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# On the $k$ th derivative of meromorphic functions with zeros of multiplicity at least $k + 1$

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## ABSTRACT

In this paper, we prove the following

**Theorem.** Let  $f(z)$  be a transcendental meromorphic function on  $\mathbb{C}$ , all of whose zeros have multiplicity at least  $k + 1$  ( $k \geq 2$ ), except possibly finitely many, and all of whose poles are multiple, except possibly finitely many, and let the function  $a(z) = P(z) \exp(Q(z)) \neq 0$ , where  $P$  and  $Q$  are polynomials such that  $\overline{\lim}_{r \rightarrow \infty} \left( \frac{T(r, a)}{T(r, f)} + \frac{T(r, f)}{T(r, a)} \right) = \infty$ . Then the function  $f^{(k)}(z) - a(z)$  has infinitely many zeros.

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## 1. Introduction

In his excellent paper [2], W.K. Hayman studied the value distribution of certain meromorphic functions and their derivatives under various conditions. Among other important results, he proved that if  $f(z)$  is a transcendental meromorphic function in the plane, then either  $f(z)$  assumes every finite value infinitely often, or every derivative of  $f(z)$  assumes every finite nonzero value infinitely often. This result is known as “Hayman’s alternative.” Thereafter, the value distribution of derivatives of transcendental functions continued to be studied.

In [9], Wang and Fang proved the following result.

**Theorem WF.** Let  $f(z)$  be a transcendental meromorphic function on  $\mathbb{C}$ , all of whose zeros have multiplicity at least 3, then for all integer numbers  $k \geq 1$ ,  $f^{(k)}$  assumes every finite nonzero value infinitely often.

Then, in [1], Bergweiler and Pang proved

**Theorem BP.** Let  $f$  be a transcendental meromorphic function and  $R \neq 0$  be a rational function. If all zeros and poles of  $f$  are multiple, except possibly finitely many, then  $f' - R$  has infinitely many zeros.

In this paper, we continue to study omitted functions of derivatives of meromorphic functions. As a result, we have the following theorem for functions of infinite order.

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**Theorem 1.** Let  $f(z)$  be a transcendental meromorphic function on  $\mathbb{C}$  of infinite order  $\rho(f)$ , and  $a(z) = P(z) \exp(Q(z)) \neq 0$ , where  $P$  and  $Q$  are polynomials. Let also  $k \geq 2$  be an integer. Suppose that

- (C<sub>1</sub>) all zeros of  $f$  have multiplicity at least  $k + 1$ , except possibly finitely many, and
- (C<sub>2</sub>) all poles of  $f$  are multiple, except possibly finitely many.

Then the function  $f^{(k)}(z) - a(z)$  has infinitely many zeros.

For functions of finite order, we have the following result.

**Theorem 2.** Let  $f(z)$  be a transcendental meromorphic function on  $\mathbb{C}$  of finite order  $\rho(f)$ , and  $a(z) = P(z) \exp(Q(z)) \neq 0$ , where  $P$  and  $Q$  are polynomials. Let also  $k \geq 2$  be an integer. Suppose that

- (C<sub>1</sub>) all zeros of  $f$  have multiplicity at least  $k + 1$ , except possibly finitely many, and
- (C<sub>2</sub>)  $\overline{\lim}_{r \rightarrow \infty} \left( \frac{T(r, a)}{T(r, f)} + \frac{T(r, f)}{T(r, a)} \right) = \infty$ .

Then the function  $f^{(k)}(z) - a(z)$  has infinitely many zeros. Moreover, in the case that  $\rho(f) \notin \mathbb{N}$ , then the result holds with condition (C<sub>2</sub>) only.

**Remarks.** (i) Note that condition (C<sub>2</sub>) of Theorem 2 is equivalent to the following condition:

( $\tilde{C}_2$ ) There are no  $M_1, M_2 > 0$ , such that  $M_1 T(r, a) \leq T(r, f) \leq M_2 T(r, a)$  for large enough  $r$ .

- (ii) Condition (C<sub>2</sub>) of Theorem 2 is sharp; for example,  $f(z) = \exp(z^2)$ ,  $a(z) = \exp(z^2)^{(k)} + e^{z^2}$ .
- (iii) Condition (C<sub>2</sub>) of Theorem 2 is automatically fulfilled if  $\rho(f) = \infty$ .

**Notation.** Let  $\Delta(z_0, r) := \{z: |z - z_0| < r\}$ ,  $C(z_0, r) := \{z: |z - z_0| = r\}$ ,  $V(z_0, \theta_0, A) := \{z: |\arg(z - z_0) - \theta_0| < A\}$ . Let  $D$  be a domain in  $\mathbb{C}$  and let  $\{f_n\}$  be a sequence of meromorphic functions in  $D$ . We write  $f_n(z) \xrightarrow{X} f(z)$  in  $D$  to indicate that  $\{f_n\}$  converges spherically uniformly to the limit function  $f$  on compact subsets of  $D$ . If  $\{f_n\}$  is analytic in  $D$ , we write  $f_n \Rightarrow f$  in  $D$ . If  $S$  is the angular domain  $V(z_0, \theta_0, A)$ ,  $C \in \hat{\mathbb{C}}$  and  $f(z)$  is analytic in  $S$  for large enough  $|z|$ , we write  $f(z) \overset{Y}{\Rightarrow} C$  in  $S$  to indicate that  $f(z)$  tends uniformly to the constant  $C \in \hat{\mathbb{C}}$  as  $z \rightarrow \infty$  in  $S$ .

**2. Auxiliary results for the proof of Theorem 1**

**Lemma 1.** Let  $k \geq 1$  be an integer and let  $\{f_n\}$  be a family of functions meromorphic on  $\Delta$ , all of whose zeros have multiplicity at least  $k + 1$ . If  $a_n \rightarrow a$ ,  $|a| < 1$ , and  $f_n^\#(a_n) \rightarrow \infty$ , then there exist

- (i) a subsequence of  $\{f_n\}$  (which we still write as  $\{f_n\}$ );
- (ii) points  $z_n \rightarrow z_0$ ,  $|z_0| < 1$ ;
- (iii) positive numbers  $\rho_n \rightarrow 0$  such that
- (iv)  $g_n(\zeta) := \frac{f_n(z_n + \rho_n \zeta)}{\rho_n^k} \xrightarrow{X} g(\zeta)$  in  $\mathbb{C}$ ,

where  $g$  is a nonconstant meromorphic function on  $\mathbb{C}$ , such that  $g^\#(\zeta) \leq g^\#(0) = k + 1$ , and  $\rho_n \leq \frac{M}{\sqrt{k+1} f_n^\#(a_n)}$ , where  $M$  is a constant which is independent on  $n$ .

The innovation of this lemma, comparing it to Lemma 2 of [6], Lemma 1 of [5] (or comparing it to the original Zalcman Lemma, see [11] or [12]) is that given information about the rate of growth of the spherical derivatives of the members of the sequence  $\{f_n\}$  on some compact subset of the unit disc, we get an estimation to the size of the  $\rho_n$ 's in the vicinity of some point of nonnormality, and this helps to estimate  $f_n(z_n + \rho_n \zeta)$  when the  $f_n$ 's are known. For related issues, the reader is referred also to [7].

**Proof.** There exists  $0 < r^* < 1$  such that  $|a_n| < r^*$ ,  $\forall n$ . Take  $r, r^* < r < 1$ . Since  $f_n^\#(a_n) \rightarrow \infty$ , then

$$S_n := \frac{(1 - (\frac{|a_n|}{r})^2)^{k+1} |f_n'(a_n)|}{(1 - \frac{|a_n|^2}{r^2})^{2k} + |f_n(a_n)|^2} \geq \left(1 - \left|\frac{a_n}{r}\right|^2\right)^{k+1} f_n^\#(a_n) \rightarrow \infty,$$

and thus  $S_n > k + 1$  (for large enough  $n$ , without loss of generality, for every  $n$ ). By Lemma 1 in [6], there exists for each  $n$  a point  $z_n$ ,  $|z_n| < r$  and  $0 < t_n < 1$  such that

$$\sup_{|z|<r} \frac{(1 - |\frac{z}{r}|^2)^{k+1} t_n^{k+1} |f'_n(z)|}{(1 - |\frac{z}{r}|^2)^{2k} t_n^{2k} + |f_n(z)|^2} = \frac{(1 - |\frac{z_n}{r}|^2)^{k+1} t_n^{k+1} |f'_n(z_n)|}{(1 - |\frac{z_n}{r}|^2)^{2k} t_n^{2k} + |f_n(z_n)|^2} = k + 1. \tag{1}$$

In particular,

$$k + 1 \geq \frac{(1 - |\frac{a_n}{r}|^2)^{k+1} t_n^{k+1} |f'_n(a_n)|}{(1 - |\frac{a_n}{r}|^2)^{2k} t_n^{2k} + |f_n(a_n)|^2} \geq \left(1 - \left|\frac{a_n}{r}\right|^2\right)^{k+1} t_n^{k+1} f_n^\#(a_n) \tag{2}$$

and thus  $t_n \rightarrow 0$ .

Set  $\rho_n = (1 - |\frac{z_n}{r}|^2)t_n$ , then  $\rho_n = \frac{1 - |\frac{z_n}{r}|^2}{1 - |\frac{a_n}{r}|^2}(1 - |\frac{a_n}{r}|^2)t_n$ . By (2) we have  $\rho_n \leq \frac{1}{1 - (\frac{r^*}{r})^2} \frac{k+1 \sqrt{k+1}}{\sqrt{f_n^\#(a_n)}} \leq \frac{\mu}{k+1 \sqrt{f_n^\#(a_n)}}$ , where  $\mu = \frac{\sqrt[3]{3}}{1 - (\frac{r^*}{r})^2}$ . Now we continue by following the proof of Lemma 2 in [6].

We have

$$\frac{\rho_n}{r - |z_n|} \rightarrow 0, \tag{3}$$

and then the functions  $g_n(\zeta) := f_n(z_n + \rho_n \zeta) / \rho_n^k$  are defined for  $|\zeta| \leq R_n$ , where  $R_n = \frac{r - |z_n|}{\rho_n} \rightarrow \infty$ . A calculation yields

$$\frac{|g'_n(\zeta)|}{1 + |g_n(\zeta)|^2} = \frac{(1 - |z_n/r|^2)^{k+1} t_n^{k+1} |f'_n(z_n + \rho_n \zeta)|}{(1 - |z_n/r|^2)^{2k} t_n^{2k} + |f_n(z_n + \rho_n \zeta)|^2}, \tag{4}$$

so by (1)

$$g_n^\#(0) = \frac{|g'_n(0)|}{1 + |g_n(0)|^2} = k + 1. \tag{5}$$

For  $|\zeta| \leq R < R_n$ , we have

$$|z_n|^2 - 2\rho_n R - \rho_n^2 R^2 \leq |z_n + \rho_n \zeta|^2 \leq |z_n|^2 + 2\rho_n R + \rho_n^2 R^2.$$

It follows from (3) that  $(r^2 - |z_n|^2) / (r^2 - |z_n + \rho_n \zeta|^2)$  tends uniformly to 1 on compact subsets of  $\mathbb{C}$ .

Now fix  $R$  and let  $\varepsilon > 0$ . Then for  $n$  sufficiently large, we have by (1) and (4)

$$g_n^\#(\zeta) = \frac{|g'_n(\zeta)|}{1 + |g_n(\zeta)|^2} \leq \frac{(1 + \varepsilon)(1 - |(z_n + \rho_n \zeta)/r|^2)^{k+1} t_n^{k+1} |f'_n(z_n + \rho_n \zeta)|}{(1 - |(z_n + \rho_n \zeta)/r|^2)^{2\alpha} t_n^{2\alpha} + |f_n(z_n + \rho_n \zeta)|^2} \leq (1 + \varepsilon)(k + 1). \tag{6}$$

Thus, by Marty's Theorem,  $\{g_n\}$  is a normal family in  $\mathbb{C}$ . Taking a subsequence and renumbering, we may assume that the  $g_n$  converge locally uniformly on compacta to a limit function  $g$ . It is evident from (5) and (6) that  $g^\#(0) := k + 1$  (so that  $g$  is nonconstant) and  $g^\#(\zeta) \leq k + 1$  for all  $\zeta$ . This completes the proof.  $\square$

**Lemma 2.** Let  $f$  be a meromorphic function of infinite order on  $\mathbb{C}$ . Then there exist points  $z_n \rightarrow \infty$ , such that for every  $N > 0$ ,  $g^\#(z_n) > |z_n|^N$  if  $n$  is sufficiently large.

**Proof.** Suppose this were not the case. Then there exist  $N > 0$  and  $R > 0$  such that for all  $z$ ,  $|z| \geq R$ , we have  $f^\#(z) < |z|^N$ . So

$$\begin{aligned} S(r, f) &= \frac{1}{\pi} \iint_{|z|<r} f^\#(z)^2 d\sigma = \frac{1}{\pi} \iint_{R \leq |z|<r} f^\#(z)^2 d\sigma + O(1) \leq \frac{1}{\pi} \iint_{R \leq |z|<r} |z|^{2N} d\sigma + O(1) = \frac{1}{\pi} \int_0^{2\pi} d\theta \int_0^r \int_R^r t \cdot t^{2N} dt + O(1) \\ &= \frac{1}{N+1} (r^{2N+2} - R^{2N+2}) + O(1) = \frac{1}{N+1} r^{2N+2} + O(1). \end{aligned}$$

By the definition of Ahlfors characteristic of  $g$ , we have

$$T(r, f) = \int_0^r \frac{S(t, f)}{t} dt \leq \frac{1}{(N+1)(2N+2)} r^{2N+2} + O(\log r).$$

Thus,  $\rho(f) = \overline{\lim}_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} \leq 2N + 2$ , which contradicts the fact that  $f$  is of infinite order.  $\square$

**Lemma 3.** Let  $f$  be a nonconstant meromorphic function of finite order on  $\mathbb{C}$ , all of whose zeros have multiplicity at least  $k + 1$ . If  $f^{(k)}(z) \neq 1$  on  $\mathbb{C}$ , then

$$f(z) = \frac{1}{k!} \frac{(z - a)^{k+1}}{z - b} \quad \text{for some } a, b \in \mathbb{C}, a \neq b.$$

This lemma follows easily from Lemmas 6 and 8 in [9]; see also [4, Lemma 4].

**Lemma 4.** *Let  $R(z) \neq 0$  be a rational function. Then there exists  $k > 0$ , such that for large enough  $z$ ,  $|zR'(z)| \leq k|R(z)|$ .*

This lemma is obvious.

**3. Proof of Theorem 1**

We assume by negation that the equation  $f^{(k)}(z) = a(z)$  has finitely many zeros. This means that

$$\frac{f^{(k)}(z)}{a(z)} \neq 1 \tag{7}$$

for large enough  $z$ .

Set  $F(z) = \frac{f(z)}{a(z)}$ , and write  $b(z) = \frac{1}{a(z)} = \frac{1}{P_1(z)}e^{-Q_1(z)} = P_1(z)e^{Q_1(z)}$ , we have

$$F^{(j)}(z) = (f(z)b(z))^{(j)} = \sum_{i=0}^j C_j^i f^{(i)}(z)b^{(j-i)}(z).$$

Computation yields

$$\begin{pmatrix} 0 & 0 & \dots & 0 & b(z) \\ 0 & 0 & \dots & b(z) & b'(z) \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ b(z) & C_k^1 b'(z) & \dots & C_k^{k-1} b^{(k-1)}(z) & b^{(k)}(z) \end{pmatrix} \begin{pmatrix} f^{(k)}(z) \\ f^{(k-1)}(z) \\ \vdots \\ f(z) \end{pmatrix} = \begin{pmatrix} F(z) \\ F'(z) \\ \vdots \\ F^{(k)}(z) \end{pmatrix} \tag{8}$$

and

$$\begin{aligned} \begin{pmatrix} f^{(k)}(z) \\ f^{(k-1)}(z) \\ \vdots \\ f(z) \end{pmatrix} &= \begin{pmatrix} 0 & 0 & \dots & 0 & b(z) \\ 0 & 0 & \dots & b(z) & b'(z) \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ b(z) & C_k^1 b'(z) & \dots & C_k^{k-1} b^{(k-1)}(z) & b^{(k)}(z) \end{pmatrix}^{-1} \begin{pmatrix} F(z) \\ F'(z) \\ \vdots \\ F^{(k)}(z) \end{pmatrix} \\ &= \frac{(-1)^{l\frac{k+1}{2}}}{b^{k+1}} \begin{pmatrix} 0 & 0 & \dots & 0 & b(z) \\ 0 & 0 & \dots & b(z) & b'(z) \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ b(z) & C_k^1 b'(z) & \dots & C_k^{k-1} b^{(k-1)}(z) & b^{(k)}(z) \end{pmatrix}^* \begin{pmatrix} F(z) \\ F'(z) \\ \vdots \\ F^{(k)}(z) \end{pmatrix}. \end{aligned} \tag{9}$$

So we obtain

$$f^{(k)}(z)b(z) = \sum_{j=0}^k L_j(z)F^{(k-j)}(z), \tag{10}$$

where  $L_0(z) \equiv 1$ .

Observe that the  $(1, k + 1)$  element in the adjoint matrix in the right-hand side of (9) is  $(-1)^{k+l\frac{k+1}{2}}$ , but  $L_0(z) \equiv 1$  is also obvious from (8) and  $L_j(z)$  is a polynomial of  $b'(z)/b(z), \dots, b^{(j)}(z)/b(z)$  ( $1 \leq j \leq k$ ). Next we should calculate  $b^{(j)}(z)/b(z)$ . Since  $b(z) = P_1(z) \exp(Q_1(z))$ , we have  $b^{(j)}(z) = \sum_{\ell=0}^j C_j^\ell P_1^{(\ell)}(z) (\exp(Q_1(z)))^{(j-\ell)}$ , and  $b^{(j)}(z)/b(z)$  is a polynomial of  $P_1^{(\ell)}(z)/P_1(z)$  and  $Q_1^{(\ell)}(z)$  ( $\ell = 1, 2, \dots, j$ ). Since  $\rho(f) = \rho(F) = \infty$ , then by Lemma 2, there exist points  $\{z_n\}$ ,  $z_n \rightarrow \infty$  such that for every  $N > 0$ ,

$$F^\#(z_n) > |z_n|^N \quad \text{if } n \text{ is large enough.} \tag{11}$$

By Marty's Theorem, the family of meromorphic functions  $\{F(z + z_n)\}$  is not normal at  $z = 0$ , hence it is not normal in  $\Delta$ . Also, since  $a(z)$  has only finitely many zeros and poles, all the zeros of  $F(z + z_n)$  in  $\Delta$  have multiplicity at least  $k + 1$ , and poles of which are multiple if  $n$  is sufficiently large. Thus, by Lemma 1 there exist points  $\{z'_n\}$ ,  $|z'_n| < r < 1$ ; positive numbers  $\rho_n \rightarrow 0^+$ ,

$$\rho_n \leq \frac{M}{\sqrt[k+1]{F^\#(z_n)}}, \tag{12}$$

such that

$$g_n(\zeta) := \frac{F(z_n + z'_n + \rho_n \zeta)}{\rho_n^k} \xrightarrow{X} g(\zeta) \quad \text{in } \mathbb{C}, \tag{13}$$

where  $g$  is a nonconstant meromorphic function on  $\mathbb{C}$ , all zeros of which have multiplicity at least  $k + 1$  and all poles of which are multiple.

(In fact, we can also ensure that  $z'_n \rightarrow 0$ , but this is not needed.)

Given  $K$ , a compact subset of  $\mathbb{C}$ , by (7), (10) and (13), we have for  $\zeta \in K$ ,

$$\begin{aligned} 1 &\neq \frac{f^{(k)}(z_n + z'_n + \rho_n \zeta)}{a(z_n + z'_n + \rho_n \zeta)} \\ &= F^{(k)}(z_n + z'_n + \rho_n \zeta) + L_1(z_n + z'_n + \rho_n \zeta)F^{(k-1)}(z_n + z'_n + \rho_n \zeta) + \dots + L_k(z_n + z'_n + \rho_n \zeta)F(z_n + z'_n + \rho_n \zeta) \\ &= g_n^{(k)}(\zeta) + \rho_n L_1(z_n + z'_n + \rho_n \zeta)g_n^{(k-1)}(\zeta) + \dots + \rho_n^k L_k(z_n + z'_n + \rho_n \zeta)g_n(\zeta) \end{aligned} \tag{14}$$

for sufficiently large  $n$ .

We show now that for  $1 \leq j \leq k$ ,

$$\rho_n^j L_j(z_n + z'_n + \rho_n \zeta) \rightarrow 0 \quad \text{uniformly as } \zeta \rightarrow \infty \text{ in } \mathbb{C}. \tag{15}$$

We have by Lemma 4

$$\begin{aligned} \frac{P_1^{(j)}(z_n + z'_n + \rho_n \zeta)}{P_1(z_n + z'_n + \rho_n \zeta)} &= O\left(\frac{1}{z_n^j}\right), \\ Q_1^{(j)}(z_n + z'_n + \rho_n \zeta) &= O(z_n^{Q|j}). \end{aligned} \tag{16}$$

It follows by the structure of  $L_j(z)$  and (16) that it suffices if

$$\rho_n^j |z_n|^{Q|j} \xrightarrow[n \rightarrow \infty]{} 0 \quad \text{for } 1 \leq j \leq k. \tag{17}$$

By (11) and (12), we have for every  $N > 0$ ,

$$\rho_n^j |z_n|^{Q|j} \leq M |z_n|^{Q|j - \frac{jN}{k+1}} \quad (1 \leq j \leq k) \tag{18}$$

for large enough  $n$ .

On the other hand,

$$\max_{1 \leq j \leq k} \left( |Q| - j - \frac{jN}{k+1} \right) = |Q| - 1 - \frac{N}{k+1},$$

so (18) implies that (17) holds and so (15) holds. Thus, we have

$$g_n^{(k)}(\zeta) + C_n L_1(z_n + z'_n + \rho_n \zeta)g_n^{(k-1)}(\zeta) + \dots + \rho_n^k L_k(z_n + z'_n + \rho_n \zeta)g_n(\zeta) \Rightarrow g^{(k)}(\zeta)$$

in  $\mathbb{C} \setminus \mathbb{P}$ , where  $\mathbb{P}$  is the set of poles of  $g(\zeta)$  in  $\mathbb{C}$ . Now, if  $g^{(k)}(\zeta_0) = 1$  for some  $\zeta_0 \in \mathbb{C}$ , then by (14),  $g^{(k)}(\zeta) \equiv 1$ , and so  $g$  is a polynomial of degree  $k$ , but this contradicts the fact that the zeros of  $g$  are of multiplicity at least  $k + 1$ . Thus we have  $g^{(k)}(\zeta) \neq 1$ , and by Lemma 3,  $g(\zeta) = \frac{1}{k!} \frac{(\zeta - a)^{k+1}}{\zeta - b}$ , where  $a \neq b$  are two complex numbers. But this contradicts the fact that all poles of  $g$  are multiple. This completes the proof of Theorem 1.

#### 4. Auxiliary results for the proof of Theorem 2

**Lemma 5.** Let  $R(z) \neq 0$  be a rational function and let  $Q(z) = -z^n + C_{n-1} + \dots + C_0$  be a polynomial ( $n \geq 1$ ). Then for every  $0 < \varepsilon < \frac{\pi}{2n}$ , the function  $h_z(t) = |R(tz) \exp(Q(tz))|$  is decreasing in  $\{t \geq 1\}$  for every  $|z| > L = L(\varepsilon)$  in the domain  $S = V(0, 0, \frac{\pi}{2n} - \varepsilon)$ .

**Proof.** Denote  $z = re^{i\theta}$ . Let  $R(z) = \frac{z^\ell + a_{\ell-1}z^{\ell-1} + \dots + a_0}{b_m z^m + \dots + b_0}$ ,  $b_m \neq 0$ . Then

$$\begin{aligned} h_z(t) &= |R(tz)| \cdot \exp(\operatorname{Re} Q(tz)) \\ &= |R(tz)| \exp\left\{ \operatorname{Re}\left[-r^n t^n (\cos(n\theta) + i \sin(n\theta)) + C_{n-1} r^{n-1} t^{n-1} (\cos((n-1)\theta) + i \sin((n-1)\theta)) + \dots + C_0\right] \right\}. \end{aligned}$$

It is enough to prove that for sufficiently large  $z$  in  $S$ ,  $\frac{h_z(t+\Delta t)}{h_z(t)} < 1$  for small enough positive  $\Delta t$ . There are  $d_1, \dots, d_{n-1} \in \mathbb{R}$  such that

$$\begin{aligned} \frac{h_z(t + \Delta t)}{h_z(t)} &= \left| \frac{R((t + \Delta t)z)}{R(tz)} \right| \cdot \exp[-r^n((t + \Delta t)^n - t^n) \cos(n\theta) + d_{n-1}r^{n-1}((t + \Delta t)^{n-1} - t^{n-1}) + \dots \\ &\quad + d_1r((t + \Delta t) - t)] \\ &= \left| \frac{R((t + \Delta t)z)}{R(tz)} \right| \cdot \exp \left[ -n \cos(n\theta)r^n t^{n-1} \Delta t + r^n \sum_{k=2}^n e_{k,n} t^{n-k} \Delta t^k + r^{n-1} \sum_{k=1}^{n-1} e_{k,n-1} (\Delta t)^k t^{n-1+k} + \dots \right. \\ &\quad \left. + e_{1,1}r \Delta t \right], \end{aligned}$$

where  $e_{k,n} = C_n^k$ ,  $2 \leq k \leq n$ ,  $e_{1,1} = d_1$  and  $\{e_{j,\ell}: 2 \leq \ell \leq n-1, 1 \leq j \leq \ell\}$  are real numbers. Set  $A := A(\varepsilon) = \cos(\frac{\pi}{2} - n\varepsilon) > 0$ , then the last expression is

$$\begin{aligned} &\frac{R((t + \Delta t)z)}{R(tz)} \exp \left[ -Ar^n t^{n-1} \Delta t \left( 1 + O(\Delta t) + O\left(\frac{1}{r}\right) \right) \right] \quad (\Delta t \rightarrow 0, r \rightarrow \infty) \\ &< \left| \frac{R((t + \Delta t)z)}{R(tz)} \right| \exp \left( -\frac{A}{2} r^n t^{n-1} \Delta t \right). \end{aligned}$$

**Claim.** There exists  $k > 0$  such that for  $t \geq 1$  and large enough  $z$ ,  $|\frac{R((t+\Delta t)z)}{R(tz)}| < 1 + k\Delta t$ , for small enough  $\Delta t$ .

**Proof.** Obviously, it is enough to consider the case when  $R(z)$  is a polynomial. So assume  $R(z) = a_n z^n + \dots + a_0$ . We have

$$\frac{R((t + \Delta t)z)}{R(tz)} = \frac{a_n((t + \Delta t)z)^n [1 + \frac{a_{n-1}}{(t+\Delta t)z} + \dots + \frac{a_0}{((t+\Delta t)z)^n}]}{a_n t^n z^n [1 + \frac{a_{n-1}}{tz} + \dots + \frac{a_0}{(tz)^n}]} = \left( 1 + \frac{\Delta t}{t} \right)^n \cdot \frac{1 + \sum_{k=0}^{n-1} \frac{a_k}{((t+\Delta t)z)^{n-k}}}{1 + \sum_{k=0}^{n-1} \frac{a_k}{(tz)^{n-k}}}.$$

For each  $0 \leq k \leq n-1$ , when  $\Delta t \rightarrow 0$  (since  $t \geq 1$  and  $|z|$  is big), we have

$$\frac{a_k}{((t + \Delta t)z)^{n-k}} = \frac{a_k}{(tz)^{n-k} (1 + \frac{\Delta t}{t})^{n-k}} = \frac{a_k}{(tz)^{n-k}} (1 + O(\Delta t)).$$

Thus,

$$\frac{R((t + \Delta t)z)}{R(tz)} = \left( 1 + \frac{\Delta t}{t} \right)^n (1 + O(\Delta t)),$$

and the claim is proved.  $\square$

Thus, if  $r$  is such that  $\frac{A}{2}r^n > 2k$ , then for small enough  $\Delta t$ ,

$$\left| \frac{R((t + \Delta t)z)}{R(tz)} \right| \exp \left( -\frac{A}{2} r^n t^{n-1} \Delta t \right) < (1 + k\Delta t) \exp(-2k\Delta t) < (1 + k\Delta t)(1 - k\Delta t) < 1,$$

and Lemma 5 is proved.  $\square$

**Lemma 6.** If  $f(z)$  is a meromorphic function in the finite plane, then

$$T(r, f) < O\{T(2r, f') + \log r\}, \quad r \rightarrow \infty.$$

This lemma is a corollary to Chuang Chi-Tai's inequality [10, pp. 95–96].

**Lemma 7.** Let  $h(z)$  be analytic in  $S = V(z_0, \theta_0, A)$  for large enough  $|z|$ . Suppose that  $h(z) \overset{\forall}{\Rightarrow} k \in \mathbb{C}$  in  $S_\varepsilon$ , for every  $0 < \varepsilon < A$ , where  $S_\varepsilon := V(z_0, \theta_0, A - \varepsilon)$ . Then  $zh'(z) \overset{\forall}{\Rightarrow} 0$  in  $S_\varepsilon$  for every  $0 < \varepsilon < A$ .

**Proof.** Without loss of generality, assume that  $k = 0$ . Let  $0 < \varepsilon < A$ . Then  $h(z) \overset{\forall}{\Rightarrow} 0$  in  $S_{\varepsilon/2}$ . Let  $z \in S_{\varepsilon/2}$  and denote  $c = c(\zeta_1, |z|tg\frac{\varepsilon}{2})$ . Then

$$|h'(z)| = \left| \frac{1}{2\pi i} \int_C \frac{h(\zeta)}{(\zeta - z)^2} d\zeta \right| \leq \frac{|z|tg\frac{\varepsilon}{2} \max_{\zeta \in C} |h(\zeta)|}{|z|^2tg^2\frac{\varepsilon}{2}}.$$

So  $|zh'(z)| \leq \frac{\max_{\zeta \in C} |h(\zeta)|}{tg\frac{\varepsilon}{2}}$ , and the lemma is proved.  $\square$

We also need the following lemma.

**Lemma 8.** (See [10, p. 25].) If  $f(z)$  is a transcendental meromorphic function in  $\mathbb{C}$ , then  $\underline{\lim}_{r \rightarrow \infty} \frac{T(r, f)}{\log r} = \infty$ .

The following lemma is due to H. King-lai.

**Lemma 9.** (See [10, p. 99].) Let  $f(z)$  be a meromorphic function in  $\{|z| < R\}$ ,  $R \leq \infty$ . If  $f(0) \neq 0, \infty$ , then for every  $k \in \mathbb{N}$ ,

$$m\left(r, \frac{f^{(k)}}{f}\right) < C_k \left\{ 1 + \log^+ \log^+ \frac{1}{|f(0)|} + \log + \frac{1}{r} + \log + \frac{1}{\rho - r} + \log^+ \rho + \log^+ T(\rho, f) \right\},$$

where  $0 < r < \rho < R$  and  $C_k$  is a constant depending only on  $k$ .

We shall also use the following result.

**Theorem L.** (See J.K. Langley [3].) Let  $f$  be a meromorphic function of finite order in  $\mathbb{C}$  and let  $k \geq 2$  be an integer, such that the  $k$ th derivative  $f^{(k)}$  has finitely many zeros. Then  $f$  has finitely many poles.

The Phragmen–Lindelöf Principle, presented in the following two theorems, will play a central role in our proof.

**Theorem PL1.** (See [8, p. 177].) Let  $f$  be analytic in  $D = V(0, 0, \frac{\pi}{2\lambda})$ . Suppose that  $\log \mu(r, f) \leq r^\rho$  for some  $\rho < \lambda$ . If for every  $\zeta \in \partial D$ ,  $\overline{\lim}_{z \rightarrow \zeta, z \in D} |f(z)| \leq M$ , then  $|f(z)| \leq M$  in  $D$ .

Here  $\mu(r, f) = \sup_{-\frac{\pi}{2\lambda} < \theta < \frac{\pi}{2\lambda}} |f(re^{i\theta})|$ .

**Theorem PL2.** (See [8, p. 179].) If  $f(z) \rightarrow a$  along two rays and  $f$  is bounded and analytic in the angle between them, then  $f(z) \xrightarrow{z \rightarrow \infty} a$  uniformly in the whole angle.

## 5. Proof of Theorem 2

We divide into two cases.

*Case (A).*  $f$  has infinitely many poles. There exists a holomorphic function  $T(z)$  such that  $T^{(k)}(z) = a(z)$  and since the poles of  $f$  are exactly the poles of  $f - T$ , we have by Theorem L that the equation  $(f(z) - T(z))^{(k)} = 0$  has infinitely many roots, so  $f^{(k)}(z) - a(z)$  takes the value 0 infinitely many times.

*Case (B).*  $f$  has finitely many poles. If, to the contrary,  $f^{(k)}(z) - a(z)$  has only finitely many zeros, then we have

$$f^{(k)}(z) = P_1(z) \exp(Q_1(z)) + P_2(z) \exp(Q_2(z)), \quad (19)$$

where  $P_1 = P$ ,  $Q_1 = Q$ ,  $P_2$  is a rational function and  $Q_2$  is a polynomial.

Case (B) is now divided into two subcases.

*Case (BI).* Suppose that  $\rho(f)$  is a fraction. Since  $\rho(a)$  is an integer,  $\rho(f) \neq \rho(a)$ . If  $\rho(f) < \rho(a)$ , then if  $|Q_1| \neq |Q_2|$ , we have a contradiction to (19). If  $|Q_1| = |Q_2|$ , then they must be positive integers. In this case, also the leading coefficients in  $Q_1$  and in  $Q_2$  must be equal, because otherwise, the order of the right-hand side of (19) is  $|Q_1|$ , a contradiction. So assume that the leading coefficient in  $Q_1$  and in  $Q_2$  is  $a_1$ . Then by multiplying (19) in  $\exp(-a_1 z^{|Q_1|})$ , we get a contradiction by comparing the order of both sides of the resulting identity. If  $\rho(f) > \rho(a)$ , then we get by (19) that  $\rho(f) = \rho(P_2 \exp(Q_2))$ , and this is impossible since  $\rho(P_2 \exp(Q_2))$  is an integer.

*Case (BII).* Suppose now that  $\rho(f)$  is an integer. Separate into cases.

(i)  $|Q_1| > |Q_2|$ . Then

$$T(r, a) \sim M_1 r^{|Q_1|} \quad \text{for some } M_1 > 0, \quad (20)$$

and by (19) also

$$T(r, f^{(k)}) \sim M_1 r^{|Q_1|} \quad \text{as } r \rightarrow \infty. \quad (21)$$

Now, by Lemma 6, for all  $r > 0$ , we have

$$T(r, f) < C_k T(2^k r, f^{(k)}) + D_k \log r + E_k \quad \text{for some positive constants } C_k, D_k, E_k. \quad (22)$$

By (21), we have  $T(2^k r, f^{(k)}) = O(r^{|Q_1|})$  and then by (20) and (22), we get

$$T(r, f) = O(T(r, a)). \quad (23)$$

Also by Lemmas 8 and 9,

$$T(r, f^{(k)}) = O(T(r, f)). \tag{24}$$

So from (20), (21) and (24), we have

$$T(r, a) = O(T(r, f)). \tag{25}$$

By (23) and (25), we get a contradiction to condition (C<sub>2</sub>) of Theorem 2.

(ii)  $|Q_1| = |Q_2|$ . If  $|Q_1| = |Q_2| = 0$ , then  $f^{(k)}(z)$  is a rational function and so is  $f(z)$ . (The theorem holds then if and only if  $f(z) \equiv C$ ,  $|C| > 1$  and  $a(z) \equiv 0$ .) If  $|Q_1| = |Q_2| > 0$ , then if  $\rho(f) = \rho(f^{(k)}) < |Q_1|$ , then the leading coefficients of  $Q_1(z)$  and  $Q_2(z)$  must be equal, say  $a_1$ , and we get a contradiction by multiplying (19) in  $\exp(-a_1 z^{|Q_1|})$ . The case  $\rho(f) > |Q_1|$  is impossible by (19). Suppose  $\rho(f) = |Q_1|$ , then if the leading coefficients of  $Q_1(z)$  and  $Q_2(z)$  were not equal, we would deduce that  $r^{|Q_1|} = O(T(r, f))$ .

Hence (25) holds (and also (23)), and we have again a contradiction to condition (C<sub>2</sub>). So the leading coefficients of  $Q_1(z)$  and  $Q_2(z)$  must be equal. In this case we have again that (23) and (25) hold and we get a contradiction. (The possibility of  $f^{(k)}(z) = 0$  is of course excluded.)

Observe that running over Case (BI) and on the case  $|Q_1| = |Q_2| = 0$  in (ii) of Case (BII), show that in the case  $\rho(f) = 0$ , the theorem holds under condition (C<sub>2</sub>) alone.

So we are left with the case

(iii)  $|Q_2| > |Q_1|$ . Let  $m_1 = |Q_1|$ ,  $m_2 = |Q_2|$ .

Without loss of generality, we may assume that  $Q_2(z) = -z^{m_2} + \dots$ . Suppose first that  $f$  has finitely many zeros. Then  $f(z) = R(z) \exp(\tilde{Q}(z))$ , where  $R(z)$  is a rational function and  $\tilde{Q}(z)$  is a polynomial, with  $|\tilde{Q}| = m_2$ . Then  $f^{(k)}(z) = \tilde{R}(z) \exp(\tilde{Q}(z))$ , where  $\tilde{R}(z)$  is a rational function. If  $f^{(k)}(z) - a(z)$  has only finitely many zeros in  $\mathbb{C}$ , then

$$\tilde{R}(z) \exp(\tilde{Q}(z)) - P_1(z) \exp(Q_1(z)) = P_2(z) \exp(Q_2(z)). \tag{26}$$

We must have that  $|\tilde{Q}| = m_2$  and that the leading coefficient in  $\tilde{Q}$  must be  $-1$ . Multiply now (26) in  $\exp(z^{m_2})$  and by comparing the order of both sides of the resulting equation, we get a contradiction.

Thus we can assume that  $f$  has infinitely many zeros  $\{z_n\}$ , and since all of them are of multiplicity at least  $k + 1$ , we get

$$f(z_n) = f'(z_n) = \dots = f^{(k)}(z_n) = 0. \tag{27}$$

Let  $S$  be a subsequence of  $\{z_n\}$  (denote it also by  $\{z_n\}$ ), such that  $\arg(z_n)$  converges to  $\alpha$ . By (19) and (27), we have

$$\alpha = \frac{\pi}{2m_2} + \frac{\pi}{m_2} \ell, \quad 0 \leq \ell \leq 2m_2 - 1.$$

Without loss of generality, assume that  $\alpha = \frac{\pi}{2m_2}$ . Denote  $f(z) = f_1(z) + f_2(z)$ , where

$$f_i^{(k)}(z) = P_i(z) \exp(Q_i(z)) \quad (i = 1, 2). \tag{28}$$

Take  $r_0$  sufficiently large such that there are no zeros or poles of  $P_2(z)$  in  $\{|z| \geq r_0\}$  and also no zeros of  $P_1(z)$  there. For all  $m \in \mathbb{Z}$  and for every  $0 < \varepsilon < \frac{\pi}{2m_2}$ , we have  $z^m \exp(Q_2(z)) \xrightarrow{v} 0$  in  $S_\varepsilon$ , where  $S_\varepsilon := V(0, 0, \frac{\pi}{2m_2} - \varepsilon)$ .

There exists  $a_2 \in \mathbb{C}$  such that

$$\int_{r_0}^z P_2(u) \exp(Q_2(u)) du \xrightarrow{v} a_2 \quad \text{in } S_\varepsilon. \tag{29}$$

The integral path can be taken to be the segment from  $r_0$  to  $|z|$  and then the arc  $\gamma_z$  on  $C(0, |z|)$  from  $|z|$  to  $z$  counterclockwise. This limit exists uniformly in  $S_\varepsilon$ . To justify (29), first note that the limit exists when  $z$  is positive and then observe that  $\int_{\gamma_z} P_2(u) \exp(Q_2(u)) du \xrightarrow{v} 0$  in  $S_\varepsilon$ . Thus we have

$$\int_{r_0}^z P_2(u) \exp(Q_2(u)) du = a_2 + o(1)$$

uniformly in  $S_\varepsilon$ .

Next we estimate the  $o(1)$ . We write

$$a_2 - \int_{r_0}^z P_2(u) \exp(Q_2(u)) du = \int_z^\infty P_2(u) \exp(Q_2(u)) du.$$



For the right-hand side of this equation, we can take the path as the ray from  $z$  to  $\infty$ , in the direction of  $\arg(z)$ . Integrating by parts, we have

$$\begin{aligned} \int_z^\infty P_2(u) \exp(Q_2(u)) \, du &= \int_z^\infty \frac{P_2(u)}{Q_2'(u)} Q_2'(u) \exp(Q_2(u)) \, du = -\frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)) - \int_z^\infty \exp(Q_2(u)) \frac{d}{du} \left( \frac{P_2(u)}{Q_2'(u)} \right) \, du \\ &= -\frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)) - \int_z^\infty \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^2(u)} \exp(Q_2(u)) \, du. \end{aligned}$$

We shall prove now that

$$\int_z^\infty \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^2(u)} \right) \exp(Q_2(u)) \, du = o\left( \frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)) \right)$$

as  $z \rightarrow \infty$  uniformly in  $S_\varepsilon$ . Again we integrate by parts and obtain

$$\begin{aligned} \int_z^\infty \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^2(u)} \right) \exp(Q_2(u)) \, du \\ = -\frac{Q_2'(z)P_2'(z) - P_2(z)Q_2''(z)}{Q_2'^3(z)} \exp(Q_2(z)) - \int_z^\infty \exp(Q_2(u)) \frac{d}{du} \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^3(u)} \right) \, du. \end{aligned}$$

Applying Lemma 4 twice, there exists  $k > 0$ , such that for sufficiently large  $u$  in  $S_\varepsilon$ ,

$$\left| u^2 \frac{d}{du} \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^3(u)} \right) \exp(Q_2(u)) \right| \leq \left| \frac{kP_2(u)}{Q_2'^2(u)} \exp(Q_2(u)) \right|.$$

Thus, for large enough  $z$  in  $S_\varepsilon$ ,

$$\begin{aligned} \left| \int_z^\infty \exp(Q_2(u)) \frac{d}{du} \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^3(u)} \right) \, du \right| &\leq k \int_z^\infty \left| \frac{P_2(u)}{u^2 Q_2'^2(u)} \exp(Q_2(u)) \right| \, du \\ &= \frac{k}{|z|} \int_1^\infty \left| \frac{1}{t^2} \frac{P_2(tz)}{Q_2'(tz)^2} \exp(Q_2(tz)) \right| \, dt. \end{aligned} \tag{30}$$

By Lemma 5, there is  $L_\varepsilon > 0$ , such that for every  $z \in S_\varepsilon$ ,  $|z| > L_\varepsilon$ , the function  $h_z(t) := \left| \frac{P_2(tz)}{Q_2'(tz)^2} \exp(Q_2(tz)) \right|$  is decreasing in  $\{t \geq 1\}$ . Thus we have by (30) that for  $z$  in  $S_\varepsilon$ ,  $|z| > L_\varepsilon$ ,

$$\begin{aligned} \left| \int_z^\infty \exp(Q_2(u)) \frac{d}{du} \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^3(u)} \right) \, du \right| &\leq \frac{k}{|z|} \left| \frac{P_2(z)}{Q_2'(z)^2} \exp(Q_2(z)) \right| \int_1^\infty \frac{dt}{t^2} \\ &= \frac{k}{|z|} \left| \frac{P_2(z)}{Q_2'(z)^2} \exp(Q_2(z)) \right|. \end{aligned} \tag{31}$$

By Lemma 4, we also have that for large enough  $z$  in  $S_\varepsilon$ ,

$$\left| \frac{Q_2'(z)P_2'(z) - P_2(z)Q_2''(z)}{Q_2'^3(z)} \exp(Q_2(z)) \right| \leq \frac{k'}{|z|} \left| \frac{P_2(z)}{Q_2'(z)^2} \exp(Q_2(z)) \right| \tag{32}$$

for some  $k' > 0$ .

From (31) and (32), we have

$$\left| \int_z^\infty \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^2(u)} \right) \exp(Q_2(u)) \, du \right| \leq \frac{k+k'}{|z|} \left| \frac{P_2(z)}{Q_2'(z)^2} \exp(Q_2(z)) \right|;$$

and thus

$$\int_z^\infty \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'^2(u)} \right) \exp(Q_2(u)) \, du = o\left( \frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)) \right) \text{ as } z \rightarrow \infty \text{ uniformly in } S_\varepsilon.$$

So we can write

$$a_2 - \int_{r_0}^z P_2(u) \exp(Q_2(u)) du = \int_z^\infty P_2(u) \exp(Q_2(u)) du \sim -\frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)),$$

and have

$$\frac{Q_2'(z)}{P_2(z)} \exp(-Q_2(z)) \left( a_2 - \int_{r_0}^z P_2(u) \exp(Q_2(u)) du \right) \overset{v}{\Rightarrow} -1 \text{ in } S_\varepsilon.$$

Consider now the domain

$$S_\varepsilon^+ := V \left( 0, \frac{\pi}{m_2}, \frac{\pi}{2m_2} - \varepsilon \right) \text{ for } 0 < \varepsilon < \frac{\pi}{2m_2}.$$

Integrating the  $o(1)$  function gives

$$\begin{aligned} a_2 - \int_{r_0}^z P_2(u) \exp(Q_2(u)) du \\ = a_2 - \frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)) + \frac{P_2(r_0)}{Q_2'(r_0)} \exp(Q_2(r_0)) + \int_{\Gamma_z} \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'(u)^2} \exp(Q_2(u)) du, \end{aligned} \tag{33}$$

where  $\Gamma_z$  is the curve from  $r_0$  to  $r_0 \frac{z}{|z|}$ , counterclockwise on the arc  $\{|u| = r_0\}$  and then on the segment from  $r_0 \frac{z}{|z|}$  to  $z$  in  $S_\varepsilon^+$ .

Integrating by parts, we obtain

$$\begin{aligned} \int_{r_0}^z \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'(u)^2} \right) \exp(Q_2(u)) du \\ = \frac{Q_2'(z)P_2'(z) - P_2(z)Q_2''(z)}{Q_2'(z)^3} \exp(Q_2(z)) - \frac{Q_2'(r_0)P_2'(r_0) - P_2(r_0)Q_2''(r_0)}{Q_2'(r_0)^3} \exp(Q_2(r_0)) \\ - \int_{r_0}^z \exp(Q_2(u)) \frac{d}{du} \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'(u)^3} \right) du. \end{aligned} \tag{34}$$

We have by Lemma 4, for  $z \in S_\varepsilon^+$ ,

$$\left| \int_{\Gamma_z} \exp(Q_2(u)) \frac{d}{du} \left( \frac{Q_2'(u)P_2'(u) - P_2(u)Q_2''(u)}{Q_2'(u)^3} \right) du \right| \leq k \int_{\Gamma_z} \left| \frac{P_2(u)}{u^2 Q_2'(u)^2} \exp(Q_2(u)) \right| du \tag{35}$$

for some  $k > 0$ .

Fix  $0 < \delta < 1$ , and apply Lemma 5 to  $\frac{1}{h_2(t)}$  in  $S_\varepsilon^+$ . We then have that there exists  $\tilde{k} > 0$  such that for large enough  $z$ , there is

$$\begin{aligned} k \int_{\Gamma_z} \left| \frac{P_2(u)}{u^2 Q_2'(u)^2} \exp(Q_2(u)) \right| du &\leq \frac{\tilde{k}}{|z|^{1-\delta}} \left| \frac{P_2(z)}{Q_2'(z)^2} \exp(Q_2(z)) \right| \cdot \int_{r_0 \frac{z}{|z|}}^z \frac{|du|}{|u|^{1+\delta}} = \frac{\tilde{k}}{|z|^{1-\delta}} \left| \frac{P_2(z)}{Q_2'(z)^2} \exp(Q_2(z)) \right| \int_{r_0}^{|z|} \frac{dt}{t^{1+\delta}} \\ &= o(1) \left| \frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)) \right|. \end{aligned} \tag{36}$$

By (33)–(36), we have

$$\frac{Q_2'(z)}{P_2(z)} \exp(-Q_2(z)) \left( a_2 - \int_{r_0}^z P_2(u) \exp(Q_2(u)) du \right) \overset{v}{\Rightarrow} -1 \tag{37}$$

in  $S_\varepsilon^+$ .

In the same fashion we have that (37) holds also in

$$S_\varepsilon^- := V \left( 0, \frac{-\pi}{m_2}, \frac{\pi}{2m_2} - \varepsilon \right)$$

for every  $0 < \varepsilon < \frac{\pi}{2m_2}$ . (In fact, (37) holds for both  $S_\varepsilon^+$  and  $S_\varepsilon^-$  with any constant from  $\mathbb{C}$  instead of  $a_2$ .)

Now, for a given  $0 < \varepsilon < \frac{\pi}{2m_2}$ , applying Theorems PL1 and PL2 for the two angular domains, emanating from  $r_0$ ,  $S_{\varepsilon', r_0}^+ := V(r_0, \frac{\pi}{m_2} - \varepsilon', \frac{\pi}{2m_2})$  and  $S_{\varepsilon', r_0}^- := V(r_0, \frac{-\pi}{m_2} + \varepsilon', \frac{\pi}{2m_2})$ , where  $0 < \varepsilon' < \varepsilon$ . Consider that (37) is true for every  $0 < \varepsilon < \frac{\pi}{2m_2}$ . We get by geometrical considerations, that when  $m_2 \geq 2$ , then for every  $0 < \delta < \frac{3\pi}{2m_2}$ , if  $r_0$  is sufficiently large, then

$$\frac{Q_2'(z)}{P_2(z)} \exp(-Q_2(z)) \left( a_2 - \int_{r_0}^z P_2(u) \exp(Q_2(u)) du \right) \overset{\forall}{\Rightarrow} -1 \quad \text{in } \hat{S}_\delta, \tag{38}$$

where

$$\hat{S}_\delta := V\left(0, 0, \frac{3\pi}{2m_2} - \delta\right).$$

When  $m_2 = 1$ , then (38) occurs in

$$\hat{S}_\delta := V(0, 0, \pi - \delta), \tag{39}$$

where  $\delta > 0$  can be arbitrary small if  $r_0$  is large enough.

The reason for making the domains  $S_{\varepsilon', r_0}^+$  and  $S_{\varepsilon', r_0}^-$  emanating from  $r_0$  is to avoid the poles of the function in the left-hand side of (38), in order to use Theorems PL1 and PL2. Note that in (38), if  $r_0$  is large enough, then it is good for every  $0 < \delta < \frac{3\pi}{2m_2}$ , while in (39)  $r_0 \rightarrow \infty$  as  $\delta \rightarrow 0^+$ .

Now, if  $Q_1(z) \neq \text{const}$ , then we can similarly show that there exists  $a_1 \in \mathbb{C}$ , such that for every  $0 < \delta < \frac{\pi}{2m_1}$ ,  $\int_{r_0}^z P_1(u) \exp(Q_1(u)) du \overset{\forall}{\Rightarrow} a_1$  in  $T_\delta := V(0, \theta_0, \frac{\pi}{2m_1} - \delta)$ . Here  $\theta_0$  depends on the argument of the coefficient of  $z^{m_1}$  in  $Q_1(z)$ . Estimating  $a_1 - \int_{r_0}^z P_1(u) \exp(Q_1(u)) du$  gives as in (38) that when  $m_1 \geq 2$  and  $r_0$  is sufficiently large, then

$$\frac{Q_1'(z)}{P_1(z)} \exp(-Q_1(z)) \left( a_1 - \int_{r_0}^z P_1(u) \exp(Q_1(u)) du \right) \overset{\forall}{\Rightarrow} -1 \quad \text{in } \hat{T}_\delta := V\left(0, \theta_0, \frac{3\pi}{2m_1} - \delta\right) \tag{40}$$

for every  $0 < \delta < \frac{3\pi}{2m_1} - \delta$ .

When  $m_1 = 1$ , then (40) occurs in

$$\hat{T}_\delta := V(0, \theta_0, \pi - \delta), \tag{41}$$

when  $\delta$  can be arbitrarily small if  $r_0$  is sufficiently large. Now, since  $m_1 < m_2$ , we can in any case choose  $\theta_0$  and  $\delta$ , such that  $\hat{T}_\delta$  contains  $S^* := V(0, 0, \frac{\pi}{2m_2} + \varepsilon_0)$  for small  $\varepsilon_0$  ( $0 < \varepsilon_0 < \frac{\pi}{2m_1} - \frac{\pi}{2m_2}$ ). Thus, we have for  $i = 1, 2$ ,

$$\frac{Q_i'(z)}{P_i(z)} \exp(-Q_i(z)) \left( a_i - \int_{r_0}^z P_i(u) \exp(Q_i(u)) du \right) \overset{\forall}{\Rightarrow} -1 \quad \text{in } S^*. \tag{42}$$

Integrating  $f^{(k)}(u)$  from  $r_0$  to  $z$  in  $S^*$  and considering (28) and (42), we have

$$f^{(k-1)}(z) - f^{(k-1)}(r_0) = a_1 + (1 + r_1(z)) \frac{P_1(z)}{Q_1'(z)} \exp(Q_1(z)) + a_2 + (1 + r_2(z)) \frac{P_2(z)}{Q_2'(z)} \exp(Q_2(z)), \tag{43}$$

where  $r_2(z)$  is analytic in  $\hat{S}_\delta$  and converges there uniformly to 0 as  $z \rightarrow \infty$ , and  $r_1(z)$  has the same properties in  $\hat{T}_\delta$ .

Integrating (43) from  $r_0$  to  $z$  gives

$$\begin{aligned} f^{(k-2)}(z) &= (a_1 + a_2 + f^{(k-1)}(r_0))z + b_0 + \int_{r_0}^z (1 + r_1(u)) \frac{P_1(u)}{Q_1'(u)} \exp(Q_1(u)) du \\ &\quad + \int_{r_0}^z (1 + r_2(u)) \frac{P_2(u)}{Q_2'(u)} \exp(Q_2(u)) du, \end{aligned} \tag{44}$$

where  $b_0 \in \mathbb{C}$ .

We shall now estimate the integrals in (44). We have

$$\int_{r_0}^z (1 + r_2(u)) \frac{P_2(u)}{Q_2'(u)} \exp(Q_2(u)) du \overset{\forall}{\Rightarrow} b_2$$

in  $S_\varepsilon$ , where  $b_2 \in \mathbb{C}$ . Now we use integration by parts to estimate the difference

$$\begin{aligned}
 & b_2 - \int_{r_0}^z (1+r_2(u)) \frac{P_2(u)}{Q_2'(u)} \exp(Q_2(u)) du \\
 &= \int_z^\infty \frac{P_2(u)}{Q_2'(u)} \exp(Q_2(u))(1+r_2(u)) du \\
 &= -\exp(Q_2(z))(1+r_2(z)) \frac{P_2(z)}{Q_2'(z)^2} - \int_z^\infty \exp(Q_2(u)) \frac{d}{du} \left[ \frac{P_2(u)}{Q_2'(u)^2} (1+r_2(u)) \right] du \\
 &= -\exp(Q_2(z))(1+r_2(z)) \frac{P_2(z)}{Q_2'(z)^2} + T(z),
 \end{aligned} \tag{45}$$

where

$$T(z) = - \int_z^\infty \exp(Q_2(u)) \left[ \frac{P_2'(u)Q_2'(u)^2 - 2Q_2'(u)Q_2''(u)P_2(u)}{Q_2'(u)^4} (1+r_2(u)) + r_2'(u) \frac{P_2(u)}{Q_2'(u)^2} \right] du.$$

We will show that

$$T(z) = o(1) \exp(Q_2(z)) \frac{P_2(z)}{Q_2'(z)^2} \text{ as } z \rightarrow \infty \text{ uniformly in } S_\varepsilon. \tag{46}$$

We have

$$\begin{aligned}
 T(z) &= \exp(Q_2(z)) \left[ \frac{P_2'(z)Q_2'(z)^2 - 2Q_2'(z)Q_2''(z)P_2(z)}{Q_2'(z)^5} (1+r_2(z)) + r_2'(z) \frac{P_2(z)}{Q_2'(z)^3} \right] \Big|_z^\infty \\
 &\quad - \int_z^\infty \exp(Q_2(u)) \frac{d}{du} \left[ \frac{P_2'(u)Q_2'(u)^2 - 2Q_2'(u)Q_2''(u)P_2(u)}{Q_2'(u)^5} (1+r_2(u)) + r_2'(u) \frac{P_2(u)}{Q_2'(u)^3} \right] du.
 \end{aligned} \tag{47}$$

The left term in the right-hand side of (47) is obviously  $o(1) \frac{\exp(Q_2(z))P_2(z)}{Q_2'(z)^2}$ . By Lemmas 4 and 7, and similarly to (31) and (32), the right term in the right-hand side of (47) is  $O(\frac{1}{|z|}) \frac{\exp(Q_2(z))P_2(z)}{Q_2'(z)^2}$ , so (46) is proved. Thus we conclude by (45) that

$$\left( b_2 - \int_{r_0}^z (1+r_2(u)) \frac{P_2(u)}{Q_2'(u)} \exp(Q_2(u)) du \right) \frac{\exp(-Q_2(z))Q_2'(z)^2}{P_2(z)} \xrightarrow{v} -1 \text{ in } S_\varepsilon. \tag{48}$$

Now, in  $S_\varepsilon^+$ ,

$$\begin{aligned}
 b_2 - \int_{r_0}^z (1+r_2(u)) \frac{P_2(u)}{Q_2'(u)} \exp(Q_2(u)) du &= b_2 - \frac{\exp(Q_2(z))}{Q_2'(z)^2} P_2(z)(1+r_2(z)) + \frac{\exp(Q_2(r_0))P_2(r_0)(1+r_2(r_0))}{Q_2'(r_0)^2} \\
 &\quad + \int_{r_0}^z \frac{d}{du} \left[ (1+r_2(u)) \frac{P_2(u)}{Q_2'(u)^2} \right] \exp(Q_2(u)) du.
 \end{aligned} \tag{49}$$

We wish to show that (48) holds also in  $S_\varepsilon^+$ . Since  $\frac{\exp(Q_2(z))}{Q_2'(z)^2} P_2(z) \xrightarrow{v} 0$  in  $S_\varepsilon^+$ , we need to show that the integral on the right-hand side of (49) is  $o(1) \frac{\exp(Q_2(z))}{Q_2'(z)^2} P_2(z)$  as  $z \rightarrow \infty$ , uniformly in  $S_\varepsilon^+$ .

Indeed,

$$\begin{aligned}
 & \int_{r_0}^z \frac{d}{du} \left[ (1+r_2(u)) \frac{P_2(u)}{Q_2'(u)^2} \right] \exp(Q_2(u)) du \\
 &= \int_{r_0}^z \frac{r_2'(u)P_2(u)}{Q_2'(u)^2} \exp(Q_2(u)) du + \int_{r_0}^z \frac{P_2'(u)Q_2'(u)^2 - 2Q_2'(u)Q_2''(u)P_2(u)}{Q_2'(u)^4} \exp(Q_2(u)) du.
 \end{aligned}$$

By Lemma 7,

$$\int_{r_0}^z \frac{r'_2(u)P_2(u)}{Q'_2(u)^2} \exp(Q_2(u)) \, du = o(1) \frac{P_2(z)}{Q'_2(z)^2} \exp(Q_2(z))$$

and

$$\begin{aligned} & \int_{r_0}^z \frac{P'_2(u)Q'_2(u)^2 - 2Q'_2(u)Q''_2(u)P_2(u)}{Q'_2(u)^4} \exp(Q_2(u)) \, du \\ &= \frac{P'_2(u)Q'_2(u)^2 - 2Q'_2(u)Q''_2(u)P_2(u)}{Q'_2(u)^5} \exp(Q_2(u)) \Big|_{r_0}^z \\ & - \int_{r_0}^z \exp(Q_2(u)) \frac{d}{du} \left[ \frac{P'_2(u)Q'_2(u)^2 - 2Q'_2(u)Q''_2(u)P_2(u)}{Q'_2(u)^5} \right] \, du. \end{aligned} \tag{50}$$

The left term in the right-hand side of (50) is  $o(1) \frac{P_2(z)}{Q'_2(z)^2} \exp(Q_2(z))$  as  $z \rightarrow \infty$ , uniformly in  $S_\varepsilon^+$ . The right term is shown to be so, similarly to the discussion after (33). Thus, (48) holds also in  $S_\varepsilon^+$  and similarly it holds in  $S_\varepsilon^-$ .

Again, by applying Theorems PL1 and PL2, (48) holds in  $\hat{S}_\delta$  (see (38), (39)). In the same way, it can be shown that there exists  $b_1 \in \mathbb{C}$ , such that

$$\left( b_1 - \int_{r_0}^z (1+r_1(u)) \frac{P_1(u)}{Q'_1(u)} \exp(Q_1(u)) \, du \right) \frac{\exp(-Q_1(z))Q'_1(z)^2}{P_1(z)} \xrightarrow{z \rightarrow \infty} -1 \text{ uniformly in } \hat{T}_\delta \tag{51}$$

(see (40), (41)). By (48) and (51),

$$f^{(k-2)}(z) = Az + B + (1+S_1(z)) \frac{P_1(z)}{Q'_1(z)^2} \exp(Q_1(z)) + (1+S_2(z)) \frac{P_2(z)}{Q'_2(z)^2} \exp(Q_2(z)), \tag{52}$$

where  $A = a_1 + a_2 + f^{(k-1)}(r_0)$ ,  $B \in \mathbb{C}$  and  $S_i(z) \xrightarrow{z \rightarrow \infty} 0$  in  $S^*$ , for  $i = 1, 2$ .

Now, for  $n \geq N_0$ , all the zeros  $z_n$  are in  $S^*$ . From (27), (28), (43) and (52), we have the following relations:

$$\begin{aligned} & P_1(z_n) \exp(Q_1(z_n)) + P_2(z_n) \exp(Q_2(z_n)) = 0, \\ & (1+r_1(z_n)) \frac{P_1(z_n)}{Q'_1(z_n)} \exp(Q_1(z_n)) + (1+r_2(z_n)) \frac{P_2(z_n)}{Q'_2(z_n)} \exp(Q_2(z_n)) + A = 0, \\ & (1+S_1(z_n)) \frac{P_1(z_n)}{Q'_1(z_n)^2} \exp(Q_1(z_n)) + (1+S_2(z_n)) \frac{P_2(z_n) \exp(Q_2(z_n))}{Q'_2(z_n)^2} + Az_n + B_0 = 0. \end{aligned} \tag{53}$$

From (53), we get

$$A \left[ \frac{(1+o(1))}{Q'_1(z_n)^2} - \frac{(1+o(1))}{Q'_2(z_n)^2} \right] + (Az_n + B) \left[ \frac{(1+o(1))}{Q'_2(z_n)} - \frac{(1+o(1))}{Q'_1(z_n)} \right] = 0,$$

and this implies

$$\begin{aligned} & -A \left[ \frac{1}{Q'_1(z_n)^2} - \frac{1}{Q'_2(z_n)^2} \right] - (Az_n + B) \left[ \frac{1}{Q'_2(z_n)} - \frac{1}{Q'_1(z_n)} \right] \\ &= A \left[ \frac{o(1)}{Q'_1(z_n)^2} - \frac{o(1)}{Q'_2(z_n)^2} \right] + (Az_n + B) \left[ \frac{o(1)}{Q'_2(z_n)} - \frac{o(1)}{Q'_1(z_n)} \right]. \end{aligned} \tag{54}$$

We claim that

$$A \left( \frac{1}{Q'_1(z)^2} - \frac{1}{Q'_2(z)^2} \right) + (Az + B) \left[ \frac{1}{Q'_2(z)} - \frac{1}{Q'_1(z)} \right] \equiv 0. \tag{55}$$

If not, then  $Az + B \neq 0$ , so we multiply (54) in  $\frac{Q'_1(z_n)}{Az_n + B}$  and get

$$\frac{-A}{Az_n + B} \left( \frac{1}{Q'_1(z_n)} - \frac{Q'_1(z_n)}{Q'_2(z_n)^2} \right) - \left( \frac{Q'_1(z_n)}{Q'_2(z_n)} - 1 \right) = \frac{A}{Az_n + B} \left( \frac{o(1)}{Q'_1(z_n)} - \frac{o(1)Q'_1(z_n)}{Q'_2(z_n)^2} \right) + \left( o(1) \frac{Q'_1(z_n)}{Q'_2(z_n)} + o(1) \right).$$

Let now  $n \rightarrow \infty$  and we get that  $1 = 0$ , a contradiction.

Now multiply (55) in  $Q_1'^2(z)Q_2'^2(z)$  and get

$$A(Q_2'(z)^2 - Q_1'(z)^2) + (Az + B)(Q_1'(z)^2 Q_2'(z) - Q_2'(z)^2 Q_1'(z)) = 0.$$

Since  $Az + B \neq 0$  and  $m_2 > m_1 \geq 1$ , we have  $Q_1' = Q_2'$ , a contradiction.

Now consider the case where  $Q_1(z) \equiv \text{const}$ , i.e.,  $a(z)$  is a polynomial. In the case where  $a(z)$  is a nonzero constant, the theorem follows from [9, Theorem 3] or [4, p. 18]. If  $a(z)$  is a general polynomial, then we integrate (19) in  $S^*$  (only once!) and get similarly to (43)

$$f^{(k-1)}(z) - a_1(z) = \frac{P_2(z)}{Q_2'(z)}(1 + r_2(z)) \exp(Q_2(z)), \quad (56)$$

where  $a_1(z)$  is a polynomial such that  $a_1'(z) = a(z)$ , and  $r_2(z) \xrightarrow{z \rightarrow \infty} 0$  in  $S^*$ . We divide (56) by (19), and get  $\frac{a_1(z_n)}{a(z_n)} = \frac{1+r_2(z_n)}{Q_2(z_n)}$ . Letting  $n \rightarrow \infty$ , we get  $\infty = 0$ , a contradiction.

This completes treating the case (iii) of Case (BII) which completes the proof of Theorem 2.

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