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Embedding a set of rational points in lower dimensions

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Abstract

Let X^n be a set of rational points lying on an n -dimensional flat in a Euclidean space. We prove that for $n \geq 2$, X^n is congruent to a set of rational points in \mathbb{R}^{2n+1} , and that for $n \geq 3$, X^n is similar to a set of rational points in \mathbb{R}^{2n-1} . © 1998 Elsevier Science B.V. All rights reserved

1. Introduction

Let \mathbb{Q}^n denote the subset of Euclidean space \mathbb{R}^n consisting of all rational points. A point-set X of a Euclidean space is called a $\sqrt{\mathbb{Q}}$ -set [3] if the square-distances among the points in X are all rationals. Every subset of \mathbb{Q}^n is clearly a $\sqrt{\mathbb{Q}}$ -set, and it was proved in [2] that any $\sqrt{\mathbb{Q}}$ -set lying on an n -dimensional flat in a (possibly, very high dimensional) Euclidean space is congruent to a subset of \mathbb{Q}^{3n+1} . It was then asked whether there is a 3-point $\sqrt{\mathbb{Q}}$ -set that is not congruent to a subset of \mathbb{Q}^6 . We are going to prove the following theorem, answering this question.

Let X^n denote an arbitrary set of rational points lying on an n -dimensional flat in a Euclidean space.

Theorem 1. *For $n \geq 2$, X^n is congruent to a subset of \mathbb{Q}^{2n+1} . If n is even and ≥ 4 then X^n is congruent to a subset of \mathbb{Q}^{2n} .*

Thus, any 3-point $\sqrt{\mathbb{Q}}$ -set is congruent to a subset of \mathbb{Q}^5 . Since the vertex-set of the triangle with sides 1, $\sqrt{7}$, $\sqrt{8}$ is never congruent to a subset of \mathbb{Q}^4 (Lemma 5), the dimension 5 is the least possible for $\sqrt{\mathbb{Q}}$ -triples.

Since a pair of points with mutual distance $\sqrt{7}$ is not congruent to any subset of \mathbb{Q}^3 , we cannot drop the condition $n \geq 2$ in the above theorem.

Any two point-sets X and Y are called *similar* if there is a real $\lambda > 0$ such that $\lambda X := \{\lambda x : x \in X\}$ is congruent to Y .

Theorem 2. For $n \geq 3$, X^n is similar to a subset of \mathbb{Q}^{2n-1} .

A lattice point is a point whose coordinates are all integers. A lattice tetrahedron is a tetrahedron whose vertices are lattice points in some \mathbb{R}^N . By the above theorem, any lattice tetrahedron is similar to a tetrahedron with vertices in \mathbb{Q}^5 . Then, by dilating suitably, we can deduce that any lattice tetrahedron is similar to a lattice tetrahedron in \mathbb{R}^5 . This answers the second question (Problem 2) in [2].

Problem. Is there a constant c such that every X^n is congruent to a subset in \mathbb{Q}^{n+c} ?

2. The three- and four-square theorems

First, let us recall the following two theorems.

(1) **(The four-square theorem).** Every positive integer can be represented as the sum of the squares of four integers.

(2) **(The three-square theorem).** A positive integer can be represented as the sum of three integral squares if and only if it is not of the form $4^i(8m+7)$ for some integers i, m .

For the proof of the four-square theorem, see Niven–Zuckerman [5] or Pach [6], and for the three-square theorem, see Narkiewicz [4].

By the four-square theorem, every positive rational number is represented by the sum of four squares of rationals. Let us call a positive rational number *3-square-type* if it can be represented as the sum of three squares of rationals, otherwise, it is called *4-square-type*. By the three-square theorem, a positive rational α is 4-square-type if and only if $n^2\alpha = 4^i(8m+7)$ for some integers n, i, m .

Remark. If α is of 4-square-type, then since $(2\ell+1)^2 = 8\ell(\ell+1)/2 + 1 \equiv 1 \pmod{8}$, $k^2\alpha$ is also of 4-square-type for any integer $k \neq 0$.

Since $7^2 = 49 \equiv 1 \pmod{8}$, the next lemma follows.

Lemma 1. If two positive rationals α, β are both of 4-square-type, then the product $\alpha\beta$ is of 3-square-type.

Lemma 2. For any three positive rationals α, β, γ , there are four rational numbers u, v, w, x such that $\alpha = \beta(u^2 + v^2 + w^2) + \gamma x^2$.

Proof. (1) If α/β is of 3-square-type, then $\alpha = \beta(u^2 + v^2 + w^2)$ for some rationals u, v, w .

(2) Suppose that α/β is of 4-square-type. There are positive integers k, n such that $k^2(\alpha/\beta) = 4^i n$ where $n \equiv 2$ or $4 \pmod{8}$. Using Remark, we can choose two integers

a, b so that $a^2(\alpha/\beta) = 4^{i+j}(8m + 7)$ and $b^2(\gamma/\beta) = 4^{i+j}n$ where i, j, m, n are integers, $n \equiv 2$ or $4 \pmod{8}$, and $8m + 7 > n$. Then

$$a^2(\alpha/\beta) - b^2(\gamma/\beta) = 4^{i+j}(8m + 7 - n),$$

and since $8m - n$ is even and not divisible by 8, $a^2(\alpha/\beta) - b^2(\gamma/\beta)$ is of 3-square-type. The Lemma now follows easily. \square

3. Inner product spaces over \mathbb{Q}

By an *inner product space over \mathbb{Q}* , we mean a subset V of a Euclidean space such that V constitutes a vector space over the rational field \mathbb{Q} , and for any $u, v \in V$, the inner product (u, v) is a rational. Let us denote by V^n an n -dimensional inner product space over \mathbb{Q} . From any basis $\{v_1, v_2, \dots, v_n\}$ of V^n , we can get an orthogonal basis $\{a_1, a_2, \dots, a_n\}$ by Schmidt orthogonalization (without normalization). That is,

$$\begin{aligned} a_1 &= v_1, \\ a_2 &= v_2 - \frac{(v_2, a_1)}{(a_1, a_1)} a_1, \\ a_3 &= v_3 - \frac{(v_3, a_1)}{(a_1, a_1)} a_1 - \frac{(v_3, a_2)}{(a_2, a_2)} a_2, \\ &\dots \end{aligned}$$

Note that the coefficients appearing in the above orthogonalization are all rational numbers.

A \mathbb{Q} -linear map $\varphi: V^n \rightarrow \mathbb{Q}^m$ that satisfies $(\varphi(u), \varphi(v)) = (u, v)$ for every $u, v \in V^n$ is called an *embedding* of V^n in \mathbb{Q}^m . If there is an embedding of V^n in \mathbb{Q}^m , then V^n is said to be *embeddable* in \mathbb{Q}^m .

Lemma 3. *If $V^n \subset V^{n+1}$, and V^n is embeddable in \mathbb{Q}^m , $m > n$, then V^{n+1} is embeddable in \mathbb{Q}^{m+3} .*

Proof. Let $\varphi: V^n \rightarrow \mathbb{Q}^m$ be an embedding. Since $m > n$, there is a nonzero vector $c = (c_1, \dots, c_m) \in \mathbb{Q}^m$ orthogonal to $\varphi(V^n)$. Let $a \in V^{n+1}$ be a nonzero vector orthogonal to V^n , and let $\alpha = (a, a)$, $\gamma = (c, c)$. By Lemma 2, there are four rational numbers u, v, w, x such that

$$\alpha = u^2 + v^2 + w^2 + \gamma x^2.$$

Let $\psi: V^n \rightarrow \mathbb{Q}^{m+3}$ be the linear map defined by $\psi(v) = (\varphi(v), 0, 0, 0)$, and let

$$\psi(a) = (xc_1, \dots, xc_m, 0, 0, 0) + (0, \dots, 0, u, v, w) \in \mathbb{Q}^{m+3}.$$

Then $(\psi(\mathbf{a}), \psi(\mathbf{a})) = u^2 + v^2 + w^2 + \gamma x^2 = \alpha$, and $\psi(\mathbf{a})$ is orthogonal to $\psi(V^n)$. Hence the linear map $V^{n+1} \rightarrow \mathbb{Q}^{n+3}$ determined by ψ preserves the inner product, and hence V^{n+1} is embeddable in \mathbb{Q}^{m+3} . \square

Lemma 4. *If $V^n \subset V^{n+2}$, and V^n is embeddable in $\mathbb{Q}^m, m > n$, then V^{n+2} is embeddable in \mathbb{Q}^{m+4} .*

Since V^0 is embeddable in \mathbb{Q}^1 , V^2 is embeddable in \mathbb{Q}^5 .

Proof. Let $\varphi: V^n \rightarrow \mathbb{Q}^m$ be an embedding. Since $m > n$, there is a nonzero vector $\mathbf{c} = (c_1, \dots, c_m) \in \mathbb{Q}^m$ orthogonal to $\varphi(V^n)$. Let $\mathbf{a}, \mathbf{b} \in V^{n+2}$ be two mutually orthogonal nonzero vectors, each orthogonal to V^n , and let $\alpha = (\mathbf{a}, \mathbf{a}), \beta = (\mathbf{b}, \mathbf{b}), \gamma = (\mathbf{c}, \mathbf{c})$. By Lemma 2, there are four rational numbers u, v, w, x such that

$$\alpha = \beta(u^2 + v^2 + w^3) + \gamma x^2.$$

Now, by the four squares theorem, there are four rationals p, q, r, s such that $\beta = p^2 + q^2 + r^2 + s^2$. Let $\psi: V^n \rightarrow \mathbb{Q}^{m+4}$ be the linear map defined by $\psi(\mathbf{v}) = (\varphi(\mathbf{v}), 0, 0, 0, 0)$. Define $\psi(\mathbf{b}), \psi(\mathbf{a}) \in \mathbb{Q}^{m+4}$ by

$$\begin{aligned} \psi(\mathbf{b}) &= (0, \dots, 0, p, q, r, s), \\ \psi(\mathbf{a}) &= u(0, \dots, 0, -q, p, -s, r) + v(0, \dots, 0, r, -s, -p, q) \\ &\quad + w(0, \dots, 0, s, r, -q, -p) + x(c_1, \dots, c_m, 0, 0, 0, 0). \end{aligned}$$

Then $\psi(\mathbf{b}), \psi(\mathbf{a})$ are both orthogonal to $\psi(V^n)$. Since five vectors

$$\begin{aligned} &(0, \dots, 0, p, q, r, s), (0, \dots, 0, -q, p, -s, r), (0, \dots, 0, r, -s, -p, q), \\ &(0, \dots, 0, s, r, -q, -p), (c_1, \dots, c_m, 0, 0, 0, 0) \end{aligned}$$

are mutually orthogonal, we have $(\psi(\mathbf{a}), \psi(\mathbf{b})) = 0$ and $(\psi(\mathbf{b}), \psi(\mathbf{b})) = \beta, (\psi(\mathbf{a}), \psi(\mathbf{a})) = \alpha$. Hence the linear map $V^{n+2} \rightarrow \mathbb{Q}^{m+4}$ determined by ψ preserves the inner product, and hence V^{n+2} is embeddable in \mathbb{Q}^{m+4} . \square

Lemma 5. *Let $\{\mathbf{a}, \mathbf{b}\}$ be any orthonormal basis of V^2 , and let $\alpha = (\mathbf{a}, \mathbf{a}), \beta = (\mathbf{b}, \mathbf{b})$. Then V^2 is embeddable in \mathbb{Q}^4 if and only if $\alpha\beta$ is of 3-square-type.*

Proof. Suppose that $\alpha\beta$ is of 3-square-type. Then $\beta/\alpha = x^2 + y^2 + z^2$ for some rationals x, y, z . Let $\alpha = p^2 + q^2 + r^2 + s^2, p, q, r, s \in \mathbb{Q}$. The linear map $\varphi: V^2 \rightarrow \mathbb{Q}^4$ determined by

$$\begin{aligned} \varphi(\mathbf{a}) &= (p, q, r, s), \\ \varphi(\mathbf{b}) &= x(-q, p, -s, r) + y(r, -s, -p, q) + z(s, r, -q, -p) \end{aligned}$$

is an embedding of V^2 in \mathbb{Q}^4 .

Conversely, suppose that there is an embedding $\varphi: V^2 \rightarrow \mathbb{Q}^4$. Let $(p, q, r, s) = \varphi(\mathbf{a})$. Then, since four vectors

$$(p, q, r, s), (-q, p, -s, r), (r, -s, -p, q), (s, r, -q, -p)$$

form an orthogonal basis of \mathbb{Q}^4 , we can write $\varphi(\mathbf{b}) = x(-q, p, -s, r) + y(r, -s, -p, q) + z(s, r, -q, -p)$, $x, y, z \in \mathbb{Q}$. Then $\beta = (\varphi(\mathbf{b}), \varphi(\mathbf{b})) = \alpha(x^2 + y^2 + z^2)$. Hence β/α is of 3-square-type, and hence $\alpha\beta$ is of 3-square-type. \square

Problem. Characterize those V^2 that are embeddable in \mathbb{Q}^3 .

Lemma 6. Any V^3 contains a two-dimensional subspace that is embeddable in \mathbb{Q}^4 .

Proof. Let $\{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$ be an orthogonal basis of V^3 , and let

$$\alpha = (\mathbf{a}, \mathbf{a}), \quad \beta = (\mathbf{b}, \mathbf{b}), \quad \gamma = (\mathbf{c}, \mathbf{c}).$$

By Lemma 5, it is enough to show that one of $\alpha\beta, \alpha\gamma, \beta\gamma$ is of 3-square-type. If both $\alpha\beta, \alpha\gamma$ are of 4-square-type, then $\alpha\beta\alpha\gamma = \alpha^2\beta\gamma$ is of 3-square-type by Lemma 1, and hence $\beta\gamma$ is of 3-square-type. \square

Corollary 1. V^3 is embeddable in \mathbb{Q}^7 .

Proof. Since there is a two-dimensional subspace W of V^3 that is embeddable in \mathbb{Q}^4 , V^3 is embeddable in \mathbb{Q}^{4+3} by Lemma 3. \square

Using Lemma 4 instead of Lemma 3, we have the next corollary.

Corollary 2. V^4 is embeddable in \mathbb{Q}^8 .

4. Similar embeddings

For a positive rational number λ and a V^n , let $\sqrt{\lambda}V^n$ denote the *dilation* of V^n by $\sqrt{\lambda}$, that is,

$$\sqrt{\lambda}V^n = \{\sqrt{\lambda}\mathbf{v} : \mathbf{v} \in V^n\}.$$

Note that $\sqrt{\lambda}V^n$ is also an inner product space over \mathbb{Q} .

If $\sqrt{\lambda}V^n$ is embeddable in \mathbb{Q}^m for some positive rational λ , then V^n is said to be *similarly embeddable* (or *s-embeddable*) in \mathbb{Q}^m .

Lemma 7. For any positive rational λ , $\sqrt{\lambda}\mathbb{Q}^{4m}$ is embeddable in \mathbb{Q}^{4m} .

Proof. Express λ as $\lambda = x^2 + y^2 + z^2 + w^2$ ($x, y, z, w \in \mathbb{Q}$). Let M be the $4m \times 4m$ -matrix

$$M = \begin{pmatrix} A & & & 0 \\ & \ddots & & \\ & & \ddots & \\ 0 & & & A \end{pmatrix},$$

where

$$A = \begin{pmatrix} x & -y & z & w \\ y & x & -w & z \\ z & -w & -x & -y \\ w & z & y & -x \end{pmatrix}.$$

Then the map $\varphi: \sqrt{\lambda}\mathbb{Q}^{4m} \rightarrow \mathbb{Q}^{4m}$ defined by $\varphi(\sqrt{\lambda}\mathbf{v}) = \mathbf{v}M$ is an embedding of $\sqrt{\lambda}\mathbb{Q}^{4m}$ in \mathbb{Q}^{4m} . Indeed, for any $\sqrt{\lambda}\mathbf{u}, \sqrt{\lambda}\mathbf{v} \in \sqrt{\lambda}\mathbb{Q}^{4m}$,

$$(\varphi(\sqrt{\lambda}\mathbf{u}), \varphi(\sqrt{\lambda}\mathbf{v})) = (\mathbf{u}M, \mathbf{v}M) = (\mathbf{u}MM^t, \mathbf{v}) = \lambda(\mathbf{u}, \mathbf{v}) = (\sqrt{\lambda}\mathbf{u}, \sqrt{\lambda}\mathbf{v}). \quad \square$$

Lemma 8. Let W be a subspace of V^n . Suppose that $\sqrt{\lambda}W$ is embeddable in \mathbb{Q}^j for any rational $\lambda > 0$, and that the orthogonal complement W^\perp is similarly embeddable in \mathbb{Q}^k . Then V^n is similarly embeddable in \mathbb{Q}^{j+k} .

Proof. Suppose that $\sqrt{\alpha}W^\perp$ is embeddable in \mathbb{Q}^k . Since $\sqrt{\alpha}W$ is embeddable in \mathbb{Q}^j by the assumption, we can deduce that $\sqrt{\alpha}V^n = \sqrt{\alpha}(W \oplus W^\perp)$ is embeddable in \mathbb{Q}^{j+k} . Hence V^n is similarly embeddable in \mathbb{Q}^{j+k} . \square

Corollary 3. V^3 is similarly embeddable in \mathbb{Q}^5 .

Proof. Let W be a two-dimensional subspace of V^3 that is embeddable in \mathbb{Q}^4 . Then by Lemma 7, for any rational $\lambda > 0$, $\sqrt{\lambda}W$ is embeddable in \mathbb{Q}^4 . Since any one-dimensional subspace of V^3 is similarly embeddable in \mathbb{Q}^1 , V^3 is similarly embeddable in \mathbb{Q}^5 by Lemma 8. \square

5. Proofs of Theorems 1 and 2

Proof of Theorem 1. By adding a rational vector if necessary, we may suppose that X^n lies on an n -dimensional subspace $V^n \subset \mathbb{Q}^N$. Hence it will be enough to show the theorem for $X^n = V^n$. By Lemma 4, V^2 is embeddable in \mathbb{Q}^5 , and by Corollary 1, V^3 is embeddable in \mathbb{Q}^7 . Hence V^n is embeddable in \mathbb{Q}^{2n+1} by Lemmas 3, 4. For even $n \geq 4$, V^n is embeddable in \mathbb{Q}^{2n} by Corollary 2 and Lemma 4. \square

Proof of Theorem 2. It will be enough to show that for $n \geq 3$, V^n is similarly embeddable in \mathbb{Q}^{2n-1} . By Corollary 3, V^3 is similarly embeddable in \mathbb{Q}^5 . By Corollary 2, V^4 is embeddable in \mathbb{Q}^8 . It is known [2] that if V^n is embeddable in \mathbb{Q}^{4m} , then V^n is similarly embeddable in \mathbb{Q}^{4m-1} . Hence, V^4 is similarly embeddable in \mathbb{Q}^7 . Now the theorem follows by applying Lemma 4. \square

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