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Cauchon diagrams for quantized enveloping algebras

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ABSTRACT

Let \mathfrak{g} be a finite-dimensional complex simple Lie algebra, \mathbb{K} a commutative field and q a nonzero element of \mathbb{K} which is not a root of unity. To each reduced decomposition of the longest element w_0 of the Weyl group W corresponds a PBW basis of the quantised enveloping algebra $\mathcal{U}_{a}^{+}(\mathfrak{g})$, and one can apply the theory of deleting-derivation to this iterated Ore extension. In particular, for each decomposition of w_0 , this theory constructs a bijection between the set of prime ideals in $\mathcal{U}_q^+(\mathfrak{g})$ that are invariant under a natural torus action and certain combinatorial objects called Cauchon diagrams. In this paper, we give an algorithmic description of these Cauchon diagrams when the chosen reduced decomposition of w_0 corresponds to a good ordering (in the sense of Lusztig (1990) [Lus90]) of the set of positive roots. This algorithmic description is based on the constraints that are coming from Lusztig's admissible planes Lusztig (1990) [Lus90]: each admissible plane leads to a set of constraints that a diagram has to satisfy to be Cauchon. Moreover, we explicitly describe the set of Cauchon diagrams for explicit reduced decomposition of w_0 in each possible type. In any case, we check that the number of Cauchon diagrams is always equal to the cardinal of W. In Cauchon and Mériaux (2008) [CM08], we use these results to prove that Cauchon diagrams correspond canonically to the positive subexpressions of w_0 . So the results of this paper also give an algorithmic description of the positive subexpressions of any reduced decomposition of w_0 corresponding to a good ordering. © 2009 Elsevier Inc. All rights reserved.

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1. Introduction

Let \mathfrak{g} be a finite-dimensional complex simple Lie algebra of rank n, \mathbb{K} a commutative field and q a nonzero element of \mathbb{K} which is not a root of unity. We follow the notation and convention of [Jan96] for the quantum group $\mathcal{U}_q(\mathfrak{g})$. In particular, to each choice of a reduced decomposition of the longest Weyl word w_0 of the Weyl group W corresponds a generating system $(X_\beta)_{\beta\in\Phi^+}$ of the positive part $\mathcal{U}_q^+(\mathfrak{g})$ of $\mathcal{U}_q(\mathfrak{g})$ (see Section 3), where Φ^+ denotes the set of positive roots associated to \mathfrak{g} .

The natural action of an *n*-dimensional torus on $\mathcal{U}_q^+(\mathfrak{g})$ induces a stratification of the prime spectrum Spec($\mathcal{U}_q^+(\mathfrak{g})$) of $\mathcal{U}_q^+(\mathfrak{g})$ via the so-called Stratification Theorem (see [GL00]). In this stratification, the primitive ideals are easily identified: they are the primes of $\mathcal{U}_q^+(\mathfrak{g})$ that are maximal in their strata. This stratification was recently used in [AD08,Lau07b,Lau07a] in order to describe the automorphism group of $\mathcal{U}_q^+(\mathfrak{g})$ in the case where \mathfrak{g} is of type A₂ and B₂.

As $U_q^+(\mathfrak{g})$ can be presented as a skew-polynomial algebra, this stratification can also be described via the deleting-derivations theory of Cauchon [Cau03a]. In particular, in this theory, the strata are in a natural bijection with certain combinatorial objects, called Cauchon diagrams, and their geometry is completely described by the associated diagram. In fact, in the above situation, Cauchon diagrams are distinguished subsets of the set of positive roots Φ^+ . (For this reason, we often refer to subsets of Φ^+ as diagrams.) Note that to each reduced decomposition of w_0 corresponds a PBW basis of $U_q^+(\mathfrak{g})$ and so a notion of Cauchon diagrams.

The main aim of this paper is to give an algorithmic description of Cauchon diagrams in the case where the reduced decomposition of w_0 corresponds to a good order of Φ^+ (in the sense of [Lus90]). Moreover, in each type, we exhibit a reduced decomposition of w_0 for which we are able to describe explicitly the corresponding Cauchon diagrams.

Our first ingredient in order to obtain an algorithmic description of Cauchon diagrams is the commutation relation between two generators X_{β} and $X_{\beta'}$ given by Levendorskii and Soibelman [LS91]. These formulas are not explicitly known, so that one cannot easily use them in order to perform the deleting-derivations algorithm. As a consequence, the description of Cauchon diagrams does not seem accessible in the general case. For this reason, we will limit ourselves to the case where the reduced decomposition of w_0 corresponds to a good order on Φ^+ (see [Lus90]). We recall this notion in Section 2. Although we still do not know explicitly all the commutation relations between the generators of $U_q^+(\mathfrak{g})$, the situation is better as we control enough commutation relations. More precisely, in this case, the commutation relation between two variables X_{β} , $X_{\beta'}$ is known when β and β' span a so-called admissible plane [Lus90] (see Section 3.4). Those relations allow the (algorithmic) construction of a set of necessary conditions, called *implications*, for a diagram Δ to be a Cauchon diagram (see Section 5.1). In Section 5.2, we prove that these conditions are necessary and sufficient (see Theorem 5.3.1), so that we get an algorithmic description of Cauchon diagrams.

In Section 6, we use this theorem to give an explicit description of these implications and these diagrams for special choices of the reduced decomposition of w_0 . More precisely, in each type, we exhibit a reduced decomposition of w_0 for which we explicitly describe the corresponding Cauchon diagrams. As a corollary, we prove that in each type the number of diagrams is equal to the size |W| of the Weyl group. As the strata do not depend on the choice of the reduced decomposition of w_0 , this implies that the number of strata is always equal to |W|. This result was first proved by Gorelik [Gor00] by using different methods, but with the additional assumption that q is transcendental.

In [CM08], we use the results of this paper in order to show that Cauchon diagrams Δ are in one-to-one correspondence with positive sub-expressions \mathbf{w}^{Δ} of w_0 as defined by Marsh and Rietsch [MR04]. More precisely, assume w_0 has a reduced expression of the form $w_0 = s_{\alpha_1} \circ \cdots \circ s_{\alpha_N}$ and that this decomposition corresponds to a good order on Φ^+ . For all $i \in \{1, \ldots, N\}$, we set $\beta_i = s_{\alpha_1} \circ \cdots \circ s_{\alpha_{i-1}}(\alpha_i)$, so that $\Phi^+ = \{\beta_1 < \cdots < \beta_N\}$. For each diagram $\Delta = \{\beta_{i_1} < \cdots < \beta_{i_t}\} \subseteq \Phi^+$, we set $w^{\Delta} := s_{\alpha_{i_1}} \circ \cdots \circ s_{\alpha_{i_t}}$. Then we have the following results (see [CM08]).

- If Δ is a Cauchon diagram, the above decomposition of w^{Δ} is reduced.
- The map $\Delta \rightarrow w^{\Delta}$ is a bijection from the set \mathcal{D} of Cauchon diagrams to W.

2. Root systems

2.1. Classical results on root systems

Let g be a simple complex Lie algebra. Let follow the notations of [Jan96, Chapter 4]. We denote by Φ a root system and $E = \text{Vect}(\Phi)$ (dim E = n). When $\Pi := \{\alpha_1, \ldots, \alpha_n\}$ is a basis of Φ , one has a decomposition $\Phi = \Phi^+ \sqcup \Phi^-$, where Φ^+ (resp. Φ^-) is the set of positive (resp. negative) roots. Denote by W the Weyl group associated to the root system Φ ; it is generated by the reflections s_{α_i} ($:= s_i$), $1 \le i \le n$. The longest Weyl word in W is written w_0 . A root system Φ is *reducible* if $\Phi = \Phi_1 \sqcup \Phi_2$ where Φ_1 and Φ_2 are two orthogonal root systems. Otherwise Φ is called *irreducible*.

Let us recall that there is a one-to-one correspondence between the irreducible root systems and the simple complex Lie algebras of finite dimension. We say that \mathfrak{g} is of a given type if the associated root system is of the same type. The following definitions and results are taken from [Lus90].

Definition 2.1.1. Let $\Pi = \{\alpha_1, \alpha_2, ..., \alpha_n\}$ be a basis of Φ and $j \in [[1, n]]$ (:= $\{1, 2, ..., n\}$).

- 1. The column *j* is the set $C_j := \{\beta \in \Phi^+ \mid \beta = k_1\alpha_1 + \cdots + k_j\alpha_j, k_i \in \mathbb{N}, k_j \neq 0\}$.
- 2. A root $\beta = k_1 \alpha_1 + \dots + k_j \alpha_j \in C_j$ is called *ordinary* if $k_j = 1$; it is called *exceptional* if $k_j = 2$.
- 3. A column C_j is called *ordinary* if each root β of C_j is ordinary; this column is called *exceptional* if every root β of C_j is ordinary except a unique one (β_{ex}) which is exceptional.

Definition 2.1.2. A numbering $\Pi = \{\alpha_1, \alpha_2, ..., \alpha_n\}$ is good if all columns C_j are ordinary or exceptional.

Example 2.1.3 (*The* G_2 *case*). The root system pf type G_2 has rank 2, there are two simple roots α_1 and α_2 such that $\|\alpha_2\| = \sqrt{3} \|\alpha_1\|$. $\Pi = \{\alpha_1, \alpha_2\}$ is a base for this roots system. The numbering $\Pi = \{\alpha_1, \alpha_2\}$ is good in this case because $C_1 = \{\alpha_1\}$ is ordinary and $C_2 = \{\alpha_2, \alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2, 3\alpha_1 + \alpha_2, 3\alpha_1 + \alpha_2, 3\alpha_1 + 2\alpha_2\}$ is exceptional.

On the contrary, the numbering $\Pi = \{\alpha_2, \alpha_1\}$ is not good. For this numbering, $C_1 = \{\alpha_2\}$ is ordinary but $C_2 = \{\alpha_1, \alpha_2 + \alpha_1, \alpha_2 + 2\alpha_1, \alpha_2 + 3\alpha_1, 2\alpha_2 + 3\alpha_1\}$ is neither ordinary nor exceptional.

Proposition 2.1.4. Les g be a simple Lie algebra of finite dimension. The following numberings of the associated root system Π are examples of good numberings.

- If g is of type A_n , with Dynkin diagram: $\alpha_1 \alpha_2 \cdots \alpha_{n-1} \alpha_n$, or
- if g is of type B_n , with Dynkin diagram: $\alpha_1 \leftarrow \alpha_2 \cdots \alpha_{n-1} \alpha_n$, or
- *if* g *is of type* C_n , *with Dynkin diagram:* $\alpha_1 \Rightarrow \alpha_2 \cdots \alpha_{n-1} \alpha_n$, *or*
- if g is of type D_n , with Dynkin diagram: $\alpha_3 \alpha_4 \cdots \alpha_{n-1} \alpha_n$, then

 $\Pi = \{\alpha_1, \alpha_2, \dots, \alpha_{n-1}, \alpha_n\}$ is a good numbering.

- If g is of type G_2 , with Dynkin diagram: $\alpha_1 \Leftarrow \alpha_2$, then $\Pi = \{\alpha_1, \alpha_2\}$ is a good numbering.
- If g is of type F_4 , with Dynkin diagram: $\alpha_1 \alpha_2 \Rightarrow \alpha_3 \alpha_4$, then $\Pi = \{\alpha_4, \alpha_3, \alpha_2, \alpha_1\}$ is a good numbering.
- If g is of type E_6 , with Dynkin diagram: $\alpha_1 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6$, then $\Pi = \{\alpha_2, \alpha_5, \alpha_4, \alpha_3, \alpha_1, \alpha_6\}$ is a good numbering.
- If g is of type E₇, with Dynkin diagram: $\begin{array}{c} \alpha_2 \\ \vdots \\ \alpha_1 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6 - \alpha_7 \end{array}, \text{ then } \\ \Pi = \{\alpha_2, \alpha_5, \alpha_4, \alpha_3, \alpha_1, \alpha_6, \alpha_7\} \text{ is a good numbering.} \end{array}$

• If g is of type E_8 , with Dynkin diagram: α_2 α_2 $\alpha_3, \alpha_1, \alpha_6, \alpha_7, \alpha_8$ is a good numbering. $\alpha_1 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6 - \alpha_7 - \alpha_8$, then $\Pi = \{\alpha_2, \alpha_5, \alpha_4, \alpha_5, \alpha_4, \alpha_5, \alpha_6, \alpha_7, \alpha_8\}$

The corresponding columns with these numberings are given explicitly in Section 3 and one could verify that each column is ordinary or exceptional. In the following, the chosen numbering on Π is always a good one. From Section 6, we use the numbering from the previous proposition.

2.2. Lusztig order

Definition 2.2.1. (See [Lus90, Section 4.3].) For a root $\beta = k_1\alpha_1 + \dots + k_j\alpha_j \in C_j$, the height of β is the positive integer $h(\beta) := k_1 + \dots + k_j$; the Lusztig height of β is the rational number $h'(\beta) := \frac{1}{k_j}h(\beta)$. If $t \in h'(C_j)$, then the set $B^{j,t} := \{\beta \in C_j \mid h'(\beta) = t\}$ is called the box of height t in the column C_j .

This definition gives the following disjoint union $C_j = \bigsqcup_{t \in \mathbb{N}^*} B^{j,t}$.

Definition 2.2.2 (*Lusztig order on* Φ^+). We define a partial order on Φ^+ as follows. Let β_1 and β_2 be two positive roots, if $\beta_1 \in C_{j_1}$ and $\beta_2 \in C_{j_2}$ with $j_1 < j_2$, then $\beta_1 < \beta_2$; if β_1 and β_2 are in the same column C_j and if $h'(\beta_2) < h'(\beta_1)$, then $\beta_1 < \beta_2$.

One can refine the previous partial order in a total one by choosing arbitrarily an order inside the boxes. Such a total order on Φ^+ is called "a" Lusztig order.

Observations. The simple root α_j is the greatest root in C_j for any Lusztig order. The positive roots of a box are consecutive for any Lusztig order, that is, $B^{j,t} = \{\beta_p, \beta_{p+1}, \dots, \beta_{p+l}\}$. Any Lusztig order induces an order on boxes. For example, the box before $B^{j,t}$ in the column C_j is $B^{j,t+1}$.

Proposition 2.2.3. Let $j \in \{2, ..., n\}$. Assume C_j is an exceptional column, we denote by β_{ex} its exceptional root. Then:

- 1. $\beta_{ex} \perp (C_1 \sqcup \cdots \sqcup C_{j-1}).$
- 2. If $D = \langle \beta_{ex} \rangle$ and if s_D is the orthogonal against D, then:
 - $s_D(C_j) = C_j$ and for any $\beta \in C_j \setminus \{\beta_{ex}\}$, we have $\beta + s_D(\beta) = \beta_{ex}$.
 - Let $B^{j,t}$ be a box different from the box which contain β_{ex} . Then s_D transforms $B^{j,t}$ into $B^{j,h(\beta_{ex})-t}$.

Proof.

- 1. Let $\beta \in C_1 \cup \cdots \cup C_{j-1}$. If β is not orthogonal to β_{ex} , then $s_{\beta}(\beta_{ex}) = \beta_{ex} + k\beta$ $(k \in \mathbb{Z} \setminus \{0\})$ is a root of C_j whose coordinate on α_j is equal to 2. This is a contradiction with the unicity of the exceptional root.
- 2. We observe that $s_D = -s_{\beta_{ex}}$, so that $s_D(\Phi) = \Phi$.
 - Let β be an ordinary root of C_j . We can decompose this root $\beta = a_1\alpha_1 + \cdots + a_{j-1}\alpha_{j-1} + \frac{1}{2}\beta_{ex}$ $(a_i \in \mathbb{Q})$. From 1. we deduce that $s_D(\beta) = -a_1\alpha_1 \dots a_{j-1}\alpha_{j-1} + \frac{1}{2}\beta_{ex} = \beta_{ex} \beta$. This is a root from the previous observation. This root is clearly in C_j since β is in $C_j \setminus \{\beta_{ex}\}$.
 - By the previous assertion, s_D transforms two element from $B^{j,t}$ into two roots of the same height. We deduce from this fact (and from the fact that s_D is an involution) that $s_D(B^{j,t})$ is a box denoted by $B^{j,s}$. The formula $t + s = h(\beta_{ex})$ is a consequence of the previous assertion. \Box

Definition 2.2.4. The support of a root $\beta = a_1\alpha_1 + \cdots + a_j\alpha_j \in C_j$ is the set $\text{Supp}(\beta) := \{\alpha_i \in \Pi \mid a_i \neq 0\}$. In particular, for $\beta \in C_j$, we have $\text{Supp}(\beta) \subset \{1, \ldots, j\}$.

We are now ready to prove that the box containing the exceptional root of an exceptional column is reduced to the exceptional root.

Proposition 2.2.5. Let $j \in \{2, ..., n\}$. Assume C_j is an exceptional column and denote by β_{ex} its exceptional root. Then $h'(\beta_{ex}) \notin \mathbb{N}$, so that β_{ex} is alone in its box.

Proof. Denote $\Pi_j = \{\alpha_1, \dots, \alpha_j\}$ and $\Phi_j = \Phi \cap \text{Vect}(\Pi_j)$. Then Φ_j is a root system with basis Π_j and $\Phi_j^+ = \Phi^+ \cap \text{Vect}(\Pi_j)$.

Let us consider the case where Φ_i irreducible. Then we have

Observation 1. If β is a root of Φ_i^+ of maximal height then $\beta \in C_j$.

Proof of Observation 1. Assume that $\beta \in C_i$ with i < j. In the Dynkin diagram of Φ_j which is convex as Φ_j is irreducible, we can construct a path from α_i to α_j . Denote this path by $P = (\alpha_{i_1}, \ldots, \alpha_{i_t})$, where $i_1 = i$ and $i_t = j$. We know that $\alpha_i \in \text{Supp}(\beta)$ and that $\alpha_j \notin \text{Supp}(\beta)$. So there is a smallest index l such that $\alpha_{i_l} \in \text{Supp}(\beta)$ and $\alpha_{i_{l+1}} \notin \text{Supp}(\beta)$. Thus, for all $\alpha \in \text{Supp}(\beta)$, we have $\langle \alpha, \alpha_{i_{l+1}} \rangle \leq 0$ and, since α_{i_l} and $\alpha_{i_{l+1}} = i$ we consecutive elements from P, we have $\langle \alpha_{i_l}, \alpha_{i_{l+1}} \rangle < 0$. We deduce that, $\langle \beta, \alpha_{i_{l+1}} \rangle < 0$ thus $\beta + \alpha_{i_{l+1}} \in \Phi_i^+$ which contradicts the maximality of the height of β . \Box

Observation 2. β_{ex} is the largest root of Φ_i .

Proof of Observation 2. Let β be a largest root in Φ_j . We assume that $\beta \neq \beta_{ex}$. By the previous observation, we know that $\beta \in C_j$ and, by Proposition 2.2.3, $\beta_{ex} = \beta + s_D(\beta)$ is a sum of two positive roots, thus its height is greater than the height of β . So β is equal to β_{ex} .

We note that the existence of an exceptional root implies that Φ_j is not of type A_j . So Φ_j is of type $B_j, C_j, D_j, E_6, E_7, E_8, F_4$ or G_2 and, we deduce from [Bou68] that the height of the largest root is odd. Hence it follows from Observation 2. that the height of β_{ex} is odd, so that $h'(\beta_{ex}) \notin \mathbb{N}$.

Let us now assume that Φ_j is reducible. Denote by Γ_j the Dynkin diagram whose vertices are $\alpha_1, \ldots, \alpha_j$, and whose edges come from the Dynkin diagram of Φ . We note Π' the connected component of α_j in Γ_j , i.e. $\Pi' := \{\alpha_i \in \Pi_j \mid \text{there exists a path contained in } \Gamma_j \text{ connecting } \alpha_i \text{ to } \alpha_j\}$. We note $\Phi' = \Phi \cap \text{Vect}(\Pi')$. It is a root system, with basis Π' , and we have $\Phi'^+ = \Phi^+ \cap \text{Vect}(\Pi')$. \Box

Observation 3. $C_i \subseteq \Phi'^+$.

Proof of Observation 3. Otherwise, there is a root in $C_j \setminus \Phi'^+$. If β is such a root, there is a simple root in its support which is also in the set $\Pi_j \setminus \Pi'$. As the support of β contains $\alpha_j \in \Pi'$ too, we can write $\beta = u + v$ with $u = \alpha_{i_1} + \cdots + \alpha_{i_l}$ whose support is a subset of $\Pi_j \setminus \Pi'$ and $v = \alpha_{i_{l+1}} + \cdots + \alpha_{i_p}$ whose support is a subset of Π' . Let us choose β such that the integer l is minimal.

- If l = 1, then $\beta = \alpha_{i_1} + \nu$. As $\alpha_{i_1} \notin \Pi'$, there is no link between α_{i_1} and the element of $\text{Supp}(\nu)$. Then $s_{i_1}(\beta) = -\alpha_{i_1} + \nu \in \Phi$, which is impossible because the coordinates of this root in the basis Π do not have the same sign.
- So $l \ge 2$. As $\langle u, u \rangle > 0$, there exists a root in Supp(u), for example α_{i_l} , such that $\langle u, \alpha_{i_l} \rangle > 0$. As above:

$$\langle v, \alpha_{i_l} \rangle = 0 \quad \Rightarrow \quad \langle \beta, \alpha_{i_l} \rangle > 0 \quad \Rightarrow \quad \beta' = \beta - \alpha_{i_l} \in C_i \setminus \Phi'^+,$$

which is a contradiction with the minimality of *l*.

So we can conclude that $C_j \subseteq \Phi'^+$. Hence C_j is an exceptional column of Φ' which is irreducible by construction. The proof above also shows that the exceptional root β_{ex} satisfies $h'(\beta_{ex}) \notin \mathbb{N}$. \Box

We can now prove that any Lusztig order is a convex order.

Proposition 2.2.6. "<" is a convex order over Φ^+ .

Proof. Let $\beta_1 < \beta_2$ be two positives roots such that $\beta_1 + \beta_2 \in \Phi^+$.

- If the two roots β_1 and β_2 do not belong to the same column, then $\beta_1 + \beta_2$ is in the same column as β_2 . In this case, neither β_2 , nor $\beta_1 + \beta_2$ are exceptional and $h'(\beta_1 + \beta_2) = h(\beta_1 + \beta_2) = h(\beta_1) + h(\beta_2) > h(\beta_2) = h'(\beta_2)$. Hence we have: $\beta_1 < \beta_1 + \beta_2 < \beta_2$.
- If the two roots β_1 and β_2 belong to the same column, then $\beta_1 + \beta_2$ is an exceptional root. We deduce from Proposition 2.2.3 that $h'(\beta_1 + \beta_2) = \frac{h'(\beta_1) + h'(\beta_2)}{2}$. Proposition 2.2.5 excludes the case where $h'(\beta_1 + \beta_2) = h'(\beta_1) = h'(\beta_2)$ because the exceptional root is alone in its box. So we get $h'(\beta_1) > h'(\beta_1 + \beta_2) > h'(\beta_2)$, so that $\beta_1 < \beta_1 + \beta_2 < \beta_2$. \Box

Consider a reduced decomposition of $w_0 = s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_N}$ of the longest Weyl word w_0 . For all $j \in [\![1, N]\!]$, we set $\beta_j := s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_{j-1}}(\alpha_{i_j})$. Then it is well known (cf., for example, [BG02, I.5.1]) that $\{\beta_1, \ldots, \beta_N\} = \Phi^+$. For each integer $j \in [\![1, N]\!]$, we say that α_{i_j} is the simple root associated to the positive root β_j .

We define an order on Φ^+ by setting $\beta_i < \beta_j$ when i < j. We say that "<" is the order associated to the reduced decomposition $w_0 = s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_N}$ of w_0 .

In [Pap94, Theorem and remark p. 662], it is shown that this is a convex order and that this leads to a one-to-one correspondence between reduced decompositions of w_0 and convex orders on Φ^+ .

Hence, as the Lusztig order "<" is convex, there is a unique reduced decomposition $w_0 = s_{i'_1} \circ s_{i'_2} \circ \cdots \circ s_{i'_N}$ of w_0 whose associated order is "<". In this article, we always choose such a decomposition for w_0 .

The following proposition of Lusztig [Lus90, Section 4.3] explains the behaviour of the positive roots inside (non-exceptional) boxes.

Proposition 2.2.7. Inside each ordinary box (box which does not contain the exceptional root), roots are pairwise orthogonal. Moreover, simple roots associated to the positive roots of a given box are pairwise orthogonal.

Proof. For the type G_2 , explicit computations leads to the result. We now assume that \mathfrak{g} is a finitedimensional simple Lie algebra which is not of type G_2 . Recall that the positive roots of a box are consectutives. Let β_1 and β_2 be two consecutive roots of a box *B* in the column C_j . We note α_{i_1} and α_{i_2} the associated simple roots.

Suppose that α_{i_1} is not orthogonal to α_{i_2} , hence $\lambda = -\langle \alpha_{i_1}^{\vee}, \alpha_{i_2} \rangle = 1$ or 2 (recall that \mathfrak{g} is not of type G_2). So we can write $\beta_2 = w \circ s_{i_1}(\alpha_{i_2}) = w(\lambda \alpha_{i_1} + \alpha_{i_2}) = \lambda \beta_1 + w(\alpha_{i_2})$. As $w(\alpha_{i_2}) \in \Phi$, we must have $\lambda = 2$, otherwise $h(w(\alpha_{i_1})) = h(\beta_2) - h(\beta_1) = 0$, which is absurd.

In this case, $\gamma = -w(\alpha_{i_2}) = 2\beta_1 - \beta_2 \in C_j$ and $h(\gamma) = 2h(\beta_1) - h(\beta_2) = h(\beta_1)$. As β_1 and β_2 are distinct roots, so they are not collinear. So the set $\Phi' = \Phi \cap \text{Vect}(\beta_1, \beta_2)$ is a root system of rank 2 which contains β_1 , β_2 , γ and their opposites. The equality $2\beta_1 = \gamma + \beta_2$ allows to state that Φ' is of type B_2 and that the situation is the following one:



So $\gamma - \beta_1 \in \Phi$, with $h(\gamma - \beta_1) = h(\gamma) - h(\beta_1) = 0$. This is impossible, and so $\alpha_{i_1} \perp \alpha_{i_2}$.

Then we get $\langle \beta_1, \beta_2 \rangle = \langle w(\alpha_{i_1}), w(s_{i_1}(\alpha_{i_2})) \rangle = \langle \alpha_{i_1}, s_{i_1}(\alpha_{i_2}) \rangle = \langle \alpha_{i_1}, \alpha_{i_2} \rangle = 0$, as desired. This finishes the case where the two roots are consecutive. One concludes using an induction on the "distance" between the two roots β_1 and β_2 . \Box

Convention. For $j \in [\![1, n]\!]$, denote δ_j the smallest root of C_j . Let us recall that α_j is the largest root of C_j .

Proposition 2.2.8. δ_i and α_i are alone in their boxes.

Proof. The root α_j is alone in its box because it is the only roots of C_j whose height is equal to 1. To prove that δ_j is alone in its box, we need the following result which can be shown easily by induction on *l*.

Lemma 2.2.9. Let $1 \leq l \leq N$ and $1 \leq m \leq n$. Set $\Pi_m := \{\alpha_1, \ldots, \alpha_m\}$. If $\beta_l = s_{i_1} \ldots s_{i_{l-1}}(\alpha_{i_l})$ is in the column C_m , then $\alpha_{i_l} \in \Pi_m$ for $j \in [\![1,l]\!]$.

Back to the proof of Proposition 2.2.8. There is an integer $1 \le l \le N$ such that $\delta_j = \beta_l = s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_{l-1}}(\alpha_{i_l})$. As above, $\beta_l = \alpha_{i_l} + n_{l-1}\alpha_{i_{l-1}} + \cdots + n_1\alpha_{i_1}$ $(n_t \in \mathbb{Z})$ with $\alpha_{i_1}, \ldots, \alpha_{i_{l-1}}$ in $\prod_{j=1}$ since $\beta_{l-1} \in C_{j-1}$. As $\beta_l \in C_j$, it implies that $\alpha_{i_l} = \alpha_j$.

If δ_j (= β_l) is not alone in its box, then β_{l+1} is also in this box and one has (Proposition 2.2.7) $\alpha_{i_l} \perp \alpha_{i_{l+1}}$. By the previous lemma, it implies that $\alpha_{i_{l+1}} \in \Pi_j \setminus \{\alpha_j\} = \Pi_{j-1}$ and $\beta_{l+1} = s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_{l-1}} \circ s_{i_l} (\alpha_{i_{l+1}}) = s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_{l-1}} (\alpha_{i_{l+1}}) = \alpha_{i_{l+1}} + n'_{l-1}\alpha_{i_{l-1}} + \cdots + n'_1\alpha_{i_1} (n'_t \in \mathbb{Z})$, which contradicts the hypothesis $\beta_{l+1} \in C_j$. \Box

Let us recall the following result (see, for example, [Hum78, Lemma 9.4]).

Lemma 2.2.10. Let β and δ be two distinct roots of Φ^+ such that $\langle \beta, \delta \rangle \neq 0$. If $\langle \beta, \delta \rangle > 0$, then $\beta - \delta \in \Phi$. If $\langle \beta, \delta \rangle < 0$, then $\beta + \delta \in \Phi$.

Proposition 2.2.11. Let β be an ordinary root of a column C_j . Denote (as in the proof of Proposition 2.2.5) by Γ_j the diagram whose vertices are $\alpha_1, \ldots, \alpha_j$, and whose edges are the edges from the Dynkin diagram of Φ . Denote by Ω_j the connected component of α_j in Γ_j .

- 1. If $\beta \neq \alpha_i$ then there exists $\epsilon \in \{\alpha_1, \ldots, \alpha_{i-1}\}$ such that $\beta \epsilon \in C_i$.
- 2. Supp $\beta \subset \Omega_i$.
- 3. If $\beta \neq \delta_j$ then there exists $\epsilon \in \{\alpha_1, \ldots, \alpha_{j-1}\}$ such that $\beta + \epsilon \in C_j$.

The proof of this result is technical and can be found in the ArXiv version of this article [Mér08].

Proposition 2.2.12. *Let* $j \in [\![1, n]\!]$ *.*

- 1. If C_j is ordinary, then $h'(C_j)$ is an interval of the form $[\![1, t]\!]$.
- 2. If C_j is exceptional, then $h'(C_j \setminus \{\beta_{ex}\})$ is an interval of the form $\llbracket 1, 2t \rrbracket$ $(t \in \mathbb{N}^*)$.

Moreover we have $h'(\beta_{ex}) = t + \frac{1}{2}$.

Proof. The fact that $h'(C_j)$ in the ordinary case (resp. $h'(C_j \setminus \{\beta_{ex}\})$ in the exceptional case) is an interval of integers comes from Proposition 2.2.11. It contains $1 = h(\alpha_j)$, and so the first case is proved.

Let us assume that C_j is exceptional. Denote by B_1, \ldots, B_t the boxes which contain the roots smaller than β_{ex} for the Lusztig order. For these boxes, we have $h'(B_i) > h'(\beta_{ex})$. But the relation $h(B_i) + h(B'_i) = h(\beta_{ex})$, for the image B'_i of B_i by s_D , implies $h'(B_i) > h'(\beta_{ex}) > h'(B'_i)$. So we have exactly t boxes appearing after β_{ex} and the interval $h'(C_j \setminus \{\beta_{ex}\})$ is of the form $[\![1, 2t]\!]$ ($t \in \mathbb{N}^*$).

Moreover $h(\beta_{ex}) = h(\alpha_i + s_D(\alpha_i)) = 1 + 2t$ and finally $h'(\beta_{ex}) = t + \frac{1}{2}$. \Box

We now recall the notion of admissible planes introduced by Lusztig in [Lus90, Section 6.1].

Definition 2.2.13. We call *admissible plane* $P := \langle \beta, \beta' \rangle$ a plane spanned by two positive roots β and β' such that: β belongs to an exceptional column C_j and $\beta' = s_D(\beta)$ is such that $|h'(\beta') - h'(\beta)| = 1$. (In this case $\beta + \beta' = \beta_{ex}$ and $h'(\beta_{ex}) = t \pm \frac{1}{2}$.)

Or β is an ordinary root in any column C_j and $\beta' = \alpha_i$ with i < j. We set $\Phi_P := \Phi \cap P$ and $\Phi_P^+ := \Phi^+ \cap P$.

Remark 2.2.14. If Φ_P is of type G_2 then $\Phi = G_2$ (due to the lengths of the roots).

If Φ is not of type G_2 then the first condition leads to two different type of admissible planes, Φ_P^+ is of one of the following types:



The second condition leads to four types of admissible planes, Φ_p^+ is of one of the following types:

Туре (2.1)	Type (2.2)	Туре (2.3)	Type (2.4)
$\overbrace{\qquad\qquad\qquad}^{\alpha_i\qquad\qquad\qquad\beta_2}\qquad$	$^{\alpha_i} ^{\beta'} ^{\beta_{ex}} \atop \atop \beta}$	$\beta_1 \qquad \beta_2 \qquad \beta_3 \\ \uparrow \qquad \uparrow$	$ \begin{array}{c} \uparrow \beta \\ \hline \\ \hline \\ \hline \\ \\ \hline \\ \\ \\ \\ \\ \\ \\ \\ \\ \\$
A ₂	B_2 with long α_i	B_2 with short α_i	$A_1 \times A_1$
$\beta_1 > \beta_2 > \alpha_i$	$\beta > \beta_{ex} > \beta' > \alpha_i$	$\beta_1 > \beta_2 > \beta_3 > \alpha_i$	$\beta > \alpha_i$

We note that types (1.2) and (2.2) are the same.

3. The quantized enveloping algebra $\mathcal{U}_q(\mathfrak{g})$

Let \mathbb{K} be a field of characteristic not equal to 2 and 3, and q an element \mathbb{K}^* which is not a root of unity. Firstly, we recall definitions about $\mathcal{U}_q(\mathfrak{g})$ and $\mathcal{U}_q^+(\mathfrak{g})$ using notations from [Jan96, Chapter 4]. We recall then the Poincaré–Birkhoff–Witt bases of $\mathcal{U}_q(\mathfrak{g})$ construction using Lusztig automorphisms. There are several ways to construct the so called Lusztig automorphisms, we recall here three different methods. The Lusztig's one follows [Lus90, Section 3], Jantzen's one, which is the same as De Concini, Kac and Procesi, is explained in [Jan96, Section 8.14] and [DCKP95, Section 2.1] and a third one is necessary to established a link between the two others. We will explain each method and then see the links between the obtained bases.

3.1. Recalls on $\mathcal{U}_q(\mathfrak{g})$

For all a and n integers such that $a \ge n \ge 0$, we set $[n]_q = \frac{q^n - q^{-n}}{q - q^{-1}}$, $[n]_q^! = [n]_q [n - 1]_q \dots [2]_q [1]_q$, $\begin{bmatrix} a \\ n \end{bmatrix}_q = \frac{[a]_q^!}{[n]_q^! [a - n]_q^!}$. Moreover for all $\alpha \in \Pi$, we set $q_\alpha = q^{\frac{(\alpha, \alpha)}{2}}$.

Definition 3.1.1.

• The quantized enveloping algebra $\mathcal{U}_q(\mathfrak{g})$ is the \mathbb{K} -algebra with generators E_{α} , F_{α} , K_{α} and K_{α}^{-1} (for all α in Π) and relations (for all $\alpha, \beta \in \Pi$):

•• $K_{\alpha}K_{\alpha}^{-1} = 1 = K_{\alpha}^{-1}K_{\alpha}, K_{\alpha}K_{\beta} = K_{\beta}K_{\alpha}, K_{\alpha}E_{\beta}K_{\alpha}^{-1} = q^{(\alpha,\beta)}E_{\beta}, K_{\alpha}F_{\beta}K_{\alpha}^{-1} = q^{-(\alpha,\beta)}F_{\beta}.$ •• $E_{\alpha}F_{\beta} - F_{\beta}E_{\alpha} = \delta_{\alpha\beta}\frac{K_{\alpha} - K_{\alpha}^{-1}}{q_{\alpha} - q_{\alpha}^{-1}}$, where $\delta_{\alpha\beta}$ is the Kronecker symbol. And (for $\alpha \neq \beta$), set $a_{\alpha\beta} = 2(\alpha, \beta)/(\alpha, \alpha)$:

$$\sum_{s=0}^{1-a_{\alpha\beta}} (-1)^s \left[\begin{array}{c} 1-a_{\alpha\beta} \\ i \end{array} \right]_{q_{\alpha}} E_{\alpha}^{1-a_{\alpha\beta}-s} E_{\beta} E_{\alpha}^s = 0, \qquad \sum_{s=0}^{1-a_{\alpha\beta}} (-1)^s \left[\begin{array}{c} 1-a_{\alpha\beta} \\ i \end{array} \right]_{q_{\alpha}} F_{\alpha}^{1-a_{\alpha\beta}-s} F_{\beta} F_{\alpha}^s = 0.$$

• $\mathcal{U}_q^+(\mathfrak{g})$ (resp. $\mathcal{U}_q^-(\mathfrak{g})$) is the subalgebra of $\mathcal{U}_q(\mathfrak{g})$ generated by all E_α (resp. F_α) with $\alpha \in \Pi$.

Let us recall two important results proven for example in [BG02, Section I.6].

Theorem 3.1.2. $U_q^+(\mathfrak{g})$ is Noetherian domain and is graded by $\mathbb{Z}\Phi$ with $wt(E_\alpha) = \alpha$, $wt(F_\alpha) = -\alpha$ and $wt(K_\alpha^{\pm 1}) = 0$.

3.2. Lusztig's construction

Definition 3.2.1 (*Lusztig's automorphisms*). For all $i \in [[1, n]]$ there is a unique automorphism T_{α_i} of the algebra $\mathcal{U}_q(\mathfrak{g})$ such that:

$$\begin{pmatrix} j \in \llbracket 1, n \rrbracket \end{pmatrix} \quad T_{\alpha_i} E_{\alpha_i} = -F_{\alpha_i} K_{\alpha_i}, \qquad T_{\alpha_i} F_{\alpha_i} = -K_{\alpha_i}^{-1} E_{\alpha_i}, \qquad T_{\alpha_i} K_{\alpha_j} = K_{\alpha_j} K_{\alpha_i}^{-a_{ij}},$$

$$(j \neq i) \quad T_{\alpha_i} E_{\alpha_j} = \sum_{r+s=-a_{ij}} (-1)^r q^{-d_i s} E_{\alpha_i}^{(r)} E_{\alpha_j} E_{\alpha_i}^{(s)}, \qquad T_{\alpha_i} F_{\alpha_j} = \sum_{r+s=-a_{ij}} (-1)^r q^{d_i s} F_{\alpha_i}^{(s)} F_{\alpha_j} F_{\alpha_i}^{(r)}$$

$$= \sum_{r+s=-a_{ij}} (-1)^r q^{-d_i s} E_{\alpha_i}^{(r)} E_{\alpha_j} E_{\alpha_i}^{(s)}, \qquad T_{\alpha_i} F_{\alpha_j} = \sum_{r+s=-a_{ij}} (-1)^r q^{d_i s} F_{\alpha_i}^{(s)} F_{\alpha_j} F_{\alpha_i}^{(r)}$$

where $E_{\alpha_i}^{(n)} := \frac{E_{\alpha_i}^n}{[n]_{d_i}^!}$ and $d_i = \frac{(\alpha_i, \alpha_i)}{2}$.

We now a fix a Lusztig order so that we can use the notations of columns and boxes as in the Section 2. The following result is given by Lusztig in [Lus90, Section 4.3]:

Proposition 3.2.2. There is a unique map from Φ^+ to $[\![1, n]\!]$, sending β to i_β such that the following properties are satisfied:

- 1. $s_{i_{\beta_1}}$ and $s_{i_{\beta_2}}$ commute in W whenever β_1 and β_2 are in the same box. Hence, for a box B, the product of all $s_{i_{\beta}}$ with $\beta \in B$ is a well-defined element s(B) in W, independent of the order of the factors.
- 2. $i_{\alpha_j} = j$ for $j \in [[1, n]]$.
- 3. If $\beta \in C_j$ and if B_1, \ldots, B_k are the boxes in C_j whose elements are strictly greater than β for the Lusztig order then $s(B_1)s(B_2)\ldots s(B_k)(\alpha_{i_\beta}) = \beta$.

We then set $w_{\beta} := s(B_1)s(B_2) \dots s(B_k)$.

We now recall the construction of a PBW basis of $\mathcal{U}_q^+(\mathfrak{g})$ due to Lusztig [Lus90, Theorem 3.2].

Theorem 3.2.3. Let $w \in W$ and $s_{i_1} \dots s_{i_p}$ be a reduced decomposition of w. Then the automorphism $T_w := T_{\alpha_{i_1}} \dots T_{\alpha_{i_p}}$ depends only on w and not on the choice of reduced expression for it. Hence the T_{α_i} define a homomorphism of the braid group of W in the group of automorphisms of the algebra $\mathcal{U}_q(\mathfrak{g})$.

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Proposition 3.2.4. For all positive roots β , we define $E_{\beta} := T_{w_{\beta}}(E_{i_{\beta}}) \in \Phi^+$. These elements form a Poincaré–Birkhoff–Witt basis of $\mathcal{U}_a^+(\mathfrak{g})$ (see [Lus90, Proposition 4.2]).

Notation. If $\beta > \beta'$, then we set $[E_{\beta}, E_{\beta'}]_q = E_{\beta}E_{\beta'} - q^{(\beta,\beta')}E_{\beta'}E_{\beta}$.

Our aim in the remaining of this paragraph is to exhibit the form of the commutation relation between two generators E_{γ} and $E_{\gamma'}$, when γ and γ' belong to the same admissible plane *P*.

We first consider the case where $\Phi_P (= \Phi \cap P)$ is of type G_2 . In this case, Φ is also of type G_2 and the commutation relations have been computed in [Lus90, Section 5.2]. This leads us to the following result.

Proposition 3.2.5. Assume that Φ is of type G_2 . Denote by α_1 the short simple root and by α_2 the long simple root. This is a good numbering of the set of simple roots (see Example 2.1.3). The corresponding reduced decomposition of w_0 is $s_1s_2s_1s_2s_1s_2$ ($s_i = s_{\alpha_i}$) and, describing the roots in the associated convex order, one has:

$$\Phi^+ = \{\beta_1 = \alpha_1, \beta_2 = 3\alpha_1 + \alpha_2, \beta_3 = 2\alpha_1 + \alpha_2, \beta_4 = 3\alpha_1 + 2\alpha_2, \beta_5 = \alpha_1 + \alpha_2, \beta_6 = \alpha_2\}.$$

The first column C_1 is reduced to $\{\beta_1\}$, the second column $C_2 = \{\beta_2, \beta_3, \beta_4, \beta_5, \beta_6\}$ is exceptional with $\beta_{ex} = \beta_4$. One has:

 $[E_{\beta_3}, E_{\beta_1}]_q = \lambda E_{\beta_2} \text{ with } \lambda \neq 0, \ [E_{\beta_4}, E_{\beta_1}]_q = \lambda E_{\beta_3}^2 \text{ with } \lambda \neq 0, \ [E_{\beta_5}, E_{\beta_1}]_q = \lambda E_{\beta_3} \text{ with } \lambda \neq 0, \ [E_{\beta_6}, E_{\beta_1}]_q = \lambda E_{\beta_5} \text{ with } \lambda \neq 0, \ [E_{\beta_6}, E_{\beta_1}]_q = \lambda E_{\beta_5} \text{ with } \lambda \neq 0.$

If Φ is not of type G_2 , the commutation relations between the Lusztig's generators corresponding to two roots which are in the same admissible plane are known in several cases [Lus90, Section 5.2]. In particular, we have the following relations.

Proposition 3.2.6 (Φ not of type G_2).

- If $P = \langle \beta, \beta' \rangle$ is an admissible plane of type (1.1), then $\Phi_P^+ = \{\beta, \beta_{ex} = \beta + \beta', \beta'\}$ and the relations are: $[E_{\beta}, E_{\beta'}]_q = \lambda E_{\beta_{ex}}$ with $\lambda \neq 0$, $[E_{\beta}, E_{\beta_{ex}}]_q = [E_{\beta_{ex}}, E_{\beta'}]_q = 0$.
- If $P = \langle \beta, \beta' \rangle$ is an admissible plane of type (1.2), then $\Phi_P^+ = \{\beta, \beta_{ex} = \beta + \beta', \beta', \alpha_i\}$ and the relations are: $[E_{\beta}, E_{\beta'}]_q = \lambda E_{\beta_{ex}}$ with $\lambda \neq 0$, $[E_{\beta_{ex}}, E_{\alpha_i}]_q = \lambda' E_{\beta'}^2$ with $\lambda' \neq 0$, $[E_{\beta}, E_{\alpha_i}]_q = \lambda'' E_{\beta'}$ with $\lambda'' \neq 0$, $[E_{\beta}, E_{\beta_{ex}}]_q = [E_{\beta_{ex}}, E_{\beta'}]_q = [E_{\beta'}, E_{\alpha_i}]_q = 0$.
- If $P = \langle \beta, \alpha_i \rangle$ is an admissible plane of type (2.1), then $\Phi_P^+ = \{\beta_1, \beta_2 = \beta_1 + \alpha_i, \alpha_i\}$ $(\beta = \beta_1 \text{ or } \beta_2)$ and the relations are: $[E_{\beta_1}, E_{\alpha_i}]_q = \lambda E_{\beta_2}$ with $\lambda \neq 0$, $[E_{\beta_1}, E_{\beta_2}]_q = [E_{\beta_2}, E_{\alpha_i}]_q = 0$.
- If $P = \langle \beta, \alpha_i \rangle$ is an admissible plane of type (2.2), then we have the same relations as in type (1.2).
- If $P = \operatorname{Vect}(\beta, \alpha_i)$ is an admissible plane of type (2.3), then $\Phi_P^+ = \{\beta_1, \beta_2 = \beta_1 + \alpha_i, \beta_3 = \beta_1 + 2\alpha_i, \alpha_i\}$ $(\beta = \beta_1, \beta_2 \text{ or } \beta_3)$ and the relations are: $[E_{\beta_2}, E_{\alpha_i}]_q = \lambda E_{\beta_3}$ with $\lambda \neq 0$, $[E_{\beta_1}, E_{\beta_3}]_q = \lambda' E_{\beta_2}^2$ with $\lambda' \neq 0$, $[E_{\beta_1}, E_{\alpha_i}]_q = \lambda'' E_{\beta_2}$ with $\lambda'' \neq 0$, $[E_{\beta_1}, E_{\beta_2}]_q = [E_{\beta_2}, E_{\beta_3}]_q = [E_{\beta_3}, E_{\alpha_i}]_q = 0$. • If $P = \langle \beta, \alpha_i \rangle$ is an admissible plane of type (2.4), then $\Phi_P^+ = \{\beta, \alpha_i\}$ with $\beta \perp \alpha_i$ and, if β is ordinary,
- If $P = \langle \beta, \alpha_i \rangle$ is an admissible plane of type (2.4), then $\Phi_P^+ = \{\beta, \alpha_i\}$ with $\beta \perp \alpha_i$ and, if β is ordinary, then $[E_\beta, E_{\alpha_i}]_q = 0$.

Corollary 3.2.7. Assume Φ is not of type G_2 . Let *i*, *l* be two integers such that $1 \le i < l \le n$ and $\eta \in C_l$:

- 1. If $(\eta, \alpha_i) > 0$, then $[E_{\eta}, E_{\alpha_i}]_q = 0$.
- 2. If $\eta + \alpha_i = m\gamma$ with $\gamma \in \Phi^+$ and $m \in \mathbb{N}^*$, then $[E_\eta, E_{\alpha_i}]_q = \lambda E_{\gamma}^m$, with $\lambda \in \mathbb{K}^*$.
- 3. If $\eta = \eta_1 + \eta_2$ with η_1 and η_2 in C_l such that $h(\eta_1) + 1 = h(\eta_2)$ then $[E_{\eta_1}, E_{\eta_2}]_q = \lambda E_{\eta_1}$, with $\lambda \in \mathbb{K}^*$.

Proof. $P = \text{Vect}(\eta, \alpha_i)$ is an admissible plane of type (2.1), (2.2), (2.3) or (2.4) by definition.

1. *P* is not of type (2.4) because $(\eta, \alpha_i) \neq 0$. We distinguish between three remaining cases.

- If P is of type (2.1), then with the notations of Remark 2.2.14, we have $\eta = \beta_2$, and so the result follows from Proposition 3.2.6.
- If *P* is of type (2.2), then we have $\eta = \beta'$, and so the result follows from Proposition 3.2.6.
- If *P* is of type (2.3), then we have η = β₃, and so the result follows from Proposition 3.2.6.
 2. Since m ≠ 0, we have γ ∈ P ∩ Φ⁺ = Φ⁺_p, so P is not of type (2.4). We distinguish between three remaining cases.
 - If *P* is of type (2.1), then we deduce that m = 1, $\eta = \beta_1$ and $\gamma = \beta_2$, and so the result follows from Proposition 3.2.6.
 - If *P* is of type (2.2), then there are two possibilities: $(m = 1, \eta = \beta \text{ and } \gamma = \beta')$ or $(m = 2, \eta = \beta)$ $\eta = \beta_{ex}$ and $\gamma = \beta'$). In both cases, the result follows from Proposition 3.2.6.
 - If *P* is of type (2.3), then we have m = 1, $\eta = \beta_1$ (resp. $\eta = \beta_2$) and $\gamma = \beta_2$ (resp. $\gamma = \beta_3$), and so the result follows from Proposition 3.2.6.
- 3. Let us consider the plane $P := \langle \eta_1, \eta_2 \rangle$. It is an admissible plane (see Definition 2.2.13) and $\Phi_p^+ =$ $\{\eta_1, \eta, \eta_2\}$ (if *P* is of type 1.1) or $\{\eta_1, \eta, \eta_2, \alpha_i\}$ with i < l (if *P* is of type 1.2). The previous proposition implies that $[E_{n_1}, E_{n_2}]_q = \lambda E_n$, with $\lambda \in \mathbb{K}^*$ in both cases. \Box

3.3. Jantzen's construction

In [Jan96, Section 8.14], a different construction of a PBW basis is explained which also uses the automorphisms T_{α} , $(\alpha \in \Pi)$. For a given reduced decomposition of $w_0 = s_{i_1} \dots s_{i_N}$, we know that, for all $\beta \in \Phi^+$, there exists $i_{\beta} \in \llbracket 1, N \rrbracket$ such that $\beta = s_{i_1} \dots s_{i_{\beta}-1}(\alpha_{i_{\beta}})$.

Definition 3.3.1. Let $\beta \in \Phi^+$, we set $w'_{\beta} := s_{i_1} \dots s_{i_{\beta}-1}$ and define $X_{\beta} := T_{w'_{\beta}}(E_{\alpha_{i_{\beta}}}), Y_{\beta} := T_{w'_{\beta}}(F_{\alpha_{i_{\beta}}})$.

The following result follows from [Jan96, Theorems 4.21 and 8.24].

Theorem 3.3.2.

- If α ∈ Π, then X_α = E_α (see [Jan96, Proposition 8.20]).
 The products X^{k₁}_{β₁}...X^{k_N}_{β_N} (k_i ∈ ℕ) form a basis of U⁺_q(g).
 The products X^{k₁}_{β₁}...X^{k_N}_{β_N}K^{m₁}<sub>α<sup>m₁</sub>...K^{m_n}_{β₁}V^{l₁}_{β_N} (resp. K^{m₁}<sub>α<sup>m₁</sub> ...K^{m_n}<sub>α<sup>m_n</sub>Y^{l₁}_{β₁}...Y^{l_N}_{β_N}X^{k₁}_{β₁}...X^{k_N}_{β_N}, resp. Y^{l₁}_{β₁}...Y^{l_N}_{β_N} (resp. K^{m₁}<sub>α<sup>m₁</sub> ...K^{m_n}<sub>α<sup>m_n</sub>Y^{l₁}_{β₁}...X^{k_N}_{β_N}, resp. Y^{l₁}_{β₁}...
 </sub></sup></sub></sup></sub></sup></sub></sup></sub></sup>

The following theorem was proved by Levendorskiĭ and Soibelman [LS91, Proposition 5.5.2] in a slightly different case. One can find other formulations in the literature (several containing small mistakes). That is why we give a proof of this result in [Mér08, Section 3.3]. We make this proof essentially by rewriting the one from [LS91, Proposition 5.5.2].

Theorem 3.3.3 (of Levendorskii and Soibelman). If i and j are two integers such that $1 \le i < j \le N$, then we have

$$X_{\beta_i}X_{\beta_j} - q^{(\beta_i,\beta_j)}X_{\beta_j}X_{\beta_i} = \sum_{\substack{\beta_i < \gamma_1 < \dots < \gamma_p < \beta_j \\ p \ge 1, \ k_i \in \mathbb{N}}} c_{\overline{\mathbf{k}},\overline{\mathbf{y}}}X_{\gamma_1}^{k_1} \dots X_{\gamma_p}^{k_p},$$

where $c_{\overline{\mathbf{k}},\overline{\mathbf{y}}} \in \mathbb{K}$ and $c_{\overline{\mathbf{k}},\overline{\mathbf{y}}} \neq 0$ only if $wt(X_{\gamma_1}^{k_1} \dots X_{\gamma_p}^{k_p}) := k_1 \times \gamma_1 + \dots + k_p \times \gamma_p = \beta_i + \beta_j$.

3.4. Commutation relations between X_{γ} in admissible planes

The goal of this section is to show that the X_{γ} satisfy analogous relations to the E_{γ} (see Section 3.2). In order to achieve this aim, we start by introducing an intermediate generating system.

3.4.1. Construction of a third generating system

Let us recall the following well-known result:

Lemma 3.4.1. (See [Jan96, Section 4.6].)

- 1. There is a unique automorphism ω of $\mathcal{U}_q(\mathfrak{g})$ such that $\omega(E_\alpha) = F_\alpha$, $\omega(F_\alpha) = E_\alpha$ and $\omega(K_\alpha) = K_\alpha^{-1}$. One has $\omega^2 = 1$.
- 2. There is a unique anti-automorphism τ of $U_q(\mathfrak{g})$ such that $\tau(E_\alpha) = E_\alpha$, $\tau(F_\alpha) = F_\alpha$ and $\tau(K_\alpha) = K_\alpha^{-1}$. One has $\tau^2 = 1$.

Convention.

• Let *i* be an integer of $[\![1, n]\!]$. And set $T'_{\alpha_i} := \tau \circ T_{\alpha_i} \circ \tau$. This is an automorphism of $\mathcal{U}_q(\mathfrak{g})$ which satisfies the following conditions:

$$T'_{\alpha_i}E_{\alpha_i} = -K_{\alpha_i}^{-1}F_{\alpha_i}, \quad T'_{\alpha_i}F_{\alpha_i} = -E_{\alpha_i}K_{\alpha_i}, \quad T'_{\alpha_i}K_{\alpha_j} = K_{\alpha_j}K_{\alpha_i}^{-a_{ij}} \quad (j \in [\![1,n]\!])$$

and for $j \neq i$:

$$T'_{\alpha_i} E_{\alpha_j} = \sum_{r+s=-a_{ij}} (-1)^r q^{d_i s} E_{\alpha_i}^{(s)} E_{\alpha_j} E_{\alpha_i}^{(r)} \quad \text{and} \quad T'_{\alpha_i} F_{\alpha_j} = \sum_{r+s=-a_{ij}} (-1)^r q^{-d_i s} F_{\alpha_i}^{(r)} F_{\alpha_j} F_{\alpha_i}^{(s)} F_{\alpha_j} F_{\alpha_j}^{(s)} F_{\alpha_j}^$$

- If $w_p \in W$ has a reduced decomposition given by $w_p = s_{i_1} \dots s_{i_p}$, then we set $T'_{w_p} := \tau \circ T_{w_p} \circ \tau$. We have $T'_{w_p} = T'_{\alpha_{i_1}} \dots T'_{\alpha_{i_p}}$.
- If $\beta \in \Phi^+$, then we set $w_{\beta}^{r} := s_{i_1} \dots s_{i_{\beta}-1}$ and we define $X'_{\beta} := T'_{w_{\beta}}(E_{\alpha_{i_{\beta}}})$ and $Y'_{\beta} := T_{w_{\beta}}(F_{\alpha_{i_{\beta}}})$. One has $X'_{\alpha} = E_{\alpha}$ and $Y'_{\alpha} = F_{\alpha}$ for $\alpha \in \Pi$.

The theorem of Levendorskiĭ and Soibelman can be rewritten as below. The proof can be found in [Mér08, Section 3.4]:

Proposition 3.4.2. *If i and j are two integers such that* $1 \le i < j \le N$ *then we have*

$$X'_{\beta_i}X'_{\beta_j} - q^{-(\beta_i,\beta_j)}X'_{\beta_j}X'_{\beta_i} = \sum_{\substack{\beta_i < \gamma_1 < \dots < \gamma_p < \beta_j \\ p \ge 1, \ k_i \in \mathbb{N}}} c_{\overline{\mathbf{k}},\overline{\mathbf{p}}}X'^{k_1}_{\gamma_1} \dots X'^{k_p}$$

with $c_{\overline{\mathbf{k}},\overline{\mathbf{y}}} \in \mathbb{K}$ and $c_{\overline{\mathbf{k}},\overline{\mathbf{y}}} \neq 0$ only if $wt(X'^{k_1}_{\gamma_1} \dots X^{k_p}_{\gamma_p}) := k_1 \times \gamma_1 + \dots + k_p \times \gamma_p = \beta_i + \beta_j$.

3.4.2. Relations between E_{β} and X'_{β}

As in previous sections, Φ^+ is provided with a given Lusztig order associated to a reduced decomposition of $w_0 = s_{i_1} \dots s_{i_N}$. In this case, we can improve the theorem of Levendorskii and Soibelman.

Theorem 3.4.3. If *i* and *j* are two integers such that $1 \le i < j \le N$, then one has:

$$X'_{\beta_i}X'_{\beta_j} - q^{-(\beta_i,\beta_j)}X'_{\beta_j}X'_{\beta_i} = \sum_{\beta_i < \gamma_1 < \cdots < \gamma_p < \beta_j} C_{\overline{\mathbf{k}},\overline{\mathbf{y}}}X'^{k_1}_{\gamma_1} \dots X'^{k_p}_{\gamma_p}.$$

The monomials on the left-hand side whose coefficient $C_{\overline{\mathbf{k}},\overline{\mathbf{y}}}$ is not equal to zero satisfies: $wt(X_{\gamma_1}^{\prime k_1} \dots X_{\gamma_p}^{\prime k_p}) = \beta_i + \beta_j$; γ_1 is not in the same box as β_i and γ_p is not in the same box as β_j .

The proof of this theorem is essentially based on the following result:

Lemma 3.4.4. Let $B = \{\beta_p, \dots, \beta_{p+l}\}$ be a box and $\alpha_{i_p}, \dots, \alpha_{i_{p+l}}$ be the corresponding simple roots. Then $\forall k \in [[0, l]]$, we have:

 $T'_{\alpha_{i_p}} \dots T'_{\alpha_{i_{p+k-1}}}(E_{\alpha_{i_{p+k}}}) = E_{\alpha_{i_{p+k}}} = T'_{\alpha_{i_p}} \dots T'_{i_{\alpha_{p+k-1}}} T'_{i_{\alpha_{p+k+1}}} \dots T'_{\alpha_{i_{p+l}}}(E_{\alpha_{i_{p+k}}}).$

Proof. We already know that if α_1 and α_2 are two simple orthogonal roots, then $T_{\alpha_1}(E_{\alpha_2}) = E_{\alpha_2} = \tau(E_{\alpha_2})$, hence $T'_{\alpha_1}(E_{\alpha_2}) = E_{\alpha_2}$. As $\alpha_{i_p}, \ldots, \alpha_{i_{p+l}}$ are orthogonal to each others by Proposition 2.2.7, the formulas above are proved. \Box

Proof of Theorem 3.4.3. The first point is provided by Proposition 3.4.2. If in the reduced decomposition of w_0 , we change the order of the reflexions associated to the simple roots coming from a single box B, we find a new reduced decomposition of w_0 . The positive roots of B constructed with this new decomposition of w_0 are permuted as the simple roots are but the other roots are not moved. By the previous lemma, the X'_{β} , $\beta \in B$, are also permuted in the same way but are not modified, and the X'_{γ} , $\gamma \notin B$, are not modified. Thus, without lost of generality, we can assume that β_i is maximal in its box and that β_j is minimal in its box. As a result, if $\beta_i < \gamma_1 < \cdots < \gamma_p < \beta_j$, then γ_1 is not in the same box as β_i and γ_p is not in the same box as β_j . \Box

Remark 3.4.5. The proof of the previous theorem can be rewritten with the elements $X_{\beta}(\beta \in \Phi_+)$ so that we also apply Theorem 3.4.3 to those elements.

We can now establish a link between the X'_{β} 's and the E_{β} 's.

Theorem 3.4.6.

$$\forall \beta \in \Phi^+, \exists \lambda_\beta \in \mathbb{K} \setminus \{0\} \text{ such that } X'_\beta = \lambda_\beta E_\beta.$$

Proof. Let β and β' be two positive roots such that $\beta > \beta'$. Set $[X'_{\beta}, X'_{\beta'}]_q = X'_{\beta}X'_{\beta'} - q^{(\beta,\beta')}X'_{\beta'}X'_{\beta}$.

Let us deal first with the case where Φ is of type G_2 . We keep the conventions of Proposition 3.2.5. It is known (Conventions 3.4.1) that, since β_1 and β_6 are simple, one has $X'_{\beta_1} = E_{\beta_1}$ and $X'_{\beta_6} = E_{\beta_6}$. Thus

$$[X'_{\beta_6}, X'_{\beta_1}]_q = [E_{\beta_6}, E_{\beta_1}]_q = \lambda E_{\beta_5} \quad \text{with } \lambda \in \mathbb{K}^*$$

By Theorem 3.4.3, one also has $[X'_{\beta_6}, X'_{\beta_1}]_q = \mu X'_{\beta_5}$ with $\mu \in \mathbb{K}$ and, then, $X'_{\beta_5} = \lambda_{\beta_5} E_{\beta_5}$ with $\lambda_{\beta_5} \in \mathbb{K}^*$.

It implies that $[X'_{\beta_5}, X'_{\beta_1}]_q = \lambda_{\beta_5}[E_{\beta_5}, E_{\beta_1}]_q = \nu E_{\beta_3}$ with $\nu \in \mathbb{K}^*$. We deduce as above that $X'_{\beta_3} = \lambda_{\beta_3} E_{\beta_3}$ with $\lambda_{\beta_3} \in \mathbb{K}^*$.

Using the same method and considering $[X'_{\beta_3}, X'_{\beta_1}]_q = \lambda_{\beta_3}[E_{\beta_3}, E_{\beta_1}]_q$, one proves that $X'_{\beta_2} = \lambda_{\beta_2}E_{\beta_2}$ with $\lambda_{\beta_2} \in \mathbb{K}^*$.

At last, one has $[X'_{\beta_5}, X'_{\beta_3}]_q = \lambda_{\beta_5}\lambda_{\beta_3}[E_{\beta_5}, E_{\beta_3}]_q = \nu E_{\beta_4}$ with $\nu \in \mathbb{K}^*$, so it implies that $X'_{\beta_4} = \lambda_{\beta_4} E_{\beta_4}$ with $\lambda_{\beta_4} \in \mathbb{K}^*$.

Suppose now that Φ is of type G_2 , and consider a column C_t $(t \in [[1, n]])$. We just prove the theorem for all the roots of C_t .

We first study the case of ordinary roots.

Let $\beta \in C_t$ be an ordinary root. Let us prove the result by induction on $h(\beta)$.

If $h(\beta) = 1$, then $\beta = \alpha_t$ and as above $X'_{\alpha_t} = E_{\alpha_t}$.

Assume $h(\beta) > 1$ and the result proved for all $\delta \in C_t$ an ordinary root such that $h(\delta) < h(\beta)$. By Proposition 2.2.11, there is a simple root α_i (i < t) such that $\beta - \alpha_i = \gamma \in C_t$. Moreover, γ is ordinary



Fig. 1.

because, if it is not the case $\beta = \gamma + \alpha_i$ would be exceptional which contradicts the uniqueness of an exceptional root in a column. So $P := \langle \alpha_i, \beta \rangle$ is an admissible plane of type (2.1), (2.2) or (2.3) and then $[E_{\gamma}, E_{\alpha_i}]_q = cE_{\beta}$ ($c \in \mathbb{K} \setminus \{0\}$) (see Section 3.2).

As $h(\gamma) = h(\beta) - 1 < h(\beta)$, one has $X'_{\gamma} = \lambda_{\gamma} E_{\gamma}$ ($\lambda_{\gamma} \in \mathbb{K} \setminus \{0\}$), and as $E_{\alpha_i} = X'_{\alpha_i}$, one has:

$$\left[X'_{\gamma}, X'_{\alpha_i}\right]_q = \lambda_{\gamma} [E_{\gamma}, E_{\alpha_i}]_q = \lambda_{\gamma} c E_{\beta}.$$

By Theorem 3.4.3, E_{β} is a linear combination of monomials $X'_{\delta_1} \dots X'_{\delta_s}$ with $\alpha_i < \delta_1 \leq \dots \leq \delta_s < \gamma$, δ_s not in the same box as γ , δ_1 not in the same box as α_i and

$$\delta_1 + \dots + \delta_s = \alpha_i + \gamma = \beta. \tag{(\star)}$$

For all monomials, $\delta_s \in C_t$ and δ_s is ordinary (because $\beta \in C_t$ and β is ordinary). As $\delta_s < \gamma$ and δ_s does not belong to the same box as γ , one has $h(\delta_s) > h(\gamma)$. Hence $h(\delta_s) \ge h(\beta)$, so that s = 1 and $\delta_1 = \beta$. This implies $E_\beta = aX'_\beta$ with $a \in \mathbb{K} \setminus \{0\}$, and the result is proved.

Let us now assume that β is the exceptional root of C_t . Let γ be the root of C_t which precedes β in the Lusztig order and let $\delta = s_D(\gamma)$, so that $\delta + \gamma = \beta$ (see Fig. 1). By Proposition 2.2.12, one has $h'(\beta) = m + \frac{1}{2}$ with $m \in \mathbb{N}^*$ and $h'(C_t) = \llbracket 1, 2m \rrbracket$. If B is the box in C_t which precedes β , then h'(B) = h(B) = t + 1. As β is alone in its box, we have $\gamma \in B$, so that $h(\gamma) = m + 1$. Hence $h(\delta) = m$. Thus $P = \operatorname{Vect}(\gamma, \delta)$ is an admissible plane of type (1.1) or (1.2), and $[E_{\delta}, E_{\gamma}]_q = cE_{\beta}(c \neq 0)$ (see Section 3.2).

As γ and δ are ordinary roots, we already know that $X'_{\gamma} = \lambda_{\gamma} E_{\gamma}$ and $X'_{\delta} = \lambda_{\delta} E_{\delta}$ with $\lambda_{\gamma} \neq 0$ and $\lambda_{\delta} \neq 0$. Thus, one has:

$$\left[X_{\delta}', X_{\gamma}'\right]_{a} = \lambda_{\gamma} \lambda_{\delta} [E_{\delta}, E_{\gamma}]_{q} = \lambda_{\gamma} \lambda_{\delta} c E_{\beta} \ (\lambda_{\gamma} \neq 0, \lambda_{\delta} \neq 0).$$

As above, E_{β} is a linear combination of monomials $X'_{\delta_1} \dots X'_{\delta_s}$ with $\gamma < \delta_1 \leq \dots \leq \delta_s < \delta$, δ_s not in the same box as δ and δ_1 not in the same box as γ . As β is the only root of C_t which satisfies $\gamma < \beta < \delta$, β is not in the same box as δ and β is not in the same box as γ . Hence s = 1 and $\delta_1 = \beta$. So that $E_{\beta} = aX'_{\beta}$ with $a \in \mathbb{K} \setminus \{0\}$. \Box

From Theorems 3.4.3 and 3.4.6, we deduce the following result.

Corollary 3.4.7. If *i* and *j* are two integers such that $1 \le i < j \le N$, one has:

$$E_{\beta_i}E_{\beta_j} - q^{-(\beta_i,\beta_j)}E_{\beta_j}E_{\beta_i} = \sum_{\substack{\beta_i < \gamma_1 < \cdots < \gamma_p < \beta_j \\ p \ge 1, \ k_i \in \mathbb{N}}} C'_{\overline{\mathbf{k}},\overline{\mathbf{y}}}E_{\gamma_1}^{k_1} \dots E_{\gamma_p}^{k_p}.$$

The monomials on the left-hand side whose coefficient $C'_{\overline{\mathbf{k}},\overline{\mathbf{y}}}$ is not equal to zero satisfies: $wt(X'^{k_1}_{\gamma_1}\dots X'^{k_p}_{\gamma_p}) = \beta_i + \beta_j$; γ_1 is not in the same box as β_i and γ_p is not in the same box as β_j .

3.4.3. Link with Jantzen's construction

Proposition 3.4.8. Let $\beta_1 < \beta_2$ be two positive roots.

- 1. If $E_{\beta_1}E_{\beta_2} q^{-(\beta_1,\beta_2)}E_{\beta_2}E_{\beta_1} = kE_{\gamma}^m \ (k \neq 0, m \ge 1 \ and \ \gamma \in \Phi^+)$, then $X_{\beta_1}X_{\beta_2} q^{+(\beta_1,\beta_2)}X_{\beta_2}X_{\beta_1} = k'X_{\gamma}^m \ (k' \neq 0)$.
- 2. If $E_{\beta_1}E_{\beta_2} q^{-(\beta_1,\beta_2)}E_{\beta_2}E_{\beta_1} = kE_{\gamma}E_{\delta}$ $(k \neq 0, \gamma, \delta \in \Phi^+, \gamma \text{ and } \delta \text{ belonging to the same box})$, then $X_{\beta_1}X_{\beta_2} q^{+(\beta_1,\beta_2)}X_{\beta_2}X_{\beta_1} = k'X_{\gamma}X_{\delta}$ $(k' \neq 0)$.

Proof. Let $\beta \in \Phi^+$. Let us recall (see Section 3.4.1) that $X_{\beta} := T_{w'_{\beta}}(E_{\alpha_{i_{\beta}}}), X'_{\beta} := T'_{w'_{\beta}}(E_{\alpha_{i_{\beta}}})$, and that $T_{w'_{\beta}} = \tau \circ T'_{w'_{\beta}} \circ \tau$. So we have $X_{\beta} = \tau \circ T'_{w'_{\beta}} \circ \tau(E_{\alpha_{i_{\beta}}}) = \tau(X'_{\beta})$. Let us also recall (see Theorem 3.4.6) that $X'_{\beta} = \lambda_{\beta} E_{\beta}$ with $\lambda_{\beta} \in \mathbb{K}^*$.

Let $\beta_1 < \beta_2$ be two positive roots.

1. If $E_{\beta_1}E_{\beta_2} - q^{-(\beta_1,\beta_2)}E_{\beta_2}E_{\beta_1} = kE_{\gamma}^m \ (k \neq 0, \gamma \in \Phi^+)$, then:

$$\begin{split} X_{\beta_1} X_{\beta_2} - q^{+(\beta_1,\beta_2)} X_{\beta_2} X_{\beta_1} &= \tau \left(X'_{\beta_1} \right) \tau \left(X'_{\beta_2} \right) - q^{+(\beta_1,\beta_2)} \tau \left(X_{\beta_2} \right) \tau \left(X_{\beta_1} \right) \\ &= \tau \left(X'_{\beta_2} X'_{\beta_1} - q^{+(\beta_1,\beta_2)} X'_{\beta_1} X'_{\beta_2} \right) \\ &= -q^{+(\beta_1,\beta_2)} \tau \left(X'_{\beta_1} X'_{\beta_2} - q^{-(\beta_1,\beta_2)} X'_{\beta_2} X'_{\beta_1} \right) \\ &= -q^{+(\beta_1,\beta_2)} \lambda_{\beta_1} \lambda_{\beta_2} \tau \left(E_{\beta_1} E_{\beta_2} - q^{-(\beta_1,\beta_2)} E_{\beta_2} E_{\beta_1} \right) \\ &= -q^{+(\beta_1,\beta_2)} \lambda_{\beta_1} \lambda_{\beta_2} \tau \left(k E_{\gamma}^m \right) \\ &= \frac{-q^{+(\beta_1,\beta_2)} \lambda_{\beta_1} \lambda_{\beta_2} k}{\lambda_{\gamma}} \tau \left(\left(X'_{\gamma} \right)^m \right) = k' X_{\gamma}^m \quad \text{with } k' \in \mathbb{K}^{\star}. \end{split}$$

2. If $E_{\beta_1}E_{\beta_2} - q^{-(\beta_1,\beta_2)}E_{\beta_2}E_{\beta_1} = kE_{\gamma}E_{\delta}$ $(k \neq 0, \gamma, \delta \in \Phi^+, \gamma \text{ and } \delta \text{ belonging two the same box})$ so, by doing the same computations as in 1, we obtain:

$$X_{\beta_1}X_{\beta_2} - q^{(\beta_1,\beta_2)}X_{\beta_2}X_{\beta_1} = k'\tau(X'_{\gamma}X'_{\delta}) = k'X_{\delta}X_{\gamma} \quad (k' \neq 0).$$

As γ and δ are in the same box, we know (see Proposition 2.2.7) that $(\delta, \gamma) = 0$, so that, by Theorem 3.3.3, we get $X_{\gamma}X_{\delta} = X_{\gamma}X_{\delta}$, as desired. \Box

4. Deleting derivations in $\mathcal{U}_q^+(\mathfrak{g})$

4.1. $\mathcal{U}_q^+(\mathfrak{g})$ is a CGL extension

In this section, we set $A := U_q^+(\mathfrak{g})$, $X_i := X_{\beta_i}$ for $1 \leq i \leq N$, and $\lambda_{i,j} := q^{-(\beta_j,\beta_i)}$ for $1 \leq i, j \leq N$. We know from Proposition 3.3.3 that, if $1 \leq i < j \leq N$, then one has:

$$X_j X_i - \lambda_{j,i} X_i X_j = P_{j,i} \tag{1}$$

with

$$P_{j,i} = \sum_{\bar{k}=(k_{i+1},\dots,k_{j-1})} c_{\bar{k}} X_{i+1}^{k_{i+1}} \dots X_{j-1}^{k_{j-1}},$$
(2)

where $c_{\bar{k}} \in \mathbb{K}$. Moreover, as $\mathcal{U}_q^+(\mathfrak{g})$ is Φ -gradued, one has

$$c_{\bar{k}} \neq 0 \quad \Rightarrow \quad \lambda_{l,i+1}^{k_{i+1}} \dots \lambda_{l,j-1}^{k_{j-1}} = \lambda_{l,j} \lambda_{l,i} \quad \text{for all } 1 \leq l \leq N.$$
(3)

Thus, A satisfies [Cau03a, Hypothesis 6.1.1]. From Theorem 3.3.2, ordered monomials in X_i are a basis of A, so that we deduce from [Cau03a, Proposition 6.1.1]:

Proposition 4.1.1.

1. A is skew polynomial ring which could be expressed as:

$$A = \mathbb{K}[X_1][X_2; \sigma_2, \delta_2] \dots [X_N; \sigma_N, \delta_N],$$

where the σ_j 's are \mathbb{K} -linear automorphisms and the δ_j 's are \mathbb{K} -linear σ_j -derivations such that, for $1 \leq i < j \leq N$, $\sigma_j(X_i) = \lambda_{i,i}X_i$ and $\delta_j(X_i) = P_{j,i}$.

2. If $1 \le m \le N$, then there is a (unique) automorphism h_m of the algebra A which satisfies $h_m(X_i) = \lambda_{m,i}X_i$ for $1 \le i \le N$.

Moreover, we deduce from [Cau03a, Proposition 6.1.2] the following result.

Proposition 4.1.2.

- 1. A satisfies conventions from [Cau03a, Section 3.1], that is to say:
 - For all $j \in [\![2, N]\!]$, σ_j is a \mathbb{K} -linear automorphism and δ_j is a \mathbb{K} -linear (left sided) σ_j -derivation and locally nilpotent.
 - For all $j \in [\![2, N]\!]$, one has $\sigma_j \circ \delta_j = q_j \delta_j \circ \sigma_j$ with $q_j = \lambda_{j,j} = q^{-\|\beta_j\|^2}$, and for all $i \in [\![1, j-1]\!]$, $\sigma_i(X_i) = \lambda_{j,i}X_i$.
 - None of the q_j ($2 \le j \le N$) is a root of unity.
- 2. A satisfies [Cau03a, Hypothesis 4.1.2], that is to say:
 - The subgroup H of the automorphisms group of A generated by the elements h_l satisfies:
 - For all h in H, the indeterminates X_1, \ldots, X_N are h-eigenvectors.
 - The set $\{\lambda \in \mathbb{K}^* \mid (\exists h \in H) \ h(X_1) = \lambda X_1\}$ is infinite.
 - If $m \in [[2, N]]$, there is $h_m \in H$ such that $h_m(X_i) = \lambda_{m,i}X_i$ if $1 \le i < m$ and $h_m(X_m) = q_mX_m$.

The previous proposition shows that $U_q^+(\mathfrak{g})$ is a CGL extension in the sens of [LLR06] and so allows us to apply the deleting derivation theory [Cau03a]. We describe this theory in the following section.

4.2. The deleting derivation algorithm

It follows from Propositions 4.1.1 and 4.1.2, that *A* is an integral domain which is Noetherian. Denote by *F* its fields of fraction. We define, by induction, the families $X^{(l)} = (X_i^{(l)})_{1 \le i \le N}$ of elements of $F^* := F \setminus \{0\}$, and the algebras $A^{(l)} := \mathbb{K}\langle X_1^{(l)}, \ldots, X_N^{(l)} \rangle$ when *l* decreases from N + 1 to 2 as in [Cau03a, Section 3.2]. So we have for all $l \in [\![2, N + 1]\!]$:

Lemma 4.2.1. If $1 \le i < j \le N$, one has:

$$X_{j}^{(l)}X_{i}^{(l)} - \lambda_{j,i}X_{i}^{(l)}X_{j}^{(l)} = P_{j,i}^{(l)}$$
(4)

with

$$P_{j,i}^{(l)} = \begin{cases} 0 & \text{if } j \ge l, \\ \sum_{\bar{k}=(k_{i+1},\dots,k_{j-1})} c_{\bar{k}}(X_{i+1}^{(l)})^{k_{i+1}}\dots(X_{j-1}^{(l)})^{k_{j-1}} & \text{if } j < l, \end{cases}$$
(5)

where $c_{\bar{k}}$ are the same as in the formula (2), so that we also have the implication (3).

Proof. See [Cau03a, Théorème 3.2.1].

Lemma 4.2.2. The ordered monomials on $X_1^{(l)}, \ldots, X_N^{(l)}$ form a basis $A^{(l)}$ as a \mathbb{K} -vectorial space.

Proof. See [Cau03a, Théorème 3.2.1].

From Lemmas 4.2.1 and 4.2.2 above and from [Cau03a, Proposition 6.1.1], we deduce that:

Lemma 4.2.3.

1. $A^{(l)}$ is an iterated ore extension which can be written:

$$A^{(l)} = \mathbb{K}[X_1^{(l)}][X_2^{(l)}; \sigma_2^{(l)}, \delta_2^{(l)}] \dots [X_N^{(l)}; \sigma_N^{(l)}, \delta_N^{(l)}]$$

where $\sigma_j^{(l)}$ are \mathbb{K} -linear automorphisms and $\delta_j^{(l)}$ are \mathbb{K} -linear (left sided) $\sigma_j^{(l)}$ -derivations such that, for $1 \leq i < j \leq N, \sigma_j^{(l)}(X_i^{(l)}) = \lambda_{j,i}X_i^{(l)}$ and $\delta_j^{(l)}(X_i^{(l)}) = P_{j,i}^{(l)}$. 2. $A^{(l)}$ is the \mathbb{K} algebra generated by the elements $X_1^{(l)}, \ldots, X_N^{(l)}$ with relations (4).

Let us recall that the automorphisms h_m ($1 \le m \le N$) of the algebra A defined in Proposition 4.1.1 can be extended (uniquely) in automorphisms, also denoted by h_m , of the field F.

Lemma 4.2.4. If $1 \le m, i \le N$, one has $h_m(X_i^{(l)}) = \lambda_{m,i}X_i^{(l)}$ so that h_m induces (by restriction) an automorphism of the algebra $A^{(l)}$, denoted by $h_m^{(l)}$.

Proof. See [Cau03a, Lemme 4.2.1]. □

Convention. Denote by $H^{(l)}$ the subgroup of the automorphism group of $A^{(l)}$ generated by $h_m^{(l)}$ (1 \leq $m \leq N$).

By [Cau03a, Proposition 6.1.2], one has:

Lemma 4.2.5. The iterated Ore extension $A^{(l)} = \mathbb{K}[X_1^{(l)}][X_2^{(l)}; \sigma_2^{(l)}, \delta_2^{(l)}] \dots [X_N^{(l)}; \sigma_N^{(l)}, \delta_N^{(l)}]$ satisfies the conventions of [Cau03a, Section 3.1] with, as above, $\lambda_{i,j} = q^{-(\beta_i,\beta_j)}$ and $q_i = \lambda_{i,i} = q^{-\|\beta_i\|^2}$ for $1 \leq i, j \leq N$. It also satisfies the Hypothesis 4.1.2 of [Cau03a] with $H^{(l)}$ replacing H.

Corollary 4.2.6. If *J* is an $H^{(l)}$ -prime ideal of $A^{(l)}$ in the sense of [BG02, II.1.9], then *J* is completely prime.

Proof. One has:

- $A^{(l)} = \mathbb{K}[X_1^{(l)}][X_2^{(l)}; \sigma_2^{(l)}, \delta_2^{(l)}] \dots [X_N^{(l)}; \sigma_N^{(l)}, \delta_N^{(l)}]$ is an iterated Ore extension by Lemma 4.2.3. $X_1^{(l)}, X_2^{(l)}, \dots, X_N^{(l)}$ are $H^{(l)}$ -eigenvectors by Lemma 4.2.4. If $1 \le i < j \le N$, then one has $h_i^{(l)}(X_j^{(l)}) = \lambda_{j,i}X_i^{(l)} = \sigma_j^{(l)}(X_i^{(l)})$ and $h_j^{(l)}(X_j^{(l)}) = q_jX_j^{(l)}$ with $q_j = \lambda_{j,j} \in \mathbb{K}^*$ is not a root of unity by Lemmas 4.2.3 and 4.2.4.

Hence we deduce from [BG02, Theorem II.5.12] that I is completely prime. \Box

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From the construction of the deleting algorithm (see [Cau03a, Section 3.2]), one has:

Lemma 4.2.7.

1. $X_i^{(N+1)} = X_i$ for all $i \in [[1, N]]$. 2. If $2 \le l \le N$ and if $i \in [[1, N]]$, one has

$$X_{i}^{(l)} = \begin{cases} X_{i}^{(l+1)} & \text{if } i \ge l, \\ \sum_{n=0}^{+\infty} \left[\frac{(1-q_{l})^{-n}}{[n]!q_{l}} (\delta_{l}^{(l+1)})^{n} \circ (\sigma_{l}^{(l+1)})^{-n} (X_{i}^{(l+1)}) \right] (X_{l}^{(l+1)})^{-n} & \text{if } i < l. \end{cases}$$

$$(6)$$

Lemma 4.2.8. Let J be an $H^{(l)}$ -invariant (two sided) ideal of $A^{(l)}$. Let us consider an integer $j \in [\![2, N]\!]$ and denote by $B = \mathbb{K}[X_1^{(l)}][X_2^{(l)}; \sigma_2^{(l)}, \delta_2^{(l)}] \dots [X_{j-1}^{(l)}; \sigma_{j-1}^{(l)}, \delta_{j-1}^{(l)}]$ the subalgebra of $A^{(l)}$ generated by $X_1^{(l)}, \dots, X_{j-1}^{(l)}$. Then $\sigma_i^{(l)}(B \cap J) = B \cap J$ and $\delta_i^{(l)}(B \cap J) \subset B \cap J$.

Proof. By Lemmas 4.2.3 and 4.2.4, one has for $1 \le i < j$,

$$\sigma_j^{(l)}(X_i^{(l)}) = \lambda_{j,i} X_i^{(l)} = h_j^{(l)}(X_i^{(l)}).$$
⁽⁷⁾

As a result, for all $b \in B$, $\sigma_j^{(l)}(b) = h_j^{(l)}(b)$. As J is $H^{(l)}$ -invariant, and as B is $\sigma_j^{(l)}$ -invariant, we deduce that, for all $b \in B \cap J$, we have $\sigma_j^{(l)}(b) \in B \cap J$. So, $\sigma_j^{(l)}(B \cap J) \subset B \cap J$. From the equality (7), we get that:

$$(\sigma_j^{(l)})^{-1}(X_i^{(l)}) = \lambda_{j,i}^{-1} X_i^{(l)} = (h_i^{(l)})^{-1} (X_i^{(l)}).$$
(8)

As above, we deduce that $(\sigma_i^{(l)})^{-1}(B \cap J) \subset B \cap J$, so that $\sigma_i^{(l)}(B \cap J) = B \cap J$.

Finally, if $b \in B \cap J$, then we have $\delta_j^{(l)}(b) = X_j^{(l)}b - \sigma_j^{(l)}(b)X_j^{(l)} \in B \cap J$. \Box

If $l \in [\![2, N]\!]$, then it follows from (6) that $X_l^{(l)} = X_l^{(l+1)}$. This element is a nonzero element which belongs to the two algebras $A^{(l)}$ and $A^{(l+1)}$ (recall that none of the $X_i^{(l)}$ is null). So, the set $S_l := \{(X_l^{(l)})^p \mid p \in \mathbb{N}\}$ is a multiplicative system of regular elements of $A^{(l)}$ and $A^{(l+1)}$. From [Cau03a, Theorem 3.2.1], we deduce:

Lemma 4.2.9. Let $l \in [[2, N]]$. Then S_l is an Ore set in $A^{(l)}$ and also in $A^{(l+1)}$. Moreover, one has:

$$A^{(l)}S_l^{-1} = A^{(l+1)}S_l^{-1}.$$

4.3. Prime spectrum and diagrams

Let us recall that the convention are $X_i = X_{\beta_i}$ for $1 \le i \le N$. Denote $\overline{A} := A^{(2)} = \mathbb{K}\langle T_{\beta_1}, \ldots, T_{\beta_N} \rangle$ with $T_{\beta_i} = X_i^{(2)}$ for all *i*. By Lemmas 4.2.1 and 4.2.3, \overline{A} is the quantum affine space generated by T_{β_i} $(1 \le i \le N)$ with relations $T_{\beta_j}T_{\beta_i} = \lambda_{j,i}T_{\beta_i}T_{\beta_j}$ for $1 \le i < j \le N$.

Let us consider an integer $l \in [\![2, N]\!]$ and a prime ideal $P \in \text{Spec}(A^{(l+1)})$.

• Assume $X_l^{(l+1)} \notin P$. Then, by [Cau03a, Lemmas 4.2.2 and 4.3.1], we have $S_l \cap P = \emptyset$ and $Q := A^{(l)} \cap PS_l^{-1} \in \operatorname{Spec}(A^{(l)})$.

• Assume $X_{l}^{(l+1)} \in P$. Then, by [Cau03a, Lemma 4.3.2], there is a (unique) surjective algebra homomorphism

$$g: A^{(l)} \to \frac{A^{(l+1)}}{(\mathcal{P}^{(l+1)})}$$

which satisfies, for all *i*, $g(X_i^{(l)}) = \overline{X_i^{(l+1)}}$ (:= $X_i^{(l+1)} + (\mathcal{P}^{(l+1)})$), so that $Q = g^{-1}(\frac{P}{(X_i^{(l+1)})}) \in \mathbb{R}$ $\text{Spec}(A^{(l)}).$

We define this way a map ϕ_l : Spec $(A^{(l+1)}) \rightarrow$ Spec $(A^{(l)})$ that maps P to Q and, by composing these maps, we obtain a map $\phi = \phi_2 \circ \cdots \circ \phi_N$: Spec(A) \rightarrow Spec(\bar{A}). By [CauO3a, Proposition 4.3.1], one has:

Lemma 4.3.1. Each ϕ_l ($2 \leq l \leq N$) is injective, so that ϕ is injective.

We can now define the notion of diagrams and Cauchon diagrams.

Definition 4.3.2.

1. We call *diagram* a subset Δ of the set of positive roots Φ^+ , and we note:

$$\operatorname{Spec}_{\Delta}(\overline{A}) := \left\{ Q \in \operatorname{Spec}(\overline{A}) \mid Q \cap \{T_{\beta_1}, \dots, T_{\beta_N}\} = \{T_\beta \mid \beta \in \Delta\} \right\}.$$

2. A diagram Δ is a *Cauchon diagram* if there is $P \in \text{Spec}(A)$ such that $\phi(P) \in \text{Spec}_{\Lambda}(\overline{A})$, that is to say, if $\phi(P) \cap \{T_{\beta_1}, \ldots, T_{\beta_N}\} = \{T_\beta \mid \beta \in \Delta\}$. In this case, we set

$$\operatorname{Spec}_{\Lambda}(A) = \{ P \in \operatorname{Spec}(A) \mid \phi(P) \in \operatorname{Spec}_{\Lambda}(A) \}.$$

By [Cau03a, Theorems 5.1.1, 5.5.1 and 5.5.2], we have:

Proposition 4.3.3.

- 1. If Δ is a Cauchon diagram, then $\phi(\operatorname{Spec}_{\Lambda}(A)) = \operatorname{Spec}_{\Lambda}(\overline{A})$ and ϕ induced a bi-increasing homeomorphism from $\operatorname{Spec}_{\Lambda}(A)$ onto $\operatorname{Spec}_{\Lambda}(\overline{A})$.
- 2. The family $\operatorname{Spec}_{\Delta}(A)$ (with Δ Cauchon diagram) coincide with the Goodearl–Letzter H-stratification of Spec(*A*) [BG02].

In the following section, we describe more precisely Cauchon Diagrams. In order to do this, the criteria in the next proposition will be needed.

Proposition 4.3.4. Let $P^{(m)}$ be an H-prime ideal of $A^{(m)}$. $P^{(m)} \in \text{Im}(\phi_m)$ if and only if one of two following conditions is satisfied.

- 1. $X_m^{(m)} \notin P^{(m)}$. 2. $X_m^{(m)} \in P^{(m)}$ and $\Theta^{(m)}(\delta_m^{(m+1)}(X_i^{(m+1)})) \in P^{(m)}$ for $1 \leq i \leq m-1$ (where $\delta_m^{(m+1)}(X_i^{(m+1)}) = P_{m,i}^{(m+1)}(X_{i+1}^{(m+1)}, \dots, X_{m-1}^{(m+1)})$ (Lemma 4.2.1) and $\Theta^{(m)} : \mathbb{K}\langle X_1^{(m+1)}, \dots, X_{m-1}^{(m+1)} \rangle \to \mathbb{K}\langle X_1^{(m)}, \dots, X_{m-1}^{(m)} \rangle$ is the homomorphism which send $X_l^{(m+1)}$ to $X_l^{(m)}$).

Proof. Assume that $P^{(m)} \in \text{Im}(\phi_m)$, so that $P^{(m)} = \phi_m (P^{(m+1)})$ with $P^{(m+1)} \in \text{Spec}(A^{(m+1)})$, and assume that condition 1. is not satisfied. This implies that $P^{(m)} = \text{ker}(g)$ where $g : A^{(m)} \rightarrow A^{(m)}$

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 $\begin{array}{l} A^{(m+1)}/P^{(m+1)} \text{ is the homomorphism which sends } X_i^{(m)} \text{ to } x_i^{(m+1)} := X_i^{(m+1)} + P^{(m+1)}. \text{ Let } 1 \leqslant i \leqslant m-1. \text{ Recall that } \delta_m^{(m+1)}(X_i^{(m+1)}) = P_{m,i}^{(m+1)}(X_{i+1}^{(m+1)}, \ldots, X_{m-1}^{(m+1)}) \text{ and that } \Theta^{(m)} : k\langle X_1^{(m+1)}, \ldots, X_{m-1}^{(m+1)} \rangle \to k\langle X_1^{(m)}, \ldots, X_{m-1}^{(m)} \rangle \text{ is the homomorphism which transforms each } X_i^{(m+1)} \text{ in } X_i^{(m)}. \text{ Since } X_m^{(m)} \in P^{(m)}, \text{ we have } X_m^{(m+1)} \in P^{(m+1)} \text{ [CauO3a, Proposition 4.3.1] and so, } \delta_m^{(m+1)}(X_i^{(m+1)}) \in P^{(m+1)}. \\ \text{Now, we have } g(\Theta^{(m)}(\delta_m^{(m+1)}(X_i^{(m+1)}))) = g(\Theta^{(m)}(P_{m,i}^{(m+1)}(X_{i+1}^{(m+1)}, \ldots, X_{m-1}^{(m+1)}))) = g(P_{m,i}^{(m+1)}(X_{i+1}^{(m)}, \ldots, X_{m-1}^{(m+1)})) = g(P_{m,i}^{(m+1)}(X_{i+1}^{(m)})) = g(P_{m,i}^{(m)}(X_{i+1}^{(m)})) = g(P_{m,i}^{(m)}(X_{i+1}^{(m)$ $\Theta^{(m)}(\delta_m^{(m+1)}(X_i^{(m+1)})) \in \ker(g) = P^{(m)}.$

If condition 1. is satisfied, then $P^{(m)} \in \text{Im}(\phi_m)$ by [Cau03a, Lemma 4.3.1].

Assume that condition 2. is satisfied. Let $1 \le i \le m-1$. Then we have, as previously, $P_{m,i}^{(m+1)}(X_{i+1}^{(m)}, \dots, X_{m-1}^{(m)}) = \Theta^{(m)}(\delta_m^{(m+1)}(X_i^{(m+1)})) \in P^{(m)}$. So, in $Q^{(m)} = A^{(m)}/P^{(m)}$, we have $P_{m,i}^{(m+1)}(x_{i+1}^{(m)}, \dots, x_{m-1}^{(m)}) = \Theta^{(m)}(\delta_m^{(m+1)}(X_i^{(m+1)})) \in P^{(m)}$. So, in $Q^{(m)} = A^{(m)}/P^{(m)}$, we have $P_{m,i}^{(m+1)}(x_{i+1}^{(m)}, \dots, x_{m-1}^{(m)}) = \Theta^{(m)}(\delta_m^{(m+1)}(X_i^{(m+1)})) \in P^{(m)}$. 0.

Since $P_{m,i}^{(m)} = 0$ (see Lemma 4.2.1), we can write $x_m^{(m)} x_i^{(m)} - \lambda_{m,i} x_i^{(m)} x_m^{(m)} = P_{m,i}^{(m)} (x_{i+1}^{(m)}, \dots, x_{m-1}^{(m)}) = 0 = P_{m,i}^{(m+1)} (x_{i+1}^{(m)}, \dots, x_{m-1}^{(m)}).$ If $1 \le i \le j-1$ with $j \ne m$, it follows from Lemma 4.2.1 that:

$$x_{j}^{(m)}x_{i}^{(m)} - \lambda_{j,i}x_{i}^{(m)}x_{j}^{(m)} = P_{j,i}^{(m)}(x_{i+1}^{(m)}, \dots, x_{j-1}^{(m)}) = P_{j,i}^{(m+1)}(x_{i+1}^{(m)}, \dots, x_{j-1}^{(m)}).$$

So, by the universal property of algebras defined by generators and relations, there exists a (unique) homomorphism $\epsilon : A^{(m+1)} \to Q^{(m)}$ which sends $X_l^{(m+1)}$ to $x_l^{(m)}$ for all *l*. This homomorphism is surjective, and its kernel ker(ϵ) = $P^{(m+1)}$ is a prime ideal of $A^{(m+1)}$. We observe that, since $X_m^{(m)} \in P^{(m)}$, we have $X_m^{(m+1)} \in P^{(m+1)}$, and that ϵ induces an automorphism

$$\overline{\epsilon}: A^{(m+1)}/P^{(m+1)} \to Q^{(m)} = A^{(m)}/P^{(m)}$$

which sends $x_l^{(m+1)}$ to $x_l^{(m)}$ for all *l*. Recall that $f_m: A^{(m)} \to A^{(m)}/P^{(m)}$ denotes the canonical homomorphism. So, $g = (\overline{\epsilon})^{-1} \circ f_m : A^{(m)} \to A^{(m+1)}/P^{(m+1)}$ is the homomorphism which sends $X_l^{(m)}$ to $x_{l}^{(m+1)}$ for all *l*. As ker(g) = ker(f_{m}) = $P^{(m)}$, we conclude that $P^{(m)} = \phi_{m}(P^{(m+1)})$, as desired. \Box

5. Cauchon diagrams in $\mathcal{U}_{q}^{+}(\mathfrak{g})$

In [Cau03b], Cauchon uses a combinatorial tool to describe "admissible diagrams" (which are called "Cauchon diagrams" here) for the algebra $O_q(M_n(k))$ of quantum matrices. Thanks to Lusztig admissible planes theory (see Section 3.2), results from Section 3.3 and the deleting derivation theory, we describe those diagrams for $\mathcal{U}_q^+(\mathfrak{g})$ (where \mathfrak{g} is a simple Lie algebra of finite dimension over \mathbb{C}). The goal of this section is to prove the following statement:

Theorem. A diagram $\Delta \subset \Phi^+$ satisfies all the implications from admissible planes (to be defined) if and only if Δ is a Cauchon diagram (in the sense of Definition 4.3.2).

5.1. Implications in a diagram

Lemma 5.1.1. Let $j \in [\![1, N]\!]$, $l \in [\![2, N]\!]$, $P^{(l+1)}$ be a prime ideal of $A^{(l+1)}$ and $P^{(l)} := \varphi_l(P^{(l+1)})$.

1. If $X_i^{(l+1)} \in P^{(l+1)}$, then $X_i^{(l)} \in P^{(l)}$. 2. If $X_i^{(l+1)} = X_i^{(l)}$ (this is in particular the case if $j \ge l$), then one has: $X_i^{(l+1)} \in P^{(l+1)}$ if and only if $X_i^{(l)} \in P^{(l)}$.

Proof. The second point can be shown as in [Cau03a, Lemma 4.3.4]. Let us show the first point when j < l.

1st case: The pivot (in reference to Gaussian elimination) $\varpi := X_l^{(l+1)}$ belongs to $P^{(l+1)}$. Recall (see Section 4.2) that there is a surjective homomorphism of algebra

$$g: A^{(l)} \to \frac{A^{(l+1)}}{(\mathcal{P}^{(l+1)})}$$

which satisfies $g(X_i^{(l)}) = \overline{X_i^{(l+1)}}$ (:= $X_i^{(l+1)} + (\mathcal{P}^{(l+1)})$) for all $i \in [[1, N]]$. As $X_j^{(l+1)} \in P^{(l+1)}$, one has $g(X_j^{(l)}) \in \frac{P^{(l+1)}}{(X_i^{(l+1)})}$, so that $X_j^{(l)} \in g^{-1}(\frac{P^{(l+1)}}{(X_i^{(l+1)})}) =: P^{(l)}$.

2*nd case*: The pivot $\varpi = X_l^{(l+1)}$ does not belongs to $P^{(l+1)}$. Set $S_l := \{\varpi^n \mid n \in \mathbb{N}\}$. Recall (see Section 4.2) that we have $P^{(l)} = A^{(l)} \cap (P^{(l+1)}S_l^{-1})$. Set $J := \bigcap_{h \in H^{(l+1)}} h(P^{(l+1)})$ and observe that J is an $H^{(l+1)}$ -invariant two-sided ideal by construction. As $A^{(l+1)}$ [Cau03a, Hypothesis 4.1.2] by Lemma 4.2.5, $X_j^{(l+1)}$ is an $H^{(l+1)}$ -eigenvector. Thus, since $X_j^{(l+1)}$ belongs to $P^{(l+1)}$, it also belongs to J. From Lemma 4.2.8, we deduce that $(\delta_l^{(l+1)})^n \circ (\sigma_l^{(l+1)})^{-n}(X_j^{(l+1)}) \in J \subset P^{(l+1)}$ for all $n \in \mathbb{N}$.

As a result, we get:

$$X_{j}^{(l)} = \sum_{n=0}^{+\infty} \left[\frac{(1-q_{l})^{-n}}{[n]!_{q_{l}}} \left(\delta_{l}^{(l+1)} \right)^{n} \circ \left(\sigma_{l}^{(l+1)} \right)^{-n} \left(X_{j}^{(l+1)} \right) \right] \left(X_{l}^{(l+1)} \right)^{-n} \in P^{(l+1)} S_{l}^{-1}.$$

Thus, $X_j^{(l)} \in A^{(l)} \cap (P^{(l+1)}S_l^{-1}) = P^{(l)}$. \Box

Lemma 5.1.2. Let $l \in [\![2, N]\!]$ and $P^{(l+1)}$ be a prime ideal of $A^{(l+1)}$. Consider an integer j with $2 \leq j < l$ and set $P^{(j)} = \varphi_j \circ \cdots \circ \varphi_l(P^{(l+1)})$.

- 1. Assume that β_j is in the same box as β_l or in the box before β_l 's one. Then
 - $X_j^{(j+1)} = X_j^{(j+2)} = \dots = X_j^{(l+1)},$ • $(X_i^{(j+1)} \in P^{(j+1)}) \Rightarrow (X_i^{(j+2)} \in P^{(j+2)}) \Rightarrow \dots \Rightarrow (X_i^{(l+1)} \in P^{(l+1)}).$
- 2. Assume that the boxes B and B' of β_j and β_l (respectively) are separated by a box B'' containing a unique element β_e such that $X_e^{(e+1)} \in P^{(e+1)}$. Then $(X_j^{(j+1)} \in P^{(j+1)}) \Rightarrow (X_j^{(l+1)} \in P^{(l+1)})$.

Proof.

1. Let $k \in [[j + 1, l]]$ so that β_k is, in the same box as β_j , or in the same box as β_l . As these boxes are consecutive or equal, one has $X_k X_j = q^{-\langle \beta_k, \beta_j \rangle} X_j X_k$, so that by Lemma 4.2.1, we have $X_k^{(k+1)} X_j^{(k+1)} = q^{-\langle \beta_k, \beta_j \rangle} X_j^{(k+1)} X_k^{(k+1)}$. So one has $\delta_k^{(k+1)} (X_j^{(k+1)}) = 0$ and, by [Cau03a, Section 3.2], we get:

$$\begin{split} X_{j}^{(k)} &= \sum_{s=0}^{+\infty} \lambda_{s} \big(\delta_{k}^{(k+1)} \big)^{s} \circ \big(\sigma_{k}^{(k+1)} \big)^{-s} \big(X_{j}^{(k+1)} \big) \big(X_{k}^{(k+1)} \big)^{-s} \\ &= \sum_{s=0}^{+\infty} \lambda_{s}' \big(\delta_{k}^{(k+1)} \big)^{s} \big(X_{j}^{(k+1)} \big) \big(X_{k}^{(k+1)} \big)^{-s} = X_{j}^{(k+1)} \quad (\lambda_{s}, \lambda_{s}' \in \mathbb{K}) \end{split}$$

This shows the first point. The second point follows from Lemma 5.1.1.

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2. As *B* and *B*'' are consecutive, 1. implies that $X_j^{(j+1)} = \cdots = X_j^{(e+1)}$ and that $(X_j^{(j+1)} \in P^{(j+1)}) \Rightarrow$ $\cdots \Rightarrow (X_j^{(e+1)} \in P^{(e+1)}). \text{ It just remains to show that } X_j^{(k)} \in P^{(k)} \Rightarrow X_j^{(k+1)} \in P^{(k+1)} \text{ for } e+1 \leq k \leq l.$ We do that by induction on k. As in the previous point, we have

$$X_{j}^{(k)} = X_{j}^{(k+1)} + \sum_{s=1}^{+\infty} \lambda_{s} \left(\delta_{k}^{(k+1)} \right)^{s} \circ \left(\sigma_{k}^{(k+1)} \right)^{-s} \left(X_{j}^{(k+1)} \right) \left(X_{k}^{(k+1)} \right)^{-s} \quad (\lambda_{s} \in \mathbb{K})$$

- If δ_k^(k+1)(X_j^(k+1)) = 0, then one has X_j^(k) = X_j^(k+1) and we conclude thanks to Lemma 5.1.1.
 Otherwise, one has δ_k^(k+1)(X_j^(k+1)) = λ(X_e^(k+1))^m (m ∈ N^{*}, λ ∈ K^{*}) by Lemma 4.2.1 and, as B' and
- B'' are consecutive.

$$\begin{split} \delta_k^{(k+1)} \big(X_e^{(k+1)} \big) &= 0 \quad \Rightarrow \quad \big(\delta_k^{(k+1)} \big)^s (X_j^{(k+1)}) = \lambda \big(\delta_k^{(k+1)} \big)^{s-1} \big((X_e^{(k+1)})^m \big) = 0 \quad \text{for } s > 1 \\ &\Rightarrow \quad X_j^{(k)} = X_j^{(k+1)} + \lambda' \big(X_e^{(k+1)} \big)^m \big(X_k^{(k+1)} \big)^{-1} \quad \text{with } \lambda' \in \mathbb{K}^\star. \end{split}$$

•• If $X_k^{k+1} \in P^{(k+1)}$, then consider the homomorphism $g: A^{(k)} \to \frac{A^{(k+1)}}{(P^{(k+1)})}$ which satisfies $g(X_i^{(k)}) = \overline{X_i^{(k+1)}}$ (:= $X_i^{(k+1)} + (P^{(k+1)})$) for $i \in [\![1, N]\!]$ (see Section 4.2). By definition of ϕ_k (see [Cau03a, Notation 4.3.1.]), one has $P^{(k)} = g^{-1}(\frac{P^{(k+1)}}{X_k^{(k+1)}})$. So $X_j^{(k)} \in P^{(k)} \Rightarrow g(X_j^{(k)}) =$ $\overline{X_j^{(k+1)}} \in \frac{P^{(k+1)}}{(X_j^{(k+1)})} \Rightarrow X_j^{(k+1)} \in P^{(k+1)}.$

•• By 1., one has $X_e^{(e+1)} = \cdots = X_e^{(k)} = X_e^{(k+1)}$ and $(X_e^{(e+1)} \in P^{(e+1)}) \Rightarrow \cdots \Rightarrow (X_e^{(k)} \in P^{(k)}) \Rightarrow (X_e^{(k+1)} \in P^{(k+1)})$. Set, as in [Cau03a, Theorem 3.2.1], $S_k := \{(X_k^{(k+1)})^n \mid n \in \mathbb{N}\}$ so that $P^{(k+1)} = A^{(k+1)} \cap (P^{(k)}S_k^{-1}) \text{ by definition of } \varphi_k \text{ [Cau3a, Notation 4.3.1.]. Then one has } X_j^{(k+1)} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k+1)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k+1)})^{-1} \in P^{(k)}S_k^{-1}. \text{ As } X_j^{(k+1)} \text{ is } X_j^{(k)} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k+1)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_k^{(k)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^m (X_e^{(k)})^{-1} = X_j^{(k)} - \lambda'(X_e^{(k)})^{-1} = X_j^{(k)} - \lambda'(X_e^{$ also in $A^{(k+1)}$, one has $X_i^{(k+1)} \in P^{(k+1)}$, as claimed.

We use [Cau03a, Proposition 5.2.1] to determine the shape of Cauchon diagrams. Let us rewrite this proposition in our notation:

Proposition 5.1.3. Let Δ be a Cauchon diagram and let $P \in \text{Spec}(A)$. The ideal P belongs to $\text{Spec}_{\Delta}(A)$ if and only if it satisfies the following criteria:

$$\left(\forall l \in \llbracket 1, N \rrbracket\right) \quad \left(X_l^{(l+1)} \in P^{(l+1)} \Leftrightarrow \beta_l \in \Delta\right).$$

We can now prove the following proposition.

Proposition 5.1.4. Let Δ be a Cauchon diagram and $\beta_l \in \Delta$ $(1 \leq l \leq N)$. Assume there is an integer $k \in$ $\llbracket 1, l-1 \rrbracket \text{ such that } X_{\beta_l} X_{\beta_k} - q^{-(\beta_l, \beta_k)} X_{\beta_k} X_{\beta_l} = c X_{\beta_l} \dots X_{\beta_l} \text{ with } c \in \mathbb{K}^{\star}, s \ge 1 \text{ and } k < i_1 \leqslant \dots \leqslant i_s < l.$ Then one of the β_{i_r} $(1 \leq r \leq s)$ belongs to Δ .

Proof. Let $P \in \text{Spec}_{\Lambda}(A)$. By Lemma 4.2.1, one has:

$$X_l^{(l+1)}X_k^{(l+1)} - q^{-(\beta_l,\beta_k)}X_k^{(l+1)}X_l^{(l+1)} = cX_{\beta_{i_1}}^{(l+1)}\dots X_{\beta_{i_s}}^{(l+1)} := M.$$

By Proposition 5.1.3, one has $X_l^{(l+1)} \in P^{(l+1)}$ so that $M \in P^{(l+1)}$. As $P^{(l+1)}$ is a prime ideal of $A^{(l+1)}$, we know by [BG02, II.6.9] that $P^{(l+1)}$ is completely prime, so that there exists $r \in [1, s]$ such that $X_{\beta_{i_r}}^{(l+1)} \in P^{(l+1)}$. By Lemma 5.1.1, we deduce that $X_{i_r}^{(i_r+1)} \in P^{(i_r+1)}$ and, by Proposition 5.1.3, we obtain $\beta_{i_r} \in \Delta$. \Box

Convention. We say that a diagram Δ satisfies the implication

1.
$$\beta_{j_0} \rightarrow \beta_{j_1}$$
 if $(\beta_{j_0} \in \Delta) \Rightarrow (\beta_{j_1} \in \Delta)$.
2. $\beta_{j_0} = \overbrace{\beta_{j_s}}^{\beta_{j_1}} \vdots$
 β_{j_s} if $(\beta_{j_0} \in \Delta) \Rightarrow (\beta_{j_1} \in \Delta)$ or ... or $(\beta_{j_1} \in \Delta)$.

Proposition 5.1.4 can be rewritten as follows:

Proposition 5.1.5. Let Δ be a Cauchon diagram and $\beta_l \in \Delta$ $(1 \leq l \leq N)$. Assume that there exists an integer $k \in [\![1, l-1]\!]$ such that $X_{\beta_l}X_{\beta_k} - q^{-(\beta_l,\beta_k)}X_{\beta_k}X_{\beta_l} = cX_{\beta_{i_1}}^{m_1} \dots X_{\beta_{i_s}}^{m_s}$ with $c \in \mathbb{K}^*$, $s \geq 1$, $k < i_1 < \dots < i_s < l$ and $m_1, \dots, m_s \in \mathbb{N}^*$.

- 1. If s = 1, then the solid arrow $\beta_l \rightarrow \beta_{i_1}$ is an implication.
- 2. If $s \ge 2$, then the system $\beta_i = \frac{\beta_{i_1}}{\beta_{i_s}}$ of dashed arrows is an implication.

In the three following propositions, denotes by Δ a Cauchon diagram.

Proposition 5.1.6. Let $1 \leq l \leq n$ and $\beta \in C_l$. If there exists $i \in [[1, l-1]]$ such that $\beta + \alpha_i = m\beta'$ with $m \in \mathbb{N}^*$ and $\beta' \in \Phi^+$, then $\beta \to \beta'$ is an implication.

Proof. We know (see Proposition 3.2.5 when Φ is of type G_2 , Corollary 3.2.7 when Φ is not of type G_2) that we have in this case a commutation relation of the type $E_{\beta}E_{\alpha_i} - q^{(\beta,\alpha_i)}E_{\alpha_i}E_{\beta} = kE_{\beta'}^m$ with $k \neq 0$ (where E_{γ} are defined in Section 3.2).

Then, it follows from Proposition 3.4.8 that $X_{\beta}X_{\alpha_i} - q^{-(\beta,\alpha_i)}X_{\alpha_i}X_{\beta} = k'X_{\beta'}^m$ with $k' \neq 0$. So we deduce from Proposition 5.1.5 that $\beta \to \beta'$ is an implication. \Box

Proposition 5.1.7. Let C_l $(1 \le l \le n)$ be an exceptional column. If $\beta \in C_l$ is in the box following the box of the exceptional root β_{ex} , then $\beta \to \beta_{ex}$ is an implication.

Proof. Suppose first that Φ is of type G_2 . With the notation of Proposition 3.2.5, one has l = 2, $\beta_{ex} = \beta_4$, $\beta = \beta_5$ and one has a commutation formula of the type $E_{\beta_5}E_{\beta_3} - q^{(\beta_3,\beta_5)}E_{\beta_3}E_{\beta_5} = kE_{\beta_4}$ with $k \in \mathbb{K}^*$. It implies, by Proposition 5.1.5 that $\beta = \beta_5 \rightarrow \beta_{ex} = \beta_4$ is an implication.

Suppose now that Φ is not of type G_2 . We know (see Proposition 2.2.12) that $h'(\beta_{ex}) = t + \frac{1}{2}$ $(t \in \mathbb{N}^*)$, so that $h'(\beta) = h(\beta) = t$. We also know (see Proposition 2.2.3) that if $D = \operatorname{Vect}(\beta_{ex})$, one has $\beta' = s_D(\beta) = \beta_{ex} - \beta \in C_l$, so that $h'(\beta') = h(\beta') = h(\beta_{ex}) - h(\beta) = t + 1$. As a result, $P = \operatorname{Vect}(\beta, \beta')$ is an admissible plane of type (1.1) or (1.2). So, by Proposition 3.2.6, we have a commutation relation of the type $E_\beta E_{\beta'} - q^{(\beta,\beta')} E_{\beta'} E_\beta = k E_{\beta_{ex}}$ with $k \neq 0$. As in Proposition 5.1.6, this implies that $\beta \to \beta_{ex}$ is an implication. \Box

Proposition 5.1.8. Let C_l $(1 \le l \le n)$ be an exceptional column and β_{ex} be its exceptional root. Assume that there exists $i \in [\![1, l]\![$ such that $\beta_{ex} + \alpha_i = \beta'_{i_1} + \beta'_{i_2}$ with $\beta'_{i_1} \ne \beta'_{i_2}$ in the box which precedes β_{ex} . Then the

system
$$\beta_{ex} \leq \beta_{i_1}^{\beta_{i_1}}$$
 of dashed arrows is an implication.

Proof. As, by hypothesis, $\beta'_{i_1} \neq \beta'_{i_2}$ are in the box preceding the box of β_{ex} , the root system is not of type G_2 (see Proposition 3.2.5).

As in the proof of Proposition 5.1.6, it is enough to prove that: $[E_{\beta_{ex}}, E_{\alpha_i}]_q := E_{\beta_{ex}}E_{\alpha_i} - q^{(\beta_{ex},\alpha_i)}E_{\alpha_i}E_{\beta_{ex}} = \lambda E_{\beta'_{i_1}}E_{\beta'_{i_2}}$ with $\lambda \in \mathbb{K}^*$. Recall from Proposition 2.2.3 that $\beta_{ex} \perp \alpha_i$, so that:

$$\left(\alpha_{i},\beta_{i_{1}}'+\beta_{i_{2}}'\right)=\left(\alpha_{i},\beta_{ex}+\alpha_{i}\right)=\|\alpha_{i}\|^{2} \quad \Rightarrow \quad \left(\alpha_{i},\beta_{i_{1}}'\right)>0 \text{ or } \left(\alpha_{i},\beta_{i_{2}}'\right)>0.$$

We can assume, without loss of generality, that $(\alpha_i, \beta'_{i_2}) > 0$, so that (Corollary 3.2.7) $[E_{\beta'_{i_2}}, E_{\alpha_i}]_q = 0$. As in the proof of the previous proposition, one has:

- $h'(\beta_{ex}) = t + \frac{1}{2}$ $(t \in \mathbb{N}^*)$ and $h'(\beta'_{i_1}) = h'(\beta'_{i_1}) = t + 1$,
- $\beta_{i_1} = s_D(\beta'_{i_1})$ and $\beta_{i_2} = s_D(\beta'_{i_2})$ belong to C_l and satisfy $h'(\beta_{i_1}) = h'(\beta_{i_1}) = t$,
- $E_{\beta_{i_2}}E_{\beta'_{i_2}} q^{(\beta_{i_2},\beta'_{i_2})}E_{\beta'_{i_2}}E_{\beta_{i_2}} = kE_{\beta_{ex}}$ with $k \neq 0$. (*)

By definition of β_{i_2} , one has $\beta_{ex} = \beta_{i_2} + \beta'_{i_2}$, so that $\beta'_{i_1} + \beta'_{i_2} = \beta_{ex} + \alpha_i = \beta_{i_2} + \beta'_{i_2} + \alpha_i \Rightarrow \beta'_{i_1} = \beta_{i_2} + \alpha_i$. Thus, by Corollary 3.2.7, we have $[E_{\beta_{i_2}}, E_{\alpha_i}]_q := hE_{\beta'_{i_1}}$ ($h \neq 0$). We know that $\mathcal{U}_q^+(\mathfrak{g})$ is $\mathbb{Z}\Phi$ -graded. So there is a (unique) automorphism σ of $\mathcal{U}_q^+(\mathfrak{g})$ such that for all $u \in \mathcal{U}_q^+(\mathfrak{g})$, homogeneous in degree β , $\sigma(u) = q^{(\beta,\alpha_i)}u$.

Denote by δ the interior right-sided σ -derivation associated to E_{α_i} , so that $\delta(u) = uE_{\alpha_i} - E_{\alpha_i}\sigma(u)$ $(\forall u \in U_q^+(\mathfrak{g}))$. If $\beta \in C_l$, one has $\delta(E_\beta) = E_\beta E_{\alpha_i} - q^{(\beta,\alpha_i)}E_{\alpha_i}E_\beta = [E_\beta, E_{\alpha_i}]_q$ and, this implies $\delta(E_{\beta'_1}) = 0$ and $\delta(E_{\beta_{l_2}}) = hE_{\beta'_{l_1}}$. We can show with (\star) that:

$$k[E_{\beta_{ex}}, E_{\alpha_{i}}]_{q} = k\delta(\beta_{ex}) = \delta(E_{\beta_{i_{2}}}E_{\beta_{i_{2}}'}) - q^{(\beta_{i_{2}},\beta_{i_{2}}')}\delta(E_{\beta_{i_{2}}'}E_{\beta_{i_{2}}})$$

$$= E_{\beta_{i_{2}}}\delta(E_{\beta_{i_{2}}'}) + \delta(E_{\beta_{i_{2}}})\sigma(E_{\beta_{i_{2}}'}) - q^{(\beta_{i_{2}},\beta_{i_{2}}')}(E_{\beta_{i_{2}}'}\delta(E_{\beta_{i_{2}}}) + \delta(E_{\beta_{i_{2}}'})\sigma(E_{\beta_{i_{2}}}))$$

$$= h[q^{(\beta_{i_{2}}',\alpha_{i})}E_{\beta_{i_{1}}'}E_{\beta_{i_{2}}'} - q^{(\beta_{i_{2}},\beta_{i_{2}}')}E_{\beta_{i_{2}}'}E_{\beta_{i_{1}}'}].$$

As β'_{i_2} and β'_{i_1} are in the same box, we know (Corollary 3.4.7) that $E_{\beta'_{i_1}}E_{\beta'_{i_2}} = E_{\beta'_{i_2}}E_{\beta'_{i_1}}$, so that $k[E_{\beta_{ex}}, E_{\alpha_i}]_q = h(q^{(\beta'_{i_2}, \alpha_i)} - q^{(\beta_{i_2}, \beta'_{i_2})})E_{\beta'_{i_1}}E_{\beta'_{i_2}}$. Since $\beta_{i_2} + \beta'_{i_2} = \beta_{ex}$, $P = \operatorname{Vect}(\beta_{i_2}, \beta'_{i_2})$ is an admissible plane of type (1.1) or (1.2) (see Remark 2.2.14) with $\{\beta_{i_2}, \beta'_{i_2}\} = \{\beta, \beta'\}$, so that $(\beta_{i_2}, \beta'_{i_2}) \leq 0$. As we have assumed that $(\alpha_i, \beta'_{i_2}) > 0$, this implies that $[E_{\beta_{ex}}, E_{\alpha_i}]_q := E_{\beta_{ex}}E_{\alpha_i} - q^{(\beta_{ex}, \alpha_i)}E_{\alpha_i}E_{\beta_{ex}} = \lambda E_{\beta'_{i_1}}E_{\beta'_{i_2}}$ with $\lambda \neq 0$. \Box

5.2. Implications from an admissible plane

We define the notion of implications coming from an admissible plane *P*, and we verify that all Cauchon diagrams satisfy all implications from admissible planes. Let us begin by showing some precise results on the exceptional root and near boxes behaviour. First, let us recall some notation introduced in Sections 2 and 3.

Notation. C_1, \ldots, C_n denote the columns of Φ^+ (relative to the chosen Lusztig order). In the following, we consider a diagram Δ , that is, Δ a subset of Φ^+ . For any integer $j \in [\![1, n]\!]$, we set $\Delta_j := \Delta \cap C_j = \{\beta_{u_1}, \ldots, \beta_{u_l}\} \subset C_j = \{\beta_k, \ldots, \beta_r\}$. If the column C_j is exceptional, β_{ex} denotes the exceptional root and $B_{ex} := \{\beta_{ex}\}$ is its box. Then B_1 denote the box of C_j which precedes B_{ex} and B'_1 the one which follows B_{ex} in the Lusztig order; so that $s_D(B_1) = B'_1$.

In Propositions 5.1.6, 5.1.7 and 5.1.8, we proved the existence of implications thanks to admissible planes. We formalise this fact in the following definition of "implications coming from an admissible plane":

Definition 5.2.1. Let $\beta \in C_j$ with $h'(\beta) = l$ and, *P* be an admissible plane.

- 1. If $\Phi_P^+ = \{\beta, \beta + \alpha_i, \alpha_i\}$ with i < j type (2.1), then the implication coming from *P* is $\beta \to \beta + \alpha_i$.
- 2. $\Phi_p^+ = \{\beta, \beta + \alpha_i, \beta + 2\alpha_i, \alpha_i\}$ with i < j type (2.3), then the implications coming from *P* are $\beta \rightarrow \beta + \alpha_i$ and $\beta + \alpha_i \rightarrow \beta + 2\alpha_i$.
- 3. $\Phi_P^+ = \{\beta, \beta + \beta', \beta\}$ with $i < j, \beta' \in C_j$ and $h(\beta') = h(\beta) + 1$ type (1.1), then the implication coming from *P* is $\beta \to \beta + \beta'$.
- 4. $\Phi_P^+ = \{\alpha_i, \alpha_i + \beta, \alpha_i + 2\beta, \beta\}$ with i < j, $h'(\alpha_i + 2\beta) = \frac{2l+1}{2}$ and $h(\beta) = l$ type (1.2) or type (2.2), then the implications coming from *P* are $\beta \rightarrow \alpha_i + \beta$, $\beta \rightarrow \alpha_i + 2\beta$ and $\alpha_i + 2\beta \rightarrow \alpha_i + \beta$.
- 5. $\Phi_p^+ = \{\beta, \alpha_i\}$ with $i < j, \alpha_i \perp \beta$ and there are β_1 and β_2 in C_j such that $\beta + \alpha_i = \beta_1 + \beta_2$ type (2.4),

then the implications coming from *P* are β_2

6. $\Phi_P^+ = \Phi^+ = \{\beta_1, \dots, \beta_6\}$ is the positive part of a roots system of type G_2 (see Proposition 3.2.5), then the implications coming from *P* are $\beta_6 \rightarrow \beta_5$, $\beta_5 \rightarrow \beta_4$, $\beta_5 \rightarrow \beta_3$, $\beta_4 \rightarrow \beta_3$, $\beta_3 \rightarrow \beta_2$.

Lemma 5.2.2. *Let* $\beta \in C_j$.

- 1. If β belongs to a box which follows $\{\beta_{ex}\}$, then $\beta \to \beta_{ex}$ is an implication from an admissible plane.
- 2. If there is i < j such that $\gamma = \beta + \alpha_i \in \Phi^+$ then $\beta \to \gamma$ is an implication from an admissible plane.

Proof. The results holds in the case where Φ is of type G_2 . From now on, we assume that Φ is not of type G_2 .

- 1. Let $P = \langle \beta, \beta_{ex} \rangle$. It is an admissible plane of type 3 or 4 in the previous definition and in each case, $\beta \to \beta_{ex}$ is an implication coming from *P*.
- 2. Let $P = \langle \beta, \alpha_i \rangle$. It is an admissible plane of type 1,2 or 4 in the previous definition and in each case, $\beta \rightarrow \gamma$ is an implication coming from *P*. \Box

Proposition 5.2.3. Let Δ be a Cauchon diagram. Then Δ satisfies all the implication coming from admissible planes containing elements of Δ .

Proof. Let $\beta \in \Delta$ and *P* be an admissible plane containing β . Recall (see Definition 5.2.1) that $\Phi_p^+ = \Phi^+ \cap P$.

- 1. If $\Phi_p^+ = \{\beta, \beta + \alpha_i, \alpha_i\}$ with i < j, then it follows from Proposition 5.1.6 that Δ satisfies the implication $\beta \rightarrow \beta + \alpha_i$.
- 2. If $\Phi_P^+ = \{\beta, \beta + \alpha_i, \beta + 2\alpha_i, \alpha_i\}$ with i < j, then applying Proposition 5.1.6 to β and $\beta + \alpha_i$, we get that Δ satisfies the implications $\beta \rightarrow \beta + \alpha_i$ and $\beta + \alpha_i \rightarrow \beta + 2\alpha_i$.
- 3. If $\Phi_p^+ = \{\beta, \beta + \beta', \beta\}$ with $i < j, \beta' \in C_j$ and $h(\beta') = h(\beta) + 1$ then it follows from Proposition 5.1.7 that Δ satisfies the implication $\beta \rightarrow \beta + \beta'$.
- 4. If $\Phi_p^+ = \{\alpha_i, \alpha_i + \beta, \alpha_i + 2\beta, \beta\}$ with i < j and $h'(\alpha_i + 2\beta) = \frac{2l+1}{2}$, then it follows from Propositions 5.1.6, 5.1.7 and 5.1.8 that Δ satisfies the implications $\beta \rightarrow \alpha_i + \beta$, $\beta \rightarrow \alpha_i + 2\beta$ and $\alpha_i + 2\beta \rightarrow \alpha_i + \beta$.

5. If $\Phi_p^+ = \{\beta, \alpha_i\}$ with i < j, $\alpha_i \perp \beta$ and there exist β_1 and β_2 in C_j such that $\beta + \alpha_i = \beta_1 + \beta_2$, then β_{ex}

it follows from Proposition 5.1.8 that Δ satisfies the implication

6. If $\Phi_p^+ = \Phi^+$ is of type G_2 , Proposition 5.1.6 implies that Δ satisfies the implications $\beta_6 \to \beta_5$, $\beta_5 \rightarrow \beta_3, \beta_4 \rightarrow \beta_3, \beta_3 \rightarrow \beta_2$. Moreover Proposition 5.1.7 implies that Δ satisfies the implication $\beta_5 \rightarrow \beta_4$. \Box

5.3. The converse

The goal of this section is to prove the converse of Proposition 5.2.3, that is:

Theorem 5.3.1. If Δ is a diagram which satisfies all the implications coming from admissible planes, then Δ is a Cauchon diagram.

Let $\beta \in \Phi^+$ be a positive root of the column C_i . We denote by B_0 the box which contains β , by B_1 the box which precedes B_0 in the column C_i (if it exists) and by B_2 the box which precedes B_1 in C_i (if it exists).

Set $\Phi_{\beta}^+ = \{\alpha_i \mid i < j\} \cup \{\gamma < \beta \mid \gamma \text{ is in the box of } \beta\} \cup B_1 \cup (B_2 \text{ if } B_1 = \{\beta_{ex}\}).$ If $\gamma \in \Phi^+$, then there exists $k \in [\![1, N]\!]$ such that $\gamma = \beta_k$ and recall (see Section 4.1) that $X_{\gamma} = X_k$. Set $D_{\beta} := \mathbb{K} \langle X_{\gamma} | \gamma < \beta \rangle$.

Lemma 5.3.2. $D_{\beta} = \mathbb{K} \langle X_{\gamma} \mid \gamma \in \Phi_{\beta}^{+} \rangle$.

Proof. Set $D'_{\beta} := \mathbb{K} < X_{\gamma} \mid \gamma \in \Phi_{\beta}^+ > \subset D_{\beta}$. Let us start by showing that, for i < j, we have $\{X_{\gamma}, Y_{\gamma}\} \in \mathbb{K}$ $\gamma \in C_i \} \subset D'_{\beta}$. If Φ is of type G_2 , $\{X_{\gamma}, \gamma \in C_i\}$ is the empty set or it only contains $X_{\alpha_1} \in D'_{\beta}$. If Φ si not of type G_2 , then we prove this result by induction on $h(\gamma)$.

- If $h(\gamma) = 1$, then $\gamma = \alpha_i$ and $X_{\gamma} \in D'_{\beta}$ by definition of Φ_{β}^+ .
- If $h(\gamma) > 1$ and γ ordinary, then by Proposition 2.2.11, there exists l < i such that $\gamma' = \gamma \alpha_l \in \Phi^+$, so that, by Corollary 3.2.7 and Proposition 3.4.8, one has $X_{\gamma} \in \mathbb{K} < X_{\gamma'}, X_{\alpha_l} > \subset D'_{\beta}$ (by induction hypothesis).
- If $h(\gamma) > 1$ and γ exceptional, then we know (see Proposition 2.2.3) that in this case, there are two ordinary roots of C_i , denoted η_1 and η_2 , such that $\eta_1 + \eta_2 = \gamma$ and $h(\eta_2) = h(\eta_1) + 1$. This implies by Corollary 3.2.7 and Proposition 3.4.8 that $X_{\gamma} \in \mathbb{K}\langle X_{\eta_1}, X_{\eta_2} \rangle \subset D'_{\beta}$ $(X_{\eta_1} \text{ and } X_{\eta_2})$ are in D'_{β} because η_1 and η_2 are exceptional).

It just remains to show that $\{X_{\gamma} \mid \gamma \in C_j, \ \gamma < \beta\} \subset D'_{\beta}$.

If $h(\gamma) = h(\beta)$ with $\gamma < \beta$, then $\gamma \in \Phi_{\beta}^+$. So $X_{\gamma} \in D'_{\beta}$.

One uses again an induction to show that for each ordinary box B of C_j such that $B < B_0$ (i.e. all roots β of *B* are strictly less than all roots of B_0), one has $\{X_{\gamma} \mid \gamma \in B\} \subset D'_{\beta}$.

Assume that B_1 ordinary. The result is true for the box B_1 since $B_1 \subset \Phi_{\beta}^+$.

Let *B* be an ordinary root of C_i such that $h(B) > h(B_1)$ and $\gamma \in B$. By Proposition 2.2.11, there is $\alpha_l \in \Pi$ (l < j) such that $\gamma - \alpha_l \in \Phi^+$. Then $\gamma' := \gamma - \alpha_l$ is in an ordinary box B' of C_i such that $h(B) = h(B') + 1 > h(B') \ge h(B_1) > h(B_0)$ and one has $X_{\gamma'} \in D'_{\beta}$ by induction hypothesis.

If Φ is not of type G_2 , then we deduce from Corollary 3.2.7 and Proposition 3.4.8 that $[X_{\gamma'}, X_{\alpha_l}]_q = kX_{\gamma}$ with $k \in \mathbb{K}^{\star}$. As $X_{\alpha_l} \in D'_{\beta}$, this implies that $X_{\gamma} \in D'_{\beta}$.

If Φ is of type G_2 , then we deduce from Propositions 3.2.5 and 3.4.8 that $[X_{\gamma'}, X_{\alpha_l}]_q = kX_{\gamma'}$ with $k \in \mathbb{K}^*$. As $X_{\alpha_l} \in D'_{\beta}$, this implies that $X_{\gamma} \in D'_{\beta}$.

Assume that B_1 exceptional. The results is true for B_2 since, in this case, $B_2 \subset \Phi_{\beta}^+$. This is the same proof as above with B_1 replaced by B_2 .

It remains to prove that if $B = \{\beta_{ex}\}$ is an exceptional box of C_j such that $B < B_0$, then one has $X_{\beta_{ex}} \in D'_{\beta}$.

If $B = B_1$, then one has $B \subset \Phi_{\beta}^+$, and the result is proved.

Assume that $B < B_1$. As above, one has $\beta_{ex} = \eta_1 + \eta_2$ with η_1 and η_2 two exceptional roots of C_j such that $h(\eta_2) = h(\eta_1) + 1$. The boxes of η_1 and η_2 are ordinary, on each side of B, so less than or equal to B_1 , so strictly less than B_0 . As the result holds for ordinary boxes, $X_{\eta_1} \in D'_{\beta}$ and $X_{\eta_2} \in D'_{\beta}$. If Φ is not of type G_2 , then we deduce (as above) from Corollary 3.2.7 and Proposition 3.4.8 that

If Φ is not of type G_2 , then we deduce (as above) from Corollary 3.2.7 and Proposition 3.4.8 that $X_{\beta_{ex}} \in D'_{\beta}$.

If Φ is of type G_2 , we deduce (as above) from Propositions 3.2.5 and 3.4.8 that $X_{\beta_{ex}} \in D'_{\beta}$. So we can conclude that $D_{\beta} = D'_{\beta}$. \Box

Let us recall that $A = \mathcal{U}_q^+(\mathfrak{g}) = \mathbb{K}\langle X_{\beta_i} | i \in [\![1, N]\!] \rangle := \mathbb{K}\langle X_i | i \in [\![1, N]\!] \rangle$. Let β_r and β_{r+1} $(1 \leq r \leq N-1)$ be two consecutive roots of Φ^+ $(\beta_r < \beta_{r+1})$. Recall that $A^{(r+1)} = \mathbb{K}\langle X_i^{(r+1)} \rangle$ and $A^{(r)} = \mathbb{K}\langle X_i^{(r)} \rangle$ (1 < r < N) are the algebras deduced from A by the deleting derivation algorithm of Section 4.

Lemma 5.3.3. Let $\beta_r \in \Phi^+$ be a positive root of the column C_j and $D_{\beta_r}^{(r+1)} := \mathbb{K} \langle X_{\gamma}^{(r+1)} | \gamma \langle \beta_r \rangle$. Then $D_{\beta_r}^{(r+1)} = \mathbb{K} \langle X_{\gamma}^{(r+1)} | \gamma \in \Phi_{\beta_r}^+ \rangle$.

Proof. By Lemma 4.2.1, the commutation relations between the $X_{\gamma}^{(r+1)}$ with $\gamma \leq \beta_r$ are the same as the commutation relations between the X_{γ} with $\gamma \leq \beta_r$. So the proof is the same as the proof of Lemma 5.3.2 but with X_{γ} replaced by $X_{\gamma}^{(r+1)}$. \Box

Denote, as in Section 4, φ : Spec $A \hookrightarrow$ Spec (\overline{A}) ($\overline{A} = A^{(2)}$) the canonical injection, that is, the composition of canonical injections φ_r : Spec $(A^{(r+1)}) \hookrightarrow$ Spec $(A^{(r)})$ for $r \in [\![2, N]\!]$. Recall that a subset Δ of Φ^+ is a Cauchon diagram if and only if $(\exists P \in$ Spec(A)) ($\varphi(P) = \langle T_{\gamma} | \gamma \in \Delta \rangle$).

Proof of Theorem 5.3.1. Let $\Delta \subset \Phi^+$ be a diagram satisfying the implications coming from the admissible planes. Set $Q := \langle T_{\gamma} | \gamma \in \Delta \rangle$. By [Cau03a, Section 5.5], this is an $H^{(2)}$ -prime ideal, so completely prime, of $A^{(2)} = \overline{A}$ and, if $\beta \in \Phi^+ \setminus \Delta$, then T_{β} is regular modulo Q. So, $Q \cap \Phi^+ = \{T_{\gamma} | \gamma \in \Delta\}$. Let us show by induction, that for each $r \in [\![2, N + 1]\!]$, there exists $P^{(r)} \in \text{Spec}(A^{(r)})$ such that $Q = \varphi_2 \circ \cdots \circ \varphi_{r-1}(P^{(r)})$.

If r = 2, then in this case, one has $\varphi_2 \circ \cdots \circ \varphi_{r-1} = Id_{\text{Spec}(\overline{A})}$ and $P^{(2)} = Q$.

Consider an integer $r \in [[2, N]]$, assume that there exists $P^{(r)} \in \text{Spec}(A^{(r)})$ such that $\varphi_2 \circ \cdots \circ \varphi_{r-1}(P^{(r)}) = Q$ and let us show there is $P^{(r+1)} \in \text{Spec}(A^{(r+1)})$ such that $\varphi_r(P^{(r+1)}) = P^{(r)}$ (so that $\varphi_2 \circ \cdots \circ \varphi_r(P^{(r+1)}) = Q$).

- If $X_r^{(r)} \notin P^{(r)}$, then this follows from Proposition 4.3.4.
- Assume now that $X_r^{(r)} \in P^{(r)}$. From the second point of Proposition 4.3.4, it is enough to show that $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) \in P^{(r)}$ for $1 \leq i \leq r-1$.

Observation. It is enough to prove that $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) \in P^{(r)}$ for $i \in [\![1, r-1]\!]$ such that $\beta_i \in \Phi_{\beta_r}^+$.

Proof of the observation. Let $i \in [\![1, r - 1]\!]$. It follows from Corollary 5.3.3 that $X_i^{(r+1)} = \sum_{j_1,\ldots,j_s \in \Gamma} m_{i,r+1} X_{j_1}^{(r+1)} \ldots X_{j_s}^{(r+1)}$ where $\Gamma := \{j \in [\![1, r - 1]\!] \mid \beta_j \in \Phi_{\beta_r}^+\}$. Thus

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$$\begin{split} \delta_{r}^{(r+1)}(X_{i}^{(r+1)}) &= \sum m_{i,r+1} \delta_{r}^{(r+1)} (X_{j_{1}}^{(r+1)} \dots X_{j_{s}}^{(r+1)}) \\ &= \sum m_{i,r+1} [\delta_{r}^{(r+1)} (X_{j_{1}}^{(r+1)}) X_{j_{2}}^{(r+1)} \dots X_{j_{s}}^{(r+1)} \\ &+ \sigma_{r}^{(r+1)} (X_{j_{1}}^{(r+1)}) \delta_{r}^{(r+1)} (X_{j_{2}}^{(r+1)}) \dots X_{j_{s}}^{(r+1)} \\ &+ \dots + \sigma_{r}^{(r+1)} (X_{j_{1}}^{(r+1)} \dots X_{j_{s-1}}^{(r+1)}) \delta_{r}^{(r+1)} (X_{j_{s}}^{(r+1)})] \\ &= \sum m_{i,r+1} [\delta_{r}^{(r+1)} (X_{j_{1}}^{(r+1)}) X_{j_{2}}^{(r+1)} \dots X_{j_{s}}^{(r+1)} + \lambda_{r,j_{1}} X_{j_{1}}^{(r+1)} \delta_{r}^{(r+1)} (X_{j_{2}}^{(r+1)}) \dots X_{j_{s}}^{(r+1)} \\ &+ \dots + \lambda_{r,j_{1}} \dots \lambda_{r,j_{s-1}} X_{j_{1}}^{(r+1)} \dots X_{j_{s-1}}^{(r+1)} \delta_{r}^{(r+1)} (X_{j_{s}}^{(r+1)})]. \end{split}$$

Then, $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) = \sum m_{j_1,...,j_s}[\Theta^{(r)}(\delta_r^{(r+1)}(X_{j_1}^{(r+1)}))X_{j_2}^{(r)}...X_{j_s}^{(r)} + \lambda_{r,j_1}X_{j_1}^{(r)}\Theta^{(r)}(\delta_r^{(r+1)}(X_{j_2}^{(r+1)}))$ $\dots X_{j_s}^{(r)} + \dots + \lambda_{r,j_1}...\lambda_{r,j_{s-1}}X_{j_1}^{(r)}...X_{j_{s-1}}^{(r)}\Theta^{(r)}(\delta_r^{(r+1)}(X_{j_s}^{(r+1)}))].$ As each $\Theta^{(r)}(\delta_r^{(r+1)}(X_{j_l}^{(r+1)})) \in P^{(r)}$ by hypothesis, one has $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) \in P^{(r)}.$

Back to the proof of Theorem 5.3.1. For each $s \in [\![2, r-1]\!]$, set $P^{(s)} = \varphi_s \circ \ldots \varphi_{r-1}(P^{(r)})$.

Observation. $\beta_r \in \Delta$.

Indeed, as $X_r^{(r)} \in P^{(r)}$, Lemma 5.1.1 implies successively that $X_r^{(r-1)} \in P^{(r-1)}, \ldots, X_r^{(2)} \in P^{(2)} = Q$.

Hence $T_{\beta_r} = X_r^{(2)} \in Q$ and so $\beta_r \in \Delta$. Recall that, if $\beta_r \in C_j$, then $\Phi_{\beta_r}^+ = \{\alpha_i \mid i < j\} \cup \{\gamma < \beta_r \mid \gamma \in B_0\} \cup B_1 \cup (B_2 \text{ if } B_1 = \{\beta_{ex}\})$ (B_0 is the box containing β_r , B_1 is the box preceding B_0 if C_j if exists and B_2 is the box preceding B_1 in C_j if exists).



Let $i \in \llbracket 1, r-1 \rrbracket$ such that $\beta_i \in \Phi_{\beta_r}^+$.

- If $\beta_i \in B_0 \cup B_1$, then Theorem 3.4.3 implies that $\delta_r^{(r+1)}(X_i^{(r+1)}) = 0$. Hence $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) = 0$. $0 \in P^{(r)}$.
- Let us assume that $B_1 = \{\beta_{ex}\}$ with $\beta_{ex} = \beta_e$ (e < r), and that $\beta_i \in B_2$. By Theorem 3.4.3, $\delta_r^{(r+1)}(X_i^{(r+1)}) = P_{r,i}^{(r+1)}$ is homogeneous of weight $\beta_r + \beta_i$ and the variables

 $X_l^{(r+1)}$ which appear in $P_{r,i}^{(r+1)}$ are such that $\beta_l \in B_1 = \{\beta_e\}$. So $P_{r,i}^{(r+1)}$ is equal to zero or is of the form λX_e^m with $\lambda \in \mathbb{K}^*$ and $m\beta_{ex} = \beta_r + \beta_i$, so that (by comparing the coefficient on α_j) one has m = 1.

If $P_{r,i}^{(r+1)} = 0$, then one has $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) = 0 \in P^{(r)}$.

Otherwise, assume that $P_{r,i}^{(r+1)} = \lambda X_e^m$. As Δ satisfies the implications from admissible planes, Lemma 5.2.2 implies that Δ satisfies the implication $\beta_r \to \beta_{ex}$ and, as $\beta_r \in \Delta$, one has $\beta_{ex} \in \Delta$. Then $X_e^{(2)} \in Q = P^{(2)}$ and by Lemma 5.1.1, $X_e^{(e+1)} \in P^{(e+1)}$. As β_e and β_r are in consecutive boxes by construction, Lemma 5.1.2 shows that $X_e^{(e+1)} \in P^{(e+1)} \Rightarrow X_e^{(r)} \in P^{(r)}$. So, we deduce that $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) = \Theta^{(r)}(\lambda X_e^{(r+1)}) = \lambda X_e^{(r)} \in P^{(r)}$.

• Consider now the case where $\beta_i = \alpha_k$ with k < j. If $\delta_r^{(r+1)}(X_i^{(r+1)}) = 0$, then one has $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) = 0 \in P^{(r)}$. Assume that $\delta_r^{(r+1)}(X_i^{(r+1)}) \neq 0$. From Theorem 3.4.3, we get that $\delta_r^{(r+1)}(X_i^{(r+1)}) = \sum_{i < j_1 \leq \cdots \leq j_s < r} c_{j_1,\dots,j_s} X_{j_1}^{(r+1)} \dots X_{j_s}^{(r+1)} (c_{j_1,\dots,j_s} \in \mathbb{K})$. Thus $c_{j_1,\dots,j_s} \in \mathbb{K}^* \Rightarrow (\beta_{j_1} + \dots + \beta_{j_s} = \beta_r + \alpha_k \text{ and } \beta_{j_1},\dots,\beta_{j_s} \notin B_0)$. This implies that $\Theta^{(r)}(\delta_r^{(r+1)}(X_i^{(r+1)})) = \sum_{i < j_1 \leq \cdots \leq j_s < r} c_{j_1,\dots,j_s} X_{j_s}^{(r)} \dots X_{j_s}^{(r)}$ and that is enough to show that, if $c_{j_1,\dots,j_s} \in \mathbb{K}^*$, then one has $X_{j_1}^{(r)},\dots,X_{j_s}^{(r)} \in P^{(r)}$.

So, take (j_1, \ldots, j_s) such that $i < j_1 \leq \cdots \leq j_s < r$ and let us assume that $c_{j_1,\ldots,j_s} \neq 0$. Considering the coefficient of α_j in the following equality

$$\beta_{j_1} + \dots + \beta_{j_s} = \beta_r + \alpha_k, \tag{9}$$

we deduce that $\beta_{j_s} \in C_j$. As $\beta_{j_s} \notin B_0$ and $j_s < r$, the box B_1 exists. The proof splits into three cases.

- B₀ and B₁ are ordinaries. As j_s < r and β_{js} ∉ B₀, one has h(β_r) < h(β_{js}). By (9), h(β_{js}) ≤ h(β_r + α_k) = h(β_r) + 1. As a result, s = 1 and β_{js} ∈ B₁. That is why, on has (Lemma 5.2.2) the implication β_r → β_{js}. Since β_r ∈ Δ, one has β_{js} ∈ Δ and, as above, X^(js+1)_{js} ∈ P^(js+1), so that X^(r)_{js} ∈ P^(r). Hence the considered monomial whose coefficient c_{j1,...,js} ≠ 0 is in P^(r).
 B₀ is ordinary and B₁ is exceptional so that B₂ exists. As in the previous case, one checks
- •• $B_0^{(r)}$ is ordinary and B_1 is exceptional so that B_2 exists. As in the previous case, one checks that s = 1 and $\beta_{j_s} \in B_2$. So from Lemma 5.2.2, there exists an implication $\beta_r \to \beta_{j_s}$. Also, from Lemma 5.2.2, one has the implication $\beta_r \to \beta_e$. Since $\beta_r \in \Delta$, one has $\beta_e, \beta_{j_s} \in \Delta$, so that $X_e^{(e+1)} \in P^{(e+1)}$ and $X_{j_s}^{(j_s+1)} \in P^{(j_s+1)}$. By the second point of Lemma 5.1.2, one deduces that $X_i^{(r)} \in P^{(r)}$. Thus the considered monomial is in $P^{(r)}$.
- •• B_0 is exceptional. Since $\beta_{j_s} \notin B_0$, β_{j_s} is ordinary in C_j . By the equality (9), one has $s \ge 2$ and $\beta_{j_{s-1}}$ is also ordinary in C_j . Set $h(\beta_r) := 2l + 1$ ($l \ge 1$). We knows that $h(\beta_{j_{s-1}}) \ge l + 1$, $h(\beta_{j_s}) \ge l + 1$ and $h(\beta_r + \alpha_k) = 2l + 2$. This implies that s = 2 and $\beta_{j_{s-1}}, \beta_{j_s} \in B_1$. The equality (9) can be then written as $\beta_r + \alpha_k = \beta_{j_{s-1}} + \beta_{j_s}$.
 - ••• Assume $\beta_{j_{s-1}} \neq \beta_{j_s}$, so that $\beta_{j_{s-1}}$ and β_{j_s} are in the same box B_1 , so they are orthogonal. As a result, Φ is not of the type G_2 (in the G_2 case, the boxes contain only one element). Set $P := \langle \beta_{j_s}, \beta_{j_{s-1}} \rangle$ the plane spanned by $\beta_{j_s}, \beta_{j_{s-1}}$, and assume $\Phi_P^+ \neq \{\beta_{j_{s-1}}, \beta_{j_s}\}$. So, since Φ_P is not of type G_2, Φ_P is of type A_2 or B_2 . As $\beta_{j_{s-1}}$ and β_{j_s} are orthogonal, Φ_P is of type B_2 and there exists $\beta \in \Phi^+$ such that $\beta_r + \alpha_k = \beta_{j_{s-1}} + \beta_{j_s} = m\beta$ with m = 1 or 2.

If m = 1, then β and β_r are two distinct exceptional roots of C_j , which is impossible. Hence m = 2 and so $\beta_r + \alpha_k = \beta_{j_{s-1}} + \beta_{j_s} = 2\beta$. This implies that $h(\beta) = l + 1$, so that β is an element of B_1 too, different from $\beta_{j_{s-1}}$ and β_{j_s} . As a result, β , $\beta_{j_{s-1}}$, β_{j_s} are pairwise orthogonal, which is a contradiction with the equality $\beta_{j_{s-1}} + \beta_{j_s} = 2\beta$.

$$\beta_r \leq \beta_{j_{s-1}}$$

So one has $\Phi_p^+ = \{\beta_{j_{s-1}}, \beta_{j_s}\}$ and so we have the implication β_{j_s} . Hence one of the two roots $\beta_{j_s}, \beta_{j_{s-1}}$ is in Δ . If, for example, $\beta_{j_s} \in \Delta$, one has, as in the first case, $X_{j_s}^{(j_s+1)} \in P^{(j_s+1)}$ and $X_{j_s}^{(r)} \in P^{(r)}$. The considered monomial is in $P^{(r)}$ as claimed.

••• If $\beta_{j_{s-1}} = \beta_{j_s}$, then the equality (9) becomes $\beta_r + \alpha_k = 2\beta_{j_s}$. Set $\beta = s_D(\beta_{j_s}) = \beta_r - \beta_{j_s} \in \Phi^+$ and substract β_{j_s} to each part of the previous equality, to obtain $\beta + \alpha_k = \beta_{j_s}$. Denote by *P* the plane spanned by β_r and β_{j_s} .

Assume that Φ is of type G_2 . Then one has $\beta_r = \beta_4$, $\alpha_k = \beta_1$ and $\beta_{j_s} = \beta_3$. By Definition 5.2.1, we have the implication $\beta_r \to \beta_{j_s}$.

Assume that Φ is not of type G_2 . The equality $\beta_r + \alpha_k = 2\beta_{j_s}$ implies that Φ_P is of type B_2 , so that $\Phi_P^+ = \{\alpha_k, \alpha_k + \beta = \beta_{j_s}, \alpha_k + 2\beta = \beta_r, \beta\}$ with $h(\beta) = h(\beta_r) - h(\beta_{j_s}) = 2l + 1 - (l+1) = l$. So *P* is an admissible plane of type 4 in the sense of Definition 5.2.1. So we have again the implication $\beta_r \to \beta_{j_s}$.

Thus, in all cases, one has $\beta_{j_s} \in \Delta$. So we have, as in the first case, $X_{j_s}^{(j_s+1)} \in P^{(j_s+1)}$ and $X_{j_s}^{(r)} \in P^{(r)}$. The considered monomial is again in $P^{(r)}$, as desired. \Box

6. Cauchon diagrams for a particular decomposition of w_0

In this section, we give an explicit description of Cauchon diagrams for a chosen decomposition of w_0 in each type of simple Lie algebra of finite dimension. Denote by \mathcal{D} the set of Cauchon diagrams. For all $\beta \in \Phi^+$, we give the list of implications of the type $\beta \to \beta'$ with $\beta' \in \Phi^+$.

Definition 6.0.4. Let $\beta \in \Phi^+$. An implication from the root β is an implication from an admissible

plane of the type
$$\beta \to \beta'$$
 or $\beta \in \widehat{\beta}_{\beta'_s}$ (Definition 5.2.1).

Observation. The implications from all admissible planes coincide with the implications from all the positive roots.

Lemma 6.0.5. Suppose that Φ is a root system which is not of type G_2 .

- 1. Let C_l be an ordinary column. If $\beta \in C_l$, then the implications from β are $\beta \rightarrow \beta'$ with $\beta' \in C_l$, $\beta' = \beta + \alpha_i$ (i < l).
- 2. Let C_l be an exceptional column and $\beta \in C_l$.
 - (a) If $\beta \neq \beta_{ex}$ and if β is not in *B*, the box after $\{\beta_{ex}\}$, then the implications from β are $\beta \rightarrow \beta'$ with $\beta' \in C_l, \beta' = \beta + \alpha_i \ (i < l).$
 - (b) If $\beta \in B$, the box after $\{\beta_{ex}\}$, then the implications from β are $\beta \rightarrow \beta'$ with $\beta' \in C_l$, $\beta' = \beta + \alpha_i$ (i < l) and $\beta \rightarrow \beta_{ex}$.
- 3. Let C_l be an exceptional column with exceptional root β_{ex} and B_1 the box before $\{\beta_{ex}\}$. Then the implications from β are:
 - $\beta_{ex} \rightarrow \beta'$ with $\beta' \in B_1$ such that $P = \langle \beta_{ex}, \beta' \rangle$ is an admissible plan of type 2.2 (i.e. $\Phi_P^+ = \{\beta, \beta_{ex} = \epsilon_i + 2\beta, \beta' = \epsilon_i + \beta, \epsilon_i\}$ with i < l and $\beta \in B$ the box after $\{\beta_{ex}\}$).
 - $\beta_{ex} \subset \beta_{ex}$, with $\beta'_1, \beta'_2 \in B_1, \beta'_1 + \beta'_2 = \beta_{ex} + \epsilon_i$ (i < l) and $P = \langle \beta_{ex}, \epsilon_i \rangle$ is an admissible plane of type 2.4 (i.e. $\Phi_P^+ = \{\beta_{ex}, \epsilon_i\}$).

Proof.

1. Let $\beta' \in C_l$ with $\beta' = \beta + \alpha_i$ and i < l. From Lemma 5.2.2, $\beta \to \beta'$ is an implication from an admissible plane. So this is an implication from β . Conversely, consider an implication $\beta \to \beta'$ from β , so that $\beta' \in C_l$ (Lemma 5.2.2). As C_l is ordinary, β and β' are ordinary roots and, by Lemma 5.2.2, one has $\beta' = \beta + \epsilon_i$ with i < l.

- 2. (a) Let $\beta' \in C_l$ with $\beta' = \beta + \alpha_i$ and i < l. From Lemma 5.2.2, $\beta \to \beta'$ is an implication from an admissible plane. So this is an implication from β . Conversely, consider an implication $\beta \to \beta'$ from β , so that $\beta' \neq \beta$, $P = \langle \beta, \beta' \rangle$ is an admissible plane and $\beta \rightarrow \beta'$ is an implication from *P*. From Lemma 5.2.2, we know that $\beta' \in C_l$. Suppose that $\beta' = \beta_{ex}$, so that the type of *P* is in the following list:
 - type 1.1 with $\Phi_p^+ = \{\beta_1, \beta_{ex} = \beta_1 + \beta_2, \beta_2\}, \ \beta_1 > \beta_{ex} > \beta_2.$
 - type 1.2 with $\Phi_P^+ = \{\beta_1, \beta_{ex} = 2\beta_1 + \alpha_i, \beta_2 = \beta_1 + \alpha_i, \alpha_i\}$ (i < l), and $\beta_1 > \beta_{ex} > \beta_2 > \epsilon_i$. As $\beta \to \beta_{ex} = \beta'$ is an implication, we deduce from Definition 5.2.1 that $\beta = \beta_1$. Then Definition 2.2.13 permits to claim that β is in the box after β_{ex} , which contradict the hypothesis. So $\beta' \neq \beta_{ex}$. Moreover $\beta \neq \beta_{ex}$, it comes from Lemma 5.2.2 that $\beta' = \beta + \alpha_i$ with i < l.
 - (b) As $\beta \in B$, the implication $\beta \to \beta_{ex}$ comes from Lemma 5.2.2. If $\beta' = \beta + \alpha_i$ is a root, the implication $\beta \rightarrow \beta'$ also comes from Lemma 5.2.2. Conversely, let $\beta \rightarrow \beta'$ an implication from β . By Lemma 5.2.2, we know that $\beta' \in C_1$. If $\beta' = \beta_{ex}$, there is nothing to prove. Otherwise, as $\beta \neq \beta_{ex}$, one has $\beta' = \beta + \alpha_i$ with i < l by Lemma 5.2.2.
- 3. If $\beta' \in B_1$ satisfies the hypothesis, Definition 5.2.1 permits to claim that $\beta_{ex} \to \beta'$ is an implication from P. If β'_1 , β'_2 belong to B_1 and satisfy the hypothesis, Definition 5.2.1 permits to claim that β_1'

$$\beta_{ex} \leq \left[$$

 $\sim_{\beta'_{2}}$ is an implication from *P*.

Moreover, Definition 5.2.1 permits to claim that all implications from β_{ex} come from an admissible plane P of type 1.2 or 2.4.

- If *P* is of type 1.2, one has $\Phi_P^+ = \{\beta, \beta_{ex} = 2\beta + \alpha_1, \beta' = \beta + \alpha_i, \alpha_i\}$ (i < l) and $\beta > \beta_{ex} > \beta' > \alpha_i$. In this case, the only implication from β_{ex} and from P, is $\beta_{ex} \rightarrow \beta'$ with $\langle \beta_{ex}, \beta' \rangle = P$ admissible plane of type 1.2.
- If *P* is of type 2.4, one has $P = \langle \beta_{ex}, \alpha_i \rangle$ and $\Phi_P^+ = \{ \beta_{ex}, \alpha_i \}$ (i < l). Definition 5.2.1 permits to

 $\beta_{ex} = \beta_1'$ $\beta_{ex} = \beta_1'$, where β_1' and β_2' belong to claim that all the implication from P are of the shape B_1 and satisfy $\beta'_1 + \beta'_2 = \beta_{ex} + \alpha_i$. \Box

6.1. Infinite series

6.1.1. *Type* A_n , $n \ge 1$

Convention. The numbering of simple roots in the Dynkin diagram is as follow: $\alpha_1 - \alpha_2 - \cdots - \alpha_{n-1} - \alpha_{n-1}$ α_n . We know (see for example [Lit98, Section 5]) that $s_{\alpha_1} \circ (s_{\alpha_2} \circ s_{\alpha_1}) \cdots \circ (s_{\alpha_n} \circ s_{\alpha_{n-1}} \circ \cdots \circ s_{\alpha_1})$ is a reduced decomposition of w_0 which induces the following order on positive roots. (We have arranged the roots in columns.)

<i>C</i> ₁	<i>C</i> ₂		C _n
$\beta_1 = \alpha_1$	$\beta_2 = \alpha_1 + \alpha_2$	•••	$\beta_{N-n+1} = \alpha_1 + \cdots + \alpha_{n-1} + \alpha_n$
	$\beta_3 = \alpha_2$:
		۰.	÷
			$\beta_N = \alpha_n$

This is a Lusztig order and none of the columns C_1, \ldots, C_n is exceptional. Moreover, if two roots $\beta > \beta'$ are in the same column C_l then: $\beta' = \beta + \alpha_i$ $(i < l) \Leftrightarrow \beta'$ and β are consecutive.

Proposition 6.1.1. Let Δ be a diagram, Δ is a Cauchon diagram if and only if it satisfies all the implications $\beta_{j+1} \rightarrow \beta_j$ where β_j and β_{j+1} are two consecutive roots of the same column C_l .

Convention. If $C_l = \{\beta_s, \beta_{s+1}, \dots, \beta_r = \alpha_l\}$ is the column l with $1 \leq l \leq n$, the truncated columns contained in C_l are the following subsets $\{\beta_s, \beta_{s+1}, \dots, \beta_t\}$, $t \in [\![s, r]\!]$.

Proposition 6.1.1 permits to claim that the Cauchon diagrams are the diagrams Δ which are unions of truncated columns. In the following picture a positive roots β , belonging to the diagram Δ , is represented by a black box in the location of β in the previous tabular of the order induced by the choosen reduced decomposition of w_0 . This convention will be used in the rest of this article.



Remark 6.1.2. The set of Cauchon diagrams \mathcal{D} has the same cardinality as the Weyl group W.

Proof. As \mathcal{D} is the set of all diagrams Δ which are unions of truncated columns, one has $|\mathcal{D}| = (n+1)! = |W|$.

6.1.2. *Type* B_n , $n \ge 2$

Convention. The numbering of simple roots in the Dynkin diagram is as follow $\alpha_1 \Leftarrow \alpha_2 - \cdots - \alpha_{n-1} - \alpha_n$. We know (see for example [Lit98, Section 6]) that $s_{\alpha_1} \circ (s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2}) \cdots \circ (s_{\alpha_n} \circ s_{\alpha_{n-1}} \circ \cdots \circ s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2} \circ \cdots \circ s_{\alpha_n})$ is a reduced decomposition of w_0 which induces the following order on positive roots.

$\beta_1 = \epsilon_1$	$\beta_2 = 2\epsilon_1 + \epsilon_2$	$\beta_{(n-1)^2+1} = 2\epsilon_1 + \dots + 2\epsilon_{n-1} + \epsilon_n$
	$\beta_3 = \epsilon_1 + \epsilon_2$	
	$\beta_4 = \epsilon_2$	
		$\beta_{N-n} = 2\epsilon_1 + \epsilon_2 + \dots + \epsilon_{n-1} + \epsilon_n$
		$\beta_{N-n+1} = \epsilon_1 + \dots + \epsilon_{n-1} + \epsilon_n$
		 $\beta_{N-n+2} = \epsilon_2 + \dots + \epsilon_{n-1} + \epsilon_n$
		:
		$\beta_N = \epsilon_n$

This is a Lusztig order and none of the columns C_1, \ldots, C_n is exceptional. Moreover, if two roots $\beta > \beta'$ are in the same column C_l then: $\beta' = \beta + \alpha_i$ $(i < l) \Leftrightarrow \beta'$ and β are consecutive.

Proposition 6.1.3. Let Δ be a diagram, Δ is a Cauchon diagram if and only if it satisfies all the implications $\beta_{j+1} \rightarrow \beta_j$ where β_j and β_{j+1} are two consecutive roots of the same column C_l .

Convention. If $C_l = \{\beta_s, \beta_{s+1}, \dots, \beta_r = \alpha_l\}$ is the column *l* with $1 \le l \le n$, the truncated columns contained in C_l are the following subsets $\{\beta_s, \beta_{s+1}, \dots, \beta_t\}$, $t \in [[s, r]]$.

Proposition 6.1.3 permits to claim that the Cauchon diagrams are the diagrams Δ which are unions of truncated columns.

Remark 6.1.4. The set of Cauchon diagrams \mathcal{D} has the same cardinality as the Weyl group W.

Proof. As \mathcal{D} is the set of all diagrams Δ which are unions of truncated columns, one has $|\mathcal{D}| = 2^{n+1}(n+1)! = |W|$. \Box

6.1.3. *Type* C_n , $n \ge 3$

Convention. The numbering of simple roots in the Dynkin diagram is $\alpha_1 \Rightarrow \alpha_2 - \cdots - \alpha_{n-1} - \alpha_n$. We know (see for example [Lit98, Section 6]) that $s_{\alpha_1} \circ (s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2}) \cdots \circ (s_{\alpha_n} \circ s_{\alpha_{n-1}} \circ \cdots \circ s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2} \circ \cdots \circ s_{\alpha_n})$ is a reduced decomposition of w_0 which induces the following order on positive roots.

$\beta_1 = \epsilon_1$	$\beta_2 = \epsilon_1 + \epsilon_2$	$\beta_{(n-1)^2+1} = \epsilon_1 + 2\epsilon_2 + \dots + 2\epsilon_{n-1} + \epsilon_n$
	$\beta_3 = \epsilon_1 + 2\epsilon_2$	
	$\beta_4 = \epsilon_2$	
		$\beta_{N-n} = \epsilon_1 + \epsilon_2 + \cdots + \epsilon_{n-1} + \epsilon_n$
		$\beta_{N-n+1} = \epsilon_1 + 2\epsilon_2 + \dots + 2\epsilon_{n-1} + 2\epsilon_n$
		 $\beta_{N-n+2} = \epsilon_2 + \dots + \epsilon_{n-1} + \epsilon_n$
		:
		$\beta_N = \epsilon_n$

This is a Lusztig order and all the columns C_2, \ldots, C_n are exceptional, the first one C_1 is ordinary. We obtain the same result as for B_n , the proof is a bit more technical due to the exceptional columns and is left to the reader.

Proposition 6.1.5. Let Δ be a diagram, Δ is a Cauchon diagram if and only if it satisfies all the implications $\beta_{i+1} \rightarrow \beta_i$ where β_i and β_{i+1} are two consecutive roots of the same column C_l .

Proposition 6.1.5 permits to claim that the Cauchon diagrams are the diagrams Δ which are unions of truncated columns.

Remark 6.1.6. The set of Cauchon diagrams \mathcal{D} has the same cardinality as the Weyl group W.

Proof. As \mathcal{D} is the set of all diagrams Δ which are unions of truncated columns, one has $|\mathcal{D}| = 2^{n+1}(n+1)! = |W|$. \Box

6.1.4. *Type* D_n , $n \ge 4$

Convention. The numbering of simple roots in the Dynkin diagram is

We know (see for example [Lit98, Section 6]) that $s_{\alpha_1} \circ s_{\alpha_2} \circ (s_{\alpha_3} \circ s_{\alpha_1} \circ s_{\alpha_2} \circ s_{\alpha_3}) \cdots \circ (s_{\alpha_n} \circ s_{\alpha_{n-1}} \circ \cdots \circ s_{\alpha_3} \circ s_{\alpha_1} \circ s_{\alpha_2} \circ s_{\alpha_3} \circ \cdots \circ s_{\alpha_n})$ is a reduced decomposition of w_0 which induces the following order on positive roots.

 $\alpha_3 - \alpha_4 - \cdots - \alpha_{n-1} - \alpha_n$.

$\beta_1 = \epsilon_1 \qquad \beta_2 = \epsilon_2$	$\beta_3 = \epsilon_1 + \epsilon_2 + \epsilon_3$	•••	$\beta_{N-2n+1} = \epsilon_1 + \epsilon_2 + 2\epsilon_3 \cdots + 2\epsilon_{n-1} + \epsilon_n$
	$\beta_4 = \epsilon_2 + \epsilon_3$		
	$\beta_5 = \epsilon_1 + \epsilon_3$		
	$\beta_6 = \epsilon_3$		$\beta_{N-n-1} = \epsilon_1 + \epsilon_2 + \epsilon_3 \cdots + \epsilon_{n-1} + \epsilon_n$
			$\beta_{N-n} = \epsilon_1 \text{ or}^{\star} \epsilon_2 + \epsilon_3 \cdots + \epsilon_{n-1} + \epsilon_n$
			$\beta_{N-n+1} = \epsilon_2 \text{ or}^* \epsilon_1 + \epsilon_3 \cdots + \epsilon_{n-1} + \epsilon_n$
★: depends of	on columns' parity		$\beta_{N-n+2} = \epsilon_3 + \cdots + \epsilon_{n-1} + \epsilon_n$
			$\beta_N = \epsilon_n$

This is a Lusztig order and all the columns are ordinary.

Observation. Let $l \ge 3$.

- The column C_l has an even number of roots, so that there is $s \in \mathbb{N}$ (s = l 1) such that $C_l = \{\beta_{u_1} < \cdots < \beta_{u_s} < \beta_{u_{s+1}} < \cdots < \beta_{u_{2s}}\}.$
- Let β an element of C_l different from β_{u_1} .
 - •• If $\beta = \beta_{u_{s+2}}$, there is exactly 2 roots in C_l of the shape $\beta' = \beta + \alpha_i$ (i < l), namely β_{u_s} and $\beta_{u_{s+1}}$.
 - •• If $\beta \neq \beta_{u_{s+2}}$, there is only one root in C_l of the shape $\beta' = \beta + \alpha_i$ (i < l), namely β' is the root before β if $\beta \neq \beta_{u_{s+1}}$ or $\beta' = \beta_{u_{s-1}}$ if $\beta = \beta_{u_{s+1}}$.

As there is no exceptional column, we deduce from Theorem 5.3.1 and Lemma 6.0.5(1.),

Proposition 6.1.7. Let Δ be a diagram, Δ is a Cauchon diagram if and only if it satisfies all the implications below, for all integers $l \in [\![3,]\!]$, denote $C_l = \{\beta_{u_1}, \dots, \beta_{u_s}, \beta_{u_{s+1}}, \dots, \beta_{u_{2s}}\}$ (with s = l - 1):



Proposition 6.1.7 permits to claim that Cauchon diagrams are the sets $\Delta = \bigsqcup_{l \in [\![1,n]\!]} \Delta_l$, where Δ_1 is a truncated column from C_1 , Δ_2 is a truncated column from C_2 and, for $l \in [\![3,n]\!]$, denote $C_l = \{\beta_{u_1} < \cdots < \beta_{u_s} < \beta_{u_{s+1}} < \cdots < \beta_{u_{2s}}\}$ (s = l - 1), Δ_l is a truncated column $\{\beta_{u_1} < \cdots < \beta_{u_{j-1}} < \beta_{u_j}\}$ from C_l , or the set $\{\beta_{u_1} < \cdots < \beta_{u_{s-1}} < \beta_{u_{s+1}}\} \subset C_l$.

Proposition 6.1.8. The set \mathcal{D} of Cauchon diagrams has the same cardinality as the Weyl group W.

Proof. Δ_1 can be two sets (\emptyset or C_1) as Δ_2 (\emptyset or C_2). If $l \in [\![3,n]\!]$, one has $|C_3| = 2l - 2$. One can then extract 2l - 1 truncated columns from C_l so that there is 2l possibilities for Δ_l . As a result $|\mathcal{D}| = 2 \times 2 \times 6 \times \cdots \times 2n = 4 \times 6 \times 8 \times \cdots \times 2n = 2^{n-1}(n!) = |W|$. \Box

6.2. Exceptional cases

6.2.1. Type G₂

Convention. The numbering of simple roots in the Dynkin diagram is: $\alpha_1 \Leftarrow \alpha_2$. We know that $s_{\alpha_1} \circ s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2} \circ s_{\alpha_1} \circ s_{\alpha_2}$ is a reduced decomposition of w_0 which induces the following order on positive roots: $\beta_1 = \alpha_1, \beta_2 = 3\alpha_1 + \alpha_2, \beta_3 = 2\alpha_1 + \alpha_2, \beta_4 = 3\alpha_1 + 2\alpha_2, \beta_5 = \alpha_1 + \alpha_2, \beta_6 = \alpha_2$.

Lemma 6.2.1. One has the following implications: $\beta_6 \longrightarrow \beta_5 \longrightarrow \beta_4 \longrightarrow \beta_3 \longrightarrow \beta_2$.

Proof. To prove this implications, we apply Propositions 5.1.6, 5.1.7 and 5.1.8 with the following equalities (β_4 is an exceptional root): $\beta_6 + \alpha_1 = \beta_5$, $h'(\beta_5) + 1 = \beta_4$, $\beta_4 + \alpha_1 = 2\beta_3$, $\beta_3 + \alpha_1 = \beta_2$. \Box

Convention. \mathcal{D} is the set of Cauchon diagrams, they satisfy implications from Lemma 6.2.1.

Remark 6.2.2. The set of Cauchon diagrams \mathcal{D} has the same cardinality as the Weyl group W.

6.2.2. Type F₄

Convention. The numbering of simple roots in the Dynkin diagram is: $\alpha_1 - \alpha_2 \Rightarrow \alpha_3 - \alpha_4$. We choose the following reduced decomposition of w_0 : $s_4s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_4s_2s_3s_2s_1$. This decomposition induces the following order on positive roots:

Column 1:	$\beta_1(0,0,0,1)$
Column 2:	$\beta_2(0,0,1,1), \beta_3(0,0,1,0)$
Column 3:	$\beta_4(0,1,2,2), \beta_5(0,1,2,1), \beta_6(0,1,1,1), \beta_7(0,1,2,0), \beta_8(0,1,1,0), \beta_9(0,1,0,0)$
Column 4:	$\beta_{10}(1,3,4,2),\beta_{11}(1,2,4,2),\beta_{12}(1,2,3,2),\beta_{13}(1,2,3,1),\beta_{14}(1,2,2,2),\beta_{15}(1,2,2,1),$
	$\beta_{16}(1,1,2,2), \beta_{17}(2,3,4,2), \beta_{18}(1,2,2,0), \beta_{19}(1,1,2,1), \beta_{20}(1,1,1,1), \beta_{21}(1,1,2,0),$
	$\beta_{22}(1, 1, 1, 0), \beta_{23}(1, 1, 0, 0), \beta_{24}(1, 0, 0, 0)$

One checks that each column is ordinary or exceptional and then computes $h'(\beta_i)$ for all roots to verify that the order is a Lusztig one. We already know the form of diagrams for the two first columns. Thanks to commutation relations, Propositions 5.1.6, 5.1.7 and 5.1.8, we obtain the following result:

Proposition 6.2.3. Let Δ be a diagram, Δ is a Cauchon diagram if and only if it satisfies the following implications:



This permits to claim that the Cauchon diagrams are the sets $\Delta = \bigsqcup_{l \in [\![1,4]\!]} \Delta_l$ where Δ_1 is a truncated column from C_1 , Δ_2 is a truncated column from C_2 , Δ_3 are Δ_4 subsets of C_3 and C_4 respectively which satisfy the implication from Proposition 6.2.3. By counting the possibilities, one obtains:

Proposition 6.2.4. The set \mathcal{D} of Cauchon diagrams has same cardinality as the Weyl group W.

6.2.3. Type E₆

Convention. The numbering of simple roots in the Dynkin diagram is:

 $\alpha_1 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6$ To describe the chosen reduced decomposition of w_0 , we remark that the roots α_1 to α_5 span a roots system of D_5 . Denote by τ , the longest Weyl word used for D_5 then the decomposition $\tau s_6 s_5 s_4 s_2 s_3 s_1 s_4 s_3 s_5 s_4 s_6 s_2 s_5 s_4 s_3 s_1$ is a reduced decomposition of w_0 which induces the following order on positive roots, the first five columns are the same as in D_5 and the sixth is:

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$$\begin{split} \beta_{21} &= (1,2,2,3,2,1), \quad \beta_{22} = (1,1,2,3,2,1), \quad \beta_{23} = (1,1,2,2,2,1), \quad \beta_{24} = (1,1,2,2,1,1), \\ \beta_{25} &= (1,1,1,2,2,1), \quad \beta_{26} = (0,1,1,2,2,1), \quad \beta_{27} = (1,1,1,2,1,1), \quad \beta_{28} = (0,1,1,2,1,1), \\ \beta_{29} &= (1,1,1,1,1,1), \quad \beta_{30} = (0,1,1,1,1,1), \quad \beta_{31} = (1,0,1,1,1,1), \quad \beta_{32} = (0,1,0,1,1,1), \\ \beta_{33} &= (0,0,1,1,1,1), \quad \beta_{34} = (0,0,0,1,1,1), \quad \beta_{35} = (0,0,0,0,1,1), \quad \beta_{36} = (0,0,0,0,0,1). \end{split}$$

We obtain, by Lemma 6.0.5(1.) and Theorem 5.3.1,

Proposition 6.2.5. Let Δ be a diagram, Δ is a Cauchon diagram if and only if it satisfies all the implications from Proposition 6.1.7 for the five first columns and the following implications for the last one:



Proposition 6.2.6. The set \mathcal{D} of Cauchon diagrams has same cardinality as the Weyl group W.

6.2.4. Type E₇

Convention. The numbering of simple roots in the Dynkin diagram is:

$$\begin{array}{c} \alpha_2 \\ | \\ \alpha_1 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6 - \alpha_7 \end{array}$$

As the roots α_1 to α_6 span a roots system of type E_6 , denote by σ the longest Weyl word used for the type E_6 . The decomposition $\sigma_{s7s6s5s4s2s3s1s4s3s5s4s6s2s5s7s4s6s3s5s1s4s2s3s4s5s6s7}$ is a reduced decomposition of w_0 which induces the following order on positive roots (only the last column is given). We already know the form of diagrams for the first six columns. Proposition 5.1.6 permits to find the implications in the last columns of type E_7 .

β_i	$h'(\beta_i)$	β_i	$h'(\beta_i)$	63 *
$\beta_{37}(2, 2, 3, 4, 3, 2, 1)$	17	$\beta_{51}(0, 1, 1, 2, 2, 2, 1)$	9	62 * 61
$\beta_{38}(1, 2, 3, 4, 3, 2, 1)$	16	$\beta_{52}(1, 1, 1, 2, 1, 1, 1)$	8	*
$\beta_{39}(1, 2, 2, 4, 3, 2, 1)$	15	$\beta_{53}(0, 1, 1, 2, 2, 1, 1)$	8	59, 58
$\beta_{40}(1, 2, 2, 3, 3, 2, 1)$	14	$\beta_{54}(1, 1, 1, 1, 1, 1, 1)$	7	56 57
$\beta_{41}(1, 1, 2, 3, 3, 2, 1)$	13	$\beta_{55}(0, 1, 1, 2, 1, 1, 1)$	7	54 55
$\beta_{42}(1, 2, 2, 3, 2, 2, 1)$	13	$\beta_{56}(1,0,1,1,1,1,1)$	6	52 53
$\beta_{43}(1, 2, 2, 3, 2, 1, 1)$	12	$\beta_{57}(0, 1, 1, 1, 1, 1, 1)$	6	49 50 5
$\beta_{44}(1, 1, 2, 3, 2, 2, 1)$	12	$\beta_{58}(0, 1, 0, 1, 1, 1, 1)$	5	47 48 * 48
$\beta_{45}(1, 1, 2, 3, 2, 1, 1)$	11	$\beta_{59}(0, 0, 1, 1, 1, 1, 1)$	5	45 46
$\beta_{46}(1, 1, 2, 2, 2, 2, 1)$	11	$\beta_{60}(0, 0, 0, 1, 1, 1, 1)$	4	
$\beta_{47}(1, 1, 2, 2, 2, 1, 1)$	10	$\beta_{61}(0, 0, 0, 0, 1, 1, 1)$	3	42 41
$\beta_{48}(1, 1, 1, 2, 2, 2, 1)$	10	$\beta_{62}(0, 0, 0, 0, 0, 1, 1)$	2	40 * 39
$\beta_{49}(1, 1, 2, 2, 1, 1, 1)$	9	$\beta_{63}(0,0,0,0,0,0,1)$	1	* 38
$\beta_{50}(1, 1, 1, 2, 2, 1, 1)$	9			37

Proposition 6.2.7. The set \mathcal{D} of Cauchon diagrams has same cardinality as the Weyl group W.

6.2.5. Type E₈

Convention. The numbering of simple roots in the Dynkin diagram is:

$$\begin{array}{c} \alpha_2 \\ | \\ \alpha_1 - \alpha_3 - \alpha_4 - \alpha_5 - \alpha_6 - \alpha_7 - \alpha_8 \end{array}$$

{ $\alpha_1, \ldots, \alpha_7$ } span a roots system of type E_7 . Denote by σ_7 , the longest Weyl word used for type E_7 . The decomposition $\sigma_{788}s_{756}s_{55}s_{4s}s_{53}s_{55}s_{45}s_{65}s_{55}s_{54}s_{65}s_{55$

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β_i $h'(\beta_i)$ $\overline{\beta_i}$	$h'(\beta_i)$
$\beta_{64}(2,3,4,6,5,4,3,1)$ 28 $\beta_{93}(1,1,2,3,2,3,2,3,2,3,2,3,3,3,3,3,3,3,3,3,$	2, 2, 1) 14
$\beta_{65}(2, 3, 4, 6, 5, 4, 2, 1)$ 27 $\beta_{94}(1, 1, 2, 3, 3, 3, 3, 3)$	2, 1, 1) 14
$\beta_{66}(2,3,4,6,5,3,2,1)$ 26 $\beta_{95}(1,2,2,3,2,3,2,3,2,3,2,3,2,3,2,3,2,3,2,3,$	2, 1, 1) 14
$\beta_{96}(2, 3, 4, 6, 4, 3, 2, 1)$ 25 $\beta_{96}(1, 1, 2, 2, 2, 3, 3, 4, 6, 4, 3, 2, 1)$	2, 2, 1) 13
$\beta_{97}(1, 2, 2, 3, 2, 1) = \beta_{97}(1, 2, 2, 3, 2, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3,$	1, 1, 1) 13
$\beta_{68}(2, 3, 4, 5, 4, 3, 2, 1)$ 24 $\beta_{98}(1, 1, 2, 3, 2, 3, 2, 3, 2, 3, 2, 3, 3, 2, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3,$	2, 1, 1) 13
$\begin{array}{c} \rho_{69}(2,2,4,5,4,3,2,1) \ 23 \\ \beta_{79}(1,1,1,2,2,3,3,5,4,3,2,1) \ 23 \end{array} \qquad \qquad \beta_{99}(1,1,1,2,2,3,3,5,4,3,2,1) \ 23 \\ \end{array}$	2, 2, 1) 12
$\beta_{100}(1, 1, 2, 3, 2)$ $\beta_{100}(1, 1, 2, 3, 2)$	(, 1, 1, 1) 12
$\begin{array}{cccccccccccccccccccccccccccccccccccc$, 2, 1, 1) 12
$\beta_{12}(2, 2, 3, 5, 4, 5, 2, 1)$ 22 $\beta_{102}(0, 1, 1, 2, 2)$	(, 2, 2, 1) 11
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	(1, 1, 1, 1) 11 (2, 1, 1) 11
$p_{14}(2, 2, 3, 7, 7, 5, 2, 1) \ge 1$ $p_{104}(1, 1, 1, 2, 2)$	1 1 1) 10
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	(1, 1, 1, 1) = 10
$\rho_{10}(2, 2, 3, 4, 2, 2, 1)$ 20 $\rho_{100}(1, 1, 1, 2, 2)$ $\rho_{100}(1, 1, 1, 2, 2)$. 2. 1. 1) 10
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	111) 9
$\beta_{79}(2, 2, 3, 4, 3, 2, 2, 1)$ 19 $\beta_{109}(0, 1, 1, 2, 2, 3, 4, 3, 2, 2, 1)$ 19	. 1. 1. 1) 9
$B_{00}(1 \ 2 \ 2 \ 4 \ 3 \ 3 \ 2 \ 1) \ 18 \qquad B_{110}(1 \ 1 \ 1 \ 1 \ 1 \ 1)$	111) 8
$\beta_{81}(1,2,3,4,3,2,2,1)$ 18 $\beta_{111}(0,1,1,2,1)$, 1, 1, 1) 8
$\beta_{82}(2,2,3,4,3,2,1,1)$ 18 $\beta_{112}(1,0,1,1,1)$	111) 7
$\beta_{83}(1,2,2,3,3,3,2,1)$ 17 $\beta_{113}(0,1,1,1,1)$, 1, 1, 1) 7
$\beta_{84}(1,2,2,4,3,2,2,1)$ 17 $\beta_{114}(0,1,0,1,1)$	111) 6
$\beta_{85}(1,2,3,4,3,2,1,1)$ 17 $\beta_{115}(0,0,1,1,1)$,1,1,1) 6
$\beta_{86}(1, 1, 2, 3, 3, 3, 2, 1)$ 16 $\beta_{116}(0, 0, 0, 1, 1)$.1.1.1) 5
$\beta_{87}(1,2,2,3,3,2,2,1)$ 16 $\beta_{87}(0,0,0,0,1)$	1 1 1) 1
$\beta_{88}(1,2,2,4,3,2,1,1)$ 16 $p_{117}(0,0,0,0,1)$, 1, 1, 1) 4
$\beta_{89}(1, 1, 2, 3, 3, 2, 2, 1)$ 15 $\beta_{118}(0, 0, 0, 0, 0)$, 1, 1, 1) 3
$\beta_{90}(1, 2, 2, 3, 2, 2, 2, 1)$ 15 $\beta_{119}(0, 0, 0, 0, 0)$,0,1,1) 2
$\beta_{91}(1,2,2,3,3,2,1,1)$ 15 $\beta_{120}(0,0,0,0,0,0)$, 0, 0, 1) 1
$\beta_{92}(2, 3, 4, 6, 5, 4, 3, 2)$ 29/2	

We already know the shape of diagrams from the first seven columns. Thanks to Propositions 5.1.6, 5.1.7 and 5.1.8, one obtains the implications for the last column.

In particular, we obtain implications such as $(i \Rightarrow j \text{ or } k)$:

 $(92 \Rightarrow 91 \text{ or } 90)$ and $(92 \Rightarrow 90 \text{ or } 89)$ and $(92 \Rightarrow 91 \text{ or } 89)$.

Proposition 6.2.8. The set \mathcal{D} of Cauchon diagrams has same cardinality as the Weyl group W.

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