Histological effects of givinostat in boys with Duchenne muscular dystrophy

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Abstract

Duchenne Muscular Dystrophy (DMD) is caused by mutations in the dystrophin gene leading to dystrophin deficiency, muscle fiber degeneration and progressive fibrotic replacement of muscles. Givinostat, a histone deacetylase (HDAC) inhibitor, significantly reduced fibrosis and promoted compensatory muscle regeneration in *mdx* mice. This study was conducted to evaluate whether the beneficial histological effects of Givinostat could be extended to DMD boys. Twenty ambulant DMD boys aged 7 to <11 years on stable corticosteroid treatment were enrolled in the study and treated for ≥12 months with Givinostat. A muscle biopsy was collected at the beginning and at the end of treatment to evaluate the amount of muscle and fibrotic tissue. Histological effects were the primary objectives of the study. Treatment with Givinostat significantly increased the fraction of muscle tissue in the biopsies and reduced the amount of fibrotic tissue. It also substantially reduced tissue necrosis and fatty replacement. Overall the drug was safe and tolerated. Improvement in functional tests was not observed in this study, but the sample size of the study was not sufficient to draw definitive conclusions. This study showed that treatment with Givinostat for more than 1 year significantly counteracted histological disease progression in ambulant DMD boys aged 7 to 10 years.
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Keywords: Duchenne muscular dystrophy; Givinostat; Histone deacetylase inhibitor; Histology; Clinical trial

1. Introduction

Duchenne Muscular Dystrophy (DMD) is the most common muscular dystrophy in childhood [1]. The disease is caused by mutations in the dystrophin gene, leading to dystrophin deficiency and subsequent cell membrane instability. This instability determines uncontrolled calcium influx, inflammation, necrosis, and replacement of muscle with fibrotic tissue and fat, which leads to severe muscle wasting and weakness.

Pharmacological blockade of the histone deacetylase activity, which is constitutively active in DMD muscles [2] by

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HDAC inhibitors (HDACi), prevents fibrosis and promotes compensatory regeneration in the *mdx* mouse, a model of DMD [3,4].

Givinostat (aka ITF2357) is a potent HDACi currently being developed for the treatment of DMD. In *mdx* mice [3], Givinostat dose and concentration dependently increased the cross-sectional area of myofibers, decreased the cellular inflammatory infiltrate and prevented the formation of fibrotic scars. These findings strongly suggested that in this DMD animal model Givinostat was able to inhibit all the processes which determine muscle fibrotic substitution (inflammation, necrosis, fatty replacement and fibrosis) and to stimulate muscle regeneration with the formation of larger muscle fibers and overall more muscle tissue. Results also suggested that exposures of Givinostat of 300 ng*h/mL are required to exert the beneficial effect.

To evaluate the potential of Givinostat as a treatment for DMD, we conducted the study summarized in this manuscript. The primary objective of this study was to confirm also in humans that Givinostat can counteract the histological signs of the disease.

2. Methods

2.1. Patients

Twenty boys aged 7 to <11 years with an immunohistochemical and molecular diagnosis of DMD were enrolled in this study after an informed consent form was signed by a parent/guardian and child had assented to be in the study (if applicable). Boys were on a stable dose of systemic corticosteroids for at least six months and were able to complete the two screening 6 minute walk tests (6MWT) with a minimal distance of at least 250 meters each with the results of these tests within ± 30 meters of each other. Exclusion criteria were aimed at avoiding confounding factors from other potentially active treatments, and at recruiting boys without significant co-morbidities and without clinical alterations that could be worsened by Givinostat, e.g. low platelet counts. Detailed inclusion/exclusion criteria are summarized in the Supplementary Information.

2.2. Design

This was an open label two-part, phase 2 study. The primary study objective was the evaluation of the histological effects of Givinostat comparing baseline and end of treatment muscle biopsies (brachial biceps). Secondary objectives of the study were safety and tolerability, and functional assessments (6MWT, North Star Ambulatory Assessment (NSAA) and Performance of Upper Limb (PUL)).

Fig. 1 summarizes the study design. Part 1 was a dose escalation study. Boys were asked to return to sites every week for physical examination, vital signs, ECG, laboratory tests, AEs collection, drug dispensing and PK sampling (only at week 2).

All the boys who completed Part 1 entered Part 2. One boy entered the study directly in Part 2. Boys visited their study site at months 1, 2, 3, 4.5, 6, 7.5, 9, 10.5 and 12 of Part 2 for physical examination, vital signs, ECG, laboratory tests, AEs collection, drug dispensing and PK sampling (only at month 12). 6MWT, NSAA, and PUL were evaluated at screening, start of part 2, and at months 3, 6 and 12. Echocardiogram and spirometry were conducted at screening and at the end of part 2.

2.3. Standard protocol approvals, registrations and patient consents

The study was sponsored by Italfarmaco S.p.A. (Milan, Italy), performed in compliance with Good Clinical Practice and the Declaration of Helsinki and it was registered (Identifier NCT01761292) at www.Clinicaltrials.gov. The study was approved by the local Ethics Committees and authorized by the Competent Authority of Italy. A parent or guardian of the participants provided informed written consent and each subject provided written assent before participation.

2.4. Treatments

All boys were treated with Givinostat. During part 1 the dose was escalated from 25 mg BID to 50 mg BID and then reduced to 37.5 mg BID dose. During part 2 all boys were started on the 37.5 mg BID; seven completed the study on this dose and twelve reduced the dose to 25 mg BID (see below for details). All boys continued the steroid treatment regimen they were on at screening.

2.5. Endpoints

Histology: the primary endpoint was the change in histology comparing the brachial biceps biopsies before and after ≥12 months of treatment with Givinostat. The histological parameters assessed were: muscle fiber area fraction (MFAF), cross-sectional area (CSA), necrosis, hypercontracted (hyaline) fibers, fatty replacement and fibrosis (total, endomysial, perimysial). Details on muscle biopsy collection, preparation and histological assessments are provided in the Supplementary Information.

Muscle Function Tests: change in 6MWT, NSAA and PUL after treatment with Givinostat were secondary endpoints. Details on these function tests were reported previously [5–7].

Safety and tolerability were assessed by AEs collection, laboratory tests, physical examination, vital signs, ECG, echocardiogram and spirometry. The following laboratory tests were performed: hematology, total bilirubin, alkaline phosphatase, amylase, ALT, AST, LDH, C-reactive protein, creatine kinase, total protein, albumin, uric acid, sodium, potassium, chloride, calcium, glucose, creatinine, BUN, and CPK, creatinine clearance, and urinalysis. Laboratory tests were conducted at local laboratories.

2.6. Statistical analysis

Based on the results reported in the Desguerre publication [8], a sample size of 20 boys completing the study provided a 90% power (at a 2-sided alpha level of 5%) to detect at least a 25% relative increase in MFAF between pre- and post-treatment using a paired t-test and assuming a normal distribution.

The histological parameters were analyzed on all boys who completed the study, received at least 80% of the Givinostat dose in Part 2, had one baseline and one post-baseline assessment of biopsies, and had no major protocol violations. The ITT population, including all boys enrolled in Part 1 or Part 2 of the study, was used for all the other assessments.

The statistical significance of the change from baseline to the end of the study was tested by paired T-test. Normality assumptions were confirmed by the Shapiro–Wilk test of normality. All statistical tests were performed using a two-tailed 5% significance level.

Givinostat effect on fiber size was evaluated considering the distribution of CSA before treatment (PRE) and at the end of the study (POST). For each individual, PRE and POST CSA values were normalized to the maximum PRE CSA value of that individual. The pooled PRE and POST log-CSA values histograms obtained after normalization and logarithmic transformation were inspected to ascertain a possible shift towards higher fiber size values in the POST distribution. In particular, a common shape underlying the PRE and POST distributions, differing just by a shift S in terms of fiber size, was considered. The common shape was described as a 2-component Gaussian mixture, whose parameters were estimated by the Expectation–Maximization method. The value of the shift S quantifies the effect of Givinostat on a generic muscle fiber, whose size, after treatment, results $K = \exp(S)$ times larger than the baseline value.

3. Results

The baseline characteristics of the 20 boys are summarized in Fig. 1. In Part 1, four boys started at the 25 mg BID dose, eight at the 50 mg BID dose and another seven boys at the 37.5 mg BID dose. Overall, Part 1 lasted 2 months. One of the 19 boys enrolled in Part 1 was discontinued from the study as he reached a stopping rule (platelet counts $<50 \times 10^9$/L) while on treatment with Givinostat 50 mg BID. Another boy treated with Givinostat 50 mg BID reached a stopping rule of temporary treatment suspension (platelet counts $<75 \times 10^9$/L but $>50 \times 10^9$/L). As a result and according to the predefined rules to declare the Maximum Tolerated Dose the dose of 50 mg BID was considered not tolerated. The remaining 18 boys and one other boy entered in Part 2. The dose of 37.5 mg BID was considered the Maximum Tolerated Dose and recommended for Part 2. At the beginning of Part 2 some boys treated at 37.5 mg BID had platelet reduction below the LLN but never $<75 \times 10^9$/L (temporary stopping criterion). Therefore, the protocol was amended to require that platelet counts be assessed ≥every 2 weeks in the first 2 months of therapy

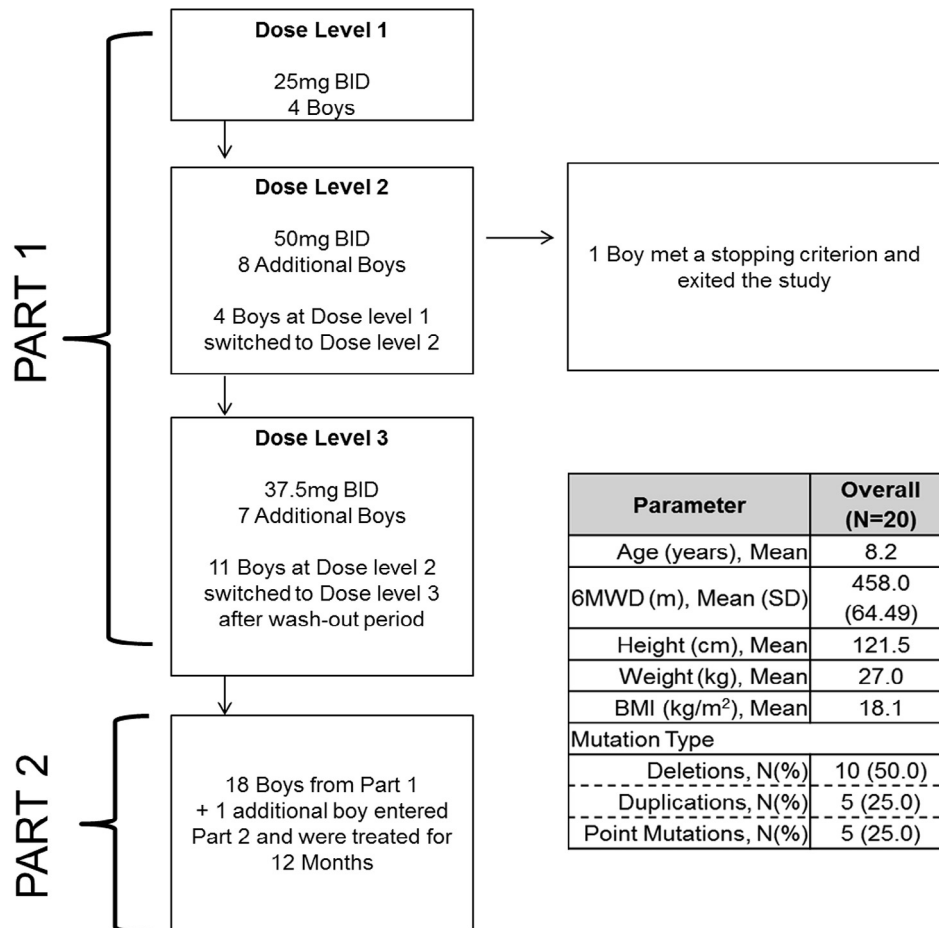| Parameter	Overall (N=20)
Age (years), Mean	8.2
6MWD (m), Mean (SD)	458.0 (64.49)
Height (cm), Mean	121.5
Weight (kg), Mean	27.0
BMI (kg/m²), Mean	18.1
Mutation Type	
Deletions, N(%)	10 (50.0)
Duplications, N(%)	5 (25.0)
Point Mutations, N(%)	5 (25.0)

Fig. 1. Study design and patient demography. Overall, Part 1 lasted 2 months, while part 2 lasted 12 months.

Table 1
Histological parameters at baseline and end of study and absolute and relative change.

	Baseline Mean ± SD	End of Study Mean ± SD	Absolute Change Mean (95% CI)	Relative Change
MFAF (%)	51.00 ± 9.61	64.91 ± 8.35	13.91 (11.57, 16.25; p < 0.0001) • Deletions:13.25(10.16, 16.33; p < 0.0001) • Duplications:11.42(5.30, 17.54; p = 0.0095) • Point Mutations:17.08(10.15, 24.00; p = 0.0024)	29.1% • Deletions:27.1% • Duplications:20.0% • Point Mutations:39.6%
CSA (μm²)*	1191.09 ± 400.98	2056.36 ± 781.39	865.27 ± 555.35 (p < 0.0001)	77.7%
Hypercontracted Fibers	1.98 ± 0.71	0.77 ± 0.54	−1.20 (−1.53, −0.87; p < 0.0001)	−60.4%
Total Fibrosis (%)	46.13 ± 9.61	33.49 ± 8.24	−12.64 (−14.95, −10.33; p < 0.0001)	−27.4%
Endomysial Fibrosis (%)	22.66 ± 6.51	17.60 ± 3.69	−5.06 (−8.17, −1.95; p= 0.0032)	−18.48%
Perimysial Fibrosis (%)	23.47 ± 8.66	15.88 ± 5.56	−7.59 (−10.81, −4.36; p= 0.0001)	−27.3%
Necrosis (%)	1.98 ± 0.73	1.02 ± 0.32	−0.96 (−1.28, −0.65; p < 0.0001)	−43.5%
Fatty Replacement (%)	0.89 ± 0.70	0.58 ± 0.60	−0.30 (−0.44, −0.17; p= 0.0002)	−37.5%

* Absolute change is mean ± SD.

at 37.5 mg BID and that the dose be lowered to 25 mg BID if persistent platelet counts $\leq 150 \times 10^9$/L were observed. Givinostat dose was maintained at 37.5 mg BID in 7 boys and reduced to 25 mg BID in 12. All 19 boys who entered Part 2 completed that part of the study. End of study biopsy could not be evaluated in one boy due to poor conditions of the tissue collected.

All biopsies showed a negative immunoreaction for dystrophin at baseline and end of study. Table 1 and Tables S1–S2 summarize the histological results (Fig. S2 provides examples of histological results). At baseline on average 46% of the tissue samples were occupied by fibrosis, and 51% by muscle. At the end of Part 2, there was a significant reduction of necrotic and hyper contracted fiber number, fat tissue replacement, and endomysial and perimysial fibrosis. MFAF increased due to a homogeneous increment of the CSA value of all fibers. Mean CSA increased by 77.7%. As shown in Fig. 2, the distribution of log-transformed CSA had a similar shape before and after treatment with Givinostat. Treatment with Givinostat shifted the distribution to the right, indicating a multiplicative increase in fiber size by a factor $K = \exp(S) = 1.70$ (p ≤ 0.001). K values were significantly larger in boys treated with 37.5 mg BID throughout Part 2 of the study compared to those who switched to the 25 mg BID dose (Mean ± SD 37.5 mg BID (N = 7) = 2.11 ± 0.52; Mean ± SD 25 mg BID (N = 12) = 1.61 ± 0.37, p < 0.05). Histological parameters did not correlate with either age or steroid treatment duration either at baseline or at the end of Part 2 (age: R = −0.18 to 0.43, NS; steroid duration: R −0.16 to 0.34, NS).

Three serious AEs were reported during the study (platelet reduction, rhabdomyolysis during muscle biopsy anesthesia, tibioperoneal fracture). Only the Platelet reduction was considered drug related (dose: 50 mg BID) and led to study discontinuation. All AEs were mild to moderate in intensity except for the aforementioned serious AEs and a platelet and neutrophil decrease (drug related) in Part 2. Table 2 summarizes the drug related AEs reported in Part 1 (Table 2A) and Part 2 (Table 2B) by more than 1 boy.

Platelet decrease and diarrhea were the most frequent AEs in the study. In Part 1, white blood cell decreased and most of the platelet decreased was reported only at the 50 mg BID dose. In Part 2, platelet decrease has been reported more frequently, with the 37.5 mg BID dose (63.2% vs 41.7%). Givinostat treatment was not associated to any other clinically significant laboratory abnormality. Similarly, vital signs, FEV1, FVC, FEV1/FVC, PEF and ECGs were not significantly altered.

Table 3 reports the results in the functional tests. One child could not complete the 6MWT at month 12 due to a tibioperoneal fracture at month 6. MFAF (directly) and total fibrosis (indirectly) correlated with the 6MWT at baseline and end of study. MFAF and Total Fibrosis correlated also with NSAA total score at baseline and with time to rise from floor at the end of the study (Table S3).

Pharmacokinetic analyses indicated that following oral BID administration givinostat plasma exposures were dose-proportional. Cmax was observed at 2 to 4 hours post-dosing (median) at all doses administered. At all dose levels, the variability of plasma PK parameters was limited. Givinostat was at steady-state following 7 days of administration. Analysis of the accumulation ratios suggested a slight reduction (approximately 30%) at the end of the study compared to the results obtained following one week of treatment.

4. Discussion

While other treatments have previously shown to partially restore dystrophin [9,10], this is the first time that a pharmacological treatment was shown to produce beneficial
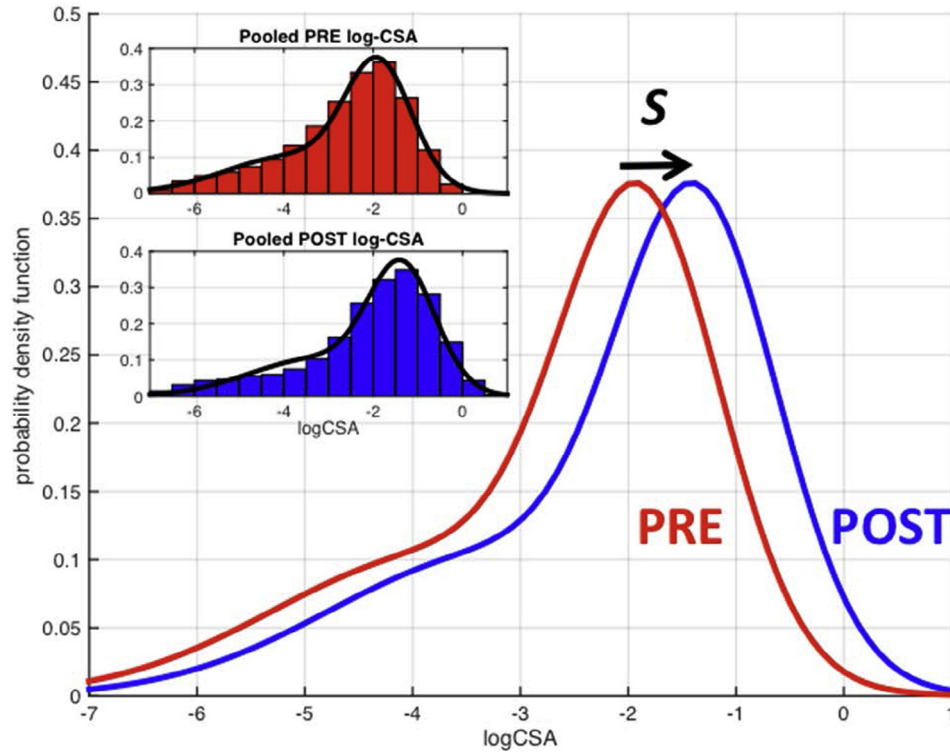
Fig. 2. Comparison of the estimated distributions of normalized log-CSA values measured in the biopsies before treatment (PRE) and at the end of part 2 (POST). In the inset, the estimated distributions are superimposed on the pooled histograms.

histological effects in muscles of DMD patients, though a functional benefit could not be definitively assessed due to the small sample size. Previous studies on muscle biopsies in DMD [8,11] suggest that reductions in necrosis, fatty replacement and fibrosis and increase in muscle fiber area fraction do not occur with disease progression in boys seven to ten years of age. This is also supported by recent data with MRI and MRS that show a progressive tissue damage in boys with DMD five years and

older [12]. Thus these histological effects are attributable to Givinostat. The role of Givinostat treatment is further supported by the lack of correlation between MFAF and total fibrosis and either age or steroid treatment duration. Since Givinostat acts on the pathogenetic events downstream of the genetic defects, Givinostat is potentially a treatment for the whole DMD population. The similar results in boys with deletions, duplications or point mutations support this conclusion.

Table 2
Drug related Treatment Emergent Adverse Events (TEAEs) that occurred in more than 1 patient during the Part 1 of the study (A) and during the Part 2 of the study (B).

A	25.0 mg BID (N = 4)	37.5 mg BID (N = 7)	50.0 mg BID (N = 12)	Overall (N = 19)
Number (%) of Patients with drug related TEAEs	3 (75.0%)	6 (85.7%)	12 (100%)	18 (94.7%)
Abdominal pain	0 (0.0%)	2 (28.6%)	1 (8.3%)	3 (15.8%)
Diarrhea	2 (50.0%)	3 (42.9%)	5 (41.7%)	8 (42.1%)
Feces soft	0 (0.0%)	1 (14.3%)	1 (8.3%)	2 (10.5%)
Platelet decreased	0 (0.0%)	1 (14.3%)	7 (58.3%)	8 (42.1%)
White blood cell count decreased	0 (0.0%)	0 (0.0%)	4 (33.3%)	4 (21.1%)
Rash	1 (25.0%)	0 (0.0%)	1 (8.3%)	2 (10.5%)

B	25.0 mg BID (N = 12)	37.5 mg BID (N = 19)	Overall (N = 19)
Number (%) of Patients with drug related TEAEs	9 (75.0%)	18 (94.7%)	18 (94.7%)
Abdominal pain	0 (0.0%)	5 (26.3%)	5 (26.3%)
Diarrhea	6 (50.0%)	9 (47.4%)	11 (57.9%)
Vomiting	1 (8.3%)	2 (10.5%)	3 (15.8%)
Platelet decreased	5 (41.7%)	12 (63.2%)	12 (63.2%)
Decreased appetite	2 (16.7%)	4 (21.1%)	6 (31.6%)
Headache	1 (8.3%)	1 (5.3%)	2 (10.5%)

Table 3
Results of Functional Tests at each study visit (Mean (SD, N)) during Part 2.

	6MWT Distance (meters)	NSAA Total Score	Rise from floor (seconds)	PUL Total Score
Baseline	453.0	28.1	4.43	71.7
	(62.23, 19)	(5.13, 19)	(1.41, 18)	(2.40, 19)
Month 0	450.9	28.4	4.87	71.9
	(58.00, 19)	(4.46, 19)	(1.57, 18)	(2.61, 18)
Month 3	416.6	27.6	6.75	72.2
	(86.13, 19)	(6.16, 19)	(8.42, 19)	(2.32, 19)
Month 6	421.9	27.3	6.87	72.4
	(73.96, 19)	(5.58, 19)	(8.22, 19)	(1.57, 19)
Month 12	432.2	26.2	5.04	71.6
	(63.60, 18*)	(6.10, 18*)	(2.09, 17*)	(2.81, 19)

* A patient could not perform the 6MWT and was excluded from the NSAA and Rise from floor analysis relevant to month 12 because he fractured his tibia and fibula just after the 6-month functional assessments.

The mechanism of action at the basis of these histological changes induced by Givinostat is still not fully elucidated. However, it is already evident that Givinostat treatment is followed by a homogeneous increase in muscle fibers size (Fig. 2). Although follistatin and myostatin levels and/or their downstream effects could not be assessed in the muscle biopsies in this study, HDACi have been previously shown to increase follistatin translation [4,13]. Follistatin down regulates myostatin a major inhibitor of muscle fibers regeneration [14–16] and in nature myostatin defects are accompanied by large muscle mass. Future studies will need to clarify the role of follistatin translation in the effects on muscle fiber size observed with Givinostat.

The other key objective of this study was to confirm that doses determining a significant histological effect were also tolerated in boys with DMD. Platelet reductions and gastrointestinal AEs have been the most frequent AEs in this study. Platelet reduction has been observed with all HDACi tested so far including Givinostat and it is considered related to their pharmacological effect [17]. In this study, platelet reduction, which met predefined stopping rules, were observed only at 50 mg BID, a dose considered not tolerable. In Part 2, platelet counts remained within the normal range after treatment with 37.5 mg BID in 7 boys, while in other 12 the dose was lowered to 25 mg BID to maintain platelet counts within the normal range. The decision to lower the dose was made even if no clinical manifestation of low platelet counts (e.g. hemorrhage, petechiae, etc.) was observed.

In this study we wanted to maintain exposures to Givinostat as high as possible to maximize the chances of seeing a histological benefit. Using a starting dose of 37.5 mg BID and applying the dose adjustment rules described in the Results section met the objective of maintaining high exposures without abnormal platelet counts. However, the dose was reduced to 25 mg BID in 2/3 of patients. Significant histological improvements were observed in all children in Part 2, regardless of their dose. However, CSA increased significantly more in boys treated at the highest dose throughout part 2. As CSA fibers enlargement appears to be a key event in the Givinostat effect, this result suggests that even if exposures

obtained after the 25 mg BID dose are efficacious, there is a potential benefit in trying to maintain Givinostat exposures as high as possible. Further studies will confirm if larger Givinostat exposures are beneficial and if the dose adjustment rules adopted in this study will allow maintaining platelet counts stable and within normal ranges also in larger cohorts of DMD patients.

Diarrhea was frequently reported in this study, but was mild or moderate, never required treatment adjustments and never led to drug discontinuation, suggesting that this AE is quite manageable.

This study was not designed to assess efficacy and functional tests were performed to evaluate possible negative effects on muscle function. The changes in the function tests were relatively small and similar to those expected in a DMD population similar to the one in this study [18–20]. Because of the small sample size and of the lack of a control group, no further considerations can be made on the Givinostat effects on muscle function. The significant correlation between the MFAF and total fibrosis and most of the functional tests, however, suggests that the histological improvements observed with Givinostat may eventually lead to a functional benefit.

This study has challenges and limitations. Muscle biopsies are always a challenge. A very tight control was maintained throughout the study on muscle biopsy collection, transport, processing and analysis. As a result only 1 biopsy was considered not suitable for analysis. In our study the histological and morphological analysis was performed to the best standards following well documented identification criteria typical of DMD skeletal muscle alterations [21], and morphological analysis was carried out using MetaMorph, a recognized reliable software. In fact, our baseline results are in line with the results previously reported [8,22]. This study did not include a control group, since it was not considered ethical to have a group of boys on placebo undergoing 2 biopsies without any chance of a potential treatment benefit. However, as no histological improvements are expected in a group of DMD boys aged seven to ten years, the significant histological results obtained in this study are not affected by the lack of a control group. Even if the patient number was small, the study was fully powered to show an increase in MFAF at least as large as the one observed in the preclinical study [3].

In conclusion, this study shows that administration of Givinostat for more than one year significantly counteracts histological disease progression in ambulant DMD boys aged seven to ten years. These results support further development of Givinostat in DMD.

Appendix: Supplementary material

Supplementary data to this article can be found online at doi:10.1016/j.nmd.2016.07.002.

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