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On the order of the high-dimensional Cochrane sum and its mean value[☆]

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Abstract

The main purpose of this paper is to study the high-dimensional Cochrane sum and give a sharp estimate of its order by using properties of hyper-Kloosterman sum and the mean value theorems of Dirichlet L-functions.

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1. Introduction

For a positive integer q and an arbitrary integer h , the classical Dedekind sum $S(h, q)$ is defined by

$$S(h, q) = \sum_{a=1}^q \left(\left(\frac{a}{q} \right) \right) \left(\left(\frac{ah}{q} \right) \right),$$

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where

$$((x)) = \begin{cases} x - [x] - \frac{1}{2} & \text{if } x \text{ is not an integer,} \\ 0 & \text{if } x \text{ is an integer.} \end{cases}$$

In October 2000, during his visit to Xi'an, Professor Todd Cochrane introduced a sum analogous to the Dedekind sum as follows:

$$C(h, q) = \sum_{a=1}^q ' \left(\left(\frac{\bar{a}}{q} \right) \right) \left(\left(\frac{ah}{q} \right) \right),$$

where \bar{a} is defined by the equation $a\bar{a} \equiv 1 \pmod{q}$ and $\sum_{a=1}^q '$ denotes the summation over all $1 \leq a \leq q$, such that $(a, q) = 1$. Then he suggested us to study the arithmetical properties and mean value distribution properties of $C(h, q)$. About these problems, the second author [10] obtained a mean-square value formula for $C(h, q)$. That is,

$$\begin{aligned} \sum_{h=1}^q C^2(h, q) &= \frac{5}{144} \phi^2(q) \prod_{p^{\alpha} \parallel q} \frac{(p+1)^2 / (p^2+1) + 1/p^{3\alpha}}{1 + 1/p + 1/p^2} \\ &+ O\left(q \exp\left(\frac{4 \ln q}{\ln \ln q} \right) \right), \end{aligned}$$

where $\exp(y) = e^y$, $\phi(q)$ is the Euler function and $\prod_{p^{\alpha} \parallel q}$ denotes the product over all prime divisors of q with $p^{\alpha} \mid q$ and $p^{\alpha+1} \nmid q$. In the same manner, we define a high-dimensional Cochrane sum as follows:

$$C(h, k, q) = \sum_{a_1=1}^q ' \sum_{a_2=1}^q ' \cdots \sum_{a_k=1}^q ' \left(\left(\frac{a_1}{q} \right) \right) \left(\left(\frac{a_2}{q} \right) \right) \cdots \left(\left(\frac{a_k}{q} \right) \right) \left(\left(\frac{h\bar{a}_1\bar{a}_2 \cdots \bar{a}_k}{q} \right) \right).$$

In [5], Mordell introduced the hyper-Kloosterman sum

$$K(h, k, q) = \sum_{\substack{a_1, \dots, a_k \pmod{q} \\ (a_1, q) = \dots = (a_k, q) = 1}} e\left(\frac{a_1 + \dots + a_k + h\bar{a}_1 \cdots \bar{a}_k}{q} \right),$$

where $e(y) = e^{2\pi iy}$, a high-dimensional generalization of the Kloosterman sum. The applications of hyper-Kloosterman sum were found both in the estimation of Fourier coefficients of Maass forms [2] and in the work on Selberg's eigenvalue conjecture [4]. More interesting connections between hyper-Kloosterman sum and the Heilbronn

sum can be found in [6]. In this paper, we shall obtain an estimate of the order of $C(h, k, q)$ by using bounds on hyper-Kloosterman sum, study the asymptotic properties of $C(h, k, q)$, and give a mean-square value formula in the case of $q = p^\alpha$. That is, we shall prove the following theorems:

Theorem 1. *Let a positive integer $q \geq 2$, an integer h satisfy $(h, q) = 1$. Then for any fixed positive integer k with $(q, k(k + 1)) = 1$, we have the estimate*

$$|C(h, k, q)| \ll \frac{2^{(k+1)^2}}{\pi^{k+1}} q^{\frac{k}{2}} d(q) \left(2^{k+2}k\right)^{\omega(q)} \ln^{k+1} q,$$

where $\omega(q)$ denotes the number of different prime divisors of q and $d(q)$ is the divisor function.

Theorem 2. *Let p be a prime and α be a positive integer. Then we have the asymptotic formula*

$$\begin{aligned} \sum_{h=1}^{p^\alpha} |C(h, k, p^\alpha)|^2 &= \frac{\zeta^{2k+1}(2) \left[\left(1 - \frac{1}{p}\right) p^{(\alpha+1)(k+2)} + p^{2k+3} - p^{k+2} \right]}{2\pi^{2k+2} p^\alpha (p^{k+2} - 1)} \\ &\times \left(\frac{p^2 - 1}{p^2}\right)^{2k+1} \prod_{p_1 \neq p} \left(1 - \frac{1 - C_{2k}^k}{p_1^2}\right) \\ &+ O_k(p^{\alpha k + \varepsilon}), \end{aligned}$$

where $\zeta(s)$ denotes the Riemann-zeta function, ε is any fixed positive number, $\prod_{p_1 \neq p}$ denotes the products over all primes p_1 with $p_1 \neq p$, $C_m^n = m! / n!(m - n)!$ and O_k denotes the O -symbols depend only on k .

In particular, from this theorem we immediately deduce:

Corollary. *For any prime p , we have the asymptotic formula*

$$\begin{aligned} \sum_{h=1}^{p-1} |C(h, k, p)|^2 &= \frac{\pi^{2k}}{2 \cdot 6^{2k+1}} p^{k+1} \prod_{p_1 \neq p} \left(1 - \frac{1 - C_{2k}^k}{p_1^2}\right) \\ &+ O_k(p^{k+\varepsilon}). \end{aligned} \tag{1}$$

From (1) we know that $|C(h, k, p)| \gg p^{\frac{k}{2}}$ for some h and thus the bound in Theorem 1 is close to best possible.

2. Some lemmas

To prove the theorems, we need the following lemmas.

Lemma 1. *Suppose χ is an odd character modulo q , generated by the primitive character χ_m modulo m . Then we have*

$$\sum_{a=1}^q a\chi(a) = \frac{q}{m} \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi_m(p)) \right) \left(\sum_{a=1}^m a\chi_m(a) \right).$$

Proof. See Lemma 6 of Ref. [9]. \square

Lemma 2. *Let h, q be positive integers with $q \geq 3$ and $(h, q) = 1$. Then we have*

$$C(h, k, q) = \frac{i^{k+1}}{\pi^{k+1} \phi(q)} \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right)^{k+1} \\ \times \tau^{k+1}(\chi) L^{k+1}(1, \bar{\chi}),$$

where χ denotes a Dirichlet character modulo m , $\sum_{\chi \bmod m}^*$ denotes the summation over all primitive characters modulo m ,

$$\tau(\chi) = \sum_{b=1}^m \chi(b) e\left(\frac{b}{m}\right)$$

denotes the Gauss sum, and $L(s, \chi)$ is the Dirichlet L-function corresponding to χ .

Proof. From the orthogonality relation for characters modulo q we have

$$C(h, k, q) = \sum_{a_1=1}^q \sum_{a_2=1}^q \dots \sum_{a_k=1}^q \left(\left(\frac{a_1}{q} \right) \right) \left(\left(\frac{a_2}{q} \right) \right) \dots \left(\left(\frac{a_k}{q} \right) \right) \\ \times \left(\left(\frac{h a_1 a_2 \dots a_k}{q} \right) \right) \\ = \frac{1}{\phi(q)} \sum_{\chi \bmod q} \left\{ \sum_{a_1=1}^q \chi(a_1) \left(\left(\frac{a_1}{q} \right) \right) \right\}$$

$$\begin{aligned}
 & \cdots \left\{ \sum_{a_k=1}^q \chi(a_k) \left(\left(\frac{a_k}{q} \right) \right) \right\} \left\{ \sum_{a_{k+1}=1}^q \chi(a_{k+1}) \left(\left(\frac{a_{k+1}h}{q} \right) \right) \right\} \\
 &= \frac{1}{\phi(q)} \sum_{\chi \bmod q} \left\{ \sum_{a=1}^q \chi(a) \left(\frac{a}{q} - \frac{1}{2} \right) \right\}^k \\
 & \quad \times \left\{ \bar{\chi}(h) \sum_{a_{k+1}=1}^q \chi(a_{k+1}h) \left(\left(\frac{a_{k+1}h}{q} \right) \right) \right\} \\
 &= \frac{1}{\phi(q)} \sum_{\chi \bmod q} \bar{\chi}(h) \left\{ \sum_{a=1}^q \chi(a) \left(\frac{a}{q} - \frac{1}{2} \right) \right\}^{k+1}. \tag{2}
 \end{aligned}$$

If $\chi(-1) = 1$, then it is easy to prove that

$$\sum_{a=1}^q \chi(a) \left(\frac{a}{q} - \frac{1}{2} \right) = 0. \tag{3}$$

If $\chi(-1) = -1$, then we have

$$\sum_{a=1}^q \chi(a) \left(\frac{a}{q} - \frac{1}{2} \right) = \frac{1}{q} \sum_{a=1}^q a\chi(a). \tag{4}$$

On the other hand, from Theorems 12.11 and 12.20 of Apostol [1] we know that if χ_m is a primitive character modulo m with $\chi_m(-1) = -1$, then

$$\frac{1}{m} \sum_{a=1}^m a\chi_m(a) = \frac{i}{\pi} \tau(\chi_m)L(1, \bar{\chi}_m). \tag{5}$$

Combining (2)–(5), Lemma 1 and the properties of character modulo q we may immediately obtain

$$\begin{aligned}
 C(h, k, q) &= \sum'_{a_1=1}^q \sum'_{a_2=1}^q \cdots \sum'_{a_k=1}^q \left(\left(\frac{a_1}{q} \right) \right) \left(\left(\frac{a_2}{q} \right) \right) \\
 & \quad \cdots \left(\left(\frac{a_k}{q} \right) \right) \left(\left(\frac{ha_1a_2 \cdots a_k}{q} \right) \right)
 \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{\phi(q)} \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left\{ \frac{1}{m} \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right) \left(\sum_{a=1}^m a\chi(a) \right) \right\}^{k+1} \\
 &= \frac{i^{k+1}}{\pi^{k+1} \phi(q)} \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right)^{k+1} \tau^{k+1}(\chi) L^{k+1}(1, \bar{\chi}).
 \end{aligned}$$

This proves Lemma 2. \square

Note: If $q = p^\alpha$ is a prime power, then $m = p^\beta$, $1 \leq \beta \leq \alpha$. So we have the identity:

$$C(h, k, p^\alpha) = \frac{i^{k+1}}{\pi^{k+1} (p^\alpha - p^{\alpha-1})} \sum_{\beta=1}^{\alpha} \sum_{\substack{\chi \bmod p^\beta \\ \chi(-1)=-1}}^* \bar{\chi}(h) \tau^{k+1}(\chi) L^{k+1}(1, \bar{\chi}). \tag{6}$$

Lemma 3. Let $q = p^\alpha$ with p a prime such that $p \nmid k + 1$ and $p \nmid k$. Then we have the bound:

$$|K(h, k, q)| \ll kq^{\frac{k}{2}}.$$

Proof. See formula (19) of Ye [7]. \square

Lemma 4. For any positive integer m with $(m, k(k + 1)) = 1$, and positive integer n with $(n, m) = 1$, we have

$$\left| \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) \right| \ll (2k)^{\omega(m)} \phi(m) m^{\frac{k}{2}}.$$

Proof. Let m have the factorization $m = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_r^{\alpha_r}$. For $r = 1$, note that $\tau(\chi) = 0$, when χ is a non-primitive character modulo p^α , $\alpha \geq 2$. (See [8, Lemma 2]). So we get

$$\begin{aligned}
 \sum_{\substack{\chi \bmod p^\alpha \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) &= \sum_{\substack{\chi \bmod p^\alpha \\ \chi(-1)=-1}} \chi(n) \tau^{k+1}(\chi) \\
 &= \sum_{a_1=1}^{p^\alpha} \sum_{a_2=1}^{p^\alpha} \cdots \sum_{a_{k+1}=1}^{p^\alpha} e\left(\frac{a_1 + a_2 + \cdots + a_{k+1}}{p^\alpha}\right) \\
 &\quad \times \sum_{\substack{\chi \bmod p^\alpha \\ \chi(-1)=-1}} \chi(na_1 a_2 \cdots a_{k+1})
 \end{aligned}$$

$$\begin{aligned}
 &= \frac{\phi(p^\alpha)}{2} \sum'_{a_1=1}^{p^\alpha} \sum'_{a_2=1}^{p^\alpha} \cdots \sum'_{\substack{a_{k+1}=1 \\ na_1 a_2 \cdots a_{k+1} \equiv 1 (p^\alpha)}}^{p^\alpha} e\left(\frac{a_1 + a_2 + \cdots + a_{k+1}}{p^\alpha}\right) \\
 &\quad - \frac{\phi(p^\alpha)}{2} \sum'_{a_1=1}^{p^\alpha} \sum'_{a_2=1}^{p^\alpha} \cdots \sum'_{\substack{a_{k+1}=1 \\ -na_1 a_2 \cdots a_{k+1} \equiv 1 (p^\alpha)}}^{p^\alpha} e\left(\frac{a_1 + a_2 + \cdots + a_{k+1}}{p^\alpha}\right) \\
 &= \frac{\phi(p^\alpha)}{2} (K(\bar{n}, k, p^\alpha) - K(-\bar{n}, k, p^\alpha)). \tag{7}
 \end{aligned}$$

From Lemma 3 and (7) we have

$$\left| \sum_{\substack{\chi \bmod p^\alpha \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) \right| \ll k \phi(p^\alpha) p^{\frac{k\alpha}{2}}. \tag{8}$$

Similarly, we can also get

$$\left| \sum_{\substack{\chi \bmod p^\alpha \\ \chi(-1)=1}}^* \chi(n) \tau^{k+1}(\chi) \right| \ll k \phi(p^\alpha) p^{\frac{k\alpha}{2}}. \tag{9}$$

For the case $r = 2$, i.e., $m = p_1^{\alpha_1} p_2^{\alpha_2}$, from Theorem 13.3.1 of Chengdong and Chenbiao [3], we have

$$\begin{aligned}
 &\sum_{\substack{\chi \bmod p_1^{\alpha_1} p_2^{\alpha_2} \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) \\
 &= \left(\sum_{\substack{\chi_1 \bmod p_1^{\alpha_1} \\ \chi_1(-1)=-1}}^* \chi_1 \left(n p_2^{(k+1)\alpha_2} \right) \tau^{k+1}(\chi_1) \right) \\
 &\quad \times \left(\sum_{\substack{\chi_2 \bmod p_2^{\alpha_2} \\ \chi_2(-1)=1}}^* \chi_2 \left(n p_1^{(k+1)\alpha_1} \right) \tau^{k+1}(\chi_2) \right)
 \end{aligned}$$

$$\begin{aligned}
 & + \left(\sum_{\substack{\chi_1 \bmod p_1^{\alpha_1} \\ \chi_1(-1)=1}}^* \chi_1 \left(np_2^{(k+1)\alpha_2} \right) \tau^{k+1}(\chi_1) \right) \\
 & \times \left(\sum_{\substack{\chi_2 \bmod p_2^{\alpha_2} \\ \chi_2(-1)=-1}}^* \chi_2 \left(np_1^{(k+1)\alpha_1} \right) \tau^{k+1}(\chi_2) \right).
 \end{aligned}$$

From (8) and (9), we then obtain

$$\left| \sum_{\substack{\chi \bmod p_1^{\alpha_1} p_2^{\alpha_2} \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) \right| \ll k^2 \phi(p_1^{\alpha_1} p_2^{\alpha_2}) p_1^{\frac{k\alpha_1}{2}} p_2^{\frac{k\alpha_2}{2}}.$$

For general $m = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_r^{\alpha_r}$, let χ_i denote the Dirichlet character modulo $p_i^{\alpha_i}$. Then by the same method, we can write

$$\begin{aligned}
 & \sum_{\substack{\chi \bmod p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_r^{\alpha_r} \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) \\
 & = \sum_{t_1=\pm 1} \sum_{t_2=\pm 1} \cdots \sum_{t_r=\pm 1} \prod_{i=1}^r \left(\sum_{\substack{\chi_i \bmod p_i^{\alpha_i} \\ \chi_i(-1)=t_i}}^* \chi_i \left(n \left(\frac{m}{p_i^{\alpha_i}} \right)^{k+1} \right) \tau^{k+1}(\chi_i) \right).
 \end{aligned}$$

Noting that

$$\sum_{t_1=\pm 1} \sum_{t_2=\pm 1} \cdots \sum_{t_r=\pm 1} 1 = 2^{r-1} = 2^{\omega(m)-1},$$

$t_1 t_2 \cdots t_r = -1$

combining (8) and (9), we can easily obtain the estimate

$$\left| \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \chi(n) \tau^{k+1}(\chi) \right| \ll (2k)^{\omega(m)} \phi(m) m^{\frac{k}{2}}.$$

This completes the proof of Lemma 4. \square

Lemma 5. *Let q, h be integers with $q \geq 2$ and $(h, q) = 1$. For any fixed positive integer k with $(q, k(k + 1)) = 1$, we have the estimate*

$$\left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right)^{k+1} \tau^{k+1}(\chi) L^{k+1}(1, \bar{\chi}) \right| \ll 2^{(k+1)^2} \phi(q) q^{\frac{k}{2}} d(q) \left(2^{k+2} k \right)^{\omega(q)} \ln^{k+1} q.$$

Proof. For any non-principal character $\chi \bmod q$ and any parameter $N \geq q$, applying Abel’s identity we have

$$L^{k+1}(1, \bar{\chi}) = \sum_{n=1}^{\infty} \frac{\bar{\chi}(n) d_{k+1}(n)}{n} = \sum_{1 \leq n \leq N} \frac{\bar{\chi}(n) d_{k+1}(n)}{n} + \int_N^{\infty} \frac{A(y, \bar{\chi})}{y^2} dy,$$

where $d_{k+1}(n)$ denotes the $(k + 1)$ th divisor function (i.e., the number of solutions of the equation $n_1 n_2 \cdots n_{k+1} = n$ in positive integers n_1, n_2, \dots, n_{k+1}) and $A(y, \bar{\chi}) = \sum_{N < n \leq y} \bar{\chi}(n) d_{k+1}(n)$. So we have

$$\begin{aligned} & \left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right)^{k+1} \tau^{k+1}(\chi) L^{k+1}(1, \bar{\chi}) \right| \\ & \leq \left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\sum_{d|q/m} \mu(d) \chi(d) \right)^{k+1} \tau^{k+1}(\chi) \sum_{1 \leq n \leq N} \frac{\bar{\chi}(n) d_{k+1}(n)}{n} \right| \\ & + \left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\sum_{d|q/m} \mu(d) \chi(d) \right)^{k+1} \tau^{k+1}(\chi) \int_N^{\infty} \frac{A(y, \bar{\chi})}{y^2} dy \right|. \quad (10) \end{aligned}$$

Note that

$$\sum_{\substack{\chi \bmod m \\ \chi \neq \chi_0}} |A(y, \chi)|^2 \ll y^{2 - \frac{4}{2k+1} + \epsilon} \phi^2(m)$$

(see [11, Lemma 4]). Applying Cauchy’s inequality we have

$$\begin{aligned} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}} |A(y, \bar{\chi})| &\ll \sum_{\chi \neq \chi_0} |A(y, \bar{\chi})| \\ &\ll \left(\phi(m) \sum_{\chi \neq \chi_0} |A(y, \bar{\chi})|^2 \right)^{\frac{1}{2}} \\ &\ll y^{1-\frac{1}{2k}+\varepsilon} \phi^{3/2}(m). \end{aligned} \tag{11}$$

Thus from (11) we get

$$\begin{aligned} &\left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\sum_{d|q/m} \mu(d)\chi(d) \right)^{k+1} \tau^{k+1}(\chi) \int_N^\infty \frac{A(y, \bar{\chi})}{y^2} dy \right| \\ &\ll q^{\frac{k+1}{2}} \sum_{m|q} d^{k+1} \left(\frac{q}{m} \right) \int_N^\infty \frac{1}{y^2} \left(\sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}} |A(y, \bar{\chi})| \right) dy \\ &\ll q^{\frac{k+1}{2}} \sum_{m|q} d^{k+1} \left(\frac{q}{m} \right) \phi^{\frac{3}{2}}(m) \int_N^\infty \frac{y^{1-\frac{1}{2k}+\varepsilon}}{y^2} dy \\ &\ll q^{\frac{k+1}{2}} d^{k+2}(q) \phi^{\frac{3}{2}}(q) N^{-\frac{1}{2k}+\varepsilon}, \end{aligned} \tag{12}$$

assuming $\varepsilon < \frac{1}{2k}$.

Next we estimate the first term in (10).

$$\begin{aligned} &\sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\sum_{d|q/m} \mu(d)\chi(d) \right)^{k+1} \tau^{k+1}(\chi) \sum_{1 \leq n \leq N} \frac{\bar{\chi}(n)d_{k+1}(n)}{n} \\ &= \sum_{m|q} \sum_{\substack{d_1 | \frac{q}{m} \\ (d_1, m)=1}} \mu(d_1) \sum_{\substack{d_2 | \frac{q}{m} \\ (d_2, m)=1}} \mu(d_2) \cdots \sum_{\substack{d_{k+1} | \frac{q}{m} \\ (d_{k+1}, m)=1}} \mu(d_{k+1}) \\ &\quad \times \sum_{\substack{1 \leq n \leq N \\ (n, m)=1}} \frac{d_{k+1}(n)}{n} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \chi(\bar{h}\bar{n}d_1d_2 \cdots d_{k+1}) \tau^{k+1}(\chi) \end{aligned}$$

and so from Lemma 4 we get

$$\begin{aligned}
 & \left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\sum_{d|q/m} \mu(d)\chi(d) \right)^{k+1} \tau^{k+1}(\chi) \sum_{1 \leq n \leq N} \frac{\bar{\chi}(n)d_{k+1}(n)}{n} \right| \\
 & \ll \sum_{m|q} \sum_{d_1|q/m} |\mu(d_1)| \sum_{d_2|q/m} |\mu(d_2)| \cdots \sum_{d_{k+1}|q/m} |\mu(d_{k+1})| \sum_{\substack{1 \leq n \leq N \\ (n,m)=1}} \frac{d_{k+1}(n)}{n} \\
 & \quad \times \left| \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \chi(\bar{h}\bar{n}d_1d_2 \cdots d_{k+1})\tau^{k+1}(\chi) \right| \\
 & \ll \ln^{k+1} N \sum_{m|q} 2^{(k+1)\omega(\frac{q}{m})} (2k)^{\omega(m)} \phi(m) m^{\frac{k}{2}} \\
 & \ll \phi(q) q^{\frac{k}{2}} d(q) (2^{k+2}k)^{\omega(q)} \ln^{k+1} N. \tag{13}
 \end{aligned}$$

Taking $N = q^{2^{k+1}}$, $\varepsilon < \frac{1}{2^{k+2}}$, noting that $d^{k+2}(q) \ll q^{(k+2)\varepsilon}$, combining (10), (12) and (13), we have

$$\begin{aligned}
 & \left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right)^{k+1} \tau^{k+1}(\chi) L^{k+1}(1, \bar{\chi}) \right| \\
 & \ll 2^{(k+1)^2} \phi(q) q^{\frac{k}{2}} d(q) (2^{k+2}k)^{\omega(q)} \ln^{k+1} q.
 \end{aligned}$$

This proves Lemma 5. \square

Lemma 6. *Let q be an integer with $q \geq 3$. Then we have the asymptotic formula*

$$\begin{aligned}
 \sum_{\substack{\chi \bmod q \\ \chi(-1)=-1}} |L(1, \chi)|^{2k+2} &= \frac{\phi(q)}{2} \zeta^{2k+1}(2) \prod_{p|q} \left(1 - \frac{1}{p^2} \right)^{2k+1} \\
 &\quad \times \prod_{p|q} \left(1 - \frac{1 - C_{2k}^k}{p^2} \right) + O_k(q^\varepsilon).
 \end{aligned}$$

Proof. Let $A(y, \chi) = \sum_{N < n \leq y} \chi(n) d_{k+1}(n)$, where $N > q^{2^k}$ is a positive integer. From the properties of the Dirichlet characters we have

$$\begin{aligned} \sum_{\substack{\chi \pmod q \\ \chi(-1)=-1}} |L(1, \chi)|^{2k+2} &= \frac{1}{2} \sum_{\chi \pmod q} (1 - \chi(-1)) |L(1, \chi)|^{2k+2} \\ &= \frac{1}{2} \sum_{\substack{\chi \pmod q \\ \chi \neq \chi_0}} |L(1, \chi)|^{2k+2} \\ &\quad - \frac{1}{2} \sum_{\substack{\chi \pmod q \\ \chi \neq \chi_0}} \chi(-1) |L(1, \chi)|^{2k+2} \\ &\equiv R_1 - R_2. \end{aligned} \tag{14}$$

Applying the Lemma 6 of Zhang et al. [11] we have

$$R_1 = \frac{\phi(q)}{2} \zeta^{2k+1}(2) \prod_{p|q} \left(1 - \frac{1}{p^2}\right)^{2k+1} \prod_{p \nmid q} \left(1 - \frac{1 - C_{2k}^k}{p^2}\right) + O(q^\epsilon). \tag{15}$$

From Abel’s identity and a similar method of proving Lemma 6 of Zhang et al. [11] we have

$$\begin{aligned} R_2 &= \frac{1}{2} \sum_{\substack{\chi \pmod q \\ \chi \neq \chi_0}} \chi(-1) \left| \sum_{n=1}^{\infty} \frac{\chi(n)}{n} \right|^{2k+2} \\ &= \frac{1}{2} \sum_{\substack{\chi \pmod q \\ \chi \neq \chi_0}} \chi(-1) \left| \sum_{n=1}^N \frac{\chi(n) d_{k+1}(n)}{n} + \int_N^{+\infty} \frac{A(y, \chi)}{y^2} dy \right|^2 \\ &= \frac{1}{2} \sum_{\substack{\chi \pmod q \\ \chi \neq \chi_0}} \chi(-1) \left| \sum_{n=1}^N \frac{\chi(n) d_{k+1}(n)}{n} \right|^2 \\ &\quad + O \left(\left(\sum_{n=1}^N \frac{d_{k+1}(n)}{n} \right) \int_N^{+\infty} \frac{1}{y^2} \sum_{\substack{\chi \pmod q \\ \chi \neq \chi_0}} |A(y, \chi)| dy \right) \end{aligned}$$

$$\begin{aligned}
 & + O\left(\sum_{\substack{\chi \bmod q \\ \chi \neq \chi_0}} \left| \int_N^{+\infty} \frac{A(y, \chi)}{y^2} dy \right|^2\right) \\
 & \ll \phi(q) \sum_{\substack{n=1 \\ m \equiv -n \pmod q}}^{N'} \sum_{m=1}^{N'} \frac{d_{k+1}(n)d_{k+1}(m)}{nm} + \phi^{\frac{3}{2}}(q)N^{-\frac{1}{2k}} \ln^{k+2} N \ll_k q^\varepsilon, \quad (16)
 \end{aligned}$$

where we have taken $N = q^{3.2k-1}$ and used the estimate $d_{k+1}(n) \ll_k n^\varepsilon$. Then the Lemma 6 follows from (14)–(16).

3. Proof of the theorems

In this section, we shall complete the proof of the theorems. First we prove Theorem 1. From Lemmas 2 and 5, we have

$$\begin{aligned}
 |C(h, k, q)| &= \frac{1}{\pi^{k+1}\phi(q)} \\
 & \times \left| \sum_{m|q} \sum_{\substack{\chi \bmod m \\ \chi(-1)=-1}}^* \bar{\chi}(h) \left(\prod_{\substack{p|q \\ p \nmid m}} (1 - \chi(p)) \right)^{k+1} \tau^{k+1}(\chi)L^{k+1}(1, \bar{\chi}) \right| \\
 & \ll \frac{2^{(k+1)^2}}{\pi^{k+1}} q^{\frac{k}{2}} d(q) (2^{k+2}k)^{\omega(q)} \ln^{k+1} q.
 \end{aligned}$$

This proves Theorem 1.

Now we prove Theorem 2. From formula (6) and the orthogonality relation for characters modulo p^α , we get

$$\begin{aligned}
 & \sum_{h=1}^{p^\alpha} |C(h, k, p^\alpha)|^2 \\
 &= \sum_{h=1}^{p^\alpha} \left| \frac{i^{k+1}}{\pi^{k+1}(p^\alpha - p^{\alpha-1})} \sum_{\beta=1}^{\alpha} \sum_{\substack{\chi \bmod p^\beta \\ \chi(-1)=-1}}^* \bar{\chi}(h) \tau^{k+1}(\chi)L^{k+1}(1, \bar{\chi}) \right|^2 \\
 &= \frac{1}{\pi^{2k+2} (p^\alpha - p^{\alpha-1})^2} \sum_{\beta_1=1}^{\alpha} \sum_{\beta_2=1}^{\alpha} \sum_{\substack{\chi_1 \bmod p^{\beta_1} \\ \chi_1(-1)=-1}}^* \sum_{\substack{\chi_2 \bmod p^{\beta_2} \\ \chi_2(-1)=-1}}^*
 \end{aligned}$$

$$\begin{aligned}
 & \times \sum_{h=1}^{p^\alpha} \overline{\chi_1} \chi_2(h) \tau^{k+1}(\chi_1) \overline{\tau(\chi_2)}^{k+1} L^{k+1}(1, \overline{\chi_1}) L^{k+1}(1, \chi_2) \\
 &= \frac{1}{\pi^{2k+2} (p^\alpha - p^{\alpha-1})} \sum_{\beta=1}^{\alpha} p^{(k+1)\beta} \sum_{\substack{\chi \bmod p^\beta \\ \chi(-1)=-1}}^* |L(1, \overline{\chi})|^{2k+2} \\
 &= \frac{\pi^{-(2k+2)}}{p^\alpha - p^{\alpha-1}} \sum_{\beta=1}^{\alpha} p^{(k+1)\beta} \\
 & \times \left(\sum_{\substack{\chi \bmod p^\beta \\ \chi(-1)=-1}} |L(1, \overline{\chi})|^{2k+2} - \sum_{\substack{\chi \bmod p^{\beta-1} \\ \chi(-1)=-1}} |L(1, \overline{\chi})|^{2k+2} \right).
 \end{aligned}$$

Now taking $q = p^\alpha$, from Lemma 6, we immediately obtain

$$\begin{aligned}
 & \sum_{h=1}^{p^\alpha} |C(h, k, p^\alpha)|^2 \\
 &= \frac{\pi^{-(2k+2)} \zeta^{2k+1}(2)}{p^\alpha - p^{\alpha-1}} \left[p^{k+1} \frac{p-1}{2} + \sum_{\beta=2}^{\alpha} p^{(k+1)\beta} \left(\frac{\phi(p^\beta) - \phi(p^{\beta-1})}{2} \right) \right] \\
 & \times \left(\frac{p^2 - 1}{p^2} \right)^{2k+1} \prod_{p_1 \neq p} \left(1 - \frac{1 - C_{2k}^k}{p_1^2} \right) + O(p^{\alpha k + \varepsilon}) \\
 &= \frac{\zeta^{2k+1}(2) \left[\left(1 - \frac{1}{p} \right) p^{(\alpha+1)(k+2)} + p^{2k+3} - p^{k+2} \right]}{2\pi^{2k+2} p^\alpha (p^{k+2} - 1)} \left(\frac{p^2 - 1}{p^2} \right)^{2k+1} \\
 & \times \prod_{p_1 \neq p} \left(1 - \frac{1 - C_{2k}^k}{p_1^2} \right) + O(p^{\alpha k + \varepsilon}).
 \end{aligned}$$

This completes the proof of Theorems 2.

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