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# $C^1$ -stably expansive flows

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## Abstract

In this paper, the  $C^1$  interior of the set of vector fields whose integrated flows are expansive is characterized as the set of vector fields without singularities satisfying both Axiom A and the quasi-transversality condition, and it is proved that the above vector fields possessing the shadowing property must be structurally stable. As a corollary, there exists a non-empty  $C^1$  open set of vector fields whose integrated flows do not have the shadowing property.

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## 1. Introduction

We are interested in characterizing the geometrical structure of dynamical systems possessing a topological property of Anosov systems such as topological stability under

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the  $C^1$  open condition (see [9]). The  $C^1$  open condition signifies that the topological property under consideration is preserved with respect to  $C^1$  small perturbations of the system.

In this paper, we consider the set of expansive flows (vector fields), and investigate its geometric structure from the above point of view. More precisely, the  $C^1$  interior of the set of vector fields whose integrated flows are expansive is characterized as the set of vector fields without singularities satisfying both Axiom A and the quasi-transversality condition. Furthermore, we prove that such vector fields possessing the shadowing property must be structurally stable. As a corollary, it follows from Robinson's example (see [14]) that there exists a non-empty  $C^1$  open set of vector fields whose integrated flows do not have the shadowing property.

Let  $M$  be a  $C^\infty$  closed manifold, and denote by  $\mathcal{X}^1(M)$  the set of  $C^1$  vector fields on  $M$  endowed with the  $C^1$  topology. Denote by  $\mathcal{E}(M)$  the set of  $X \in \mathcal{X}^1(M)$  whose integrated flow is expansive, and by  $\text{int } \mathcal{E}(M)$  the  $C^1$  interior of  $\mathcal{E}(M)$  in  $\mathcal{X}^1(M)$ .

The following result is obtained.

**Theorem A.** *For  $X \in \mathcal{X}^1(M)$ , the following conditions are mutually equivalent:*

- (i)  $X \in \text{int } \mathcal{E}(M)$ ,
- (ii)  $X$  is quasi-Anosov,
- (iii)  $X$  has no singularities, and satisfies both Axiom A and the quasi-transversality condition.

A similar result is obtained by Mañé in [7,8] for diffeomorphisms on  $M$ . When  $\dim M = 3$ , it is easy to see that every quasi-Anosov vector field on  $M$  is Anosov. Thus, every  $X \in \text{int } \mathcal{E}(M)$  is Anosov when  $\dim M = 3$ . However, in higher dimensions that is not true by Robinson's example (see [14]).

In the present paper, we also prove the following.

**Theorem B.** *For  $X \in \mathcal{X}^1(M)$ , the following conditions are mutually equivalent:*

- (i)  $X \in \text{int } \mathcal{E}(M)$  and has the shadowing property,
- (ii)  $X \in \text{int } \mathcal{E}(M)$  and is structurally stable,
- (iii)  $X$  is Anosov.

In [15] the second author showed an analogue of the above theorem for diffeomorphisms by making use of a result proved in [8].

Let  $X \in \mathcal{X}^1(M^{11})$  be Robinson's example of a quasi-Anosov vector field that is not Anosov on an 11-dimensional manifold  $M^{11}$  (for diffeomorphisms, see [2]). Since the set of quasi-Anosov vector fields is  $C^1$  open in  $\mathcal{X}^1(M)$  (see Remark 1), it is easy to see that every  $C^1$  nearby system  $Y \in \mathcal{X}^1(M^{11})$  of  $X$  is also quasi-Anosov but not Anosov by construction. Thus, combining these facts with Theorem B we have the following.

**Corollary.** *There exists a non-empty  $C^1$  open set  $\mathcal{U} \subset \mathcal{X}^1(M^{11})$  whose any element does not have the shadowing property.*

Thus the set of vector fields having the shadowing property on  $M$  is not  $C^1$  dense in  $\mathcal{X}^1(M)$  in general.

## 2. Preliminaries

Let  $M$  and  $\mathcal{X}^1(M)$  be as before, and let  $d$  be the distance on  $M$  induced from a Riemannian metric  $\|\cdot\|$  on the tangent bundle  $TM$ . Every  $X \in \mathcal{X}^1(M)$  generates a  $C^1$  flow  $X_t : M \times \mathbb{R} \rightarrow M$ ; that is a  $C^1$  map such that  $X_t : M \rightarrow M$  is a diffeomorphism satisfying  $X_0(x) = x$  and  $X_{t+s}(x) = X_t(X_s(x))$  for all  $s, t \in \mathbb{R}$ , and  $x \in M$ .

We say that a (continuous) flow  $X_t$  is *expansive* if for any  $\varepsilon > 0$  there is  $\delta > 0$  with the property that if  $d(X_s(x), X_{\alpha(s)}(y)) \leq \delta$  for all  $s \in \mathbb{R}$ , for a pair of points  $x, y \in M$ , and for a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  with  $\alpha(0) = 0$ , then  $y = X_s(x)$  where  $|s| \leq \varepsilon$  (see [1,16,17]). In this case, the above  $\delta$  is called an *expansive constant* corresponding to  $\varepsilon$  (with respect to  $X_t$ ).

An orientation preserving (increasing) homeomorphism  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  with  $\alpha(0) = 0$  is called a *reparametrization* of  $\mathbb{R}$ . Denote by  $\text{Rep}(\mathbb{R})$  the set of reparametrizations of  $\mathbb{R}$ . Given  $\delta > 0$  and  $a > 0$ , a pair of sequences

$$(\{x_i\}_{i=-\infty}^{\infty}, \{t_i\}_{i=-\infty}^{\infty})$$

is called a  $(\delta, a)$ -pseudo-orbit of  $X_t$  if

$$t_i \geq a \quad \text{and} \quad d(X_{t_i}(x_i), x_{i+1}) < \delta$$

for all  $i \in \mathbb{Z}$ . Let  $s_0 = 0$ ,  $s_n = \sum_{i=0}^{n-1} t_i$ , and  $s_{-n} = \sum_{i=-n}^{-1} t_i$  for any sequence  $\{t_i\}_{i=-\infty}^{\infty} \subset \mathbb{R}$ . Given  $\varepsilon > 0$ , a  $(\delta, a)$ -pseudo-orbit  $(\{x_i\}_{i=-\infty}^{\infty}, \{t_i\}_{i=-\infty}^{\infty})$  is  $\varepsilon$ -shadowed by an orbit  $X_{\mathbb{R}}(z) = \{X_t(z) : t \in \mathbb{R}\}$  ( $z \in M$ ) if there exists  $\alpha \in \text{Rep}(\mathbb{R})$  such that

$$d(X_{\alpha(t)}(z), X_{t-s_n}(x_n)) < \varepsilon$$

whenever  $t \geq 0$  and  $s_n \leq t < s_{n+1}$  for all  $n \geq 0$ , and

$$d(X_{\alpha(t)}(z), X_{t+s_{-n}}(x_{-n})) < \varepsilon$$

whenever  $t \leq 0$  and  $-s_{-n} \leq t \leq -s_{-n+1}$  for all  $n \geq 1$ .

We say that a flow  $X_t$  has the *shadowing property* if for all  $\varepsilon > 0$  there exists  $\delta > 0$  such that every  $(\delta, 1)$ -pseudo-orbit is  $\varepsilon$ -shadowed by some orbit of  $X_t$  (see [10,16,17]).

Let  $X \in \mathcal{X}^1(M)$  have no singularities, and let  $\mathcal{N} \subset TM$  be the subbundle such that the fiber  $N_x$  at  $x \in M$  is the orthogonal linear subspace of  $\langle X(x) \rangle$  in  $T_x M$ ; that is,  $N_x = \langle X(x) \rangle^\perp$ . Here  $\langle X(x) \rangle$  is the linear subspace spanned by  $X(x)$  for  $x \in M$ . Let  $\pi : TM \rightarrow \mathcal{N}$  be the projection along  $X$ , and let

$$F_x^t(v) = \pi(D_x X_t(v))$$

for  $v \in N_x$  and  $x \in M$ . It is well known that  $F^t : \mathcal{N} \rightarrow \mathcal{N}$  is a one-parameter transformation group (cf. [6]).

We say that  $X \in \mathcal{X}^1(M)$  is *quasi-Anosov* if  $X$  has no singularities and for  $v \in \mathcal{N}$ , if  $\sup_{t \in \mathbb{R}} \|F^t(v)\| < \infty$ , then  $v = 0$  (see [14]).

Let  $X_t$  be the flow of  $X \in \mathcal{X}^1(M)$ , and let  $A$  be a  $X_t$ -invariant compact set. The  $A$  is called *hyperbolic* for  $X_t$  if there are constants  $C > 0$ ,  $\lambda > 0$  and a splitting  $T_x M = E_x^s \oplus \langle X(x) \rangle \oplus E_x^u$  ( $x \in A$ ) such that the tangent flow  $DX_t : TM \rightarrow TM$  leaves invariant the continuous splitting and

$$\|DX_{t|_{E_x^s}}\| \leq C e^{-\lambda t} \quad \text{and} \quad \|DX_{-t|_{E_x^u}}\| \leq C e^{-\lambda t}$$

for  $t > 0$  and  $x \in A$  (see [3,12]). The set of non-wandering points of  $X$  is denoted by  $\Omega(X_t)$ . Clearly,

$$Sing(X) \cup PO(X_t) \subset \Omega(X_t).$$

Here  $Sing(X)$  is the set of singularities of  $X$  and  $PO(X_t)$  is the set of periodic orbits of  $X_t$ .

We say that  $X \in \mathcal{X}^1(M)$  satisfies *Axiom A* if  $PO(X_t)$  is dense in  $\Omega(X_t) \setminus Sing(X)$  and  $\Omega(X_t)$  is hyperbolic. We say that  $X \in \mathcal{X}^1(M)$  is *Anosov* if  $M$  is hyperbolic for  $X_t$ .

Let  $X \in \mathcal{X}^1(M)$  satisfy Axiom A. In the present paper, we say that  $X$  satisfies the *quasi-transversality condition* if

$$T_x W^s(x) \cap T_x W^u(x) = \{O_x\} \quad \text{for any } x \in M.$$

Here  $W^s(x)$  is the stable manifold and  $W^u(x)$  is the unstable manifold of  $x$  defined as usual (cf. [12]).

As before, denote by  $\mathcal{E}(M)$  the set of  $X \in \mathcal{X}^1(M)$  whose integrated flow  $X_t$  is expansive. Remark that each singular point of  $X \in \mathcal{E}(M)$  is an isolated point in  $M$  by definition (see [1, Lemma 1]) so that  $Sing(X) = \emptyset$  for  $X \in \mathcal{E}(M)$ .

Other authors do give the definition of expansive for flows slightly differently. For example, in [5] the author introduced the notion of  $K^*$ -expansive for flows which is weaker than our definition, and proved therein that the geometric Lorenz flow possesses this property on its attractor, while in our definition it is not. In the present paper, a change in definition (from our definition to weaker one) would not invalidate the theorems, but would mean that they have to be slightly reworded. Indeed, to prove the same results in such weaker definition, we need to assume that the vector field under consideration has no singularities.

Let  $\mathcal{X}^*(M)$  be the set of  $X \in \mathcal{X}^1(M)$  with the property that there exists a  $C^1$  neighborhood  $\mathcal{U} \subset \mathcal{X}^1(M)$  of  $X$  such that every singularity and every periodic orbit of  $Y \in \mathcal{U}$  are hyperbolic. Write

$$\mathcal{L}(M) = \{X \in \mathcal{X}^*(M) : X \text{ has no singularities}\}.$$

A proof of Theorem A(i)  $\Rightarrow$  (ii) is based on the following remarkable result obtained by Gan and Wen in [3]. The assertion will be proved by showing  $\text{int } \mathcal{E}(M) \subset \mathcal{L}(M)$ .

**Theorem.** *Every  $X \in \mathcal{L}(M)$  satisfies both Axiom A and the no-cycle condition.*

Denote by  $\mathcal{QA}(M) \subset \mathcal{X}^1(M)$  the set of quasi-Anosov vector fields. It is easy to see that if  $X \in \mathcal{X}^1(M)$  has no singularities and satisfies both Axiom A and the quasi-transversality condition, then  $X \in \mathcal{QA}(M)$  by definition. Thus, the proof of Theorem A is divided into the following two propositions.

**Proposition 1.** *Every  $X \in \text{int } \mathcal{E}(M)$  satisfies both Axiom A and the quasi-transversality condition.*

**Proposition 2.** *Let  $X \in \mathcal{QA}(M)$ . Then there exists a  $C^1$  neighborhood  $\mathcal{U} \subset \mathcal{X}^1(M)$  of  $X$  such that for every  $Y \in \mathcal{U}$ , the integrated flow  $Y_t$  is expansive. More strongly, for any  $\varepsilon > 0$ , there exists a common expansive constant  $\delta = \delta(\mathcal{U}, \varepsilon) > 0$  corresponding to  $\varepsilon$  with respect to  $Y_t$  for every  $Y \in \mathcal{U}$ .*

We say that  $Y \in \mathcal{X}^1(M)$  is *semiconjugate* to  $X \in \mathcal{X}^1(M)$  if  $Y_t$  is semiconjugate to  $X_t$ ; that is, there are a continuous surjection  $h : M \rightarrow M$  and a continuous map  $\tau : M \times \mathbb{R} \rightarrow \mathbb{R}$  such that

- for all  $x \in M$ ,  $\tau_x \in \text{Rep}(\mathbb{R})$ ,
- for all  $x \in M$  and  $t \in \mathbb{R}$ ,  $h(Y_t(x)) = X_{\tau_x(t)}(h(x))$ ,

where  $X_t$  and  $Y_t$  are the flows induced from  $X$  and  $Y$ , respectively. The pair  $(h, \tau)$  is called a *semiconjugacy* from  $Y$  to  $X$ . If the map  $h$  can be taken as a homeomorphism, then we say that  $Y$  is *conjugate* to  $X$ .

We say that  $X \in \mathcal{X}^1(M)$  is *structurally stable* if there is a  $C^1$  neighborhood  $\mathcal{U}$  of  $X$  in  $\mathcal{X}^1(M)$  such that every  $Y \in \mathcal{U}$  is conjugate to  $X$ . It is proved by Robinson [12] that if  $X$  satisfies both Axiom A and the strong transversality condition, then  $X$  is structurally stable (remark that the converse is also true, see Hayashi [4] and Wen [18]).

A continuous flow  $X_t$  on  $M$  is said to be *topologically stable* if for any  $\varepsilon > 0$ , there exists  $\delta > 0$  such that for every perturbation flow  $Y_t$  on  $M$  with  $d_{C^0}(X_t, Y_t) < \delta$ , there exists a continuous map  $h : M \rightarrow M$  such that  $d(h(x), x) < \varepsilon$  ( $x \in M$ ) and

$$h(\text{orbit of } Y_t) \subset \text{orbit of } X_t.$$

Here

$$d_{C^0}(X_t, Y_t) = \sup_{t \in [0,1], x \in M} d(X_t(x), Y_t(x)).$$

Notice that the map  $h$  is surjection since  $M$  is connected and  $d(h(x), x) < \varepsilon$  ( $x \in M$ ).

Some stability properties including topological stability of continuous flows on a compact metric space are systematically studied by Thomas (see [16,17]).

Now, suppose that the above  $h$  is a homeomorphism mapping orbits of  $X_t$  onto orbits of  $Y_t$ . If  $X_t$  has no fixed points, then for every  $x \in M$ , there is a unique  $\sigma_x \in \text{Rep}(\mathbb{R})$  such that  $h \circ X_t(x) = Y_{\sigma_x(t)} \circ h(x)$  (see [17, p. 107]). Thus, if the integrated flow  $X_t$  of  $X \in \mathcal{X}^1(M)$  is topologically stable, and for  $C^0$  nearby system  $Y (\in \mathcal{X}^1(M))$  of  $X$  if the map  $h$  of the topological stability is injective, then  $Y$  is conjugate to  $X$ .

It is proved in [16, Theorems 3 and 4] that every expansive flow  $X_t$  possessing the shadowing property is topologically stable, and if, in addition, a perturbation flow  $Y_t$  of  $X_t$  is also expansive, then the map  $h$  is injective. In the proof of latter result, to prove that  $h$  is one-to-one, we have to check the relationship between the expansive constant of  $X_t$  and that of  $Y_t$ . Unfortunately, in the original proof, the way of choice of the expansive constant for the perturbation flow  $Y_t$  is not so clear for the authors.

In this paper, following the proof of the original paper closely we give a proof for the above result for completeness. More precisely, we prove the following.

**Proposition 3.** *Let  $X_t$  be an expansive flow on  $M$  possessing the shadowing property. Then  $X_t$  is topologically stable, and for any continuous flow  $Y_t$   $C^0$  nearby  $X_t$ , if both  $X_t$  and  $Y_t$  have a common expansive constant, then the continuous map  $h$  between the orbits of  $X_t$  and the orbits of  $Y_t$  is injective.*

Let  $X \in \text{int } \mathcal{E}(M)$  have the shadowing property. Then, by Theorem A it will follow from Proposition 3 that  $X$  is structurally stable. Since every structurally stable vector field satisfies the strong transversality condition, the above  $X$  must be Anosov, so that Theorem B will be obtained.

### 3. Proof of Theorem A

Hereafter, for simplicity we assume that the exponential map

$$\exp_x : T_x M(1) \rightarrow M$$

is well defined for all  $x \in M$ , where  $T_x M(r) = \{v \in T_x M : \|v\| < r\}$  for  $r > 0$ .

Let  $X \in \mathcal{X}^1(M)$  have no singularities, and let  $X_t$  be the flow. For every  $x \in M$ , let

$$\Pi_{x,r} = \exp_x(N_{x,r}) \quad \text{and} \quad \Pi_x = \Pi_{x,1},$$

where  $N_x = \langle X(x) \rangle^\perp$ , and  $N_{x,r} = N_x \cap T_x M(r)$  for  $0 < r \leq 1$ . Then, it is well known that for given  $x' = X_{t_0}(x)$  ( $t_0 > 0$ ), there are  $r_0 > 0$  and a  $C^1$  map  $\tau : \Pi_{x,r_0} \rightarrow \mathbb{R}$  such that  $X_{\tau(y)}(y) \in \Pi_{x'}$  ( $y \in \Pi_{x,r_0}$ ) with  $\tau(x) = t_0$ . The flow  $X_t$  uniquely defines the Poincaré map  $f : \Pi_{x,r_0} \rightarrow \Pi_{x'}$  by  $f(y) = X_{\tau(y)}(y)$  for all  $y \in \Pi_{x,r_0}$ . The map is  $C^1$  embedding whose image is interior to  $\Pi_{x'}$  if  $r_0$  is small.

If  $X_t(x) \neq x$  for  $0 < t \leq t_0$  and  $r_0$  is sufficiently small, then  $(t, y) \mapsto X_t(y)$   $C^1$  embeds

$$\{(t, y) \in \mathbf{R} \times \Pi_{x,r} : 0 \leq t \leq \tau(y)\}$$

for  $0 < r \leq r_0$ . The image

$$\{X_t(y) : y \in \Pi_{x,r} \text{ and } 0 \leq t \leq \tau(y)\}$$

is denoted by  $F_x(X_t, r, t_0)$ . For  $\varepsilon > 0$ , let  $\mathcal{N}_\varepsilon(\Pi_{x,r})$  be the set of diffeomorphisms  $\xi : \Pi_{x,r} \rightarrow \Pi_{x,r}$  such that  $\text{supp}(\xi) \subset \Pi_{x,r/2}$  and  $d_{C^1}(\xi, id) < \varepsilon$ . Here  $d_{C^1}$  is the usual  $C^1$  metric,  $id : \Pi_{x,r} \rightarrow \Pi_{x,r}$  is the identity map, and  $\text{supp}(\xi)$  is the closure of the set where it differs from  $id$ .

**Lemma 1.** *Let  $X \in \mathcal{X}^1(M)$  have no singularities. Suppose  $X_t(x) \neq x$  for  $0 < t \leq t_0$ , and let  $f : \Pi_{x,r_0} \rightarrow \Pi_{x'}$  ( $x' = X_{t_0}(x)$ ) be the Poincaré map ( $r_0 > 0$  is sufficiently small). Then, for every  $C^1$  neighborhood  $\mathcal{U} \subset \mathcal{X}^1(M)$  of  $X$  and  $0 < r \leq r_0$ , there is  $\varepsilon > 0$  with the property that for every  $\xi \in \mathcal{N}_\varepsilon(\Pi_{x,r})$ , there exists  $Y \in \mathcal{U}$  satisfying*

$$\begin{cases} Y(y) = X(y) & \text{if } x \notin F_x(X_t, r, t_0) \\ f_Y(y) = f \circ \xi(y) & \text{if } y \in \Pi_{x,r}. \end{cases}$$

Here  $f_Y : \Pi_{x,r} \rightarrow \Pi_{x'}$  is the Poincaré map defined by  $Y_t$ .

**Proof.** See [11, p. 296, Remark 2]. □

Let  $X \in \mathcal{X}^1(M)$ , and suppose  $p \in \gamma \in PO(X_t)$  ( $X_T(p) = p$ , where  $T > 0$  is the minimum period). If  $f : \Pi_{p,r_0} \rightarrow \Pi_p$  is the Poincaré map ( $r_0 > 0$ ), then  $f(p) = p$ . In this case,  $\gamma$  is hyperbolic if and only if  $p$  is a hyperbolic fixed point of  $f$ .

The following lemma plays an essential role in the proof of the hyperbolicity of the periodic orbits of  $X_t$  ( $X \in \text{int } \mathcal{E}(M)$ ).

**Lemma 2.** *Let  $X \in \mathcal{X}^1(M)$  have no singularities,  $p \in \gamma \in PO(X_t)$  ( $X_T(p) = p$ ), and let  $f : \Pi_{p,r_0} \rightarrow \Pi_p$  be the Poincaré map for some  $r_0 > 0$ . Let  $\mathcal{U} \subset \mathcal{X}^1(M)$  be a  $C^1$  neighborhood of  $X$ , and let  $0 < r \leq r_0$  be given. Then there are  $\delta_0 > 0$  and  $0 < \varepsilon_0 < r/2$  such that for a linear isomorphism  $\mathcal{O} : N_p \rightarrow N_p$  with  $\|\mathcal{O} - D_p f\| < \delta_0$ , there is  $Y \in \mathcal{U}$  satisfying*

- (i)  $Y(x) = X(x)$  if  $x \notin F_p(X_t, r, T/2)$ ,
- (ii)  $p \in \gamma \in PO(Y_t)$ ,
- (iii)  $g(x) = \begin{cases} \exp_p \circ \mathcal{O} \circ \exp_p^{-1}(x) & \text{if } x \in B_{\varepsilon_0/4}(p) \cap \Pi_{p,r} \\ f(x) & \text{if } x \notin B_{\varepsilon_0}(p) \cap \Pi_{p,r}, \end{cases}$

where  $B_\varepsilon(x)$  ( $x \in M$ ) is a closed ball in  $M$  center at  $x$  with radius  $\varepsilon > 0$ , and  $g : \Pi_{p,r} \rightarrow \Pi_p$  is the Poincaré map defined by  $Y_t$ .

**Proof.** cf. [9, p. 3395, Lemma 1.3].  $\square$

**Proof of Proposition 1.** Let  $X \in \text{int } \mathcal{E}(M)$ . We show that  $X$  satisfies both Axiom A and the quasi-transversality condition.

Let  $\mathcal{U} \subset \mathcal{E}(M)$  be a  $C^1$  neighborhood of  $X$  and pick  $p \in \gamma \in PO(X_t)$  ( $X_T(p) = p, T > 0$ ). The flow  $X_t$  defines the Poincaré map  $f : \Pi_{p,r_0} \rightarrow \Pi_p$  (for some  $r_0 > 0$ ). Assuming that there is an eigenvalue  $\lambda$  of  $D_p f$  with  $|\lambda| = 1$ , we shall derive a contradiction.

Let  $\delta_0 > 0$  and  $0 < \varepsilon_0 < r_0$  be given by Lemma 2 for  $\mathcal{U}$  and  $r_0$ . Then, for the linear isomorphism  $\mathcal{O} = D_p f : N_p \rightarrow N_p$ , there exists  $Y \in \mathcal{U}$  such that

- $Y(x) = X(x)$  if  $x \notin F_p(X_t, r_0, T/2)$ ,
- $g(x) = \begin{cases} \exp_p \circ D_p f \circ \exp_p^{-1}(x) & \text{if } x \in B_{\varepsilon_0/4}(p) \cap \Pi_{p,r_0} \\ f(x) & \text{if } x \notin B_{\varepsilon_0}(p) \cap \Pi_{p,r_0}. \end{cases}$

Since  $Y \in \mathcal{E}(M)$ , for a sufficiently small  $0 < \varepsilon < \min\{\varepsilon_0/16, T/2\}$ , there is  $0 < \delta < \min\{\delta_0, \varepsilon\}$  with the property that if  $d(Y_s(x), Y_{\alpha(s)}(y)) \leq \delta$  for all  $s \in \mathbb{R}$ , for a pair of points  $x, y \in M$ , and for a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  ( $\alpha(0) = 0$ ), then  $y = Y_s(x)$  where  $|s| \leq \varepsilon$ .

Let  $0 < \delta' < \delta$  be a number such that  $d(x, y) < \delta'$  ( $x, y \in M$ ) implies

$$d(Y_t(x), Y_t(y)) < \delta$$

for  $0 \leq t \leq T$ . For simplicity, we suppose  $\lambda = 1$  (other case is similar). If we take an eigenvector  $v \neq 0$  corresponding to  $\lambda$  with  $\|v\| < \delta'$ , then, by construction

$$d(x, p) = d(g(x), p) < \delta'.$$

Here  $x = \exp_p(v) \in \Pi_{p,r_0} \setminus \{p\}$ . Hence we see that there is a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  ( $\alpha(0) = 0$ ) such that  $d(Y_t(p), Y_{\alpha(t)}(x)) < \delta$  for all  $t \in \mathbb{R}$ . Thus  $x = Y_t(p)$  for some  $|t| \leq \varepsilon$ . This is a contradiction, because  $x \in \Pi_{p,r_0} \setminus \{p\}$ . Hence, by Theorem of Gan and Wen every  $X \in \text{int } \mathcal{E}(M)$  satisfies both Axiom A and the no-cycle condition since  $X$  is singular points free.

A proof of the quasi-transversality condition for  $X$  follows readily. Indeed, suppose that  $T_x W^s(x) \cap T_x W^u(x) \neq \{O_x\}$  for some  $x \in M$ . Then making use of Lemma 1, we can perturb  $X$  to  $C^1$  nearby  $Y$  such that for any  $\delta > 0$ , there exists  $y \notin Y_{\mathbb{R}}(x)$  satisfying  $d(Y_s(x), Y_{\alpha(s)}(y)) \leq \delta$  for all  $s \in \mathbb{R}$  for some continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  ( $\alpha(0) = 0$ ). This is a contradiction.  $\square$

To prove Proposition 2, we prepare more two lemmas that we need.

**Lemma 3.** *Let  $X \in \mathcal{QA}(M)$ , and let  $F^t : \mathcal{N} \rightarrow \mathcal{N}$  be the transformation group induced by the flow  $X_t$ . Then there exists an integer  $T > 0$  such that for any  $v \in \mathcal{N}$ ,*

$$\|F^T(v)\| \geq 3\|v\| \quad \text{or} \quad \|F^{-T}(v)\| \geq 3\|v\|.$$



**Proof.** There exists an integer  $T_0 > 0$  such that for any  $v \in \mathcal{N}$ , we have  $\|F^t(v)\| \geq 3\|v\|$  for some  $-T_0 < t < T_0$ . Indeed, if this is not true, then, for any integer  $n > 0$  there are  $x_n \in M$  and  $v_n \in N_{x_n}$  ( $\|v_n\| = 1$ ) such that  $\|F^t(v_n)\| < 3\|v_n\|$  for all  $-n < t < n$ . If we let  $x_n \rightarrow x \in M$  and  $v_n \rightarrow v_x \in N_x$  ( $\|v_x\| = 1$ ) as  $n \rightarrow \infty$ , then  $\|F^t(v_x)\| \leq 3$  for  $t \in \mathbb{R}$ . Thus,  $v_x = 0$  since  $X$  is quasi-Anosov. This is a contradiction.

Fix any  $v \in \mathcal{N}$  ( $\|v\| \neq 0$ ), and take a real number  $t_1 = t_1(v)$  such that

$$\sup_{|t| \leq T_0} \|F^t(v)\| = \|F^{t_1}(v)\|.$$

We suppose that  $t_1 > 0$  (other case is similar). Thus  $\|F^{t_1}(v)\| \geq 3\|v\|$ . Since  $-T_0 \leq t_1 - T_0 \leq t_1 \leq T_0$ , there exists a real number  $t_2 = t_2(v)$  with  $0 < t_1 < t_2 \leq t_1 + T_0$  such that

$$\sup_{t_1 - T_0 \leq t \leq t_1 + T_0} \|F^t(v)\| = \|F^{t_2}(v)\|.$$

Thus we have  $\|F^{t_2}(v)\| \geq 3\|F^{t_1}(v)\| \geq 3^2\|v\|$ . Continuing this manner, we can choose an increasing sequence  $t_k = t_k(v) \nearrow \infty$  of real numbers such that  $t_{k+1} - t_k < T_0$  and  $\|F^{t_k}(v)\| \geq 3^k\|v\|$ .

Let  $L > 0$  be a constant such that  $\|F^t(v)\| \geq L\|v\|$  for all  $v \in \mathcal{N}$  and  $-T_0 \leq t \leq T_0$ . Then for any  $t \geq 0$  and  $v \in \mathcal{N}$ , we see  $\|F^t(v)\| \geq L\|F^{t_k}(v)\|$  where  $0 < t_k \leq t \leq t_{k+1}$ , and so  $\|F^t(v)\| \geq L3^k\|v\|$ . Finally, pick an integer  $T > 0$  such that  $L3^{\lceil T/T_0 \rceil} \geq 3$ .  $\square$

Let  $X \in \mathcal{X}^1(M)$  have no singularities. Then, for any  $Y \in \mathcal{X}^1(M)$ ,  $C^0$ -nearby  $X$ , we can define  $F_Y^t : \mathcal{N}^Y \rightarrow \mathcal{N}^Y$  the transformation group induced from the flow  $Y_t$  as in the same way ( $X$  does not have singularities, neither does any  $C^0$  nearby  $Y$ ). Here  $\mathcal{N}^Y = \cup_{x \in M} N_x^Y$ , and  $N_x^Y$  is the orthogonal linear subspace of  $\langle Y(x) \rangle$  in  $T_x M$ .

**Remark 1.**  $\mathcal{QA}(M)$  is open in  $\mathcal{X}^1(M)$ .

Indeed, let  $X \in \mathcal{QA}(M)$ . Then, by Lemma 3 it is not hard to show that there exists  $v > 0$  such that  $d_{C^1}(X, Y) < v$  ( $Y \in \mathcal{X}^1(M)$ ) implies

$$\|F_Y^T(v)\| \geq 2\|v\| \quad \text{or} \quad \|F_Y^{-T}(v)\| \geq 2\|v\|.$$

for any  $v \in \mathcal{N}^Y$ .

Suppose that  $\|v\| \neq 0$  and  $\sup_{t \in \mathbb{R}} \|F_Y^t(v)\| < \infty$ . In case  $\|F_Y^T(v)\| \geq 2\|v\|$  (other case is similar), we see  $\|F_Y^{2T}(v)\| \geq 2\|F_Y^T(v)\|$ . For, if  $\|F_Y^{-T} \circ F_Y^T(v)\| \geq 2\|F_Y^T(v)\|$ , then

$$\|v\| \geq 2\|F_Y^T(v)\| \geq 2^2\|v\|.$$

This is a contradiction, and so  $\|F_Y^{2T}(v)\| \geq 2^2\|v\|$ . Continuing this manner, we have  $\|F_Y^{nT}(v)\| \geq 2^n\|v\|$  for all integer  $n > 0$ , which is a contradiction. Thus  $\mathcal{QA}(M)$  is open.

Now, suppose that  $X \in \mathcal{X}^1(M)$  has no singularities, and let  $X_t$  be the flow. Let  $\mathcal{N}^X = \cup_{x \in M} N_x^X$ , and let  $F_X^t : \mathcal{N}^X \rightarrow \mathcal{N}^X$  be the transformation group induced from  $X_t$ . Here  $N_x^X$  is the orthogonal linear subspace of  $\langle X(x) \rangle$  in  $T_x M$ . As before, we set  $N_{x,r}^X = N_x^X \cap T_x M(r)$  ( $r > 0$ ) for  $x \in M$ , and put  $\Pi_{x,r}^X = \exp_x(N_{x,r}^X)$ .

For any  $Y (\in \mathcal{X}^1(M))$   $C^1$  nearby  $X$ , we construct a Poincaré map with respect to  $\Pi_{x,r}^X$  (for some  $r$ ) in the whole space  $M$  modifying the technique used in [12, pp. 269–270]. The map will play an essential role in the proof of Proposition 2.

**Lemma 4.** *Under the above notation, there are a constant  $\rho > 0$ , a  $C^1$  neighborhood  $\mathcal{V} \subset \mathcal{X}^1(M)$  of  $X$  such that for any  $Y \in \mathcal{V}$ , there exists a  $C^1$  Poincaré map  $\varphi_{Y,x} : \Pi_{x,\rho}^X \rightarrow \Pi_{Y_1(x)}^X$  ( $x \in M$ ) satisfying*

- (1)  $\varphi_{Y,x}(x) = Y_1(x)$ ,
- (2)  $D_x \varphi_{X,x} = F_{X,x}^1$ ,
- (3)  $\varphi_{Y,x} \rightarrow \varphi_{X,x}$  ( $x \in M$ ) as  $Y \rightarrow X$  with respect to the  $C^1$  topology.

Here  $Y_t$  is the integrated flow of  $Y$ .

**Proof.** Suppose that  $X \in \mathcal{X}^1(M)$  has no singularities, and let  $X_t$  be the integrated flow. Fix  $\delta_1 > 0$ ,  $r_1 > 0$ , and a  $C^1$  neighborhood  $\mathcal{U}_0 \subset \mathcal{X}^1(M)$  of  $X$  small enough. For any  $Y \in \mathcal{U}_0$ ,  $-1 \leq s \leq 2$ , and  $-\delta_1 + s < t < \delta_1 + s$ , we define

$$\Phi(x, v; s, t, Y) = \exp_{Y_s(x)}^{-1} \circ Y_t \circ \exp_x v \quad \text{for } (x, v) \in TM(r_1).$$

Here  $Y_t$  is the integrated flow of  $Y$ .

Hereafter, we fix  $s = 1$ , and modify the flow  $\Phi(x, v; t, Y) = \Phi(x, v; 1, t, Y)$  on  $TM$  to preserve  $\mathcal{N}^X = \cup_{x \in M} N_x^X$ .

Fix any  $x \in M$  and let

$$\mu(x, v; t, Y) = \langle \Phi(x, v; t, Y), X(Y_1(x)) \rangle,$$

where  $\langle \cdot, \cdot \rangle$  is the Riemannian inner product on  $TM$ . Then  $\mu$  is  $C^1$  such that

$$\mu(x, 0; 1, X) = 0$$

and

$$\frac{\partial}{\partial t} \mu(x, 0; t, X)|_{t=1} = \langle X(X_1(x)), X(X_1(x)) \rangle \neq 0.$$

Therefore, by Implicit Function Theorem there exist a number  $0 < r \leq r_1$  and a  $C^1$  neighborhood  $\mathcal{U} \subset \mathcal{U}_0$  of  $X$  and a  $C^1$  function

$$\tilde{\tau} : T_{U_r(x)} M(r) \times \mathcal{U} \rightarrow \mathbb{R}$$

such that  $\mu(y, v; \tilde{\tau}(y, v; Y), Y) = 0$  for any  $(y, v) \in T_{U_r(x)}M(r)$  and  $Y \in \mathcal{U}$ . Here  $U_r(x)$  is an open ball in  $M$  center at  $x$  with radius  $r$ . By construction

$$\Phi(y, v; \tilde{\tau}(y, v; Y), Y) \in N_{Y_1(y)}^X$$

for  $v \in N_{y,r}^X$  and  $y \in U_r(x)$ .

Since  $M$  is compact, we can pick a finite number of points  $\{x_i\}_{i=1}^l \subset M$  and positive numbers  $\{\rho_i\}_{i=1}^l$ , and  $C^1$  neighborhoods  $\{\mathcal{U}_i\}_{i=1}^l$  such that  $M = \bigcup_{i=1}^l U_{\rho_i}(x_i)$  and the above functions  $\tilde{\tau}(x_i, v; Y)$  are defined for

$$(y, v; Y) \in T_{U_{\rho_i}(x_i)}M(\rho_i) \times \mathcal{U}_i.$$

If we set

$$\rho = \min_{1 \leq i \leq l} \rho_i \quad \text{and} \quad \mathcal{V} = \bigcap_{1 \leq i \leq l} \mathcal{U}_i,$$

then the function  $\tilde{\tau}(x, v; Y)$  is well defined on the local tangent bundle

$$TM(\rho) = \bigcup_{x \in M} T_x M(\rho)$$

for any  $Y \in \mathcal{V}$ .

Define

$$\varphi_{Y,x}(y) = Y_{\tilde{\tau}(x, \exp_x^{-1} y; Y)}(y)$$

for  $y \in \Pi_{x,\rho}^X$  ( $x \in M$ ). Then  $\varphi_{Y,x}$  is  $C^1$  and  $\varphi_{Y,x}(\Pi_{x,\rho}^X) \subset \Pi_{Y_1(x)}^X$  for  $x \in M$ . Clearly,  $\varphi_{Y,x} \rightarrow \varphi_{X,x}$  ( $x \in M$ ) as  $Y \rightarrow X$  with respect to the  $C^1$  topology.

Now we show that the derivative of  $\varphi_{X,x}$  at the zero vector  $0_x \in T_x M$  ( $x \in M$ ) coincides with  $F_{X,x}^1 : N_x^X \rightarrow N_{X_1(x)}^X$ . That is

$$D_x \varphi_{X,x} = F_{X,x}^1$$

for all  $x \in M$ . Indeed, we see

$$D_x \varphi_{X,x}(w) = D_x X_1(w) + X(X_1(x)) \cdot \frac{d\tilde{\tau}}{dv}(w)$$

for  $w \in N_x^X$  by definition. On the other hand, since  $D_x \varphi_{X,x}(N_x^X) = N_{X_1(x)}^X$ , we have

$$\pi(D_x X_1(w)) = D_x X_1(w) + X(X_1(x)) \cdot \frac{d\tilde{\tau}}{dv}(w) \in N_{X_1(x)}^X,$$

where  $\pi : TM \rightarrow \mathcal{N}^X$  is the projection along  $X$ . Thus  $D_x \varphi_{X,x}(w) = F_{X,x}^1(w)$  for  $w \in N_x^X$  and  $x \in M$ .  $\square$

**Remark 2.** Suppose that  $X \in \mathcal{X}^1(M)$  has no singularities, and let  $\rho > 0$ ,  $\mathcal{V}$ , and  $\varphi_{Y,x}$  ( $x \in M, Y \in \mathcal{V}$ ) be given by Lemma 4.

(1) For simplicity, denote by  $\varphi_{Y,x}^n$  the composition map

$$\varphi_{Y,Y_{n-1}(x)} \circ \varphi_{Y,Y_{n-2}(x)} \circ \cdots \circ \varphi_{Y,x}$$

for  $n \geq 1$ . Clearly, we can proceed in the same way for negative powers of  $\varphi_{Y,x}$  for  $x \in M$ .

(2) Reducing  $\mathcal{V}$  if necessary, we can see the following property; for any  $c > 0$  and  $\varepsilon > 0$  there exists  $\delta = \delta(\mathcal{V}, c, \varepsilon) > 0$  such that if  $Y \in \mathcal{V}$ , a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  ( $\alpha(0) = 0$ ), and a pair of points  $x, y \in M$  satisfy

$$d(Y_t(x), Y_{\alpha(t)}(y)) \leq \delta \quad \text{for all } t \in \mathbb{R},$$

then there is  $y' \in \Pi_{x,\rho}^X$  with

- (i)  $y' = Y_s(y)$  for some  $|s| \leq \varepsilon$ ,
- (ii)  $d(\varphi_{Y,x}^n(x), \varphi_{Y,x}^n(y')) \leq c$  for all  $n \in \mathbb{Z}$ .

**Proof of Proposition 2.** Let  $X \in \mathcal{QA}(M)$ , and let  $\rho > 0$ ,  $\mathcal{V} \subset \mathcal{X}^1(M)$  and  $\varphi_{Y,x}$  ( $x \in M, Y \in \mathcal{V}$ ) be given by Lemma 4. We show that there exist a  $C^1$  neighborhood  $\mathcal{V}_0 (\subset \mathcal{V})$  of  $X$  and a constant  $c > 0$  such that for any  $Y \in \mathcal{V}_0$ , if  $d(\varphi_{Y,x}^n(x), \varphi_{Y,x}^n(y)) \leq c$  ( $x \in M, y \in \Pi_{x,\rho}^X$ ) for all  $n \in \mathbb{Z}$ , then  $x = y$ . If this is established, then for any  $Y \in \mathcal{V}_0$ , the flow  $Y_t$  must be expansive with a common expansive constant.

Indeed, for any  $\varepsilon > 0$ , let  $\delta = \delta(\mathcal{V}, c, \varepsilon) > 0$  be the number given by Remark 2(2). Fix any  $Y \in \mathcal{V}_0 (\subset \mathcal{V})$ , and let  $Y_t$  be the flow. Then, for any continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  ( $\alpha(0) = 0$ ) and any pair of points  $x, y \in M$ , if  $d(Y_t(x), Y_{\alpha(t)}(y)) \leq \delta$  for all  $t \in \mathbb{R}$ , then there is  $y' = Y_s(y) \in \Pi_{x,\rho}^X$  with  $|s| \leq \varepsilon$  such that

$$d(\varphi_{Y,x}^n(x), \varphi_{Y,x}^n(y')) \leq c \quad \text{for all } n \in \mathbb{Z}$$

by Remark 2(2)(i) and (ii). Thus we have  $x = y'$ . Since  $x = Y_s(y)$  with  $|s| \leq \varepsilon$ , the above  $\delta$  is a common expansive constant corresponding to  $\varepsilon$  with respect to  $Y_t$  ( $Y \in \mathcal{V}_0$ ), and hence Proposition 2 is proved.

Now, let  $F_X^t : \mathcal{N}^X \rightarrow \mathcal{N}^X$  be the transformation group induced from the flow  $X_t$ , and let  $T > 0$  be the integer given by Lemma 3. Then, for any  $v \in \mathcal{N}^X$ ,

$$\|F_X^T(v)\| \geq 3\|v\| \quad \text{or} \quad \|F_X^{-T}(v)\| \geq 3\|v\|.$$

Thus

$$\|D_x \varphi_X^T(v)\| \geq 3\|v\| \quad \text{or} \quad \|D_x \varphi_X^{-T}(v)\| \geq 3\|v\|$$

for any  $v \in N_x^X$  since  $\varphi_X = F_X^1$  (see Remark 2(1)). By Lemma 4(3), there exists a  $C^1$  neighborhood  $\mathcal{V}_0 \subset \mathcal{V}$  of  $X$  such that for any  $Y \in \mathcal{V}_0$ ,

$$\|D_x \varphi_Y^T(v)\| \geq 2\|v\| \quad \text{or} \quad \|D_x \varphi_Y^{-T}(v)\| \geq 2\|v\|$$

for any  $v \in N_x^X$  and  $x \in M$ . Set

$$K = \sup_{x \in M, Y \in \mathcal{V}_0} \|D_x \varphi_Y\|.$$

Fix  $\varepsilon' > 0$  with

$$\varepsilon'(1 + K + K^2 + K^3 + \dots + K^{T-1}) < 1/2.$$

Then, reducing  $\mathcal{V}_0$  if necessary, we can take  $0 < c = c(\varepsilon', \mathcal{V}_0) < \rho$  such that

$$\|\exp_{\varphi_Y^\sigma}^{-1} \circ \varphi_Y^\sigma \circ \exp_x v - D_x \varphi_Y^\sigma(v)\| < \|v\| \varepsilon' \quad (x \in M)$$

if  $\|v\| \leq c$  ( $v \in \mathcal{N}^X$ ,  $\sigma = \pm 1$ ) for any  $Y \in \mathcal{V}_0$ . We show that for any  $Y \in \mathcal{V}_0$ , if

$$d(\varphi_{Y,x}^n(x), \varphi_{Y,x}^n(y)) \leq c \quad \text{for all } n \in \mathbb{Z}$$

( $x \in M$ ,  $y \in \Pi_{x,\rho}^X$ ), then  $x = y$ .

Hereafter, for simplicity, we denote  $\varphi_Y$ ,  $N_{x,\rho}^X$ , and  $\Pi_{x,\rho}^X$  by  $\varphi$ ,  $N_{x,\rho}$ , and  $\Pi_{x,\rho}$ , respectively. If the above assertion is false, then there are distinct points  $x$  and  $y \in \Pi_{x,\rho}$  such that  $d(\varphi^n(x), \varphi^n(y)) \leq c$  for all  $n \in \mathbb{Z}$ . Let

$$c' = \sup_{n \in \mathbb{Z}} d(\varphi^n(x), \varphi^n(y)) \leq c$$

and take  $\delta'$  with  $0 < \delta' \leq c'/4$ . Obviously, we see  $c' - \delta' < d(\varphi^i(x), \varphi^i(y)) \leq c'$  for some  $i \in \mathbb{Z}$ .

Put  $z = \varphi^i(x)$ ,  $w = \varphi^i(y)$ , and  $v = \exp_z^{-1} w \in N_{z,\rho}$ . Then

$$c' - \delta' < \|v\| = d(z, w)$$

and  $\|D_z \varphi^n(v)\| \geq 2\|v\|$  for some  $|n| = T$ . We treat the case  $\|D_z \varphi^T(v)\| \geq 2\|v\|$  (other case is similar). Since  $\|v\| = d(z, w) \leq c'$  we have

$$\|\exp_{\varphi(z)}^{-1} \circ \varphi \circ \exp_z v - D_z \varphi(v)\| < \|v\| \varepsilon',$$

and so  $\|D_z \varphi(v)\| < c'(1 + \varepsilon')$  since  $\|\exp_{\varphi(z)}^{-1} \circ \varphi \circ \exp_z v\| = d(\varphi(z), \varphi(w)) \leq c'$ . Moreover

$$\begin{aligned} & \|\exp_{\varphi^2(z)}^{-1} \circ \varphi^2 \circ \exp_z v - D_z \varphi^2(v)\| \\ & \leq \|\exp_{\varphi^2(z)}^{-1} \circ \varphi^2 \circ \exp_z v - D_{\varphi(z)} \varphi(\exp_{\varphi(z)}^{-1} \circ \varphi \circ \exp_z v)\| \\ & \quad + \|D_{\varphi(z)} \varphi(\exp_{\varphi(z)}^{-1} \circ \varphi \circ \exp_z v) - D_z \varphi^2(v)\| \\ & \leq c' \varepsilon' + K c' \varepsilon' \\ & = c' \varepsilon' (1 + K) \end{aligned}$$

and hence,

$$\|\exp_{\varphi^2(z)}^{-1} \circ \varphi^2 \circ \exp_z v\| = d(\varphi^2(z), \varphi^2(w)) \leq c'$$

implies  $\|D_z \varphi^2(v)\| \leq c'\{1 + \varepsilon'(1 + K)\}$ . By induction we have

$$2\|v\| \leq \|D_z \varphi^T(v)\| \leq c'\{1 + \varepsilon'(1 + K + K^2 + K^3 + \dots + K^{T-1})\}.$$

Thus  $c' - \delta' < \|v\| \leq 3c'/4$  so that  $c'/4 < \delta'$ . This is a contradiction.  $\square$

#### 4. Proof of Theorem B

Before starting a proof, we prove the following result which has been already stated in [16] for a continuous expansive flow  $X_t$  possessing the shadowing property.

As stated before, to show that the map  $h$  between the orbits of  $X_t$  and the orbits of a perturbation flow  $Y_t$  is injective, we have to clarify the relationship between the expansive constant of  $X_t$  and that of  $Y_t$ . However, in the original proof, the way of choice of the expansive constant for the perturbation flow  $Y_t$  seems not so clear for the authors.

In this paper, following [16] closely we show that the map  $h$  is injective in case both  $X_t$  and  $Y_t$  have a common expansive constant.

**Proposition 3.** *Let  $X_t$  be an expansive flow on  $M$  possessing the shadowing property. Then  $X_t$  is topologically stable, and for any continuous flow  $Y_t$   $C^0$  nearby  $X_t$ , if both*

$X_t$  and  $Y_t$  have a common expansive constant, then the continuous map  $h$  between the orbits of  $X_t$  and the orbits of  $Y_t$  is injective.

**Proof.** Let  $X_t$  be a continuous expansive flow on  $M$  and assume that  $X_t$  has the shadowing property. Then,  $X_t$  has no fixed points so that there exists  $T_0 > 0$  as in the assertion of [16, Lemma 3.4].

Fix  $0 < \varepsilon < T_0/2$ . Since  $X_t$  is expansive, for this  $\varepsilon$ , there is  $e > 0$  such that if  $d(X_{\alpha(t)}(x), X_t(y)) \leq 4e$  for all  $t \in \mathbb{R}$ , for a pair of points  $x, y \in M$ , and for a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  with  $\alpha(0) = 0$ , then  $y = X_t(x)$  where  $|t| \leq \varepsilon$ . Thus  $4e$  is an expansive constant corresponding to  $\varepsilon$  with respect to  $X_t$ .

Now, for  $\varepsilon$ , let  $\zeta > 0$  be a number such that  $d(X_\varepsilon(y), y) \geq 2\zeta$  for all  $y \in M$  (see [16, Lemma 3.4]). For  $\varepsilon$ , let  $0 < r < \min\{\varepsilon, e\}$  be the number given by [16, Lemma 3.3] such that if  $x = X_t(y)$  ( $x, y \in M$ ) and  $|t| < r$ , then  $d(x, y) \leq e$ . Since  $0 < r < T_0/2$ , by [16, Lemma 3.4] there is  $\gamma > 0$  such that  $d(X_r(y), y) \geq \gamma$  for all  $y \in M$ .

Again, since  $X_t$  is expansive, for the above  $r$ , there is  $0 < \varepsilon' < \min\{\zeta, r, \gamma\}$  such that if  $d(X_{\alpha(t)}(x), X_t(y)) \leq \varepsilon'$  for all  $t \in \mathbb{R}$ , for a pair of points  $x, y \in M$ , and for a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  with  $\alpha(0) = 0$ , then  $y = X_t(x)$  where  $|t| \leq r$ . Hence  $\varepsilon'$  is an expansive constant corresponding to  $r$  with respect to  $X_t$ .

Choose  $\delta > 0$  with  $0 < \delta < \min\{\zeta, \varepsilon/12\}$  such that

- (a) every  $(\delta, 1)$ -pseudo-orbit is  $\varepsilon/12$ -shadowed by an orbit of  $X_t$ ,
- (b) for every  $x, y \in M$ ,  $d(x, y) < \delta$  implies  $d(X_t(x), X_t(y)) < \varepsilon'/12$  for all  $t \in [0, 1]$ .

Let  $Y_t$  be a given perturbation flow on  $M$  with  $d_{C^0}(X_t, Y_t) < \delta$ . Then it is proved in [16, Proof of Theorem 3, pp. 491–496] that there exists a continuous map  $h : M \rightarrow M$  so that  $d(h(x), x) < \varepsilon$  ( $x \in M$ ) and

$$h(\text{orbit of } Y_t) \subset \text{orbit of } X_t.$$

Thus  $X_t$  is topologically stable. Furthermore, by the choice of  $\delta$  we see that

$$d(Y_\varepsilon(x), x) \geq d(X_\varepsilon(x), x) - d(X_\varepsilon(x), Y_\varepsilon(x)) \geq \zeta$$

for all  $x \in M$ .

Notice that  $Y_t$  has a common expansive constant as  $X_t$  by assumption. Thus  $4e$  is also an expansive constant corresponding to  $\varepsilon$  with respect to  $Y_t$ , so that if  $d(Y_{\alpha(t)}(y_1), Y_t(y_2)) \leq 4e$  for all  $t \in \mathbb{R}$ , for a pair of points  $y_1, y_2 \in M$ , and a continuous map  $\alpha : \mathbb{R} \rightarrow \mathbb{R}$  with  $\alpha(0) = 0$ , then  $y_2 = Y_t(y_1)$  for some  $t$  where  $|t| \leq \varepsilon$ . Therefore, by following the proof of Theorem 4 [16, pp. 497–499] we can see that the semiconjugacy  $h$  between  $X_t$  and  $Y_t$  is injective.  $\square$

**Proof of Theorem B.** It is well known that every Anosov vector field has the shadowing property, and in [13] Robinson proved that if  $X \in \mathcal{X}^1(M)$  satisfies both Axiom A and structural stability, then  $X$  satisfies the strong transversality condition. Thus, to prove Theorem B, it only remains to show that if  $X \in \text{int } \mathcal{E}(M)$  has the shadowing property,

then  $X$  is structurally stable. However, this fact quickly follows combining Propositions 2 with 3 since  $\mathcal{QA}(M) = \text{int } \mathcal{E}(M)$  by Theorem A.  $\square$

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