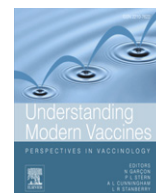


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## Understanding Modern Vaccines: Perspectives in Vaccinology



### Vaccines of the future

Authors: Lawrence R Stanberry, Richard Strugnell

#### Key concepts

- New tools are available to aid vaccine manufacturers in meeting challenges for new vaccine development
  - Many technologies that are already available continue to be improved, including adjuvants and novel vaccine delivery platforms
  - New methods are yet to be fully exploited, including reverse engineering (going from pathogen gene sequences to immunogenic epitopes), screening of peptide libraries, and methods to increase antigen purity, cross-protection and thermostability
- Persistent, highly variable and/or novel pathogens, and complex (eg polymicrobial) infections present challenges to vaccine designers. Targets include new prophylactic vaccines that prevent the emergence of disease and new therapeutic vaccines which augment or redirect the existing immune response to control persistent infections, malignancies, autoimmune diseases and addiction
- State-of-the-art technology combined with a more comprehensive understanding of disease aetiology, pathogen biology and host immunity will assist future vaccine developments to combat elusive pathogens and protect populations with special needs

## Introduction

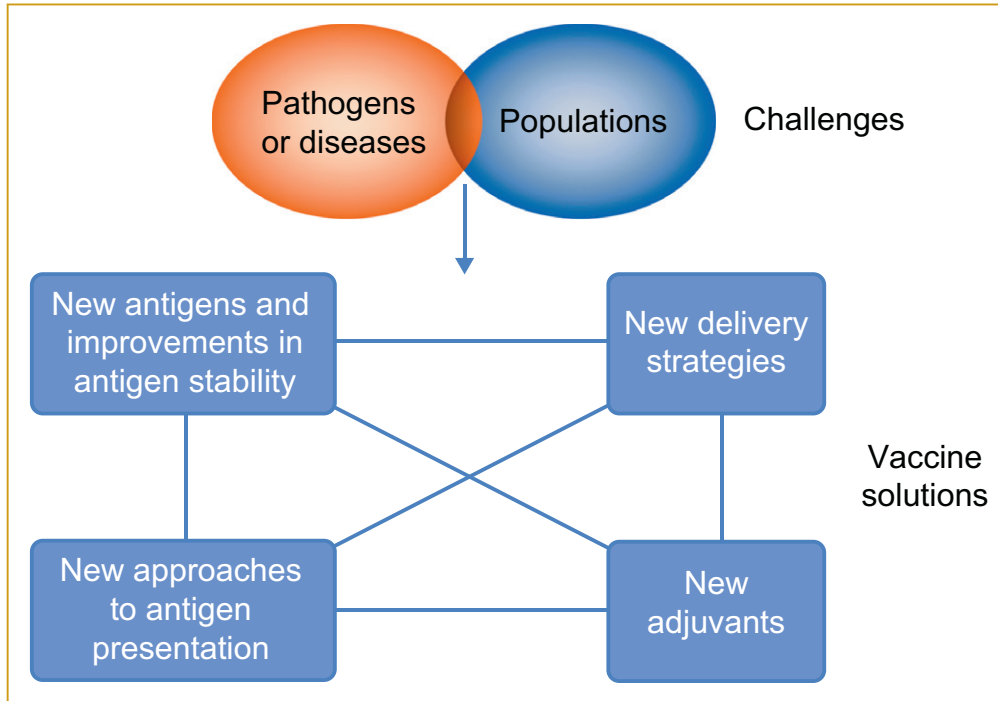
The advances made in vaccine technology since Edward Jenner vaccinated the young James Phipps against smallpox have had a spectacular impact on human health over the last two centuries (see Chapter 1 – *Vaccine evolution*). Vaccines have been fundamental in the control and elimination of many debilitating and lethal diseases, and more diseases are currently targeted for eradication by *vaccination*. Recent major breakthroughs in immunology, molecular biology, genomics, proteomics, biochemistry and computing sciences have driven vaccine technology forward, and will continue to do so.

Many challenges remain, however, including persistent or latent infections, pathogens with complex life cycles, antigenic drift and shift in pathogens subject to selective pressures, challenging populations and emerging infections. To address these challenges researchers are exploring many avenues: novel *adjuvants* are being developed that enhance the immune response elicited by a vaccine while maintaining high levels of tolerability; methods of protective *antigen* identification are iterated with every success; vaccine storage and transport systems are improving (including optimising the cold chain and developing temperature-stable vaccines); and new and potentially more convenient methods of vaccine administration are being pursued.

High priority targets include life-threatening diseases, such as malaria, tuberculosis (TB) and human immunodeficiency virus (HIV), as well as problematic infections caused by ubiquitous agents, such as respiratory syncytial virus (RSV), cytomegalovirus (CMV) and *Staphylococcus aureus*. Non-traditional vaccines are also likely to become available for the management of addiction, and the prevention, treatment and cure of malignancies.

This chapter is not meant as a compendium of all new-generation vaccines, but rather as an outline of the modern principles that will likely facilitate the development of future vaccines. As shown in Figure 6.1, there are several key elements that are likely to be

**Figure 6.1 Modern elements of vaccine development.** Strategies to improve the prevention and/or treatment of diseases through the use of vaccination are multifaceted. Methods include improved antigen identification, purification and presentation; use of new adjuvants; and more targeted vaccine administration for use against elusive pathogens and in special populations.



the foundation for the development of future vaccines. This chapter will illustrate these elements and provide examples that show promise.

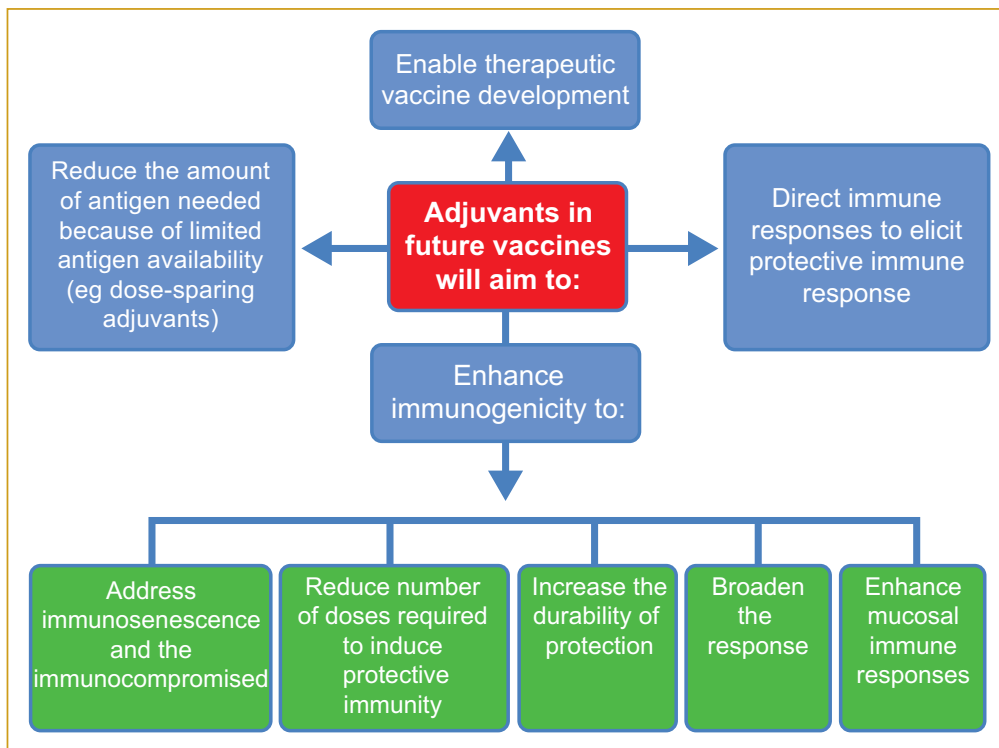
## New adjuvants

### ACHIEVEMENTS OF ADJUVANTS

Since the first use of an adjuvant in a human vaccine over 80 years ago, *adjuvant technology* has improved significantly with respect to improving vaccine *immunogenicity* and efficacy. Over

30 currently licensed vaccines have an adjuvant component in their formulation (see *Chapter 4 – Vaccine adjuvants*; Figure 4.1). The advances in adjuvant design have been driven by parallel advances in vaccine technology as many modern vaccines consist of highly purified antigens – with low non-specific *reactogenicity* which require combination with adjuvants to enhance the immune response. Future developments in adjuvant technology are expected to provide stronger immune priming, enhance immune responses in specific populations, and lead to antigen sparing. Adjuvants to date have demonstrated an ability

**Figure 6.2 Roles of adjuvants in future vaccines.** New and future adjuvants (discussed in *Chapter 4 – Vaccine adjuvants*) will be employed to fulfil various roles aimed at maximising vaccine efficacy and durability, thus reducing the amount of antigen needed when in short supply in an effort to increase the impact of vaccination programmes.



to increase and broaden the immune response – examples include *MF59*<sup>TM</sup> or *AS03* adjuvants used in various influenza vaccines, and aluminium or *AS04* used in human papillomavirus (HPV) vaccines. The impact of new adjuvant technology on vaccine efficacy has been demonstrated in influenza vaccines and in candidate malaria vaccines currently undergoing clinical testing, and has opened the door to antigen-specific immunotherapy for cancer.

### THE ROLE OF ADJUVANTS IN FUTURE VACCINES

New adjuvants must aim to drive the immune response that is associated with lifelong protection. New adjuvants and adjuvant combinations will play many roles in future vaccines as illustrated in [Figure 6.2](#). Adjuvants will need to be individually selected for specific vaccine targets in order to achieve the desired goal (ie enhanced immunogenicity, induction of specific immune profile etc). To deliver this aim, some adjuvants will be mixed with free antigens, while others will need to be covalently linked to the antigenic moiety as part of a complex molecule.

Some examples of new adjuvants that have been evaluated in humans or that are in clinical trials are listed in [Table 6.1](#) (also see [Chapter 4 – Vaccine adjuvants](#)).

### NEW APPROACHES TO ANTIGEN SELECTION AND STABILITY

Modern approaches to antigen design tend to eschew classical trial and error techniques in favour of identifying the type of pathogenic structures (ie antigens) that are most likely to be important *immunogens* based on their structural signature or physical location within the pathogen ([Table 6.2](#)) (see [Chapter 3 – Vaccine antigens](#)).

### NOVEL APPROACHES TO ANTIGEN IDENTIFICATION AND PURIFICATION

The T or B cell immune responses to an antigen are targeted to precise regions of the antigen (ie *epitopes* – either linear or

**Examples of new adjuvants are the nanoemulsions developed by NanoBio Corporation. Nanoemulsions are oil-in-water emulsions manufactured in various sizes  $\leq 400$  nm and stabilised by a surfactant. These technologies are amenable to topical and mucosal administration and can be used to deliver antigens or used alone to physically disrupt the outer membrane of pathogenic organisms. When administered as a vaccine, the nanoemulsion enhances vaccine antigen uptake by antigen-presenting cells, which then carry the antigen to the lymph nodes – the site of adaptive immune response initiation. Nanoemulsion vaccines administered intranasally elicit both mucosal immunity and a systemic immune response.**

**TABLE 6.1. ADJUVANTS CONTAINED IN VACCINES CURRENTLY IN DEVELOPMENT**

Adjuvant name	Description	Route of delivery	Current vaccine targets	Manufacturer
<b>Early-stage development (Phase I)</b>				
<i>NanoStat</i> <sup>TM</sup>	Nanoemulsion	Intranasal	Influenza Hepatitis B*	NanoBio Corporation
CpG	TLR9 agonist	Intramuscular	Malaria	Coley
LT	<i>Escherichia coli</i> heat-labile toxin	Intranasal	TB	Novartis
<i>Montanide</i> <sup>TM</sup> ISA720	Water-in-oil emulsion	Intramuscular	Malaria Cancer	Seppic
Resiquimod	TLR7/8 agonist	Intramuscular	Melanoma	3M
<i>ISCOM</i> <sup>TM</sup> (with antigen included) <i>ISCOMATRIX</i> <sup>TM</sup> (without antigen)	Lipids, cholesterol, saponin in a cage-like structure	Intramuscular	Alzheimer's disease	CSL Behring
<i>IC31</i> <sup>TM</sup>	TLR9 agonist and targets antigen to APC	Intramuscular	Influenza	Intercell
<b>Mid-stage development (Phase II)</b>				
<i>ISCOM</i> <sup>TM</sup> (with antigen included) <i>ISCOMATRIX</i> <sup>TM</sup> (without antigen)	Lipids, cholesterol, saponin in a cage-like structure	Intramuscular	Influenza Melanoma HCV	CSL Behring
Flagellin (conjugated with antigen)	TLR5 agonist	Intramuscular	Influenza	VaxInnate
<i>IC31</i> <sup>TM</sup>	TLR9 agonist and targets antigen to APC	Intramuscular	TB	Intercell
<i>MF59</i> <sup>TM</sup>	Squalene-based oil-in-water emulsion	Intramuscular	CMV	Novartis
AS01	Liposome, lipopolysaccharide derivative ( <i>MPL</i> ) and saponin ( <i>QS21</i> )	Intramuscular	TB HIV	GSK Biologicals
<b>Late-stage development (Phase III)</b>				
ISS	TLR9 agonist	Intramuscular	HBV	Dynavax
AS01	Liposome, lipopolysaccharide derivative ( <i>MPL</i> ) and saponin ( <i>QS21</i> )	Intramuscular	Malaria	GSK Biologicals
AS03	$\alpha$ -tocopherol and squalene in an oil-in-water emulsion	Intramuscular	Influenza	GSK Biologicals

Continued on next page

**TABLE 6.1. ADJUVANTS CONTAINED IN VACCINES CURRENTLY IN DEVELOPMENT – CONTINUED**

Adjuvant name	Description	Route of delivery	Current vaccine targets	Manufacturer
AS04	Lipopolysaccharide derivative (MPL) and aluminium salt	Intramuscular	HSV	GSK Biologicals
AS15	Liposome, lipopolysaccharide derivative (MPL), saponin (QS21) and TLR9 agonist (CpG)	Intramuscular	Lung cancer Melanoma	GSK Biologicals
Montanide™ ISA51	Water-in-oil emulsion	Intramuscular	Cancer	Seppic
MF59™**	Squalene-based oil-in-water emulsion	Intramuscular	Influenza	Novartis
MPL	Lipopolysaccharide derivative	Subcutaneous	Non-small-cell lung cancer	Merck

\*National Institutes of Health funding received for Phase I trials – FDA approval pending as of April 2010.

\*\*MF59™ adjuvanted influenza vaccines has been approved in the EU and not in the USA

TLR, Toll-like receptor; TB, tuberculosis; APC, antigen-presenting cell; HCV, hepatitis C virus; CMV, cytomegalovirus; MPL, monophosphoryl lipid A; HIV, human immunodeficiency virus; HBV, hepatitis B virus; HSV, herpes simplex virus; FDA, Food and Drug Administration; EU, European Union. Every effort has been made to verify the information in the above table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

**TABLE 6.2. KEY AREAS OF MODERN VACCINE-RELATED ANTIGEN RESEARCH AND NEW APPROACHES TO ANTIGEN DISCOVERY**

- Reverse vaccinology
- Epitope mapping and poly-epitope vaccines
- Expression library immunisation
- High-throughput screening of peptides using human T-cell stimulation to identify T-cell antigens
- Antigen stabilisation

three-dimensional conformational structures; in the case of protein antigens these are specific peptide epitopes). Historically, simple, linear, synthetic peptide epitope vaccines have been poorly *immunogenic* because they lack a specific conformation and are easily degraded by a variety of extracellular and cell-surface proteases that serve to limit epitope presentation to *T cells* and/or result in destruction of the B-cell epitope. Peptide vaccines need to

survive this environment in order to participate in successful *major histocompatibility complex* (MHC) class II presentation (see *Chapter 2 – Vaccine immunology*).

Subunit and individual epitope vaccines need to be optimised to ensure adequate immunogenicity. Novel strategies are being developed and exploited in order to identify antigens recognised by T and B cells, thus facilitating a more knowledge-based vaccine design. One of the most common ways to identify these antigens is to measure cellular proliferation (T or B cells) upon *in vitro* stimulation with antigen. High-throughput screening assays of candidate synthetic peptides that drive cellular proliferation help speed the rate of antigen discovery.

### **Reverse vaccinology**

Reverse vaccinology combines knowledge of the pathogen's genome sequence with known protein sequences via computer analysis, to predict protein expression and post-translational modifications and identify likely vaccine candidates (see *Chapter 3 – Vaccine antigens*; Figure 3.5).

The development of epitope-based vaccines is one example of reverse vaccinology where computer software combines prediction algorithms to suggest sequences similar to those for pathogenic components. Epitope mapping, combined with the creation of more stable poly-epitope vaccines, may lead to the successful translation of this technology into products.

### **Poly-epitope vaccines and MHC restriction**

MHC molecules exhibit widely varying binding specificities; a vaccine expressing a single peptide antigen would therefore only target a few MHC molecules and thus only be recognised by the T cells of individuals carrying a specific MHC phenotype. Poly-epitope technology could be used to generate a synthetic protein carrying antigenic epitopes from multiple strains or pathogens. This would overcome the MHC restriction and afford protection in individuals carrying different MHC types.



## Pathogen libraries

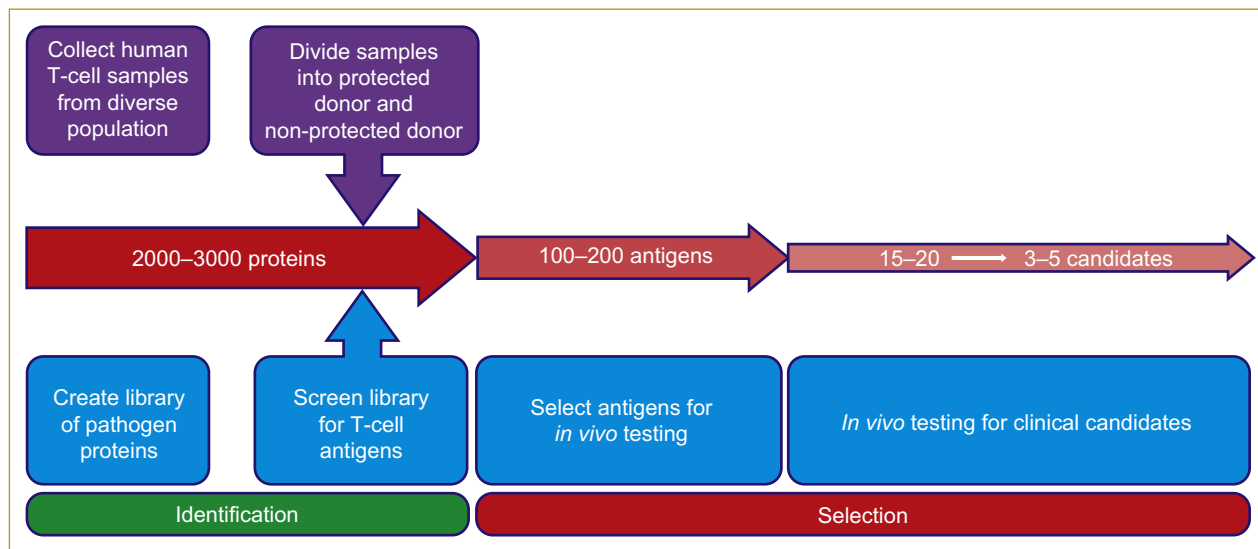
The screening of pathogen peptide libraries is another example of new approaches to antigen discovery. Screening methods are used to identify antigens that can stimulate  $CD4^+$  or  $CD8^+$  T cells, or which bind to *antibodies* from humans known to have been infected with the relevant pathogen. Where peptide screening uses antibodies, an additional consideration is the synthesis of antigens that contain the tertiary (folding/three-dimensional) structure of the native immunogen, since vaccine efficacy can be impacted by infidelities in the structure of the final product. Incorrect protein folding may result in a less immunogenic antigen or an antigen that induces an immune response that differs from that of the native immunogen. The mimicking of the three-dimensional structure of the native immunogen is important during the synthesis of antigens that are being used to target B-cell responses. Conversely, the requirement for folding is reduced for T cells since T cells bind only processed peptides, from degraded proteins. Likewise, DNA expression libraries using the pathogen genomic DNA have been screened using animal model systems to identify genes encoding proteins that afford protection against infection or disease caused by the pathogen.

One example is Genocea's vaccine development programmes that are built around a broad platform for the rapid discovery of T-cell antigens. The process is explained in [Figure 6.3](#). T-cell antigens, specifically antigens that stimulate  $CD4^+$  and  $CD8^+$  T cells, are critical to generating disease-specific cellular immune responses and long-term T-cell memory.

## ANTIGEN STABILITY

Stability of the final product is another important consideration. Adverse environmental conditions can result in degradation of the vaccine, rendering it non-immunogenic. In order to maintain product integrity many vaccines (particularly live vaccines) must be stored at cold temperatures ( $\leq 4^\circ\text{C}$ ). The maintenance of the vaccine at this temperature from production site to distribution site, and medical office or clinic, is referred to as the 'cold chain'. Maintaining the cold

**Figure 6.3 Genocea's T-cell antigen discovery technology.** Genocea's vaccine development programmes are built around a transformational platform for the rapid discovery of T-cell antigens. T-cell antigens, specifically antigens that stimulate CD4<sup>+</sup> and CD8<sup>+</sup> T cells, are critical to generating disease-specific cellular immune responses and long-term T-cell memory (Genocea website, 2011).



**Modifying vaccine formulations to increase tolerance to temperature fluctuations is likely to increase the shelf-life of the product and reduce transport and wastage issues.**

chain is much less of a challenge in resource-rich countries, but can be a major barrier to vaccine implementation in resource-limited areas. Ongoing research designed to increase our understanding of vaccine degradation may address the problems associated with cold chain management and lead to the development of thermostable vaccines.

## New approaches to antigen delivery

### ISSUES AFFECTING ANTIGEN PRESENTATION

The level of antigen presentation which occurs with some current vaccines may sometimes be insufficient to drive long-lasting immune responses of high quality (see *Chapter 3 – Vaccine antigens*). This may be due to inadequate exposure of the antigen to immature *antigen-presenting cells* (APCs) rapid or subimmunogenic degradation or sequestration of antigens, or lack of immunogenicity due to the physical presentation of the antigen. The discovery and

refinement of new and varied options for antigen presentation is expected to allow the design of vaccines to produce specific immune profiles. Some of these technologies have been shown to facilitate oral delivery to target mucosal immune responses and also trigger both *innate* and *adaptive immune systems*, including T- and B-cell *effector* and *memory responses*.

### VIRAL VECTOR VACCINES

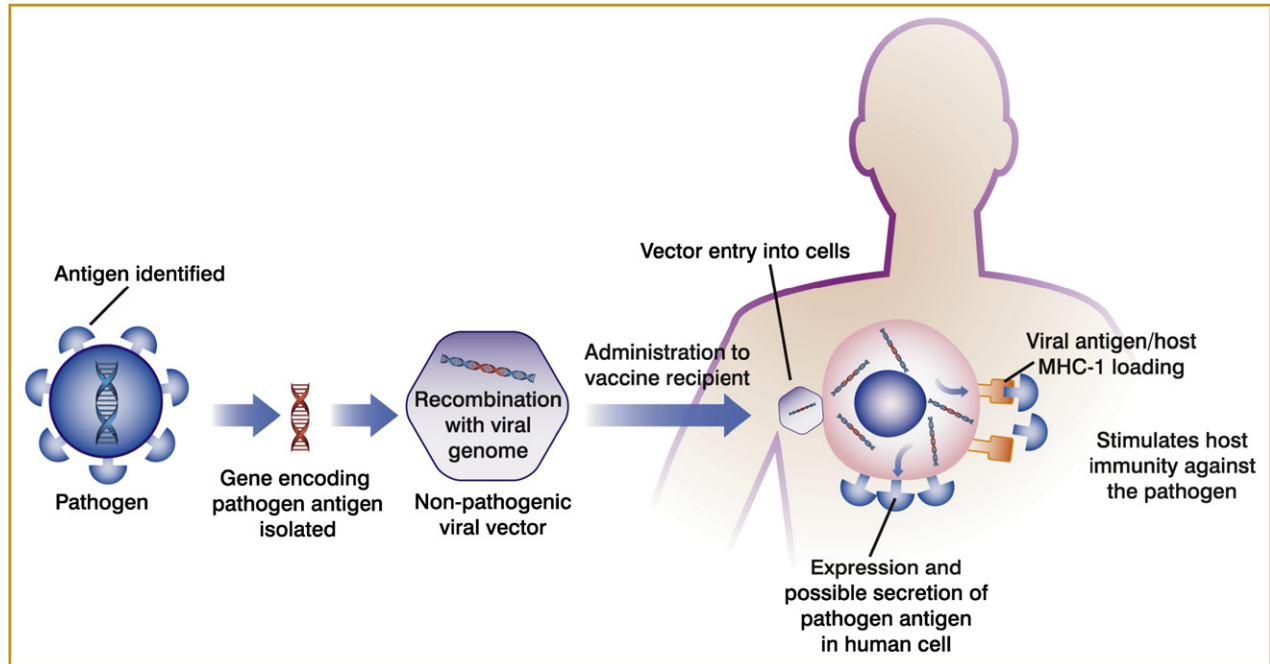
Candidate viral vector vaccines utilise a non-pathogenic virus to carry and subsequently induce expression of genes that produce immunogenic foreign proteins at high levels in the host. These are taken up by immature APCs, and have been shown to lead to a robust, long-lasting immune response to the target antigen (Figure 6.4). Viral vector vaccines, eg recombinant poxvirus vaccines, can be administered mucosally to stimulate mucosal immune responses. The *attenuated* modified vaccinia virus Ankara (rMVA) vectors are showing promise as mucosal delivery vectors.

Pre-existing immunity to the viral vaccine vector is an impediment to successful use of this approach. As ways to avoid anti-vector immunity, viruses can be attenuated or inactivated, by deleting or replacing pathogenic genes. Figure 6.4 demonstrates how viral vaccine vectors are made. DNA expressing an immunogenic transgene (the vaccine antigen) is inserted into the viral vector genome for expression following administration into the recipient; expression of the vaccine antigen can be boosted by using a variety of DNA *promoters*. If the viral vector is no longer able to grow and replicate, the virus is grown using a cell line (a so-called complementing cell line) that has been engineered to produce the missing viral product.

Often, viral genes are removed in an effort to reduce or eliminate the *pathogenicity* of the vector and in some cases viral genes are removed to make the vector itself less immunogenic; an anti-vector immune response would greatly reduce the ability of the vector to induce an antigen-specific response. Examples of viral vector candidate vaccines in clinical development are listed in Table 6.3.

**Figure 6.4 Viral vectors for vaccines.** Viral vector vaccines exploit the natural ability of viruses to infect or otherwise enter (in the case of disabled viral vectors) host cells, and then deliver pathogen-specific antigens. Antigen-encoding genes are isolated from the pathogen and inserted into the viral vector genome. The viral vector can then be used as a factory for production of large quantities of pathogen antigen *in vivo*, following introduction of the vector into the vaccine recipient, with the pathogen antigen then expressed on the surface of the infected/transduced host cells or exported out of the producer cell.

*MHC, major histocompatibility complex.*



### BACTERIAL VECTOR VACCINES

Non-pathogenic bacterial vectors have many features that make them an attractive vaccine platform. Bacterial vectors can be engineered for maximum safety (eg deletion of two or more genes from the same metabolic pathway), and to express large numbers of foreign antigens (Figure 6.5).

Two key issues affecting bacterial vaccine vectors are: a) to decide whether the optimal platform should be a bacterial vaccine in its

**TABLE 6.3. EXAMPLES OF VIRAL VECTOR CANDIDATE VACCINES IN VARIOUS STAGES OF CLINICAL DEVELOPMENT**

<b>Vector</b>	<b>Pathogen target</b>	<b>Manufacturer</b>
<b>Early-stage development (Phase I)</b>		
Adenovirus	HIV Ebola-Marburg HCV	Crucell
Adenovirus	Pandemic influenza	Paxvax
Adenovirus	Pandemic influenza	Vaxin
Attenuated influenza virus	Influenza HIV TB	Polymun Scientific
Human or bovine PIV3	PIV3/RSV	Medimmune AstraZeneca
Recombinant modified vaccinia Ankara	Measles	Bavarian Nordic
Replication incompetent adenovector	HSV	GenVec
Replication incompetent adenovector	HCV	Okairos
Vaccinia virus	HCV	Transgene
<b>Mid-stage development (Phase II)</b>		
Adenovirus	Malaria TB	Crucell
Adenovirus	HIV	Paxvax
Adeno-associated virus	HIV	Targeted Genetics
rMVA	Cancer	Oxford Biomedica
rMVA	TB	Emergent Biosolutions
rMVA	Smallpox Cancer HIV	Bavarian Nordic
Replication incompetent adenovector	HIV Malaria	GenVec
Replication incompetent adenovector	Malaria	Okairos
rMVA	Cancer HPV	Transgene/Novartis Transgene/Roche

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**TABLE 6.3. EXAMPLES OF VIRAL VECTOR CANDIDATE VACCINES IN VARIOUS STAGES OF CLINICAL DEVELOPMENT – CONTINUED**

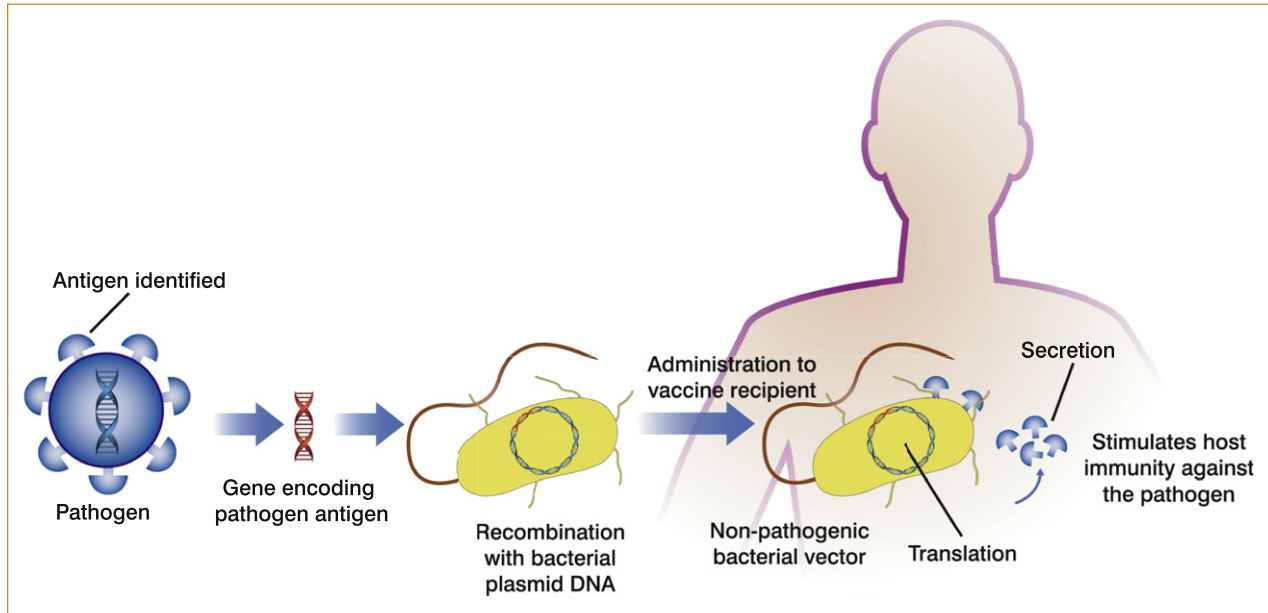
Vector	Pathogen target	Manufacturer
Vaccinia and fowlpox	Cancer	Bavarian Nordic
Yellow fever virus	Dengue West Nile	Sanofi Pasteur
<b>Late-stage development (Phase III)</b>		
rMVA	Cancer	Oxford Biomedica
Canarypox virus	HIV	Sanofi Pasteur

HIV, human immunodeficiency virus; HCV, hepatitis C virus; TB, tuberculosis; PIV3, human parainfluenza virus type 3; RSV, respiratory syncytial virus; HSV, herpes simplex virus; rMVA, modified vaccinia virus Ankara; HPV, human papillomavirus. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

own right or a bacterial vector system to deliver exogenous antigens; and b) to determine whether re-administration of the vector, either with the same or different target antigens, will fail because of the immune response to the bacterial vector vaccine at the time of its initial administration.

Initial assessments of the feasibility of using attenuated bacterial vectors for the delivery of foreign antigens have focused on *Salmonella* species. Bacterial vaccine vectors for humans, however, have been disappointing so far. It may be necessary to develop unique bacterial vaccine vectors for delivering exogenous antigens, in which case the vectors can be modified to allow for re-use. For example, if immunity against the vector, which is a major impediment to vaccine re-use, is determined by antibodies against the surface structures of the bacterium (such as *lipopolysaccharide [LPS]*), the dedicated vaccine vector could be developed to lack expression of LPS or to express truncated/different forms of LPS to the target, thereby avoiding priming of the immune response and allowing for re-use of the vector and/or vaccine. Some potential options for live, attenuated bacterial vectors are shown in [Table 6.4](#).

**Figure 6.5 Bacterial vectors for vaccines.** Antigen-encoding genes are isolated from the pathogen and inserted into endogenous bacterial *plasmid* DNA. The bacterial vector can then be used as a factory for production of large quantities of pathogen antigen *in vivo*, following administration of the bacterial vector to the vaccine recipient, with the pathogen antigen then displayed on the surface of the bacteria or secreted.



## DNA VACCINES

DNA vaccines are the result of the discovery in the early 1990s that the gene, rather than the encoded protein, if delivered in an 'expressible' form, could induce an immune response (see *Chapter 1 – Vaccine evolution*). The principle behind DNA vaccines is that the antigenic molecule is produced within the host from the DNA or RNA that is injected, in contrast to more traditional *vaccination* where the antigen is supplied in the vaccine formulation. The gene(s) for target antigen(s) is/are usually encoded in a circular *plasmid* expression vector under the control of *promoter sequences* that direct gene expression in mammalian cells, which is achieved after injection into mammals.

**TABLE 6.4. BACTERIAL VECTOR VACCINES IN CLINICAL DEVELOPMENT**

Vector	Pathogen target	Manufacturer/developer
<b>Early-stage development (Phase I)</b>		
<i>Salmonella typhi</i>	HIV <i>Helicobacter pylori</i> <i>Streptococcus pneumoniae</i>	Vaccine platform being developed under Gates Foundation support at Arizona State University
<i>Vibrio cholerae</i>	ETEC/cholera	Celldex
<i>Listeria monocytogenes</i>	HPV	Advaxis
<b>Mid-stage development (Phase II)</b>		
<i>Salmonella typhi</i>	Typhoid	Celldex
<i>Salmonella typhi</i>	HBV Typhoid	Emergent Biosolutions
<i>Vibrio cholerae</i>	Cholera	Celldex

HIV, human immunodeficiency virus; ETEC, enterotoxigenic *Escherichia coli*; HPV, human papillomavirus; HBV, hepatitis B virus. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

The DNA vaccine process can circumvent some of the major issues resulting from recombinant protein administration. The construction and production of the plasmids carrying the gene of interest together with the promoter sequences is relatively simple; antigens expressed from plasmids retain their native conformation, the gene can be readily modified to produce tailored antigens, and bacterial plasmid DNA is intrinsically immunogenic (subsequently shown to result from the *pathogen-associated molecular patterns* [PAMPs] it carries). Additional desirable features include the ability to engineer and deliver genetic adjuvants in tandem or parallel with the antigen, the potential to deliver multiple antigen genes in one construct or within other constructs that encode adjuvanting protein(s), and the ability to induce both cellular and humoral immune responses. Despite promising data in pre-clinical testing, DNA vaccine candidates have shown only limited success in clinical settings so far. One of the current drawbacks of DNA vaccines is the inefficiency of conventional delivery methods for the plasmid DNA; however,



emerging proprietary particle-mediated delivery technology or electroporation technology seeks to improve this situation. With the electroporation method, brief electrical pulses are applied at the site of immunisation which causes a transient disruption of cell membranes. This results in an enhancement in uptake of the DNA vaccine between 10–100-fold. Examples of DNA candidate vaccines in clinical development are presented in [Table 6.5](#).

### DENDRITIC CELL VACCINES

*Dendritic cell* (DC) vaccines typically use *monocytes* harvested from the blood (in most cases from the individual who will receive the vaccine) to produce immature DCs *in vitro*. The monocytes are antigen-loaded and treated to induce their maturation into APCs and infused back into the patient. The first Food and Drug Administration (FDA)-approved DC vaccine, designed for the treatment of prostate cancer, was licensed in 2010 (Sipuleucel-T); examples of other targets for DC vaccine therapy are presented in [Table 6.6](#). DC vaccines offer an individualised approach to *therapeutic vaccine* development, but represent a specialised method of vaccination that is currently limited to aggressive cancers, and the treatment of serious, intractable infections.

A comparison between the strengths and weaknesses of selected new vaccine platforms is presented in [Table 6.7](#).

### New approaches to vaccine administration

Developing administration techniques that place the vaccine directly at the site(s) where pathogens are most likely to initiate an infection (eg mucosal or respiratory sites) is likely to improve vaccine efficacy and safety.

Traditional methods of vaccine administration can potentially pose a number of limitations with respect to reactogenicity, immunogenicity, convenience, efficacy, safety and cost-effectiveness. Most vaccines are administered by intramuscular (IM) injection, while

**DC vaccines hold great promise for the treatment of cancer, HIV and other chronic infections. Utilising the patient's own DCs, this is truly an individualised biomedical intervention.**

**TABLE 6.5. DNA VACCINES IN CLINICAL DEVELOPMENT**

Target	Manufacturer
<b>Early-stage development (Phase I)</b>	
HIV Cancer	Ichor
HPV Cancer	Inovio
Influenza HBV	PowderMed/Pfizer Vaccines
Melanoma	Memorial Sloan-Kettering Cancer Center
Cancer Pandemic influenza SARS Ebola West Nile Anthrax	Vical
<b>Mid-stage development (Phase II)</b>	
HIV	FIT Biotech
HBV HIV	Genexine
Acute myelocytic leukaemia	Immunomic Therapeutics
Cancer HCV	Inovio
CMV HIV	Vical
<b>Late-stage development (Phase III)</b>	
Melanoma	Vical
<p>HIV, human immunodeficiency virus; HPV, human papillomavirus; HBV, hepatitis B virus; SARS, severe acute respiratory syndrome; HCV, hepatitis C virus; CMV, cytomegalovirus. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.</p>	

**TABLE 6.6. DC VACCINES AND CANDIDATE VACCINES LICENSED AND IN CLINICAL DEVELOPMENT**

Target	Stage of development	Manufacturer
Melanoma	Phase I (therapeutic)	Immutep
HIV	Phase I (therapeutic)	Baylor Research Institute
Melanoma	Phase II (therapeutic)	Immutep
Prostate cancer	FDA licensed 2010	Dendreon

HIV, human immunodeficiency virus; FDA, Food and Drug Administration. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

**TABLE 6.7. ADVANTAGES AND DISADVANTAGES OF VIRAL, BACTERIAL, DNA AND DC-BASED VACCINES**

Advantages	Disadvantages
<b>Viral vectors</b>	
Use pathways that induce robust and durable cellular and humoral immunity May be applied to the mucosal surface (facilitating oral delivery) Easily engineered	Potential for lack of attenuation of the vector Potential for over-attenuation with associated poor immunogenicity Risk of recombination resulting in restored virulence Efficacy decreased by pre-existing vector immunity Vector-specific responses may limit subsequent doses Potential for some vectors to establish persistent/latent infection
<b>Bacterial vectors</b>	
Directed delivery of target antigen to specific cells including macrophages Large antigen-carrying capacity Safety maximised by removing several genes Potential for mucosal immunity Oral delivery possible	Possible genomic instability at the site of insertion giving low antigen expression levels Expression of bacterial antigens may further reduce vaccine-specific immunogenicity Efficacy decreased by existing vector immunity Difficult to optimise engineering without conducting a number of clinical trials
<b>DNA vaccines</b>	
Defined composition Non-replicating platform capable of inducing T-cell immunity Potential application in development of therapeutic vaccines Construct may code for multiple epitopes and also inducers of innate immune responses	Poor immunogenicity in humans Concerns/issues regarding potential for construct to integrate with host genome

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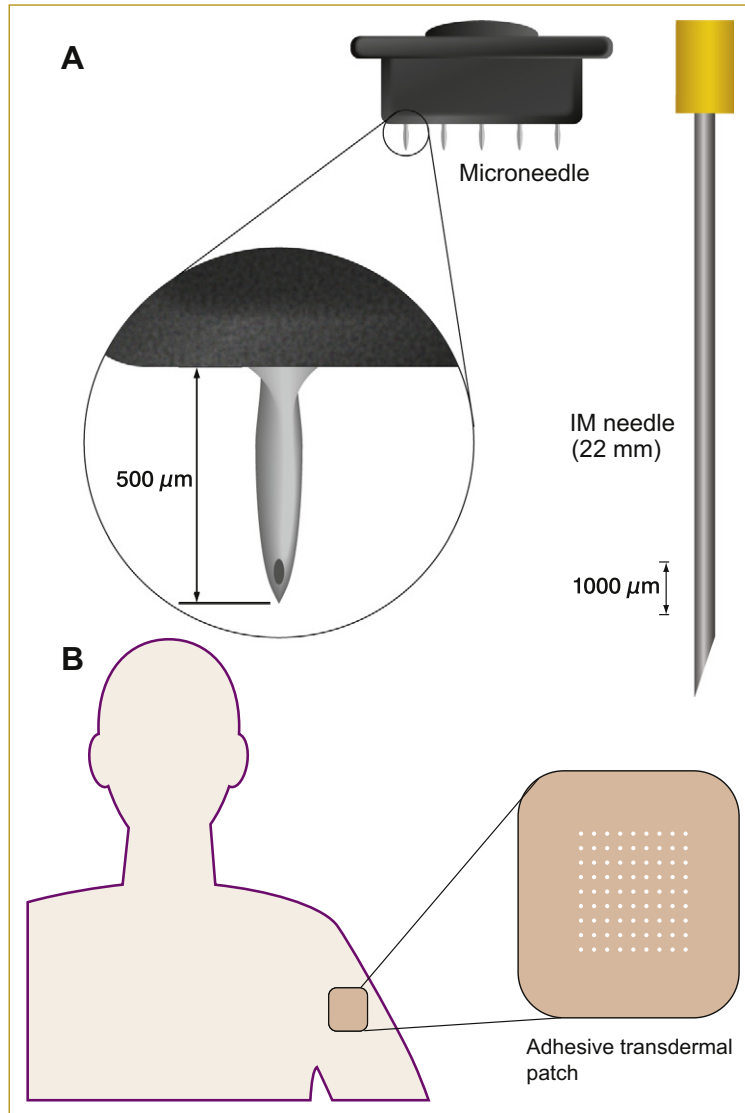
**TABLE 6.7. ADVANTAGES AND DISADVANTAGES OF VIRAL, BACTERIAL, DNA AND DC-BASED VACCINES**  
– CONTINUED

Advantages	Disadvantages
<b>DC vaccines</b>	
Individualised approach to therapeutic vaccine development Can induce potent T-cell responses Clinical responses can be achieved	Expensive (these vaccines typically use autologous DCs) with sophisticated logistics Mature DCs rapidly lose viability and function after injection Difficult to achieve clinically sufficient levels of circulating antigen-specific T cells
DC, dendritic cell.	

selected vaccines are delivered intranasally (eg via droplet for live attenuated influenza vaccine and via aerosol for measles vaccine); by the oral route (eg polio and rotavirus vaccines); or subcutaneously (particularly live viral vaccines such as the measles-mumps-rubella [MMR] vaccine and varicella zoster-containing vaccines). Ongoing research on alternative experimental administration strategies includes ballistic delivery to skin (the gene gun), the transdermal patch and other intradermal methods, plus sublingual, aerosol, rectal and vaginal mucosal vaccines. The main advantages of alternative delivery strategies are the potential to induce immune responses at the common portals of pathogen entry (eg oral polio vaccine replicating in the gut), potential convenience (eg ease of use of the transdermal patch), potential combination of vaccines to reduce or simplify the vaccination schedule, and reduction or elimination of administration via standard hypodermic needle injection. Despite the intuitive value of these approaches, few vaccines today are administered via non-IM routes. This is for several reasons including feasibility, lack of proven efficacy and limited safety data. Some problems have been observed with new routes of delivery, for example, after the 2000 launch of an *inactivated* intranasal influenza vaccine (a *virosome* formulation adjuvanted by heat labile enterotoxoid of *Escherichia coli*), post-licensure data indicated a significantly increased risk of Bell's palsy in vaccinees and forced its withdrawal from the market. This experience led to a higher level of caution in the development of intranasal vaccines.

**Transdermal microneedle patch vaccine administration utilises an array of microneedles (Figure 6.6) to deliver the vaccine to the epidermis, which is rich in innate and adaptive immune response elements.**

**Figure 6.6 Microneedles.** An alternative to IM injection for vaccine administration is the use of a transdermal patch containing an array of microneedles, typically <1 mm long; much shorter and less intrusive than the needles typically used for IM injections (20–30 mm in length) (A). Microneedles pierce the epidermis of the skin, a site rich in innate and adaptive immune response elements, and deliver the vaccine antigen painlessly. Adhesive, needle-free transdermal patches are also in development (B).  
*IM, intramuscular.*



**Aerosol delivery: ‘Mass immunization of almost all susceptible children in a short period of time, has the potential of rapidly eliminating measles as a public health problem. Immunization by inhalation of aerosolised measles vaccine provides a procedure that could make such a mass programme possible, especially in parts of the world where measles continues to be a serious problem...’ (Sabin et al., 1983). Administering the measles vaccine as an aerosol, either as nebulised vaccine or as a dry powder, provides a promising alternative to subcutaneous administration, particularly in countries with concerns over inadequately safe injection practices. Numerous clinical trials with aerosolised measles vaccine have been performed in populations of various ages and appear to be equally or more immunogenic than subcutaneous vaccination in adults and children over 9 months old (data from younger children are inconclusive, possibly because of administration difficulties). Measles vaccine is not currently licensed for respiratory administration, though this route is being comprehensively studied by the World Health Organization’s (WHO) Measles Aerosol Project and a Phase II/III study is underway in India to confirm that the efficacy of inhaled measles vaccine is equivalent to that of existing routes of administration. In parallel, various delivery devices are in development.**

## **New vaccines for complex and challenging targets**

In the future, new vaccines will target not only important established infectious diseases (eg malaria, TB, HIV, Lassa fever, severe acute respiratory syndrome [SARS]), but also emerging or yet to be discovered infectious diseases. New vaccines may also target diseases that result in illnesses manifesting as autoimmune disease (eg diabetes mellitus, multiple sclerosis) or chronic inflammation. New vaccines are likely to address the problem of immunosenescence in the elderly; and therapeutic vaccines may offer new treatments for the control of persistent infectious diseases, cancer and illnesses such as Alzheimer’s disease.

### **VACCINES ADDRESSING PERSISTENT INFECTION AND MALIGNANCY**

Persistent infections include both chronic infections, characterised by ongoing replication of the pathogen (eg chronic hepatitis B virus [HBV] and hepatitis C virus [HCV] infections, malaria, *Helicobacter pylori* infections); and latent infections, where the pathogen, after the first infection, remains dormant in the host until triggered to reactivate (eg recurrent herpes simplex virus [HSV] infection, herpes zoster, reactivation TB). Since the natural immune responses in persistently infected hosts fail to clear the infection, mimicking the immune response to natural infection with immunisation may not be sufficient.

Today, the only example of a licensed vaccine against a latent infection is the zoster vaccine; the vaccine formulation is the high potency (about 15-fold) version of the live, attenuated varicella zoster virus (VZV) vaccine. This vaccine has been used to boost the anti-VZV cell-mediated immune response in older subjects and has been shown to reduce the overall incidence of zoster by 50% in subjects aged 60 years or older (Oxman et al., 2005).

Future vaccines may control persistent infections either by preventing the initial infection or disease (ie *prophylactic vaccines*)

or by augmenting or redirecting immune responses in the persistently infected host in order to eliminate or control the chronic infection (ie therapeutic vaccines).

An increased understanding of human immunology and of host–pathogen interactions should enable the identification of the type(s) of immunity required to effectively prevent or control persistent infections (see *Chapter 2 – Vaccine immunology*). Some examples of persistent infections are shown in [Table 6.8](#).

### **Tuberculosis**

*Mycobacterium tuberculosis* can persist in a latent state within the human host for years without causing disease (latent TB). Protection against miliary (disseminated) TB in children is provided by the bacille Calmette–Guérin (BCG) vaccine, developed through culture attenuation of *Mycobacterium bovis* early in the 20th century, which is routinely given in many countries. The vaccine, however, provides only modest and often temporary protection against pulmonary TB, and provides lower efficacy in resource-limited regions closer to the equator. In addition, vaccination with live, attenuated *Mycobacterium bovis* is a particular concern in HIV-positive individuals, especially those with advanced immune suppression; this population would particularly benefit from TB vaccination as TB is a leading cause of death worldwide for people with HIV/acquired immunodeficiency syndrome (AIDS). However, a recent Phase III trial demonstrated that protection against TB can be provided to individuals with HIV by using an inactivated whole-cell mycobacterial vaccine (von Reyn et al., 2010). The current state of TB vaccine development has been summarised in reviews by Walker et al. (2010) and Lambert et al. (2009) and examples of vaccines in development are shown in [Table 6.9](#).

### **Cytomegalovirus**

Cytomegalovirus, a herpes virus, establishes latent infection in cells in the bone marrow and peripheral blood. Primary infection during pregnancy is associated with congenital infection that

**The issue of whether a vaccine protects against infection or disease is critical with regard to pathogens capable of establishing persistent infection. While a vaccine that protects against disease may afford some benefit, if the vaccine fails to prevent initial infection, the pathogen may establish a persistent infection with long-term disease consequences, such as recurrent infection, organ damage or malignancy.**

**Therapeutic vaccines are designed to stimulate an immune response that can control or cure persistent infections, malignancies, autoimmune diseases, degenerative diseases or addiction. This approach may enhance existing responses, engender new responses, or alter the existing balance of immune responses. It is important to make the distinction between this immunotherapeutic application of vaccine technology and prophylactic vaccines that prevent infection/disease from occurring, although similar immune mechanisms are involved – see *Prophylactic and therapeutic vaccines for cancer*.**

**TABLE 6.8. PATHOGENS CAPABLE OF ESTABLISHING PERSISTENT INFECTIONS**

<b>Pathogen</b>	<b>Type of persistent infection</b>	<b>Disease</b>
Hepatitis viruses B, C, D and E (in immunocompromised patients)	Chronic	Chronic hepatitis, cirrhosis, malignancy
HIV	Chronic	HIV/AIDS
Papovaviruses	Chronic	Progressive multifocal leukoencephalopathy
Prions	Chronic	Spongiform encephalopathies
<i>Helicobacter pylori</i>	Chronic	Chronic gastritis, gastric and duodenal ulcers, and gastric and duodenal cancer
HPV	Chronic	Cervical cancer, other genital and oral cancers
HSV	Latent	Recurrent HSV infections, HSV encephalitis
VZV	Latent	Zoster
EBV	Latent	Reactivation diseases including glandular fever and nasopharyngeal carcinoma
<i>Mycobacterium tuberculosis</i>	Latent	Reactivation TB
CMV	Latent	Severe multisystem reactivation disease in immunocompromised patients

HIV, human immunodeficiency virus; AIDS, acquired immunodeficiency syndrome; HPV, human papillomavirus; HSV, herpes simplex virus; VZV, varicella zoster virus; EBV, Epstein–Barr virus; TB, tuberculosis; CMV, cytomegalovirus. Every effort has been made to verify the information in the above table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

frequently causes a well-characterised spectrum of abnormalities and disabilities, which may be severe or fatal. Reactivation in pregnancy is common, but is unlikely to cause severe congenital infection, although some manifestations, especially hearing loss, remain common. Reactivation of CMV is of special concern in immunocompromised individuals, where severe and fatal pulmonary, hepatic and central nervous system infections are common. Gastrointestinal disease and retinitis are common in association with HIV. A successful CMV vaccine has proved elusive for more than 30 years. Based upon the observation that antibodies to the CMV envelope glycoprotein B (gB) could



**TABLE 6.9. EXAMPLES OF MYCOBACTERIUM TUBERCULOSIS VACCINES IN DEVELOPMENT**

Approach	Vaccine name	Comment	Manufacturer
<b>Early-stage development (Phase I)</b>			
TLR9 agonist, fusion protein H4	SSI HyVac4 (AERAS-404)	Adjuvanted with <i>IC31</i> <sup>TM</sup>	Statens Serum Institut, Sanofi Pasteur, Intercell and Aeras
<b>Mid-stage development (Phase II)</b>			
Fusion protein	GSK M72	Adjuvanted with AS01	GSK Biologicals
Adenovirus vector 35	Crucell Ad35 (AERAS-402)	Viral vector	Crucell
Modified vaccinia Ankara virus	MVA85A (AERAS-485)	Viral vector	The Oxford-Emergent TB Consortium Ltd
TLR, Toll-like receptor; SSI, Statens Serum Institut; AERAS, The Aeras Global TB Vaccine Foundation; GSK, GlaxoSmithKline; AS, Adjuvant Systems; MVA, modified vaccinia Ankara. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.			

neutralise the virus, and with the advent of genetically engineered viral proteins, new research began in the late 1980s on a CMV gB subunit vaccine. This included the use of a novel adjuvant, *MF59*<sup>TM</sup> (Pass et al., 1999). A recent Phase II clinical trial in CMV-seronegative women  $\leq 1$  year post-partum has shown the potential of gB/MF59 in decreasing incident cases of maternal and congenital CMV infection (Pass et al., 2009). This is the first evidence that a CMV vaccine can protect against infection.

An alternative approach to the development of a CMV vaccine has been to utilise DNA vaccination to induce host responses to CMV gB and phosphoprotein 65 (pp65 is another viral target). Recent studies have shown that injection of combinations of plasmids, formulated with an adjuvant, can induce vaccine-specific immune responses, and can prime for effective memory responses.

### Herpes simplex virus

The hallmark of herpes simplex virus types 1 and 2 (HSV-1 and HSV-2) is their ability to establish and maintain latent infection in

sensory ganglion neurons. Periodic reactivation of the latent infection results in recurrent infections. Both HSV-1 and HSV-2 can cause myriad diseases but the greatest public health problem is genital herpes. Genital HSV-2 infection increases the risk of HIV acquisition and transmission, and control of genital herpes has been predicted to significantly impact the HIV epidemic. Given the complex natural history of HSV infections, vaccines could have a variety of possible risks and benefits (Table 6.10).

An effective HSV vaccine has been sought for more than 80 years. Recently, an HSV-2 glycoprotein D (gD2) candidate vaccine containing the AS04 adjuvant (see *Chapter 4 – Vaccine adjuvants*), was tested in three large, double-blind, Phase III controlled trials. The first two studies recruited volunteers with a partner with genital herpes disease and found the candidate vaccine was 73% effective against genital herpes disease in women seronegative for both HSV-1 and HSV-2 (Stanberry et al., 2002). Trends towards protection against infection were also observed, but were not statistically significant. The candidate vaccine was not effective in HSV-1 seropositive women; or in

**TABLE 6.10. POSSIBLE EFFECTS AND CONSEQUENCES OF GENITAL HSV VACCINES**

<b>Effect</b>	<b>Positive consequences</b>	<b>Negative consequences</b>
Prevent mucosal infection	No clinical disease No latency No recurrences	None
Prevent development of clinical disease but not mucosal infection	No symptomatic disease	Latency established Recurrent infections can develop with possible transmission to susceptible partners
Prevent latent infection	No latency and no recurrences	Without preventing mucosal infection there could be an initial episode of symptomatic genital herpes
Reduce latent infection	Possibly fewer recurrences or less severe outbreaks, and less risk of transmission	Still a risk of initial and recurrent infections and hence transmission

HSV, herpes simplex virus.

men, regardless of their HSV seropositivity status. These were the first studies to report a significant difference in vaccine efficacy between men and women. This finding could have important implications for other vaccines targeting sexually transmitted diseases. The basis for this difference could relate to differences in how men and women respond to novel adjuvants or may reflect differences in the acquisition and natural history of genital herpes in men and women. A third Phase III efficacy trial of the gD2 candidate vaccine in HSV-1 and HSV-2 negative women who thought themselves possibly at risk of acquiring genital herpes (a different risk population than in the original two trials) has been completed and is being analysed. An initial assessment of the results of the third trial showed that the vaccine had an acceptable safety profile but the primary trial endpoint, prevention of genital herpes disease, was not met (NIAID, 2010). Although the development of the vaccine has been stopped, further analyses and comparison of the trials may guide researchers as they continue seeking vaccines to control HSV infections.

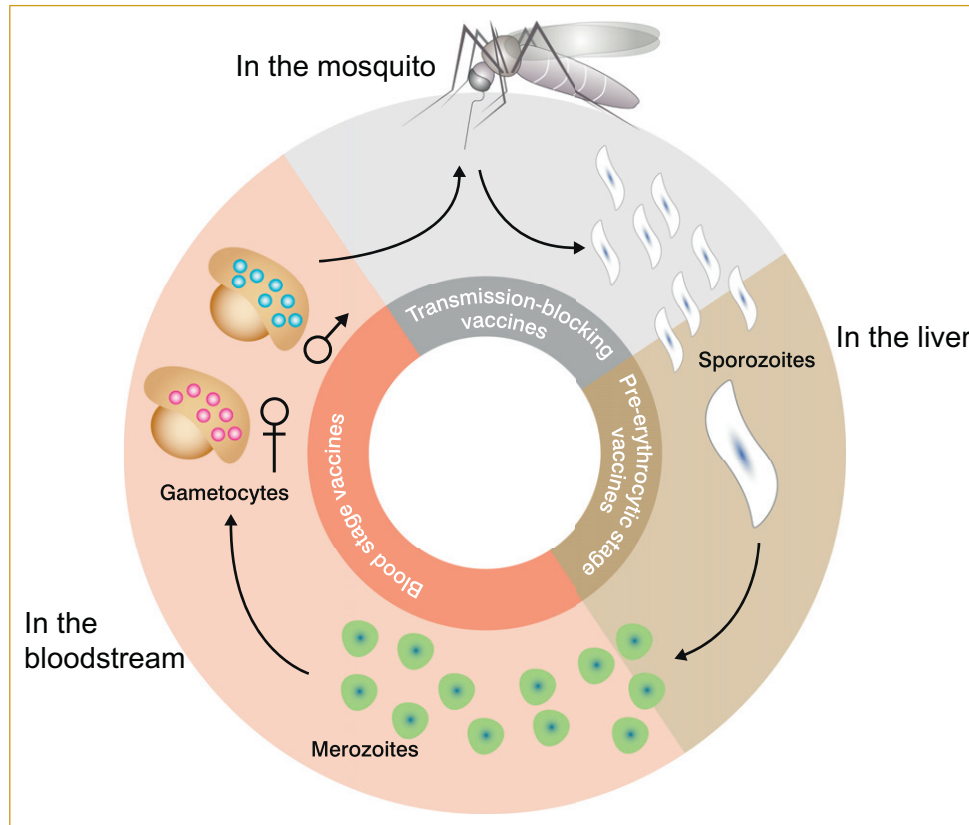
### VACCINES FOR PATHOGENS WITH A COMPLEX LIFE CYCLE

As discussed in *Chapter 2 – Vaccine immunology*, some pathogens have complex life cycles. One specific example is *parasites*, sometimes using more than a single host, where each development phase is marked by differential expression of major proteins, meaning that possible antigen targets are host- and development-phase specific. *Taenid* worms aside, vaccines against parasites have been extremely difficult to develop and only a limited number have performed well in later-stage clinical trials.

#### **Plasmodium falciparum**

The protozoan parasite *Plasmodium falciparum*, the most common cause of malaria, has a complex life cycle, as shown in [Figure 6.7](#). The *Plasmodium* parasite has a genome encoding more than 5000 proteins, and presents different allelic and immunogenic

**Figure 6.7** The life cycle of *Plasmodium falciparum* with stages identified for vaccine development. The parasite responsible for malaria is delivered as sporozoites to the host during a blood feed by a carrier *Anopheles spp* mosquito. Sporozoites travel to the liver, and develop into merozoites. Infected hepatocytes rupture, releasing merozoites into the bloodstream. The infection becomes symptomatic as increasing numbers of red blood cells are invaded by merozoites. The merozoites can also develop into male and female gametocytes, lysing the blood cells upon maturation. A blood feed by another mosquito allows uptake of the gametocytes. Sexual reproduction within the mosquito generates oocysts that eventually rupture and release sporozoites that subsequently migrate to the mosquito's salivary gland to restart the life cycle when the mosquito feeds. Candidate vaccines have been designed to target the pre-erythrocytic, blood and transmission stages of the life cycle.



structures at each stage of the life cycle. Many of the key antigens are subject to antigenic variation. The complexity of the *Plasmodia* has made the development of an effective malaria vaccine extremely challenging. Over the past 30 years there have been more

than 90 candidate vaccines that have not reached advanced stages of development.

A number of new malaria candidate vaccines that utilise adjuvants or viral vectors are presently in clinical trials (see *Appendices, Supplementary Table 7*). One of the furthest advanced of these new candidate vaccines is RTS,S/AS01. The vaccine targets the pre-erythrocytic stage of the parasite (*Figure 6.7*). To be protective, a vaccine targeted at this phase needs to induce *humoral immunity*, to prevent parasites from invading the liver, and cell-mediated immunity to destroy hepatocytes that become infected in the face of the humoral immune response. The RTS,S antigen, produced in *Saccharomyces cerevisiae*, contains sequences of the *P. falciparum* circumsporozoite protein, linked to the hepatitis B surface antigen (HBsAg). This chimeric protein spontaneously assembles into mixed polymeric particulate structures. In Phase II studies, the RTS,S/AS01 candidate vaccine induced a strong neutralising *antibody* response and cell-mediated immunity, and afforded protection against malaria (Bejon et al., 2008; Abdulla et al., 2008). RTS,S/AS01 has been selected to proceed to Phase III clinical testing due to its higher efficacy compared with alternative formulations. If successful, the RTS,S/AS01 candidate vaccine could be the first licensed human vaccine against a parasite. Other malaria candidate vaccines in development are shown in *Appendices, Supplementary Table 7*.

### **VACCINES AGAINST PATHOGENS THAT EXHIBIT ANTIGENIC VARIABILITY**

Pathogens may mutate or recombine to change their antigenic profile. Antigenic drift refers to a gradual process whereby point mutations in genes encoding antigenic proteins change the antigen sufficiently so that over time previously effective antibodies and vaccines no longer effectively control the pathogen and hence new vaccines need to be created. Antigenic shift is a more dramatic event where there is a recombination of genes between different pathogen strains that gives rise to a new strain with a unique antigenic profile.

In theory, pathogens are susceptible to selective pressure and an immunological environment that provides strong selective pressures should provide the 'bottleneck' that drives selection. This occurs with influenza viruses, where the high mutation frequency allows for the selection of mutants that are not neutralised. The risk of vaccine-mediated immune selection of pathogens, though certainly present, is difficult to demonstrate. Moreover, peptide vaccines only use the antigenic epitope so the risk of pathogen evolution is theoretically increased. However, this phenomenon has not been regularly observed in experimental studies and may reflect the complex nature of most vaccine antigens and the presence of immune responses against multiple antigens and multiple epitopes within antigens.

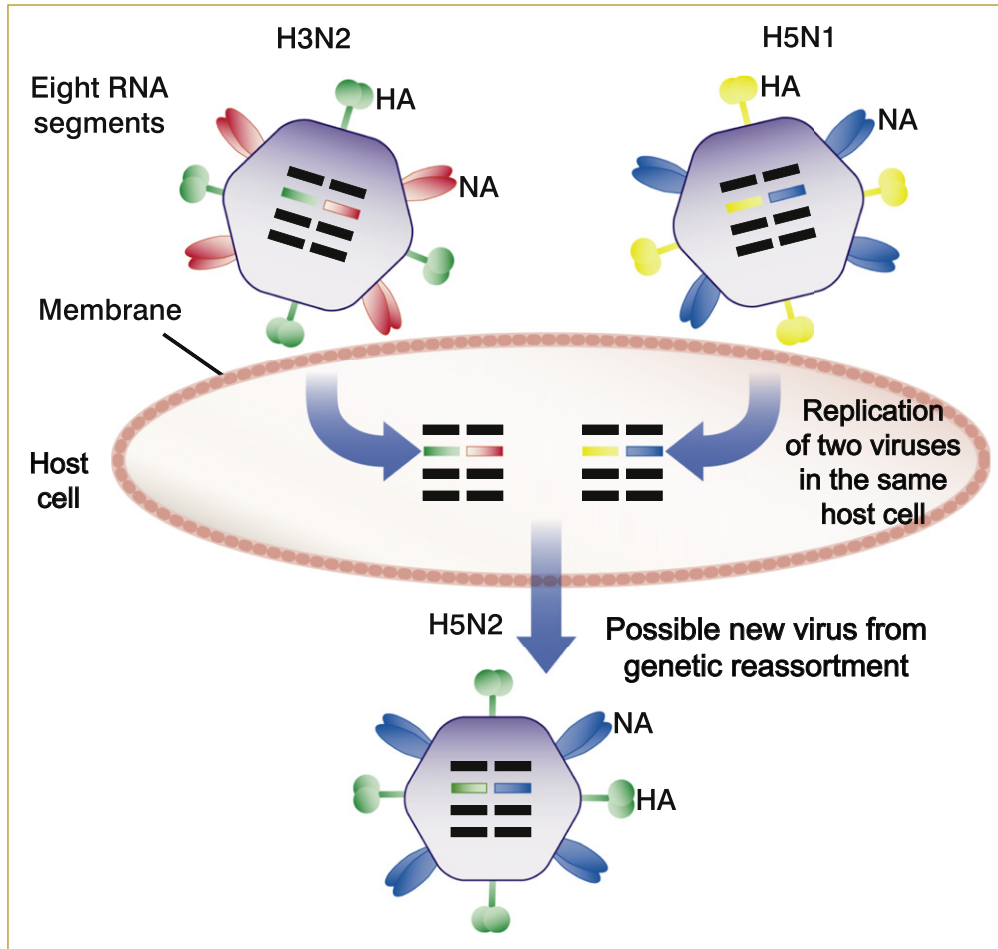
Serotype replacement, where the distribution of specific microbial serotypes within communities changes after the introduction of vaccines, has occurred for some bacterial pathogens and may be a consequence of the use of capsular vaccines that address only a limited number of serotypes.

Similarly, since their introduction in the 1940s, the use of antibiotics has exerted a selective pressure on bacterial strains leading to selection for common resistance alleles (eg the extended-spectrum beta-lactamase [ESBL] resistance of enteric bacteria and beta-lactamase resistance in gonococci). To date, there has been no requirement to remodel a vaccine because of vaccine-mediated immune escape; however, new vaccines against the pneumococcus have been licensed, including additional capsular types, to expand the geographical coverage of most frequent types and, in part, to counter the observed phenomenon of serotype replacement. Annual seasonal influenza infections are subject to natural antigenic drift which requires the reformulation of the vaccine when drifts occur, but there is no evidence that the deployment of the vaccine accelerates this drift.

Antigenic shift, while not the result of selective pressure, gives rise to viral strains containing a mixture of the surface antigens from the parent strains. Pathogens that can undergo antigenic shift,

**Figure 6.8 Antigenic shift resulting in a new influenza virus.** When two (or more) influenza viruses infect the same host cell, genetic segments from both strains can become packaged into the same progeny virion. This results in a new virus strain that displays a distinct and varying array of surface antigens, relative to the parent strains. The shifted strains are all possible candidates for a pandemic infection due to their new features to which most of the population is naïve.

*HA, haemagglutinin; NA, neuraminidase.*



including influenza viruses (Figure 6.8), present major challenges for vaccine developers.

Nevertheless, as described in *Chapter 3 – Vaccine antigens* and *Chapter 4 – Vaccine adjuvants*, there has been progress in the

**TABLE 6.11. RESEARCH TO DEVELOP NEW INFLUENZA VACCINES CONTINUES**

Research area	Research goal
Alternative methods for antigen delivery	Virus-like particle vaccines Recombinant vector vaccines
Use of adjuvants	Enhance immunogenicity and reduce dose Overcome immunosenescence
Antigen presentation, concentration and use of conserved sequences	Re-explore the development of DNA vaccines Utilise conserved viral antigens in the development of a universal vaccine

development of influenza vaccines that target strains against which the vaccinee has limited or no pre-existing immunity, arising as the result of antigenic drift and shift (Table 6.11).

Another approach to the problem of influenza genome shifts has been to target weakly immunogenic conserved antigens such as the influenza M2e protein. One approach to addressing the weak immunogenicity of the antigen has been to link it to a potent *Toll-like receptor* adjuvant such as flagellin, an approach developed by VaxInnate Inc.

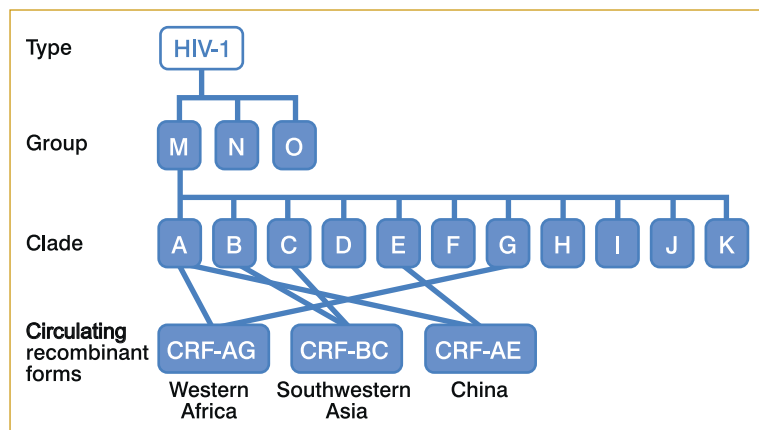
**A Phase III clinical trial combining Global Solutions for Infectious Diseases' AIDSVAX™ with Sanofi Pasteur's recombinant canarypox vector vaccine (ALVAC™) expressing CRF-AE gp120 and subtype B Gag, Pol and Nef antigens (surface glycoproteins, replication enzymes and non-structural accessory proteins, respectively) was modestly successful with an efficacy of 31.2% (95% confidence interval, 1.1–52.1; p=0.04) against HIV infection in 16,000 Thai volunteers. The vaccine purposely targeted HIV-1 strains specific to Thailand (Rerks-Ngarm et al., 2009). This trial was the first to show a degree of efficacy for an HIV candidate vaccine.**

### Human immunodeficiency virus

During primary infection of a single individual with HIV, mutations in surface proteins of the virus lead to selection of a 'cloud' of antigenic variants that can evade the cell-mediated immune responses complicating the development of broadly effective vaccines. This propensity for mutation has given rise to many strains of HIV (Figure 6.9). Two types of HIV, HIV-1 and HIV-2, have been identified, with HIV-1 being the most common. On a global scale, HIV-1 strains are differentiated according to their respective group and subtypes (or 'clades') within groups. The amino acid sequence of the viral envelope glycoprotein gp120 shows 25–35% divergence between clades and up to 20% divergence within any given clade, which constitutes a formidable hurdle to vaccine development. This is made worse by recombination between clades of HIV-1, which has produced circulating recombinant forms (CRFs) which differ in antigenicity depending on the geographical region.



**Figure 6.9 Division of HIV-1 subtypes.** Mutations of HIV have given rise to many clades and region-specific combinations of clades, known as CRFs, of which some examples are shown. The genetic variation between HIV clades and CRFs has challenging implications for the development of HIV vaccines; future HIV vaccines may need to be clade- or region-specific, or cross-protective for all clades, groups and types.



*HIV, human immunodeficiency virus; CRFs, circulating recombinant forms.*

Since the initiation of HIV vaccine programmes, more than 30 candidate vaccines have been tested in over 80 Phase I/II clinical trials involving more than 10,000 healthy human volunteers. Regrettably, all attempts to date have failed to yield a licensed HIV vaccine.

Questions remain concerning the immune mechanisms behind vaccines that achieve partial protection. Regardless of the unknowns, the ability to prevent infection in at least some individuals still offers real hope that a globally effective HIV vaccine might be possible. Current research is comparing the immune responses of subjects who are naturally protected against HIV with those who were infected, seeking to find the elusive immunological mechanisms of protection to help guide the design of future T-cell vaccines against the virus.

### Group A *Streptococcus*

Infections of group A streptococcal serotypes (ie *Streptococcus pyogenes*) account for approximately 85% of cases of

### Development of HIV vaccines: the toughest task?

Development of a vaccine for HIV/AIDS is exceptionally difficult due to HIV targeting of key immune cells during infection; the marked variability in HIV strain sequence and antigenicity between and within individuals; the lack of understanding of successful immune control; and the failure to reproduce in humans the results of successful vaccine trials in monkey (simian immunodeficiency virus [SIV]) models. Recent human trials aimed at inducing CD8<sup>+</sup> lymphocyte cytotoxicity (the key immune effector in SIV trials) have failed, probably because the induced repertoire lacks sufficient potency and breadth of specificity. Vaccine development has been spurred however, by the ability of human 'elite controllers' and old world monkeys to control viral load and/or disease; by the recent demonstration that broad neutralising antibody to HIV can be induced in humans; and by the 2009 'Thai vaccine trial' which demonstrated, for the first time, limited efficacy of an HIV vaccine.

## Targets of HIV/AIDS vaccine candidates

**Current vaccine candidates are aimed at inducing multiple types of immune effectors with a single vaccine. These effectors include CD4<sup>+</sup> and CD8<sup>+</sup> T-cell lymphocytes of increased potency and breadth, and broad neutralising and perhaps non-neutralising antibody, to handle the many circulating HIV strains. The 2009 'Thai vaccine trial' suggested a need to examine the role of non-neutralising antibody and the possibility of preventing HIV acquisition, not just progressive immunodeficiency. A better understanding of the multiple subsets of CD4<sup>+</sup> lymphocytes in HIV infection and the role of DCs as initial targets for infection are at the forefront of these new efforts. New hybrid viral vectors, synthetic antigens (developed with the aid of three-dimensional modelling), novel adjuvants that manipulate the immune system to induce desirable responses and more useful animal models are also being developed and tested.**

**Development of vaccines that induce broad neutralising antibodies to highly variable viruses, such as HIV and influenza, has proved to be extremely difficult. However, screening HIV-infected individuals for such antibodies has allowed the identification of previously undiscovered viral epitopes which can be incorporated into structure-based vaccine design.**

uncomplicated bacterial pharyngitis and streptococcal invasive infections in North America. The M protein of group A streptococci is a major *virulence determinant* of these organisms and also functions as a major target for protective antibodies. One of several strategies for vaccine prevention of these infections is based on type-specific M protein epitopes. However, group A streptococcal vaccine development faces many obstacles: i) the widespread diversity of circulating M protein types; ii) immunological cross-reactivity between epitopes in the M protein and several human tissues introducing an autoimmune risk; and iii) animal models are of limited value because humans are the only hosts for group A streptococci. In an attempt to partially overcome some of these obstacles, a design strategy akin to that of the pneumococcal polysaccharide vaccines has been employed to generate a group A streptococci *multivalent* M protein-based vaccine containing type-specific determinants from 26 different M serotypes. This *multivalent* vaccine is currently in clinical development.

## The 'prime-boost' approach

The term 'prime boost' (or heterologous boosting) describes an approach to vaccination where one type of vaccine, such as a live-vector vaccine, is administered followed by a second type of vaccine, such as a recombinant subunit vaccine. This is in contrast with the traditional method of homologous boosting in which two or more doses of the same vaccine are given successively. The intent of prime-boost vaccination is to induce different types of immune responses and enhance the overall immune response, a result that may not occur if only one type of vaccine were to be given for all doses. This approach has been employed in trials with, for example, TB, CMV, malaria and HIV candidate vaccines. For example, in studies on new TB vaccines, subjects already primed with the live, attenuated BCG vaccine have been boosted with a subunit adjuvanted vaccine (see *Tuberculosis*).

## UNDESIRABLE IMMUNE RESPONSES ELICITED BY VACCINES

### Respiratory syncytial virus

Respiratory syncytial virus is a common cause of bronchiolitis and pneumonia in infants, and exacerbations of chronic obstructive pulmonary disease in the elderly. The development of an effective vaccine has been challenging; *natural immunity* to RSV infection is incomplete and re-infections occur in all age groups. Moreover, the primary target population for vaccination is newborns and young infants, and they are a challenging population as they have relatively immature immune systems and the presence of maternal antibodies may interfere with vaccination of the young infant (see *Chapter 2 – Vaccine immunology*). The initial efforts to develop a formalin-inactivated cell culture-derived RSV vaccine resulted in an unanticipated enhancement of natural RSV disease in some of the RSV-naïve infants who received the vaccine in a clinical trial and subsequently were exposed to RSV. The exacerbated disease is thought to be due to an exaggerated *T helper type 2 cell* immune response (see *Chapter 2 – Vaccine immunology*). Safety concerns regarding the potential of vaccines to trigger or prime for immunopathological responses has resulted in a cautious approach to the development of RSV vaccines. The vaccine candidates most advanced in clinical development use two different approaches – one uses a live, attenuated virus with a gene deletion deliberately targeted to minimise immunopathological responses. The other approach uses a live viral vector to deliver only a key RSV surface antigen, thereby avoiding the risk of an immunopathological response arising from exposure to the RSV virus itself.

## VACCINES FOR NEGLECTED TROPICAL AND NON-TROPICAL DISEASES

Infectious illnesses exert a major burden of disease in developing countries. The greatest burden is caused by diseases for which we currently have no vaccines, eg taeniid cestode parasites are associated with high human morbidity and losses in livestock.

### Human Hookworm Vaccine Initiative featured in Case Studies for Global Health

**The Human Hookworm Vaccine Initiative (HHVI), an international product development partnership based at the Sabin Vaccine Institute, was established in 2000 to develop the world's first ever safe, affordable, multivalent recombinant vaccine against human hookworm infection. Such a vaccine could impact an estimated 3.2 billion at risk individuals. Sabin Vaccine's HHVI is one of 32 projects chosen for inclusion in Case Studies for Global Health released on 20 November 2009 by the Alliance for Case Studies for Global Health. Other diseases include HIV, TB and malaria, and lesser-known diseases such as dengue fever and Japanese encephalitis. The Alliance is a collaboration of The Bill & Melinda Gates Foundation, the World Health Organization's Special Programme for Research and Training in Tropical Diseases (TDR), Global Health Progress (GHP), the International AIDS Vaccine Initiative (IAVI) and the Association of University Technology Managers (AUTM).**

Global efforts to reduce these infections in humans are ongoing through the use of antihelminthics and the implementation of lifestyle changes, but this is having little effect. However, substantial progress has been made towards developing veterinary vaccines which encourages investigation of the potential use of similar vaccines in humans to prevent, for example, hydatid disease (arising from infection with *Echinococcus granulosus*) and cysticercosis (from infection with *Taenia solium*).

Relative to their burden on society, such diseases have a low priority for funding. Unless comprehensive measures are taken to address the gaps in funding, research and global immunisation coverage, developing countries will continue to be overwhelmed by some of the most devastating diseases. In order to improve the situation, collaborative schemes are underway that bring together academic institutions, industry and public/charitable financing organisations. Recent initiatives include the Novartis Vaccines Institute for Global Health, the MSD–Wellcome Trust Hilleman Laboratories and the Alliance for Case Studies for Global Health.

### VACCINES FOR NOVEL AND EMERGING PATHOGENS

It is estimated that 99% of *microbes* are yet to be discovered. Using nucleic acid sequencing strategies, Ian Lipkin has discovered close to 200 new viruses including the LuJo virus, a new arenavirus that has caused several fatal cases of haemorrhagic fever in Zambia and South Africa. Behavioural and environmental changes may facilitate the emergence and spread of new pathogens, while novel methods of discovery may allow for the more rapid development of vaccines against emergent diseases, before the new pathogens become widespread public health problems, as was the case in the development of a Sanofi Pasteur vaccine against the SARS coronavirus infection.

The *microbiome*, a term coined by Joshua Lederberg, is defined as the totality of microbes within a defined environment. The human microbiota has co-evolved with their hosts and appears to play important roles in human health and disease. The Human

Microbiome Project is a National Institutes of Health initiative that seeks to determine the relationship between human health and changes in the human microbiome. By using revolutionary sequencing technologies to characterise the microbiology of five body sites – oral cavity, skin, vagina, gut and nasal tract/lung – an association may be made between the microbiomes associated with either the healthy body state or disease. Characterising microbes associated with disease-related pathogens may allow for the development of new vaccines that preserve or protect the healthy microbiome and hence could protect human health. Some of the areas of current research are outlined in the box, right.

## **Vaccines for conditions not generally associated with infectious diseases**

Some conditions traditionally thought of as non-infectious may in fact have infectious origins (Table 6.12); therefore, vaccination could be a strategy to prevent these diseases. Other diseases may result from an interaction between the host's genetic background and a particular microbe (a so-called gene-environment interaction). Some diseases have an established link with an identified infectious agent. For example, primary CMV infection is a known cause of congenital mental retardation; similarly the link between bacterial vaginosis and foetal prematurity is widely accepted. While some links have been established, others remain speculative (Table 6.12).

### **PROPHYLACTIC AND THERAPEUTIC VACCINES FOR ADDICTION**

Candidate vaccines are in development for the prevention and treatment of various types of addiction. The basic concept is to induce the production of antibodies which will bind the drug and impede its crossing the blood–brain barrier to exert its psychoactive effects. Several nicotine candidate vaccines have now entered clinical trials. A cocaine candidate vaccine has also shown some benefit in a Phase IIIb clinical trial. The key issue to date for both nicotine and cocaine candidate vaccines has been to induce

**Diseases being explored in connection with the human microbiome include psoriasis and atopic dermatitis, inflammatory bowel disease, urethritis and sexually transmitted diseases, obesity, oesophageal adenocarcinoma, necrotising enterocolitis and paediatric abdominal pain.**

**TABLE 6.12. EXAMPLES OF NON-INFECTIOUS DISEASES WHERE INFECTIOUS AGENTS HAVE BEEN IDENTIFIED OR POSTULATED AS CONTRIBUTORY CAUSES**

<b>Disease</b>	<b>Proposed infectious agent</b>	<b>Vaccine status</b>	<b>Causal link</b>
Cervical cancer	HPV	Licensed vaccine	Established
Gastric ulcer disease Gastric cancer	<i>Helicobacter pylori</i>	In development	Established
Systemic lupus erythematosus	<i>Trypanosoma cruzi</i>	In development	Speculative and indirect (via the formation of anti-P and antiphospholipid antibodies)
Glandular fever Burkitt's lymphoma Nasopharyngeal carcinoma Multiple sclerosis	EBV	In development	Established Strong Established Strong
Hepatocellular carcinoma	HBV HCV	HBV – licensed vaccine HCV – in development	Established Strong
Kaposi's sarcoma	HHV-8 (in patients with AIDS)	No candidate vaccine	Strong
Foetal prematurity	Bacterial vaginosis	No candidate vaccine	Established
Congenital mental retardation	CMV	In development	Established
Asthma exacerbations	Rhinovirus	No candidate vaccine	Established
Diabetes mellitus (type I)	Fungal infection/mycotoxins	No candidate vaccine	Speculative
Alzheimer's disease/dementia/ cognitive impairment	HSV-1 <i>Helicobacter pylori</i> Picornavirus Borna disease virus <i>Chlamydia pneumoniae</i>	HSV – in development <i>Helicobacter pylori</i> – in development Others – no candidate vaccine	Speculative
Schizophrenia	CMV <i>Toxoplasma gondii</i> HHV-6	CMV – in development Others – no candidate vaccine	Speculative
Atherosclerosis	<i>Chlamydia pneumoniae</i>	No candidate vaccine	Speculative
Hypertension	HHV-8	No candidate vaccine	Weak/unlikely
Obesity/weight gain	Adenovirus-36	No candidate vaccine	Speculative, possibly geographical
Rheumatoid arthritis	EBV HHV-6	EBV – in development HHV-6 – no candidate vaccine	Speculative

Continued on next page

**TABLE 6.12. EXAMPLES OF NON-INFECTIOUS DISEASES WHERE INFECTIOUS AGENTS HAVE BEEN IDENTIFIED OR POSTULATED AS CONTRIBUTORY CAUSES – CONTINUED**

Disease	Proposed infectious agent	Vaccine status	Causal link
Crohn's disease	<i>Mycobacterium avium</i> subspecies <i>paratuberculosis</i>	No candidate vaccine	Conflicting evidence

HPV, human papillomavirus; EBV, Epstein–Barr virus; HBV, hepatitis B virus; HCV, hepatitis C virus; HHV, human herpesvirus; AIDS, acquired immunodeficiency syndrome; CMV, cytomegalovirus; HSV, herpes simplex virus. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

high immunoglobulin (Ig)G anti-drug antibody levels, which appear to be critical in achieving some degree of efficacy. Candidate vaccines against methamphetamine addiction are also in early development.

### PROPHYLACTIC AND THERAPEUTIC VACCINES FOR CANCER

To date, the approach to developing prophylactic cancer vaccines has been to target infectious diseases that cause or contribute to the development of cancer such as HPV (cervical cancer) and HBV (hepatocellular carcinoma). Examples of infectious diseases associated with cancer are shown in Table 6.13. The successful development of a nicotine vaccine would be expected to reduce cigarette smoking-related lung cancer.

Some cancers express tissue-specific antigens that can be targeted by the immune system. Therapeutic cancer vaccines aim to target tumour-associated antigens (TAA) with T-cell mediated immune responses. TAA can be related to the genetic changes that drive the cancer (eg *Ras* oncogene), or inappropriate up-regulation/expression of genes (eg carcinoembryonic antigen). With such TAA targets, vaccines aim to maximally stimulate a cytotoxic T-cell response and their design often includes adjuvants to enhance antigen presentation. Tumours develop in a multistep process in the face of the host immune response and frequently evolve to escape immune control. Mechanisms of evasion include genetic changes (loss of *human leukocyte antigen*/TAA expression) and induction of immune regulatory systems (T-cell anergy due to the activity of

**“It’s easy to quit smoking. I’ve done it hundreds of times” Mark Twain**

**Infectious diseases cause approximately 17% of new cancers worldwide, about 1.5 million (26%) cancers in low-resource and middle-resource countries (where 84% of the world’s population resides), and 360,000 (7.2%) cancers in high-resource countries (where 16% of the world’s population resides).**

**TABLE 6.13. INFECTIOUS DISEASES ASSOCIATED WITH CANCER**

Pathogen	Type of organism	Associated cancer(s)
HBV	Virus	Hepatocellular carcinoma
HCV	Virus	Hepatocellular carcinoma
HPV (high-risk types)	Virus	Cervical cancer, vaginal cancer, vulvar cancer, oropharyngeal cancer, anal cancer, penile cancer
EBV	Virus	Burkitt's lymphoma, non-Hodgkin's lymphoma, Hodgkin's lymphoma, nasopharyngeal carcinoma
HHV	Virus	Kaposi's sarcoma, primary effusion lymphoma
Human T-cell lymphotropic virus 1	Virus	Acute T-cell leukaemia
JC and BK polyomaviruses	Virus	Brain tumours
<i>Helicobacter pylori</i>	Bacterium	Stomach cancer
<i>Schistosoma hematobium</i> (schistosomiasis)	Parasite	Bladder cancer
<i>Opisthorchis viverrini</i> (liver flukes)	Parasite	Cholangiocarcinoma

HBV, hepatitis B virus; HCV, hepatitis C virus; HPV, human papillomavirus; EBV, Epstein–Barr virus; HHV, human herpesvirus 8; JC and BK, initials of patients from whom polyomavirus isolates were obtained. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

T<sub>reg</sub> cells) which limit anti-tumour immunity. The key approach for therapeutic cancer vaccines is resetting the immune response to deliver anti-tumour immunity that alters or destroys cancer cells and hence eliminates or reduces the tumour. One strategy uses the patient's own tumour as the immunogen, thereby providing all the potential idiotypic changes that might act as TAA, in conjunction with antigen-presenting DCs harvested from the same patient and activated *in vitro* (see *Dendritic cell vaccines*). There are different types of therapeutic candidate vaccines currently undergoing clinical trials for numerous types of cancer (Table 6.14). The most advanced candidates currently in Phase III are described in Chapter 4 – *Vaccine adjuvants*.

There has been some success in the development of therapeutic cancer vaccines, with the FDA approval of the first DC vaccine



**TABLE 6.14. EXAMPLES OF THERAPEUTIC CANCER VACCINES IN CLINICAL TRIALS**

<b>Cancer type</b>	<b>Vaccine approach</b>	<b>Manufacturer/Developer</b>
Bladder cancer	Multi-epitope cancer vaccine to the MUC1 tumour-associated antigen	Vaxil Bio Therapeutics Ltd
Brain tumours	Dendritic cell vaccine	Samuel Oschin Comprehensive Cancer Institute
Cervical cancer	RO5217790 consists of recombinant modified vaccinia Ankara viral vector encoding mutated forms of human papillomavirus type 16 genes for the viral oncoproteins E6 and E7 and the hIL2	Roche
Melanoma	DNA vaccine (tyrosinase-related protein 2) – an immunobody adjuvant approach ASCI, MAGE-A3/AS15 Human anti-CTLA-4 antibody (MDX-010) in combination with a gp100 melanoma vaccine (MDX-1379)	Scancell Ltd GSK Biologicals Bristol-Myers Squibb
Lung cancer	Modified mRNA vaccine encoding five antigens including NY-ESO-1 ASCI, MAGE-A3/AS15	CureVac GmbH GSK Biologicals
Colorectal cancer	Modified vaccinia Ankara encoding the tumour antigen 5T4	Oxford BioMedica

MUC1, Mucin 1 cell surface; hIL2, human cytokine interleukin-2; ASCI, antigen-specific cancer immunotherapeutics; MAGE, melanoma-associated antigen; CTL, cytotoxic T lymphocyte. Every effort has been made to verify the information in this table. The information included is not meant to be exhaustive but is intended to provide an overview of the subject matter.

designed for the treatment of prostate cancer in 2010 (see *Dendritic cell vaccines*). Other vaccines have been licensed in individual countries for treatment of cancers including non-small-cell lung cancer and melanoma.

## **New and more effective vaccines for specific populations**

Developing vaccines that are effective in all populations is difficult because some populations do not respond adequately to traditional

vaccine approaches. However, this presents opportunities for the application of novel technologies and adjuvants. Some of the considerations for vaccines designed for use in special populations include: immunosenescence in the elderly; the poor immunological response to traditional vaccines seen in immunocompromised individuals (patients with HIV, transplant recipients); the crossing of vaccine components into the foetal bloodstream when vaccines are administered to pregnant women; and the safety and immunogenicity concerns surrounding vaccines for neonates due to their naïve and immature immune system.

### VACCINES FOR PREGNANT WOMEN

Cell-mediated immunity is depressed in pregnant women, leaving them at high risk of infection from pathogens, including those harmful to the foetus. Most live, attenuated vaccines are contraindicated during pregnancy because of the theoretical risk of foetal infection from the vaccine. However, inactivated viral or bacterial vaccines can be administered. Pregnant women can, therefore, be vaccinated against some infections, including several that pass from mother to foetus (such as hepatitis A and B), and against infections acquired by the infant in the first few months of life (often from close contact with the mother). In the latter case, the infant can be protected by transfer of maternal antibodies during late gestation. Examples of diseases that can be prevented in pregnant women include influenza, tetanus, diphtheria and probably pertussis. Other diseases, such as those caused by the so-called TORCH pathogens (toxoplasma, others including syphilis, CMV and HSV), are not yet preventable through vaccination though encouraging Phase II results have been presented for a vaccine to prevent Group B streptococcus carriage in pregnant women (Hillier et al., 2009; Smith, 2009).

The 2009 H1N1 pandemic influenza outbreak posed an increased risk to pregnant women and vaccination was specifically recommended in pregnant women as one of the high-risk groups. The pandemic example has emphasised once more the importance of protecting pregnant women against influenza.

Seasonal influenza vaccination in pregnancy is well tolerated and the benefit–risk profile when administered to pregnant women supports its use during pregnancy. Many public health authorities worldwide recommend seasonal influenza vaccination in pregnant women and this recommendation is motivated not only by the potentially severe course of influenza during pregnancy, but also by the need to protect vulnerable infants against influenza during their first months of life. Boosting RSV immunity in pregnant women through vaccination may be another approach to protecting the newborn against RSV infection during the most vulnerable early months after birth.

### VACCINES FOR NEONATAL INFANTS

Neonatal immunisation is a strategy to protect infants against infections during a particularly vulnerable period. A recent study showed that immunisation with an acellular pertussis vaccine at birth and 1 month of age induces high IgG anti-pertussis antibody titres by 2 months of age (Wood et al., 2010). It is hoped that this approach may reduce death and morbidity from *Bordetella pertussis* infection in the first 3 months of life.

### VACCINES FOR THE ELDERLY

The elderly respond poorly to vaccination as the immune system becomes more senescent with increasing age and, therefore, new vaccine technologies are needed to improve the response to vaccination in this population. In the late 1990s, an influenza vaccine adjuvanted with the oil-in-water emulsion, MF59™, was shown to be more effective at inducing high immune responses in the elderly (Minutello et al., 1999). Alternative vaccine administration techniques have also been studied in the elderly. Research showed that in subjects 60 years of age or older, an influenza vaccine administered with an intradermal microinjection system induced significantly higher antibody titres compared with IM vaccination (Arnou et al., 2009). Subsequently, a microinjection system influenza vaccine was licensed for use in Europe, and a high antigen dose formulation has been licensed for the elderly in the USA.

### VACCINES FOR THOSE WHO ARE IMMUNOCOMPROMISED

Individuals with cancer, HIV infection or who are asplenic can be immunocompromised as a result of their condition. Patients can also be immunocompromised as a result of therapy, eg when receiving an organ transplant, radiation therapy or immunosuppressive medication. Such patients are therefore at an elevated risk of infection from pathogens such as herpesviruses (particularly CMV and Epstein–Barr virus), HBV, HCV, pneumocystis and coinfections and represent a special population regarding immunisation. Despite a likely reduction in the efficacy of vaccinations in immunocompromised individuals, immunisation remains a frequent recommendation in the hope that at least partial immunity will be achieved. Eliciting a response from vaccination in immunocompromised patients may require an increase in the dose and/or number of doses; altering the dosing interval; selecting a different vaccine formulation; or administration via an alternative route. Evidence in this patient population is lacking and guidelines are often based on theoretical assumptions.

Live vaccines are generally contraindicated in immunocompromised or immunosuppressed individuals due to the risk of an active and symptomatic infection resulting from the vaccine itself (non-controlled replication process). Encouragingly, vaccine formulations with highly purified antigens and novel adjuvants or alternative deliveries have been shown to induce more effective immune responses than the classical inactivated vaccines in immunocompromised hosts, including patients with end-stage renal diseases in pre-haemodialysis and haemodialysis (see *Chapter 4 – Vaccine Adjuvants*), patients with HIV and those who have received haematological stem cell transplants.

### Conclusion

The future of vaccine development can build on the knowledge and experience gained over the last 200 years, and at the same time can take advantage of the most cutting-edge technologies and

research. New approaches to antigen selection and production, antigen delivery, adjuvantation and vaccine administration will allow us to target established and emerging diseases, and populations with complex needs. Vaccination has been one of the most successful and cost-effective health interventions ever conceived and is now expanding further into cancer and chronic diseases. This expansion of scope and the subsequent impact on human disease is likely to continue into the future in currently unforeseen ways, further increasing the importance of vaccine science and engineering in improving human health.

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#### INTERNET RESOURCES

3M: [http://solutions.3m.com/wps/portal/3M/en\\_WW/DrugDeliverySystems/DDSD/](http://solutions.3m.com/wps/portal/3M/en_WW/DrugDeliverySystems/DDSD/)

Advaxis: <http://www.advaxis.com/>

AERAS Global TB Vaccine Foundation: <http://www.aeras.org/home/home.php>

Alliance for Case Studies for Global Health. Case studies for global health: Building relationships. Sharing knowledge. ISBN #0-9778444-8-X. [http://www.casestudiesforglobalhealth.org/case\\_study\\_PDFs/GlobalHealthCaseStudies.pdf](http://www.casestudiesforglobalhealth.org/case_study_PDFs/GlobalHealthCaseStudies.pdf)  
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Bavarian Nordic A/S: <http://www.bavarian-nordic.com/>  
Baylor Research Institute: <http://www.baylorhealth.edu/Research/Default.htm>  
Bill & Melinda Gates Foundation: <http://www.gatesfoundation.org/Pages/home.aspx>  
Bristol-Myers Squibb: <http://www.bms.com/pages/default.aspx>  
Celldex Therapeutics: <http://www.celldextherapeutics.com/>  
Coley Pharmaceutical Group: <http://www.pfizer.com/coleypharma/index.html>  
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CSL Behring: <http://www.csl.com.au/businesses/csl-behring.htm>  
CureVac GmbH: <http://www.curevac.com/>  
Dendreon: <http://www.dendreon.com/>  
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GenVec Inc: <http://www.genvec.com/>  
GSK Biologicals: <http://www.gsk.com/>  
Global Health Progress: <http://globalhealthprogress.org/>  
Global Solutions for Infectious Diseases: <http://www.isis-innovation.com/spinout/OETC.html>  
Ichor Medical Systems: <http://www.ichorms.com/>  
Immunomic Therapeutics: <http://www.immunomix.com/index.html>  
Immutep SA: <http://www.immutep.com/>  
Inovio Pharmaceuticals: <http://www.inovio.com/>  
Intercell AG: <http://www.intercell.com/>  
International AIDS Vaccine Initiative: <http://www.iavi.org/Pages/home.aspx>  
MedImmune LLC: <http://www.medimmune.com/>  
Memorial Sloane-Kettering Cancer Center: <http://www.mskcc.org/mskcc/html/44.cfm>  
MSD-Wellcome Trust Hilleman Laboratories: <http://www.hillemanlaboratories.in/>  
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PaxVax Inc: <http://paxvax.com/>

Pfizer: <http://www.pfizer.com/home/>

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Sabin Vaccine Institute: <http://www.sabin.org/>

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Transgene SA: <http://www.transgene.fr/>

University of Maryland School of Medicine, Center for Vaccine Development: <http://medschool.umaryland.edu/cvd/>

University of Texas Medical Branch, Sealy Center for Vaccine Development: <http://www.utmb.edu/scvd/>

Vacin Inc: <http://www.vacin.com/>

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World Health Organization's Special Programme for Research and Training in Tropical Diseases: <http://apps.who.int/tdr/>

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