



## Generic tropical varieties

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### ABSTRACT

We show that in the constant coefficient case the generic tropical variety of a graded ideal exists. This can be seen as an analogue to the existence of the generic initial ideal in Gröbner basis theory. We determine the generic tropical variety as a set in general and as a fan for principal ideals and linear ideals.

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### 1. Introduction

The field of tropical geometry is a growing branch of mathematics establishing a deep connection between algebraic geometry and combinatorics. There are various different approaches and applications of tropical geometry; see [5,10,16,20], and for general overviews see [9,14].

One important aspect of tropical geometry is that it provides a tool to investigate affine algebraic varieties by studying certain combinatorial objects associated with them. This is done by considering the image of an affine algebraic variety  $X$  under a valuation map; see [7,18,20]. The set of real-valued points of this image is defined to be the tropical variety of  $X$  or, equivalently, of the ideal  $I$  defining  $X$ . The tropical variety has the structure of a polyhedral complex in  $\mathbb{R}^n$  and can be used to obtain information of the original variety as is done for example in [7]. For practical purposes, there is a useful characterization of tropical varieties in terms of initial polynomials given in [20] and fully proved in [7, Theorem 4.2] and more explicitly in [18]. From this it follows that in the case of constant coefficients, i.e. if the valuation on the ground field is trivial, the tropical variety of an algebraic variety defined by a graded ideal  $I$  is a subfan of the Gröbner fan of  $I$ . It contains exactly those cones of the Gröbner fan corresponding to initial ideals that do not contain a monomial.

Let  $K$  be an infinite field,  $I \subset S_K = K[x_1, \dots, x_n]$  a graded ideal and  $\succ$  a term order. It is well known that there exists a generic initial ideal  $\text{gin}_{\succ}(I)$  with respect to  $\succ$ . More precisely, there is a non-empty Zariski-open set  $U \subset \text{GL}_n(K)$  such that  $\text{in}_{\succ}(g(I))$  is the same ideal for every  $g \in U$ . This will be made precise in Definition 2.3; see also [8] or [11] for details, and see for example [2,13] for applications of this concept in algebraic geometry and commutative algebra. Since the tropical variety of  $I$  is closely related to the Gröbner fan of  $I$  and thus to initial ideals of  $I$ , the question arises, whether there exists a generic tropical variety of  $I$  analogous to  $\text{gin}_{\succ}(I)$  and what properties it has.

Our aim is to study the tropical variety of a graded ideal under a generic coordinate transformation. We prove the existence of a generic Gröbner fan and a generic tropical variety in the case of constant coefficients. Moreover, we explicitly describe the generic tropical variety of an ideal as a set. This set only depends on the dimension  $m$  of the coordinate ring  $S_K/I$ . It is equal to the support of the  $m$ -skeleton  $\mathcal{W}_n^m$  of one particular fan  $\mathcal{W}_n$  in  $\mathbb{R}^n$  (see Definition 4.1). The following main results of this paper are restated in Corollary 3.2 and Theorem 4.5.

**Theorem 1.1.** *Let  $I \subset S_K = K[x_1, \dots, x_n]$  be a graded ideal with  $\dim(S_K/I) = m$ . Then there exists a Zariski-open subset  $\emptyset \neq U \subset \text{GL}_n(K)$ , such that*

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- (i) the Gröbner fan  $\text{GF}(g(I))$  of the ideal  $g(I)$  is the same fan for every  $g \in U$ ,
- (ii) the tropical variety  $T(g(I))$  of  $g(I)$  is the same fan for every  $g \in U$  and this fan is supported by the underlying set of  $\mathcal{W}_n^m$ . In addition, every ideal has a generic tropical basis.

The latter result yields a way to associate a non-empty tropical variety to an ideal of dimension at least one, even if it contains a monomial. This opens the possibility to study such ideals by means of tropical varieties as well. Note that the existence of a generic tropical variety highly depends on the fact that we use the constant coefficient case. The existence result is false in the general setting; see Remark 2.8.

Our paper is organized as follows. In Section 2 we will introduce our notation and the basic setting for our work. In Section 3 we present a proof of the existence of the generic Gröbner fan in this setting. Section 4 contains the proof of the main theorem regarding generic tropical varieties. In the last Section the example classes of principal ideals and linear ideals are discussed. We refer to [19] for further results on generic tropical varieties, like the relationship between the multiplicity of a generic tropical variety (see, e.g., [6] or [23] for the definition) and the multiplicity of the defining ideal.

## 2. Basic concepts and notation

In this section we present some results and recall definitions which are used in the subsequent sections. Let  $K$  be an infinite field. In general, for the purposes of tropical geometry  $K$  is equipped with a non-archimedean valuation  $v: K \rightarrow \mathbb{R} \cup \{\infty\}$ , which induces the transition map between classical and tropical varieties. In this note we only consider the constant coefficient case, i.e. that  $v(a) = 0$  for all  $a \in K^*$ . This reduces the tropical geometry in our setting to the study of Gröbner fans (at least in characteristic 0); see Remark 2.8 for a hint at the general situation. Note that the definition of a tropical variety as given below works in any characteristic and for the results of this paper only  $|K| = \infty$  is required.

We will denote the polynomial ring in  $n$  variables over  $K$  by  $S_K$ . For a polynomial  $f \in S_K$  with  $f = \sum_{\nu \in \mathbb{N}^n} a_\nu x^\nu$  and  $\omega \in \mathbb{R}^n$  we denote by  $\text{in}_\omega(f)$  the initial polynomial of  $f$ , which consists of all terms of  $f$  such that  $\omega \cdot \nu$  is minimal. Note that our definition is slightly different from the original one in the context of Gröbner basis theory, since for a given polynomial we always take terms of lowest  $\omega$ -weight, while one usually takes terms of maximal  $\omega$ -weight. However, this does not change the theory at all for the case of graded ideals. We use the above definition, since it is consistent with the definition of initial forms in the non-constant coefficient case. If the valuation on  $K$  is non-trivial, the valuations of the coefficients  $a_\nu$  are taken into account in the definition of  $\text{in}_\omega(f)$ , see [7] or [20] for two such variations.

The tropical variety  $T(I)$  of a graded ideal  $I \subset S_K$  is the set of all  $\omega \in \mathbb{R}^n$  such that the minimal weight of the terms of  $f$  is attained at least twice for all  $f \in I$ . In other words, we have

$$T(I) = \left\{ \omega \in \mathbb{R}^n : \text{in}_\omega(f) \text{ is not a monomial for every } f \in I \right\}.$$

If  $I = (f)$  is principal, we also write  $T(f)$  for  $T(I)$ .

In the constant coefficient case the tropical variety of an ideal has a natural fan structure. Recall that a fan  $\mathcal{F}$  in  $\mathbb{R}^n$  is a finite collection of (polyhedral) cones in  $\mathbb{R}^n$  such that for  $C' \subset C$  with  $C \in \mathcal{F}$  we have that  $C'$  is a face of  $C$  if and only if  $C' \in \mathcal{F}$ , and secondly if  $C, C' \in \mathcal{F}$ , then  $C \cap C'$  is a common face of  $C$  and  $C'$ . To simplify notation we denote by  $\mathcal{F}$  also the union of all its cones. The dimension  $\dim \mathcal{F}$  of  $\mathcal{F}$  is the maximum of the dimensions  $\dim C$  for all cones  $C \in \mathcal{F}$  in the usual topology of  $\mathbb{R}^n$ . We call the fan pure-dimensional if every maximal cone has the same dimension  $\dim \mathcal{F}$ .

In the following we will always assume  $I$  to be a graded ideal with  $I \neq \{0\}$ , if not stated otherwise. Recall in this situation the notion of the Gröbner fan  $\text{GF}(I)$  of  $I$ ; see for example [15,17] or [21]. For  $\omega \in \mathbb{R}^n$  we let  $\text{in}_\omega(I)$  be the ideal generated by all  $\text{in}_\omega(f)$  for  $f \in I$ . Two vectors  $\omega, \omega' \in \mathbb{R}^n$  are elements of the same relatively open cone  $\hat{C}$  for  $C \in \text{GF}(I)$  if and only if  $\text{in}_\omega(I) = \text{in}_{\omega'}(I)$ . Then we set  $\text{in}_C(I)$  for this common initial ideal.

It was observed in [22] that the tropical variety  $T(I)$  is a subfan of the Gröbner fan of  $I$  in a natural way (see also [4]). More precisely, we have:

**Proposition 2.1.** *The tropical variety  $T(I)$  of a graded ideal  $I \subset S_K$  is the subfan of the Gröbner fan  $\text{GF}(I)$  which contains all cones  $C \in \text{GF}(I)$  such that the corresponding initial ideal  $\text{in}_C(I)$  contains no monomial.*

The next basic result on tropical varieties is a direct consequence of the definition.

**Lemma 2.2.** *Let  $I, J \subset S_K$  be graded ideals with  $I \subset J$ . If we consider the tropical varieties of  $I$  and  $J$  as sets, we have  $T(J) \subset T(I)$ . In particular, for a homogeneous polynomial  $f \in I$  we have  $T(I) \subset T(f)$ .*

To compute tropical varieties the concept of a tropical basis is useful. Let  $I \subset S_K$  be a graded ideal. Then a finite system of homogeneous generators  $f_1, \dots, f_t$  of  $I$  is called a tropical basis of  $I$  if

$$T(I) = \bigcap_{i=1}^t T(f_i).$$

Every ideal has a tropical basis. See, e.g., [4, Theorem 2.9] for the constant coefficient case and [12] for the general case. We will now specify the meaning of the term generic for this note and introduce the notation used here.

**Definition 2.3.** Let  $G = \{y_{ij} : i, j = 1, \dots, n\}$  be a set of  $n^2$  independent variables over some field  $K$  and let  $K' = K(G)$  be the quotient field of  $K[G]$ . In the following we denote by  $y$  the  $K$ -algebra homomorphism

$$y : K[x_1, \dots, x_n] \longrightarrow K'[x_1, \dots, x_n]$$

$$x_i \longmapsto \sum_{j=1}^n y_{ij}x_j.$$

For any  $g = (g_{ij}) \in GL_n(K)$  this induces a  $K$ -algebra automorphism on  $K[x_1, \dots, x_n]$  by substituting  $g_{ij}$  for  $y_{ij}$ . We identify  $g$  with the induced automorphism and use the notation  $g$  for both of them.

**Notation 2.4.** A polynomial  $f \in K'[x_1, \dots, x_n]$  will sometimes be denoted as  $f(y)$  to emphasize its dependence on the variables  $y_{ij} \in G$ . Let  $f(y) \in K'[x_1, \dots, x_n]$  and  $g \in GL_n(K)$  such that no denominator in the coefficients of the monomials  $x_1^{y_1} \dots x_n^{y_n}$  vanishes when the  $g_{ij}$  are substituted for the  $y_{ij}$ . Then we will denote the polynomial in  $K[x_1, \dots, x_n]$  obtained by this substitution by  $f(g)$ .

The dimension  $\dim(S_K/I)$  for an ideal  $I \subset S_K$  always refers to the Krull dimension of the coordinate ring  $S_K/I$ . Note that for any  $g \in GL_n(K)$  the ideal  $g(I)$  is a graded ideal of the same dimension as  $I$ . If  $\dim(S_K/I) > 0$ , generically the tropical variety of  $I$  is non-empty.

**Lemma 2.5.** Let  $I \subset S_K$  be a graded ideal with  $\dim(S_K/I) > 0$ . Then there exists a Zariski-open set  $\emptyset \neq U \subset GL_n(K)$  such that  $T(g(I)) \neq \emptyset$  for every  $g \in U$ .

**Proof.** We have to show that  $g(I)$  contains no monomial for all  $g$  in a non-empty Zariski-open set  $U \subset GL_n(K)$ . If  $g(I)$  contains a monomial  $x^\alpha$  for a fixed  $g$ , we would have  $(x^\alpha) \subset g(I)$ , which implies the inclusions

$$V(g(I)) \subset V(x^\alpha) = \{z \in K^n : z_i = 0 \text{ for } \alpha_i > 0\}$$

of the zero-sets of the two ideals. Thus it suffices to show that there is a zero of  $g(I)$ , none of whose coordinates is zero to show that no monomial can be contained in  $g(I)$ .

If  $I = (f_1, \dots, f_r)$ , then  $g(I) = (g(f_1), \dots, g(f_r))$ . Since  $g \in GL_n(K)$ , we can also consider it as a vector space isomorphism on  $K^n$ . Let  $g^{-1}$  denote its inverse. Then by definition  $g(f_i)(v) = f_i(g(v))$  for any  $v \in K^n$ . Thus for any  $z \in V(I)$  we get

$$g(f_i)(g^{-1}(z)) = f_i(g(g^{-1}(z))) = f_i(z) = 0,$$

so  $g^{-1}(z) \in V(g(I))$ .

Since  $\dim(S_K/I) > 0$ , we know  $\sqrt{I} \neq (x_1, \dots, x_n)$ . In particular, there exists  $0 \neq z \in V(I)$  because we are assuming that  $K$  is algebraically closed. Now the  $i$ th coordinate  $(g^{-1}(z))_i$  is zero if and only if  $\sum_{j=1}^n g'_{ij}z_j = 0$ , where the  $g'_{ij}$  are the entries of the matrix of  $g^{-1} \in GL_n(K)$ . This sum can be considered as a non-zero polynomial in the variables  $g'_{ij}$  with coefficients  $z_j$ . Now we can choose  $U$  to be the set

$$U = \left\{ g \in GL_n(K) : \sum_{j=1}^n g'_{ij}z_j \neq 0 \text{ for } i = 1, \dots, n \right\},$$

which is non-empty and Zariski-open. Then for any  $g \in U$  we have  $g^{-1}(z) \in V(g(I)) \cap (K^*)^n$ , so  $g(I)$  cannot contain a monomial. Hence,  $T(g(I)) \neq \emptyset$  for  $g \in U$ .  $\square$

Let  $\succ$  be a term order on  $S_K = K[x_1, \dots, x_n]$  with  $x_1 \succ x_2 \succ \dots \succ x_n$ . Then the initial ideal of some ideal  $I \subset S_K$  with respect to  $\succ$  is constant under a generic coordinate transformation of  $I$ . In other words there is a Zariski-open set  $\emptyset \neq U \subset GL_n(K)$  such that  $\text{in}_\succ(g(I))$  is the same ideal for every  $g \in U$ , and this ideal is denoted by  $\text{gin}_\succ(I)$ .

Let  $B_n(K) \subset GL_n(K)$  denote the Borel subgroup of  $GL_n(K)$ , i.e. all upper triangular matrices in  $GL_n(K)$ . Then for every  $g \in B_n(K)$  we have  $g^T(\text{gin}_\succ(I)) = \text{gin}_\succ(I)$ , where  $g^T$  is the transposed matrix of  $g$ . This fact is expressed by calling  $\text{gin}_\succ(I)$  Borel-fixed. In the case that  $\text{char}(K) = 0$  this condition is equivalent to  $\text{gin}_\succ(I)$  being strongly stable; see [8, Theorem 15.23]. This means that for any index  $i \in \{1, \dots, n\}$  and any monomial  $x^v \in \text{gin}_\succ(I)$  which is divisible by  $x_i$ , also the monomial  $(x_j/x_i)x^v$  is in  $\text{gin}_\succ(I)$ . This condition will be used repeatedly in the following explaining our assumption  $\text{char}(K) = 0$ .

As explained above the tropical variety of  $I$  is a subfan of the Gröbner fan of  $I$  and thus closely related to initial ideals of  $I$ . This leads to the question, whether there exists a generic tropical variety of  $I$  analogous to  $\text{gin}_\succ(I)$  and what it looks like, if it does exist.

**Definition 2.6.** Let  $I \subset S_K$  be a graded ideal. If  $T(g(I))$  is the same fan for all  $g$  in a Zariski-open subset  $\emptyset \neq U \subset GL_n(K)$ , then this fan is called the generic tropical variety of  $I$  and is denoted by  $\text{gT}(I)$ .

Note that every graded ideal  $I \subset S_K$  with  $\dim(S_K/I) = 0$  contains a monomial. Thus Lemma 2.5 immediately implies that we have  $\text{gT}(I) = \emptyset$  if and only if  $\dim(S_K/I) = 0$ .

The support of a polynomial  $f$  is the finite set of all exponent vectors of  $f$ . More generally, the support of a finite set  $\mathcal{G}$  of polynomials is the union of the support-sets of every polynomial in  $\mathcal{G}$ . We would like to obtain tropical bases of  $g(I)$  with the same support for all  $g$  in some non-empty open subset of  $GL_n(K)$ . This idea is captured in the next definition.

**Definition 2.7.** Let  $I \subset S_K = K[x_1, \dots, x_n]$  be a graded ideal. A finite set  $\{f_1(y), \dots, f_s(y)\}$  of polynomials in  $y(I)$  is called a *generic tropical basis of  $I$* , if there is an open subset  $\emptyset \neq U \subset GL_n(K)$  such that  $\{f_1(g), \dots, f_s(g)\}$  is a tropical basis of  $g(I)$  with the same support for every  $g \in U$ . If an open set  $\emptyset \neq U \subset GL_n(K)$  fulfills this condition, the generic tropical basis is said to be *valid on  $U$* .

In Section 4 it will be proved that generic tropical varieties exist and that every graded ideal has a generic tropical basis in the constant coefficient case.

**Remark 2.8.** Definition 2.6 can be formulated in the same way in the non-constant coefficient case, i.e. if the valuation  $v$  on  $K$  is non-trivial. In this case the initial form of a homogeneous polynomial  $f \in K[x_1, \dots, x_n]$  is defined by taking the valuations of the coefficients of  $f$  into account; see e.g. [20]. For example, for the linear form  $f = g_{11}x + g_{12}y \in K[x, y]$ , the initial form  $\text{in}_\omega(f)$  is not a monomial, if and only if  $v(g_{11}) + \omega_1 = v(g_{12}) + \omega_2$ .

This example suffices to show that the condition of Definition 2.6 will not be fulfilled in general in the constant coefficient case. We consider the ideal  $I = (x) \subset K[x, y]$ . Then  $g(I) = (g_{11}x + g_{12}y)$ , so if  $g_{11}, g_{12} \neq 0$ , we get

$$T(g(I)) = \{\omega \in \mathbb{R}^2 : v(g_{11}) + \omega_1 = v(g_{12}) + \omega_2\}.$$

This affine subspace of  $\mathbb{R}^2$  of course depends on the value of  $v(g_{11}) - v(g_{12}) = v(\frac{g_{11}}{g_{12}})$  which will not be the same for general  $g_{11}, g_{12} \in K$ . Hence, there is no Zariski-open subset  $U \subset GL_2(K)$  such that  $T(g(I))$  is the same set for every  $g \in U$ .

### 3. The generic Gröbner fan

In this section we show the existence of a “generic Gröbner fan” of a graded ideal  $I \subset S_K = K[x_1, \dots, x_n]$ .

Recall that  $I$  has only finitely many initial ideals with respect to term orders on the polynomial ring  $K[x_1, \dots, x_n]$  and these initial ideals correspond to the maximal cones in the Gröbner fan of  $I$ . A *universal Gröbner basis of  $I$*  is a finite generating set of  $I$  which is a Gröbner basis of  $I$  with respect to every term order. Note that such a universal Gröbner basis always exists. Indeed, choosing term orders  $\succ_1, \dots, \succ_m$  such that  $\text{in}_{\succ_1}(I), \dots, \text{in}_{\succ_m}(I)$  are all initial ideals of  $I$ , then the union of all reduced Gröbner bases of  $I$  with respect to  $\succ_i$  for  $i = 1, \dots, m$  is a universal Gröbner basis of  $I$ ; see for example [15, Corollary 2.2.5].

Recall that  $K' = K(y_{ij} : i, j = 1, \dots, n)$  as defined in Section 2. We may identify term orders on  $S_K$  with those on  $S_{K'} = K'[x_1, \dots, x_n]$ . Moreover, we also identify monomial ideals in  $S_K$  with those in  $K'[x_1, \dots, x_n]$ , since the monomials do not depend on the ground field.

**Theorem 3.1.** Let  $I \subset S_K$  be a graded ideal. There exists a Zariski-open subset  $\emptyset \neq U \subset GL_n(K)$  and polynomials  $h_1(y), \dots, h_s(y) \in y(I)$  such that

- (i)  $\mathcal{G}(y) = \{h_1(y), \dots, h_s(y)\}$  is a universal Gröbner basis of  $y(I)$ .
- (ii) For every  $g \in U$  the set  $\mathcal{G}(g) = \{h_1(g), \dots, h_s(g)\}$  is a universal Gröbner basis of  $g(I)$ .
- (iii) All these universal Gröbner bases have the same support.

**Proof.** Let  $J \subset K'[x_1, \dots, x_n]$  be the image ideal  $y(I)$  of  $I$  under the  $K$ -algebra homomorphism  $y$  as defined in Definition 2.3. There exists only finitely many initial ideals  $\text{in}_1(J), \dots, \text{in}_m(J)$  of  $J$  with respect to term orders of  $K'[x_1, \dots, x_n]$ . We choose a term order  $\succ_i$  for each initial ideal  $\text{in}_i(J)$  such that  $\text{in}_{\succ_i}(J) = \text{in}_i(J)$ . Using the Buchberger Algorithm we can compute a reduced Gröbner basis  $\mathcal{G}_i$  of  $J$  with respect to  $\succ_i$ . Let  $\mathcal{G}(y)$  be the union of all these reduced Gröbner bases  $\mathcal{G}_i$  of  $J$ , i.e. a universal Gröbner basis of  $J$ . The coefficients of all polynomials occurring throughout these computations are themselves quotients of polynomials in the variables  $y_{ij}$ . Now choose  $U$  to be the non-empty Zariski-open set of all  $g \in GL_n(K)$  such that all of the finitely many numerators and denominators of the polynomials appearing during the calculations in the algorithm are non-zero with respect to any of the  $\succ_i$ . Then for any  $g \in U$  the reduced Gröbner basis  $\mathcal{G}_i(g)$  of  $g(I)$  with respect to  $\succ_i$  is obtained by evaluating the polynomials of  $\mathcal{G}_i$  at  $g$ .

Now it remains to show that for  $g \in U$  the union of the  $\mathcal{G}_i(g)$  is a universal Gröbner basis of  $g(I)$ . For this it is enough to prove that every initial ideal of  $g(I)$  is one of the  $\text{in}_1(J), \dots, \text{in}_m(J)$ . Let  $g \in U$  be fixed and  $\succ$  be any term order and consider the initial ideal  $\text{in}_\succ(g(I))$ . We know that  $\text{in}_\succ(J) = \text{in}_i(J)$  for some  $i \in \{1, \dots, m\}$ . This implies that the reduced Gröbner basis  $\mathcal{G}_i$  of  $J$  with respect to  $\succ_i$  is also a reduced Gröbner basis of  $J$  with respect to  $\succ$ ; see [15, Corollary 2.2.5]. Moreover, by the choice of  $U$  we know that  $\mathcal{G}_i(g)$  is a reduced Gröbner basis of  $g(I)$  with respect to  $\succ_i$  for  $g \in U$ . Since  $\mathcal{G}_i$  and  $\mathcal{G}_i(g)$  have the same support, we know  $\text{in}_\succ(y(f)) = \text{in}_\succ(g(f))$  and  $\text{in}_{\succ_i}(y(f)) = \text{in}_{\succ_i}(g(f))$  for every  $y(f) \in \mathcal{G}_i$ . We also know that  $\text{in}_\succ(y(f)) = \text{in}_{\succ_i}(y(f))$ , since  $\text{in}_\succ(J) = \text{in}_{\succ_i}(J)$  and  $\mathcal{G}_i$  is reduced. But then we get

$$\begin{aligned} \text{in}_{\succ_i}(g(I)) &= (\text{in}_{\succ_i}(g(f)) : g(f) \in \mathcal{G}_i(g)) \\ &= (\text{in}_{\succ_i}(y(f)) : y(f) \in \mathcal{G}_i) \\ &= (\text{in}_\succ(y(f)) : y(f) \in \mathcal{G}_i) \\ &= (\text{in}_\succ(g(f)) : g(f) \in \mathcal{G}_i(g)) \subset \text{in}_\succ(g(I)). \end{aligned}$$

However, both  $\text{in}_{\succ_i}(g(I))$  and  $\text{in}_\succ(g(I))$  are initial ideals of the same ideal  $g(I)$ , and hence,  $\text{in}_\succ(g(I)) = \text{in}_{\succ_i}(g(I))$ .

This means that  $\mathcal{G}(g)$  defined as the union of the  $\mathcal{G}_i(g)$  for  $i = 1, \dots, m$  is a universal Gröbner basis of  $g(I)$ . Now  $\mathcal{G}(g)$  is obtained by evaluating the coefficients of the polynomials in  $\mathcal{G}$ , and for  $g \in U$  none of these coefficients vanishes. Hence, all  $\mathcal{G}(g)$  consist of polynomials which differ only in the coefficients not equal to zero. So all  $\mathcal{G}(g)$  for  $g \in U$  have the same support.  $\square$

Note that in particular this implies the well-known result that for a graded ideal  $I \subset S_K$  there exist only finitely many generic initial ideals of  $I$ . As the Gröbner fan of  $g(I)$  depends only on the support of the polynomials in the universal Gröbner basis, this also immediately implies the existence of a generic Gröbner fan.

**Corollary 3.2.** *Every ideal  $g(I)$  has the same Gröbner fan for every  $g \in U$  for some non-empty open subset  $U \subset GL_n(K)$ .*

Since every non-empty Zariski-open subset is dense in  $GL_n(K)$ , the following definition makes sense.

**Definition 3.3.** The unique polyhedral fan that equals  $GF(g(I))$  for all  $g$  in a non-empty Zariski-open subset of  $GL_n(K)$ , is called the *generic Gröbner fan of  $I$* . We denote this fan by  $gGF(I)$ .

We also state two Corollaries of [Theorem 3.1](#) needed in Section 4.

**Corollary 3.4.** *Let  $I \subset S_K$  be a graded ideal and  $\succ$  a term order. Then  $in_{\succ}(y(I)) \subset S_{K'}$  and  $gin_{\succ}(I) \subset S_K$  have the same sets of minimal generators.*

**Proof.** The reduced Gröbner bases of  $y(I)$  and  $g(I)$  with respect to  $\succ$  have the same support for every  $g$  in a non-empty open subset of  $GL_n(K)$  by [Theorem 3.1](#).  $\square$

**Corollary 3.5.** *Let  $I \subset S_K$  be a graded ideal. Then there exists an open set  $\emptyset \neq U \subset GL_n(K)$  such that for every  $\omega \in \mathbb{R}^n$ , every term order  $\succ$  and every  $g \in U$  we have  $in_{\succ}(in_{\omega}(g(I))) = gin_{\succ_{\omega}}(I)$ .*

**Proof.** We claim that the set  $U \subset GL_n(K)$  from [Theorem 3.1](#) has this property. Let  $\omega \in \mathbb{R}^n$  and  $\succ$  any term order. Let  $\mathcal{g}(g) = \{h_1(g), \dots, h_s(g)\}$  be the universal Gröbner basis of  $g(I)$  with the same support for  $g \in U$  existing by [Theorem 3.1](#). In particular,  $\mathcal{g}(g)$  is a Gröbner basis of  $g(I)$  with respect to  $\succ_{\omega}$ . Thus  $\{in_{\omega}(h_1(g)), \dots, in_{\omega}(h_s(g))\}$  is a Gröbner basis of  $in_{\omega}(g(I))$  with respect to  $\succ$ . With [Theorem 3.1](#) this implies

$$\begin{aligned} in_{\succ}(in_{\omega}(g(I))) &= (in_{\succ}(in_{\omega}(h_1(g))), \dots, in_{\succ}(in_{\omega}(h_s(g)))) \\ &= (in_{\succ_{\omega}}(h_1(g)), \dots, in_{\succ_{\omega}}(h_s(g))) \\ &= in_{\succ_{\omega}}(g(I)) \\ &= gin_{\succ_{\omega}}(I). \quad \square \end{aligned}$$

The generic Gröbner fan is symmetric with respect to coordinates in the following sense. Let  $S_n$  denote the symmetric group of degree  $n$ . For  $\sigma \in S_n$  and  $\omega = (\omega_1, \dots, \omega_n) \in \mathbb{R}^n$  we set  $\sigma(\omega) = (\omega_{\sigma(1)}, \dots, \omega_{\sigma(n)})$ . Moreover,  $\sigma$  induces a  $K$ -algebra automorphism on  $K[x_1, \dots, x_n]$  by setting  $\sigma(x_i) = x_{\sigma(i)}$ . By abuse of notation this map will also be denoted by  $\sigma$ . For  $g = (g_{ij}) \in GL_n(K)$  let  $\sigma(g) = (g_{i\sigma^{-1}(j)})$ . Hence,  $\sigma(g)$  corresponds to a switching of the columns of the matrix of  $g$ . Note that with this notation for a graded ideal  $I \subset K[x_1, \dots, x_n]$  and  $\sigma, \tau \in S_n$  we have

- (i)  $\sigma(g(I)) = \sigma(g)(I)$ ,
- (ii)  $\tau(\sigma(g)) = (\sigma \circ \tau)(g)$ .

Furthermore, every non-empty Zariski-open subset of  $GL_n(K)$  contains an open subset which is symmetric with respect to renaming coordinates. This means that for an open set  $\emptyset \neq U \subset GL_n(K)$  we can choose an open set  $\emptyset \neq V \subset U$  such that for every  $\sigma \in S_n$  we have:

$$g \in V \text{ implies } \sigma(g) \in V.$$

With this we can state a result on the symmetry of generic Gröbner fans.

**Proposition 3.6.** *Let  $I \subset K[x_1, \dots, x_n]$  be a graded ideal and  $\hat{C}$  be a relatively open cone in  $gGF(I)$ . Then*

$$\sigma(\hat{C}) = \{\sigma(\omega) : \omega \in \hat{C}\}$$

*is also a relatively open cone of  $gGF(I)$  for  $\sigma \in S_n$ .*

**Proof.** Let  $\emptyset \neq V \subset GL_n(K)$  be Zariski-open such that  $GF(g(I)) = gGF(I)$  for all  $g \in V$  and such that  $g \in V$  implies  $\sigma(g) \in V$ . Let  $J$  be the initial ideal corresponding to  $\hat{C}$ . Now we have  $\omega \in \hat{C}$  if and only if  $in_{\omega}(g(I)) = J$  for  $g \in V$ . As  $in_{\sigma(\omega)}(\sigma(g(I)))$  is obtained from  $in_{\omega}(g(I))$  by renaming coordinates,  $\omega \in \hat{C}$  is equivalent to  $in_{\sigma(\omega)}(\sigma(g)(I)) = in_{\sigma(\omega)}(\sigma(g(I))) = \sigma(J)$ . Since  $\sigma(g) \in V$ , the ideal  $\sigma(J)$  then also defines a cone of  $gGF(I)$ . This cone contains exactly all  $\sigma(\omega)$  for  $\omega \in \hat{C}$  in its relative interior.  $\square$

#### 4. Generic tropical varieties

The generic tropical variety of an ideal turns out to be closely connected to one particular fan in  $\mathbb{R}^n$  which we describe first. Let  $e_i$  denote the  $i$ th standard basis vector of  $\mathbb{R}^n$  and  $\text{cone}(M)$  denote the positive hull of a set  $M$ .



**Definition 4.1.** Let  $\mathcal{W}_n$  be the fan in  $\mathbb{R}^n$  consisting of the following closed cones: For each non-empty subset  $A \subset \{1, \dots, n\}$  let

$$C_A = \text{cone}(\{e_i : i \notin A\}) + \mathbb{R}(1, \dots, 1).$$

This fan will be called the *generic tropical fan* in  $\mathbb{R}^n$ . The  $t$ -skeleton of  $\mathcal{W}_n$  will be denoted by  $\mathcal{W}_n^t$ .

Equivalently we can write  $C_A = \{\omega \in \mathbb{R}^n : \omega_i = \min_k \{\omega_k\} \text{ for all } i \in A\}$ . Note that the image of  $\mathcal{W}_n$  in  $\mathbb{R}^n / (1, \dots, 1)$  is a fan of the projective  $(n - 1)$ -space as a toric variety.

For a  $k$ -dimensional cone  $C_A$  of  $\mathcal{W}_n$  the set  $A$  has to have exactly  $n - k + 1$  elements. Thus the number of cones of dimension  $k$  is equal to the number of possibilities to choose  $n - k + 1$  from  $n$ , which is  $\binom{n}{n-k+1} = \binom{n}{k-1}$ . Therefore,  $\mathcal{W}_n$  has exactly  $\binom{n}{k-1}$  cones of dimension  $k$  for  $k = 1, \dots, n$ .

We now show that for an ideal  $I \subset S_K = K[x_1, \dots, x_n]$  with  $\dim(S_K/I) = m$  generically the tropical variety is contained in the  $m$ -skeleton of the generic tropical fan. Recall the definition of the field  $K'$  and the ideal  $y(I)$  in  $S_{K'} = K'[x_1, \dots, x_n]$  from Definition 2.3.

**Lemma 4.2.** Let  $I \subset S_K = K[x_1, \dots, x_n]$  be a graded ideal with  $\dim(S_K/I) = m < n$ . Then there exist polynomials  $f_1(y), \dots, f_s(y) \in y(I)$ , such that  $\bigcap_{i=1}^s T(f_i(y)) \subset \mathcal{W}_n^m$ . In particular,  $T(y(I)) \subset \mathcal{W}_n^m$ .

**Proof.** Since  $y : S_K \rightarrow S_{K'}$  is a flat extension, we have  $\dim(S_{K'}/y(I)) = \dim(S_K/I) = m$ .

In the case  $m = 0$  both  $T(y(I))$  and  $\mathcal{W}_n^m$  are empty, so let  $m > 0$ . Let  $\check{C} \in \text{GF}(y(I))$  be a relatively open Gröbner cone of  $y(I)$  such that  $\check{C} \not\subset \mathcal{W}_n^m$ . Choose  $\omega \in \check{C} \setminus \mathcal{W}_n^m$ , so the minimum of the coordinates of  $\omega$  is attained at most  $n - m$  times. Without loss of generality we may assume that  $\min_i \{\omega_i\} = 0$  and the first  $r$  coordinates  $r \leq n - m$  attain the minimum.

Let  $\succ$  be the lexicographic term order induced by  $x_1 \succ x_2 \succ \dots \succ x_n$ , and let  $\succ_\omega$  be the refinement of the partial order corresponding to  $\omega$  with respect to  $\succ$ . Then  $\text{gin}_{\succ_\omega}(I)$  exists and we have  $\dim(S_K/\text{gin}_{\succ_\omega}(I)) = \dim(S_K/I) = m$ . In particular,

$$\text{gin}_{\succ_\omega}(I) \cap K[x_r, \dots, x_n] \neq \{0\},$$

since otherwise  $K[x_r, \dots, x_n]$  would be subset of a Noether normalization of the ring  $K[x_1, \dots, x_n]/\text{gin}_{\succ_\omega}(I)$  and therefore  $\dim(S_K/I) \geq n - r + 1 \geq m + 1$  which is a contradiction to the assumption  $\dim(S_K/I) = m$ .

Let  $0 \neq u \in \text{gin}_{\succ_\omega}(I) \cap K[x_r, \dots, x_n]$  be a monomial of total degree  $t$ . Since  $\text{gin}_{\succ_\omega}(I)$  is Borel-fixed, this implies  $x_r^t \in \text{gin}_{\succ_\omega}(I)$ ; see, e.g., [8, Theorem 15.23]. Since  $\text{gin}_{\succ_\omega}(I)$  and  $\text{in}_{\succ_\omega}(y(I))$  have the same minimal generators by Corollary 3.4, we also have  $x_r^t \in \text{in}_{\succ_\omega}(y(I))$ . Let  $f(y) \in y(I)$  such that  $\text{in}_{\succ_\omega}(f(y)) = x_r^t$ . No term of  $f(y)$  that has the same  $\omega$ -weight as  $x_r^t$  may contain a variable from  $x_1, \dots, x_{r-1}$ , since then  $\text{in}_{\succ_\omega}(f(y)) \neq x_r^t$  in the chosen lexicographic term order. So every such term of  $f(y)$  apart from  $x_r^t$  must be divisible by one of the variables  $x_{r+1}, \dots, x_n$ . But then every term of  $f(y)$  has  $\omega$ -weight greater than zero, except  $\text{wt}_\omega(x_r^t) = 0$ . Hence,  $\text{in}_\omega(f(y)) = x_r^t$  is a monomial. This implies  $\omega \notin T(f(y))$ . Thus  $T(f(y)) \subset \mathbb{R}^n \setminus \check{C} \cup \mathcal{W}_n^m$ . Repeating this procedure for every Gröbner cone  $C$  of  $y(I)$  with  $\check{C} \not\subset \mathcal{W}_n^m$  yields finitely many polynomials  $f_1(y), \dots, f_s(y) \in y(I)$  such that  $\bigcap_{i=1}^s T(f_i(y)) \subset \mathcal{W}_n^m$ . By Lemma 2.2 this implies  $T(y(I)) \subset \mathcal{W}_n^m$ .  $\square$

**Corollary 4.3.** Let  $I \subset S_K = K[x_1, \dots, x_n]$  be a graded ideal with  $\dim(S_K/I) = m < n$ . Then there exists a non-empty open subset  $U \subset \text{GL}_n(K)$  such that for every  $g \in U$  there is a set of polynomials  $\{f_1(g), \dots, f_s(g)\} \subset g(I)$  having the same support for every  $g \in U$  with  $\bigcap_{i=1}^s T(f_i(g)) \subset \mathcal{W}_n^m$ .

**Proof.** Let  $f_1(y), \dots, f_s(y) \in y(I)$  be as in Lemma 4.2. Choose  $\emptyset \neq U \subset \text{GL}_n(K)$  such that no numerator or denominator of the coefficients of the  $f_i(y)$  vanishes, when the  $g_{ij}$  are substituted for the  $y_{ij}$ . Then  $\{f_1(g), \dots, f_s(g)\}$  has the same support for  $g \in U$ . Moreover,  $\bigcap_{i=1}^s T(f_i(g)) \subset \mathcal{W}_n^m$  by Lemma 4.2 as a tropical hypersurface depends only on the support of its generator in the constant coefficient case.  $\square$

The next result is a converse to Corollary 4.3.

**Lemma 4.4.** Let  $I \subset S_K$  be a graded ideal with  $\dim(S_K/I) = m$ . Then there exists an open subset  $\emptyset \neq U \subset \text{GL}_n(K)$  such that  $\mathcal{W}_n^m \subset T(g(I))$  for every  $g \in U$ .

**Proof.** Let  $\emptyset \neq U \subset \text{GL}_n(K)$  be open, such that  $\text{in}_\succ(\text{in}_\omega(g(I))) = \text{gin}_{\succ_\omega}(I)$  for  $g \in U$  for any  $\omega \in \mathbb{R}^n$  and any term order  $\succ$ . Such a set exists by Corollary 3.5. We will show that the claim of the lemma holds for every  $g \in U$ .

Let  $\omega \in \mathcal{W}_n^m$ . For a fixed  $g \in U$  let  $P$  be a minimal prime of  $\text{in}_\omega(g(I))$  with  $\dim(S_K/P) = m$ . Assume that  $P$  contains a monomial. Since  $P$  is prime, this implies that  $P$  contains a variable  $x_l$  for some  $l$ . Without loss of generality let  $\omega_1 = \dots = \omega_{n-m+1} \leq \omega_j$  for  $j > n - m + 1$ . To establish a contradiction let  $\{i_1, \dots, i_{n-m}\} \subset \{1, \dots, n - m + 1\} \setminus \{l\}$ . Let  $\succ$  be a lexicographic term order with

$$x_{i_1} \succ x_{i_2} \succ \dots \succ x_{i_{n-m}} \succ x_j \text{ for } j \notin \{i_1, \dots, i_{n-m}\}.$$

By assumption we have  $\text{gin}_{\succ_\omega}(I) = \text{in}_\succ(\text{in}_\omega(g(I))) \subset \text{in}_\succ(P)$  with

$$\dim(S_K/\text{gin}_{\succ_\omega}(I)) = \dim(S_K/\text{in}_\succ(P)) = m.$$

Let  $Q$  be a minimal prime of  $\text{in}_\succ(P)$ . Since the dimensions coincide,  $Q$  is also a minimal prime of  $\text{gin}_{\succ_\omega}(I)$ . But  $\text{gin}_{\succ_\omega}(I)$  has only one minimal prime which is  $(x_{i_1}, \dots, x_{i_{n-m}})$  by the choice of the term order  $\succ$  (see for example [8, Corollary 15.25]). Hence,  $Q$  does not contain  $x_l$ . This is a contradiction to the fact that  $x_l \in P$  and therefore  $x_l \in \text{in}_\succ(P) \subset Q$ . Thus,  $P$  cannot contain a monomial. Hence,  $\text{in}_\omega(g(I)) \subset P$  cannot contain a monomial implying  $\omega \in T(g(I))$ . Since this holds for every  $g \in U$ , this proves the claim.  $\square$

This implies the following characterization of generic tropical varieties as a set in the constant coefficient case.

**Theorem 4.5.** *Let  $I \subset S_K = K[x_1, \dots, x_n]$  be a graded ideal with  $\dim(S_K/I) = m < n$ . Then  $gT(I)$  exists and as a set*

$$gT(I) = \mathcal{W}_n^m.$$

Moreover, there exists a generic tropical basis for  $I$  (as in Definition 2.7).

**Proof.** Let  $\{f_1(g), \dots, f_s(g)\} \subset g(I)$  be a finite set of polynomials having the same support for every  $g$  in a non-empty open subset  $U_1 \subset GL_n(K)$  such that  $\bigcap_{i=1}^s T(f_i(g)) \subset \mathcal{W}_n^m$  for every  $g \in U_1$ . This exists by Corollary 4.3. Moreover, let  $\emptyset \neq U_2 \subset GL_n(K)$  be open such that  $\mathcal{W}_n^m \subset T(g(I))$  for  $g \in U_2$  existing by Lemma 4.4. Then for  $g \in U_1 \cap U_2$  we have

$$\mathcal{W}_n^m \subset T(g(I)) \subset \bigcap_{i=1}^s T(f_i(g)) \subset \mathcal{W}_n^m$$

implying  $T(g(I)) = \mathcal{W}_n^m$  for  $g \in U_1 \cap U_2$ . Since  $U_1 \cap U_2$  is open, the generic tropical variety  $gT(I)$  exists and as a set is equal to  $\mathcal{W}_n^m$ .

In addition, let  $\{h_1, \dots, h_r\}$  be a set of generators of  $I$ . Let  $U_3 \subset GL_n(K)$  be a non-empty open set such that the sets  $\{g(h_1), \dots, g(h_r)\}$  have the same support for every  $g \in U_3$ . Since  $g(h_1), \dots, g(h_r)$  generate  $g(I)$  for every  $g \in GL_n(K)$  and by the equality  $T(g(I)) = \bigcap_{i=1}^s T(f_i(g))$  for  $g \in U_1 \cap U_2$ , the set

$$\{y(h_1), \dots, y(h_r), f_1(y), \dots, f_s(y)\}$$

is a tropical basis of  $I$  valid on  $U_1 \cap U_2 \cap U_3$ .  $\square$

In particular, in the constant coefficient case the generic tropical variety of an ideal as a set depends only on its dimension. Moreover, as a Corollary we recover the statement of Bieri and Groves [3] that the Krull dimension of  $S_K/I$  coincides with the topological dimension of  $T(I)$  in the constant coefficient case in the generic situation.

**Corollary 4.6** (Bieri and Groves). *Let  $I \subset S_K$  be a graded ideal. Then there exists an open subset  $\emptyset \neq U \subset GL_n(K)$  such that  $\dim(S_K/g(I)) = \dim T(g(I))$  for every  $g \in U$ .*

### 5. Examples

We conclude this note with some examples of generic Gröbner fans and generic tropical varieties. We briefly discuss principal ideals and linear ideals.

To describe the generic tropical variety of principal ideals we first prove a simple auxiliary statement.

**Lemma 5.1.** *For a given homogeneous polynomial  $0 \neq f \in S_K$  of total degree  $d$  we can find a non-empty Zariski-open set  $U \subset GL_n(K)$  such that  $g(f)$  contains all terms  $P_k(g)x_k^d$  with non-zero coefficients  $P_k(g)$  for all  $g \in U$ .*

**Proof.** Let  $f = \sum_{v \in \mathbb{N}^n} a_v x_1^{v_1} \dots x_n^{v_n}$  with  $\sum_{i=1}^n v_i = d$ . Then

$$g(f) = \sum_{v \in \mathbb{N}^n} a_v \left( \sum_{j=1}^n g_{1j} x_j \right)^{v_1} \dots \left( \sum_{j=1}^n g_{nj} x_j \right)^{v_n}.$$

So  $g(f)$  contains the terms  $(\sum_v a_v g_{1k}^{v_1} \dots g_{nk}^{v_n}) x_k^d$ . Let  $P_k(g) = \sum_v a_v g_{1k}^{v_1} \dots g_{nk}^{v_n}$ . Because  $f$  is not the zero polynomial we can choose  $U$  to be the set of all  $g \in GL_n(K)$  with  $P_k(g) \neq 0$  for  $k = 1, \dots, n$ .  $\square$

**Proposition 5.2.** *Let  $0 \neq f \in S_K$  be a homogeneous polynomial. Then:*

- (i)  $gGF(f)$  is equal to the generic tropical fan  $\mathcal{W}_n$ .
- (ii)  $gT(f)$  is equal to  $\mathcal{W}_n^{n-1}$ , the  $(n - 1)$ -skeleton of the generic tropical fan.

**Proof.** We consider the Zariski-open set  $\emptyset \neq U \subset GL_n(K)$  such that  $g(f)$  has the maximal number of terms for all  $g \in U$ , i.e.  $g$  is not a zero of any non-zero coefficient polynomial of the terms in  $g(f)$ . In particular, by Lemma 5.1 we know  $P_k(g) \neq 0$  for  $k = 1, \dots, n$  for all  $g \in U$ . Since  $g(f)$  is homogeneous, this implies that  $\text{in}_\omega(g(f))$  is exactly the sum of those terms of  $g(f)$ , that contain only variables  $x_i$  for which  $\omega_i = \min \{\omega_j : j = 1, \dots, n\}$ . So for  $\omega, \omega' \in \mathbb{R}^n$  we have  $\text{in}_\omega(g(f)) = \text{in}_{\omega'}(g(f))$  if and only if

$$\{i : \omega_i = \min \{\omega_j : j = 1, \dots, n\}\} = \{i : \omega'_i = \min \{\omega'_j : j = 1, \dots, n\}\}.$$

Hence,  $\omega$  and  $\omega'$  are in the same Gröbner cone of  $g(I)$  if and only if they are in the same cone  $\mathcal{W}_n$  for all  $g \in U$  and we conclude  $gGF(f) = \mathcal{W}_n$ .

For the computation of the generic tropical variety we note that  $\text{in}_\omega(g(f))$  is a monomial  $P_k(g)x_k^d$  for  $g \in U$ , if  $\omega_k < \omega_j$  for all  $j \neq k$ . If the minimum on the other hand is attained at least twice, then  $\text{in}_\omega(g(f))$  contains at least the terms  $P_k(g)x_k^d$  corresponding to the minimal coordinates  $k$  and therefore is not a monomial. So for all  $g \in U$  we conclude that  $T(g(I)) = \mathcal{W}_n^{n-1}$ . So  $gT(I) = \mathcal{W}_n^{n-1}$ .  $\square$

For linear ideals  $I \subset S_K$ , that is, ideals generated by linear forms, the tropical variety of  $I$  just depends on the matroid of  $I$  as observed in [22]. This matroid  $M(I)$  on  $N = \{1, \dots, n\}$  is defined by declaring the circuits to be the minimal subsets  $A$  of  $N$  such that there exists a linear form in  $I$  supported in variables with indices in  $A$ . Tropical varieties of matroids have been studied in [1].

We explicitly compute the generic Gröbner fan and the generic tropical variety of linear ideals  $I$ . These just depend on the dimension of  $S_K/I$  as fans.

Let  $I \subset S_K$  be linear. Then a matrix  $A = (a_{ij}) \in K^{t \times n}$  will be called a *matrix of  $I$* , if there exist the linear forms  $f_i = \sum_{j=1}^n a_{ij}x_j$ , such that  $I = (f_1, \dots, f_t)$ . Note that choosing different generators of  $I$  by taking linear combinations of the original ones corresponds to Gaussian operations on a given matrix of  $I$ . If  $I \subset S_K$  is a linear ideal and  $A$  is a matrix of  $I$ , then  $\text{rank } A = n - \dim(S_K/I)$ .

Let  $\dim(S_K/I) = m$  and  $J \subset N = \{1, \dots, n\}$  with  $|J| = n - m$ . Let  $A$  be a matrix of  $I$ . If the minor of  $A$  corresponding to the columns indexed by  $J$  is non-zero, we can consider the reduced form  $A_J$  of  $A$  with respect to  $J$ . By this we mean the matrix obtained from  $A$  by performing Gaussian elimination such that the square matrix of the columns corresponding to indices in  $J$  is the identity matrix. For example, for  $J = \{1, \dots, n - m\}$  we have

$$A_J = \begin{pmatrix} 1 & \cdots & 0 & * & \cdots & * \\ \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & \cdots & 1 & * & \cdots & * \end{pmatrix},$$

where the  $*$  represent any element of  $K$ .

For the generic situation note that if  $A \in K^{r \times n}$  is the matrix of  $I$  and  $g \in \text{GL}_n(K)$ , then we can consider  $g$  as a matrix  $g \in K^{n \times n}$  and observe that the matrix product  $Ag \in K^{r \times n}$  is exactly the matrix of  $g(I)$ . This is true, since for the generator  $f_i$  of  $I$  we have

$$g(f_i) = \sum_j a_{ij}g(x_j) = \sum_j \sum_k a_{ij}g_{jk}x_k = \sum_k \left( \sum_j a_{ij}g_{jk} \right) x_k,$$

so the coefficient of  $x_k$  in  $g(f_i)$  is exactly the product of the  $i$ th row of  $A$  and the  $k$ th column of  $g$ .

**Lemma 5.3.** *Let  $A \in K^{r \times n}$  of rank  $r$ . Then there is a non-empty Zariski-open subset  $U \subset \text{GL}_n(K)$  such that*

- (i) every  $r \times r$  minor of  $Ag$  is non-zero for every  $g \in U$ ,
- (ii) every entry  $*$  on the right hand side of  $(Ag)_J$  as above is non-zero for  $g \in U$  for every  $J \subset N$  with  $|J| = r$ .

**Proof.** The  $r \times r$ -minors of  $Ag$  can be considered as polynomials in the  $g_{ij}$ . If one of these polynomials was the zero polynomial, that would mean, that the determinant of the corresponding submatrix is zero for all  $g \in \text{GL}_n(K)$ , in particular for permutation matrices in  $\text{GL}_n(K)$  that swap columns of  $A$ . This implies that the determinant of all possible  $r \times r$ -submatrices of  $A$  are zero and thus  $\text{rank } A < r$ , which is a contradiction. So all  $r \times r$ -minors of  $Ag$  are non-zero polynomials  $\{f_1, \dots, f_s\}$  in the  $g_{ij}$ . Thus we can choose  $U$  as the set of all  $g \in \text{GL}_n(K)$  with  $f_i(g) \neq 0$  for  $i = 1, \dots, s$ .

For the second statement we note that if every  $r \times r$ -minor of  $Ag$  is non-zero, so is every  $r \times r$ -minor of  $(Ag)_J$  for a fixed  $J$ , since Gaussian elimination preserves the rank of a matrix. So for  $g \in U$  every  $r \times r$ -minor of  $(Ag)_J$  is not zero. Now assume that some entry  $*_{ij}$  for some  $j \notin J$  of  $(Ag)_J$  is equal to 0. Consider the submatrix  $B$  of  $(Ag)_J$  consisting of the  $r$  columns of  $(Ag)_J$  corresponding to  $J$ , except that the  $i$ th column is replaced by the  $j$ th one. Then every entry in  $i$ th row of  $B$  is zero, and thus  $\det B = 0$ . But this is a contradiction to the fact that no  $r \times r$ -minor of  $(Ag)_J$  is zero.  $\square$

The last statement together with [21, Proposition 1.6] (or [15, Proposition 1.4.4]) shows that for a linear ideal  $I$  with  $\dim(S_K/I) = m$  generically the universal Gröbner basis consists of  $\binom{n}{m-1}$  linear forms each supported on a different subset of size  $n - m + 1$  of  $N$ . Equivalently the matroid associated with  $I$  is the uniform matroid of rank  $n - m$  on  $N$ , see [22, Example 9.13].

**Proposition 5.4.** *Let  $I \subset S_K$  be a linear ideal with  $\dim(S_K/I) = m$ .*

(i) *The generic Gröbner fan  $g\text{GF}(I)$  contains the following cones.*

(a) *For  $\omega \in \mathbb{R}^n$  with  $\{i_1, \dots, i_n\} = \{1, \dots, n\}$  such that*

$$\omega_{i_1}, \dots, \omega_{i_{n-m}} < \omega_{i_{n-m+1}}, \dots, \omega_{i_n}$$

*we have*

$$C[\omega] = \left\{ \omega' \in \mathbb{R}^n : \omega'_{i_1}, \dots, \omega'_{i_{n-m}} < \omega'_{i_{n-m+1}}, \dots, \omega'_{i_n} \right\}.$$

(b) *For  $\omega \in \mathbb{R}^n$  with  $\{i_1, \dots, i_n\} = \{1, \dots, n\}$  such that*

$$\omega_{i_1}, \dots, \omega_{i_{n-m-t-1}} < \omega_{i_{n-m-t}} = \omega_{i_{n-m-t+1}} = \dots = \omega_{i_{n-m+s}} < \omega_{i_{n-m+s+1}}, \dots, \omega_{i_n}$$

*for  $t \geq 0, s \geq 1$  we have that  $C[\omega]$  is equal to the set*

$$\left\{ \omega' \in \mathbb{R}^n : \omega'_{i_1}, \dots, \omega'_{i_{n-m-t-1}} < \omega'_{i_{n-m-t}} = \omega'_{i_{n-m-t+1}} = \dots = \omega'_{i_{n-m+s}} < \omega'_{i_{n-m+s+1}}, \dots, \omega'_{i_n} \right\}.$$

(ii) *The generic tropical variety  $g\text{T}(I)$  is equal to  $\mathcal{W}_n^m$  as a fan.*



**Proof.** Let  $\omega \in \mathbb{R}^n$  such that after possibly renaming coordinates  $\omega_1 \leq \omega_2 \leq \dots \leq \omega_n$ , and  $\succ_\omega$  be a term order with  $x_1 \succ x_2 \dots \succ x_n$  which refines  $\omega$ . Let  $A$  be a matrix of  $I$  with  $\text{rank} A = r = n - m$ . By [15, Proposition 1.4.4] the rows of the matrix  $(Ag)_J$  for  $J = \{1, \dots, n - m\}$  are a reduced Gröbner basis of  $g(I)$ . For  $g \in U$  as defined in Lemma 5.3 the rows of  $(Ag)_J$  correspond to linear forms

$$l_i = x_i + \sum_{k=r+1}^n c_{ik}x_k$$

with  $c_{ik} \neq 0$  for  $i = 1, \dots, r, k = r + 1, \dots, n$ . Now  $\omega' \in \mathbb{R}^n$  is in the same Gröbner cone as  $\omega$ , if and only if  $\text{in}_{\omega'}(l_i) = \text{in}_\omega(l_i)$  for  $i = 1, \dots, r$ . Since  $\omega_1, \dots, \omega_{n-m} \leq \omega_{n-m+1}, \dots, \omega_n$  this immediately implies  $\omega'_1, \dots, \omega'_{n-m} \leq \omega'_{n-m+1}, \dots, \omega'_n$ . For every equality of some  $\omega_i = \omega_k$  for  $i \in \{1, \dots, n - m\}, k \in \{n - m + 1, \dots, n\}$  the vector  $\omega'$  has to fulfill the same equality such that  $\text{in}_{\omega'}(l_i) = \text{in}_\omega(l_i)$ . This completes the proof of the first part.

For the second statement we already know that  $gT(I) = \mathcal{W}_n^m$  as a set. On the other hand  $gT(I)$  is a subfan of the Gröbner fan  $gGF(I)$  as computed in Theorem 5.4. But  $\mathcal{W}_n^m$  is a subfan of  $gGF(I)$ , since the maximal cones of  $\mathcal{W}_n^m$  are exactly the cones

$$C = \{ \omega \in \mathbb{R}^n : \omega_{i_1} = \dots = \omega_{i_{n-m+1}} \leq \omega_{i_{n-m+2}}, \dots, \omega_{i_n} \}$$

of  $gGF(I)$ . Hence  $gT(I) = \mathcal{W}_n^m$  as a fan.  $\square$

**Remark 5.5.** The second statement also follows from [1], where Bergman fans of matroids are computed. In our case the matroid  $M$  to consider is the uniform matroid of rank  $n - m$  on  $N$ . The generic tropical variety of  $gT(I)$  is then the Bergman fan  $\mathcal{B}(M)$  of [1] equipped with the coarse subdivision defined there.

One implication of this is that the generic tropical variety of an ideal is generally not the  $m$ -skeleton of its generic Gröbner fan, since already for linear ideals  $I$  the generic Gröbner fan  $gGF(I)$  has more  $m$ -dimensional cones than  $gT(I)$ . In fact, for example the  $m$ -dimensional cone  $C[\omega]$  with

$$\omega_1 < \omega_2 = \dots = \omega_{n-m+2} < \omega_{n-m+3}, \dots, \omega_n$$

is an element of  $gGF(I)$ , but not an element of  $gT(I)$ .

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