

# Some Nonlinear Wave Equations with Acoustic Boundary Conditions

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We prove the existence and uniqueness of global solutions to the mixed problem for the Carrier equation

$$u_{tt} - M \left( \int_{\Omega} u^2 dx \right) \Delta u + |u_t|^\alpha u_t = 0$$

with acoustic boundary conditions. © 2000 Academic Press

## 1. INTRODUCTION

Beale and Rosencrans [3] introduced acoustic boundary conditions into the rigorous wave propagation literature, and Beale carried out a detailed analysis of them for the wave equation in both bounded domains [1] and exterior domains [2]. See also [8] for a classical heuristic discussion. The idea is that each boundary point acts as a spring. The boundary is “locally reacting” in that these springs do not influence one another. Think of the solution of the wave equation  $u_{tt} = c^2 \Delta u$  as the velocity potential of a fluid (in three dimensions) undergoing acoustic wave motion; the acoustic boundary condition says that each point on the boundary reacts to the

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excess pressure of the wave like a resistive harmonic oscillator. The precise formulation is

$$\rho u_t + f\delta_{tt} + g\delta_t + h\delta = 0; \quad (1)$$

here  $\delta(x, t)$  is the normal displacement to the boundary at time  $t$  with the boundary point  $x$ ,  $\rho$  is the fluid density, and  $f, g, h$  are nonnegative functions on the boundary with  $f, h$  strictly positive. Condition (1) must be coupled with a condition expressing the impenetrability of the boundary,

$$\frac{\partial u}{\partial v} = \delta_t, \quad (2)$$

on the boundary for all time; here  $v$  is the unit outer normal. The wave equation with (1), (2) is governed by a  $(C_0)$  contraction semigroup (or unitary group if  $g \equiv 0$ ) on a "four-component" Hilbert space of vectors  $(u, u_t, \delta, \delta_t)$  with a suitable energy norm (cf. [1-3]).

These acoustic boundary conditions have great intuitive appeal. It is easy to imagine a music hall designed with these conditions in mind, but with a portion of the boundary (e.g., the floor) absorbing.

We shall consider problems of this sort, with a homogeneous Dirichlet condition on a portion of the boundary and acoustic boundary conditions on the rest of the boundary. Our result will be new when specialized to  $u_{tt} = c^2 \Delta u$ . But we shall work in a much more general context, namely, that of nonlinear wave equations related to problems studied earlier by Kirchhoff, Carrier, and others (see [4, 5]). The equation we consider is

$$u_{tt} - M \left( \int_{\Omega} u^2 dx \right) \Delta u + C |u_t|^\alpha u_t = 0; \quad (3)$$

here  $x \in \Omega \subset \mathbf{R}^n$  and  $0 \leq t \leq T$ , where  $u$  is a position function on  $\mathbf{R}^+ = [0, \infty)$  and  $\Omega$  is a smooth bounded domain. The boundary  $\partial\Omega = \Gamma$  is made up of two disjoint pieces,  $\Gamma_0, \Gamma_1$ , each having nonempty interior. The Dirichlet condition  $u(x, t) = 0$  is imposed for  $(x, t) \in \Gamma_0 \times [0, T]$ , while the acoustic boundary conditions (2), (3) are imposed for  $(x, t) \in \Gamma_1 \times [0, T]$ . Here  $T$  is any fixed but otherwise arbitrary positive number. Thus we are dealing with global existence.

## 2. EXISTENCE THEORY

Let  $\Omega \subset \mathbf{R}^n$  be a bounded open connected set with a (sufficiently) smooth boundary  $\Gamma = \partial\Omega$ . Suppose  $\Gamma = \Gamma_0 \cup \Gamma_1$  where  $\Gamma_0$  is a measurable subset of

$\Gamma$  such that  $\text{meas}(\Gamma_0) > 0$ , and  $\Gamma_1 = \Gamma \setminus \Gamma_0$ . We shall study the existence and uniqueness of solutions to the initial boundary value problem

$$u'' - M \left( \int_{\Omega} u^2 dx \right) \Delta u + C |u'|^\alpha u' = 0 \quad \text{in } Q = \Omega \times (0, T); \quad (4)$$

$$u = 0 \quad \text{on } \Sigma_0 = \Gamma_0 \times (0, T); \quad (5)$$

$$\rho u' + f\delta'' + g\delta' + h\delta = 0 \quad \text{on } \Sigma_1 = \Gamma_1 \times (0, T); \quad (6)$$

$$\frac{\partial u}{\partial \nu} - \delta' = 0 \quad \text{on } \Sigma_1 = \Gamma_1 \times (0, T); \quad (7)$$

$$u(x, 0) = u_0(x), \quad u'(x, 0) = u_1(x) \quad \text{in } \Omega. \quad (8)$$

Here  $' = \frac{\partial}{\partial t}$ ;  $\rho$  and  $T$  are positive constants;  $C$  is a nonnegative constant;  $f(x)$ ,  $g(x)$ , and  $h(x)$  are continuous real functions on  $\bar{\Gamma}_1$  such that  $f(x) > 0$ ,  $h(x) > 0$  and  $g(x) \geq 0$  for all  $x \in \bar{\Gamma}_1$ ; and  $M \in C^1([0, \infty); \mathbf{R})$  satisfies

$$0 < m_0 \leq M(\lambda), \quad \frac{|M'(\lambda) \lambda^{1/2}|}{M(\lambda)} \leq k_0, \quad \text{for all } \lambda \geq 0;$$

Where  $m_0$  and  $k_0$  are constants.

We employ the usual notation for the standard functional spaces (see [7]). The inner product and norm on  $L^2(\Omega)$  and  $L^2(\Gamma)$  are denoted by  $(\cdot, \cdot)$ ,  $\|\cdot\|$  and  $(\cdot, \cdot)_\Gamma$ ,  $\|\cdot\|_\Gamma$  respectively. We denote the Hilbert space  $H(\Delta, \Omega) = \{u \in H^1(\Omega); \Delta u \in L^2(\Omega)\}$ , provided with the norm

$$\|u\|_{H(\Delta, \Omega)} = (\|u\|_{H^1(\Omega)}^2 + \|\Delta u\|^2)^{1/2},$$

where  $H^1(\Omega)$  is the usual real Sobolev space of first order.

$\gamma_0 : H^1(\Omega) \rightarrow H^{1/2}(\Gamma)$  and  $\gamma_1 : H(\Delta, \Omega) \rightarrow H^{-1/2}(\Gamma)$  are the trace map of order zero and the Neumann trace map on  $H(\Delta, \Omega)$ , respectively. Therefore

$$\gamma_0(u) = u|_\Gamma, \quad \gamma_1(u) = \left( \frac{\partial u}{\partial \nu} \right)|_\Gamma \quad \text{for all } u \in \mathcal{D}(\bar{\Omega}),$$

and the generalized Green's formula

$$\int_{\Omega} (-\Delta u) \cdot v \, dx = \sum_{i=1}^n \int_{\Omega} \frac{\partial u}{\partial x_i} \cdot \frac{\partial v}{\partial x_i} \, dx - \langle \gamma_1(u), \gamma_0(v) \rangle_{H^{-1/2}(\Gamma) \times H^{1/2}(\Gamma)}$$

holds for all  $u \in H(\Delta, \Omega)$  and  $v \in H^1(\Omega)$ .

We denote by  $V$  the closure in  $H^1(\Omega)$  of  $\{u \in C^1(\bar{\Omega}); u = 0 \text{ on } \Gamma_0\}$ . Since  $\Omega$  is a regular connected domain we have that  $V = \{u \in H^1(\Omega);$

$\gamma_0(u) = 0$  a.e. on  $\Gamma_0$ ,  $V$  is a closed subspace of  $H^1(\Omega)$ , the Poincaré inequality holds on  $V$ , and the norm

$$\|u\|_V = \left( \sum_{i=1}^n \int_{\Omega} \left( \frac{\partial u}{\partial x_i} \right)^2 dx \right)^{1/2}$$

is equivalent to the usual norm from  $H^1(\Omega)$ .

**THEOREM 1.** *Let  $\alpha > 1$ ,  $u_0 \in V \cap H^2(\Omega)$ ,  $u_1 \in V \cap L^{2\alpha+2}(\Omega)$  be given. Then there exists a pair of functions  $(u(x, t), \delta(x, t))$  which comprise a solution to the problem (4)–(8) in the class*

$$u \in L^\infty(0, T; V); \quad u(t) \in H(\Delta, \Omega) \quad \text{a.e. in } [0, T]; \quad (9)$$

$$u' \in L^\infty(0, T; V) \cap L^{\alpha+2}(0, T; L^{\alpha+2}(\Omega)); \quad (10)$$

$$u'' \in L^\infty(0, T; L^2(\Omega)); \quad (11)$$

$$\delta, \delta', \delta'' \in L^\infty(0, T; L^2(\Gamma)). \quad (12)$$

*Proof.* Let  $\{\omega_j\}_{j \in \mathbf{N}}$ ,  $\{z_j\}_{j \in \mathbf{N}}$  be orthonormal bases of  $V$  and  $L^2(\Gamma)$ , respectively. For each  $m \in \mathbf{N}$  we consider

$$u_m(x, t) = \sum_{j=1}^m \xi_{jm}(t) \omega_j(x), \quad x \in \Omega \quad \text{and} \quad t \in [0, T_m],$$

$$\delta_m(x, t) = \sum_{j=1}^m \beta_{jm}(t) z_j(x), \quad x \in \Gamma \quad \text{and} \quad t \in [0, T_m],$$

which are solutions to the approximate problem

$$(u_m''(t), \omega_j) + M(\|u_m(t)\|^2)[(\nabla u_m(t), \nabla \omega_j) - (\delta_m'(t), \gamma_0(\omega_j))_\Gamma] + C(|u_m'(t)|^\alpha u_m'(t), \omega_j) = 0, \quad 1 \leq j \leq m;$$

$$(\rho \gamma_0(u_m'(t)) + f \delta_m''(t) + g \delta_m'(t) + h \delta_m(t), z_j)_\Gamma = 0, \quad 1 \leq j \leq m;$$

$$u_m(0) = u_{0m}, \quad u_m'(0) = u_{1m}, \quad \delta_m(0) = \delta_0, \quad \delta_m'(0) = \gamma_1(u_{0m}),$$

where  $\delta_0 \in L^2(\Gamma)$ ,  $u_{0m} = \sum_{j=1}^m (u_0, \omega_j) \omega_j$ ,  $u_{1m} = \sum_{j=1}^m (u_1, \omega_j) \omega_j$ , and  $0 < T_m \leq T$ .

Therefore we have the approximate equations

$$\frac{(u_m''(t), \omega)}{M(\|u_m(t)\|^2)} + (\nabla u_m(t), \nabla \omega) - (\delta_m'(t), \gamma_0(\omega))_\Gamma + C \frac{(|u_m'(t)|^\alpha u_m'(t), \omega)}{M(\|u_m(t)\|^2)} = 0, \quad (13)$$

$$(\gamma_0(u_m'(t)), z)_\Gamma = -\frac{1}{\rho} (f \delta_m''(t) + g \delta_m'(t) + h \delta_m(t), z)_\Gamma, \quad (14)$$

for all  $\omega \in [\omega_1, \dots, \omega_m] = \text{Span}\{\omega_1, \dots, \omega_m\}$  and  $z \in [z_1, \dots, z_m]$ . The local existence (for some  $T_m > 0$ ) is standard.

*Estimate 1.* Taking  $\omega = 2u'_m(t)$  in (13) and  $z = 2\delta'_m(t)$  in (14) we have

$$\begin{aligned} & \frac{d}{dt} \left[ \frac{\rho \|u'_m(t)\|^2}{M(\|u_m(t)\|^2)} + \rho \|u_m(t)\|_V^2 + \|f^{1/2}\delta'_m(t)\|_F^2 + \|h^{1/2}\delta_m(t)\|_F^2 \right] \\ & \quad + \frac{2\rho C \|u'_m(t)\|_{L^{\alpha+2}(\Omega)}^{\alpha+2}}{M(\|u_m(t)\|^2)} \\ & = - \frac{2\rho M'(\|u_m(t)\|^2)}{(M(\|u_m(t)\|^2))^2} (u'_m(t), u_m(t)) \|u'_m(t)\|^2 - \|g^{1/2}\delta'_m(t)\|_F^2 \\ & \leq \frac{C_1}{M(\|u_m(t)\|^2)} \|u'_m(t)\|_{L^{\alpha+2}(\Omega)}^3 + \|g^{1/2}\delta'_m(t)\|_F^2. \end{aligned}$$

Since  $\alpha > 1$ , it is elementary to see that there exists a function  $C_2: (0, \infty) \rightarrow (0, \infty)$  such that

$$C_1 \|u'_m(t)\|_{L^{\alpha+2}(\Omega)}^3 \leq C_2(\varepsilon) + \varepsilon \|u'_m(t)\|_{L^{\alpha+2}(\Omega)}^{\alpha+2} \quad \text{for all } \varepsilon > 0.$$

After we have chosen  $\varepsilon > 0$  sufficiently small, we get, for some constants  $C_3, C_4 > 0$ ,

$$\begin{aligned} & \frac{d}{dt} \left[ \frac{\rho \|u'_m(t)\|^2}{M(\|u_m(t)\|^2)} + \rho \|u_m(t)\|_V^2 + \|f^{1/2}\delta'_m(t)\|_F^2 + \|h^{1/2}\delta_m(t)\|_F^2 \right] \\ & \quad + C_3 \frac{\|u'_m(t)\|_{L^{\alpha+2}(\Omega)}^{\alpha+2}}{M(\|u_m(t)\|^2)} \leq C_4 + \|g^{1/2}\delta'_m(t)\|_F^2. \end{aligned}$$

Integrating this from 0 to  $t \leq T_m$  we find

$$\begin{aligned} & \frac{\rho \|u'_m(t)\|^2}{M(\|u_m(t)\|^2)} + \rho \|u_m(t)\|_V^2 + \|f^{1/2}\delta'_m(t)\|_F^2 + \|h^{1/2}\delta_m(t)\|_F^2 \\ & \quad + C_3 \int_0^t \frac{\|u'_m(s)\|_{L^{\alpha+2}(\Omega)}^{\alpha+2}}{M(\|u_m(s)\|^2)} ds \leq C_5 \left( 1 + \int_0^t \|\delta'_m(s)\|_F^2 ds \right), \quad (15) \end{aligned}$$

where  $C_5$  is a positive constant which depends on  $u_0, u_1, M, T$  and  $\|g\|_{L^\infty(\Gamma)}$ .

From (15), Gronwall's inequality gives

$$\|\delta'_m(t)\|_F^2 \leq C_6.$$

This and (15) imply that there exists a constant  $C_7$  independent of  $m$  and  $t \in [0, T_m]$  such that

$$\begin{aligned} & \frac{\rho \|u'_m(t)\|^2}{M(\|u_m(t)\|^2)} + \rho \|u_m(t)\|_V^2 + \|f^{1/2}\delta'_m(t)\|_F^2 + \|h^{1/2}\delta_m(t)\|_F^2 \\ & + C_3 \int_0^t \frac{\|u'_m(s)\|_{L^{x+2}(\Omega)}^{\alpha+2}}{M(\|u_m(s)\|^2)} ds \leq C_7. \end{aligned}$$

Using this and the Poincaré inequality we can see that there exists a constant  $M_0$ , independent of  $m$  and  $t$ , such that

$$m_0 \leq M(\|u_m(t)\|^2) \leq M_0. \quad (16)$$

Thus there exists a constant  $C_8$  such that

$$\|u'_m(t)\|^2 + \|u_m(t)\|_V^2 + \|\delta'_m(t)\|_F^2 + \|\delta_m(t)\|_F^2 + \int_0^t \|u'_m(s)\|_{L^{x+2}(\Omega)}^{\alpha+2} ds \leq C_8, \quad (17)$$

which completes the first estimate. Taking into account (17) we can extend the approximate solutions  $u_m$  and  $\delta_m$  to the whole interval  $[0, T]$ .

*Estimate 2.* Taking  $t=0$  in (13) and (14) we get

$$\begin{aligned} & (u''_m(0), \omega) - M(\|u_{0m}\|^2)(Au_{0m}, \omega) + C(|u_{1m}|^\alpha u_{1m}, \omega) = 0, \\ & (f\delta''_m(0), z)_F + (g\gamma_1(u_{0m}), z)_F + (h\delta_0, z)_F + \rho(\gamma_0(u_{1m}), z)_F = 0. \end{aligned}$$

Putting  $\omega = u''_m(0)$  and  $z = \delta''_m(0)$  we obtain

$$\begin{aligned} & \|u''_m(0)\|^2 \leq (M(\|u_{0m}\|^2) \|Au_{0m}\| + C \|u_{1m}\|_{L^{2x+2}(\Omega)}^{\alpha+1}) \|u''_m(0)\|, \\ & \|\delta''_m(0)\|_F^2 \leq C_9(1 + \|u_{0m}\|_{H^2(\Omega)} + \|u_{1m}\|_{H^1(\Omega)}) \|\delta''_m(0)\|_F. \end{aligned}$$

Therefore

$$\|u''_m(0)\| + \|\delta''_m(0)\| \leq C_{10}. \quad (18)$$

Differentiating (13) and (14) with respect to  $t$  and taking  $\omega = 2u''_m(t)$ ,  $z = 2\delta''_m(t)$  we obtain

$$\begin{aligned}
& \frac{d}{dt} \left[ \frac{\rho \|u_m''(t)\|^2}{M(\|u_m(t)\|^2)} + \rho \|u_m'(t)\|_V^2 + \|f^{1/2}\delta_m''(t)\|_G^2 + \|h^{1/2}\delta_m'(t)\|_G^2 \right] \\
& \quad + \frac{2\rho(\alpha+1) C(|u_m'(t)|^\alpha, (u_m''(t))^2)}{M(\|u_m(t)\|^2)} \\
& = \frac{2\rho M'(\|u_m(t)\|^2)}{(M(\|u_m(t)\|^2))^2} (u_m'(t), u_m(t)) \|u_m''(t)\|^2 \\
& \quad + \frac{4\rho CM'(\|u_m(t)\|^2)}{M(\|u_m(t)\|^2)} (u_m'(t), u_m(t)) (|u_m'(t)|^\alpha u_m'(t), u_m''(t)) \\
& \quad - 2 \|g^{1/2}\delta_m''(t)\|_G^2. \tag{19}
\end{aligned}$$

We observe that

$$\begin{aligned}
& \frac{2\rho(\alpha+1) C(|u_m'(t)|^\alpha, (u_m''(t))^2)}{M_0} \leq \frac{2\rho(\alpha+1) C(|u_m'(t)|^\alpha, (u_m''(t))^2)}{M(\|u_m(t)\|^2)}, \\
& \frac{2\rho M'(\|u_m(t)\|^2)}{(M(\|u_m(t)\|^2))^2} (u_m'(t), u_m(t)) \|u_m''(t)\|^2 \leq C_{11} \|u_m''(t)\|^2; \\
& \frac{4\rho CM'(\|u_m(t)\|^2)}{M(\|u_m(t)\|^2)} (u_m'(t), u_m(t)) (|u_m'(t)|^\alpha u_m'(t), u_m''(t)) \\
& \leq C_{12} \varepsilon (|u_m'(t)|^\alpha, (u_m''(t))^2) + \frac{C_{12}}{\varepsilon} \|u_m'(t)\|_{L^{\alpha+2}(\Omega)}^{\alpha+2}, \quad \text{for all } \varepsilon > 0; \\
& 2 \|g^{1/2}\delta_m''(t)\|_G^2 \leq C_{13} \|\delta_m''(t)\|_G^2.
\end{aligned}$$

Choosing  $\varepsilon > 0$  sufficiently small and applying this to (19) we get

$$\begin{aligned}
& \frac{d}{dt} \left[ \frac{\rho \|u_m''(t)\|^2}{M(\|u_m(t)\|^2)} + \rho \|u_m'(t)\|_V^2 + \|f^{1/2}\delta_m''(t)\|_G^2 + \|h^{1/2}\delta_m'(t)\|_G^2 \right] \\
& \leq C_{14} \|u_m'(t)\|_{L^{\alpha+2}(\Omega)}^{\alpha+2} + C_{15} (\|u_m''(t)\|^2 + \|\delta_m''(t)\|_G^2).
\end{aligned}$$

Integrating over  $(0, T)$ , using (17), (18), and applying Gronwall's inequality we have

$$\|u_m''(t)\|^2 + \|u_m'(t)\|_V^2 + \|\delta_m''(t)\|_G^2 + \|\delta_m'(t)\|_G^2 \leq C_{16}, \tag{20}$$

which is the second estimate.

From (17) and (20) there exist a subsequence of  $(u_m)_{m \in \mathbb{N}}$  and a subsequence of  $(\delta_m)_{m \in \mathbb{N}}$ , which we denote by the same notations, and functions  $u, \delta$  such that

$$\begin{aligned}
 u_m &\xrightarrow{\star} u && \text{in } L^\infty(0, T; V), \\
 u'_m &\xrightarrow{\star} u' && \text{in } L^\infty(0, T; V), \\
 u'_m &\rightharpoonup u' && \text{in } L^{\alpha+2}(0, T; L^{\alpha+2}(\Omega)), \\
 u''_m &\xrightarrow{\star} u'' && \text{in } L^\infty(0, T; L^2(\Omega)), \\
 \delta_m &\xrightarrow{\star} \delta && \text{in } L^\infty(0, T; L^2(\Gamma)), \\
 \delta'_m &\xrightarrow{\star} \delta' && \text{in } L^\infty(0, T; L^2(\Gamma)), \\
 \delta''_m &\xrightarrow{\star} \delta'' && \text{in } L^\infty(0, T; L^2(\Gamma)).
 \end{aligned}$$

Since  $V \xhookrightarrow{c} L^2(\Omega)$ , using the compactness theorem of Aubin and Lions [7], we obtain

$$\begin{aligned}
 u_m &\rightarrow u && \text{in } L^2(0, T; L^2(\Omega)), \\
 u'_m &\rightarrow u' && \text{in } L^2(0, T; L^2(\Omega)).
 \end{aligned}$$

Taking into account the above convergences and passing to the limit in the approximate equations we have

$$\begin{aligned}
 &[(u''(t), \omega) + M(\|u(t)\|^2)[(\nabla u(t), \nabla \omega) - (\delta'(t), \gamma_0(\omega))_\Gamma] \\
 &\quad + C(|u'(t)|^\alpha u'(t), \omega) = 0,
 \end{aligned} \tag{21}$$

$$(\gamma_0(u'(t)), z)_\Gamma = -\frac{1}{\rho} (f\delta''(t) + g\delta'(t) + h\delta(t), z)_\Gamma, \tag{22}$$

for all  $\omega \in V, z \in L^2(\Gamma)$  a.e. in  $[0, T]$ .

From (21) we obtain

$$\begin{aligned}
 &\int_\Omega u''(x, t) \varphi(x) dx - \langle M(\|u(t)\|^2) \Delta u(t), \varphi \rangle_{\mathcal{D}'(\Omega) \times \mathcal{D}(\Omega)} \\
 &\quad + \int_\Omega |u'(x, t)|^\alpha u'(x, t) \varphi(x) dx = 0, \quad \text{for all } \varphi \in \mathcal{D}(\Omega), \text{ a.e. in } [0, T].
 \end{aligned}$$

Therefore  $\Delta u(t) \in L^2(\Omega)$  a.e. in  $[0, T]$  and

$$u'' - M(\|u\|^2) \Delta u + C |u'|^\alpha u' = 0 \quad \text{a.e. in } Q = \Omega \times (0, T), \tag{23}$$

which shows that  $u$  satisfies (4). Since  $u(t) \in V$  a.e. in  $[0, T]$  we have that (5) is proved. From (22) we can see that  $u$  and  $\delta$  satisfy the boundary condition (6).



Now we shall interpret the sense in which  $u$  and  $\delta$  satisfy (7). Multiplying (23) by  $\omega \in V$  and integrating over  $\Omega$  we find

$$(u''(t), \omega) - M(\|u(t)\|^2)(\Delta u(t), \omega) + C(|u'(t)|^\alpha u'(t), \omega) = 0.$$

Since  $u(t) \in H(\Delta, \Omega)$  a.e. in  $[0, T]$ , using the generalized Green's formula we have

$$(u''(t), \omega) + M(\|u(t)\|^2) [(\nabla u(t), \nabla \omega) - \langle \gamma_1(u(t)), \gamma_0(\omega) \rangle_{H^{-1/2}(\Gamma) \times H^{1/2}(\Gamma)}] + C(|u'(t)|^\alpha u'(t), \omega) = 0.$$

This and (21) give

$$\langle \gamma_1(u(t)), \gamma_0(\omega) \rangle_{H^{-1/2}(\Gamma) \times H^{1/2}(\Gamma)} = (\delta'(t), \gamma_0(\omega))_\Gamma, \quad (24)$$

for all  $\omega \in V$  and a.e. in  $[0, T]$ , which proves (7).

The initial conditions (8) can be proved in a standard way and the proof of Theorem 1 is complete. ■

**THEOREM 2** (Uniqueness, regularity, and continuous dependence on the parameters). *Let  $n \leq 3$ . Under the hypotheses of Theorem 1, if*

$$\alpha > 1 \quad \text{for} \quad n = 1, 2 \quad (25)$$

or

$$1 < \alpha \leq 2 \quad \text{for} \quad n = 3, \quad (26)$$

then for each  $u_0 \in V \cap H^2(\Omega)$  and  $u_1 \in V$  there exists a unique pair of functions  $(u(x, t), \delta(x, t))$ , which is a solution to the problem (4)–(8) in the class

$$u \in C([0, T]; V) \cap C^1([0, T]; L^2(\Omega)); \quad u(t) \in H(\Delta, \Omega) \quad \text{a.e. in } [0, T]; \quad (27)$$

$$u' \in L^\infty(0, T; V); \quad u'' \in L^\infty(0, T; L^2(\Omega)); \quad (28)$$

$$\delta \in C^1(0, T; L^2(\Gamma)); \quad \delta'' \in L^\infty(0, T; L^2(\Gamma)). \quad (29)$$

Moreover the solution  $u, \delta$  depends continuously on the parameters  $f, g, h, u_0$  and  $u_1$ .

*Proof.* Taking into account (25) and (26), the Sobolev imbedding theorem gives  $V \hookrightarrow L^{2\alpha+2}(\Omega)$ . This allows us to get one more estimate for the approximate solutions. Let  $(u_m)_{m \in \mathbf{N}}$  and  $(\delta_m)_{m \in \mathbf{N}}$  be the approximate solutions as in the proof of Theorem 1. Thus we have the following extra estimate.

*Estimate 3.* Let  $\mu$  and  $m$  be arbitrary fixed natural numbers,  $\mu \geq m$ . We define  $\xi_{jm}(t) = \beta_{jm}(t) = 0$  for all  $j = m + 1, \dots, \mu$ , and we put

$$v_m = u_\mu - u_m, \quad \theta_m = \delta_\mu - \delta_m.$$

From (13) and (14) we have

$$\begin{aligned} & \frac{M(\|u_m(t)\|^2)(u_\mu''(t), \omega) - M(\|u_\mu(t)\|^2)(u_m''(t), \omega)}{M(\|u_\mu(t)\|^2)M(\|u_m(t)\|^2)} + (\nabla v_m(t), \nabla \omega) \\ & - (\theta_m'(t), \gamma_0(\omega))_G + C \left[ \frac{(|u_\mu'(t)|^\alpha u_\mu'(t), \omega)}{M(\|u_\mu(t)\|^2)} - \frac{(|u_m'(t)|^\alpha u_m'(t), \omega)}{M(\|u_m(t)\|^2)} \right] = 0, \\ & (\gamma_0(v_m'(t)), z) = -\frac{1}{\rho} (f\theta_m''(t) + g\theta_m'(t) + h\theta_m(t), z)_G, \end{aligned}$$

for all  $\omega \in [\omega_1, \dots, \omega_\mu]$  and  $z \in [z_1, \dots, z_\mu]$ .

Adding and subtracting appropriate terms, taking  $w = 2v_m'(t)$  and  $z = \theta_m'(t)$  we find

$$\begin{aligned} & \frac{d}{dt} \left[ \frac{\rho \|v_m'(t)\|^2}{M(\|u_\mu(t)\|^2)} + \rho \|v_m(t)\|_V^2 + \|f^{1/2}\theta_m'(t)\|_G^2 + \|h^{1/2}\theta_m(t)\|_G^2 \right] \\ & + \frac{2\rho C(|u_\mu'(t)|^\alpha u_\mu'(t) - |u_m'(t)|^\alpha u_m'(t), v_m'(t))}{M(\|u_\mu(t)\|^2)} \\ & = -2 \|g^{1/2}\theta_m'(t)\|_G^2 + 2\rho \left[ \frac{M(\|u_\mu(t)\|^2) - M(\|u_m(t)\|^2)}{M(\|u_\mu(t)\|^2)M(\|u_m(t)\|^2)} \right] (u_m''(t), v_m'(t)) \\ & + 2\rho C \left[ \frac{M(\|u_\mu(t)\|^2) - M(\|u_m(t)\|^2)}{M(\|u_\mu(t)\|^2)M(\|u_m(t)\|^2)} \right] (|u_m'(t)|^\alpha u_m'(t), v_m'(t)) \\ & - 2\rho \frac{M'(\|u_\mu(t)\|^2)}{M(\|u_\mu(t)\|^2)} (u_\mu'(t), u_\mu(t)) \|v_m'(t)\|^2. \end{aligned} \quad (30)$$

Now we observe that

$$\begin{aligned} & \frac{2\rho C}{M(\|u_\mu(t)\|^2)} (|u_\mu'(t)|^\alpha u_\mu'(t) - |u_m'(t)|^\alpha u_m'(t), v_m'(t)) \geq 0; \\ & 2 \|g^{1/2}\theta_m'(t)\|_G^2 \leq C_1 \|\theta_m'(t)\|_G^2; \\ & 2\rho \left[ \frac{M(\|u_\mu(t)\|^2) - M(\|u_m(t)\|^2)}{M(\|u_\mu(t)\|^2)M(\|u_m(t)\|^2)} \right] (u_m''(t), v_m'(t)) \\ & \leq C_2 (\|v_m(t)\|_V^2 + \|v_m'(t)\|^2). \end{aligned}$$

Here we have used

$$\begin{aligned}
& |M(\|u_\mu(t)\|^2) - M(\|u_m(t)\|^2)| \\
&= \left| \int_{\|u_m(t)\|^2}^{\|u_\mu(t)\|^2} M'(s) ds \right| \\
&\leq C(\|u_\mu(t)\| + \|u_m(t)\|) \|v_m(t)\| \leq C \|v_m(t)\|_V; \\
2\rho C & \left[ \frac{M(\|u_\mu(t)\|^2) - M(\|u_m(t)\|^2)}{M(\|u_\mu(t)\|^2) M(\|u_m(t)\|^2)} \right] (|u'_m(t)|^\alpha u'_m(t), v'_m(t)) \\
&\leq C_3 \|v_m(t)\|_V \| |u'_m(t)|^\alpha u'_m(t) \| \|v'_m(t)\| \\
&\leq \|u'_m(t)\|_{L^{2\alpha+2}(\Omega)}^{2\alpha+2} \|v_m(t)\|_V^2 + C_3^2 \|v'_m(t)\|^2 \\
&\leq C_4 \|u'_m(t)\|_V^{2\alpha+2} \|v_m(t)\|_V^2 + C_3^2 \|v'_m(t)\|^2 \\
&\leq C_5 (\|v_m(t)\|_V^2 + \|v'_m(t)\|^2).
\end{aligned}$$

Here we have used  $V \hookrightarrow L^{2\alpha+2}(\Omega)$ . Next,

$$2\rho \frac{M'(\|u_\mu(t)\|^2)}{M(\|u_\mu(t)\|^2)} (u'_\mu(t), u_\mu(t)) \|v'_m(t)\|^2 \leq C_6 \|v'_m(t)\|^2.$$

Applying this to (30) we obtain

$$\begin{aligned}
& \frac{d}{dt} \left[ \frac{\rho \|v'_m(t)\|^2}{M(\|u_\mu(t)\|^2)} + \rho \|v_m(t)\|_V^2 + \|f^{1/2}\theta'_m(t)\|_F^2 + \|h^{1/2}\theta_m(t)\|_F^2 \right] \\
&\leq C_7 (\|v'_m(t)\|^2 + \|v_m(t)\|_V^2 + \|\theta'_m(t)\|_F^2 + \|\theta_m(t)\|_F^2).
\end{aligned}$$

Integrating from 0 to  $t$  and using Gronwall's inequality we have

$$\begin{aligned}
& \|v'_m(t)\|^2 + \|v_m(t)\|_V^2 + \|\theta'_m(t)\|_F^2 + \|\theta_m(t)\|_F^2 \\
&\leq C_8 (\|v'_m(0)\|^2 + \|v_m(0)\|_V^2 + \|\theta'_m(0)\|_F^2 + \|\theta_m(0)\|_F^2);
\end{aligned}$$

then

$$\|v'_m(t)\|^2 + \|v_m(t)\|_V^2 + \|\theta'_m(t)\|_F^2 + \|\theta_m(t)\|_F^2 \leq C_9 (\|v'_m(0)\|^2 + \|v_m(0)\|_{H^2(\Omega)}).$$

This estimate shows that  $(u_m(t))_{m \in \mathbf{N}}$  is a Cauchy sequence in  $C([0, T]; V)$  and in  $C^1([0, T]; L^2(\Omega))$ . Moreover, it shows also that  $(\delta_m(t))_{m \in \mathbf{N}}$  is a Cauchy sequence in  $C^1([0, T]; L^2(\Gamma))$ . Therefore (27)–(29) is proved.

To prove that the solution  $u, \delta$  depends continuously on the parameters  $f, g, h, u_0$ , and  $u_1$  let us consider two sets of parameters,  $\{f, g, h, u_0, u_1\}$

and  $\{\tilde{f}, \tilde{g}, \tilde{h}, \tilde{u}_0, \tilde{u}_1\}$ , with associated solutions  $u, \delta$  and  $\tilde{u}, \tilde{\delta}$ , respectively. Putting

$$v = u - \tilde{u} \quad \text{and} \quad \theta = \delta - \tilde{\delta}$$

and proceeding as in Estimate 3 we have

$$\begin{aligned} & \frac{d}{dt} \left[ \frac{\rho \|v'(t)\|^2}{M(\|u(t)\|^2)} + \rho \|v(t)\|_V^2 + \|f^{1/2}\theta'(t)\|_F^2 + \|h^{1/2}\theta(t)\|_F^2 \right] \\ & + \frac{2\rho C(|u'(t)|^\alpha u'(t) - |\tilde{u}'(t)|^\alpha \tilde{u}'(t), v'(t))}{M(\|u(t)\|^2)} \\ & = -2 \|g^{1/2}\theta'(t)\|_F^2 + \frac{2\rho[M(\|u(t)\|^2) - M(\|\tilde{u}(t)\|^2)]}{M(\|u(t)\|^2)M(\|\tilde{u}(t)\|^2)} (\tilde{u}''(t), v'(t)) \\ & + \frac{2\rho C[M(\|u(t)\|^2) - M(\|\tilde{u}(t)\|^2)]}{M(\|u(t)\|^2)M(\|\tilde{u}(t)\|^2)} (|\tilde{u}'(t)|^\alpha \tilde{u}'(t), v'(t)) \\ & - \frac{2\rho M'(\|u(t)\|^2)}{M(\|u(t)\|^2)} (u'(t), u(t)) \|v'(t)\|^2 \\ & + 2((\tilde{f}-f) \tilde{\delta}''(t), \theta'(t))_F + 2((\tilde{g}-g) \tilde{\delta}'(t), \theta'(t))_F \\ & + 2((\tilde{h}-h) \tilde{\delta}(t), \theta'(t))_F \\ & \leq C_{10}(\|v'(t)\|^2 + \|v(t)\|_V^2 + \|\theta'(t)\|_F^2 + \|\theta(t)\|_F^2) \\ & + C_{11}(\|f-\tilde{f}\|_{C(\bar{r}_1)}^2 + \|g-\tilde{g}\|_{C(\bar{r}_1)}^2 + \|h-\tilde{h}\|_{C(\bar{r}_1)}^2). \end{aligned}$$

Integrating this from 0 to  $t$  and using Gronwall's inequality we have

$$\begin{aligned} & \|v'(t)\|^2 + \|v(t)\|_V^2 + \|\theta'(t)\|_F^2 + \|\theta(t)\|_F^2 \\ & \leq C_{12}(\|v'(0)\|^2 + \|v(0)\|_{H^2(\Omega)}^2 + \|f-\tilde{f}\|_{C(\bar{r}_1)}^2 + \|g-\tilde{g}\|_{C(\bar{r}_1)}^2 + \|h-\tilde{h}\|_{C(\bar{r}_1)}^2). \end{aligned}$$

From this we can see that

$$\begin{aligned} & \|u - \tilde{u}\|_{C^1([0, T]; L^2(\Omega))}^2 + \|\delta - \tilde{\delta}\|_{C^1([0, T]; L^2(\Gamma))}^2 \\ & \leq C_{12}(\|f-\tilde{f}\|_{C(\bar{r}_1)}^2 + \|g-\tilde{g}\|_{C(\bar{r}_1)}^2 + \|h-\tilde{h}\|_{C(\bar{r}_1)}^2 \\ & + \|u_0 - \tilde{u}_0\|_{H^2(\Omega)}^2 + \|u_1 - \tilde{u}_1\|^2), \end{aligned}$$

which completes the proof of Theorem 2.  $\blacksquare$

## 3. THE ACOUSTIC BOUNDARY CONDITION

Thus far we have divided the boundary  $\Gamma$  into two parts, one of which ( $\Gamma_0$ ) has positive measure and has the homogeneous Dirichlet boundary condition imposed upon it. Now we let that positive measure shrink to zero. For each  $m \in \mathbf{N}$ , let  $\Gamma_{0m}$  be a subset of  $\Gamma$  such that

$$\text{meas}(\Gamma_{0m}) > 0, \quad \text{for all } m \in \mathbf{N};$$

$$\Gamma_{0(m+1)} \subset \Gamma_{0m}, \quad \text{for all } m \in \mathbf{N};$$

$$\lim_{m \rightarrow \infty} \text{meas}(\Gamma_{0m}) = 0.$$

We denote  $V_m = \{u \in H^1(\Omega); \gamma_0(u) = 0 \text{ a.e. on } \Gamma_{0m}\}$ , and  $V_\infty = \bigcup_{m=1}^\infty V_m$ .

Therefore, putting  $W = \overline{V_\infty}^{H^1(\Omega)}$  we have that  $W$  is a closed subspace of  $H^1(\Omega)$ ,  $H_0^1(\Omega) \subset V_1 \subset V_2 \subset \dots \subset V_\infty \subset W \subset H^1(\Omega)$ , and the Poincaré inequality is satisfied in  $W$ . Moreover,

$$\|u\|_W = \left( \sum_{i=1}^n \int_\Omega \left| \frac{\partial u}{\partial x_i} \right|^2 dx \right)^{1/2}$$

is a norm in  $W$  equivalent to the usual norm of  $H^1(\Omega)$ .

**THEOREM 3.** *Under the assumptions of Theorem 2 with  $n = 2$  or  $n = 3$ , for each  $u_0 \in W \cap H^2(\Omega)$  and  $u_1 \in W$  there exists a unique pair of functions  $(u(x, t), \delta(x, t))$  in the class*

$$u \in C([0, T]; W) \cap C^1([0, T]; L^2(\Omega)); \quad (31)$$

$$u(t) \in H(\Delta, \Omega) \quad \text{a.e. in } [0, T];$$

$$u' \in L^\infty(0, T; W); \quad u'' \in L^\infty(0, T; L^2(\Omega)); \quad (32)$$

$$\delta \in C^1(0, T; L^2(\Gamma)); \quad \delta'' \in L^\infty(0, T; L^2(\Gamma)); \quad (33)$$

such that

$$u'' - M \left( \int_\Omega u^2 dx \right) \Delta u + C |u'|^\alpha u' = 0 \quad \text{in } Q = \Omega \times (0, T); \quad (34)$$

$$\rho u' + f \delta'' + g \delta' + h \delta = 0 \quad \text{on } \Sigma = \Gamma \times (0, T); \quad (35)$$

$$\frac{\partial u}{\partial \nu} - \delta' = 0 \quad \text{on } \Sigma = \Gamma \times (0, T); \quad (36)$$

$$u(x, 0) = u_0(x), \quad u'(x, 0) = u_1(x) \quad \text{in } \Omega. \quad (37)$$

Moreover the solution  $u, \delta$  depends continuously on the parameters  $f, g, h, u_0$  and  $u_1$ .

*Proof.* Let  $(u_{0m})_{m \in \mathbb{N}}$  and  $(u_{1m})_{m \in \mathbb{N}}$  be two sequences such that

$$\begin{aligned} u_{0m} &\in V_m \cap H^2(\Omega) & \text{and} & & u_{0m} &\rightarrow u_0 & \text{in } W \cap H^2(\Omega); \\ u_{1m} &\in V_m & \text{and} & & u_{1m} &\rightarrow u_1 & \text{in } W. \end{aligned}$$

Thus, by Theorem 2, for each  $m \in \mathbb{N}$  there exist a unique pair of functions  $u_m, \delta_m$  in the class

$$\begin{aligned} u_m &\in C([0, T]; V_m) \cap C^1([0, T]; L^2(\Omega)); \\ u_m(t) &\in H(\Delta, \Omega) \quad \text{a.e. in } [0, T]; \\ u'_m &\in L^\infty(0, T; V_m); & u''_m &\in L^\infty(0, T; L^2(\Omega)); \\ \delta_m &\in C^1(0, T; L^2(\Gamma)); & \delta''_m &\in L^\infty(0, T; L^2(\Gamma)), \end{aligned}$$

such that

$$\begin{aligned} u''_m - M(\|u_m\|^2) \Delta u_m + C |u'_m|^\alpha u'_m &= 0 & \text{in } Q; \\ \rho \gamma_0(u'_m) + f \delta''_m + g \delta'_m + h \delta_m &= 0 & \text{on } \Sigma; \\ \langle \gamma_1(u_m(t)), \gamma_0(\omega) \rangle = (\delta'_m(t), \omega) & & \text{for all } \omega \in V_m, \text{ a.e. in } [0, T]; \\ u_m(0) = u_{0m}, & & u'_m(0) = u_{1m}. \end{aligned}$$

Since the constant of the Poincaré inequality on  $W$  does not depend on the measure of  $\Gamma_{0m}$  (see the Appendix), we can see that all estimates that we have done before still true for  $(u_m)$  and  $(\delta_m)$ . Therefore by taking the limit when  $m$  goes to infinity, Theorem 3 is proved. ■

*Remark 1.* The boundary condition (36) is satisfied in a weak sense. This means that

$$u(t) \in H(\Delta, \Omega) \quad \text{a.e. in } [0, T]$$

and

$$\langle \gamma_1(u(t)), \gamma_0(\omega) \rangle_{H^{-1/2}(\Gamma) \times H^{1/2}(\Gamma)} = (\delta'(t), \gamma_0(\omega))_\Gamma, \quad \text{for all } \omega \in W.$$

*Remark 2.* When  $M(\lambda) = 1, C = 0$  and  $n = 3$  we can consider the Hilbert space  $H_D(\Omega) = H^1(\Omega)$  modulo constant functions, instead of  $W$ .

Our result in this case was obtained in Beale [1] using semigroup methods. He considered an equivalent initial value problem

$$\begin{aligned}v'(t) &= Av(t) & t > 0, \\v(0) &= v_0\end{aligned}$$

in the Hilbert space  $\mathcal{H} = H_D(\Omega) \times L^2(\Omega) \times L^2(\Gamma) \times L^2(\Gamma)$  with norm

$$\|v\|_{\mathcal{H}} = \rho \|v_1\|_{H_D(\Omega)}^2 + \rho \|v_2\|^2 + \|h^{1/2}v_3\|_{\Gamma}^2 + \|f^{1/2}v_4\|_{\Gamma}^2$$

and  $A: D(A) \subset \mathcal{H} \rightarrow \mathcal{H}$  the operator defined by

$$D(A) = \{v \in \mathcal{H}; \Delta v_1 \in L^2(\Omega), v_2 \in H^1(\Omega) \text{ and } \gamma_1(v_1) = v_4\}$$

$$Av = \left( v_2, \Delta v_1, v_4, -\frac{1}{f}(\rho\gamma_0(v_2) + hv_3 + gv_4) \right).$$

Then he proved that  $A$  generates a  $(C_0)$  contraction semigroup (a unitary group if  $g \equiv 0$ ), which solves the initial value problem. He also showed that  $A$  has a noncompact resolvent and a nonempty essential spectrum. Moreover a description of the spectrum of the semigroup generator  $A$  was obtained. The remarkable feature here is that  $A$  does not have a compact resolvent; rather  $\lambda \rightarrow (\lambda I - A)^{-1}$  has essential singularities in  $\mathbf{C}$ . This is a fascinating result for which we have no nonlinear analogue.

*Remark 3.* The damping term  $C|u_t|^\alpha u_t$  in Eq. (3) is not sufficient for the global solvability when  $\alpha = 1$ ,  $C > 0$ . However, one can consider this case with additional assumptions. When the measure of  $\Omega$  is sufficiently small or the size of the initial data  $(u_0, u_1)$  is sufficiently small, then global solvability holds. The details will appear elsewhere. Finally we remark that in our basic equation (3) the right-hand side (zero) may be replaced by a given forcing function  $F(x, t)$ , where  $F \in H^1(Q)$ . The proof goes through with only inessential changes; we omit the details.

## APPENDIX

Let  $\Omega$  be a bounded connected open set in  $\mathbf{R}^n$  with a sufficiently smooth boundary. Then the canonical injection of  $H^1(\Omega)$  into  $L^2(\Omega)$  is compact, and  $H^1(\Omega) \subset C(\bar{\Omega})$  holds by a Sobolev inequality if  $n \leq 3$ . Let  $x_0 \in \partial\Omega$ . Then there is a constant  $k = k(\Omega)$ , depending only on  $\Omega$ , such that

$$\|u\|^2 \leq k(\Omega) \|\nabla u\|^2 \tag{38}$$

holds for all  $u \in H^1(\Omega)$  such that  $u(x_0) = 0$ . ( Recall  $u \in H^1(\Omega)$  implies  $u \in C(\bar{\Omega})$ , so that  $u(x_0)$  is well defined. More precisely, the equivalence class of  $u$  contains an everywhere continuous function on  $\bar{\Omega}$ , which we identify with  $u$ ).

The proof of the Poincaré inequality (38) is similar to that in [6, pp. 127–129]. We proceed by contradiction. Assume (38) fails to hold. Then there is a sequence  $(u_m)$  in  $H^1(\Omega)$  such that

$$\|u_m\|_{H^1(\Omega)} = 1, \quad u_m(x_0) = 0, \quad \text{and} \quad \alpha_m \|\nabla u_m\|^2 \leq \|u_m\|^2 \leq 1$$

for all  $m$ , where  $\alpha_m \rightarrow \infty$ . (Recall  $\|u\|_{H^1(\Omega)}^2 = \|u\|^2 + \|\nabla u\|^2$ , and  $\|\cdot\|$  is the  $L^2(\Omega)$  norm). By compactness, a subsequence of  $(u_m)$  (which we also denote by  $(u_m)$ ) converges weakly in  $H^1(\Omega)$  to  $u \in H^1(\Omega)$ . Then  $u_m$  converges to  $u$  strongly in  $L^2(\Omega)$  and uniformly since  $C(\bar{\Omega}) \hookrightarrow H^1(\Omega)$  is compact. Hence  $u \in C(\bar{\Omega})$  and  $u(x_0) = 0$ . Since  $\alpha_m \rightarrow \infty$  we have  $\|\nabla u_m\| \rightarrow 0$ , and  $\nabla u_m \rightarrow \nabla u$  in the sense of distributions. It follows that  $\nabla u = 0$ , and since  $u \in H^1(\Omega)$ ,  $u(x) \equiv C$  is a constant since  $\Omega$  is connected. But  $u \in C(\bar{\Omega})$  and  $u(x_0) = 0$ , whence  $u$  is the zero function. Thus  $\|u_m\| \rightarrow 0$ , which coupled with  $\|\nabla u_m\| \rightarrow 0$  implies  $\|u_m\|_{H^1(\Omega)} \rightarrow 0$ . This contradicts  $\|u_m\|_{H^1(\Omega)} = 1$ , and so (38) follows. ■

We present an alternative version of (38) in a special context which admits a simple proof and a concrete bound for  $k(\Omega)$  with  $\dim(\Omega)$  arbitrary. Now let  $\Omega$  be a bounded convex set in  $\mathbf{R}^n$  (for any  $n$ ). Let  $x_0 \in \partial\Omega$ . Let  $D$  be the diameter of  $\Omega$  and let  $V = \{u \in C^1(\bar{\Omega}); u(x_0) = 0\}$ . Then

$$\|u\|^2 \leq D^2 \|\nabla u\|^2 \tag{39}$$

holds for all  $u \in V$ . Thus (38) holds (on the  $H^1(\Omega)$  closure of  $V$ ) with  $k(\Omega) = D^2$ .

We prove (39). Let  $x \in \Omega$ . Let  $e_1$  be a unit vector in  $\mathbf{R}^n$  pointing from  $x_0$  to  $x$ , and let  $l = \|x - x_0\|_{\mathbf{R}^n}$ . Then by the fundamental theorem of calculus

$$u(x) = \int_0^l \frac{\partial u}{\partial y_1}(x_0 + se_1) ds; \tag{40}$$

here we extend  $e_1$  to  $e_1, e_2, \dots, e_n$ , an orthonormal basis of  $\mathbf{R}^n$ , and we let  $y_1, \dots, y_n$  be the corresponding Cartesian coordinate system. By the Cauchy–Schwarz inequality applied to (40),

$$(u(x))^2 \leq l \int_0^l \left| \frac{\partial u}{\partial y_1}(x_0 + se_1) \right|^2 ds.$$



Let  $L$  be the portion of the line  $\{x_0 + se_1, s \in \mathbf{R}\}$  which intersects  $\Omega$ . Then

$$|u(x)|^2 \leq D \int_L \left( \frac{\partial u}{\partial y_1} \right)^2 dy_1 = D \int_0^\lambda \left| \frac{\partial u}{\partial y_1}(x_0 + se_1) \right|^2 ds, \quad (41)$$

where  $\lambda$  ( $\leq D$ ) is the length of  $L$ . Integrating both sides of (41) over  $L$  gives

$$\int_L |u|^2 dy_1 \leq D^2 \int_L \left( \frac{\partial u}{\partial y_1} \right)^2 dy_1 \leq D^2 \int_L |\nabla u|^2 dy_1. \quad (42)$$

To review, we pick  $x \in \Omega$  and then choose the coordinate system  $y_1, \dots, y_n$ . Next, for any  $f \in L^2(\Omega)$ ,

$$\int_\Omega |f(y)|^2 dy = \int_{E_n} \cdots \int_{E_2} \int_{E_1} |f(y)|^2 dy_1 dy_2 \cdots dy_n \quad (43)$$

where  $y_1 \in E_1$  means  $F_{11}(y_2, \dots, y_n) \leq y_1 \leq F_{12}(y_2, \dots, y_n)$ ,  $y_2 \in E_2$  means  $F_{21}(y_3, \dots, y_n) \leq y_2 \leq F_{22}(y_3, \dots, y_n)$ , and so on until  $y_n \in E_n$  means  $F_{n1} \leq y_n \leq F_{n2}$ , where  $F_{n1}, F_{n2}$  are constants. This is valid since  $\Omega$  is convex. And our choice of the coordinate system  $\{y_1, \dots, y_n\}$  implies

$$\int_{E_1} |f(y)|^2 dy_1 = \int_L |f|^2 dy_1.$$

Now integrate over  $y_2 \in E_2, \dots, y_n \in E_n$  as in (43), taking for  $f$  the choices  $u$  and  $\nabla u$ . By (42),

$$\int_\Omega |u(y)|^2 dy \leq D^2 \int_\Omega |\nabla u(y)|^2 dy,$$

which is (39). ■

This argument can be extended to more general domains, but rather than taking  $L$  the line through  $x_0$  and  $x$ , to be parallel to the  $y_1$  axis, we take the path from  $x_0$  to  $x$  to be a continuous broken line with sides parallel to the coordinate axes in the  $y_1, \dots, y_n$  coordinate system. The details are very messy and we omit them.

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