V<sub>H</sub> $D_HJ_H$  joints in the single-cell analysis) and nonproductive V<sub>H</sub> $D_HJ_H$ rearrangements in the same cell population in wild-type mice (1 of 21 V<sub>H</sub> $D_HJ_H$  joints, 5%; a second joint utilizing RF2 is not scored in this context because of a stop codon at the D<sub>H</sub>-J<sub>H</sub> border preventing D<sub>µ</sub> protein expression; 1 of 14 V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joints in single cells, 7%; Table 3). Furthermore, only few V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joints containing a D<sub>H</sub> element in RF2 were observed in CD43<sup>-</sup> B lineage cells and splenic B cells (Table 3).

The observation that RF2 is underrepresented in  $V_H D_H J_H$  but not  $D_H J_H$  joints at the same developmental stage leads us to the same conclusion as that drawn by Ehlich and coworkers (1994); namely, that those CD43<sup>+</sup> progenitor B cells that may express a  $D_{\mu}$  protein do not proceed with  $V_H \rightarrow D_H J_H$  joining and are therefore arrested in their development.

In striking contrast with the RF usage in  $V_{\mu}D_{\mu}J_{\mu}$  rearrangements of wild-type mice,  $V_H \rightarrow D_H J_H$  recombination in  $\lambda$ 5-deficient mice involves D $\mu$  protein–encoding joints as frequently as  $D_H J_H$  joints in RF1 and RF3: 5 of 12 productive and 6 of 21 nonproductive  $V_H D_H J_H$  rearrangements isolated from CD43<sup>+</sup> progenitor cells utilized RF2 (Table 3). Again, this RF distribution is paralleled by that found in the single-cell analysis with 37% of the productive (7 of 19  $V_H D_H J_H$  joints) and 30% of the nonproductive (3 of 10  $V_H D_H J_H$  joints)  $V_H D_H J_H$  rearrangements using RF2. Furthermore, in splenic B cells, 35% of the productive (8 of 23 productive  $V_H D_H J_H$  joints; 5 of 8 productive  $V_H D_H J_H$  joints in the single-cell analysis) and 33% of the nonproductive (6 of 18 nonproductive  $V_H D_H J_H$  joints; 2 of 8 nonproductive  $V_H D_H J_H$  joints in single cells; Table 3)  $V_H D_H J_H$  rearrangements used this RF. Cells carrying a productive  $V_H D_H J_H$  joint and a  $D_\mu$  proteinencoding rearrangement seem to be underrepresented in the peripheral B cell compartment (Table 3), although the number of D<sub>H</sub>J<sub>H</sub> joints analyzed in this case is relatively low. However, these cells are as frequently generated in the bone marrow as CD43<sup>+</sup> $c\mu^+$  cells harboring  $D_{\mu}J_{\mu}$  rearrangements in RF1 or RF3 (data not shown). The apparent counterselection of cells coexpressing  $\mu$ and  $D\mu$  proteins could be due to an impaired ability of these cells to express regular antibody molecules because of the formation of  $\mu/D\mu$  chain heterodimers.

From the finding that RF2 is underrepresented in  $V_H D_H J_H$  joints in wild-type but not in  $\lambda$ 5-deficient mice, we conclude that  $D_{\mu}$  protein–mediated down-regulation of  $V_H \rightarrow D_H J_H$  recombination requires  $\lambda$ 5 gene expression.

#### Discussion

Evidence for the Ordered Model of IgH Gene Rearrangements: Allelic Exclusion Mediated by  $\mu$  Chains and D $\mu$  Proteins in Cells of the IgH Gene-Recombining Progenitor Population

How allelic exclusion is established at the IgH locus, either by selection against double producers or by preventing a second  $V_H D_H J_H$  rearrangement once an IgH allele is productively assembled, is still a matter of debate, since experimental work has not so far allowed a direct analysis of allelic exclusion at the developmental stage at which it is established (see Oancea and Shulman, 1993; Rajewsky et al. 1993; Wabl and Steinberg, 1993). As a special problem,  $\mu$  chain transgenic mice, although they show greatly decreased levels of endogenous  $V_H D_H J_H$  rearrangements, often contain a substantial fraction of double-producing B cells coexpressing the transgene-encoded  $\mu$  chain and endogenous  $\mu$  chains (summarized by Cohn and Langman, 1990; Lam et al., 1993; Imanishi-Kari et al., 1993).

The ordered model of IgH gene rearrangements makes the critical prediction that H chain allelic exclusion should be established already in the earliest progenitor population that contains  $V_H D_H J_H$  rearrangements; namely, cells at the CD43<sup>+</sup> stage. This prediction had not been tested so far. Our previous finding that CD43<sup>-</sup> pre-B cells are allelically excluded (Ehlich et al., 1994), does not exclude the possibility that double-producing B cell progenitors are generated at an earlier developmental stage (i.e., that of the CD43<sup>+</sup> progenitors). Such early double producers could have a survival disadvantage or could be competed out by allelically excluded proliferating progenitor cells during further maturation.

The present study demonstrates that B cell precursors are already allelically excluded at the developmental stage at which IgH gene recombination occurs. The finding that in CD43<sup>+</sup> cytoplasmic  $\mu^+$  cells that carry two  $V_H D_H J_H$  rearrangements only one  $V_H D_H J_H$  joint is productive indicates that  $\mu$  chain expression leads to downregulation of  $V_H \rightarrow D_H J_H$  recombination in the respective progenitor cell. From a mechanistic point of view, the initial step of allelic exclusion might include the  $\mu$  chaindependent transcriptional or posttranscriptional control of the recombination activating genes RAG1 and RAG2, whose gene products are crucial for the initiation of  $V_H D_H J_H$  recombination (Schatz et al., 1989; Oettinger et al., 1990; van Gent et al., 1995). Lin and Desiderio (1994) have shown that RAG2 protein accumulates during the G1 phase of the cell cycle, while it is decreased in S, G2, and M phase. Since it has been suggested that B progenitor cells undergo rapid proliferation once they have acquired a functional V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joint (Karasuyama et al., 1993), the  $\mu$  chain-dependent transition from G1 to S phase might lead to suppression of  $V_H \rightarrow D_H J_H$  recombination due to the degradation of RAG2 protein (Lin and Desiderio, 1995). In aggreement with this notion, Grawunder et al. (1995) recently found that in  $\mu^+$  large B progenitor cells RAG1 and RAG2mRNA levels are downregulated, in contrast with  $\mu^-$  progenitor cells. However, the control of RAG gene expression by  $\mu$  chains cannot be the only factor controlling allelic exclusion at the IgH

locus, because expression of *RAG1* and *RAG2* genes is again up-regulated in  $\mu^+$  small pre-B cells that undergo L chain gene rearrangements (Grawunder et al., 1995).

In line with the model of  $\mu$  chain–dependent regulation of  $V_H \rightarrow D_H J_H$  recombination, we provide further evidence that  $D\mu$  proteins similarly mediate allelic exclusion at the IgH locus as had been also indicated by experiments of Ehlich et al. (1994). The strong underrepresentation of the D<sub>µ</sub> protein encoding RF2 in  $V_H D_H J_H$  joints but not  $D_{H}J_{H}$  joints of B progenitor cells at the same developmental stage is most easily explained by assuming that  $D\mu$  protein expression, possible only from  $D_H J_H$  rearrangements in RF2, leads to inhibition of  $V_H \rightarrow D_H J_H$ joining in that cell. Those cells could not generate a  $V_H D_H J_H$  joint that seems to be required for a CD43<sup>+</sup> B progenitor cell to enter the next developmental stage, the compartment of CD43<sup>-</sup> pre-B cells (Ehlich et al., 1993; Reichman-Fried et al., 1993; Young et al., 1994; Spanopoulou et al., 1994; Ehlich et al., 1994). In support of this view and in accord with the earlier data of Gu et al. (1991a), we show that CD43<sup>-</sup> pre-B cells essentially lack  $D_H J_H$  joints utilizing RF2 (Table 3), suggesting that  $D\mu$  protein expressing CD43<sup>+</sup> progenitor B cells are blocked from further differentiation.

Thus, the present data indicate that in wild-type mice, allelic exclusion at the IgH locus is established as soon as B progenitor cells express a  $\mu$  chain or a D $\mu$  protein. This finding supports the view of the ordered model of IgH gene recombination that postulates a regulatory function of the  $\mu$  chain, exerting an inhibitory effect on further V<sub>H</sub> $\rightarrow$ D<sub>H</sub>J<sub>H</sub> recombination.

#### Allelic Exclusion at the IgH Locus Requires Pre-B Cell Receptor Expression

Mutant mice lacking a component of the pre-B cell receptor (either functional  $\mu$  chains in J<sub>H</sub>T mice [Gu et al., 1993; Chen et al., 1993] and  $\mu$ MT mice [Kitamura et al., 1991] or the surrogate L chain molecule  $\lambda$ 5 [Kitamura et al., 1992]) show a severe block in early B cell development, indicating that the pre-B cell receptor guides the transition of CD43-expressing B progenitor cells to the stage of CD43<sup>-</sup> pre-B cells (Ehlich et al., 1993).

Since the block in B cell development in  $\lambda$ 5-deficient mice is not complete, one can analyze in these animals allelic exclusion at the IgH locus at various stages in B cell development in the absence of surrogate L chain expression. Using  $\lambda$ 5-deficient mice, we were thus able to differentiate whether the membrane-bound  $\mu$  chain as such or the pre-B cell receptor is essential for allelic exclusion at the IgH locus.

In contrast with our finding that early progenitor cells are allelically excluded in wild-type mice, 5 of 16 cytoplasmic  $\mu^+$  CD43<sup>+</sup> B progenitor cells with two completely rearranged IgH loci were found to be allelically included in the absence of  $\lambda$ 5 gene expression (Table 2). Thus,  $V_H \rightarrow D_H J_H$  recombination can proceed in the presence of a  $\mu$  chain, but is blocked once the  $\mu$  chain is assembled into a pre-B cell receptor. By analogy, in D $\mu$  proteinexpressing B progenitor cells, allelic exclusion is abrogated in the absence of  $\lambda$ 5 protein as shown by the frequent usage of the D $\mu$  protein encoding RF in  $V_H D_H J_H$ joints at all stages in B cell development (Table 3). Recently, Papavasiliou and colleagues (1995) demonstrated that  $V_H \rightarrow D_H J_H$  joining proceeds in B cell progenitors of mice that express a transgene-encoded  $\mu$  chain that does not associate with the Ig $\alpha$ -Ig $\beta$  heterodimer. In the wild type, these two polypeptides associate with the  $\mu$  chain and are supposed to function in signal transduction via the B cell antigen receptor (reviewed by Cambier et al., 1994). This finding implies that allelic exclusion is connected to a signaling function of the  $\mu$  chain. Our experiments provide evidence that this putative signaling function of the  $\mu$  chain is mediated by a pre-B cell receptor (involving either a conventional  $\mu$  chain or a D $\mu$  chain), and supports the idea that these two pre-B cell receptors prevent the recombination machinery from further  $V_H \rightarrow D_H J_H$  joining.

# Why Do Allelically Included B Cell Progenitors Not Significantly Contribute to the Peripheral B Cell Pool in $\lambda$ 5-Deficient Mice?

Two interpretations offer themselves to explain the virtual absence of double producers in the B cell population of  $\lambda$ 5-deficient mice despite their presence in the compartment of CD43<sup>+</sup> progenitors. Either such B cells are counterselected at the expense of allelically excluded B cells, or the double-producing progenitors do not or only rarely differentiate into B cells. We consider the former possibility unlikely for the following reasons. First, double-producing B cells can, in principle, survive in the lymphoid compartment of the mouse, as demonstrated in  $\boldsymbol{\mu}$  chain transgenic mice (reviewed by Cohn and Langman, 1990; Lam et al., 1993; Imanishi-Kari et al., 1993) and heterozygous µMT mice (Kitamura and Rajewsky, 1992). We have ourselves recently shown that gene-targeted mice expressing different V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements from their IgH alleles have normal or close to normal numbers of B cells in their peripheral B cell compartment, and the vast majority of these cells express both H chains (E. Sonoda, Y. Pewzner, D. Eilat, and K. R., unpublished data). Second, and most importantly, double producers are already undetectable in the compartment of newly generated B cells in the bone marrow of  $\lambda$ 5-deficient animals (Figure 2).

We therefore believe that in the mutant animals the contribution of the allelically included progenitors to the B cell pool is negligible from the beginning onward. This could be due to a failure of these cells to rearrange their L chain gene loci efficiently, owing to the absence of an appropriate signal given by the pre-B cell receptor (Iglesias et al., 1991; Tsubata et al., 1992). B cell generation in the mutant animals would thus depend on the low level of L chain gene rearrangements that is known to occur independently of  $\mu$  chain expression (Kitamura et al., 1992; Ehlich et al., 1993; Chen et al., 1993). Given a limited lifespan of the CD43<sup>+</sup> progenitors, this may favor the appearance of B cells that are derived from young progenitor cells, which did not yet perform  $V_{H} \rightarrow D_{H}J_{H}$  rearrangements on both chromosomes. It has also been speculated that  $\mu$  chain expression from both chromosomes may be toxic for the cell and may thus negatively interfere with further cellular differentiation (H chain toxicity; Köhler, 1980; Wabl and Steinberg, 1982). The apparent counterselection of the  $D\mu$  protein encoding RF2 in  $D_HJ_H$  (but not in  $V_HD_HJ_H$ ) rearrangements of B cells from  $\lambda$ 5-deficient mice (Table 3) could be taken as support of this notion. However, this or any other mechanism of counterselection of allelically included B lineage cells would only apply to the  $\lambda$ 5-deficient mouse mutant and perhaps evolutionary times before the invention of the pre-B cell receptor. In the wild type, doubleproducing cells are apparently not generated at any stage of progenitor development, most likely owing to the inhibition of  $V_{H} \rightarrow D_HJ_H$  joining by a signal delivered through the pre-B cell receptor.

#### **Experimental Procedures**

#### **Cell Preparation**

Single-cell suspensions from spleens of  $\lambda$ 5T mice (Kitamura et al., 1992) and 129/Sv mice (bred in our animal facility) were prepared by crushing splenic tissue, and from bone marrow (femur) of C57BL/6 mice (Bomholtgaard, Denmark) and  $\lambda$ 5T mice by flushing bones with medium (Dulbecco's modified Eagle's medium containing 5% fetal calf serum). Erythrocytes were removed from the preparations by lysis with Tris-buffered 0.165 M NH<sub>4</sub>Cl. Bone marrow single-cell suspensions were washed by centrifugation through fetal calf serum. Mice were analyzed at the age of 8–14 weeks.

#### Flow Cytometric Analysis of Newly Generated B Cells

Bone marrow single-cell suspensions were prepared as described. Analysis of cells was performed by staining pooled cells with a combination of biotin-3.5-biotin (anti-8; Roes et al., 1995), allophycocyanin-RA3.6B2 (anti-CD45R/B220), phycoerythrin-MB86 (anti-µb; Nishikawa et al., 1986), and fluorescein-RS3.1 (anti-µ<sup>a</sup>; Schüppel et al., 1987), washing, and counterstaining with Texas red-avidin (Boehringer Mannheim). Cells were then analyzed using a dual laserdve laser cytometer (FACStar plus, Becton-Dickinson), Lymphocytes were acquired through the lymphocyte gate by forward scatter and side scatter characteristics. Dead cells and cells displaying IgD on the cell surface were excluded from the analysis by staining with propidium iodine and anti-IgD antibody. Gating for newly generated B cells of homozygous  $\lambda$ 5T mice was principally performed as the analysis of lymphocytes from F1 wild-type mice, except that lymphocytes were directly acquired as CD45R/B220+IgMa+IgD- and CD45R/B220<sup>+</sup>IgMb<sup>+</sup>IgD<sup>-</sup> lymphocytes because of the low numbers of such cells present in the bone marrow of these mutant mice.

#### Cell Sorting

Cells were isolated by fluorescence-activated cell sorting using a FACStar plus (Becton Dickinson). Dead cells were excluded from the analysis by staining with propidium iodide. Splenic B cells were sorted as B220<sup>+</sup>IgM<sup>+</sup> cells using antibodies phycoerythrin–RA3.6B2 (anti-CD45R/B220) and fluorescein-R33-24-12 (anti-µ) or a combination of allophycocyanin-RA3.6B2, fluorescein-MB86 (Nishikawa et al., 1986), and phycoerythrin-RS3.1 (Schüppel et al., 1987). CD43+ and CD43<sup>-</sup> B precursor fractions were sorted as described by Ehlich et al. (1994). B cell progenitor fractions are characterized by cell surface expression of markers CD43, CD45R/B220, HSA, and BP-1 (fraction A, CD45R/B220+CD43+HSA-BP-1-; fraction B, CD45R/ B220+CD43+HSA+BP-1-; fraction C, CD45R/B220+CD43+HSA+BP-1+; fraction D, CD45R/B220+CD43-sIgM-; fraction E, CD45R/ B220<sup>+</sup>CD43<sup>-</sup>slgM<sup>+</sup>slgD<sup>-</sup>). Macrophages were removed by staining pooled bone marrow cells with M1/70.15.11-coupled (anti-MAC1; Springer et al., 1978) magnetic beads (Miltenyi Biotec), followed by magnetic cell sorting using a MACS system (Miltenyi et al., 1990) or during the cell sorting procedure by staining with fluorescein-M1/ 70.15.11. Sorted cells were washed by centrifugation in phosphatebuffered saline and stored as 5  $\mu l$  aliquots (containing 1  $\times$  10  $^4$  to  $2.5 \times 10^4$  cells) in 0.5 ml microtubes at  $-20^{\circ}$ C.

To obtain cytoplasmic  $\mu^+$  cells from fraction C, we sorted 1  $\times$  10<sup>5</sup> B220<sup>+</sup>CD43<sup>+</sup>HSA<sup>+</sup>BP-1<sup>+</sup> cells from either C57BL/6 mice or  $\lambda$ 5-deficient mice as described above. The gate for sorting cells of fraction C was set in a way that even when gating on CD43<sup>-</sup> pre-B cells, the latter cells should not enter the sorting gate. Theoretically,

Table 4. Correlation be	etween the Numbers of PCR Pro	oducts and Cells per Sa	mple		
		PCR products			
Cells per sample	Number of samples	B1-8 + T15	B1-8	T15	No product
1	97	0	37	39	21
2	85	27	20	29	9

even in the case of the highest possible contamination of CD43+ pre-B cells by CD43<sup>-</sup> pre-B cells, at most 3 of 19  $c\mu^+$  cells analyzed could have been derived from fraction D (data not shown). However, such a contamination is unlikely, since the cells isolated appeared CD43<sup>+</sup> on the cell surface.

Sorted cells were fixed in 2% formaldehyde, phosphate-buffered saline (PBS) for 20 min at room temperature. After washing with PBS, cells were resuspended in PBS containing 1% bovine serum albumin and 0.1% NaN<sub>3</sub>. Cells were bleached overnight and stained for cytoplasmic  $\mu$  chains in the presence of 1% saponine (Sigma) using the anti-µ monoclonal antibody M41 (Leptin et al., 1984). After washing, single cytoplasmic  $\mu^{\scriptscriptstyle +}$  cells were deposited into 0.5 ml microtubes containing 20 µl PCR buffer (GIBCO BRL, 2.5 mM MgCl<sub>2</sub>) supplemented with 1 µg/ml rRNA from Eschericha coli (Boehringer), frozen on dry ice, and stored at -80°C.

#### Isolation of D<sub>H</sub>J<sub>H</sub>- and V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> Joints from B Cell Precursor Populations and Splenic B Cells

Cell samples were lysed prior to the PCR by adding 20  $\mu l$  PCR buffer (10 mM Tris-HCI [pH 8.3], 50 mM KCI, 2.5 or 3.0 mM MgCI<sub>2</sub>, 100 mg/ml gelatin), overlaying the sample with paraffin oil, and heating it for 10 min at 96°C. After digestion with proteinase K (0.5 mg/ml; Boehringer Mannheim) for 2 hr at 55°C, cell samples were heated again for 15 min to 96°C to inactivate proteinase K. PCR buffer (25  $\mu$ l) containing 200 µM of each dNTP (final concentration; Pharmacia), 10-20 pmol of primers, and 5 U Taq-polymerase (GIBCO BRL) was added to the cell preparations.  $D_H J_H$  and  $V_H D_H J_H$  joints were amplified from cell lysates containing 1–2.5  $\times$  10<sup>4</sup> sorted cells using PCR performed for 35 cycles using a Techne thermal cycler or a Biometra thermal cycler. Each cycle consisted of 0.45 min at 94°C, 1.15 min at 68°C, and 1.30 min at 72°C. The primers used in the reactions have been described earlier: 5' to  $D_{\rm H}$  (Gu et al., 1991a),  $V_{\rm H}J558$ genes (Gu et al., 1991b; used only for PCR of V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements derived from splenic B cells), 3' to J<sub>H</sub>1 (Weiss and Rajewsky, 1990), and 3' to  $J_H4$  (Gu et al., 1991a). The primer specific for  $V_HJ558$  genes used for amplification of V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> joints from sorted bone marrow B lineage cells was as follows: 5' primer V<sub>H</sub>J558, 5'-GCGAAGCT-TA(AG)GCCTGGG(AG)CTTCAGTGAAG-3'. D<sub>H</sub>J<sub>H</sub> rearrangements of CD43<sup>+</sup> B cell progenitors were isolated from cells of fractions A and B in wild-type mice and of fractions A-C in  $\lambda 5T$  mice.  $D_H J_H$  and V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements of CD43<sup>-</sup> B lineage cells comprise joints isolated from cells of fraction D and E, since RF usage in both fractions did not differ significantly (data not shown).

To facilitate the analysis of nonproductive joints isolated from splenic B cells of 129/Sv mice, we screened selectively for nonproductive V<sub>H</sub>J558D<sub>H</sub>J<sub>H</sub>1 joints by cloning the PCR products into the PstI-Xbal sites of the vector pTZ19(R) (Pharmacia), disrupting the encoded lacZ α-peptide gene. With the ligated vector DNA, transformed bacteria ( $D_H 5\alpha$ ) were plated on dyt plates containing the selection marker ampicilin and IPTG/X-Gal. Colonies containing productive  $V_{H}D_{H}J_{H}$  rearrangements showed  $\beta$ -galactosidase activity rendering the colonies blue, whereas colonies bearing a nonfunctional  $V_H D_H J_H$  joint cannot undergo lacZ  $\alpha$ -peptide complementation and appeared white.

#### PCR Analysis of IgH Gene Rearrangements from Single Cells

To prepare genomic DNA for amplification, samples were overlaid with paraffin oil and incubated for 1 hr with proteinase K (0.5 mg/ ml; Boehringer) at 55°C. Then, proteinase K was inactivated at 96°C for 10 min. IgH gene rearrangement amplification was carried out in two rounds: the first round contained all 5' primers and the  $J_H 4E$ primer (3 pmol each) as described by Ehlich et al. (1994) and, in addition, a 5' primer hybridizing to members of the  $V_{\!\scriptscriptstyle H}\!8$  gene family (GCGAAGCTTCCCTGGGATATTGCAGCCCTC). Amplification was done for 30 cycles (0.45 min at 94°C, 1 min at 60°C, 2.5 min at 72°C). For the second round of PCR, 1.5 µl aliquots of the first round reaction were transferred into seperate reactions, each containing a single primer combination of a  $V_H$  gene family-specific primer (or D<sub>H</sub>-specific primer) and including a nested J<sub>H</sub>4A primer. Mouse embryonic stem cells served as negative controls in the PCR. Amplifications from single cells that yielded more than two PCR products per cell were characterized by a third round of PCR and sequencing. In those cases, the additional PCR products were shown to be identical to the previously analyzed joints of the cell (due to crosshybridization of primers) or represented PCR artifacts. In none of those cases were additional IgH rearrangements detectable, supporting the finding of the control experiment that only one cell was sorted into each microtube (see below).

#### Control Experiment to Confirm the Isolation of Single Cells by FACS

Two mutant mouse strains were chosen that carried rearranged IgH chain V region genes introduced by gene targeting into the H chain locus, replacing the J<sub>H</sub> locus (T15i mice, Taki et al., 1993; B1-8i mice, E. Sonoda and K. R., unpublished data, containing a rearranged V<sub>H</sub>186.2 gene isolated from the hybridoma B1-8; Bothwell et al., 1981). Of each of the two mouse strains homozygous for the introduced H chain,  $1\times 10^{5}\,B220^{+}CD43^{+}$  bone marrow cells were sorted by FACS and mixed in a 1:1 ratio. Cells were fixed and stained for cytoplasmic  $\mu$  chain expression as described above. Of these cells, single cells as well as doublets were resorted into 0.5 ml microtubes as previously described and V<sub>H</sub>D<sub>H</sub>J<sub>H</sub> rearrangements were amplified in a seminested PCR approach using the following primers: V<sub>H</sub>T15, GCGAAGCTT(AT)CTGGAGGAGGCTTGGTGCAG; J<sub>H</sub>1E, ACGCTCTG AGATCCCGGGATCTGCAATATC; J<sub>H</sub>1A, CCCGTTTCAGAATGGAAT GTGC; V<sub>H</sub>B1-8, GCGAAGCTTA(AG)GCCTGGG(AG)CTTCAGTGAAG; J<sub>H</sub>2E, GTGTCCCTAGTCCTTCATGAC; J<sub>H</sub>2A, ATGCAGTAAAATCTAT CTAAGCTG.

The outcome of this experiment is shown in Table 4, confirming that every microtube that should contain a single cell indeed showed only amplification of either of the expected PCR products.

#### DNA Sequencing

PCR products were gel-purified and cloned into PTZ19(R) vector following digestion with the appropriate restriction enzymes. Bacterial colonies ( $D_H 5\alpha$ ) containing  $D_H J_H$  or  $V_H D_H J_H$  rearrangements were randomly picked, and  $D_H J_H$  as well as  $V_H D_H J_H$  sequences were determined by radioactive sequencing of double-stranded plasmid DNA following the protocol of the Sequenase kit (United States Biochemical). PCR products obtained from single-cell PCR were gel-purified from ethidium-stained 1.2% agarose gels using Quiaex II gel extraction kit (Qiagen). Cycle sequencing was performed using the Ready Reaction DyeDeoxyTerminator Cycle sequencing kit (Applied Biosystems) following the instructions of the manufacturer and sequenced by automated sequencing (Applied Biosystems). Sequencing primers recognizing sequences downstream of the respective  $J_{H}$  genes were as described by Ehlich et al. (1994).

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#### GenBank Accession Numbers

The nucleotide sequence data of the IgH rearrangements reported in Table 3 will appear in the EMBL, GenBank, and DDBJ nucleotide sequence databases under the accession numbers X96001–X96371.