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# Flock generalized quadrangles and tetradic sets of elliptic quadrics of $PG(3, q)$ ☆

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## Abstract

A flock of a quadratic cone of  $PG(3, q)$  is a partition of the non-vertex points into plane sections. It was shown by Thas in 1987 that to such flocks correspond generalized quadrangles of order  $(q^2, q)$ , previously constructed algebraically by Kantor ( $q$  odd) and Payne ( $q$  even). In 1999, Thas gave a geometrical construction of the generalized quadrangle from the flock via a particular set of elliptic quadrics in  $PG(3, q)$ . In this paper we characterise these sets of elliptic quadrics by a simple property, construct the generalized quadrangle synthetically from the properties of the set and strengthen the main theorem of Thas 1999.

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## 1. Introduction

An *oval* of  $PG(2, q)$  is a set of  $q + 1$  points of  $PG(2, q)$  no three of which are collinear. Let  $\ell$  be a line of  $PG(2, q)$ , then  $\ell$  is incident with zero, one or two points of an oval and is accordingly called an *external* line, a *tangent* or a *secant* to the oval.

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A *cap* of  $\text{PG}(3, q)$  is a set of points of  $\text{PG}(3, q)$  no three of which are collinear. A line of  $\text{PG}(3, q)$  will be called external, tangent or secant to a cap according to whether it contains zero, one or two points of the cap. An *ovoid* of  $\text{PG}(3, q)$  is a cap of size  $q^2 + 1$  such that the tangents at a point form a plane, called the *tangent plane* at the point. Every plane not tangent to an ovoid meets the ovoid in an oval. If  $q > 2$ , then a cap of  $\text{PG}(3, q)$  of maximal size is an ovoid. Every ovoid of  $\text{PG}(3, q)$ ,  $q$  odd, is a non-degenerate elliptic quadric of  $\text{PG}(3, q)$ . For  $q$  even,  $q = 2^h$ , the two known isomorphism classes of ovoids are the non-degenerate elliptic quadrics, which exist for all  $h \geq 1$ , and the Tits ovoids which exist for  $h$  odd,  $h \geq 3$ . (See [5–7] for details and references for the above.)

Let  $(\infty, \pi_\infty)$  be an incident point-plane pair of  $\text{PG}(3, q)$ . If  $X, Y, Z, W$  are four distinct points of  $\text{PG}(3, q) \setminus \pi_\infty$ , then we say that  $\{X, Y, Z, W\}$  is a *tetrad* with respect to  $(\infty, \pi_\infty)$  if  $\{\infty, X, Y, Z, W\}$  is a cap of  $\text{PG}(3, q)$  such that there exists a plane of  $\text{PG}(3, q)$  containing  $\infty$  and exactly three of  $X, Y, Z, W$ . If  $\infty$  and  $\pi_\infty$  are understood, then we will refer to  $\{X, Y, Z, W\}$  as a *tetrad*.

A *tetradic* set of ovoids with respect to  $(\infty, \pi_\infty)$  is a set of ovoids of  $\text{PG}(3, q)$  each element of which contains  $\infty$ , has tangent plane  $\pi_\infty$  at  $\infty$  and such that every tetrad with respect to  $(\infty, \pi_\infty)$  is contained in a unique ovoid of the set. If all the ovoids are elliptic quadrics, then we call the set a tetradic set of elliptic quadrics.

In this paper we shall investigate tetradic sets of elliptic quadrics of  $\text{PG}(3, q)$  and their connection to generalized quadrangles of order  $(q^2, q)$  constructed from a flock of a quadratic cone in  $\text{PG}(3, q)$ . In particular, by considering work of Thas [17], we will show that a tetradic set of elliptic quadrics of  $\text{PG}(3, q)$  gives rise to a generalized quadrangle of order  $(q, q^2)$ .

## 2. Flocks of Laguerre planes

A *Laguerre plane* is an incidence structure of points, lines and circles with the properties that every point lies on a unique line; a line and a circle meet in a unique point; any three pairwise non-collinear points lie on a unique circle; and, given a circle  $C$  and non-collinear points  $P$  and  $Q$  with  $P$  on  $C$  and  $Q$  not on  $C$ , there is a unique circle  $D$  on  $Q$  which meets  $C$  in exactly  $P$ . Given a finite Laguerre plane, there is an integer  $n > 1$  called the *order* of the plane such that there are  $n^2 + n$  points,  $n + 1$  lines and  $n^3$  circles, every line is incident with  $n$  points, every circle is incident with  $n + 1$  points, every point is incident with  $n^2$  circles, and every pair of non-collinear points lies on  $n$  circles.

Given a Laguerre plane  $L$  and a point  $P$  of the plane, the *derived affine plane*  $L_P$  is the incidence structure with points the points of  $L$  not collinear with  $P$ , lines the circles of  $L$  incident with  $P$  and the lines of  $L$  not on  $P$  and the natural incidence relation. The structure  $L_P$  is an affine plane. If  $L$  has order  $n$ , then  $L_P$  has order  $n$ .

Let  $\mathcal{K}$  be a quadratic cone in  $\text{PG}(3, q)$  with vertex  $V$ . The incidence structure with points the points of  $\mathcal{K}$  other than  $V$ , lines the generators of  $\mathcal{K}$ , circles the plane sections of  $\mathcal{K}$  not containing  $V$  and the natural incidence relation, is a Laguerre plane of order  $q$ . These Laguerre planes are characterised amongst all Laguerre planes by satisfying the configuration of Miquel [18,3, pp. 245–246] and hence are called *Miquelian*. General references on Laguerre planes are [1,4,3,14].

A flock  $\mathcal{F}$  of a Laguerre plane  $L$  is a set of circles of  $L$  partitioning the points of  $L$ . If  $L$  has order  $n$ , then  $\mathcal{F}$  contains  $n$  circles. Of particular interest will be the flocks of the Miquelian Laguerre plane arising from a quadratic cone  $\mathcal{K}$  in  $\text{PG}(3, q)$ . Such a flock will also be called a *flock* of the quadratic cone  $\mathcal{K}$ . For more details on flocks of Laguerre planes see [8].

### 3. Generalized quadrangles with property (G)

A (finite) *generalized quadrangle* (GQ) is an incidence structure  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \text{I})$  in which  $\mathcal{P}$  and  $\mathcal{B}$  are disjoint (non-empty) sets of objects called *points* and *lines*, respectively, and for which  $\text{I} \subseteq (\mathcal{P} \times \mathcal{B}) \cup (\mathcal{B} \times \mathcal{P})$  is a symmetric point-line incidence relation satisfying the following axioms:

- (i) Each point is incident with  $1 + t$  lines ( $t \geq 1$ ) and two distinct points are incident with at most one line.
- (ii) Each line is incident with  $1 + s$  points ( $s \geq 1$ ) and two distinct lines are incident with at most one point.
- (iii) If  $X$  is a point and  $\ell$  is a line not incident with  $X$ , then there is a unique pair  $(Y, m) \in \mathcal{P} \times \mathcal{B}$  for which  $X \text{I} m \text{I} Y \text{I} \ell$ .

For a comprehensive introduction to GQs see [13]. The integers  $s$  and  $t$  are the *parameters* of the GQ and  $\mathcal{S}$  is said to have *order*  $(s, t)$ . If  $s = t$ , then  $\mathcal{S}$  is said to have order  $s$ . If  $\mathcal{S}$  has order  $(s, t)$ , then it follows that  $|\mathcal{P}| = (s + 1)(st + 1)$  and  $|\mathcal{B}| = (t + 1)(st + 1)$  [13, 1.2.1]. If  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \text{I})$  is a GQ of order  $(s, t)$ , then the incidence structure  $\mathcal{S}^* = (\mathcal{B}, \mathcal{P}, \text{I})$  is a GQ of order  $(t, s)$  called the *dual* of  $\mathcal{S}$ .

Given two (not necessarily distinct) points  $X, X'$  of  $\mathcal{S}$ , we write  $X \sim X'$  and say that  $X$  and  $X'$  are *collinear*, provided there is some line  $\ell$  for which  $X \text{I} \ell \text{I} X'$ . For  $X \in \mathcal{P}$  put  $X^\perp = \{X' \in \mathcal{P} : X \sim X'\}$ . If  $A \subset \mathcal{P}$ , then we define  $A^\perp = \cap \{X^\perp : X \in A\}$  and  $A^{\perp\perp} = (A^\perp)^\perp$ .

If  $s^2 = t > 1$ , then by a result of Bose and Shrikhande [2] we have  $|\{X, Y, Z\}^\perp| = s + 1$  for any triple  $\{X, Y, Z\}$  of pairwise non-collinear points (called a *triad*). We say that  $\{X, Y, Z\}$  is *3-regular* provided  $|\{X, Y, Z\}^{\perp\perp}| = s + 1$ . The point  $X$  is *3-regular* if and only if each triad  $\{X, Y, Z\}$  is 3-regular.

Let  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \text{I})$  be a GQ of order  $(s, s^2)$ ,  $s \neq 1$ . Let  $X_1, Y_1$  be distinct collinear points. We say that the pair  $\{X_1, Y_1\}$  has *Property (G)*, or that  $\mathcal{S}$  has *Property (G) at  $\{X_1, Y_1\}$* , if every triad  $\{X_1, X_2, X_3\}$  of points, with  $Y_1 \in \{X_1, X_2, X_3\}^\perp$ , is 3-regular. The GQ  $\mathcal{S}$  has *Property (G) at the line  $\ell$* , or the line  $\ell$  has *Property (G)*, if each pair of points  $\{X, Y\}$ ,  $X \neq Y$  and  $X \text{I} \ell \text{I} Y$ , has Property (G). If  $(X, \ell)$  is a flag, then we say that  $\mathcal{S}$  has *Property (G) at  $(X, \ell)$*  or that  $(X, \ell)$  has Property (G), if every pair  $(X, Y)$ ,  $X \neq Y$  and  $Y \text{I} \ell$  has Property (G).

Suppose that  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \text{I})$  is a GQ of order  $(q, q^2)$  satisfying Property (G) at the pair of points  $(X, Y)$ . We now review a construction of  $\text{AG}(3, q)$  from  $\mathcal{S}$ ,  $X$  and  $Y$  due to Payne and Thas (see [16]).

We consider the following incidence structure  $\mathcal{S}_{XY} = (\mathcal{P}_{XY}, \mathcal{B}_{XY}, \text{I}_{XY})$ :

- (i)  $\mathcal{P}_{XY} = X^\perp \setminus \{X, Y\}^{\perp\perp}$ .

- (ii) Elements of  $\mathcal{B}_{XY}$  are of two types: (a) the sets  $\{Y, Z, U\}^{\perp\perp} \setminus \{Y\}$ , with  $\{Y, Z, U\}$  a triad with  $X \in \{Y, Z, U\}^{\perp}$ , and (b) the sets  $\{X, W\}^{\perp} \setminus \{X\}$ , with  $X \sim W \not\sim Y$ .
- (iii)  $\mathcal{I}_{XY}$  is containment.

Then we have the following result:

**Theorem 1** (Payne and Thas, see [16]). *The incidence structure  $\mathcal{S}_{XY}$  is the design of points and lines of the affine space  $\text{AG}(3, q)$ . In particular,  $q$  is a prime power.*

*The planes of the affine space  $\mathcal{S}_{XY} = \text{AG}(3, q)$  are of two types:*

- (a) *The sets  $\{X, Z\}^{\perp} \setminus \{Y\}$ , with  $X \not\sim Z$  and  $Y \in \{X, Z\}^{\perp}$ , and*
- (b) *each set which is the union of all elements of type (b) of  $\mathcal{B}_{XY}$  containing a point of some line  $m$  of type (a) of  $\mathcal{B}_{XY}$ .*

This construction leads us to an equivalent formulation of Property (G) at a pair of points.

**Theorem 2.** *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  be a GQ of order  $(s, s^2)$  and  $X, Y \in \mathcal{P}$  with  $X \sim Y$ . Then  $\mathcal{S}$  satisfies Property (G) at  $\{X, Y\}$  if and only if the incidence structure*

*Points:  $X^{\perp} \setminus \langle X, Y \rangle$ ,*

*Planes:  $Y^{\perp} \setminus \langle X, Y \rangle$ ,*

*Incidence: Collinearity in  $\mathcal{S}$ ,*

*is the point-plane incidence structure of  $\text{PG}(3, s)$  with an incident point-plane pair removed.*

Let  $\overline{\mathcal{S}_{XY}}$  be the projective completion of  $\mathcal{S}_{XY}$  with plane at infinity  $\pi_{\infty}$ . In [17] Thas gives the following interpretation of the GQ  $\mathcal{S}$  in  $\overline{\mathcal{S}_{XY}}$ . The  $q^2$  lines of type (b) of  $\mathcal{S}_{XY}$  are parallel, so they define a point  $\infty$  of  $\overline{\mathcal{S}_{XY}}$ . If we now consider any  $Z \in \mathcal{P}$  with  $X \not\sim Z \not\sim Y$  and  $U$  the point of  $\ell = \langle X, Y \rangle$  such that  $Z \sim U$ , then  $\mathcal{V} = \{X, Z\}^{\perp} \setminus \{U\}$  is a set of  $q^2$  points. Clearly each line of  $\overline{\mathcal{S}_{XY}}$  on  $\infty$  meets  $\mathcal{V}$  in exactly one point. Further, if  $U_1, U_2, U_3$  are points of  $\mathcal{V}$  collinear in  $\overline{\mathcal{S}_{XY}}$ , then it must be that  $Y \in \{U_1, U_2, U_3\}^{\perp\perp}$  and so  $Z \sim Y$ , a contradiction since  $X, Y, Z$  is a triangle. It follows from this that  $\mathcal{V} \cup \{\infty\}$  is an ovoid of  $\overline{\mathcal{S}_{XY}}$  with tangent plane  $\pi_{\infty}$  at  $\infty$ . We will denote this ovoid by  $\mathcal{O}_Z$ .

Thas also determined the intersections of these ovoids. Consider two distinct points  $Z_1, Z_2 \in \mathcal{P}$  with  $Z_1, Z_2$  collinear with points  $U_1, U_2 \in \ell$ , respectively, with  $U_1, U_2 \neq X, Y$ . If  $Z_1 \sim Z_2$  and  $U_1 = U_2$ , then  $\mathcal{O}_{Z_1} \cap \mathcal{O}_{Z_2} = \{\infty\}$ , since any larger intersection yields a triangle in  $\mathcal{S}$ .

If  $Z_1 \sim Z_2$  and  $U_1 \neq U_2$ , then  $\mathcal{O}_{Z_1} \cap \mathcal{O}_{Z_2} = \{\infty, R\}$  where  $R$  is the point of the line  $\langle Z_1, Z_2 \rangle$  in  $X^{\perp}$ . Further the point of  $\langle Z_1, Z_2 \rangle$  in  $Y^{\perp}$  corresponds, in  $\overline{\mathcal{S}_{XY}}$  to a plane which is tangent at  $R$  to both  $\mathcal{O}_{Z_1}$  and  $\mathcal{O}_{Z_2}$ .

If  $Z_1 \not\sim Z_2$  and  $U_1 = U_2$ , then  $\mathcal{O}_{Z_1} \cap \mathcal{O}_{Z_2} = (\{X, Z_1, Z_2\}^{\perp} \setminus \{U_1\}) \cup \{\infty\}$ , an intersection of size  $q + 1$ .

For the last case, if  $Z_1 \not\sim Z_2$  and  $U_1 \neq U_2$ , then  $\mathcal{O}_{Z_1} \cap \mathcal{O}_{Z_2} = \{X, Z_1, Z_2\}^{\perp} \cup \{\infty\}$ , an intersection of size  $q + 2$ .

If  $m$  is a line of  $\mathcal{S}$  such that  $m \perp U \perp \ell$  and  $U \neq X, Y$ , then let the set ovoids of  $\text{PG}(3, q) = \overline{\mathcal{S}_{XY}}$  corresponding to points of  $m \setminus \{U\}$  be denoted  $\mathcal{R}$ . The set  $\mathcal{R}$  is a set of  $q$  ovoids of  $\text{PG}(3, q)$  meeting pairwise in a fixed point and with the same tangent plane at that point. We will call such a set  $\mathcal{R}$  a *rosette* of ovoids, the fixed point of intersection the *base point* of the rosette and the common tangent plane at the base point the *base plane* of the rosette. The elements of a rosette partition the points of  $\text{PG}(3, q)$  not on the base plane.

If  $m$  is a line of  $\mathcal{S}$  such that  $m$  and  $\ell$  are non-concurrent, then let the set of ovoids of  $\text{PG}(3, q) = \overline{\mathcal{S}_{XY}}$  corresponding to points of  $m \setminus (X^\perp \cup Y^\perp)$  be denoted  $\mathcal{T}$ . The set  $\mathcal{T}$  is a set of  $q - 1$  ovoids of  $\text{PG}(3, q)$  meeting pairwise in exactly two fixed points and sharing the tangent planes at those two fixed points. We will call such a set  $\mathcal{T}$  a *transversal* of ovoids. These two common points are called the *base points* of the transversal and the two common tangent planes are called the *base planes* of the transversal.

Let  $\mathcal{F}$  be a flock of a quadratic cone in  $\text{PG}(3, q)$ . In [15] Thas showed that to  $\mathcal{F}$  there corresponds a GQ of order  $(q^2, q)$  (which is often called a *flock GQ* in the literature) previously constructed via group coset geometry methods by Kantor [9] in the  $q$  odd case; and Payne [11] in the  $q$  even case. In [12] Payne showed that the dual of this GQ satisfies Property (G) at a line, for both  $q$  odd and even.

Suppose that  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  is a dual flock GQ of order  $(q, q^2)$ , arising from the flock  $\mathcal{F}$ , satisfying Property (G) at the line  $[\infty]$  and  $X, Y \perp [\infty]$ ,  $X \neq Y$ . In [17] Thas constructed a set of elliptic quadric ovoids of  $\text{PG}(3, q)$  from  $\mathcal{F}$  which was then verified to be the set of ovoids  $\{\mathcal{O}_Z : Z \in \mathcal{P} \setminus (X^\perp \cup Y^\perp)\}$  of  $\overline{\mathcal{S}_{XY}} = \text{PG}(3, q)$ . As a result Thas gave a geometric description of the dual flock GQs valid for both  $q$  odd and even (previously Knarr [10] had given a description valid for only  $q$  odd).

The main theorem of [17] is the following result:

**Theorem 3** (Thas [17, Main Theorem]). *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  be a GQ of order  $(q, q^2)$ ,  $q > 1$ , and assume that  $\mathcal{S}$  satisfies Property (G) at the flag  $(X, \ell)$ . If  $q$  is odd then  $\mathcal{S}$  is the dual of a flock GQ. If  $q$  is even and all ovoids  $\mathcal{O}_Z$  are elliptic quadrics, then we have the same conclusion.*

In this paper we will show that a tetradic set of elliptic quadrics of  $\text{PG}(3, q)$  gives rise to a GQ of order  $(q, q^2)$  which must be the dual of a flock GQ. As a consequence we will weaken the hypothesis of Theorem 3 to assume only Property (G) at a pair of collinear points.

#### 4. Tetradic sets of elliptic quadrics

In this section we prove a number of properties of a tetradic set of elliptic quadrics in  $\text{PG}(3, q)$ .

Let  $(\infty, \pi_\infty)$  be an incident point-plane pair of  $\text{PG}(3, q)$  and let  $\Theta$  be a tetradic set of elliptic quadrics with respect to  $(\infty, \pi_\infty)$ .

**Lemma 4.** *The size of  $\Theta$  is  $q^3(q - 1)$ .*

**Proof.** The number of tetrads with respect to  $(\infty, \pi_\infty)$  is  $q^6(q-1)^3(q-2)(q+1)/6$ , each contained in a unique elliptic quadric of  $\Theta$ . Each ovoid of  $\Theta$  contains  $(q^2+q)\binom{q}{3}(q^2-q) = q^3(q+1)(q-1)^2(q-2)/6$  tetrads from which it follows that  $|\Theta| = q^3(q-1)$ .  $\square$

**Example 5.** Let  $\mathcal{O}$  be an elliptic quadric with tangent plane  $\pi_\infty$  at  $\infty$  and let  $\Omega = \{\mathcal{O}^g \mid g \in \text{PGL}(4, q) \text{ with centre } \infty\}$ . Then  $\Omega$  is a tetradic set of elliptic quadrics.

**Proof.** We first note that  $|\Omega| = q^3(q-1)$  and that the intersection of two elements of  $\Omega$  cannot contain a tetrad with respect to  $(\infty, \pi_\infty)$ . Hence the  $q^3(q+1)(q-1)^2(q-2)/6$  tetrads contained in  $\mathcal{O}$  give rise, under the action of collineations with centre  $\infty$ , to  $q^6(q+1)(q-1)^3(q-2)/6$  distinct tetrads. Since this is all such tetrads it follows that every tetrad is contained in a unique element of  $\Omega$ .  $\square$

**Remark 6.** We note that the above construction works in more generality if we replace the elliptic quadric by an ovoid  $\mathcal{O}$  of  $\text{PG}(3, q)$ .

**Lemma 7.** Let  $G$  denote the group of  $q^3$  elations of  $\text{PG}(3, q)$  which have  $\infty$  as centre. For  $\mathcal{O}_1, \mathcal{O}_2 \in \Theta$  define  $\mathcal{O}_1 \bowtie \mathcal{O}_2$  if there is an element  $g$  of  $G$  such that  $\mathcal{O}_1^g = \mathcal{O}_2$ . Then  $\bowtie$  is an equivalence relation on  $\Theta$  dividing the  $q^3(q-1)$  elliptic quadrics of  $\Theta$  into  $q-1$  classes of size  $q^3$ .

**Proof.** Let  $\{X, Y, Z, \infty\}$  be a 4-cap of  $\text{PG}(3, q)$  with  $\infty \in \pi = \langle X, Y, Z \rangle$ . Then there is a unique conic  $\mathcal{C}$  containing  $X, Y, Z, \infty$  and with tangent line  $\pi \cap \pi_\infty$ . Hence any elliptic quadric of  $\Theta$  containing  $X, Y, Z$  must also contain  $\mathcal{C}$ . If  $W$  is any point of  $\text{PG}(3, q) \setminus (\pi \cup \pi_\infty)$ , then  $\{X, Y, Z, W\}$  is a tetrad. Hence the elliptic quadrics of  $\Theta$  containing  $\mathcal{C}$  must partition the points of  $\text{PG}(3, q) \setminus (\pi \cup \pi_\infty)$  and so there are exactly  $q$  such elliptic quadrics.

We now investigate the possibilities for such a set.

Without loss of generality, choose  $\infty = (0, 1, 0, 0)$ ,  $\pi_\infty : X_0 = 0$  and  $\mathcal{O} \in \Theta$  to be  $\mathcal{O} = \{(1, f(s, t), s, t) : s, t \in \text{GF}(q)\} \cup \{\infty\}$ , where  $f$  is an irreducible quadratic form over  $\text{GF}(q)$ . If  $q$  is odd we will take  $f(s, t) = s^2 - \eta t^2$  where  $\eta$  is a fixed non-square of  $\text{GF}(q)$ , and if  $q$  is even take  $f(s, t) = s^2 + st + \rho t^2$  where  $\rho$  is a fixed element of  $\text{GF}(q)$  with  $\text{Tr}(\rho) = 1$ . Let  $\mathcal{C} \subset \mathcal{O}$  be the conic  $\{(1, s^2, s, 0) : s \in \text{GF}(q)\} \cup \{\infty\}$ . Now we search for other elliptic quadrics containing  $\mathcal{C}$  and meeting  $\mathcal{O}$  in exactly  $\mathcal{C}$ . If  $\mathcal{O}'$  is a such an elliptic quadric, then there exists a homography  $\phi$  of  $\text{PG}(3, q)$  mapping  $\mathcal{O}$  to  $\mathcal{O}'$ . Further, since the group of  $\mathcal{O}$  in  $\text{PGL}(4, q)$  is 3-transitive on the points of  $\mathcal{O}$ , we may assume that  $\phi$  fixes  $\infty = (0, 1, 0, 0)$ ,  $(1, 0, 0, 0)$  and  $(1, 1, 1, 0)$ . Hence  $\phi$  also fixes  $\pi_\infty : X_0 = 0$ , the plane with equation  $X_3 = 0$  and the conic  $\mathcal{C}$ .

Firstly, fixing  $(1, 0, 0, 0)$ ,  $(0, 1, 0, 0)$ ,  $(1, 1, 1, 0)$  and the planes  $\pi_\infty$  and  $X_3 = 0$ , it follows that  $\phi$  must have the form

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a & 1-a & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & d \end{pmatrix} \quad \text{with } a, b, c, d \in \text{GF}(q) \quad \text{and } a, d \neq 0.$$

Now  $\phi$  also fixes  $\mathcal{C}$  and so  $(1, s^2, s, 0)^\phi = (1, as^2 + s(1 - a), s, 0) \in \mathcal{C}$  for all  $s \in \text{GF}(q)$ . Hence  $a = 1$  and  $\phi$  has the form

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & d \end{pmatrix}, b, c, d \in \text{GF}(q) \quad \text{and } d \neq 0.$$

Now if  $q$  is odd, then  $\mathcal{O}' = \{(1, s^2 - \eta t^2 + bt, s + ct, dt) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  and since  $\mathcal{O} \cap \mathcal{O}' = \mathcal{C}$  it follows that the equation  $s^2 - \eta t^2 + bt = (s + ct)^2 - \eta(dt)^2$  has no solutions for  $t \neq 0$ . That is  $\eta(d^2 - 1)t - c^2t - 2cs + b = 0$  has no solution for  $t \neq 0$ . If  $c \neq 0$ , then there is a solution for every  $t \neq 0$ , so  $c = 0$  and our condition now becomes that the equation  $\eta(d^2 - 1)t + b = 0$  has no solution for  $t \neq 0$ . Hence, either  $d = \pm 1$  and  $b \neq 0$ , or  $d \neq \pm 1$  and  $b = 0$ . For  $c = 0$  and fixed  $b$  the choice of  $d$  equal to 1 or  $-1$  gives the same ovoid  $\mathcal{O}'$ , so the cases reduce to  $d = 1$  and  $b \neq 0$  or  $d \neq \pm 1$  and  $b = 0$ .

In the first case we obtain the  $q - 1$  images of  $\mathcal{O}$  under non-trivial elations of  $\text{PG}(3, q)$  with centre  $\infty$  and axis  $X_3 = 0$ .

In the second case we obtain  $(q - 3)/2$  distinct images under homologies with centre  $(0, 0, 0, 1)$  and axis  $X_3 = 0$ .

Recall that there are exactly  $q$  ovoids of  $\Theta$  containing  $\mathcal{C}$  and that they meet pairwise in  $\mathcal{C}$ . Hence all  $q - 1$  ovoids (distinct from  $\mathcal{O}$ ) must come from the first case, or we have an example of an ovoid from the first case meeting an ovoid from the second case in exactly  $\mathcal{C}$ . Specifically, in this latter case we would have an ovoid of the form  $\{(1, s^2 - \eta t^2 + bt, s, t) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  intersecting an ovoid of the form  $\{(1, u^2 - \eta v^2, u, dv) : u, v \in \text{GF}(q)\} \cup \{\infty\}$ ,  $d \neq \pm 1$ , in exactly  $\mathcal{C}$ . That is, the equation  $u^2 - \eta d^2 v^2 + b dv = u^2 - \eta t^2$  has no solution with  $v \neq 0$ , which is the case if and only if  $v[\eta(1 - d^2)v + bd] = 0$  has no solution with  $v \neq 0$ . This is a contradiction since  $1 - d^2$  and  $bd$  are non zero. Hence any elliptic quadric of  $\Theta$  containing  $\mathcal{C}$  must be the image of  $\mathcal{O}$  under an elation with centre  $\infty$  and axis  $X_3 = 0$ .

If  $q$  is even  $\mathcal{O}' = \{(1, s^2 + st + \rho t^2 + bt, s + ct, dt) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  and we require that the equation  $s^2 + st + \rho t^2 + bt = (s + ct)^2 + (s + ct)dt + \rho d^2 t^2$  has no solution with  $t \neq 0$ . That is,  $(\rho + c^2 + cd + \rho d^2)t + (d + 1)s + b = 0$  has no solution with  $t \neq 0$ . Hence  $d = 1$ , and either  $c^2 + c = 0$  and  $b \neq 0$  or  $c^2 + c \neq 0$  and  $b = 0$ . Since for the choice  $d = 1, c = 1, b \neq 0$  the homography  $\phi$  fixes  $\mathcal{O}$ , the first case above is equivalent to  $c = 0, b \neq 0$ , the non-trivial elations with centre  $\infty$  and axis  $X_3 = 0$ .

In the second case choosing  $c = \alpha \neq 0, 1$  or  $c = \alpha + 1$ , gives the same  $\mathcal{O}'$  and we have  $(q - 2)/2$  images of  $\mathcal{O}$  under homologies with centre  $(0, 0, 1, 0)$  and axis  $X_3 = 0$ . As in the  $q$  odd case we check if an elliptic quadric of the form  $\{(1, s^2 + st + \rho t^2 + bt, s, t) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  with  $b \neq 0$  can intersect an elliptic quadric of the form  $\{(1, u^2 + uv + \rho v^2, u + cv, v) : u, v \in \text{GF}(q)\} \cup \{\infty\}$ ,  $c \neq 0, 1$ , in exactly  $\mathcal{C}$ . That is, there are no solutions to  $(c^2 + c)v + b = 0$  for  $v \neq 0$ . This is impossible since  $c^2 + c \neq 0$  and  $b \neq 0$ .

Now since the homography group of  $\mathcal{O}$  is transitive on secant planes of  $\mathcal{O}$ , it follows that every image of  $\mathcal{O}$  under an elation with centre  $\infty$  and axis a secant plane of  $\mathcal{O}$  is contained

in  $\Theta$ . This is also true for any element of  $\Theta$  and hence all elations with centre  $\infty$  fix  $\Theta$ , defining equivalence classes on  $\Theta$ .  $\square$

Let  $\mathcal{O}$  be an elliptic quadric of  $\Theta$  and  $[\mathcal{O}]$  its equivalence class. Then we note that there is a unique rosette of elliptic quadrics contained in  $[\mathcal{O}]$  with base point  $\infty$ , base plane  $\pi_\infty$  and containing  $\mathcal{O}$  generated by the action of the elations with centre  $\infty$  and axis  $\pi_\infty$  on  $\mathcal{O}$ . The remaining  $q^3 - q$  elliptic quadrics in  $[\mathcal{O}]$  share a common conic with  $\mathcal{O}$ . Hence two elliptic quadrics in  $[\mathcal{O}]$  either intersect in the point  $\infty$  or in a conic containing  $\infty$ . We also note that the  $q^3$  elliptic quadrics in  $[\mathcal{O}]$  divide into  $q^2$  disjoint rosettes with base point  $\infty$ .

**Lemma 8.** *Let  $X, Y, Z$  be three distinct, non-collinear points of  $\text{PG}(3, q) \setminus \pi_\infty$  not coplanar with  $\infty$ . Then there are exactly  $q - 1$  elliptic quadrics of  $\Theta$  containing  $\{X, Y, Z\}$ , one from each equivalence class.*

**Proof.** Let  $\pi = \langle X, Y, \infty \rangle$  and let  $\ell$  be a line of  $\pi$  incident with  $\infty$ , but not with  $X$  nor  $Y$ . Let  $W = \ell \cap \langle X, Y \rangle$ . If  $A \in \ell \setminus \{W, \infty\}$ , then there is a unique elliptic quadric of  $\Theta$  containing the tetrad  $\{X, Y, Z, A\}$ . There are  $q - 1$  such points  $A$  and hence  $q - 1$  such elliptic quadrics, since any elliptic quadric of  $\Theta$  must contain a point of  $\ell \setminus \{\infty\}$ . As two equivalent elliptic quadrics must intersect in either the single point  $\infty$  or in a conic containing  $\infty$ , it follows that the  $q - 1$  elliptic quadrics on  $\{X, Y, Z, \infty\}$  are in distinct equivalence classes.  $\square$

**Lemma 9.** *Let  $\mathcal{O}_1$  and  $\mathcal{O}_2$  be two inequivalent elliptic quadrics of  $\Theta$ , then  $|\mathcal{O}_1 \cap \mathcal{O}_2| \leq q + 2$ .*

**Proof.** The elliptic quadrics  $\mathcal{O}_1$  and  $\mathcal{O}_2$  may not intersect in a conic on  $\infty$  and hence no plane on  $\infty$  contains more than two points of  $(\mathcal{O}_1 \cap \mathcal{O}_2) \setminus \{\infty\}$ . Thus the lines of  $\text{PG}(3, q)$  spanned by  $\infty$  and points of  $(\mathcal{O}_1 \cap \mathcal{O}_2) \setminus \{\infty\}$  form an arc in the quotient space  $\text{PG}(3, q)/\infty$ . If  $q$  is odd then such an arc has size at most  $q + 1$  and hence  $|\mathcal{O}_1 \cap \mathcal{O}_2| \leq q + 2$ . If  $q$  is even, then the arc has size at most  $q + 2$  and so  $|\mathcal{O}_1 \cap \mathcal{O}_2| \leq q + 3$ .

So now suppose that  $q$  is even and  $|\mathcal{O}_1 \cap \mathcal{O}_2| = q + 3$ . In this case every plane distinct from  $\pi_\infty$  and containing  $\infty$  contains exactly three points of  $\mathcal{O}_1 \cap \mathcal{O}_2$ . Since  $q$  is even we have that  $\mathcal{O}_1$  and  $\mathcal{O}_2$  both define symplectic polarities. Further, since these polarities share the polar point-plane pair  $(\infty, \pi_\infty)$  there exists a plane  $\pi$  containing  $\infty$  and distinct from  $\pi_\infty$  such that  $\pi$  has the same pole  $N$  under both polarities. Now the conics  $\pi \cap \mathcal{O}_1$  and  $\pi \cap \mathcal{O}_2$  share exactly three points and also have the same nucleus  $N$ . However, two conics sharing three points and with the same nucleus must be identical and so we have a contradiction. Hence when  $q$  is even  $|\mathcal{O}_1 \cap \mathcal{O}_2| \neq q + 3$  and so  $|\mathcal{O}_1 \cap \mathcal{O}_2| \leq q + 2$ .  $\square$

**Lemma 10.** *Let  $\mathcal{O}_1$  and  $\mathcal{O}_2$  be inequivalent elliptic quadrics of  $\Theta$  with  $|\mathcal{O}_1 \cap \mathcal{O}_2| \geq 3$ . Then  $|\mathcal{O}_1 \cap \mathcal{O}_2| = q + 2$ .*

**Proof.** Consider fixed  $X, Y \in (\mathcal{O}_1 \cap \mathcal{O}_2) \setminus \{\infty\}$ ,  $X \neq Y$  and let  $[\mathcal{O}_2]$  be the equivalence class of  $\mathcal{O}_2$ . There are  $q^2 - q$  triples  $\{X, Y, Z\}$  such that  $X, Y, Z \subset \mathcal{O}_1$  and  $\infty \notin \langle X, Y, Z \rangle$ . By Lemma 8 each such triple is contained in a unique element of  $[\mathcal{O}_2]$ .

We know that  $X, Y \in \mathcal{O}_2$  and that any other elliptic quadric  $\mathcal{O}'_2 \in [\mathcal{O}_2]$  with  $X, Y \in \mathcal{O}'_2$  meets  $\mathcal{O}_2$  in points contained in a plane on  $\infty$ , which must be  $\langle X, Y, \infty \rangle$ . Further, it must



be that  $\mathcal{O}_2 \cap \mathcal{O}'_2 = \mathcal{O}_2 \cap \langle X, Y, \infty \rangle$ . There are exactly  $q$  elliptic quadrics of  $[\mathcal{O}_2]$  containing  $\mathcal{O}_2 \cap \langle X, Y, \infty \rangle$ .

Now we count pairs  $(\mathcal{O}'_2, \{X, Y, Z\})$  where  $\mathcal{O}'_2 \in [\mathcal{O}_2]$  and  $X, Y, Z$  are distinct points of  $\mathcal{O}'_2$ . From above we know that the count is in fact  $q^2 - q$ . However, we also have that  $|\mathcal{O}_1 \cap \mathcal{O}'_2| \leq q + 2$  and so there are at most  $q - 1$  such triples  $\{X, Y, Z\}$  and  $q$  such  $\mathcal{O}'_2$ . Hence the count is bounded above by  $q(q - 1) = q^2 - q$ . It follows that  $|\mathcal{O}_1 \cap \mathcal{O}'_2| = q + 2$  and certainly  $|\mathcal{O}_1 \cap \mathcal{O}_2| = q + 2$ .  $\square$

**Corollary 11.** *If  $\mathcal{O}_1$  and  $\mathcal{O}_2$  are inequivalent elliptic quadrics of  $\Theta$ , then  $|\mathcal{O}_1 \cap \mathcal{O}_2| = 2$  or  $q + 2$ .*

**Proof.** We show that  $|\mathcal{O}_1 \cap \mathcal{O}_2| = 1$  is impossible. Consider the rosette  $\mathcal{R}$  of elliptic quadrics in  $[\mathcal{O}_2]$  containing  $\mathcal{O}_2$ . The elliptic quadric  $\mathcal{O}_1$  intersects each of the  $q$  elliptic quadrics of the rosette in 1, 2 or  $q + 2$  points. The elements of  $\mathcal{R}$  also partition the points of  $\text{PG}(3, q) \setminus \pi_\infty$  and so the  $q^2$  points of  $\mathcal{O}_1 \setminus \{\infty\}$  are partitioned into  $q$  sets of size 0, 1 or  $q + 1$ . This can only be done with one set of size 1 and  $q - 1$  of size  $q + 1$ .  $\square$

**Lemma 12.** *Let  $\mathcal{O}$  be an elliptic quadric of  $\Theta$  and  $E$  an equivalence class of  $\Theta$  such that  $\mathcal{O} \notin E$ . If  $X \in \mathcal{O} \setminus \{\infty\}$ , then there is a unique  $\mathcal{O}' \in E$  such that  $\mathcal{O} \cap \mathcal{O}' = \{X, \infty\}$ .*

**Proof.** For fixed  $X \in \mathcal{O} \setminus \{\infty\}$  the number of pairs  $(Y, Z)$  with  $Y, Z \in \mathcal{O}$  and  $Y \neq Z$  such that  $\infty \notin \langle X, Y, Z \rangle$  is  $(q^2 - 1)(q^2 - q)$ .

By Lemma 8, each triple  $\{X, Y, Z\}$  is contained in a unique element of  $E$ . Further, each of the  $q^2$  rosettes of  $E$  contains a unique elliptic quadric on the point  $X$ . If such an elliptic quadric  $\mathcal{O}'$  intersects  $\mathcal{O}$  in  $q + 2$  points, then we have  $q(q - 1)$  pairs  $(Y, Z)$  with  $Y, Z \in \mathcal{O} \cap \mathcal{O}'$  and  $Y \neq Z$  such that  $\infty \notin \langle X, Y, Z \rangle$ . If, on the other hand,  $|\mathcal{O} \cap \mathcal{O}'| = 2$ , then there are no such pairs  $(Y, Z)$ .

Hence it follows that there are  $q^2 - 1$  elliptic quadrics of  $E$  containing  $X$  and meeting  $\mathcal{O}$  in  $q + 2$  points and a unique elliptic quadric of  $E$  containing  $X$  and meeting  $\mathcal{O}$  in 2 points.  $\square$

**Lemma 13.** *Let  $\mathcal{O}$  be an elliptic quadric of  $\Theta$  and  $X \in \mathcal{O} \setminus \{\infty\}$ . The  $q - 2$  elliptic quadrics meeting  $\mathcal{O}$  in exactly  $\{X, \infty\}$  also meet pairwise in exactly  $\{X, \infty\}$  and have a common tangent plane at  $X$ , thus together with  $\mathcal{O}$  form a transversal.*

**Proof.** Let  $\pi_X$  be the tangent plane to  $\mathcal{O}$  at  $X$ . For  $Y \in \text{PG}(3, q) \setminus (\pi_\infty \cup \pi_X \cup \langle \infty, X \rangle \cup \mathcal{O})$  count pairs  $(Z, \mathcal{O}')$  with  $Z \in \mathcal{O} \setminus \{X, \infty\}$ ,  $\mathcal{O}' \in \Theta$  and  $\{X, Y, Z, \infty\} \subset \mathcal{O}'$ . Suppose that  $Z \in \mathcal{O} \setminus \langle X, Y, \infty \rangle$ , then there are  $q^2 - q$  choices for  $Z$  and  $\{X, Y, Z\}$  is contained in  $q - 1$  elliptic quadrics, giving  $(q^2 - q)(q - 1)$  pairs.

Now suppose that  $Z \in \mathcal{O} \cap \langle X, Y, \infty \rangle$  and let  $\mathcal{C} = \mathcal{O} \cap \langle X, Y, \infty \rangle$ . Note that  $Y \notin \mathcal{C}$  and since  $Y \notin \pi_X$  it follows that  $\langle X, Y \rangle$  is not tangent to  $\mathcal{C}$  and so meets  $\mathcal{C}$  in a point of  $\mathcal{C} \setminus \{X\}$ . Similarly  $\langle Y, \infty \rangle$  meets  $\mathcal{C}$  in a second point, leaving  $q - 3$  possible choices for  $Z$ . For each such choice of  $Z$  the points  $X, Y, Z$  define a unique conic  $\mathcal{C}'$  in  $\langle X, Y, \infty \rangle$  containing  $\infty$  and with tangent  $\pi_\infty \cap \langle X, Y, \infty \rangle$ . There are  $q$  elliptic quadrics of  $\Theta$  containing  $\mathcal{C}'$  giving  $q(q - 3)$  pairs  $(Z, \mathcal{O}')$  with  $Z \in \mathcal{O} \cap \langle X, Y, \infty \rangle$ .

So in total we have  $(q^2 - q)(q - 1) + q(q - 3) = q(q - 2)(q + 1)$  pairs  $(Z, \mathcal{O}')$ .

Counting these pairs in a second way we consider the number of elliptic quadrics of  $\Theta$  containing  $\{X, Y\}$ . In  $\langle \infty, X, Y \rangle$  there are  $q - 1$  conics on  $X, Y, \infty$  with tangent  $\langle \infty, X, Y \rangle \cap \pi_\infty$  which means there are  $q(q - 1)$  elliptic quadrics containing  $\{X, Y\}$ ,  $q$  in each class. If such an elliptic quadric is in the same class as  $\mathcal{O}$ , then we know that the possible intersection sizes with  $\mathcal{O}$  are 1 and  $q + 1$ , and so they must all be  $q + 1$ , since the intersection is at least  $\{\infty, X\}$ . This gives  $q(q - 1)$  pairs  $(Z, \mathcal{O}')$ . There are  $q(q - 2)$  elliptic quadric containing  $\{X, \infty\}$  which are inequivalent to  $\mathcal{O}$ , and by the earlier counts there are exactly  $q(q - 2)(q + 1) - q(q - 1) = q(q^2 - 2q - 1)$  pairs  $(Z, \mathcal{O}')$  where  $\mathcal{O}'$  is of this type. Now in this case  $|\mathcal{O} \cap \mathcal{O}'| = 2$  or  $q + 2$  and so each  $\mathcal{O}'$  gives rise to 0 or  $q$  pairs  $(Z, \mathcal{O}')$ , respectively. From this it follows that there must be  $q^2 - 2q - 1$  elliptic quadrics intersecting  $\mathcal{O}$  in  $q + 2$  points and  $(q^2 - 2q) - (q^2 - 2q - 1) = 1$  intersecting  $\mathcal{O}$  in the two points  $X, \infty$ .

Hence there is a unique elliptic quadric of  $\Theta$  meeting  $\mathcal{O}$  in exactly  $\{X, \infty\}$  and containing the point  $Y \in \text{PG}(3, q) \setminus (\pi_\infty \cup \pi_X \cup \langle \infty, X \rangle \cup \mathcal{O})$ .

In Lemma 12 we saw that there are  $q - 2$  elliptic quadrics of  $\Theta$  meeting  $\mathcal{O}$  in exactly  $\{X, \infty\}$ . By the above, these  $q - 2$  elliptic quadrics plus  $\mathcal{O}$  cover the  $q^3 - q^2 - q + 1 = (q^2 - 1)(q - 1)$  points of  $\text{PG}(3, q) \setminus (\pi_\infty \cup \pi_X \cup \langle \infty, X \rangle)$ . It follows that these elliptic quadrics partition the pointset into  $q - 2$  sets of size  $q^2 - 1$ . Hence the elliptic quadrics meet pairwise in exactly  $\{X, \infty\}$  and have  $\pi_X$  as tangent plane at  $X$ ; thus forming a transversal of elliptic quadrics.  $\square$

**Lemma 14.** *Let  $(X, \pi)$  be an incident point-plane pair of  $\text{PG}(3, q)$  such that  $X \notin \pi_\infty$  and  $\infty \notin \pi$ . Then there are exactly  $q - 1$  elliptic quadrics of  $\Theta$  containing  $X$  and with tangent plane  $\pi$  at  $X$ . Further, these  $q - 1$  elliptic quadrics form a transversal with one elliptic quadric from each equivalence class of  $\Theta$ .*

**Proof.** Since each rosette of elliptic quadrics contained in  $\Theta$  is generated by the action on one elliptic quadric of the elations of  $\text{PG}(3, q)$  with centre  $\infty$  and axis  $\pi_\infty$ , it follows that each plane not on  $\infty$  is tangent to exactly one elliptic quadric of a given rosette.

There are  $(q - 1)q^2$  rosettes of elliptic quadrics in  $\Theta$  and so  $(q - 1)q^2$  elliptic quadrics of  $\Theta$  with  $\pi$  as a tangent plane at one of the points  $\pi \setminus \pi_\infty$ . By Lemma 13 if  $\pi$  is tangent to one elliptic quadric of  $\Theta$  at a point, then it is tangent to the  $q - 1$  elliptic quadrics of a transversal of  $\Theta$  at that point. Since two elliptic quadrics in the same equivalence class have intersection size 1 or  $q + 1$ , it follows that the elliptic quadrics of the transversal are one from each equivalence class of  $\Theta$ .

Suppose that for  $X \in \pi \setminus \pi_\infty$  there are two transversals  $\mathcal{O}_1, \dots, \mathcal{O}_{q-1}$  and  $\mathcal{O}'_1, \dots, \mathcal{O}'_{q-1}$  containing  $X$  and with tangent plane  $\pi$ . We investigate how  $\mathcal{O}'_1$  intersects  $\mathcal{O}_1, \dots, \mathcal{O}_{q-1}$ . In fact  $\mathcal{O}_1, \dots, \mathcal{O}_{q-1}$  partition the  $q^2 - 1$  points of  $\mathcal{O}'_1 \setminus \{X, \infty\}$  into  $q - 1$  sets of size  $q$  or  $q - 1$ , which is a contradiction.

Hence there can be only one transversal of elliptic quadrics of  $\Theta$  with  $X$  as a base point and  $\pi$  as the corresponding base plane. Since there are  $q^2(q - 1)$  elliptic quadrics with tangent plane  $\pi$ , there are  $q^2$  transversals of  $\Theta$  with base plane  $\pi$  and a unique such transversal with base point  $X$  for each  $X \in \pi \setminus \pi_\infty$ .  $\square$

**Lemma 15.** *Let  $\mathcal{T} = \{\mathcal{O}_1, \dots, \mathcal{O}_{q-1}\}$  be a transversal of elliptic quadrics of  $\Theta$  with base point  $X$  and base plane  $\pi$ ,  $X \notin \pi_\infty$  and  $\infty \notin \pi$ . Then every plane  $\pi'$  such that*

$X, \infty, \pi_\infty \cap \pi \not\subset \pi'$  is tangent to a unique element of  $\mathcal{T}$ . Further, any two elements of  $\mathcal{T}$  have only  $\pi$  and  $\pi_\infty$  as common tangent planes.

**Proof.** Let  $\pi'$  be a plane such that  $X, \infty, \pi_\infty \cap \pi \not\subset \pi'$ . The elements of  $\mathcal{T}$  partition the  $q^2 - q - 1$  points of  $\pi' \setminus (\pi_\infty \cup \pi \cup \langle \infty, X \rangle)$ , which can only be into  $q - 2$  conics and one single point. That is,  $\pi'$  is tangent to a unique element of  $\mathcal{T}$ .

There are  $(q^2 - 1)(q - 1)$  such planes, which is the same as the number of pairs  $(\mathcal{O}_i, \pi'')$  where  $\pi''$  is tangent to  $\mathcal{O}_i \in \mathcal{T}$  at a point not  $\infty$  nor  $X$ . Hence no two elements of  $\mathcal{T}$  have a common tangent not at  $\infty$  nor  $X$ .

Since a plane of  $\text{PG}(3, q)$  (distinct from  $\pi_\infty$  and  $\pi$ ) on  $\pi_\infty \cap \pi$  or on  $\infty$  cannot be a tangent plane to an elliptic quadric of  $\mathcal{T}$ , the lemma is proved.  $\square$

We now work towards proving that the dual of a tetradic set of elliptic quadrics is also a tetradic set. Let  $\text{PG}(3, q)^*$  denote the dual space of  $\text{PG}(3, q)$  and let  $\Theta^* = \{\mathcal{O}^* : \mathcal{O} \in \Theta\}$ , a set of  $q^3(q - 1)$  ovoids of  $\text{PG}(3, q)^*$  each containing the point  $\pi_\infty^*$  and with common tangent plane  $\infty^*$ .

**Lemma 16.** *The group  $G$  of collineations of  $\text{PG}(3, q)$  of order  $q^5$  generated by elations with centre  $\infty$  and elations with axis  $\pi_\infty$  fixes  $\Theta$ .*

**Proof.** It is straightforward to check that an elation with axis  $\pi_\infty$  fixes  $\Theta$  and hence so does  $G$ .  $\square$

**Lemma 17.** *Let  $\pi$  be a plane of  $\text{PG}(3, q)^*$  distinct from  $\infty^*$  and  $\mathcal{C}$  a conic in  $\pi$  containing  $\pi_\infty^*$  and with tangent line  $\pi \cap \infty^*$ . If  $\mathcal{C}$  is contained in one element of  $\Theta^*$ , then  $\mathcal{C}$  is contained in exactly  $q$  elements of  $\Theta^*$ .*

**Proof.** Suppose  $\mathcal{C} \subset \mathcal{O} \in \Theta^*$  then by Lemma 16 the  $q$  images  $\mathcal{O}_1 = \mathcal{O}, \mathcal{O}_2, \dots, \mathcal{O}_q$  of  $\mathcal{O}$  under elations with centre  $\pi_\infty^*$  are elements of  $\Theta^*$  containing  $\mathcal{C}$ . Suppose that there is an elliptic quadric  $\mathcal{O}' \in \Theta^*$ , not one of the  $\mathcal{O}_i$ , such that  $\mathcal{C} \subset \mathcal{O}'$ . Let  $X \in \mathcal{C} \setminus \{\pi_\infty^*\}$  and  $\ell_X$  the tangent to  $\mathcal{C}$  at  $X$ . Each of  $\mathcal{O}_1, \dots, \mathcal{O}_q$  has a distinct tangent plane at  $X$  which must contain  $\ell_X$ . Since there are  $q$  planes on  $\ell_X$  not containing  $\pi_\infty^*$  we can say without loss of generality that  $\mathcal{O}$  and  $\mathcal{O}'$  have the same tangent plane  $\pi_X$  at  $X$ . Dualising this, we have two elements of  $\Theta$  with common point  $\pi_X^*$  and common tangent plane  $X^*$  at  $\pi_X^*$ . Hence by Lemma 14 the two elliptic quadrics are in a common transversal, a contradiction since by Lemma 15 the can only have two common tangent planes, but we know that each element of  $\mathcal{C}^*$  is tangent to both elliptic quadrics.  $\square$

**Theorem 18.** *The set  $\Theta^*$  of elliptic quadrics of  $\text{PG}(3, q)^*$  is a tetradic set with respect to  $(\pi_\infty^*, \infty^*)$ .*

**Proof.** Each element of  $\Theta^*$  contains  $q^2$  conics containing  $\pi_\infty^*$ , and each such conic is contained in exactly  $q$  elements of  $\Theta^*$  by Lemma 17. Hence there are  $q^4(q - 1)$  such conics. Since this is the same as the number of pairs  $(\pi, \mathcal{C})$  where  $\mathcal{C}$  is a conic in the plane  $\pi$  containing  $\pi_\infty^*$  and with tangent  $\pi \cap \infty^*$ , it follows that all such conics  $\mathcal{C}$  are in  $q$  elements of  $\Theta^*$ . Further, these  $q$  elliptic quadrics partition the points of  $\text{PG}(3, q)^* \setminus (\pi \cup \infty^*)$ .

So let  $\{X, Y, Z, W\}$  be a tetrad with  $X, Y, Z, \pi_\infty^*$  coplanar in  $\pi$ . By the above there is a unique element of  $\Theta^*$  containing  $W$  and the unique conic containing  $X, Y, Z, \pi_\infty^*$  and with tangent  $\pi \cap \infty^*$ . Hence  $\Theta^*$  is a tetradic set of elliptic quadrics with respect to  $(\pi_\infty^*, \infty^*)$ .  $\square$

## 5. Flocks of the quadratic cone and tetradic sets of elliptic quadrics of $\text{PG}(3, q)$

In this section we will show that a flock of a quadratic cone in  $\text{PG}(3, q)$  gives rise to a tetradic set of elliptic quadrics and conversely.

Let  $\mathcal{K}$  be a quadratic cone in  $\text{PG}(3, q)$  with vertex  $V$ . Let  $P \in \mathcal{K} \setminus \{V\}$ ,  $\ell = \langle P, V \rangle$  and let  $\pi_\ell$  be the plane meeting  $\mathcal{K}$  in  $\ell$ . Suppose that  $\pi$  is any plane containing neither  $V$  nor  $P$ . If we project the points of  $\mathcal{K} \setminus \{V\}$  from  $P$  onto  $\pi$ , then we have a one-to-one correspondence between the points of  $\mathcal{K} \setminus \ell$  and the points of  $\pi \setminus (\pi_\ell \cap \pi)$ , while the points  $\ell \setminus \{P, V\}$  project onto  $P' = \ell \cap \pi$ . The  $q^3 - q^2$  plane sections of  $\mathcal{K}$  containing neither  $P$  nor  $V$  project onto the  $q^3 - q^2$  conics of  $\pi$  containing  $P'$  and with tangent  $\pi \cap \pi_\ell$ . If  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are two planar sections of  $\mathcal{K}$  both containing the point  $Q \in \ell \setminus \{P, V\}$ , then their respective projections  $\mathcal{C}'_1, \mathcal{C}'_2$  in  $\pi$  have the property that  $\mathcal{C}'_2$  is one of the  $q^2$  images of  $\mathcal{C}'_1$  under an elation of  $\pi$  with centre  $P'$ . The  $q^2$  planar sections of  $\mathcal{K}$  containing  $P$  project onto the  $q^2$  lines of  $\pi$  not incident with  $P'$ . Hence a flock  $\{\mathcal{C}_1, \dots, \mathcal{C}_q\}$  of  $\mathcal{K}$  projects to a set  $\{\mathcal{C}'_1, \dots, \mathcal{C}'_{q-1}, m\}$  where  $\mathcal{C}'_1, \dots, \mathcal{C}'_{q-1}$  are conics of  $\pi$  with common point  $P'$ , common tangent  $\pi \cap \pi_\ell$ ,  $m$  is a line of  $\pi$  not incident with  $P'$  and  $\mathcal{C}'_1, \dots, \mathcal{C}'_{q-1}, m$  partition the points of  $\pi \setminus (\pi \cap \pi_\ell)$ . Further, no  $\mathcal{C}'_i$  is the image of a  $\mathcal{C}'_j$ ,  $i, j \in \{1, \dots, q-1\}$ ,  $i \neq j$ , under an elation of  $\pi$  with centre  $P'$ . Conversely, any such set  $\{\mathcal{C}'_1, \dots, \mathcal{C}'_{q-1}, m\}$  with these properties corresponds to a flock of  $\mathcal{K}$ .

The following result is straightforward to verify and allows us to provide a correspondence between flocks of quadratic cones and transversals of elliptic quadrics.

**Lemma 19.** *Let  $\infty$  and  $R$  be points of  $\text{PG}(3, q)$  and  $\pi, \pi_\infty, \pi_R$  be planes of  $\text{PG}(3, q)$  such that  $\infty \in \pi_\infty \cap \pi$ ,  $R \in \pi_R \setminus (\pi \cup \pi_\infty)$  and the three planes  $\pi, \pi_\infty, \pi_R$  meet in a point. If  $\mathcal{C}$  is any conic of  $\pi$  such that  $\infty \in \mathcal{C}$ ,  $\pi \cap \pi_\infty$  is the tangent to  $\mathcal{C}$  at  $\infty$  and  $\pi \cap \pi_R$  is external to  $\mathcal{C}$ , then there exists a unique elliptic quadric  $\mathcal{O}$  such that  $\mathcal{C} \cup \{R\} \in \mathcal{O}$ ,  $\pi_\infty$  is the tangent plane to  $\mathcal{O}$  at  $\infty$  and  $\pi_R$  is the tangent plane to  $\mathcal{O}$  at  $R$ .*

*Further, suppose that  $\mathcal{C}'$  is a second conic of  $\pi$  containing  $\infty$ , with tangent line  $\pi \cap \pi_\infty$  at  $\infty$ , external line  $\pi \cap \pi_R$  and that  $\mathcal{O}'$  is the unique elliptic quadric containing  $\mathcal{C}' \cup \{R\}$  and such that  $\pi_\infty$  is the tangent plane to  $\mathcal{O}'$  at  $\infty$  and  $\pi_R$  is the tangent plane to  $\mathcal{O}'$  at  $R$ . Then  $\mathcal{O} \cap \mathcal{O}' = \{\infty, R\}$  if and only if  $\mathcal{C} \cap \mathcal{C}' = \{\infty\}$  and  $\mathcal{C}'$  is not the image of  $\mathcal{C}$  under an elation of  $\pi$  with centre  $\infty$ .*

**Theorem 20.** *Let  $\mathcal{F}$  be a flock of a quadratic cone in  $\text{PG}(3, q)$ . Then  $\mathcal{F}$  gives rise to a tetradic set of elliptic quadrics of  $\text{PG}(3, q)$ .*

**Proof.** Let  $\mathcal{F}$  be a flock of the quadratic cone  $\mathcal{K}$  of  $\text{PG}(3, q)$ . Let  $V$  be the vertex of  $\mathcal{K}$ ,  $P$  a point of  $\mathcal{K} \setminus \{V\}$  and  $\pi$  a plane of  $\text{PG}(3, q)$  such that  $P, V \notin \pi$ . If we project the elements of  $\mathcal{F}$  from  $P$  onto  $\pi$  we obtain a set  $\{\mathcal{C}_1, \dots, \mathcal{C}_{q-1}, m\}$  where  $\mathcal{C}_1, \dots, \mathcal{C}_{q-1}$  are  $q-1$  conics

and  $m$  is a line of  $\pi$ . The conics  $\mathcal{C}_1, \dots, \mathcal{C}_{q-1}$  meet pairwise in  $\infty = \langle V, P \rangle \cap \pi$  and with common tangent  $\ell$  where  $\langle \ell, V \rangle$  is the plane meeting  $\mathcal{K}$  in the line  $\langle V, P \rangle$ . The line  $m$  is the intersection of  $\pi$  with the plane containing the element of  $\mathcal{F}$  containing  $P$ . Hence the points of  $m$  are disjoint from the  $\mathcal{C}_i$ .

Now let  $\pi_\infty$  be a plane of  $\text{PG}(3, q)$  containing  $\ell$  and distinct from  $\pi$ . Let  $R$  be any point of  $\text{PG}(3, q) \setminus (\pi \cup \pi_\infty)$  and  $\pi_R$  the plane  $\langle m, R \rangle$ . Then by Lemma 19 there is a unique set of elliptic quadrics  $\mathcal{T} = \{\mathcal{O}_1, \dots, \mathcal{O}_{q-1}\}$  such that  $\mathcal{O}_i \cap \pi = \mathcal{C}_i, i = 1, \dots, q - 1, R$  is contained in all of the  $\mathcal{O}_i$  and  $\pi_\infty$  and  $\pi_R$  are tangent planes to all of the  $\mathcal{O}_i$ . Further,  $\mathcal{O}_1, \dots, \mathcal{O}_{q-1}$  is a transversal of elliptic quadrics.

Let  $G$  be the group of elations of  $\text{PG}(3, q)$  with centre  $\infty$  and define  $\Theta = \{\mathcal{O}^g : \mathcal{O} \in \mathcal{T}, g \in G\}$ . We show that  $\Theta$  is a tetradic set of elliptic quadrics. Since no elliptic quadric of  $\mathcal{T}$  may be fixed by an element of  $G$ , it follows that  $|\Theta| = q^3(q - 1)$  and it suffices to show that each tetrad of  $\text{PG}(3, q)$  with respect to  $(\infty, \pi_\infty)$  is contained in at least one elliptic quadric of  $\Theta$ .

Now let  $\pi'$  be a plane containing  $\infty$  distinct from  $\pi_\infty$ . Let  $\mathcal{O}_i \cap \pi' = \mathcal{C}'_i, i = 1, \dots, q - 1$  and  $m' = \pi_R \cap \pi'$ . Any element of  $G$  fixes the plane  $\pi'$  and induces an elation with centre  $\infty$  in  $\pi'$ . No such elation in  $\pi'$  fixes a  $\mathcal{C}'_i$  and also cannot map  $\mathcal{C}'_i$  to  $\mathcal{C}'_j$  for  $i, j \in \{1, \dots, q - 1\}, i \neq j$ . Hence  $\{(\mathcal{C}'_i)^g : i = 1, \dots, q - 1, g \in G\}$  is a set of  $q^2(q - 1)$  conics in  $\pi'$  containing  $\infty$  and with  $\pi_\infty \cap \pi'$  as tangent. Hence every conic in  $\pi'$  containing  $\infty$  and with  $\pi_\infty \cap \pi'$  as tangent is contained in an element of  $\Theta$ . In fact, taking images under the group of elations with centre  $\infty$  and axis  $\pi'$  we get a set of  $q$  elliptic quadrics partitioning the points of  $\text{PG}(3, q) \setminus (\pi_\infty \cup \pi')$ . It follows from this that every tetrad of  $\text{PG}(3, q)$  with respect to  $(\infty, \pi_\infty)$  is contained in an element of  $\Theta$  and so  $\Theta$  is a tetradic set with respect to  $(\infty, \pi_\infty)$ .  $\square$

**Theorem 21.** *Every tetradic set of elliptic quadrics of  $\text{PG}(3, q)$  arises from a flock of a quadratic cone of  $\text{PG}(3, q)$ .*

**Proof.** Suppose that  $\Theta$  is a tetradic set of elliptic quadrics of  $\text{PG}(3, q)$  with respect to  $(\infty, \pi_\infty)$ . Let  $\mathcal{T} = \{\mathcal{O}_1, \dots, \mathcal{O}_{q-1}\}$  be a transversal of elliptic quadrics of  $\Theta$  with base point  $R$  and base plane  $\pi_R$ . Let  $\pi$  be any plane containing  $\infty$ , but not  $R$  and distinct from  $\pi_\infty$ . Consider the set  $\{\mathcal{C}_1, \dots, \mathcal{C}_{q-1}, m\}$  where  $\mathcal{C}_i = \mathcal{O}_i \cap \pi, i = 1, \dots, q - 1$ , and  $m = \pi \cap \pi_R$ . By Lemma 19 there is no pair  $(\mathcal{C}_i, \mathcal{C}_j), i, j \in \{1, \dots, q - 1\}, i \neq j$ , such that  $\mathcal{C}_i$  is the image of  $\mathcal{C}_j$  under an elation of  $\pi$  with centre  $\infty$ . Hence  $\{\mathcal{C}_1, \dots, \mathcal{C}_{q-1}, m\}$  is a flock in the planar model of the quadratic cone of  $\text{PG}(3, q)$ .  $\square$

### 6. Tetradic sets of elliptic quadrics and Property (G)

In this section we use the properties of a tetradic set of elliptic quadrics of  $\text{PG}(3, q)$ , as established in Section 4, to prove the existence of a GQ of order  $(q, q^2)$  which we identify as a dual flock GQ. We characterise a GQ of order  $(s, s^2), s$  odd, satisfying Property (G) at a pair of points as a dual flock GQ. We also characterise a GQ of order  $(s, s^2), s$  even, satisfying Property (G) at a pair of points and with all associated ovoids elliptic quadrics, as a dual flock GQ.

The construction of the GQ follows Thas [17, Section 5], although there the structure is not proved directly to be a GQ, rather it is shown to be that arising from a dual flock GQ.

**Theorem 22.** *Let  $\Theta$  be a tetric set of elliptic quadrics of  $\text{PG}(3, q)$  with respect to the incident point-plane pair  $(\infty, \pi_\infty)$ . Consider the following incidence structure  $\text{GQ}(\Theta)$ :*

- Points:*
- (i)  $\infty$ .
  - (ii)  $\pi_\infty$ .
  - (iii) Equivalence classes of  $\Theta$  under the action of elations with centre  $\infty$ .
  - (iv) Points of  $\text{PG}(3, q) \setminus \pi_\infty$ .
  - (v) Planes of  $\text{PG}(3, q)$  not incident with  $\infty$ .
  - (vi) Elements of  $\Theta$ .

- Lines:*
- (a)  $[\infty]$ .
  - (b) Lines of  $\text{PG}(3, q)$ , not in  $\pi_\infty$ , incident with  $\infty$ .
  - (c) Lines of  $\pi_\infty$  not incident with  $\infty$ .
  - (d) Rosettes of elliptic quadrics in  $\Theta$ .
  - (e) Triples  $(\mathcal{T}, \pi, X)$  where  $\mathcal{T}$  is a transversal of elliptic quadrics with distinct base point-base plane pairs  $(\infty, \pi_\infty)$  and  $(X, \pi)$ .

- Incidence*
- (i) : The point  $\infty$  is incident with  $[\infty]$  and all lines of type (b).
  - (ii) : The point  $\pi_\infty$  is incident with  $[\infty]$  and all lines of type (c).
  - (iii) : An equivalence class  $E$  is incident with  $[\infty]$  and all rosettes contained in  $E$ .
  - (iv) : The point  $X \in \text{PG}(3, q) \setminus \pi_\infty$  is incident with the line  $\langle X, \infty \rangle$  of  $\text{PG}(3, q)$ , and triples  $(\mathcal{T}, \pi, X)$  where  $\mathcal{T}$  is a transversal of ovoids in  $\Theta$  with some base plane  $\pi \neq \pi_\infty$  and corresponding base point  $X$ .
  - (v) : The plane  $\pi$ , not incident with  $\infty$ , is incident with  $\pi \cap \pi_\infty$  and triples  $(\mathcal{T}, \pi, X)$  where  $\mathcal{T}$  is a transversal of elliptic quadrics in  $\Theta$ , and  $(X, \pi) \neq (\infty, \pi_\infty)$  is a base point-base plane pair of  $\mathcal{T}$ .
  - (vi) : The elliptic quadric  $\mathcal{O} \in \Theta$  is incident with the rosette in  $\Theta$  containing it and each triple  $(\mathcal{T}, \pi, X)$  with  $\mathcal{O} \in \mathcal{T}$ .

Then  $\text{GQ}(\Theta)$  is a GQ of order  $(q, q^2)$ .

**Proof.** First we check the order of the incidence structure of  $\text{GQ}(\Theta)$ . It is straightforward to check that each line of  $\text{GQ}(\Theta)$  is incident with  $q + 1$  points of  $\text{GQ}(\Theta)$ . The lines are as follows. The line  $[\infty]$  contains the points  $\infty, \pi_\infty$  and the  $q - 1$  equivalence classes. A line  $\ell$  of  $\text{PG}(3, q)$ , not in  $\pi_\infty$ , incident with  $\infty$  contains the point  $\infty$  and the  $q$  affine points incident with  $\ell$ . A line  $m$  of  $\pi_\infty$  not incident with  $\infty$  contains the points  $\pi_\infty$  and the  $q$  planes through  $m$ . A line  $\mathcal{R}$ , a rosette of elliptic quadrics, has points the  $q$  ovoids in  $\mathcal{R}$ , and the equivalence class  $E$  containing  $\mathcal{R}$ . A line  $(\mathcal{T}, \pi, X)$  has points  $X, \pi$  and the  $q - 1$  ovoids in the transversal  $\mathcal{T}$ .

The points  $\infty$  and  $\pi_\infty$  are both clearly incident with  $q^2 + 1$  lines. Each equivalence class  $E$  of  $\Theta$  contains  $q^3$  elliptic quadrics partitioned into  $q^2$  rosettes. Together with  $[\infty]$  this gives  $q^2 + 1$  lines incident with  $E$ . If  $X \in \text{PG}(3, q) \setminus \pi_\infty$ , then by Lemma 14 for each plane

$\pi, \infty \notin \pi$  and  $X \in \pi$ , there is a unique triple  $(\mathcal{T}, \pi, X)$ , where  $\mathcal{T}$  is a transversal of elliptic quadrics in  $\Theta$ . Together with the line  $\langle \infty, X \rangle$  this gives  $q^2 + 1$  incident lines. Similarly to the previous case, we see that a plane  $\pi, \infty \notin \pi$  is incident with  $q^2 + 1$  lines. If  $\mathcal{O} \in \Theta$ , then by Lemma 13 for each  $X \in \mathcal{O} \setminus \{\infty\}$  there is a transversal of elliptic quadrics in  $\Theta$  containing  $\mathcal{O}$  and with base point  $X$ . Together with the unique rosette of elliptic quadrics in  $\Theta$  containing  $\mathcal{O}$  this gives  $q^2 + 1$  incident lines.

We now check those cases of axiom (iii) of a GQ which are not straightforward. Suppose that  $E$  is an equivalence class of  $\Theta$  and  $\mathcal{T}$  a transversal of  $\Theta$ . Then by Lemma 14 there is a unique elliptic quadric of  $\mathcal{T}$  in  $E$ .

Suppose that  $X$  is a point of  $\text{PG}(3, q) \setminus \pi_\infty$ . If  $\mathcal{R}$  is a rosette of  $\Theta$  not incident with  $X$  in  $\text{GQ}(\Theta)$ , then since the ovoids of  $\mathcal{R}$  partition the points of  $\text{PG}(3, q) \setminus \pi_\infty$  there is an ovoid  $\mathcal{O} \in \mathcal{R}$  such that  $X \in \mathcal{O}$ . By Lemma 13 there is a unique transversal  $\mathcal{T}$  containing  $\mathcal{O}$  and with base point  $X$ , and if  $\pi_X$  is the tangent plane of  $\mathcal{O}$  at  $X$ , then  $(\mathcal{T}, \pi_X, X)$  is the unique line of  $\text{GQ}(\Theta)$  incident with  $X$  and meeting  $\mathcal{R}$ . Next suppose that  $(\mathcal{T}, \pi', X')$  is not incident with  $X$  in  $\text{GQ}(\Theta)$ . If  $X \in \pi'$ , then there is unique transversal with base point  $X$  and base plane  $\pi'$ . If  $X \in \langle X', \infty \rangle$ , then this is the unique line of  $\text{GQ}(\Theta)$  incident with  $X$  and meeting  $(\mathcal{T}, \pi', X')$ . Finally, if  $X \notin \pi', \langle X', \infty \rangle$  we know that the elements of  $\mathcal{T}$  partition the set of such points, so  $X$  is contained in a unique element of  $\mathcal{T}$  and so is the base point of a unique transversal containing an element of  $\mathcal{T}$ .

Suppose that  $\pi$  is a plane of  $\text{PG}(3, q)$  not incident with  $\infty$ . Let  $\mathcal{R}$  be a rosette of ovoids of  $\Theta$ . Then  $\mathcal{R}$  is generated by the action of elations with centre  $\infty$  and axis  $\pi_\infty$  on any of the elliptic quadrics of  $\mathcal{R}$ . It follows that  $\pi$  is tangent to a unique element of  $\mathcal{R}$  and so is the base plane of a unique transversal with an elliptic quadric in  $\mathcal{R}$ . Next suppose that  $(\mathcal{T}, \pi', X)$  is not incident in  $\text{GQ}(\Theta)$  with  $\pi$ . If  $X \in \pi$ , then there is a unique transversal with base plane  $\pi$  and base point  $X$ . If  $\pi \cap \pi' \subset \pi_\infty$ , then this is the unique line of  $\text{GQ}(\Theta)$  incident with  $\pi$  and meeting  $(\mathcal{T}, \pi', X)$ . Finally, if  $X \notin \pi$  and  $\pi \cap \pi' \not\subset \pi_\infty$ , then by Lemma 15  $\pi$  is tangent to a unique elliptic quadric of  $\mathcal{T}$  and hence there is a unique transversal with base plane  $\pi$  and containing an elliptic quadric of  $\mathcal{T}$ .

Now suppose that  $\mathcal{O} \in \Theta$ . Let  $\mathcal{R}$  be a rosette of  $\Theta$  not containing  $\mathcal{O}$ . If  $\mathcal{O}$  is in the same equivalence class as the elliptic quadrics of  $\mathcal{R}$ , then the unique rosette containing  $\mathcal{O}$  is the unique line of  $\text{GQ}(\Theta)$  incident with  $\mathcal{O}$  and meeting  $\mathcal{R}$ . If  $\mathcal{O}$  is inequivalent to the elements of  $\mathcal{R}$ , then by the proof of Corollary 11 there is unique elliptic quadric of  $\mathcal{R}$  meeting  $\mathcal{O}$  in exactly two points and hence by Lemma 13 contained in a transversal with  $\mathcal{O}$ . Next suppose  $(\mathcal{T}, \pi, X)$  is not incident with  $\mathcal{O}$  in  $\text{GQ}(\Theta)$ . If  $X \in \mathcal{O}$ , then  $\mathcal{O}$  is contained in a unique transversal with base point  $X$  and base plane distinct from  $\pi$ . Similarly, if  $\pi$  is a tangent plane to  $\mathcal{O}$ , then  $\mathcal{O}$  is contained in a unique transversal with base plane  $\pi$  and base point distinct from  $X$ . Finally, suppose that  $X \notin \mathcal{O}$  and that  $\pi$  is not a tangent plane to  $\mathcal{O}$ . Now  $\pi \cap \mathcal{O}$  is a conic  $\mathcal{C}$  not containing  $X$  and the line  $\langle X, \infty \rangle$  of  $\text{PG}(3, q)$  contains a unique point  $Y$  of  $\mathcal{O} \setminus \{\infty\}$  with  $Y \neq X$ . By Corollary 11 the  $q - 1$  elliptic quadrics of  $\mathcal{T}$  partition the  $q^2 - q - 2$  points of  $\mathcal{O} \setminus (\mathcal{C} \cup \{Y, \infty\})$  into sets of size 0, 1,  $q$  or  $q + 1$ . There are only two ways in which this may be done. First with one set of size 0 and  $q - 2$  sets of size  $q + 1$ , in other words  $\mathcal{O}$  is in a rosette with a unique element of  $\mathcal{T}$  and in a transversal with none. Secondly, with one set of size 1, one set of size  $q$  and  $q - 3$  sets of size  $q + 1$ , in other words  $\mathcal{O}$  is in a transversal with a unique element of  $\mathcal{T}$  and in a rosette with none. In either case there is a unique line of  $\text{GQ}(\Theta)$  incident with  $\mathcal{O}$  and meeting  $(\mathcal{T}, \pi, X)$ .  $\square$

**Corollary 23.** *Let  $\Theta$  be a tetradic set of elliptic quadrics of  $\text{PG}(3, q)$  and  $\text{GQ}(\Theta)$  the associated  $\text{GQ}$  of order  $(q, q^2)$ . Then  $\text{GQ}(\Theta)$  is a dual flock  $\text{GQ}$ .*

**Proof.** In [17, Section 7.2] Thas gives the construction of a transversal of elliptic quadrics  $\{\mathcal{O}_1, \dots, \mathcal{O}_{q-1}\}$  from a flock  $\mathcal{F}$  that we have employed in the proof of Theorem 20. The elliptic quadrics in the same equivalence class as  $\mathcal{O}_i$  are those elliptic quadrics of  $\text{PG}(3, q)$  that meet  $\pi_\infty$  in the same two lines as  $\mathcal{O}_i$  over  $\text{GF}(q^2)$ . This is the same as considering the images of  $\mathcal{O}_i$  under elations with centre  $\infty$  and hence the set constructed is a tetradic set  $\Theta$  of elliptic quadrics. Also in [17, Section 7.2] Thas shows that the incidence structure  $\text{GQ}(\Theta)$  given in Theorem 22 is the dual flock  $\text{GQ}$  arising from  $\mathcal{F}$ .  $\square$

**Corollary 24.** *Let  $\Theta$  be a tetradic set of ovoids of  $\text{PG}(3, q)$  with respect to  $(\infty, \pi_\infty)$  and  $\text{GQ}(\Theta)^*$  the corresponding flock  $\text{GQ}$ . Then  $\text{GQ}(\Theta)$  is an  $\text{EGQ}$  with base point  $[\infty]^*$  and the elation group about  $[\infty]^*$  is induced by the group of  $\text{PG}(3, q)$  of order  $q^5$  generated by all elations with centre  $\infty$  and all elations with axis  $\pi_\infty$ .*

**Proof.** Any collineation of  $\text{PG}(3, q)$  fixing the set  $\Theta$  induces a collineation of  $\text{GQ}(\Theta)$  and by Lemma 16 the group  $G$  of collineations of  $\text{PG}(3, q)$  of order  $q^5$  generated by all elations with centre  $\infty$  and all elations with axis  $\pi_\infty$  fixes  $\Theta$ . Calculation shows that this group fixes the equivalence classes of  $\Theta$  and that for  $\mathcal{O} \in \Theta$  the group  $G_{\mathcal{O}}$  acts regularly on the points  $\mathcal{O} \setminus \{\infty\}$ . Hence the induced collineation of  $\text{GQ}(\Theta)$  fixes  $[\infty]^*$  pointwise and fixes no point not collinear with  $[\infty]^*$ , and is thus an elation group about  $[\infty]^*$ .  $\square$

**Theorem 25.** *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  be a  $\text{GQ}$  of order  $(s, s^2)$  satisfying Property (G) at a pair of collinear points  $(X, Y)$ . If  $s$  is odd, then  $\mathcal{S}$  is the dual of a flock  $\text{GQ}$ . If  $s$  is even and all ovoids  $\mathcal{O}_Z$  of  $\mathcal{S}_{XY}$  for  $Z \in \mathcal{P} \setminus (X^\perp \cup Y^\perp)$  are elliptic quadrics, then we have the same conclusion.*

**Proof.** Let  $\overline{\mathcal{S}_{XY}}$  be the projective three-space constructed from the pair  $(X, Y)$ . Hence  $s$  is a prime power  $q$ . Let  $\Theta$  be the set of ovoids in  $\overline{\mathcal{S}_{XY}} \cong \text{PG}(3, q)$  associated with  $\mathcal{S}$ . If  $q$  is odd, then  $\Theta$  is a set of elliptic quadrics, while if  $q$  is even, then this is also the case by hypothesis. We will show that  $\Theta$  is a tetradic set of elliptic quadrics in  $\text{PG}(3, q)$ .

The points of  $XY \setminus \{X, Y\}$  divide the elliptic quadrics of  $\Theta$  into  $q - 1$  equivalence classes. Two elliptic quadrics in the same equivalence class intersect in either 1 or  $q + 1$  points, while two elliptic quadrics of  $\Theta$  in distinct equivalence classes intersect in either 2 or  $q + 2$  points. We will show that two elliptic quadrics in different equivalence classes cannot have an intersection containing a conic.

Without loss of generality suppose that the elliptic quadrics of  $\Theta$  have common point  $\infty = (0, 1, 0, 0)$  and common tangent plane  $X_0 = 0$ . If  $q$  is odd let  $\mathcal{O} \in \Theta$  with  $\mathcal{O} = \{(1, s^2 - \eta t^2, s, t) : s, t \in \text{GF}(q)\} \cup \{(0, 1, 0, 0)\}$ , where  $\eta$  is a fixed non-square in  $\text{GF}(q)$ . Let  $\mathcal{C} \subset \mathcal{O}$  be the conic  $\mathcal{C} = \{(1, s^2, s, 0) : s \in \text{GF}(q)\} \cup \{(0, 1, 0, 0)\}$ . Let  $\mathcal{O}'$  be a second elliptic quadric containing  $\mathcal{C}$ . By the proof of Lemma 7 we may assume that  $\mathcal{O}' = \{(1, s^2 - \eta t^2 + bt, s + ct, dt) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  for  $b, c, d \in \text{GF}(q)$ ,  $d \neq 0$ . It follows that  $|\mathcal{O} \cap \mathcal{O}'| = k + 1$  where  $k$  is the number of solution pairs  $(s, t)$  to

$$2cst = \eta d^2 t^2 - c^2 t^2 - \eta t^2 + bt. \quad (1)$$



Any  $(s, 0)$  is a solution pair corresponding to the points  $\mathcal{C} \setminus \{(0, 1, 0, 0)\}$ . If  $\mathcal{O}' \in \Theta$  and is inequivalent to  $\mathcal{O}$ , then it must be that  $|\mathcal{O} \cap \mathcal{O}'| = q + 2$  and there is a unique solution to (1) with  $t \neq 0$ . Under the assumption that  $t \neq 0$ , (1) becomes  $2cs = \eta d^2 t - c^2 t - \eta t + b$ . If  $c = 0$  we have  $\eta d^2 t - \eta t + b = 0$ , to which there are either no solutions, or a unique solution for  $t$  and  $q$  solution pairs  $(s, t)$ , or all  $(s, t)$  are solutions. In each of these cases we do not have a unique solution pair  $(s, t)$ , so we may suppose that  $c \neq 0$ . Hence we may write

$$s = \frac{\eta d^2 t - c^2 t - \eta t + b}{2c},$$

which yields a solution in  $s$  for each choice of  $t$ , that is  $q$  solution pairs in total.

If  $q$  is even let  $\mathcal{O} = \{(1, s^2 + st + \rho t^2, s, t) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  with  $\rho$  a fixed element of  $\text{GF}(q)$  such that  $\text{Tr}(\rho) = 1$ . Let  $\mathcal{C} \subset \mathcal{O}$  be the conic  $\{(1, s^2, s, 0) : s \in \text{GF}(q)\} \cup \{\infty\}$ . Let  $\mathcal{O}'$  be a second elliptic quadric containing  $\mathcal{C}$  which, by the proof of Lemma 7, we may assume is  $\mathcal{O}' = \{(1, s^2 + st + \rho t^2 + bt, s + ct, dt) : s, t \in \text{GF}(q)\} \cup \{\infty\}$  for  $b, c, d \in \text{GF}(q), d \neq 0$ . For  $|\mathcal{O} \cap \mathcal{O}'| = q + 2$  we need a unique solution to  $(\rho + c^2 + cd + \rho d^2)t + (d + 1)s + b = 0$  with  $t \neq 0$ . However, the existence of one such solution implies the existence of at least  $q$  such solutions.

Now the group of an elliptic quadric is transitive on pairs  $(P, \mathcal{C})$  where  $\mathcal{C}$  is conic section of the elliptic quadric and  $P \in \mathcal{C}$ . Hence we may conclude that if two elliptic quadrics of  $\Theta$  contain a common conic, then they are in the same equivalence class.

Let  $\mathcal{C}$  be a conic in the plane  $\pi$  containing  $\infty$  such that  $\pi \neq \pi_\infty$  and  $\pi \cap \pi_\infty$  is a tangent to  $\mathcal{C}$ . Then  $\mathcal{C}$  is contained in at most  $q$  elements of  $\Theta$  since in  $\mathcal{S}$  the set of points  $\mathcal{C} \setminus \{X\}$  contains a triad and so has at most  $q + 1$  centres, one of which is  $X$ . Counting the number of such conics and noting that  $|\Theta| = q^3(q - 1)$  we conclude that each such conic is contained in exactly  $q$  elliptic quadrics of  $\Theta$ .

Now let  $\{A, B, C, \infty\}$  be a 4-arc in a plane  $\pi$  of  $\text{PG}(3, q)$  such that  $\pi \neq \pi_\infty$  and  $A, B, C \notin \pi_\infty$ . The set  $\{A, B, C, \infty\}$  uniquely determines a conic  $\mathcal{C}$  in  $\pi$  with tangent  $\pi \cap \pi_\infty$ . The conic  $\mathcal{C}$  is contained in  $q$  elliptic quadrics  $\mathcal{O}_1, \dots, \mathcal{O}_q$  of  $\Theta$  all of which must be in the same equivalence class. Hence  $\mathcal{O}_1, \dots, \mathcal{O}_q$  intersect in exactly  $\mathcal{C}$  and partition the points of  $\text{PG}(3, q) \setminus (\pi_\infty \cup \pi)$ . Thus for any 5-cap  $\{A, B, C, Z, \infty\}$  of  $\text{PG}(3, q)$  with  $A, B, C, Z \notin \pi_\infty, \infty \in \langle A, B, C \rangle$  and  $Z \notin \langle A, B, C \rangle$ , there is a unique elliptic quadric on  $\{A, B, C, Z\}$ . Hence  $\Theta$  is a tetrads set of elliptic quadrics of  $\text{PG}(3, q)$  with respect to  $(\infty, \pi_\infty)$  and by Corollary 23  $\mathcal{S}$  is the corresponding dual flock GQ.  $\square$

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