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Nonlocal Generalized Models for a Confined Plasma in a Tokamak

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Abstract—The following model appears in plasma physics for a Tokamak configuration: $-\Delta u + g(u) = 0$, $u \in \mathcal{V} = H_0^1(\Omega) \oplus \mathbb{R}$, $\int_{\partial\Omega} \frac{\partial u}{\partial n} = I > 0$, where I is a given positive constant, which is equivalent to find a fixed point $u = F(u - g(u)) + \varphi_0$ where F is a compact operator on $L^2(\Omega)$. According to Grad and Shafranov the nonlinearity g can depend on u_* which is the generalized inverse of the distribution function $m(t) = \text{meas}\{x : u(x) > t\} = |\{u > t\}|$ (see [1]). But in these cases the map $u \rightarrow g(u)$ cannot be continuous on all the space \mathcal{V} but only on a nonlinear nonclosed set \mathcal{V}_0 . This implies that the standard direct method for fixed point cannot be applied to solve the preceding problem. Nevertheless, using the Galerkin method and a topological argument, we prove that there exists a solution u fixed point of $u = F(u - g(u)) + \varphi_0$ under suitable assumptions on g .

The model we treat covers a large new class of nonlinearities including relative rearrangement and monotone rearrangement. The resolution of the concrete model needs an extension of the strong continuity result of the relative rearrangement map made in [2] (see Theorem 1.1 below for the definition and result). © 1998 Elsevier Science Ltd. All rights reserved.

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1. THE GRAD-MERCIER-SHAFRANOV MODELING

We recall (see [3,4]) that a Tokamak is an axisymmetric torus in which a plasma is confined by a magnetic field due to currents in external coils. The magnetic induction B and the current

density j follow the Maxwell laws, which read

$$\operatorname{div} B = 0, \quad (1.1)$$

$$\operatorname{rot} B = j. \quad (1.2)$$

If (r, θ, ζ) denote the cylindrical coordinates, taking into account the axisymmetric configuration, relation (1.1) becomes

$$\frac{1}{r} \frac{\partial}{\partial r} (r B_r) + \frac{\partial B_\zeta}{\partial \zeta} = 0, \quad (1.3)$$

where $B = (B_r, B_\theta, B_\zeta)$. This implies the existence of a function u , called the flux function, depending only on (r, ζ) such that:

$$B_\zeta = \frac{1}{r} \frac{\partial u}{\partial r}, \quad B_r = -\frac{1}{r} \frac{\partial u}{\partial \zeta}.$$

From (1.2), one can derive the equation

$$-\frac{\partial}{\partial r} \left(\frac{1}{r} \frac{\partial u}{\partial r} \right) - \frac{1}{r} \frac{\partial^2 u}{\partial \zeta^2} = j_\zeta,$$

where j_ζ is the toroidal component of the current density (i.e., the ζ -component of j).

If p denotes the plasma kinetic pressure, then

$$\operatorname{grad} p = j \wedge B. \quad (1.4)$$

Thus, the toroidal current j_ζ satisfies the Grad-Mercier-Shafranov relation

$$j_\zeta(r, \zeta) = r \frac{\partial p}{\partial u} + \frac{1}{2r} \frac{\partial}{\partial u} (r B_\zeta)^2$$

p and $r B_\zeta$ depend on the shape of flux lines. In Temam [4] or [5], the case where $j_\zeta = -\lambda u_-$ was considered. Here we will consider $j_\zeta = -g(u)$. The mathematical problem satisfied by u is then given in the cross section of the torus denoted by Ω and the boundary conditions are the same as in [5], that is

$$\begin{aligned} u &= \gamma \\ \int_{\partial\Omega} \frac{1}{r} \frac{\partial u}{\partial n} &= I, \quad \text{on } \partial\Omega, \end{aligned}$$

where γ is an unknown constant and I is a given positive constant. The proof of the main example needs an extension of the strong continuity result of the relative rearrangement map introduced in [2], as in Theorem 1.1.

THEOREM 1.1. *Let Ω be a bounded, open, and connected set in \mathbb{R}^N with Lipschitz boundary. Let $u \in W^{1,r}(\Omega)$, $1 < r \leq +\infty$ and let $\{u_j\}_{j \geq 0}$ be a sequence of functions in $W^{1,r}(\Omega)$ such that $|\{x \in \Omega : Du_j(x) = 0\}| = |\{x \in \Omega : Du(x) = 0\}| = 0$. If $u_j \rightarrow u$ in $W^{1,r}(\Omega)$ and $b \in L^p(\Omega)$, $1 \leq p < +\infty$, then*

$$b_{*u_j}(|u_j > u_j(x)|) \rightarrow b_{*u}(|u > u(x)|) \text{ strongly in } L^p(\Omega)$$

and

$$b_{*u_j} \rightarrow b_{*u} \text{ strongly in } L^p(\Omega_*).$$

Here, b_{*u} is the weak derivative of the function w given by

$$w(\sigma) = \int_{u > u_*(\sigma)} b(x) dx, \quad \forall \sigma \in [0, \operatorname{meas}(\Omega)]$$

and the measure of set E is denoted by $|E|$.

2. EXISTENCE RESULTS IN A GENERAL FRAMEWORK

Let Ω be a bounded, open, and connected set in \mathbb{R}^N , $N \geq 2$, with a smooth boundary $\partial\Omega$.

We denote by (u, v) the scalar product in $L^2(\Omega)$, and by $\|u\|_r$ and $\|u\|_{H^r}$ the norms in $L^r(\Omega)$ and in $H^r(\Omega)$, respectively, and $((u, v))$ the usual scalar product in $H^1(\Omega)$. We will also consider the space

$$\mathcal{V} = H_0^1(\Omega) \oplus \mathbb{R} = \{v \in H^1(\Omega), v = \text{constant on } \partial\Omega\},$$

the subsets

$$\begin{aligned} \mathcal{V}_0 &= \{v \in \mathcal{V} : |\{x \in \Omega : Dv(x) = 0\}| = 0\}, \\ \mathcal{V}_0 \cup \mathbb{R} &= \mathcal{V}_0 \cup \{v \in \mathcal{V} : v = \text{cst}\}. \end{aligned}$$

Δ is the Laplace operator, $\frac{\partial}{\partial n}$ the outward normal derivative on $\partial\Omega$ and I is a given positive constant. Let us consider the following problem:

$$\begin{aligned} (P_\delta) \quad & -\Delta u + g(u) = 0, \quad \text{in } \Omega, \\ & u \in \mathcal{V}_0 \cap W^{2,2}(\Omega), \\ & \int_{\partial\Omega} \frac{\partial u}{\partial n} d\sigma = I. \end{aligned}$$

The operator g is assumed to satisfy the following conditions.

- (H1) $g : \mathcal{V} \rightarrow L^1(\Omega)$ is such that its restriction to $\mathcal{V}_0 \cup \mathbb{R}$ is a continuous operator, in the sense that, if $v \in \mathcal{V}_0 \cup \mathbb{R}$ and $\{v_k\}_{k \in \mathbb{N}}$ is a sequence in $\mathcal{V}_0 \cup \mathbb{R}$ such that $v_k \rightarrow v$ in $H^1(\Omega)$ -strong, then $g(v_k) \rightarrow g(v)$ in $L^1(\Omega)$ -strong.
- (H2) There exist positive constants δ , μ_0 and δ' such that

$$I - \delta|\Omega| > 0, \tag{2.1}$$

$$\begin{aligned} g(v)(x) &\geq \delta' + \mu_0 v_-(x), \quad \text{a.e. in } \Omega, \\ g(v)(x) &= \delta, \quad \text{a.e. in } \{x \in \Omega : v(x) \geq 0\}, \end{aligned} \tag{2.2}$$

for all $v \in \mathcal{V}$.

- (H3) For all $\eta > 0$, there exists a constant $C_\eta > 0$, such that

$$\|g(v)\|_2^{1/p} \leq \eta \|v_-\|_{2p} + C_\eta, \tag{2.3}$$

for all $v \in \mathcal{V}_0$, where, here and in the following, $p = N/N - 2$ if $N > 2$, $p \geq 1$ if $N = 2$.

The the following theorem holds.

THEOREM 2.1. *Let Ω be an open, bounded and connected set of \mathbb{R}^N , $N \geq 2$ with smooth boundary $\partial\Omega$ (for example C^2). Let us consider the problem (P_δ) under the assumptions (H1)–(H3). Then there exists at least one solution $u \in \mathcal{V}_0 \cap W^{2,2}(\Omega)$, of the problem (P_δ) .*

3. NONSTANDARD GRAD-MERCIER-SHAFRANOV MODELS

In this section, we want to apply the previous results in order to give an existence result for a nonstandard Grad-Mercier-Shafranov problem of the following type (see [6,7] for nonlocal models and [5,8] for local models)

$$\begin{aligned} & -\Delta u + G(\cdot, \beta(u)) = 0, \quad \text{in } \Omega, \\ & u \in \mathcal{V}_0 \cap W^{2,2}(\Omega), \\ & \int_{\partial\Omega} \frac{\partial u}{\partial n} d\sigma = I, \end{aligned} \tag{GS}$$

where $\beta : H^1(\Omega) \rightarrow \mathbb{R}^3$ and $G : \Omega \times \mathbb{R}^3 \rightarrow \mathbb{R}$ is a Caratheodory function, that is, for all $\xi \equiv (\xi_1, \xi_2, \xi_3) \in \mathbb{R}^3$, the map $x \rightarrow G(x, \xi)$ is measurable and, for almost every $x \in \Omega$, the map $\xi \rightarrow G(x, \xi)$ is continuous.

Let $\tau : L^2(\Omega) \rightarrow L^2(\Omega)$ be an operator vanishing on constant functions and such that there exist $\alpha_1, \alpha_2 > 0$ satisfying

$$\begin{aligned} \tau(v)(x) &= 0, & \text{if } v(x) \geq 0, \\ \|\tau(v)\|_2 &\leq \alpha_1 \|v_-\|_2 + \alpha_2. \end{aligned} \quad (3.1)$$

For $v \in L^2(\Omega)$, let $k_0(v)(x) = \min(|v > v(x)|^{1-1/N}, (|\Omega| - |v \geq v(x)|)^{1-1/N})$ and $b \in L^\infty(\Omega)$. We consider the problem (\mathcal{GS}) under the following assumptions.

(GS_1) $\beta(v) = (v_-, \tau(v)b_{*v}(|v > v(\cdot)|), k_0(v_-) \frac{dv_-}{ds}(|v > v(\cdot)|))$.

(GS_2) There exist positive constants $\delta, \delta', \mu_0, \kappa_1, \kappa_2$ such that

$$I - \delta|\Omega| > 0, \quad (3.2)$$

$$\begin{aligned} \delta' + \mu_0|\xi_1| \leq G(x, \xi) \leq \kappa_1(|\xi_1| + |\xi_2|) + \kappa_2, & \quad \text{a.e. } x \in \Omega, \forall \xi \equiv (\xi_1, \xi_2, \xi_3) \in \mathbb{R}^3, \\ G(x, 0) = \delta, & \quad \text{a.e. } x \in \Omega. \end{aligned} \quad (3.3)$$

Let us define the following operator:

$$g : v \in \mathcal{V} \rightarrow G(\cdot, \beta(v)(\cdot)) \in L^1(\Omega). \quad (3.4)$$

LEMMA 3.1. *Under the assumptions $(GS_1), (GS_2)$, the operator g defined in (3.4) satisfies the assumptions (H1)–(H3) given in Section 2.*

THEOREM 3.1. *Under the assumptions $(GS_1), (GS_2)$ and (3.2), there exists at least one solution of the problem (\mathcal{GS}) .*

This model in a Tokamak is different from the model appearing in a Stellerator (see [9,10]). Nevertheless, many techniques used in these papers are useful in this case.

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