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The proportion of Weierstrass semigroups

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ABSTRACT

We solve a problem of Komeda concerning the proportion of numerical semigroups which do not satisfy Buchweitz' necessary criterion for a semigroup to occur as the Weierstrass semigroup of a point on an algebraic curve. A result of Eisenbud and Harris gives a sufficient condition for a semigroup to occur as a Weierstrass semigroup. We show that the family of semigroups satisfying this condition has density zero in the set of all semigroups. In the process, we prove several more general results about the structure of a typical numerical semigroup.

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1. Introduction

A numerical semigroup S is an additive submonoid of \mathbb{N} such that $\mathbb{N} \setminus S$ is finite. The complement is referred to as the gap set and is denoted by H(S). Its size is called the genus of S and is usually denoted by g(S). The largest of these gaps is called the Frobenius number, denoted F(S), and the smallest nonzero nongap is called the multiplicity, denoted m(S). When it will not cause confusion we will omit the S and write g, F and m. A very good source for background on numerical semigroups is [9].

Let C be a smooth projective algebraic curve of genus g over the complex numbers. It is a theorem of Weierstrass that given any $p \in C$ there are exactly g integers $\alpha_i(p)$ with $1 = \alpha_1(p) < \cdots < \alpha_g(p) \leqslant 2g-1$ such that there does not exist a meromorphic function f on C which has a pole of order $\alpha_i(p)$ at p and no other singularities [5]. This characterization makes it clear that the set $\mathbb{N} \setminus \{\alpha_1(p), \ldots, \alpha_g(p)\}$ is a numerical semigroup of genus g. We say that a semigroup S is Weierstrass

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if there exist some curve C and some point $p \in C$ such that S is this semigroup. In the late 19th century, Hurwitz suggested studying which numerical semigroups are Weierstrass [8].

A point p such that $(\alpha_1(p), \ldots, \alpha_g(p)) \neq (1, \ldots, g)$ is called a Weierstrass point of C, and it is known that there are at most $g^3 - g$ such points. It is an active area of research to consider a multiset S of at most $g^3 - g$ semigroups of genus g and study the set of curves for which S is the collection of semigroups of the Weierstrass points of the curve. This multiset gives us important information about the geometry of the curve. We would like to better understand, for example, the dimension of the moduli space of curves with a fixed collection of semigroups attached to their Weierstrass points. For more on the history of this problem see the article of del Centina [5], or the book [1].

In this paper we focus on two criteria that address this problem of Hurwitz. The first is a simple combinatorial criterion of Buchweitz which is necessary for a semigroup to occur as the Weierstrass semigroup of some point on some curve C [4]. This condition gave the first proof that not all semigroups are Weierstrass. In the final section of the paper we consider a criterion of Eisenbud and Harris [6], which shows that certain semigroups are Weierstrass. These two simple criteria cover much of what we know about this problem. The main result of this paper is to show that in some sense, both of the sets covered by these criteria have density zero in the entire set of numerical semigroups. The overall proportion of Weierstrass semigroups remains completely unknown.

Let N(g) be the number of numerical semigroups of genus g. Recent work of Zhai [15], building on work of Zhao [16], gives a better understanding of the growth of N(g). These papers build towards resolving a conjecture of Bras-Amorós [3].

Theorem 1 (*Zhai*). The function N(g) satisfies

$$\lim_{g\to\infty}\frac{N(g)}{\varphi^g}=C,$$

where C>0 is a constant and $\varphi=\frac{1+\sqrt{5}}{2}$ is the golden ratio.

This result will play an important role in some of our proofs. We next recall the criterion of Buchweitz [4].

Proposition 2 (Buchweitz). Let S be a semigroup of genus g and let H(S) be the set of gaps of S. Suppose that there exists some n > 1 such that

$$\left| nH(S) \right| > (2n-1)(g-1),$$

where nH(S) is the n-fold sum of the set H(S). Then S is not Weierstrass.

Let NB(g) be the number of semigroups S of genus g for which there is some n such that S does not satisfy the Buchweitz criterion with this n. Let $NB_2(g)$ be the number of semigroups S of genus g such that |2H(S)| > 3(g-1). Komeda seems to be the first to have studied $\lim_{g\to\infty} \frac{NB_2(g)}{N(g)}$ [11].

The following is part of a table included in [11]:

g	N(g)	$NB_2(g)$	$\frac{NB_2(g)}{N(g)}$
16	4806	2	.000416
17	8045	6	.000746
18	13 467	15	.001114
19	22 464	31	.001380
20	37 396	67	.001792
21	62 194	145	.002331
22	103 246	293	.002838
23	170 963	542	.003170
24	282 828	1053	.003723
25	467 224	1944	.004161

One main goal of this paper is to show that this limit is 0. In fact, we will show that the limit of the ratio of NB(g) to N(g) is 0. The key step in this argument will be a technical result building on work of Zhai [15].

In the final part of the paper we will focus on the proportion of semigroups which are known to occur as Weierstrass semigroups. Eisenbud and Harris [6], have shown that a certain class of semigroups with F < 2m do occur as Weierstrass semigroups. We will show that the proportion of such semigroups is 0 as g goes to infinity.

2. Semigroups satisfying the Buchweitz criterion

We first show that certain classes of semigroups cannot possibly fail the Buchweitz criterion for any n. Fix $\varepsilon > 0$ and suppose that S is a semigroup with $(2 - \varepsilon)m < F < (2 + \varepsilon)m$. We want to consider when S fails the Buchweitz criterion for some chosen value of n. We have,

$$|nH| \leq (2+\varepsilon)nm - (n-1).$$

Therefore, |nH| > (2n-1)(g-1) implies that

$$g\leqslant \frac{(2+\varepsilon)nm+n}{2n-1}=(2+\varepsilon)\frac{nm}{2n-1}+\frac{n}{2n-1}<\left(2+\frac{1}{20}\right)\frac{nm}{2n-1}$$

whenever $\varepsilon < \frac{1}{20} - \frac{1}{m}$. We see that this inequality holds for any $\varepsilon < \frac{1}{21}$ and $m \geqslant 420$. We note that since $n \geqslant 2$ is an integer,

$$\left(2+\frac{1}{20}\right)\frac{n}{2n-1}\leqslant \frac{41}{30}<1.3667.$$

We will state the results of the above paragraph as a proposition.

Proposition 3. Let $\varepsilon < \frac{1}{21}$ and $m \geqslant 420$.

Suppose that S is a semigroup with $(2 - \varepsilon)m < F < (2 + \varepsilon)m$. Then |nH| > (2n - 1)(g - 1) implies g < 1.3667m.

The main technical result of the rest of this paper is that the restriction on the genus in the proposition does not occur often.

Theorem 4.

(1) Fix $\varepsilon > 0$. Let A(g) be the number of semigroups of genus g satisfying $(2 - \varepsilon)m < F < (2 + \varepsilon)m$. Then

$$\lim_{g \to \infty} \frac{A(g)}{N(g)} = 1.$$

(2) Let B(g) be the number of semigroups of genus g with m < 420. Then

$$\lim_{g\to\infty}\frac{B(g)}{N(g)}=0.$$

(3) Let C(g) be the number of semigroups of genus g with g < 1.3667m. Then

$$\lim_{g\to\infty}\frac{C(g)}{N(g)}=0.$$

The claim for A(g) follows directly from Proposition 10 and Theorem 13 below. Suppose we have established this claim. The proportion of numerical semigroups of genus g with Frobenius number at least $(2 + \varepsilon)m$ goes to 0 as g goes to infinity. For any ε the number of semigroups with Frobenius number at most $(2 + \varepsilon)420$ is finite. Therefore, as g goes to infinity, almost all semigroups satisfying $(2 - \varepsilon)m < F < (2 + \varepsilon)m$ have m > 420. This establishes the claim for B(g). The statement regarding C(g) follows from Corollary 8 and Proposition 16.

From Theorem 4 it is easy to prove our main theorem.

Theorem 5. Let NB(g) be the number of semigroups of genus g which fail the Buchweitz criterion for some n. Then

$$\lim_{g\to\infty}\frac{NB(g)}{N(g)}=0.$$

Proof. Suppose Theorem 4 holds. Choose $\varepsilon < \frac{1}{21}$. Theorem 4 implies that almost all semigroups have Frobenius number and multiplicity in the range given in the statement of Proposition 3, but that almost no such semigroups satisfy g < 1.3667m, completing the proof. \Box

3. Apéry sets and semigroups with F < 2m

The Apéry set of a numerical semigroup S with respect to its multiplicity m, often just called the Apéry set, is a set of m nonnegative integers giving for each $0 \le i \le m-1$ the smallest integer in S congruent to i modulo m [9]. We will omit 0 from this set, and represent the Apéry set by $\{k_1m+1,\ldots,k_{m-1}m+m-1\}$ where each $k_i \in \mathbb{N}$. We note that there are exactly k_i gaps of S equivalent to i modulo m, and therefore the genus of S is $\sum_{i=1}^{m-1} k_i$. The Frobenius number is the largest Apéry set element minus m.

From the definition of the Apéry set it is clear that certain inequalities must hold between the integers k_i . In fact, a result of Branco, García-García, García-Sánchez and Rosales [2] gives a set of inequalities which completely determine whether the set $\{k_1m+1,\ldots,k_{m-1}m+m-1\}$ is the Apéry set of a numerical semigroup of multiplicity m.

Proposition 6 (Rosales et al.). Consider the following set of inequalities:

$$x_{i} \geqslant 1$$
 for all $i \in \{1, ..., m-1\}$, $x_{i} + x_{j} \geqslant x_{i+j}$ for all $1 \leqslant i \leqslant j \leqslant m-1$, $i+j \leqslant m-1$, $x_{i} + x_{j} + 1 \geqslant x_{i+j-m}$ for all $1 \leqslant i \leqslant j \leqslant m-1$, $i+j > m$, $x_{i} \in \mathbb{Z}$ for all $i \in \{1, ..., m-1\}$, $\sum_{i=1}^{m-1} x_{i} = g$.

There is a one-to-one correspondence between semigroups with multiplicity m and genus g and solutions to the above inequalities, where we identify the solution $\{k_1, \ldots, k_{m-1}\}$ with the semigroup that has Apéry set $\{k_1m+1, \ldots, k_{m-1}m+m-1\}$.

Recent work has made use of this correspondence, counting semigroups by counting valid Apéry sets, for example [10]. This can be very useful in giving numerical results. We will separately consider two classes of semigroups, those with F < 2m and those with 2m < F < 3m. The first case is much simpler. Note that F < 2m is exactly equivalent to the condition that each k_i is equal to 1 or 2. Given m, the above proposition implies that any set $\{k_1, \ldots, k_{m-1}\}$ where each k_i is either 1 or 2 gives the Apéry set of some numerical semigroup.

Suppose we have a semigroup of genus g with F < 2m and Apéry set given by $\{k_1m + 1, k_2m + 1, k_3m + 1, k_4m + 1, k_5m +$..., $k_{m-1}m+m-1$ }. Let R be the number of k_i which are equal to 2. We see that m-1+R=g and that R can take on any value from 0 to m-1. Therefore we have that m can take on any value from $\lfloor \frac{g+2}{2} \rfloor$ to g+1. Given a pair of m and R such that m-1+R=g we see that there are $\binom{m-1}{R}=\binom{g-R}{R}$ choices for the R values of i such that $k_i=2$. It is a straightforward inductive exercise to prove that

$$\sum_{R=0}^{\lfloor \frac{g}{2} \rfloor} {g-R \choose R} = F_{g+1},$$

the g + 1st Fibonacci number.

It is well-known that F_{g+1} is asymptotic to $\frac{\varphi}{\sqrt{5}}\varphi^g = \frac{5+\sqrt{5}}{10}\varphi^g$ as g goes to infinity. The above sum is very tightly clustered around its maximum value.

Proposition 7. Let $\alpha = \frac{5-\sqrt{5}}{10}$ and fix $\varepsilon > 0$. We have

$$\sum_{R=0}^{\lceil (\alpha-\varepsilon)g \rceil} {g-R \choose R} = o(\varphi^g).$$

We also have

$$\sum_{R=|(\alpha+\varepsilon)g|}^{\lfloor \frac{g}{2}\rfloor} \binom{g-R}{R} = o(\varphi^g).$$

Proof. Stirling's approximation says that $n! \sim \sqrt{2\pi n} (\frac{n}{a})^n$. Therefore,

$$\binom{(1-c)n}{cn} = \frac{((1-c)n)!}{(cn)!((1-2c)n)!}$$

$$\sim \frac{\sqrt{2\pi(1-c)n}}{\sqrt{2\pi(1-2c)n}\sqrt{2\pi cn}} \frac{e^{cn}e^{(1-2c)n}}{e^{(1-c)n}} \frac{((1-c)n)^{(1-c)n}}{((1-2c)n)^{(1-2c)n}(cn)^{cn}}$$

$$= \frac{1}{\sqrt{2\pi n}} \frac{\sqrt{1-c}}{\sqrt{c}\sqrt{1-2c}} \left(\frac{(1-c)^{1-c}}{c^c(1-2c)^{1-2c}}\right)^n.$$

We see that $\binom{(1-c)n}{cn}$ is asymptotic to a constant depending on c divided by \sqrt{n} times $\left(\frac{(1-c)^{1-c}}{c^c(1-2c)^{1-2c}}\right)^n$.

Let $f(c) = \frac{(1-c)^{1-c}}{c^c(1-2c)^{1-2c}}$. We claim that f attains its maximum value in the range from 0 to $\frac{1}{2}$ at $\frac{5-\sqrt{5}}{10}$. We instead find the maximum value of $\ln(f(c))$ in this range. We can see that the derivative of $\ln(f(c))$ is $2\ln(1-2c)-\ln(1-c)-\ln(c)$. Taking an exponential, we see that this is equal to 0 when $\frac{(1-2c)^2}{(1-c)c}=1$. This gives $5c^2-5c+1=0$, which has roots at $c=\frac{5\pm\sqrt{5}}{10}$. Only one of these roots occurs in the range for which c<1-c, meaning that this is our unique critical point in this interval. By choosing any value of c between 0 and this critical point, for example $c = \frac{1}{4}$, we see that f(c) is increasing in the range from 0 to our critical value, showing that f(c) attains a maximum at $c=\frac{5-\sqrt{5}}{10}.$ At this value, $f(c)=\varphi$, the golden ratio. Therefore,

$$\sum_{R=0}^{\lceil (\alpha-\varepsilon)g\rceil} \binom{g-R}{R} \leqslant (g+1) \binom{g-\lfloor (\alpha-\varepsilon/2)g\rfloor}{\lfloor (\alpha-\varepsilon/2)g\rfloor},$$

for g sufficiently large. This is asymptotic to a constant depending on ε times \sqrt{g} times $\left(\frac{(1-\varepsilon)^{1-\varepsilon}}{c^{\varepsilon}(1-2\varepsilon)^{1-2\varepsilon}}\right)^g$ for $c=\alpha-\varepsilon/2$. This last term is r^g where $r<\varphi$, showing that this sum is $o(\varphi^g)$.

We also have

$$\sum_{R=\lfloor (\alpha+\varepsilon)g\rfloor}^{\lfloor \frac{g}{2}\rfloor} \binom{g-R}{R} \leqslant (g+1) \binom{g-\lceil (\alpha+\varepsilon/2)g\rceil}{\lceil (\alpha+\varepsilon/2)g\rceil},$$

for sufficiently large g. This is also asymptotic to a constant depending on ε times \sqrt{g} times some r^g where $r < \varphi$. This sum is therefore also $o(\varphi^g)$. \square

We first state a corollary related to the ratio of the multiplicity to the genus of a semigroup with F < 2m.

Corollary 8. Fix $\epsilon > 0$ and $\gamma = \frac{5+\sqrt{5}}{10}$. Let $E_{\epsilon}(g)$ be the number of numerical semigroups with F < 2m and $(\gamma - \epsilon)g < m < (\gamma + \epsilon)g$. Let I(g) be the number of numerical semigroups with F < 2m. Then $\lim_{g \to \infty} \frac{E_{\epsilon}(g)}{I(g)} = 1$.

Proof. We have g=m-1+R and have seen that almost all semigroups with F<2m have $(\alpha-\epsilon)g< R<(\alpha+\epsilon)g$ for $\alpha=\frac{5-\sqrt{5}}{10}$. Since $1-\alpha=\gamma$, we see that almost all semigroups with F<2m have $(\gamma-\epsilon)g+1< m<(\gamma+\epsilon)g+1$. Taking g to infinity completes the proof. \square

This proposition implies that for g sufficiently large, almost all semigroups S with genus g and F < 2m have genus m-1+R close to $m-1+\alpha g$. We note that when $R > \frac{g}{4}+1$, since m-1+R=g we have $m-1 < \frac{3g}{4}-1$. Also note that $\frac{5-\sqrt{5}}{10} > \frac{1}{4}$. In this case, we see that since our largest gap is at most 2m-1, we have

$$|nH| < n(2m-1) - (n-1) = 2n(m-1) + 1 < \frac{3n}{2}g - (2n-1) \leqslant (2n-1)(g-1),$$

since $n \ge 2$. Therefore, we see that almost no semigroup with F < 2m fails the Buchweitz criterion for any n. We have proven the following, the easy part of our main result.

Proposition 9. Let D(g) be the number of semigroups of genus g with F < 2m and which fail the Buchweitz criterion for some n. Then

$$\lim_{g\to\infty}\frac{D(g)}{N(g)}=0.$$

The following proposition will play a part in the proof of Theorem 4.

Proposition 10. Let $\epsilon > 0$. Let $N_{\epsilon}^{\epsilon}(g)$ be the number of semigroups of genus g with $F \leq (2 - \epsilon)m$. We have

$$\lim_{g \to \infty} \frac{N_{\epsilon}^*(g)}{N(g)} = 0.$$

Proof. We note that $N_{\epsilon}^*(g) = \sum_{R=0}^{\lfloor \frac{g}{2} \rfloor} {\lfloor (1-\epsilon)(g-R) \rfloor \choose R}$. We note that for $\epsilon' < \epsilon/2$ we have $(1-\epsilon)(g-R) < (1-\epsilon')g-R$ since $\frac{\epsilon}{\epsilon-\epsilon'} < 2 \leqslant \frac{g}{R}$. Therefore, for sufficiently large g we get an upper bound for this sum that is g+1 times the maximum value of ${\lfloor (1-\epsilon')g \rfloor - R \choose R}$. By the proof of Proposition 7, this value is asymptotic to some constant depending on ϵ' and c divided by the square root of $(1-\epsilon')g$, times c0 for some c1. This shows that the sum is c2.

Finally, the following proposition will be convenient for the proof of Theorem 22.

Proposition 11. For any $\epsilon > 0$, there exists a $\delta > 0$ so that

$$\sum_{R=0}^{\lceil \delta g \rceil} {g-R \choose R} = o((1+\epsilon)^g).$$

Proof. Consider again the function $f(c) = \frac{(1-c)^{1-c}}{c^c(1-2c)^{1-2c}}$. Recall from the proof of Proposition 7 that $\binom{(1-c)g}{cg}$ is asymptotic to $f(c)^g$ times a constant depending on c divided by \sqrt{g} . As c approaches 0, L'Hôpital's rule shows that $c \ln c = \frac{\ln c}{1/c}$ approaches 0, and thus c^c approaches 1. Then f(c) approaches 1 as c approaches 0. The proposition follows directly. \Box

4. Semigroups with F > 2m

We first recall a recent result of Zhai [15], building on work of Zhao [16], that shows that we can focus on semigroups with 2m < F < 3m.

Theorem 12 (*Zhai*). Let L(g) be the number of semigroups with F > 3m and genus g. Then

$$\lim_{g\to\infty}\frac{L(g)}{N(g)}=0.$$

In the rest of this section we will focus on the semigroups with 2m < F < 3m in more detail and show that for any $\varepsilon > 0$, the proportion of them with $(2 + \varepsilon)m < F < 3m$ goes to zero as g goes to infinity.

Theorem 13. Let $\varepsilon > 0$ and let $P_{\varepsilon}(g)$ be the number of semigroups with $(2 + \varepsilon)m < F < 3m$. Then

$$\lim_{g\to\infty}\frac{P_{\epsilon}(g)}{N(g)}=0.$$

We require the following concepts from Zhao [16]. Let $A_k = \{A \subset [0, k-1] \mid 0 \in A \text{ and } k \notin A+A\}$. Let S be a numerical semigroup with multiplicity m and Frobenius number F satisfying 2m < F < 3m. We say that S has type (A;k), where 0 < k < m and $A \in \mathcal{A}_k$, if F = 2m + k and $S \cap [m, m+k] = A+m$. Every numerical semigroup with 2m < F < 3m has a unique type (A;k), since k = F - 2m and $A = S \cap [m, m+k] - m$. Zhao proves the following.

Proposition 14 (Zhao). Let k be a positive integer and let $A \in \mathcal{A}_k$. Then the number of numerical semigroups of genus g and type (A; k) is at most

$$F_{g-|(A+A)\cap[0,k]|+|A|-k-1}$$
,

where F_a is the ath Fibonacci number.

We now note that if a semigroup *S* of type (A;k) satisfies $(2+\epsilon)m < F < 3m$, it must have $k > \epsilon m > \epsilon g/3$, since $g \le 3(m-1)$. We also have the general fact that $F_a \le \frac{2}{\sqrt{5}} \varphi^a$ for all *a*. Therefore

$$\begin{split} P_{\epsilon}(g) \leqslant \sum_{\epsilon g/3 < k < g} \sum_{A \in \mathcal{A}_k} F_{g-|(A+A) \cap [0,k]| + |A| - k - 1} \\ \leqslant \frac{2}{\sqrt{5}} \sum_{\epsilon g/3 < k < g} \sum_{A \in \mathcal{A}_k} \varphi^{g-|(A+A) \cap [0,k]| + |A| - k - 1} \end{split}$$

implying that

$$\begin{split} P_{\epsilon}(g)\varphi^{-g} &\leqslant \frac{2}{\sqrt{5}} \sum_{\epsilon g/3 < k < g} \sum_{A \in \mathcal{A}_k} \varphi^{-|(A+A) \cap [0,k]| + |A| - k - 1} \\ &\leqslant \frac{2}{\sqrt{5}} \sum_{k = \lceil \epsilon g/3 \rceil}^{\infty} \sum_{A \in \mathcal{A}_k} \varphi^{-|(A+A) \cap [0,k]| + |A| - k - 1}. \end{split}$$

Let T(g) be the number of numerical semigroups of genus g satisfying F < 3m. We have the following theorem from Zhao [16].

Theorem 15 (Zhao).

$$\lim_{g \to \infty} T(g) \varphi^{-g} = \frac{\varphi}{\sqrt{5}} + \frac{1}{\sqrt{5}} \sum_{k=1}^{\infty} \sum_{A \in \mathcal{A}_k} \varphi^{-|(A+A) \cap [0,k]| + |A| - k - 1}.$$

We are now in a position to prove Theorem 13.

Proof of Theorem 13. By Theorem 1, we know that $T(g)\varphi^{-g}$ is bounded above, so the sum

$$\sum_{k=1}^{\infty} \sum_{A \in A_k} \varphi^{-|(A+A) \cap [0,k]| + |A| - k - 1}$$

converges. It follows that $\sum_{k=\lceil \epsilon g/3 \rceil}^{\infty} \sum_{A \in \mathcal{A}_k} \varphi^{-|(A+A) \cap [0,k]| + |A| - k - 1}$ approaches 0 as g goes to infinity. \square

Proposition 16. Let $\epsilon > 0$ and $\gamma = \frac{5+\sqrt{5}}{10}$. Let $\Phi_{\epsilon}(g)$ be the number of numerical semigroups with genus g and $(\gamma - \epsilon)g < m < (\gamma + \epsilon)g$. Then $\lim_{g \to \infty} \frac{\Phi_{\epsilon}(g)}{N(g)} = 1$.

Proof. By Theorem 12, it suffices to consider the cases m < F < 2m and 2m < F < 3m. The first case is simply Corollary 8, so we now assume 2m < F < 3m.

The Apéry set of a numerical semigroup with 2m < F < 3m is of the form $\{k_1m+1,\ldots,k_{m-1}m+(m-1)\}$ where each $k_i \in \{1,2,3\}$ and at least one is equal to 3. Let a be maximal such that $k_a=3$. By Proposition 6, the number of semigroups with multiplicity m and F=2m+a is exactly equal to the number of sequences (k_1,\ldots,k_{m-1}) satisfying the following conditions:

- (1) For each $1 \le i \le a 1$, $k_i \in \{1, 2, 3\}$.
- (2) $k_a = 3$.
- (3) For each $a + 1 \le j \le m 1$, $k_j \in \{1, 2\}$.
- (4) For each i, j with $i + j \le m 1$ and $k_i = k_j = 1$ we have $k_{i+j} \ne 3$.

Let H(a,b) be the number of numerical semigroups with 2m < F < 3m, multiplicity a+1, and genus b. Then the number of possibilities for the sequence (k_1,\ldots,k_a) satisfying $\sum_{i=1}^a k_i = b$ is simply H(a,b). Since $\sum_{i=1}^{m-1} k_i = g$ and F=2m+a, the remaining elements (k_{a+1},\ldots,k_{m-1}) consist of g-b-(m-1-a) values of k_i equal to 2, with the rest equal to 1. These can be arranged in any order. Thus the total number of numerical semigroups with 2m < F < 3m is

$$\sum_{b=3}^{g} \sum_{a=\lceil b/3 \rceil}^{b} \sum_{m=a+1}^{g} H(a,b) \binom{m-1-a}{g-b-(m-1-a)}.$$

Applying Theorem 13 with $\varepsilon = \epsilon/6$, we need only consider the case $a < \epsilon m/6$, for which $b \le 3a < \epsilon m/2 \le \epsilon g/2$. The number of such numerical semigroups is at most

$$\sum_{b < e^{g/2}} \sum_{a = \lceil b/3 \rceil}^{b} \sum_{m=a+1}^{g} H(a, b) \binom{m-1-a}{g-b-(m-1-a)}.$$

We need to show that those terms in the above sum for which m is outside the range $((\gamma - \epsilon)g, (\gamma + \epsilon)g)$ contribute $o(\varphi^g)$ to the sum. For such m, we have $|m - \gamma g| \ge \epsilon g$, which implies that

$$|m-1-a-\gamma(g-b)+1+a-\gamma b| \ge \epsilon g$$
.

By the triangle inequality, we have

$$|m-1-a-\gamma(g-b)| + 1 + a + \gamma b = |m-1-a-\gamma(g-b)| + |1+a| + |-\gamma b|$$

$$\ge |m-1-a-\gamma(g-b)| + 1 + a - \gamma b|.$$

Therefore, when $|m - \gamma g| \ge \epsilon g$ we have

$$\begin{aligned} \left| m - 1 - a - \gamma (g - b) \right| + 1 + a + \gamma b \geqslant \epsilon g, \\ \left| m - 1 - a - \gamma (g - b) \right| \geqslant \epsilon g - 1 - a - \gamma b \\ \geqslant \epsilon g - 1 - \epsilon g / 6 - \gamma \epsilon g / 2 \\ \geqslant 0.471 \epsilon g \geqslant 0.471 \epsilon (g - b) \end{aligned}$$

for sufficiently large g. As in the proof of Proposition 7, for such m, there is some $\psi < \varphi$ for which $\binom{m-1-a}{g-b-(m-1-a)} = O(\psi^{g-b})$. Since the total number of numerical semigroups of genus b is asymptotic to φ^b , we certainly have $H(a,b) = O(\varphi^b)$. We conclude that for a,b,m satisfying the above conditions,

$$H(a,b)\binom{m-1-a}{g-b-(m-1-a)} \leqslant c\psi^{g-b}\varphi^b \leqslant c_{\epsilon} (\psi^{1-\epsilon/2}\varphi^{\epsilon/2})^g,$$

so the total contribution to the sum from such m is indeed $o(\varphi^g)$. \square

Proposition 16 implies, in particular, that for fixed $\epsilon > 0$, as g approaches infinity, the proportion of numerical semigroups with $m > (\gamma + \epsilon)g$ approaches 0. For $\epsilon = \frac{1}{1.3667} - \gamma \approx 0.0081 > 0$, the property $m > (\gamma + \epsilon)g$ is precisely g < 1.3667m. This implies statement (3) of Theorem 4, and thus completes the proof of Theorem 5.

We also point out the following corollary which answers a question from [10].

Corollary 17. Let R(g) be the number of semigroups of genus g for which 2g < 3m. Then

$$\lim_{g \to \infty} \frac{R(g)}{N(g)} = 1.$$

Proof. We note that 2g < 3m is equivalent to $\frac{2g}{3} < m$. Proposition 16 implies that for any $\epsilon > 0$, almost all semigroups satisfy $(\gamma - \epsilon)g < m$. Since $\gamma > .72$, this completes the proof. \Box

It is interesting that this limit is 1 since the numerical evidence for small g is inconclusive. For example, for g = 24 the value of this ratio is approximately .3962 and there is no clear trend toward 1. See the chart at the beginning of Section 6 of [10].

This corollary has implications for Theorem 1 of [10]. Let N(m, g) denote the number of semi-groups S with multiplicity m and genus g.

Theorem 18. Suppose 2g < 3m. Then N(m-1, g-1) + N(m-1, g-2) = N(m, g).

This theorem combined with the previous corollary gives one way to understand why N(g) grows like a constant times φ^g . Unfortunately, this does not give a new proof of Zhai's result, Theorem 1, because it is used in the proof of the corollary.

5. Semigroups which do occur as Weierstrass semigroups

We first recall the definition of the weight of a numerical semigroup. This is another way of measuring a semigroup's complexity.

Definition. Let *S* be a semigroup of genus *g* with gap set $\{a_1, \ldots, a_g\}$. We define the weight of *S* by $W(S) = \sum_{i=1}^g a_i - \frac{g(g+1)}{2}$.

Note that for any $g \ge 1$, the semigroup containing all positive integers greater than g has weight zero. This definition plays an important role in the theory of semigroups and algebraic curves since for any curve C of genus g it is known that the sum of the weights of all the semigroups of Weierstrass points of C is $g^3 - g$ [1].

The following difficult result of Eisenbud and Harris proves that certain semigroups do occur as the Weierstrass semigroup of a point on some curve [6].

Theorem 19. Let S be a semigroup with F < 2m and W(S) < g - 1. Then S is Weierstrass.

We will show that this condition on the weight of *S* is quite restrictive.

Proposition 20. Let Q(g) be the number of semigroups S with F < 2m and W(S) < g - 1. Then

$$\lim_{g\to\infty}\frac{Q\left(g\right)}{N(g)}=0.$$

This proposition is actually a consequence of a much stronger statement about the weights of numerical semigroups. To prove that statement, we first need the following lemma.

Lemma 21. Let p(x, y, z) be the number of partitions of x into at most y parts, each of size at most z. Then the number of numerical semigroups with genus g, multiplicity m, and weight w satisfying m < F < 2m is exactly p(w - (g - m + 1), g - m + 1, 2m - 2 - g).

Remark. Equivalently, this is the coefficient of $q^{w-(g-m+1)}$ in the q-binomial coefficient $\begin{bmatrix} m-1 \\ g-m+1 \end{bmatrix}_q$. See [12] for details.

Proof. Suppose $\mathbb{N}_0 \setminus S = \{1, 2, ..., m-1, m+i_1, ..., m+i_{g-m+1}\}$ with $i_a \in [1, m-1]$ for all a. We have

$$w = 1 + 2 + \dots + m - 1 + (m + i_1) + \dots + (m + i_{g-m+1})$$
$$- (1 + 2 + \dots + m - 1 + m + \dots + g) = \sum_{a=1}^{g-m+1} (i_a - a + 1),$$

which can be rearranged as

$$w - (g - m + 1) = \sum_{a=1}^{g-m+1} (i_a - a).$$

The i_a-a are nonnegative because $i_1\geqslant 1$ and $i_{a+1}>i_a$. They are non-decreasing since $i_{a+1}-(a+1)\geqslant i_a+1-(a+1)=i_a-a$. Finally, since $m-1\geqslant i_{g-m+1}$ and $i_{g-m+1}-(g-m+1)\geqslant i_a-a$, we have $2m-2-g\geqslant i_a-a$. Thus each distinct choice of these i_a is associated with a unique partition of w-(g-m+1) into at most g-m+1 parts, each of size at most 2m-2-g. Furthermore, from any such partition $j_1+\cdots+j_{g-m+1}$, where $0\leqslant j_1\leqslant \cdots \leqslant j_{g-m+1}$, it is easy to reconstruct S by setting $i_a=j_a+a$; the resulting i_a will be strictly increasing and bounded above by m-1, as desired. This completes the proof of the lemma. \square

We recall the convention that there is a unique partition of 0 which has 0 parts. This shows that the lemma also holds for semigroups with F < m, which all satisfy F = m - 1.

We observe that p(x, y, z) = p(yz - x, y, z), since if $j_1 + \cdots + j_y = x$ is a partition of x into parts of size at most z, then $(z - j_1) + \cdots + (z - j_y) = yz - x$ is a partition of yz - x into parts of size at most z, and vice versa. This simple fact will be useful later.

We now state and prove our main theorem of this section.

Theorem 22. Let $\beta_1 = \frac{3}{2} \left(\frac{\ln \varphi}{\pi}\right)^2$, $\gamma = \frac{5+\sqrt{5}}{10}$, $\beta_2 = (1-\gamma)(2\gamma-1) - \beta_1$, and $\epsilon > 0$. Let $Y_\epsilon(g)$ be the number of numerical semigroups with genus g and weight at most $(\beta_1 - \epsilon)g^2$ and $Z_\epsilon(g)$ be the number of numerical semigroups with genus g and weight at least $(\beta_2 + \epsilon)g^2$. Then $\lim_{g \to \infty} \frac{Y_\epsilon(g)}{N(g)} = \lim_{g \to \infty} \frac{Z_\epsilon(g)}{N(g)} = 0$.

In order to show this, we need the Hardy–Ramanujan formula [7]:

Theorem 23 (Hardy, Ramanujan). Let p(n) be the total number of partitions of n. Then as n grows large, p(n) is asymptotically equal to

$$\frac{1}{4n\sqrt{3}}e^{\pi\sqrt{\frac{2n}{3}}}.$$

Proof of Theorem 22. We may assume that F < 3m by Theorem 12. We consider the cases F < 2m and 2m < F < 3m separately.

First suppose F < 2m. Let $K_2(w,m,g)$ be the number of numerical semigroups with genus g, weight w, multiplicity m, and F < 2m. We wish to bound $Y_{\epsilon}(g) = \sum_{m=1}^g \sum_{w < (\beta_1 - \epsilon)g^2} K_2(w,m,g)$. Now by Lemma 21, $K_2(w,m,g)$ is equal to p(w - (g-m+1), g-m+1, 2m-2-g), so in particular $K_2(w,m,g) \le p(w-(g-m+1)) \le p(w)$ where p(w) is the total number of partitions of w. But by Theorem 23, we have

$$\sum_{m=1}^{g} \sum_{w < (\beta_1 - \epsilon)g^2} p(w) = O\left(ge^{\pi\sqrt{2/3}\sqrt{\beta_1 - \epsilon}g}\right) = o\left(\varphi^g\right)$$

for β_1 such that $e^{\pi\sqrt{2/3}\sqrt{\beta_1}} = \varphi$, which gives $\beta_1 = \frac{3}{2} \left(\frac{\ln\varphi}{\pi}\right)^2 \approx 0.035$. This gives the desired lower bound on the weight of a typical numerical semigroup.

To show $Z_{\epsilon}(g)/N(g)$ goes to 0 as g goes to infinity, we transform the problem of bounding $Z_{\epsilon}(g)$ into the problem of bounding $Y_{\epsilon/2}(g)$. Recall that $\gamma = \frac{5+\sqrt{5}}{10}$ and $\beta_2 = (1-\gamma)(2\gamma-1)-\beta_1$, and

define $I := ((\gamma - \delta)g, (\gamma + \delta)g)$. From Proposition 16, it suffices to consider those semigroups for which $m \in I$. We use Lemma 21 to write

$$\sum_{m \in I} \sum_{w > (\beta_2 + \epsilon)g^2} K_2(w, m, g) = \sum_{m \in I} \sum_{w > (\beta_2 + \epsilon)g^2} p(w - (g - m + 1), g - m + 1, 2m - 2 - g).$$

As noted above, we have p(w - (g - m + 1), g - m + 1, 2m - 2 - g) = p((g - m + 1)(2m - 2 - g) + (g - m + 1) - w, g - m + 1, 2m - 2 - g). Let w' = (g - m + 1)(2m - 2 - g) + (g - m + 1) - w, so that the right-hand side of the above equation can be rewritten as

$$\begin{split} &\sum_{m \in I} \sum_{w > (\beta_2 + \epsilon)g^2} p \left(w', g - m + 1, 2m - 2 - g\right) \\ &= \sum_{m \in I} \sum_{w' < (g - m + 1)(2m - 2 - g) + g - m + 1 - (\beta_2 + \epsilon)g^2} p \left(w', g - m + 1, 2m - 2 - g\right) \\ &= \sum_{m \in I} \sum_{w'' < (g - m + 1)(2m - 2 - g) + 2(g - m + 1) - (\beta_2 + \epsilon)g^2} p \left(w'' - (g - m + 1), g - m + 1, 2m - 2 - g\right) \\ &= \sum_{m \in I} \sum_{w'' < (g - m + 1)(2m - 2 - g) + 2(g - m + 1) - (\beta_2 + \epsilon)g^2} K_2 \left(w'', m, g\right) \end{split}$$

where we again used Lemma 21 in the last line. Assuming from Proposition 16 that $m \in I$, we have $g-m+1 < g-(\gamma-\delta)g+1 = (1-\gamma+\delta)g+1$ and $2m-2-g<2(\gamma+\delta)g-g=(2\gamma-1+2\delta)g$. Hence the last line above is at most

$$\begin{split} & \sum_{m \in I} \sum_{w'' < [(1-\gamma+\delta)g+1](2\gamma-1+2\delta)g+2(1-\gamma+\delta)g+2-(\beta_2+\epsilon)g^2} K_2(w'',m,g) \\ & = \sum_{m \in I} \sum_{w'' < [(1-\gamma)+\delta][(2\gamma-1)+2\delta]g^2-(\beta_2+\epsilon)g^2+(1+4\delta)g+2} K_2(w'',m,g) \\ & = \sum_{m \in I} \sum_{w'' < (\beta_1+\delta+2\delta^2-\epsilon)g^2+(1+4\delta)g+2} K_2(w'',m,g) \\ & \leqslant \sum_{m \in I} \sum_{w'' < (\beta_1-\epsilon/2)g^2} K_2(w'',m,g) \end{split}$$

for sufficiently large g, since $\epsilon - (\delta + 2\delta^2) > \epsilon/2$ by construction. But the last line is at most $Y_{\epsilon/2}(g) = o(\varphi^g)$, so we are done.

Next suppose 2m < F < 3m, and suppose the Apéry set is given by $\{k_1m+1,\ldots,k_{m-1}m+m-1\}$. Let $K_3(w,m,t,g)$ be the number of numerical semigroups with genus g, weight w, multiplicity m, F satisfying 2m < F < 3m, and exactly t values of a such that $k_a = 3$. Using Theorem 13 and Proposition 16 above, we may assume that $F < (2+\delta_0)m$, where δ_0 will be chosen later. We first bound the number of semigroups with $w < (\beta_1 - \epsilon)g^2$. The intuition here is that the numerical semigroups with $2m < F < (2+\delta_0)m$ and weight w look fairly similar to the numerical semigroups with F < 2m and weight w, and the ability to set some k_i equal to 3 does not greatly increase the number of such semigroups.

Let $i_1 < \cdots < i_s$ be the set of indices such that $k_{i_a} \ge 2$, and let $i_{j_1} < \cdots < i_{j_t}$ be the set of indices such that $k_{i_{j_a}} = 3$. We have s+t=g-m+1, or alternatively, g=m+s+t-1. We can write the weight w as follows:

$$\begin{split} w &= \sum_{a=1}^{m-1} a + \sum_{a=1}^{s} (m+i_a) + \sum_{a=1}^{t} (2m+i_{j_a}) - \sum_{a=1}^{m+s+t-1} a \\ &= \sum_{a=1}^{s} (m+i_a) - \sum_{a=1}^{s} (m-1+a) + \sum_{a=1}^{t} (2m+i_{j_a}) - \sum_{a=1}^{t} (m+s-1+a) \\ &= \sum_{a=1}^{s} (i_a-a) + s + \sum_{a=1}^{t} (i_{j_a}-a) + t(m-s+1). \end{split}$$

We set $\sum_{a=1}^s (i_a-a)=d$. We work in parallel to the case F<2m. By the same reasoning as in the proof of Lemma 21, the number of choices for the i_a is precisely p(d,s,m-1-s)< p(d)< p(w). The total number of choices for the i_{j_a} is at most $\binom{s}{t}=\binom{g-m+1-t}{t}$. Choose ϵ_0 small enough that $\psi:=(1+\epsilon_0)e^{\pi\sqrt{2/3}\sqrt{\beta_1-\epsilon}}<\varphi$. By Proposition 11, there is some $\delta_0>0$ so that $\sum_{R=0}^{\lceil \delta_0g\rceil}\binom{g-R}{R}$ is bounded above by a constant times $(1+\epsilon_0)^g$ for sufficiently large g. Since we assumed that $F<(2+\delta_0)m$, we have $t\leqslant i_{j_t}\leqslant \delta_0m<\delta_0g$. Then $\binom{g-t}{t}$ is bounded above by $(1+\epsilon_0)^g$, and so of course $\binom{g-m+1-t}{t}$ is as well. Summing over d, we conclude that $K_3(w,m,t,g)$ is bounded by a polynomial in g times $p(w)(1+\epsilon_0)^g$, which is in turn bounded by $p((\beta_1-\epsilon)g^2)(1+\epsilon_0)^g=O\left(\frac{\psi^g}{g^2}\right)$ by the Hardy–Ramanujan formula. Summing over all m, t, and $w<(\beta_1-\epsilon)g^2$ gives a count of possible such semigroups S which is $o(\varphi^g)$, as desired.

For $w > (\beta_2 + \epsilon)g^2$, we proceed by reducing to the situation $w < (\beta_1 - \epsilon/4)g^2$, again in parallel with our strategy for F < 2m. Let $0 < \delta_0 < \epsilon/4$; then for sufficiently large g and all $t < \delta_0 g$, we have

$$t(2m-s+1) + s < 2mt + t + s \leqslant 2gt + t + s < 2\delta_0 g^2 + t + s < (\epsilon/2)g^2.$$

Now since $(1-\gamma)\delta_0 < (1-\gamma)\epsilon/4$, we can choose δ_1 so small that $\delta_1 + 2\delta_1^2 + (1-\gamma+\delta_1)\delta_0 < \epsilon/4$. Next, choose ϵ_0 small enough such that $\psi := (1+\epsilon_0)e^{\pi\sqrt{2/3}\sqrt{\beta_1-\epsilon/4}} < \varphi$. Finally, choose δ_2 small enough that $\sum_{R=0}^{\lceil \delta_2 g \rceil} {g^{-R} \choose R}$ is bounded above by $(1+\epsilon_0)^g$, and let $\delta = \min\{\delta_0, \delta_1, \delta_2\}$. Since $i_{j_a} - a < m$, for any t we have $\sum_{a=1}^t (i_{j_a} - a) < tm$. For $t < \delta g$,

$$\sum_{a=1}^{s} (i_a - a) = w - s - \sum_{a=1}^{t} (i_{j_a} - a) - t(m - s + 1) > w - s - tm - t(m - s + 1) > (\beta_2 + \epsilon/2)g^2.$$

If $d = \sum_{a=1}^{s} (i_a - a)$, then for a fixed d we have p(d, s, m - 1 - s) ways of choosing the i_a and no more than $\binom{s}{t}$ ways of choosing the i_{j_a} from the i_a . Therefore we have

$$K_3(w, m, t, g) \leqslant \sum_{d > (\beta_2 + \epsilon/2)g^2} p(d, s, m - 1 - s) {s \choose t}$$

and again using the fact that p(x, y, z) = p(yz - x, y, z), the right-hand side can be rewritten as

$$\begin{split} \sum_{d < s(m-1-s) - (\beta_2 + \epsilon/2)g^2} p(d, s, m-1-s) \binom{s}{t} \\ & \leq (1+\epsilon_0)^g \sum_{d < s(m-1-s) - (\beta_2 + \epsilon/2)g^2} p(d, s, m-1-s). \end{split}$$

For $t < \delta g$, we have $s = g - m + 1 - t > (1 - \delta)g - m$. Then further assuming that $m \in ((\gamma - \delta)g, (\gamma + \delta)g)$, we have $s \le g - m + 1 < (1 - \gamma + \delta)g + 1$ and $m - 1 - s < 2m - (1 - \delta)g < (2\gamma - 1 + 3\delta)g$. Thus the last line can be bounded above by

$$\begin{split} &(1+\epsilon_0)^g \sum_{d<[(1-\gamma+\delta)g+1](2\gamma-1+3\delta)g-(\beta_2+\epsilon/2)g^2} p(d,s,m-1-s) \\ &= (1+\epsilon_0)^g \sum_{d<(\beta_1-\epsilon/2)g^2+(\delta+2\delta^2+(1-\gamma+\delta)\delta)g^2+(2\gamma-1+3\delta)g} p(d,s,m-1-s). \end{split}$$

Since we chose δ so that $(\delta + 2\delta^2 + (1 - \gamma + \delta)\delta)g^2 < (\epsilon/4)g^2$, for sufficiently large g this is at most

$$(1 + \epsilon_0)^g \sum_{d < (\beta_1 - \epsilon/4)g^2} p(d, s, m - 1 - s)$$

$$\leq (1 + \epsilon_0)^g \sum_{d < (\beta_1 - \epsilon/4)g^2} e^{\pi\sqrt{2/3}\sqrt{\beta_1 - \epsilon/4} \cdot g} = O\left(\psi^g\right)$$

by the Hardy-Ramanujan formula. Summing over t and m gives the desired bound. \Box

It would be interesting to see whether we can improve on the constants given in the statement of this result. A more careful analysis of the partitions occurring in this proof will probably yield better bounds.

Since $g-1 < (\beta_1 - \epsilon)g^2$ for, say, $\epsilon = \beta_1/2$ and sufficiently large g, Proposition 20 follows immediately from Theorem 22. This result shows that although the Eisenbud and Harris family of semigroups are Weierstrass, they also have density zero in the entire set of numerical semigroups. The main theorem of the paper shows that the set of semigroups which are not Weierstrass because they fail the Buchweitz criterion for some n also has density zero. There are other known examples of semigroups which are known to be Weierstrass but do not fit into this Eisenbud and Harris family, and semigroups which are not Weierstrass but do not fail the Buchweitz criterion. See for example [13,14].

It would be very interesting to show that a positive proportion of numerical semigroups are Weierstrass, or to show that a positive proportion are not Weierstrass. The problem of determining the density of the set of Weierstrass semigroups in the entire set of numerical semigroups remains completely open.

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