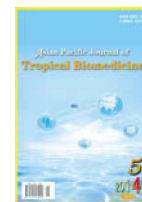


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Antisickling activity of butyl stearate isolated from *Ocimum basilicum* (Lamiaceae)

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PEER REVIEW

Peer reviewer

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Comments

This is a valuable study in which the authors have isolate and characterized butyl stearate ester from *O. basilicum* as a new anti-sickling agent. The activity was assessed based on the sickling of red blood cells. Plant extracts and butyl stearate demonstrated remarkable sickling inhibitory effects. Treated sickle erythrocytes displayed a very similar phenotype/morphology than that of the normal erythrocytes one. *O. basilicum* is then promising source of anti-sickling new lead compounds.
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ABSTRACT

Objective: To perform phytochemical analyses on the leaves of *Ocimum basilicum* L. (*O. basilicum*), to elucidate the structure of isolate and then perform the antisickling activity on the crude extract and on the isolate.

Methods: The Emmel test performed on the acidified methanolic extract of this plant was used to evaluate the antisickling activity. The structure characterization of the active compound was performed using chromatographic techniques for the separation and the spectroscopic ones for structure elucidation (1H-NMR, 13C-NMR, COSY, HMBC).

Results: The chemical screening on the crude extract revealed the presence of polyphenols (flavonoids, anthocyanins, leucoanthocyanins, tannins, quinones) alkaloids, saponins, triterpenoids and steroids. The obtained extract after evaporation yielded 34.50 g (11.5%) out of 300 g of powdered leaves of *O. basilicum*. The acidified methanolic extract and butyl stearate showed an interesting antisickling activity.

Conclusions: The acidified methanolic extract and butyl stearate from *O. basilicum* displayed a good antisickling activity. To the best of our knowledge, this is the first time to report the antisickling activity of this compound in this plant. The synthesized compound presented the same spectroscopic characteristics than the natural one and the antisickling activities of its derivatives are understudying.

KEYWORDS

Sickle cell disease, Antisickling plant, *Ocimum basilicum*, Butyl stearate

1. Introduction

Sickle cell disease (SCD) or sickle cell anemia is a genetic disease that affects particularly the tropical areas. The disease is due to a replacement of glutamic acid located

in the sixth position of the β chain of hemoglobin by valine^[1,2].

This amino acid substitution alters not only the affinity of hemoglobin for oxygen but also its solubility in low oxygen pressure conditions. The decrease of solubility causes

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polymerization of hemoglobin and the sickling of red blood cells. This is the basis of many symptoms that sicklers suffer[1,3].

SCD affects each year nearly five million people in the world. In some parts of Africa, the sickle cell trait is up to 20% of the population with the prevalence in Central Africa of 25% to 30%[1]. More than 200000 children with sickle cell disease are born each year in Africa[4].

Two percent of the populations, more than one million[5,6], in the Democratic Republic of the Congo (DRC) are affected by this disease. Nearly 80% of children affected by this anemia die before they are five years old if they are not followed medically[1,2,7,8].

The percentage of people suffering from this disease continues to grow. Therefore, sickle cell becomes a real public health problem in endemic areas[4]. It then becomes increasingly crucial to intensify early detection and to examine the search for new affordable treatments.

Several therapies have been proposed, the bone marrow transplantation, gene therapy, repeated blood transfusions, use of hydroxyurea, *etc.* But it turns out that these treatments are not only ineffective and very expensive for the poor African populations, but may also constitute a risk of HIV/AIDS[2,9,10].

Recently, several studies have dealt with the use of medicinal plants to treat SCD[11–14].

In DRC, recent findings have shown that at least 60 medicinal plants used in traditional medicine to treat SCD, among which *Ocimum basilicum* (*O. basilicum*), possess *in vitro* antisickling activity[5,6,9,15–19]. Those previous results showed that this activity could mainly be due to the presence of anthocyanins in the plants[2,5,6,15–17,20,21].

Some molecules isolated from plants, including *p*-hydroxy benzoic acid, Zanthoxylol, betulinic acid ... have shown *in vitro* interesting activity against SCD[22,23]. Betulinic acid may thus be used as a positive control in antisickling activity assessment.

The present work is aimed to perform phytochemical analyses on the leaves of *O. basilicum* and to elucidate the structure of the leaves bioactive compound.

2. Materials and methods

2.1. Plant material

O. basilicum leaves were harvested in the University of Kinshasa surroundings from May to June 2011. The plant was authenticated by Mr. Nlandu (Specimen voucher number 425) and deposited at the herbarium of Institut National des

Recherches Agronomiques (INERA), the Faculty of Sciences of the University of Kinshasa.

2.2. Biological material

The homozygote HbS/HbS (SS) blood sample used to evaluate the biological activity was obtained from patients after their preventive treatment at the Centre de Médecine Mixte et d'Anémie SS, located in Kinshasa area, DRC. None of the patients had been transfused recently with homozygote HbA/HbA (AA) blood. All antisickling experiments were carried out with freshly collected blood. In order to confirm their SS nature, the above-mentioned blood samples were first characterized by haemoglobin electrophoresis on cellulose acetate gel at pH 8.5. They were found to be SS blood and were then stored in a refrigerator at 4 °C.

2.3. Extraction in acidified methanol

The dried and powdered plant material (leaves, 300 g) were soaked in 1 L of methanol and acidified with hydrochloric acid 0.4 mol/L and then concentrated to dryness under reduced pressure using a rotary evaporator. The residue was then extracted using petroleum ether and dried at 50 °C in the oven (Brand MEMMERT model).

Chemical screening was performed in aqueous and organic extracts according to a well known protocol.

2.4. Fractionation of the acidified methanolic extract

The thin layer chromatography with silica gel was carried out on the acidified methanolic extract using the mixture of *n*-butanol–acetic acid–water (4–1–5) as eluting system and the UV lamp (type CAMAG) at 366 nm as a developer[24,25].

Preparative chromatography was performed on glass plates 20 cm×20 cm on which was spread P/UV254 silica gel. These plates were dried at 105 °C for 48 h in the oven.

Column chromatography was then carried out to isolate the mixtures from the preparative chromatography, using silica gel (Kieselgel brand 60 F₂₅₄; 0.2–0.5 mm/35–70 100 mesh) and the mixture of *n*-butanol/*n*-hexane (8:2) as eluting system.

2.5. Spectroscopic analyses

The structure elucidation of the compound isolated from the extract was done using 1D-NMR (¹H-NMR, ¹³C-NMR), 2D-NMR (COSY, HMBC) and mass spectrum at high resolution.

NMR spectra were recorded using the spectrometer Bruker Avance 300 MHz type. All spectra were taken at room

temperature using deuterated chloroform and read from the reference line deuterated chloroform which is δ_H 7.24 ppm and δ_C 77.20 ppm. The sample was solubilized in deuterated chloroform ($CDCl_3$). The chemical shifts (δ) are expressed in ppm relative to tetramethylsilane.

The mass spectrometry was performed using the device “GCT Premier instrument”. The compound was dissolved in methanol (1:100) used for HPLC, and helium (0.8 mL/min) used as elution gas was maintained at 200 °C for 4 min and then programmed up to 300 °C, increasing the temperature by 5 °C a min. Ionization was done by electron impact and the analyzer was used high-resolution time of flight.

2.6. Antisickling activity

The blood sample was mixed with the crude extract and the isolate, using physiological saline as solvent. The Emmel test was performed to evaluate the antisickling activity^[2]. Microscopic images were examined under an optical microscope brand Bresser Biolux NV 20X–1280X. Microscopic images were processed using the software IMAGE MOTIC 2000 version 1.3.

3. Results

3.1 Antisickling activity of aqueous crude extracts of *O. basilicum* leaves

Figure 1, 2 and 3 provide digital images of respectively SS blood alone (negative control), of the SS blood treated with betulinic acid (positive control) and of that treated with acidified methanol extract.

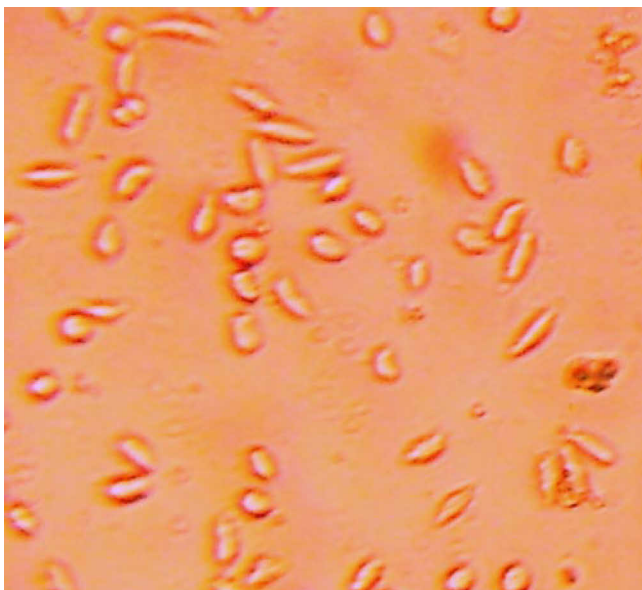


Figure 1. Morphology of drepanocytes of SS blood (Negative control) [NaCl 0.9%, $Na_2S_2O_5$ 2% $\times 500$].

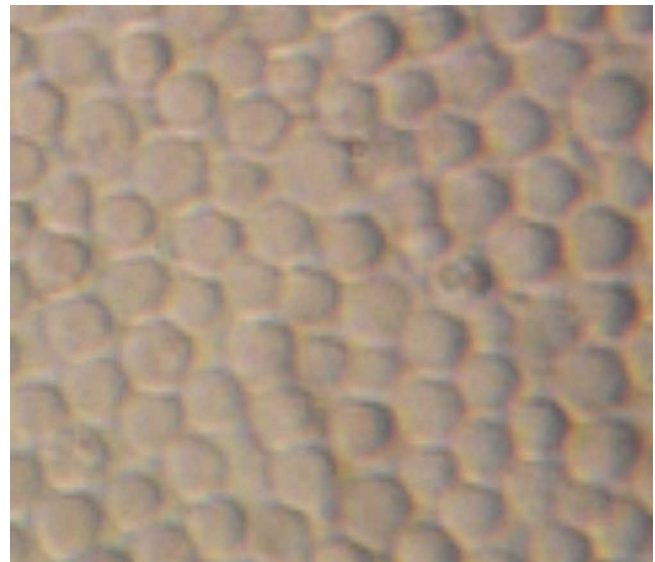


Figure 2. Morphology of drepanocytes treated with betulinic acid (positive control) [NaCl 0.9%, $Na_2S_2O_5$ 2% $\times 500$].

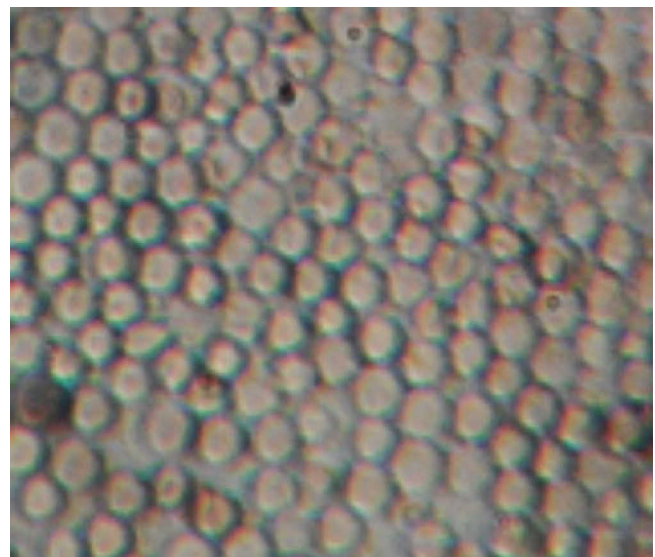


Figure 3. Morphology of drepanocytes treated with aqueous extract [NaCl 0.9%, $Na_2S_2O_5$ 2% $\times 500$].

3.2 Phytochemical screening and extraction yield

The phytochemical screening on the crude extract revealed the presence of polyphenols (flavonoids, anthocyanins, leucoanthocyanins, tannins, quinones), alkaloids, saponins, triterpenoids and steroids.

The obtained extract after evaporation yielded 34.50 g (11.5%) out of 300 g of powdered leaves of *O. basilicum*. The acidified methanolic extract showed an interesting antisickling activity.

3.3 Antisickling activity of acidified methanolic extract and the isolate

Figures 4 and 5 give the phenotype of SS red blood cells treated with the acidified methanolic extract and the isolate,

respectively.

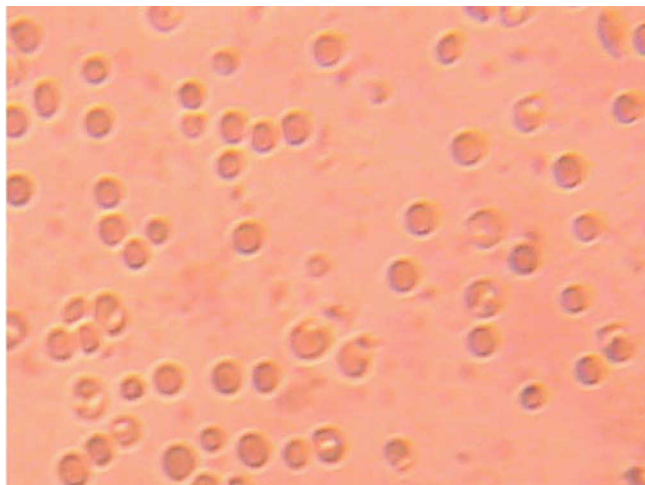


Figure 4. Morphology of drepanocytes treated with acidified methanolic extract [NaCl 0.9%, Na₂S₂O₅ 2% x500].

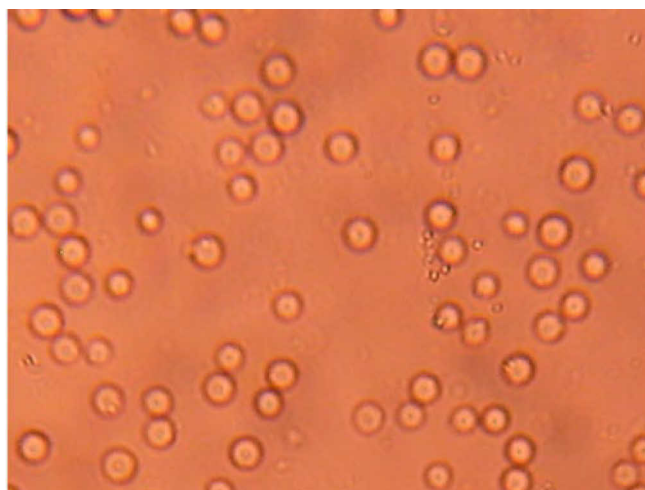


Figure 5. Morphology of drepanocytes with treated with the isolated compound [NaCl 0.9%, Na₂S₂O₅ 2% x500].

Calculated average of radius, perimeter and surface of drepanocytes before and after treatment with the isolated compound are given in Table 1.

Table 1

Average values of radius, perimeter and surface of erythrocytes before and after treatment the isolated compound (ICD) of *O. basilicum*.

Measured parameters	Untreated SS RBCs	SS RBCs (+ICD)
Radius (μm)	–	3.1±0.3
Perimeter (μm)	31.7±1.5	18.3±1.1
Surface (μm ²)	19.2±1.0	31.8±1.7

RBCs: Red blood cells

3.4. Chromatographic analysis and spectroscopic characteristics of the isolate

Chromatographic analysis on the methanolic extract allowed to obtain at R_f : 0.71, a viscous brown product, fluorescent at UV lamp at 366 nm, with a b.p. of 222–224 °C.

¹H-NMR spectrum (CDCl₃) showed characteristic peaks at δH: 4.064 ppm (t), 2.28 ppm (t); 0.93 ppm (t), 0.88 ppm (t) and

1.65 ppm to 1.31 ppm.

The ¹³C-NMR spectrum showed 15 characteristic peaks at δC:174.0 ppm, 64.1 ppm, 34.4 ppm, 31.9 ppm, 30.7 ppm, 29.7 ppm, 29.6 ppm, 29.5 ppm, 29.3 ppm, 29.2 ppm, 25.0 ppm, 22.1 ppm, 19.1 ppm, 14.1 ppm, 13.7 ppm and a characteristic peak of great intensity at 29.7 ppm.

The mass spectrum, performed in electron impact ionization (EI) positive mode showed the molecular ion peak M⁺• at m/z: 340.3345 and other peaks respectively at: 312.3, 257.2, 239.2, 213.18 and 199.17.

4. Discussion

4.1. Antisickling activity of *O. basilicum* leaves crude extracts

The majority of RBCs are elongated (sickled) confirming that the used blood is SS one. When betulinic (positive control) is added, RBCs show circular (biconcave) and normal shape. In the presence of the aqueous extract of *O. basilicum*, the RBCs have circular (biconcave) and normal shape, which is similar to the positive control, indicating the antisickling effect of the extract. This confirms previous work of our research team[5,6,20] and justifies the use of this plant in Congolese folk medicine.

4.2. Phytochemical composition and Antisickling activity of acidified methanolic extract and that of the isolate.

Phytochemical screening of *O. basilicum* leaves confirms previous works[18]. It was also indicates that polar extracts are more active on sickle blood cell that non polar one[2,11,15–18]. So antisickling activity of acidified methanolic extract was tested and fractioned. Bio guided tests were done on some fractions. Most of the red blood cells have recovered their normal and circular shapes under hypoxic conditions. This indicates the antisickling activity of the acidified methanolic extract and the isolate. These results can be quantified by calculating mean radius, perimeters and surfaces before and after RBCs treatment.

The used software could not calculate the average radius for the RBCs of the sickle blood since sickled RBCs of untreated blood are not circular. The average radius appeared after treatment of sickle RBCs with isolated compound indicating the re-appearance of the normal form of RBCs. Statistical treatment (Student test applied with a probability threshold of 0.05) enabled the determination of a significant difference between the average values of both the perimeter and the surface of blood cells on the micrography, thus confirming the modification RBCs morphology in the presence of the isolated compound. This behavior was already observed for

some extracts from other Congolese plants[2,7,8,18,19].

4.3. Structure elucidation of the isolate

The analysis of the $^1\text{H-NMR}$ spectrum indicates that characteristic peaks at δH : 4.064 ppm (t) and 2.28 ppm (t) represent the $-\text{CH}_2-$ groups respectively adjacent to the oxygen of the ester and to the carbonyl. The peaks at δH : 0.93 ppm (t) and 0.88 ppm (t) are characteristic of CH_3 groups, respectively one that ends the methylene oxygen binder and the one ending those related to the carbonyl of the ester function, while those of δH : 1.65 ppm to 1.31 ppm represent the $-\text{CH}_2-$ groups between the long hydrocarbon chain methylene group linked to the carbonyl. These values are similar to those found in the literature[26].

In comparison of the literature[26], the 15 characteristic peaks of $^{13}\text{C-NMR}$ spectrum can be assigned as follows: C1 (δC 174.0 ppm), C2 (δC 64.1 ppm), C3 (δC 34.4 ppm), C4 (δC 31.9 ppm), C5 (δC 30.7 ppm), C6 (δC 29.7 ppm) C7 (δC 29.6 ppm), C8 (δC 29.5 ppm), C9 (δC 29.3 ppm), C10 (δC 29.2 ppm) C11 (δC 25.0 ppm), C12 (δC 22.1 ppm), C13 (δC 19.1 ppm), C14 (δC 14.1 ppm), C15 (δC 13.7 ppm), and the characteristic peak of great intensity at δC 29.7ppm correspond to 8 methylene groups.

The mass spectrum gives an ion peak $\text{M}_+ \bullet$ at m/z : 340.3345 corresponding to butyl stearate mass. This correlates with the literature[26]. According to this literature, the compound was identified as butyl stearate.

This compound was synthesized and presented the same spectroscopic characteristics than the natural one.

O. basilicum, one of the plants used in Congolese traditional medicine against sickle cell anemia has shown antisickling activity and butyl stearate isolated from this plant could be the main active compound. To the best of our knowledge, this is the first time to report the antisickling activity of this compound in this plant. The synthesized compound presented the same spectroscopic characteristics than the natural one. Antisickling activities of its derivatives are understudying.

Conflict of interest statement

We declare that we have no conflict of interest.

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The authors are indebted to the International Foundation for Science (IFS) and the Organization for the Prohibition of Chemical Weapons (OPCW) for the Research Grant given to one of them (Dr. Ngbolua Koto-Te-Nyiwa, IFS Research Grant

F/4921–2) and to the University of Botswana and Dr .Oscar Mihigo Shetonde for performing spectral analyses.

Comments

Background

SCD is a blood disease characterized by the aggregation of hemoglobin S under hypoxic conditions. Its symptoms are erythrocytes shape modification and anemia. The need to search for new drugs with low toxicity is the new challenges. The plant kingdom could serve as a source of the anti-sickling new lead compounds as herein demonstrated.

Research frontiers

The present research work depicts in vitro anti-sickling activity and phytochemical analyses on the leaves of *O. basilicum*. Biological testing was assessed by evaluating the effect of plant extracts and its isolate on the sickle erythrocytes phenotype/morphology. The structure of the isolate was elucidated using 1D-NMR ($^1\text{H-NMR}$, $^{13}\text{C-NMR}$), 2D-NMR (COSY, HMBC) and mass spectroscopy at high resolution.

Related reports

There are several reports on the biological activities of this medicinal plant species as antimicrobial and antioxidant. This is the first time report on the anti-sickling activity of butyl stearate isolated from *O. basilicum*.

Innovations and breakthroughs

O. basilicum leaves are widely used in the Congolese traditional medicine to treat SCD. In the present research study, the authors isolated for the first time butyl stearate from *O. basilicum* and reported for the first time its anti-sickling activity.

Applications

The pharmaceutical relevance of findings from this study derives from the possibility of formulating *O. basilicum* as an anti-sickling herbal medicine to be used in regions where SCD is endemic. The identification of the active principle could enhance the standardization of recipe.

Peer review

This is a valuable study in which the authors have isolate and characterized butyl stearate ester from *O. basilicum* as a new anti-sickling agent. The activity was assessed based on the sickling of red blood cells. Plant extracts and butyl stearate demonstrated remarkable sickling inhibitory effects. Treated sickle erythrocytes displayed a very similar phenotype/morphology than that of the normal erythrocytes

one. *O. basilicum* is then promising source of anti-sickling new lead compounds.

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