



Groups elementarily equivalent to a free nilpotent group of finite rank

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ABSTRACT

In this paper, we give a complete algebraic description of groups elementarily equivalent to the P. Hall completion of a given free nilpotent group of finite rank over an arbitrary binomial domain. In particular, we characterize all groups elementarily equivalent to a free nilpotent group of finite rank.

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1. Introduction

1.1. Elementary classification problem in groups

Elementary (first-order) classification of algebraic structures goes back to the works of Tarski and Malcev. In general, the task is to characterize, in somewhat algebraic terms, all algebraic structures elementarily equivalent to a given one. Recall, that two algebraic structures \mathcal{A} and \mathcal{B} in a language L are elementarily equivalent ($\mathcal{A} \equiv \mathcal{B}$) if they satisfy precisely the same first-order sentences in L .

The first remarkable result on elementary classification of groups is due to W. Szmielew - she classified elementary theories of abelian groups in terms of “Szmielew” invariants [29] (see also [16,1,7]). For non-abelian groups, the main inspiration, perhaps, was the long-standing Tarski problem whether free non-abelian groups of finite rank are elementarily equivalent or not. It was recently solved in the affirmative in [11,28]. In contrast, free solvable (or nilpotent) groups of finite rank are elementarily equivalent if and only if they are isomorphic ([13]). Indeed, in these cases the abelianization $G/[G, G]$ of the group G (hence the rank of G) is definable (interpretable) in G by first-order formulas, hence the result.

In [15], Malcev described elementary equivalent classical linear groups. He showed that if $\mathcal{G} \in \{GL, PGL, SL, PSL\}$, $n, m \geq 3$, K and F are fields of characteristic zero, then $\mathcal{G}(F)_m \equiv \mathcal{G}(K)_n$ if and only if $m = n$ and $F \equiv K$. It turned out later that this type of results can be obtained via ultrapowers by means of the theory of abstract isomorphisms of such groups. In this approach, one argues that if the groups $\mathcal{G}(F)_m$ and $\mathcal{G}(K)_n$ are elementarily equivalent then their ultrapowers over a non-principal ultrafilter ω are isomorphic. Since these ultrapowers are again groups of the type $\mathcal{G}(F^*)_m$ and $\mathcal{G}(K^*)_n$ (where

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F^* and K^* are the corresponding ultrapowers of the fields) the result follows from the description of abstract isomorphisms of such groups (which are semi-algebraic, so they preserve the algebraic scheme and the field). Similar results hold for many algebraic and linear groups (we refer here to a series of papers by Bunina and Mikhalev [5,6]). On the other hand, many “geometric” properties of algebraic groups are just first-order definable invariants of these groups, viewed as abstract groups (no geometry, only multiplication). For example, the geometry of a simple algebraic group is entirely determined by its group multiplication (see [31,25,26]), which readily implies the celebrated Borel–Tits theorem on abstract isomorphisms of simple algebraic groups.

1.2. On elementary classification of nilpotent groups

In his pioneering paper [14] Malcev showed that a ring R with unit can be defined by first-order formulas in the group $UT_3(R)$ of untriangular matrices over R (viewed as an abstract group). In particular, the ring of integers \mathbb{Z} is definable in the group $UT_3(\mathbb{Z})$, which is a free 2-nilpotent group of rank 2. In [8] Ershov proved that the group $UT_3(\mathbb{Z})$ (hence the ring \mathbb{Z}) is definable in any finitely generated infinite nilpotent group G , which is not virtually abelian. It follows immediately that the elementary theory of G is undecidable. On the elementary classification side the main research was on M. Kargapolov’s conjecture: two finitely generated nilpotent groups are elementarily equivalent if and only if they are isomorphic. In [30] Zilber gave a counterexample to the Kargapolov’s conjecture. In the break-through papers [17–19] A. Myasnikov and V. Remeslennikov proved that the Kargapolov’s conjecture holds “essentially” true in the class of nilpotent \mathbb{Q} -groups (i.e., divisible torsion-free nilpotent groups) finitely generated as \mathbb{Q} -groups. Indeed, it turned out that two such groups G and H are elementarily equivalent if their cores \bar{G} and \bar{H} are isomorphic and G and H either simultaneously coincide with their cores or they do not. Here the core of G is uniquely defined as a subgroup $\bar{G} \leq G$ such that $Z(\bar{G}) \leq [G, \bar{G}]$ and $G = \bar{G} \times G_0$, for some abelian \mathbb{Q} -group G_0 . Developing this approach further A. Myasnikov described in [20,21] all groups elementarily equivalent to a given finitely generated nilpotent K -group G over an arbitrary field of characteristic zero. Here by a K -group we understand P. Hall nilpotent K -powered groups, which are the same as K -points of nilpotent algebraic groups, or unipotent K -groups. Again, the crucial point is that the geometric structure of the group G (including the fields of definitions of the components of G and their related structural constants) are first-order definable in G , viewed as an abstract group. Furthermore, these ideas shed some light on the Kargapolov’s conjecture—it followed that two finitely generated elementarily equivalent nilpotent groups G and H are isomorphic, provided one of them is a core group. In this case G is a core group if $Z(G) \leq I([G, G])$, where $I([G, G])$ is the isolator of the commutator $[G, G]$. Finally, F. Oger showed in [24] that two finitely generated nilpotent groups G and H are elementarily equivalent if and only if they are essentially isomorphic, i.e., $G \times \mathbb{Z} \simeq H \times \mathbb{Z}$. However, the full classification problem for finitely generated nilpotent groups is currently wide open. In a series of papers [2–4] Belegarde completely characterized groups which are elementarily equivalent to a nilpotent group $UT_n(\mathbb{Z})$ for $n \geq 3$. It is easy to see that (via ultrapowers) that if $\mathbb{Z} \equiv R$ for some ring R then $UT_n(\mathbb{Z}) \equiv UT_n(R)$. However, it has been shown in [3,4] that there are groups elementarily equivalent to $UT_3(\mathbb{Z})$ which are not isomorphic to any group of the type $UT_3(R)$ (quasi-untriangular groups).

1.3. Results and the structure of the paper

We would like now to state the main theorems proved in the paper. In the following $N_{r,c}(R)$ is a group isomorphic to the P. Hall completion of the free nilpotent group of rank $r \geq 2$ and class $c \geq 2$ over some binomial domain R .

Theorem 1.1 (Characterization Theorem). *Assume $G = N_{r,c}(R)$ and H is a group. If $G \equiv H$ then H is an abelian deformation of $N_{r,c}(S)$ for some ring S where $R \equiv S$ as rings.*

Theorem 1.2. *If S is a ring so that $S \equiv R$ then any abelian deformation of $N_{r,c}(S)$ is elementarily equivalent to $N_{r,c}(R)$.*

Theorem 1.3. *There exists a binomial domain $R \equiv \mathbb{Z}$ and an abelian deformation H of $N_{r,c}(R)$, for each $r \geq 2$ and $c \geq 2$, such that H is not isomorphic to any Hall completion of $N_{r,c}(\mathbb{Z})$.*

In the following subsections we briefly review most of the necessary background as well as our notation and terminology. We give a brief review of model theoretic concepts needed in Section 1.3.1. Sections 1.3.2 and 1.3.3 will review the theory of nilpotent groups and P. Hall completions of torsion-free finitely generated nilpotent groups, respectively. The reader familiar with these notions may skip most these sections and just take note of the notations introduced. In Section 1.3.4 we introduce abelian deformations, which as the statements of our main results suggest, characterize groups elementarily equivalent to a P. Hall completion of a free nilpotent group. We also give a brief review of extension theory and the relevant cohomology theory in this section. In Section 2 we prove the characterization theorem. Proofs of Theorems 1.2 and 1.3 are shorter and will be included in Sections 3 and 4 respectively.

We would like to point out that when $r = 2$ and $c = 2$ an abelian deformation of $N_{r,c}(R)$, here denoted by $N_{r,c}(R, \bar{f})$ for some symmetric 2-cocycles \bar{f} , happen to be a quasi-untriangular group in the sense of O. Belegarde (see [2]). In this case all the corresponding results belong to him as mentioned earlier. When $c = 2$ and $r > 2$ the result is known by [23], though in that paper $N_{2,r}(R)$ refers to a P. Hall R -completion of a free 2-nilpotent group of rank r . In the present paper the same object is referred to as $N_{r,2}(R)$. When $c > 2$ our main results are new to the best of our knowledge.

1.3.1. Structures, signatures and interpretations

A group G is considered to be the structure $\langle |G|, \cdot, ^{-1}, 1 \rangle$ where \cdot , $^{-1}$ and 1 , name multiplication, inverse operation and the trivial element of the group respectively.

Let \mathfrak{U} be a structure and $\phi(x_1, \dots, x_n)$ be a first-order formula of the signature of \mathfrak{U} with x_1, \dots, x_n free variables. Let $(a_1, \dots, a_n) \in |\mathfrak{U}|^n$. We denote such a tuple by \bar{a} . The notation $\mathfrak{U} \models \phi(\bar{a})$ is intended to mean that the tuple \bar{a} satisfies $\phi(\bar{x})$ when \bar{x} is an abbreviation for the tuple (x_1, \dots, x_n) of variables. For definitions of a formula of a signature, free variables and satisfaction the reader may refer to any standard model theory text.

Given a structure \mathfrak{U} and a first-order formula $\phi(x_1, \dots, x_n)$ of the signature of \mathfrak{U} , $\phi(\mathfrak{U}^n)$ refers to $\{\bar{a} \in |\mathfrak{U}|^n : \mathfrak{U} \models \phi(\bar{a})\}$. Such a relation or set is called *first-order definable without parameters*. If $\psi(x_1, \dots, x_n, y_1, \dots, y_m)$ is a first-order formula of the signature of \mathfrak{U} and \bar{b} an m -tuple of elements of \mathfrak{U} then $\psi(\mathfrak{U}^n, \bar{b})$ means $\{\bar{a} \in |\mathfrak{U}|^n : \mathfrak{U} \models \psi(\bar{a}, \bar{b})\}$. A set or relation like this is said to be *first-order definable with parameters*.

Let \mathfrak{U} be a structure of signature Σ . The *elementary theory* $Th(\mathfrak{U})$ of the structure \mathfrak{U} is the set:

$$\{\phi : \mathfrak{U} \models \phi, \phi \text{ a first-order sentence of signature } \Sigma\}.$$

We say two structures \mathfrak{U} and \mathfrak{B} of the signature Σ are *elementarily equivalent* and write $\mathfrak{U} \equiv \mathfrak{B}$ if $Th(\mathfrak{U}) = Th(\mathfrak{B})$.

Let \mathfrak{B} and \mathfrak{U} be algebraic structures (all relations come from functions) of signatures Δ and Σ respectively not having function symbols. The structure \mathfrak{U} is said to be *interpretable* in \mathfrak{B} with parameters $\bar{b} \in |\mathfrak{B}|^m$ or *relatively interpretable* in \mathfrak{B} if there is a set of first-order formulas

$$\Psi = \{A(\bar{x}, \bar{y}), E(\bar{x}, y^1, y^2), \psi_\sigma(\bar{x}, y^1, \dots, y^{l_\sigma}) : \sigma \text{ a predicate of signature } \Sigma\}$$

of the signature Δ such that

1. $A(\bar{b}) = \{\bar{a} \in |\mathfrak{B}|^n : \mathfrak{B} \models A(\bar{b}, \bar{a})\}$ is not empty,
2. $E(\bar{x}, y^1, y^2)$ defines an equivalence relation $\epsilon_{\bar{b}}$ on $A(\bar{b})$,
3. if the equivalence class of a tuple of elements \bar{a} from $A(\bar{b})$ modulo the equivalence relation $\epsilon_{\bar{b}}$ is denoted by $[\bar{a}]$, for every n -ary predicate σ of signature Σ , predicate P_σ is defined on $A(\bar{b})/\epsilon_{\bar{b}}$ by

$$P_\sigma([\bar{b}], [a^1], \dots, [a^n]) \Leftrightarrow_{df} \mathfrak{B} \models \psi_\sigma(\bar{b}, a^1, \dots, a^n),$$

4. the structures \mathfrak{U} and $\Psi(\mathfrak{B}, \bar{b}) = \langle A(\bar{b})/\epsilon_{\bar{b}}, P_\sigma : \sigma \in \Sigma \rangle$ are isomorphic.

Let $\phi(x_1, \dots, x_n)$ be a first-order formula of the signature Δ and $\bar{b} \in \phi(\mathfrak{B}^n)$ be as above. If the tuple \bar{b} is empty, \mathfrak{U} is said to be *absolutely interpretable* in \mathfrak{B} . The number n in the definition of an interpretation above is called the *dimension* of the interpretation.

1.3.2. Nilpotent groups and free nilpotent groups

We denote the lower central series of a group G by

$$G = \Gamma_1(G) \geq \Gamma_2(G) \geq \dots \geq \Gamma_n(G) \geq \dots$$

If G is clear from the context we denote $\Gamma_i(G)$ by Γ_i . A group G is called *nilpotent* if there is a positive integer N so that for all $n \geq N$, $\Gamma_n(G) = 1$. If c is the least number such that $\Gamma_{c+1}(G) = 1$ then G is said to be a nilpotent group of *class* c or simply a *c-nilpotent* group. Let $F(r)$ be the free group on r generators. A group G is called a *free nilpotent group of rank r and class c* and denoted by $N_{r,c}(\mathbb{Z})$, if

$$G \cong F(r)/\Gamma_{c+1}(F(r)).$$

1.3.3. P. Hall completions $N_{r,c}(R)$ of $N_{r,c}(\mathbb{Z})$

If x, y is a pair of elements of a group G we let $[x, y] = x^{-1}y^{-1}xy$ and call it the *commutator* of x and y . Assume $X = \{x_1, \dots, x_n\} \subset G$. A *commutator of weight 1 in X* is an element of X . A *commutator of weight k in X* for $k > 1$ is an element of the form $[y_1, y_2]$ where y_1 and y_2 are commutators of weight $i < k$ and $j < k$ respectively, such that $i + j = k$. The commutator $[y_1, y_2]$ is called a *simple commutator* if $y_2 \in X$.

Every $G \cong N_{r,c}(\mathbb{Z})$ contains an ordered tuple of elements:

$$\mathbf{u} = (u_{11}, u_{12}, \dots, u_{1n_1}, u_{21}, \dots, u_{2n_2}, \dots, u_{c,n_c}),$$

called a *Hall basic sequence* where for each $1 \leq i \leq c$,

$$\{u_{i1}\Gamma_{i+1}, u_{i2}\Gamma_{i+1}, \dots, u_{in_i}\Gamma_{i+1}\},$$

generates Γ_i/Γ_{i+1} freely as a free abelian group. In fact each u_{ij} , $i > 1$ is a commutator of weight i in $\{u_{11}, \dots, u_{1n_1}\}$. To avoid writing double indices let us for a moment denote the tuple above by

$$\mathbf{u} = (u_1, u_2, \dots, u_n).$$

Each element $g \in G$ has a unique representation:

$$g = u_1^{a_1} u_2^{a_2} \cdots u_n^{a_n} = \mathbf{u}^{\mathbf{a}},$$

where $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{Z}^n$. Now let $h = \mathbf{u}^{\mathbf{b}}$ be another element of G and let $gh = \mathbf{u}^{\mathbf{d}}$. Now if we think of \mathbf{a} and \mathbf{b} as tuples of n integer variables then each $d_i = d_i(\mathbf{a}, \mathbf{b})$ is a function of $2n$ integer variables. On the other hand if l is an integer (or an integer variable) and $g^l = \mathbf{u}^{\mathbf{m}}$ then each $m_i = m_i(l, \mathbf{a})$ is a function of $n + 1$ integer variables l and \mathbf{a} . It is a fundamental result of Philip Hall (see [10] Section 6) that there are polynomials

$$p_i(x_1, \dots, x_n, y_1, \dots, y_n) \in \mathbb{Q}[\mathbf{x}, \mathbf{y}]$$

and

$$q_i(x_1, \dots, x_n, y) \in \mathbb{Q}[\mathbf{x}, y]$$

called canonical polynomials associated to \mathbf{u} such that $p_i(\mathbf{a}, \mathbf{b}) = d_i$ and $q_i(\mathbf{a}, l) = m_i$. In fact the polynomials $p_i(\mathbf{x}, \mathbf{y})$ above are sum of integer multiples of the binomial products of the form

$$\binom{x_1}{r_1} \cdots \binom{x_i}{r_i} \binom{y_1}{s_1} \cdots \binom{y_i}{s_i}$$

and polynomials q_i are integer multiples of the binomial products of the form

$$\binom{x_1}{r_1} \cdots \binom{x_i}{r_i} \binom{y}{s}$$

where the r_i, s_i and s are nonnegative integers.

Therefore if R is binomial domain, i.e. R is a characteristic zero integral domain such that for all elements $a \in R$ and copies of positive integers $k = \underbrace{1 + \cdots + 1}_{k\text{-times}}$ there exists a unique solution in R to the equation:

$$a(a - 1) \cdots (a - k + 1) = x(k!),$$

one can define a group structure (unique up to isomorphism) on R^n using the polynomials p_i and q_i . We call such a group P . *Hall R -completion of G over R* . In our case the P. Hall R -completion of $N_{r,c}(\mathbb{Z})$ is denoted by $N_{r,c}(R)$.

The above construction is not restricted to free nilpotent groups and can be applied to any torsion-free finitely generated nilpotent group. In fact groups like $N_{r,c}(R)$ are called R -groups or R -powered groups. Indeed if x_1, \dots, x_m are free generators of a free group, we define words

$$\tau_k(x_1, \dots, x_n) = \tau_k(\bar{x}),$$

inductively by:

$$x_1^n \cdots x_m^n = \tau_1(\bar{x})^n \tau_2(\bar{x})^{\binom{n}{2}} \cdots \tau_{n-1}(\bar{x})^{\binom{n}{n-1}} \tau_n(\bar{x}).$$

The formula above is called *Hall–Petresco formula*.

Definition 1.4. A group G admitting exponents in a binomial domain R or an R -group for short is a nilpotent group G together with a function:

$$G \times R \rightarrow G, \quad (x, a) \mapsto x^a,$$

satisfying the following axioms:

1. $x^1 = x, x^a x^b = x^{(a+b)}, (x^a)^b = x^{(ab)}$, for all $x \in G$ and $a, b \in R$.
2. $(y^{-1}xy)^a = y^{-1}x^a y$ for all $x, y \in G$ and $a \in R$.
3. $x_1^a x_2^a \cdots x_n^a = (x_1 x_2 \cdots x_n)^a \tau_2(\bar{x})^{\binom{a}{2}} \cdots \tau_c(\bar{x})^{\binom{a}{c}}$, for all x_1, \dots, x_n in $G, a \in R$, where τ_i come from Hall–Petresco formula and c is the nilpotency class of G .

For details we refer the reader to [10].

Let us fix one more piece of notation. Whenever $\mathbf{u} = (u_{11}, u_{12}, \dots, u_{c,n_c})$ is a Hall basic sequence by $\mathbf{u}_i, 2 \leq i \leq c$, we denote the tuple

$$(u_{i1}, u_{i2}, \dots, u_{in_i}, u_{i+1,1}, \dots, u_{c,n_c}).$$

Correspondingly by $\mathbf{u}_i^{\mathbf{a}}$, where $\mathbf{a} = (a_{i1}, \dots, a_{in_i}, a_{i+1,1}, \dots, a_{c,n_c})$ is a tuple of elements of a binomial domain R , we denote $u_{i1}^{a_{i1}} \cdots u_{c,n_c}^{a_{c,n_c}}$. We keep the same convention for exponents as well, i.e. if $\mathbf{a} = (a_{11}, a_{12}, \dots, a_{c,n_c})$ is a tuple of elements of R then by \mathbf{a}_i we mean the sub-tuple of \mathbf{a} with the first index greater than or equal to i .

1.3.4. Abelian deformations of $N_{r,c}(R)$

Below we shall give the definition of an abelian deformation of $N_{r,c}(R)$. In order to define these objects we need to borrow a few concepts from group cohomology theory. So assume that A is an abelian group and B is a group. A function

$$f : B \times B \rightarrow A$$

satisfying

- $f(xy, z)f(x, y) = f(x, yz)f(y, z), \forall x, y, z \in B,$
- $f(1, x) = f(x, 1) = 1, \forall x \in B$

is called a 2-cocycle. If B is abelian a 2-cocycle $f : B \times B \rightarrow A$ is symmetric if it also satisfies the identity:

$$f(x, y) = f(y, x) \quad \forall x, y \in B.$$

Consider the R -group $G = N_{r,c}(R)$. By definition there is a subset $\mathbf{b} = \{g_1, \dots, g_r\}$ of G with \mathbf{u} a Hall basic sequence in \mathbf{b} defining it as the R -completion of $H = N_{r,c}(\mathbb{Z})$. Let p_i and q_i be the canonical polynomials associated to \mathbf{u} . For each $1 \leq i \leq r$ let

$$f^i : \bigoplus_{i=1}^{n_c} R^+ \times \bigoplus_{i=1}^{n_c} R^+ \rightarrow R^+$$

be a symmetric 2-cocycle. Each f^i is an n_c -tuple of symmetric 2-cocycles $f_j^i : R^+ \times R^+ \rightarrow R^+$. We introduce a new product on the base set X of G , which happens to be the set of all formal products

$$u_{11}^{a_{11}} \dots u_{c,n_c}^{a_{c,n_c}} = \mathbf{u}^{\mathbf{a}},$$

$a_{ij} \in R$. Let $g = \mathbf{u}^{\mathbf{a}}$ and $h = \mathbf{u}^{\mathbf{b}}$ be any pair of elements of this set. Now we define a product and inversion on this set as following. If $gh = \mathbf{u}^{\mathbf{d}}$ and $g^{-1} = \mathbf{u}^{\mathbf{m}}$ then

- $d_{ij} = p_{ij}(\mathbf{a}, \mathbf{b}),$ for all $1 \leq j \leq n_i,$ if $1 \leq i \leq c - 1,$
- $d_{cj} = p_{cj}(\mathbf{a}, \mathbf{b}) + \sum_{k=1}^r f_j^k(a_{1k}, b_{1k}),$ for all $1 \leq j \leq n_c$
- $m_{ij} = q_{ij}(\mathbf{a}, -1),$ for all $1 \leq j \leq n_i,$ if $1 \leq i \leq c - 1,$
- $m_{cj} = q_{cj}(\mathbf{a}, -1) - \sum_{k=1}^r f_j^k(a_{1k}, -a_{1k}),$ for all $1 \leq j \leq n_c.$

To give a definition let us assume for now that X together with the operations \cdot and $^{-1}$ is a group.

Definition 1.5 (Abelian Deformations). The set X together with the operations \cdot and $^{-1}$ defined above will be denoted by

$$N_{r,c}(R, f^1, f^2, \dots, f^r)$$

or $N_{r,c}(R, \bar{f})$. We call any group isomorphic to such a group an abelian deformation of $N_{r,c}(R)$ or a $QN_{r,c}$ -group over R .

In order to prove that abelian deformations are actually groups we start with giving a brief review of the correspondence between equivalence classes (under equivalence of extensions) of central extensions of an abelian group A by a group B and the so-called second cohomology group, $H^2(B, A)$. This is included only for the convenience of the reader.

By an extension of A by B we mean a short exact sequence of groups

$$1 \rightarrow A \xrightarrow{\mu} E \xrightarrow{\nu} B \rightarrow 1,$$

where μ is the inclusion map. The extension is called abelian if E is abelian and it is called central if $A \leq Z(E)$. A 2-coboundary $g : B \times B \rightarrow A$ is a function defined by:

$$\psi(xy) = g(x, y)\psi(x)\psi(y), \quad \forall x, y \in B,$$

where $\psi : B \rightarrow A$ is a function. Any 2-coboundary is a 2-cocycle. One can make the set $Z^2(B, A)$ of all 2-cocycles and the set $B^2(B, A)$ of all 2-coboundaries into abelian groups in an obvious way. Clearly $B^2(B, A)$ is a subgroup of $Z^2(B, A)$. Let us set

$$H^2(B, A) = Z^2(B, A)/B^2(B, A).$$

Assume f is a 2-cocycle. Define a group $E(f)$ by $E(f) = B \times A$ as sets with the multiplication

$$(b_1, a_1)(b_2, a_2) = (b_1b_2, a_1a_2f(b_1, b_2)) \quad \forall a_1, a_2 \in A, \forall b_1, b_2 \in B.$$

The above operation is a group operation and the resulting extension is central. Through out the paper we shall use the well known fact that there is a bijection between the equivalence classes of central extensions of A by B and elements of the group $H^2(B, A)$ given by assigning $f \in B^2(B, A)$ the equivalence class of $E(f)$.

If B is abelian $f \in Z^2(B, A)$ is symmetric if and only if it arises from an abelian extension of A by B . As it can be easily imagined there is a one to one correspondence between the equivalent classes of abelian extensions and the quotient group

$$Ext(B, A) = S^2(B, A)/(S^2(B, A) \cap B^2(B, A)),$$

where $S^2(B, A)$ denotes the group of symmetric 2-cocycles.

For details regarding group cohomology we refer the reader to [27].

Proposition 1.6. For any choice of binomial domain R and tuple of symmetric 2-cocycles \bar{f} the abelian deformation $H = N_{r,c}(R, \bar{f})$ of $G = N_{r,c}(R)$ is a group. Indeed there is a 2-cocycle

$$k : G/Z(G) \times G/Z(G) \rightarrow Z(G),$$

so that H is a central extension of $Z(G)$ by $G/Z(G)$ via k .

Proof. Let $G = N_{r,c}(R)$. Set $M = \sum_{i=1}^{n_c-1} n_i$ and $N = \sum_{i=1}^{n_c} n_i$. Let $\mathbf{a}, \mathbf{b} \in R^N$. Let $\mathbf{a}', \mathbf{b}' \in R^M$ be the tuples of the first M elements of \mathbf{a} and \mathbf{b} respectively. Now for each $1 \leq i \leq r$ define a function,

$$g : R^M \times R^M \rightarrow R^{n_c}$$

by

$$g(\mathbf{a}', \mathbf{b}') = \sum_{i=1}^r f^i(a_{1i}, b_{1i}).$$

Define

$$k : G/Z(G) \times G/Z(G) \rightarrow Z(G),$$

by $k(\mathbf{u}^{\mathbf{a}}Z(G), \mathbf{u}^{\mathbf{b}}Z(G)) = \mathbf{u}_c^{p_c(\mathbf{a}', \mathbf{b}') + g(\mathbf{a}', \mathbf{b}')}$. We need to check that g defined as above is a 2-cocycle. We just remark that if $\mathbf{u}^{\mathbf{a}}\mathbf{u}^{\mathbf{b}} = \mathbf{u}^{\mathbf{d}}$ then $d_{1i} = a_{1i} + b_{1i}$, $i = 1, \dots, r$. From the definition of k it is obvious that $k(\mathbf{u}^{\mathbf{a}}Z(G), 1) = k(1, \mathbf{u}^{\mathbf{a}}Z(G)) = 1$ for any $\mathbf{a} \in R^N$. Moreover

$$\begin{aligned} k(\mathbf{u}^{\mathbf{a}}\mathbf{u}^{\mathbf{b}}Z(G), \mathbf{u}^{\mathbf{c}}Z(G))k(\mathbf{u}^{\mathbf{a}}Z(G), \mathbf{u}^{\mathbf{b}}Z(G)) &= \mathbf{u}_c^{\sum_{i=1}^r f^i(a_{1i}+b_{1i}, c_{1i}) + f^i(a_{1i}, b_{1i})} \\ &= \mathbf{u}_c^{\sum_{i=1}^r f^i(a_{1i}, b_{1i}+c_{1i}) + f^i(b_{1i}, c_{1i})} \\ &= k(\mathbf{u}^{\mathbf{a}}Z(G), \mathbf{u}^{\mathbf{b}}\mathbf{u}^{\mathbf{c}}Z(G))k(\mathbf{u}^{\mathbf{b}}Z(G), \mathbf{u}^{\mathbf{c}}Z(G)) \end{aligned}$$

for any $\mathbf{a}, \mathbf{b}, \mathbf{c} \in R^N$ which proves that k is a 2-cocycle. Now it is clear that $N_{r,c}(R, \bar{f})$ is the central extension of $Z(G)$ by $G/Z(G)$ via the 2-cocycle k . \square

Remark 1.7. Let us briefly discuss the main difference between $N_{r,c}(R)$ and a $QN_{r,c}$ -group over R . Assume $G = N_{r,c}(R)$, $\mathbf{b} = \{g_1, \dots, g_r\}$ is free generating set for G as an R -group. It is presumably known that $C_G(g_j) = g_j^R \oplus Z(G)$ for any $g_j \in \mathbf{b}$, where $g_j^R = \{x \in G : \exists a \in R, x = g_j^a\}$, $C_G(g) = \{x \in G : [x, g] = 1\}$ and $Z(G) = \{x \in G : [x, y] = 1, \forall y \in G\}$. Now consider $N_{r,c}(R, \bar{f})$ for some choice of \bar{f} . Then it is not hard to see that for $g_j \in N_{r,c}(R, \bar{f}) = H$, $C_H(g_j)$ is an abelian extension (not necessarily split) of $Z(H) \cong \bigoplus_{i=1}^{n_c} R^+$ by $C_H(g_j)/Z(H) \cong R^+$ via the symmetric 2-cocycle f^j .

2. Proof of the characterization theorem

In this section we give a proof of Theorem 1.1 (the characterization theorem). Our approach in proving this theorem resembles, in some aspects, the O.V. Belegradek's approach (see [4]) in proving his characterization of groups elementarily equivalent to a unitriangular group. He finds an interpretation of the ring R in the group $UT_n(R)$ in a way that any group $H \equiv UT_n(R)$ interprets a ring $S \equiv R$. Indeed he generalizes the construction due to A. Mal'cev in the case of $UT_3(R)$ to arbitrary n . Then he goes on to prove that the group H has a structure very close to a $UT_n(S)$ except that the centralizers of standard basis elements (which happen to be abelian subgroups of H) are not necessarily split extensions of S^+ by $Z(H)$ (see Remark 1.7).

Here rather than trying to generalize Mal'cev's construction from the case of $UT_3(R) \cong N_{2,2}(R)$ to our case we take a different more global approach.

2.1. Interpreting R and its action on the quotients of the lower central series of $N_{r,c}(R)$ in the group $N_{r,c}(R)$

Let us first state the following result.

Theorem 2.1 ([22]). Let $f : M \times M \rightarrow N$ be non-degenerate full bilinear mapping of finite type. Assume

$$\mathfrak{L}_R(f) = \langle R, M, N, \delta, s_M, s_N \rangle,$$

where the predicate δ describes f and s_M and s_N describe the actions of R on the modules M and N respectively, and

$$\mathfrak{L}(f) = \langle M, N, \delta \rangle.$$

Then there is the largest ring $P(f)$ with respect to which f remains bilinear and the structure $\mathfrak{L}_{P(f)}(f)$ is absolutely interpretable in $\mathfrak{L}(f)$. Moreover the formulas involved in the interpretation depend only on the type of f .

Recall that an R -bilinear mapping $f : M \times M \rightarrow N$ is called *non-degenerate* in both variables if $f(x, M) = 0$ or $f(M, x) = 0$ implies $x = 0$. We call the bilinear map f , a *full bilinear mapping* if N is generated by $f(x, y), x, y \in M$. Let $f : M \times M \rightarrow N$ be a non-degenerate full R -bilinear mapping for some commutative ring R . The mapping f is said to have *finite width* if there is a natural number s such that for every $u \in N$ there are x_i and y_i in M we have

$$u = \sum_{i=1}^s f(x_i, y_i).$$

The least such number, $w(f)$, is the *width* of f .

A set $E = \{e_1, \dots, e_n\}$ is a *complete system* for a non-degenerate mapping f if $f(x, E) = f(E, x) = 0$ implies $x = 0$. The cardinality of a minimal complete system for f is denoted by $c(f)$.

Type of a bilinear mapping f , denoted by $\tau(f)$, is the pair $(w(f), c(f))$. The mapping f is said to be of finite type if $c(f)$ and $w(f)$ are both finite numbers. If $f, g : M \times M \rightarrow N$ are bilinear maps of finite type we say that the type of g is less than the type of f and write $\tau(g) \leq \tau(f)$ if $w(g) \leq w(f)$ and $c(g) \leq c(f)$. The ring $P(f)$ has the following description. Let $End(M)$ denote the endomorphism ring of an abelian group M . We shall identify $P(f)$ with the subring $S \leq End(M) \times End(N)$ of all pairs $A = (\phi_1, \phi_0)$ such that for all $x, y \in M$,

$$f(\phi_1(x), y) = f(x, \phi_0(y)) = \phi_0(f(x, y)). \tag{1}$$

To make use of [Theorem 2.1](#) in our context we first consider the Lazard Lie ring $Lie(G)$ of the group G . The base abelian group of $Lie(G)$ is the direct sum $\bigoplus_{i=1}^c \Gamma_i/\Gamma_{i+1}$ and the Lie bracket on $Lie(G)$ is defined using the commutator on the group G . Let us introduce some notation from Lie algebra theory before proceeding.

Let \mathfrak{g} be any Lie algebra with respect to the bracket $[\ , \]$. Define

$$\mathfrak{g}^1 = \mathfrak{g}, \quad \mathfrak{g}^{i+1} = [\mathfrak{g}^i, \mathfrak{g}] \quad \forall i \geq 1,$$

where $[\mathfrak{g}^i, \mathfrak{g}]$ denotes the ideal generated by all the elements of the form $[x, y], x \in \mathfrak{g}^i$ and $y \in \mathfrak{g}$. By $Z(\mathfrak{g})$ we denote the center of \mathfrak{g} . Now a *free nilpotent R -Lie algebra of class c and rank r* , $\mathcal{N}(R, r, c)$, is any R -Lie algebra satisfying

$$\mathcal{N}(R, r, c) \cong A(R, r)/(A(R, r))^{c+1},$$

where $A(R, r)$ is the free R -Lie algebra of rank r .

If \mathfrak{g} is a Lie algebra the map

$$f_{\mathfrak{g}} : \mathfrak{g}/Z(\mathfrak{g}) \times \mathfrak{g}/Z(\mathfrak{g}) \rightarrow \mathfrak{g}^2, \quad (x + Z(\mathfrak{g}), y + Z(\mathfrak{g})) \mapsto [x, y],$$

is a full non-degenerate bilinear map. In case that $\mathfrak{g} = \mathcal{N} = \mathcal{N}(R, r, c)$ one can verify that $f_{\mathcal{N}}$ is of finite type. Let us point out that $\mathcal{N}^c = Z(\mathcal{N})$ and that $Lie(G) \cong \mathcal{N}(R, r, c)$ as Lie rings when $G \cong N_{r,c}(R)$. So if we can prove that $R \cong P(f_{\mathcal{N}})$ and that $\mathcal{N} \cong Lie(G)$ is interpretable in G then we have proved that R is interpretable in G . In fact we shall see that we can prove much more. The first main result in this direction is:

Theorem 2.2. *Any element $(\phi_1, \phi_0) \in P(f_{\mathcal{N}})$ acts by a unique element α_{ϕ} of R on $\mathcal{N}/\mathcal{N}^c$ and \mathcal{N}^2 . Moreover this correspondence is an isomorphism of rings.*

Before starting to prove the theorem we would like to remark that all the properties of free nilpotent Lie algebras used here are consequences of the structure theory of free Lie algebras. For the later our reference is Chapter 5 of [12]. In particular, we shall use the following statements which are direct corollaries of Theorems 5.9 and 5.10 in the reference cited above.

Lemma 2.3. *Consider $\mathcal{N} = \mathcal{N}(R, r, c)$ with a free generating set*

$$\mathfrak{b} = \{\zeta_1, \dots, \zeta_r\}.$$

Then there exists a sequence

$$\mathbf{u} = (u_{11}, u_{12}, \dots, u_{1,n_1}, u_{21}, \dots, u_{2,n_2}, \dots, u_{c,n_c})$$

of elements of \mathcal{N} called the *Hall basic sequence* based on \mathfrak{b} generating \mathcal{N} as a free R -module, where $u_{ij} = \zeta_j, j = 1, \dots, r$, and each $u_{ij}, 2 \leq i \leq c$, is a certain bracket of weight i in \mathfrak{b} . This induces a grading

$$\mathcal{N} \cong \mathcal{N}_1 \oplus \mathcal{N}_2 \oplus \dots \oplus \mathcal{N}_c,$$

on \mathcal{N} where each $\mathcal{N}_i, i = 1, \dots, c$, is the R -submodule consisting of homogeneous elements of weight i .

Lemma 2.4. *Assume z and t are homogeneous elements of $\mathcal{N}(R, r, c)$ such that the sum of their weights is strictly less than $c + 1$. Then $[z, t] = 0$ if and only if z and t are linearly dependent over R .*

Proof of Theorem 2.2. Notice that in this case the bilinear map $f_{\mathcal{N}}$ has the form:

$$f_{\mathcal{N}} : \begin{array}{ccc} \mathcal{N}/\mathcal{N}_c \times \mathcal{N}/\mathcal{N}_c & \rightarrow & \mathcal{N}^2 \\ (x + \mathcal{N}_c, y + \mathcal{N}_c) & \mapsto & [x, y] \end{array}$$

as $\mathcal{N}_c = Z(\mathcal{N})$. For simplicity we drop the subscript \mathcal{N} from $f_{\mathcal{N}}$ and refer to it as f . Let us denote the coset $x + \mathcal{N}_c$ by $[x]$ for any $x \in \mathcal{N}$. We start by analyzing the action of $P(f)$ on $\mathcal{N}/\mathcal{N}_c$ in terms of elements of R . To do this we pick a free set of generators for \mathcal{N} and fix a basic sequence \mathbf{u} in this set. Firstly we analyze the action of $P(f)$ on $[u_{1s}]$. Let us recall that $P(f)$ is the subring of $End(\mathcal{N}/Z(\mathcal{N})) \times End(\mathcal{N}^2)$ consisting of all pairs (ϕ_1, ϕ_0) such that

$$f(\phi_1(x), y) = f(x, \phi_1(y)) = \phi_0(f(x, y)), \quad \forall x, y \in \mathcal{N}/Z(\mathcal{N}). \tag{2}$$

We show that there exists $\alpha \in R$ such that $\phi_1([x]) = \alpha[x]$ for every $x \in \mathcal{N}$. Set $\phi_1([u_{1s}]) = \sum_{i=1}^{c-1} \sum_{j=1}^{n_i} \alpha_{ij}[u_{ij}]$, where each α_{ij} belongs to R . From (2) we have

$$f(\phi_1([u_{1s}]), [y]) = f([u_{1s}], \phi_1([y])) = \phi_0([u_{1s}, y]),$$

for all $y \in \mathcal{N}$. Letting $y = u_{1s}$ we get

$$\begin{aligned} \sum_{i=1}^{c-1} \sum_{j=1}^{n_i} \alpha_{ij}[u_{ij}, u_{1s}] &= f(\phi_1([u_{1s}]), [u_{1s}]) \\ &= \phi_0([u_{1s}, u_{1s}]) \\ &= \phi_0(0) = 0. \end{aligned}$$

Now by Lemma 2.3 every homogeneous component of the sum on the left hand side of the identity above has to be zero, i.e.,

$$\sum_{j=1}^{n_i} \alpha_{ij}[u_{ij}, u_{1s}] = 0, \quad \forall i = 1, \dots, c - 1. \tag{3}$$

For $i = 1$ all summands in $\sum_{j=1}^{n_1} \alpha_{1j}[u_{1j}, u_{1s}]$, are R multiples of basic elements of weight 2 except when $j = s$. This implies that $\alpha_{1j} = 0$, $1 \leq j \leq r$, except possibly when $j = s$. If $i \geq 2$ then (3) implies that $[\sum_{j=1}^{n_i} \alpha_{ij}u_{ij}, u_{1s}] = 0$. But since both of the elements inside the bracket are homogeneous by Lemma 2.4 we have to have that u_{1s} and $\sum_{j=1}^{n_i} \alpha_{ij}u_{ij}$ are linearly dependent. This is impossible unless $\sum_{j=1}^{n_i} \alpha_{ij}u_{ij} = 0$ since they are homogeneous elements of different weights. This just implies that $\alpha_{ij} = 0$ for all $j = 1, \dots, n_i$. Let us fix $\alpha = \alpha_{1s}$. We shall prove that α obtained above is the α_ϕ in the statement of the theorem. To do this let us first show that $\phi_1([u_{1t}]) = \alpha[u_{1t}]$ for any $1 \leq t \leq n_1$. So assume that $t \neq s$. By the argument above there exists an element β of R such that $\phi_1([u_{1t}]) = \beta[u_{1t}]$. So we just need to prove that $\alpha = \beta$. Now by (2) applied to $x = [u_{1s}]$ and $y = [u_{1t}]$ we have $\alpha[u_{1s}, u_{1t}] = \beta[u_{1s}, u_{1t}]$ implying the desired identity $\alpha = \beta$ since \mathcal{N} is a free R -module. Next we prove that ϕ_1 acts by the element $\alpha \in R$ obtained above on any element $[u_{st}]$, $1 \leq s \leq c - 1$ and $1 \leq t \leq n_s$. So assume $1 < s \leq c - 1$ and let $\phi_1([u_{st}]) = \sum_{i,j} \alpha_{ij}[u_{ij}]$. Consider $[u_{1k}]$ and $[u_{st}]$ for s and t chosen above and any $1 \leq k \leq n_1$. On the one hand

$$f(\phi_1([u_{1k}]), [u_{st}]) = [\alpha u_{1k}, u_{st}] = [u_{1k}, \alpha u_{st}].$$

On the other hand

$$\begin{aligned} f([u_{1k}], \phi_1([u_{st}])) &= f\left([u_{1k}], \sum_{i,j} \alpha_{ij}[u_{ij}]\right) \\ &= \left[u_{1k}, \sum_{i,j} \alpha_{ij}u_{ij}\right]. \end{aligned}$$

So by (2) and the two identities above we have

$$\sum_{\substack{i=1 \\ i \neq s}}^{c-1} \left(\sum_{j=1}^{n_i} \alpha_{ij}[u_{1k}, u_{ij}] \right) + \sum_{\substack{j=1 \\ j \neq t}}^{n_s} [u_{1k}, \alpha_{sj}u_{sj}] + (\alpha_{st} - \alpha)[u_{1k}, u_{st}] = 0.$$

Now since \mathcal{N} is a free nilpotent Lie algebra each homogeneous element in the sum above is zero. In particular,

$$\begin{aligned} \left[u_{1k}, \sum_{\substack{j=1 \\ j \neq t}}^{n_s} \alpha_{sj}u_{sj} + (\alpha_{st} - \alpha)u_{st} \right] &= \left[u_{1k}, \sum_{\substack{j=1 \\ j \neq t}}^{n_s} \alpha_{sj}u_{sj} \right] + [u_{1k}, (\alpha_{st} - \alpha)u_{st}] \\ &= 0. \end{aligned}$$

Again since the elements inside the bracket on the left hand side are homogeneous one can conclude that $\alpha_{sj} = 0$ if $j \neq t$ and $\alpha = \alpha_{st}$. We also get $\alpha_{ij} = 0$, if $i \neq s$. Hence $\alpha_{ij} = 0$ if $(i, j) \neq (s, t)$. This proves that

$$\phi_1([x]) = \alpha[x], \quad \forall x \in \mathcal{N}.$$

Now for u_{ck} a basic element of weight c in \mathcal{N}_c we know that $u_{ck} = [u_{is}, u_{jt}]$ for some pair (i, j) such that $i + j = c$, $1 \leq s \leq n_i$ and $1 \leq t \leq n_j$. So by an obvious use of (2) we can conclude that $\phi_0(u_{ck}) = \alpha u_{ck}$. It is also easy to see that for $1 < i < c$ and $1 \leq j \leq n_i$ we have $\phi_0(u_{ij}) = \alpha u_{ij}$, i.e. (ϕ_1, ϕ_0) acts on \mathcal{N}_i by α .

Thus we have a correspondence

$$P(f) \rightarrow R, \quad (\phi_1, \phi_0) \mapsto \alpha_\phi.$$

All the properties making the correspondence an isomorphism of unital rings are easily checked by the construction of the map. \square

In order to take advantage of the above theorem we have to prove that the ring $P(f)$ in its statement is interpretable in $N_{r,c}(R)$. To this end we state and prove a sequence of lemmas.

Lemma 2.5. *Each term Γ_i of the lower central series of a finitely generated nilpotent R -group G is absolutely definable in G . Moreover the same formulas define the lower central terms of any group $H \equiv G$.*

Proof. Fix a generating set $X = \{g_1, \dots, g_m\}$ for G as an R -group. We shall use the fact that each Γ_i/Γ_{i+1} is generated as an R -group (here as an R -module) by simple commutators of weight i in X modulo Γ_{i+1} . We proceed by a decreasing induction on i . Let c be the nilpotency class of G . Then $\Gamma_c \subseteq Z(G)$. Assume that $g_{c1}, g_{c2}, \dots, g_{c,m_c}$ lists all the simple commutators of weight c in X . Then any $x \in \Gamma_c$ can be written as

$$x = \prod_{j=1}^{m_c} g_{cj}^{a_j}, \quad a_j \in R.$$

However each $g_{cj} = [g_{c-1,k_j}, g_{l_j}]$ where g_{c-1,k_j} is some simple commutator of weight $c - 1$. For each $u \in \Gamma_{c-1}$, the map $G \rightarrow \Gamma_c$ defined by $x \mapsto [u, x]$ is a homomorphism of R -groups. Hence one can gather the terms with the same k_j in the above product, and one can define Γ_c by

$$\Phi_c(x) =_{df} \exists \bar{y}, \exists z_1, \dots, \exists z_{m_{c-1}} \left(x = \prod_{j=1}^{m_{c-1}} [C_{c-1,j}(\bar{y}), z_j] \right),$$

where $C_{i,j}(g_1, \dots, g_m)$ is a simple commutator of weight i in X and

$$\{C_{i,j}(g_1, \dots, g_m) : 1 \leq j \leq m_i\}$$

lists all simple commutators of weight i in X . Now fix $i < c$ and assume that for all $i \leq k \leq c$ the statement is true. As $\Gamma_i/\Gamma_{i+1} \leq Z(G/\Gamma_{i+1})$, by a similar argument one can conclude that for any $x \in \Gamma_i$ there are elements $z_1, \dots, z_{m_{i-1}}$, such that

$$x\Gamma_{i+1} = \prod_{j=1}^{m_{i-1}} [C_{i-1,j}(\bar{g}), z_j]\Gamma_{i+1}.$$

Set $\Phi'_i(x) =_{df} \exists \bar{y}, \exists z_1, \dots, \exists z_{m_{i-1}} (x = \prod_{j=1}^{m_{i-1}} [C_{i-1,j}(\bar{y}), z_j])$. Therefore by induction hypothesis Γ_i is defined by the following formula:

$$\Phi_i(x) = \exists y_1, \exists y_2 (x = y_1 y_2 \wedge \Phi'_i(y_1) \wedge \Phi_{i+1}(y_2)).$$

Now assume that $H \equiv G$. Let $S^i(y_1, \dots, y_i) = [y_1, \dots, y_i]$. We know that $h \in \Gamma_i(H)$ if and only if h satisfies one of the formulas:

$$\Psi_j(x) = \exists \bar{y}^1, \exists \bar{y}^2, \dots, \exists \bar{y}^j \left(x = \prod_{k=1}^j S^i(\bar{y}^k) \right),$$

for some $j \in \mathbb{N}$. However for every $j \in \mathbb{N}$ one has

$$G \models \forall x (\Psi_j(x) \rightarrow \Phi_i(x)).$$

This shows that $h \in \Gamma_i(H)$ if and only if $\Phi_i(h)$. \square

Lemma 2.6. *The Lie ring $Lie(G)$ is absolutely interpretable in G . Moreover if $H \equiv G$ then $Lie(H)$ is interpreted in H using the same formulas that interpret $Lie(G)$ in G . In particular, $Lie(G) \equiv Lie(H)$.*

Proof. Let Φ_i be the formula defining Γ_i in G obtained in the previous lemma. Set

$$A(\bar{x}) =_{df} (x_1 = x_1) \wedge \left(\bigwedge_{i=2}^c \Phi_i(x_i) \right).$$

Now define the following equivalence relation “ \sim ” on A :

$$\bar{x} \sim \bar{y} \Leftrightarrow \bigwedge_{i=1}^{c-1} \Phi_{i+1}(x_i y_i^{-1}) \wedge x_c = y_c.$$

Let us denote the elements of A/\sim by $[\bar{x}]$. Now define the binary operations $+$ and $[\quad]$ on A/\sim by

$$\begin{aligned} \Psi_1(\bar{x}, \bar{y}, \bar{z}) &=_{df} [\bar{x}] + [\bar{y}] = [\bar{z}] \Leftrightarrow \bigwedge_{i=1}^{c-1} \Phi_{i+1}(x_i y_i z_i^{-1}) \wedge x_c y_c = z_c, \\ \Psi_2(\bar{x}, \bar{y}, \bar{z}) &=_{df} [[\bar{x}], [\bar{y}]] = [\bar{z}] \Leftrightarrow \bigwedge_{k=1}^c \Phi_{k+1} \left(\left(\prod_{i+j=k} x_i^{-1} y_j^{-1} x_i y_j \right) z_k^{-1} \right). \end{aligned}$$

Clearly the structure obtained above is $Lie(G)$. The formulas A , Ψ_1 and Ψ_2 provide an absolute interpretation of $Lie(G)$ in G . \square

Lemma 2.7. *Let \mathfrak{g} be a Lie ring so that $\mathcal{N}(R, r, c) \equiv \mathfrak{g}$. Then the following statements hold.*

1. *The bilinear mapping $f_{\mathfrak{g}}$ is absolutely interpretable in \mathfrak{g} using the same formulas that interpret $f_{\mathcal{N}}$ in \mathcal{N} . In particular, $f_{\mathcal{N}} \equiv f_{\mathfrak{g}}$.*
2. *The formulas that interpret $\mathfrak{U}_{P(f_{\mathfrak{g}})}(f_{\mathfrak{g}})$ in $\mathfrak{U}(f_{\mathfrak{g}})$ are the same as the formulas that interpret $\mathfrak{U}_{P(f_{\mathcal{N}})}(f_{\mathcal{N}})$ in $\mathfrak{U}(f_{\mathcal{N}})$, in particular, $P(f_{\mathfrak{g}}) \equiv P(f_{\mathcal{N}})$.*

Proof. For any Lie ring \mathfrak{h} the ideal $Z(\mathfrak{h})$ is absolutely definable in \mathfrak{h} by the formula

$$\Phi_Z(x) = (\forall y, [x, y] = 0).$$

Thus $\mathfrak{h}/Z(\mathfrak{h})$ is absolutely interpretable in \mathfrak{h} . We observe that for any Lie ring \mathfrak{h} , $z \in \mathfrak{h}^2$ if and only if z satisfies one the formulas:

$$\Psi_n(x) =_{df} \exists \bar{y}, \exists \bar{z} \quad x = \sum_{i=1}^n [y_i, z_i],$$

for some $n \in \mathbb{N}$. We observe that there is a positive integer N , where N is the number of basic elements of weight ≥ 2 , such that for every positive integer n one has the following:

$$\mathcal{N} \models \forall x (\Psi_n(x) \rightarrow \Psi_N(x)).$$

As $\mathfrak{g} \equiv \mathcal{N}$ we note that the ideal \mathfrak{g}^2 is defined in \mathfrak{g} by the formula $\Psi_N(x)$. Now to conclude the proof of (1) we just need to notice that bilinear maps in question are defined using Lie brackets which is already in the language so the statement (1) follows.

To prove (2) one observes that by (1) $f_{\mathfrak{g}}$ has the same type as the type of $f_{\mathcal{N}}$. This implies that the formulas that interpret the ring $\mathfrak{U}_{P(f_{\mathfrak{g}})}(f_{\mathfrak{g}})$ in $\mathfrak{U}(f_{\mathfrak{g}})$ are the same as the formulas that interpret $\mathfrak{U}_{P(f_{\mathcal{N}})}(f_{\mathcal{N}})$ in $\mathfrak{U}(f_{\mathcal{N}})$. Hence $P(f_{\mathcal{N}}) \equiv P(f_{\mathfrak{g}})$. \square

Now we can prove that not only is the ring R interpreted in $G = N_{r,c}(R)$ but also any group $H \equiv G$ interprets a ring $S \equiv G$ and quotients of the lower central series of H are free S -modules of the same rank as the corresponding quotients of G .

Theorem 2.8. *Assume $G = N_{r,c}(R)$. Then the action of R on each of the quotients $\Gamma_i(G)/\Gamma_{i+1}(G)$ is absolutely interpretable in G , i.e. the modules*

$$\langle R, \Gamma_i/\Gamma_{i+1}, \delta_i \rangle$$

are interpretable in the group G , where the predicate δ_i describes the action of R on Γ_i/Γ_{i+1} . Moreover if $H \equiv G$ then there exists a ring $S \equiv R$ so that for each i ,

$$\Gamma_i(H)/\Gamma_{i+1}(H) \cong \Gamma_i(G)/\Gamma_{i+1}(G) \otimes_{\mathbb{Z}} S,$$

and the formulas that interpret the action of S on each $\Gamma_i(H)/\Gamma_{i+1}(H)$ are the same as the formulas that interpret the action R on $\Gamma_i(G)/\Gamma_{i+1}(G)$.

Proof. Let $P = P(f_{Lie(G)})$. Notice that $\mathcal{N}^2 \cong \bigoplus_{i=2}^c \Gamma_i/\Gamma_{i+1}$. By **Theorem 2.2**, $R \cong P$ and therefore P acts on each Γ_i/Γ_{i+1} , $2 \leq i \leq c$. Hence the action of P on each Γ_i/Γ_{i+1} , $2 \leq i \leq c$ is absolutely interpretable since each factor Γ_i/Γ_{i+1} is so by **Lemma 2.5**. Now consider the case $i = 1$. Let us set $\mathfrak{g} = Lie(G)$. We observe that the action of P on the quotient $(\mathfrak{g}/\mathfrak{g}^c)/(\mathfrak{g}^2/\mathfrak{g}^c)$ is absolutely interpretable in \mathfrak{g} . Moreover the natural (group) isomorphism between $(\mathfrak{g}/\mathfrak{g}^c)/(\mathfrak{g}^2/\mathfrak{g}^c)$ and $Ab(G)$ is interpretable in G . This implies that the induced action of P on $Ab(G)$ via the above isomorphism is absolutely interpretable in G . So far we have proved that all the modules $\langle P, \Gamma_i/\Gamma_{i+1}, \sigma_i \rangle$, where the predicate σ_i describes the action of P on Γ_i/Γ_{i+1} , are absolutely interpretable in G . Notice that an isomorphism of structures

$$\varphi : \mathfrak{U}_i(P) = \langle P, \Gamma_i/\Gamma_{i+1}, \sigma_i \rangle \rightarrow \langle R, \Gamma_i/\Gamma_{i+1}, \delta_i \rangle = \mathfrak{U}_i(R)$$

is a pair (φ_1, φ_2) of isomorphisms $\varphi_1 : P \rightarrow R, \varphi_2 : \Gamma_i/\Gamma_{i+1} \rightarrow \Gamma_i/\Gamma_{i+1}$ so that

$$\varphi_2(\sigma_i(a, x)) = \delta_i(\varphi_1(a), \varphi_2(x)), \quad \forall a \in P, \forall x \in \Gamma_i/\Gamma_{i+1}. \tag{4}$$

Now for each i consider the pair (μ, id_i) where μ is the isomorphism supplied by Theorem 2.2 and id_i is the identity map on Γ_i/Γ_{i+1} . It is clear by the very construction of μ that (4) is satisfied for each i . Thus $\mathfrak{L}_i(P) \cong \mathfrak{L}_i(R)$.

To prove the moreover part note that for each i there are elements $\{u_{i1}, \dots, u_{i, n_i}\}$ of G whose cosets generate $\Gamma_i(G)/\Gamma_{i+1}(G)$ freely as an R -module. By the discussion above there exists a formula $\Theta_i(x_1, \dots, x_{n_i})$ of the language of groups so that $G \models \Theta_i(\bar{g})$ if and only if \bar{g} generate the quotient $\Gamma_i(G)/\Gamma_{i+1}(G)$ freely as an R -module. Thus $G \models \exists \bar{x} \Theta_i(\bar{x})$ for each i . Therefore

$$H \models \exists \bar{x} \Theta_i(\bar{x}).$$

for each i . By Lemma 2.6 $Lie(G) \equiv Lie(H)$ and by Lemma 2.7,

$$S \equiv P \cong R,$$

where $S = P(f_{Lie(H)})$. As a consequence of Lemma 2.5 $\Gamma_i(G)/\Gamma_{i+1}(G)$ and $\Gamma_i(H)/\Gamma_{i+1}(H)$ are interpreted by the same formulas in the respective groups. So the actions of S and P on the corresponding quotients are interpreted by the same formulas. So $H \models \exists \bar{x} \Theta_i(\bar{x})$ implies that for each i there are n_i elements of H whose quotients generate $\Gamma_i(H)/\Gamma_{i+1}(H)$ freely as an S -module. This finishes the proof. \square

2.2. Interpreting R and its action on some R -invariant subgroups of $N_{r,c}(R)$ in the group $N_{r,c}(R)$

The next step is to recover the action of R on the group G (or on some R -invariant subgroups of G) using first-order formulas from the actions of R on the quotients of the lower central series in a way that same formulas interpret the action of S on H (or on the corresponding S -invariant subgroups of H). Of course as expected the action cannot be completely recovered. But we are able to prove the following statement.

Lemma 2.9. *Let $G = N_{r,c}(R)$. Let \mathbf{u} be a Hall basic sequence for G . Consider the cyclic modules $u_{ij}^R = \{u_{ij}^a : a \in R\}$, viewed as structures*

$$\langle R, u_{ij}^R, \delta_{ij} \rangle,$$

where δ_{ij} is the predicate describing the action of R on u_{ij}^R . Then all the u_{ij}^R are interpretable in (G, \bar{u}) , where $\bar{u} = (u_{11}, \dots, u_{1r})$, except possibly the ones generated by elements of weight 1. However when $i = 1$, the action of R on $C_G(u_{1j})/Z(G)$ is interpretable in (G, u_{1j}) , for all $1 \leq j \leq r$.

Proof. We prove that the cyclic R -modules generated by simple commutators of weight ≥ 2 in \bar{u} are interpretable in (G, \bar{u}) . Since each element of the basic sequence is a fixed product of integral powers of simple commutators of the same weight the first part of the result (the case weight ≥ 2) follows. We proceed by a decreasing induction on the weight of simple commutators.

Firstly note that $R \cong P(f_G)$ by Theorem 2.2 since $Lie(G) \cong \mathcal{N}(R, r, c)$ as R -Lie algebras. So R is absolutely interpretable in G since $P(f_G)$ is interpretable in f_G, f_G is interpretable in $Lie(G)$ by Lemma 2.7, and finally $Lie(G)$ is interpretable in G by Theorem 2.6. Moreover the action of $R \cong P(f_G)$ on $Z(G) = \Gamma_c$ is interpretable in G by Corollary 2.8. Hence the cyclic modules u_{ci}^R are interpretable in G . Fix k such that $1 < k < c$. Let l be the dimension of the interpretation of R in G and f be the function from the definable subset of G where R is defined on onto R . Assume the statement is true for all simple commutators of weight $i, k < i \leq c$. We prove the statement for elements of weight k . Each simple commutator of weight k is of the form $[h, g]$ where h is a simple commutator of weight $k - 1$ and g is a basic commutator of weight 1. Pick $a \in R$ and $y \in C_G(g)$ such that $yZ(G) = g^aZ(G)$. This choice can be made by Remark 1.7. Then by Hall–Petresco formula:

$$\begin{aligned} [h, y] &= [h, g^a] \\ &= (h^{-1}g^{-1}h)^a g^a \\ &= [h, g]^a \tau_2(h^{-1}g^{-1}h, g)^{\binom{a}{2}} \tau_3(h^{-1}g^{-1}h, g)^{\binom{a}{3}} \dots \tau_c(h^{-1}g^{-1}h, g)^{\binom{a}{c}}. \end{aligned} \tag{5}$$

Let $g' = \tau_2(h^{-1}g^{-1}h, g)^{\binom{a}{2}} \tau_3(h^{-1}g^{-1}h, g)^{\binom{a}{3}} \dots \tau_c(h^{-1}g^{-1}h, g)^{\binom{a}{c}}$. Then g' is an element of $\Gamma_{k+1}(G)$. Each $\tau_m(h^{-1}g^{-1}h, g)$ is a product of integral powers of commutators in $h^{-1}g^{-1}h$ and g . So there are integers $b_{ij}(m)$ such that

$$\tau_m(h^{-1}g^{-1}h, g) = \prod_{i=m+k-1}^c \prod_{j=1}^{n_i} u_{ij}^{b_{ij}(m)}.$$

Now the existence of the canonical polynomials associated to \mathbf{u} implies the existence of polynomials

$$r_{ij}(x_1, \dots, x_c, \mathbf{y}(k + 1), \dots, \mathbf{y}(c))$$

where $\mathbf{y}(i) = (y_{11}(i), \dots, y_{c,n_c}(i))$, so that

$$g' = \prod_{(i,j) \in I} u_{ij}^{r_{ij}(\binom{a}{1}, \dots, \binom{a}{c}, \mathbf{b}(k+1), \dots, \mathbf{b}(c))},$$

where $r_{ij}(\binom{a}{1}, \dots, \binom{a}{c}, \mathbf{b}(k+1), \dots, \mathbf{b}(c)) = 0$ whenever $i \leq k$. Since actually each r_{ij} is a sum of integral multiples of products of binomial coefficients there is an equation expressible in the first-order language of rings so that its unique solution is $r_{ij}(\binom{a}{1}, \dots, \binom{a}{c}, \mathbf{b}(k+1), \dots, \mathbf{b}(c))$. Now by induction hypothesis each cyclic module $u_{ij}^R, i > k$ is interpretable in (G, \bar{u}) . So there exists a first-order formula $\Phi(x, y_1, \dots, y_r, z_1, \dots, z_l)$ (note that any u_{ij} is a certain commutator in \bar{u}) of the language of groups such that

$$g' = \tau_2(h^{-1}g^{-1}h, g)^{\binom{a}{2}} \tau_3(h^{-1}g^{-1}h, g)^{\binom{a}{3}} \dots \tau_c(h^{-1}g^{-1}h, g)^{\binom{a}{c}}$$

$$\Leftrightarrow (G, \bar{u}) \models \Phi(g', \bar{u}, g_1, \dots, g_l)$$

where $f(g_1, \dots, g_l) = a$. By Theorem 2.8 the action of R on $Ab(G)$ is interpretable in G so clearly there is a formula Φ' of the language of groups so that

$$y\Gamma_2 = (g\Gamma_2)^a \Leftrightarrow G \models \Phi'(y, g, g_1, \dots, g_l).$$

So we have

$$x = [h, g]^a \Leftrightarrow (G, \bar{u}) \models \exists z, y(x = [h, y]z^{-1} \wedge \Phi(z, \bar{u}, g_1, \dots, g_l) \wedge \Phi'(y, g, g_1, \dots, g_l) \wedge [g, y] = 1). \tag{6}$$

Thus the formula on the right hand side of \Leftrightarrow in (6) interprets the action of R on the abelian group $[h, g]^R$ with respect to the parameters \bar{u} . We notice that g and h chosen above are some specific commutators in \bar{u} .

In order to prove that the action of R on $C_G(u_{1j})/Z(G)$ is interpretable in (G, u_{1j}) firstly we notice that $C_G(u_{1j}) = u_{1j}^R \oplus Z(G)$, so the following equivalence should be clear.

$$xZ(G) = (u_{1j}Z(G))^a \Leftrightarrow x\Gamma_2(G) = (u_{1j}\Gamma_2(G))^a \wedge [x, u_{1j}] = 1,$$

for all $a \in R$. But the right hand side is expressible in the first-order language of the enriched group (G, u_{1j}) . The result follows now. \square

Let

$$I =_{df} \{(i, j_i) \in \mathbb{N} \times \mathbb{N} : 1 \leq i \leq c, 1 \leq j_i \leq n_i\}, \tag{7}$$

where c comes from $N_{r,c}$ and n_i is the number of basic commutators of weight i . By definition there is a subset $\mathfrak{b} = \{g_1, \dots, g_r\}$ of $G = N_{r,c}(R)$ and a Hall basic sequence \mathbf{u} in \mathfrak{b} defining it as the R -completion of $H = N_{r,c}(\mathbb{Z})$. Then there are the canonical polynomials defining product and R -exponentiation in G . Hence for each $((i, j), (k, l))$ in $I \times I$ there exists a polynomial $t_{rs}^{ijkl}(x, y)$ in $\mathbb{Q}[x, y]$, where (r, s) in I , such that $t_{rs}^{ijkl}(a, b)$ belongs to R for each $(a, b) \in R \times R$, and

$$[u_{ij}^a, u_{kl}^b] = \mathbf{u}_{i+k+1}^{ijkl(a,b)}, \quad \forall a, b \in R.$$

Corollary 2.10. *Let $G = N_{r,c}(R)$ and $\mathfrak{b} = \{g_1, g_2, \dots, g_r\}$ be generating set for G as an R -group. Let \mathbf{u} be a Hall basic sequence based on \mathfrak{b} . Then the following statements which are all true in G can be expressed using first-order formulas of the language of the enriched group $(G, u_{11}, \dots, u_{1r})$.*

1. For each $1 \leq i \leq c$, the set

$$\{u_{i1}\Gamma_{i+1}(G), \dots, u_{in_i}\Gamma_{i+1}(G)\}$$

generates $\Gamma_i(G)/\Gamma_{i+1}(G)$ freely as an R -module.

2. For each $1 \leq j \leq r, C_G(u_{1j})$ is abelian and

$$C_G(u_{1j})/Z(G) = (u_{1j}Z(G))^R.$$

3. $u_{ij}^a u_{ij}^b = u_{ij}^{(a+b)}$ for all $a, b \in R$, if $i > 1$.

4. (a) $[u_{ij}^a, u_{kl}^b] = \mathbf{u}_{i+k+1}^{ijkl(a,b)}$ for all $a, b \in R$, if $i > 1$ and $k > 1$,

$$(b) [x, u_{k+2}^b] = \mathbf{u}_{k+2}^{ijkl(a,b)}, \text{ where } x \in C_G(u_{1j}) \text{ and } xZ(G) = (u_{1j}Z(G))^a, \text{ for all } a, b \in R, \text{ if } k > 1,$$

$$(c) [u_{ij}^a, y] = \mathbf{u}_{i+2}^{ij1l(a,b)}, \text{ where } y \in C_G(u_{11}) \text{ and } yZ(G) = (u_{11}Z(G))^b, \text{ for all } a, b \in R, \text{ if } i > 1,$$

$$(d) [x, y] = \mathbf{u}_2^{1j1l(a,b)} \text{ where } x \in C_G(u_{1j}) \text{ and } y \in C_G(u_{1l}) \text{ are any elements such that } xZ(G) = (u_{1j}Z(G))^a \text{ and } yZ(G) = (u_{1l}Z(G))^b \text{ for all } a, b \in R.$$

Proof. Statement (1) is expressible by formulas of the language of (G, \mathbf{u}) since the action of R on each $\Gamma_i(G)/\Gamma_{i+1}(G)$ is absolutely interpretable in G . The result for (2), (3) and (4) is a direct consequence of Lemma 2.9. \square

Thus we arrive at the following corollary.

Corollary 2.11. *There is a formula $\text{Basis}(x_1, \dots, x_r)$ of the language of groups so that if $(g_1, \dots, g_r) \in G^r$ satisfies $\text{Basis}(\bar{x})$ then there exists a basic sequence \mathbf{u} based on this set which satisfies statements (1)–(4) of Corollary 2.10.*

Proof. In each item in the statement of Corollary 2.10 replace each u_{1j} by the free variable x_{1j} and each u_{kl} , $k > 1$ by x_{kl} where x_{kl} is obtained from the x_{1j} the same way as u_{kl} obtained from the u_{1j} . One needs to notice that this way only finitely many formulas are obtained. So their conjunction produces the formula $\text{Basis}(\bar{x})$. \square

Scrutinizing proof of Lemma 2.9 and Corollary 2.10 one notices that all the formulas involved in the interpretations depend only on certain logical invariants of G .

Lemma 2.12. *Let $G = N_{r,c}(R)$ and H be a group such that $G \cong H$. Then there is a ring S , where $S \cong R$ as rings, and a set $c = \{h_1, \dots, h_r\}$ of distinct nontrivial elements of H with a Hall basic sequence \mathbf{v} in c such that the statements (1)–(4) of Corollary 2.10 hold in H with R replaced by S , u_{ij} replaced by v_{ij} and $Z(G)$ is replaced by $Z(H)$.*

Proof. Let $\text{Basis}(\bar{x})$ be the formula obtained in Corollary 2.11. Since $H \cong G$, we have

$$H \models \exists \bar{x} \text{Basis}(\bar{x}).$$

Let (h_1, \dots, h_r) be a tuple of elements of H such that $H \models \text{Basis}(\bar{h})$ and \mathbf{v} be the Hall basic sequence based on these elements. Set $S = P(\text{Lie}(H))$. As a corollary of Theorem 2.8 statement (1) in Corollary 2.10 holds in H with u_{ij} replaced by v_{ij} .

Moreover

$$\kappa Z(G) = (u_{1j}Z(G))^a \Leftrightarrow \kappa \Gamma_2(G) = (u_{1j}\Gamma_2(G))^a \wedge [x, u_{1j}] = 1.$$

So the right hand side of “ \Leftrightarrow ” can be used with corresponding replacements to interpret the action of S on $C_H(v_{1j})/Z(H)$. This proves that Statement (2) holds in H with proper replacements.

To prove that (3) and (4) are true in H with proper replacements we will first prove that for $2 \leq i \leq c$ the sets

$$v_{ij}^S = \{v_{ij}^a : a \in S\}$$

are cyclic S -modules which are interpretable in the enriched structure (H, \bar{v}) . To do this we observe that v_{ij} , $2 \leq i \leq c$, are products of integral powers of simple commutators in $\{v_{1j} : 1 \leq j \leq r\}$ since the same relations hold between the u_{ij} and the v_{ij} . Now using a decreasing induction on the weight of simple commutators in $\{v_{1j} : 1 \leq j \leq c\}$ we let Eq. (5) of Lemma 2.9 define the S -exponents of these simple commutators. So by the observation made above the S -exponents of each v_{ij} , $1 \leq i \leq c$, $1 \leq j \leq n_c$ can be defined. Now since each u_{ij}^R is a cyclic module and S -exponentiation in v_{ij}^S is defined using the action of R on u_{ij}^R , S -exponentiation is actually an action and turns v_{ij}^S into S -modules. We just remark that the S -module structure of each v_{ij}^S is interpretable in (H, \bar{v}) using the same formulas that interpret the action of R on u_{ij}^R . Moreover from the above paragraph we have that the action of S on $C_H(v_{1j})/Z(H)$ is interpreted in H using the same formulas that interpret the action of R on $C_G(u_{1j})/Z(G)$. The final point to consider is the polynomials \mathbf{t}^{ijkl} . These polynomials make sense over any binomial domain. Since R is a binomial domain and $R \cong S$ hence is S . So the polynomials \mathbf{t}^{ijkl} can be regarded to be the same if we identify the copies of \mathbb{Z} inside the two rings. The statement follows now. \square

2.3. A presentation for an abelian deformation of $N_{r,c}(R)$ and concluding the proof of the characterization theorem

The significance of Lemma 2.12 is that statements (1)–(4) give us all the relations we need to define a $QN_{r,c}$ group.

Proposition 2.13 (Generators and Relations for a $QN_{r,c}$ Group). *Let \mathbf{u} be a Hall basic sequence for $N_{r,c}(R)$. Then $N_{r,c}(R, \bar{f})$ is generated by*

$$\mathcal{H} = \{u_{ij}^a : (i, j) \in I, a \in R\}$$

and defined by the relations \mathcal{R} :

1. $[u_{ij}^a, u_{kl}^b] = \mathbf{u}_{i+k+1}^{ijkl(a,b)}, \forall a, b \in R$, where for each $(i, j), (k, l) \in I$.
2. $u_{ij}^a u_{ij}^b = u_{ij}^{(a+b)}, 2 \leq i \leq c, 1 \leq j = j_i \leq n_i, \forall a, b \in R$,
3. $u_{1j}^a u_{1j}^b = u_{1j}^{(a+b)} \mathbf{u}_c^{f_j(a,b)}, 1 \leq j \leq r, \forall a, b \in R$.

Proof. Let $H = \langle \mathcal{H} : \mathcal{R} \rangle$. We notice that all the relations in the statement hold in $N_{r,c}(R, \bar{f})$. So there exists a homomorphism

$$\phi : H \rightarrow N_{r,c}(R, \bar{f}), \quad u_{ij}^a \mapsto u_{ij}^a.$$

The homomorphism ϕ is clearly surjective. To prove injectivity we need to prove any element x of H can be uniquely written in the form $x = u_{11}^{a_{11}} \dots u_{c,n_c}^{a_{c,n_c}} = \mathbf{u}^{\mathbf{a}}$, which is called the standard form for x . This is because if $1 = \phi(x) = \mathbf{u}^{\mathbf{a}}$ in $N_{r,c}(R, \bar{f})$ then $a_{ij} = 0$ for all $(i, j) \in I$ (see (7) right before Corollary 2.10 for the definition of I), which implies that $x = 1$. Order the

set I lexicographically, i.e. $(i, j) < (k, l)$ if $i < k$ or if the two conditions $i = k$ and $j < l$ hold together. Now consider the set \mathcal{S} of all final segments of I and order \mathcal{S} by comparing the least elements of its members using $<$. If x is any word in \mathcal{H} then

$$x = u_{k_1, l_1}^{a_1} \cdots u_{k_m, l_m}^{a_m}$$

where each $(k_i, l_i) \in I$ and $a_i \in R$. Let I_x be the final segment of I whose least element is the least subscript of u in x . If $I_x = \{(c, n_c)\}$ by multiple applications of relation (2) x can be written in the standard form. Assume any word w with $I_x < I_w$ can be written in the standard form and assume that $I_x < \{(c, n_c)\}$. Let (k, l) be the least element of I_x . By assumption $(k, l) < (c, n_c)$. So x has the form

$$x = u_{k_1, l_1}^{a_1} \cdots u_{k_i, l_i}^{a_i} u_{k, l}^{a_{i+1}} w,$$

$0 \leq i \leq m - 1$, where either w is the empty word or $I_x < I_w$. By hypothesis w can be written in the standard form described in the induction hypothesis. If $i = 0$ we are done. So assume $i > 0$. By applying either relations (2) or (3) finitely many times we can assume that $(k, l) < (k_i, l_i)$. Notice that if we require to use relation (3) the word w is modified to a word w' . But then $I_x < I_{w'}$ and we can apply the hypothesis to w' to write it in the standard form. Now use

$$u_{k_i, l_i}^{a_i} u_{k, l}^{a_{i+1}} = u_{k, l}^{a_{i+1}} u_{k_i, l_i}^{a_i} [u_{k, l}^{a_{i+1}}, u_{k_i, l_i}^{a_i}],$$

and relation (1) to get

$$x = u_{k_1, l_1}^{a_1} \cdots u_{k_{i-1}, l_{i-1}}^{a_{i-1}} u_{k, l}^{a_{i+1}} w''$$

where $I_x < I_{w''}$. Hence the induction hypothesis can be applied to w'' . Hence a standard inductive argument on the number of misplaced letters with respect to the standard form yields the result. \square

Now the characterization theorem can be easily proven.

Proof of Theorem 1.1 (*The Characterization Theorem*). To prove the statement it is enough to prove that H has a presentation like the one given in Proposition 2.13 for some ring $S \cong R$ and symmetric 2-cocycles $f^j : S^+ \times S^+ \rightarrow \bigoplus_{i=1}^{n_c} S^+$. Let S be the ring referred to in Lemma 2.12. For each $j = 1, \dots, r$ consider the symmetric 2-cocycle k^j corresponding to the abelian extension of $Z(H)$ by $C_H(v_{1j})/Z(H)$. Consider the obvious S -module isomorphisms

$$\mu_0 : Z(H) \rightarrow \bigoplus_{i=1}^{n_c} S, \quad v_{ci} \mapsto e_i,$$

where e_i is the i 'th element of the standard basis of $\bigoplus_{i=1}^{n_c} S$, and

$$\mu_1 : C_H(v_{1j})/Z(H) \rightarrow S, \quad v_{1j} + Z(H) \mapsto 1,$$

and define $f^j : S^+ \times S^+ \rightarrow \bigoplus_{i=1}^{n_c} S^+$ by

$$f^j(a, b) = \mu_0(k^j(\mu_1^{-1}(a), \mu_1^{-1}(b))), \quad \forall a, b \in S.$$

So consider the group $N_{r,c}(S, \bar{f})$ with the presentation as in the statement of Proposition 2.13, S replaced by R . Comparing statements of Lemma 2.12 and Proposition 2.13 it is clear that the map

$$\phi : H \rightarrow N_{r,c}(S, \bar{f}), \quad v_{ij}^a \mapsto u_{ij}^a, \quad \forall (i, j) \in I, a \in S,$$

is a homomorphism of groups. It is also injective since elements of H and $N_{r,c}(S, \bar{f})$ have unique representations \mathbf{v}^a and \mathbf{u}^a respectively. Surjectivity is clear. Thus our result follows. \square

3. Central extensions and elementary equivalence

The aim of this section is to prove that for any two elementarily equivalent binomial domains R and S

$$N_{r,c}(R, \bar{f}) \cong N_{r,c}(S, \bar{g})$$

for any symmetric 2-cocycles f^i and g^i , $1 \leq i \leq n_c$.

Lemma 3.1. *The group $N_{r,c}(R)$ is absolutely interpretable in the ring R and the formulas involved in the interpretation depend only on R being a binomial domain.*

Proof. Pick any free generating set for $N_{r,c}(R)$ as an R -group and the P. Hall basic sequence based on this set. Consider the polynomials p and q associated to this sequence (see Section 1.3.3). These polynomials provide a $\sum_{i=1}^c n_i$ dimensional interpretation of $N_{r,c}(R)$ in R . Indeed this object is the group of R -points of a nilpotent algebraic group. Since the formulas involved in the interpretation depend only on p and q and these polynomials do not depend on R as far as R is a binomial domain the statement follows. \square

Corollary 3.2. *If $R \equiv S$ where R and S are some binomial domains then $N_{r,c}(R) \equiv N_{r,c}(S)$.*

An inspection of Lemma 7.1 in [23] shows that its assumption ‘ B is abelian’ is not necessary for its proof. Thus the following result holds.

Lemma 3.3. *Let*

$$1 \rightarrow A \rightarrow G \rightarrow B \rightarrow 1$$

be a central extension of an abelian groups A by a group B . Let (J, \mathcal{D}) be an ultrafilter. Then G^J/\mathcal{D} is isomorphic to a central extension of A^J/\mathcal{D} by B^J/\mathcal{D} .

Lemma 3.4. *For any choice of \bar{f} , $N_{r,c}(R) \equiv N_{r,c}(R, \bar{f})$.*

Before giving the proof of this lemma we need to recall a few concepts and results from abelian group theory and model theory of abelian groups.

Let $A \leq B$ be abelian groups. Then A is called a *pure subgroup* of B if $\forall n \in \mathbb{N}, nA = nB \cap A$. It is a fairly easy exercise to show that if $A \leq B$ are abelian groups such that the quotient group B/A is torsion-free, then A is a pure subgroup of B .

An abelian group A is called *pure-injective* if A is a direct summand in any abelian group B that contains A as a pure subgroup.

The following theorem expresses a connection between pure-injective groups and uncountably saturated abelian groups.

Theorem 3.5 ([7], Theorem 1.11). *Let κ be any uncountable cardinal. Then any κ -saturated abelian group is pure-injective.*

We would like to mention that the definition of pure-injectivity in [7] is equivalent to ours though seemingly different (see [9], Chapter VII).

Let us go back now to the proof of Lemma 3.4.

Proof of Lemma 3.4. We will prove the statement using ultrapowers. We need to remark that if R is a binomial domain then for any ultrafilter (J, \mathcal{D}) , R^J/\mathcal{D} is also a binomial domain. In particular, the additive groups of both of them are torsion-free.

Set $G = N_{r,c}(R)$ and $H = N_{r,c}(R, \bar{f})$. In Lemma 1.6 we obtained a 2-cocycle k so that H is a central extension of $Z(G) = Z(H)$ by $G/Z(G) = H/Z(H)$ via k .

Choose (J, \mathcal{D}) so that $(R^+)^J/\mathcal{D}$ is ω_1 -saturated and consider $G_1 = N_{r,c}(R^J/\mathcal{D})$ and

$$H_1 = N_{r,c}(R^J/\mathcal{D}, (f^1)^{\mathcal{D}}, \dots, (f^r)^{\mathcal{D}})$$

where each $(f^i)^{\mathcal{D}} \in Z^2((R^+)^J/\mathcal{D}, \bigoplus_{i=1}^{n_c} (R^+)^J/\mathcal{D})$, is the obvious 2-cocycle induced by f^i . Now by our choice of (J, \mathcal{D}) and remarks preceding the proof any abelian extension of $\bigoplus_{i=1}^{n_c} (R^+)^J/\mathcal{D}$ by $(R^+)^J/\mathcal{D}$ is a split extension. Therefore for each i

$$(f^i)^{\mathcal{D}} \in B^2 \left((R^+)^J/\mathcal{D}, \bigoplus_{i=1}^{n_c} (R^+)^J/\mathcal{D} \right).$$

This in turn implies that

$$\sum_{i=1}^r (f^i)^{\mathcal{D}} \in B^2 \left(\bigoplus_{i=1}^r (R^+)^J/\mathcal{D}, \bigoplus_{i=1}^{n_c} (R^+)^J/\mathcal{D} \right).$$

Now by the definition of the 2-cocycle k , G_1 and H_1 are equivalent as extensions of $Z(G_1) = Z(H_1)$ by $G_1/Z(G_1) = H_1/Z(H_1)$. So in particular, $G_1 \cong H_1$. Therefore by Lemma 3.3,

$$(N_{r,c}(R)^J/\mathcal{D}) \cong G_1 \cong H_1 \cong (N_{r,c}(R, \bar{f})^J/\mathcal{D}),$$

which implies that,

$$N_{r,c}(R) \equiv N_{r,c}(R, \bar{f}). \quad \square$$

Thus Theorem 1.2 is a direct corollary of Lemmas 3.1 and 3.4.

4. A $QN_{r,c}$ -group which is not $N_{r,c}$

In this section we prove the existence of a $QN_{r,c}$ -group over a certain ring, i.e. a group that is an abelian deformation of $N_{r,c}(R)$ over a certain ring R , which is not an $N_{r,c}$ -group over any ring. Before that we need to have a description of abstract isomorphisms of free nilpotent Lie algebras.

Lemma 4.1. Let $\psi : \mathcal{N}(R, r, c) \rightarrow \mathcal{N}(S, r, c)$ be a Lie ring isomorphism. Let $\psi_1 : \mathfrak{g}/Z(\mathfrak{g}) \rightarrow \mathfrak{h}/Z(\mathfrak{h})$ and $\psi_0 : \mathfrak{g}^2 \rightarrow \mathfrak{h}^2$ be the isomorphisms induced by ψ . Then there is a ring isomorphism $\mu : R \rightarrow S$ such that

$$\psi_1(a(x + Z(\mathfrak{g}))) = \mu(a)\psi_1(x + Z(\mathfrak{g})), \quad \forall a \in R, \forall x \in \mathfrak{g},$$

and

$$\psi_0(ax) = \mu(a)\psi_0(x), \quad \forall a \in R, \forall x \in \mathfrak{g}^2.$$

Proof. We prove that $P(f_{\mathfrak{g}}) \cong P(f_{\mathfrak{h}})$. Then [Theorem 2.2](#) implies the existence of an isomorphism between R and S . Consider the map:

$$\mu : P(f_{\mathfrak{g}}) \rightarrow P(f_{\mathfrak{h}}), \quad (\phi_1, \phi_0) \mapsto (\psi_1\phi_1\psi_1^{-1}, \psi_0\phi_0\psi_0^{-1}).$$

Firstly we need to check if $P(f_{\mathfrak{h}})$ is actually the target of the map defined. This is a consequence of the fact that ψ is a Lie ring isomorphism. Indeed pick any $x, y \in \mathfrak{h}$. Then,

$$\begin{aligned} f_{\mathfrak{h}}(\psi_1\phi_1\psi_1^{-1}(x + Z(\mathfrak{h})), y + z(\mathfrak{h})) &= \psi_0(f_{\mathfrak{g}}(\phi_1\psi_1^{-1}(x + Z(\mathfrak{g})), \psi_1^{-1}(y + Z(\mathfrak{h}))) \\ &= \psi_0\phi_0 f_{\mathfrak{g}}(\psi_1^{-1}(x + Z(\mathfrak{g})), \psi_1^{-1}(y + Z(\mathfrak{h}))) \\ &= \psi_0\phi_0\psi_0^{-1}f_{\mathfrak{h}}(x + Z(\mathfrak{h}), y + Z(\mathfrak{h})). \end{aligned}$$

The map μ being a homomorphism follows from

$$\psi_i(\phi_i + \phi'_i)\psi_i^{-1} = \psi_i\phi_i\psi_i^{-1} + \psi_i\phi'_i\psi_i^{-1},$$

and

$$\psi_i\phi_i\phi'_i\psi_i^{-1} = \psi_i\phi_i\psi_i^{-1}\psi_i\phi'_i\psi_i^{-1},$$

$i = 1, 2$.

One can easily check that

$$\mu' : P(f_{\mathfrak{h}}) \rightarrow P(f_{\mathfrak{g}}), \quad (\theta_1, \theta_0) \mapsto (\psi_1^{-1}\theta_1\psi_1, \psi_0^{-1}\theta_0\psi_0),$$

is the inverse of μ .

We also denote the isomorphism obtained from R to S by μ . Now choose $x \in \mathfrak{g}$ and let $a \in R$. By [Theorem 2.2](#) there exists $(\phi_1, \phi_0) \in P(f_{\mathfrak{g}})$ so that $a(x + Z(\mathfrak{g})) = \phi_1(x + Z(\mathfrak{g}))$. Then

$$\begin{aligned} \psi_1(a(x + Z(\mathfrak{g}))) &= \psi_1\phi_1(x + Z(\mathfrak{g})) \\ &= \psi_1\phi_1\psi_1^{-1}\psi_1(x + Z(\mathfrak{g})) \\ &= \mu(a)\psi_1(x + Z(\mathfrak{g})). \end{aligned}$$

One can repeat this argument for ψ_0 easily. This finishes the proof. \square

Lemma 4.2. Assume that $\eta : G = N_{r,c}(R, \bar{f}) \rightarrow N_{r,c}(S) = H$ is an isomorphism of groups. Then the rings R and S are isomorphic via a map $\mu : R \rightarrow S$. Moreover if $\eta_1 : Ab(G) \rightarrow Ab(H)$ is the isomorphism induced by η and $\eta_0 : Z(G) \rightarrow Z(H)$ is the restriction of η to $Z(G)$ then we have

$$\eta_1((x\Gamma_2(G))^a) = (\eta_1(x\Gamma_2(H)))^{\mu(a)}, \quad \forall x \in G, \forall a \in R,$$

and

$$\eta(x^a) = (\eta(x))^{\mu(a)}, \quad \forall x \in Z(G), \forall a \in R.$$

Proof. To obtain the isomorphism $\mu : R \rightarrow S$ we may use [Lemma 4.1](#) since $Lie(G) \cong \mathcal{N}(R, r, c)$ and $Lie(H) \cong \mathcal{N}(S, r, c)$, as Lie algebras. Consider the Lie ring isomorphism $\psi : \mathfrak{g} = Lie(G) \rightarrow Lie(H) = \mathfrak{h}$ induced by η and define ψ_1, ψ_0 and μ similar to the ones described in [Lemma 4.1](#).

Any $x \in \mathfrak{g}$ can be uniquely written as $(x)_1 + (x)_2$ where $(x)_1 \in Ab(G)$ and $(x)_2 \in \mathfrak{g}^2$. So we obviously have that

$$\begin{aligned} \eta_1((x\Gamma_2(G))^a) &= (\psi(a(x\Gamma_2(G))))_1 \\ &= (\mu(a)\psi(x\Gamma_2(G)) + z)_1, \quad \text{for some } z \in Z(\mathfrak{g}) \\ &= (\mu(a)\psi(x\Gamma_2(G)))_1 \\ &= (\eta_1(x\Gamma_2(G)))^{\mu(a)} \end{aligned}$$

for all x in G . A similar argument using ϕ_0 and ψ_0 instead of ϕ_1 and ψ_1 proves that

$$\eta(x^a) = \eta(x)^{\mu(a)}, \quad \text{for all } x \in Z(G). \quad \square$$

Theorem 4.3. Let $\eta : G = N_{r,c}(R, \bar{f}) \rightarrow N_{r,c}(S) = H$ be an isomorphism of groups. Then for each $1 \leq j \leq r$ we have that $f^j \in B^2(R^+, \bigoplus_{i=1}^{n_c} R^+)$, i.e. each f^j is a 2-coboundary.

Proof. Let the tuple of elements \mathbf{u} of G be the one appeared in Definition 1.5 of a $QN_{r,c}$ -group. Set $\eta(u_{ij}) = v_{ij}$ for all $(i, j) \in I$. Let $\eta_1 : Ab(G) \rightarrow Ab(H)$ be the group isomorphism induced by η . By Lemma 4.2 there exists an isomorphism $\mu : R \rightarrow S$ of rings so that $\eta_1((x\Gamma_2(G))^a) = (\eta_1(x\Gamma_2(G)))^{\mu(a)}$, for all a in R and x in G . This implies that $\{v_{11}\Gamma_2(H), \dots, v_{1r}\Gamma_2(H)\}$ generates $Ab(H)$ freely as an S -module since $\{u_{11}\Gamma_2(G), \dots, u_{1r}\Gamma_2(G)\}$ generates $Ab(G)$ freely as an R -module. So $\mathfrak{c} = \{v_{11}, \dots, v_{1r}\}$ generates H as an S -group. Let \mathbf{v} be the Hall basic sequence in \mathfrak{c} then every element h of H has a unique representation \mathbf{v}^a . Set $J = \{(i, j) \in I : 2 \leq i \leq c\}$ and $M = \sum_{i=2}^{n_c} n_i$. By Lemma 4.2

$$\eta(u_{ij}^a) = v_{ij}^{\mu(a)} \mathbf{v}_2^{g(\mu(a))}, \quad \forall a \in R,$$

where $g = (g_{ij})_{(i,j) \in J} : S \rightarrow S^M$ is a function determined by η . Since $u_{ij}^a \in C_G(u_{ij})$ we have to have that $v_{ij}^{\mu(a)} \mathbf{v}_2^{g(\mu(a))} \in C_H(v_{ij})$. Remark 1.7 implies that $g_{ij} = 0$ for all (i, j) such that $2 \leq i \leq n_{c-1}$. Hence one could write

$$\phi(u_{ij}^a) = v_{ij}^{\mu(a)} \mathbf{v}_c^{g(\mu(a))}, \quad \forall a \in R.$$

Choose two arbitrary elements b and b' in S . Then,

$$\begin{aligned} v_{ij}^{b+b'} &= v_{ij}^b v_{ij}^{b'} \\ &= \phi(u_{ij}^{\mu^{-1}(b)}) \mathbf{v}_c^{-g(b)} \phi(u_{ij}^{\mu^{-1}(b')}) \mathbf{v}_c^{-g(b')} \\ &= \phi(u_{ij}^{\mu^{-1}(b)}) \phi(u_{ij}^{\mu^{-1}(b')}) \mathbf{v}_c^{-g(b)-g(b')} \\ &= \phi(u_{ij}^{\mu^{-1}(b+b')}) \mathbf{u}_c^{f^j(\mu^{-1}(b), \mu^{-1}(b'))} \mathbf{v}_c^{-g(b)-g(b')} \\ &= v_{ij}^{b+b'} \mathbf{v}_c^{\mu f^j(\mu^{-1}(b), \mu^{-1}(b')) + g(b+b') - g(b) - g(b')}, \end{aligned}$$

where $\mu f^j =_{df} (\mu f_k^j)_{1 \leq k \leq n_c}$. The identity above clearly shows that

$$\mu f^j(\mu^{-1}(-), \mu^{-1}(-)) \in B^2\left(S^+, \bigoplus_{i=1}^{n_c} S^+\right).$$

Since μ is a ring isomorphism this implies that all $f^j, j = 1, \dots, r$, are 2-coboundaries as claimed. \square

Lemma 4.4 (Belegradek, [2]). There is a ring $R, R \cong \mathbb{Z}$ such that $Ext(R^+, R^+) \neq 0$.

Proof of Theorem 1.3. By Lemma 4.4 there exists a ring R such that $R \cong \mathbb{Z}$ and $Ext(R^+, R^+) \neq 0$. Then by Theorem 4.3 there has to exist 2-cocycles $f^i : R^+ \times R^+ \rightarrow \bigoplus_{i=1}^{(n)} R^+, 1 \leq i \leq n$, such that

$$H = N_{r,c}(R, f^1, \dots, f^n) \cong N_{r,c}(R).$$

We note that $H \cong N_{r,c}(S)$ for any binomial domain S by Theorem 4.3. Moreover $H \cong G$ by Lemma 3.4. \square

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