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# An Elementary Proof of the Hasse–Weil Theorem for Hyperelliptic Curves

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An elementary proof is given of the Hasse-Weil theorem about the number of solutions of the hyperelliptic congruence  $y^2 \equiv f(x) \pmod{p}$ , where the polynomial f(x) has odd degree.

# 1. INTRODUCTION

Let  $n \ge 3$  be an odd number, let r be any natural number and  $p > 9n^2$ be a prime number. Let  $k_{x^r}$  be the Galois field consisting of  $q = p^r$ elements. We shall consider in  $k_{x^r}$  the equation

$$y^2 = f(x), \tag{1}$$

where  $f(x) = x^n + a_1 x^{n-1} + \dots + a_{n-1} x + a_n$  is a polynomial with integral rational coefficients.

Let  $J_{p^r}$  be the number of solutions of the Eq. (1) in  $k_{p^r}$ . For the case r = 1, the estimate

$$|J_p - p| \leq \sqrt{3n} n \sqrt{p}$$

is proved in [1]. In the present article we prove the following

**THEOREM.** Let  $r \ge 1$ . Then

$$|J_{p^r} - p^r| \leq \sqrt{3n} n \sqrt{p^r}.$$

From this theorem a stronger result can be deduced. Namely, the following statement is true:

COROLLARY. For  $J_{p^r}$  we have the estimate

$$|J_{n^r} - p^r| \leq (n-1) p^{r/2}$$
.

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## 2. Lemmas

We divide the elements of  $k_{pr}$  into three classes:

(I) Elements  $\alpha \in k_{p^r}$ , for which  $f(\alpha) \neq 0$  and the equation  $y^2 = f(\alpha)$  is solvable in  $k_{p^r}$ . Let  $J_{+1}$  be the number of such elements. Note that we have for such an element  $\alpha$ 

$$1-f(\alpha)^{\frac{p^{r}-1}{2}}=0.$$

(II) Elements  $\beta \in k_{p^r}$  for which the equation  $y^2 = f(\beta)$  is insolvable in  $k_{p^r}$ . Let  $J_{-1}$  be the number of such elements. For such an element  $\beta$ we have

$$1 + f(\beta)^{\frac{p^{r}-1}{2}} = 0.$$

(III) Elements  $\gamma \in k_{p^r}$  for which  $f(\gamma) = 0$ . Let  $J_0$  be the number of such elements.

It is clear that

$$J_{+1} + J_0 + J_{-1} = p^r.$$

Further,

$$J_{p^r} = 2J_{+1} + J_0.$$

Finally, for any element  $x \in k_{p^r}$  we have

$$x^{p^r}-x=0.$$

Let D be the differentiation operator

$$D=2\,\frac{d}{dx}$$

and let Z be the ring of integral rational numbers. We shall apply the operator D to rational functions of x with coefficients from Z and also to rational functions from the field  $k_p(x)$ . Since differentiation in  $k_p(x)$  and differentiation of the rational functions with coefficients from Z are the same, modulo p, we shall use the same notation for these differentiations.

LEMMA 1. Let rational functions  $r_j^{(i)}(x)$ , i = 1, 2, ...; j = 1, 2, ... be defined over Z by the recurrent relations

$$r_{j}^{(i)} = Dr_{j}^{(i-1)} - 2jr_{j+1}^{(i-1)} - \frac{df}{dx}f^{-1}r_{j}^{(i-1)}$$
(2)

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in terms of initial functions  $r_1^{(0)}(x), r_2^{(0)}(x), \dots$ . Then

$$r_{j}^{(i)} = \sum_{s=0}^{i} \sum_{t=0}^{i-s} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i}^{s} C_{i-s}^{t} G_{t} D^{i-s-t} r_{j+s}^{(0)},$$

where the rational functions  $G_t(x)$  with coefficients from Z are determined by the following relations

$$G_0 = 1, \qquad G_t = DG_{t-1} - \frac{df}{dx} f^{-1}G_{t-1}, \qquad t = 1, 2, \dots$$
 (3)

*Proof.* We shall prove Lemma 1 by induction on i. For i = 1 the statement is obvious, since

$$r_{j}^{(1)} = Dr_{j}^{(0)} - 2jr_{j+1}^{(0)} - \frac{df}{dx}f^{-1}r_{j}^{(0)}, \quad j = 1, 2, \dots$$

Under the inductive assumption

$$r_{j}^{(i-1)} = \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i-1}^{s} C_{i-s-1}^{t} G_{t} D^{i-s-t-1} r_{j+s}^{(0)}.$$

Then in view of (2) we have

$$\begin{split} r_{j}^{(i)} &= \sum_{s=0}^{i-s} \sum_{t=0}^{i-s-1} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i-1}^{s} C_{i-s-1}^{t} \left( DG_{t} - \frac{df}{dx} f^{-1}G_{t} \right) \\ &\times D^{i-s-t-1} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i-1}^{s} C_{i-s-1}^{t}G_{t} D^{i-s-t} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s+1} 2^{s+1} \frac{(j+s)!}{j!} C_{i-1}^{s} C_{i-s-1}^{t}G_{t} D^{i-s-t-1} r_{j+s+1}^{(0)} \\ &= \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i-1}^{s} C_{i-s-1}^{t}G_{t+1} D^{i-s-t-1} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i-1}^{s} C_{i-s-1}^{t}G_{t} D^{i-s-t} r_{j+s}^{(0)} \\ &+ \sum_{s=1}^{i} \sum_{t=0}^{i-s-1} (-1)^{s} 2^{s} \frac{(j+s-1)!}{(j-1)!} C_{i-1}^{s-1} C_{i-s-1}^{t}G_{t} D^{i-s-t} r_{j+s}^{(0)} \end{split}$$

$$\begin{split} &= \sum_{s=0}^{i-1} \sum_{t=1}^{i-s} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, C_{i-1}^s C_{i-s-1}^{t-1} G_t D^{i-s-t} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, C_{i-1}^s C_{i-s-1}^t G_t D^{i-s-t} r_{j+s}^{(0)} \\ &+ \sum_{s=1}^{i-1} \sum_{t=0}^{i-s} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, C_{i-1}^{s-1} C_{i-s}^t G_t D^{i-s-t} r_{j+s}^{(0)} \\ &+ (-1)^i \, 2^i \, \frac{(j+i-1)!}{(j-1)!} \, r_{j+i}^{(0)} \\ &= D^i r_j^{(0)} + \sum_{t=1}^i (C_{t-1}^{t-1} + C_{t-1}^t) \, G_t D^{i-t} r_j^{(0)} \\ &+ \sum_{s=1}^{i-1} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, (C_{i-1}^s + C_{i-1}^{s-1}) \, D^{i-s} r_{j+s}^{(0)} \\ &+ \sum_{s=1}^{i-1} \sum_{t=1}^{i-s-1} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \\ &\times \{C_{i-s}^s (C_{i-s-1}^{t-2} + C_{i-s-1}^t) + C_{i-1}^{s-1} C_{i-s}^t\} \, G_t D^{t-s-t} r_{j+s}^{(0)} \\ &+ \sum_{s=1}^{i-1} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, (C_{i-1}^s + C_{i-1}^{s-1}) \, G_{i-s} r_{j+s}^{(0)} \\ &+ \sum_{s=1}^{i-1} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, C_{i-1}^s \, C_{i-1}^s G_t D^{i-s-t} r_{j+s}^{(0)} \\ &+ \sum_{s=1}^{i-1} (-1)^s \, 2^s \, \frac{(j+s-1)!}{(j-1)!} \, C_{i-1}^s \, G_t D^{i-s-t} r_{j+s}^{(0)} \end{split}$$

and Lemma 1 is proved.

LEMMA 2. Let rational functions  $r_j^{(i)}(x)$ , i = 1, 2, ...; j = 1, 2, ..., bedefined by (2) in terms of initial functions  $r_1^{(0)}(x)$ ,  $r_2^{(0)}(x)$ ,.... Further let rational functions  $t_j^{(i)}(x)$ , i = 1, 2, ...; j = 1, 2, ... over Z be defined by means of the recurrent relations

$$t_{j}^{(i)} = Dt_{j}^{(i-1)} - 2(j+1) t_{j+1}^{(i-1)} + \frac{df}{dx} f^{-1} r_{j+1}^{(i-1)}$$
(4)

in terms of initial functions  $t_1^{(0)}(x), t_2^{(0)}(x), \dots$ . Then

$$t_{j}^{(i)} = \sum_{s=0}^{i} (-1)^{s} 2^{s} \frac{(j+s)!}{j!} C_{i}^{s} D^{i-s} t_{j+s}^{(0)}$$
  
+ 
$$\sum_{s=0}^{i-1} \sum_{t=1}^{i-s} (-1)^{s+1} 2^{s} \frac{(j+s)!}{j!} C_{i}^{s} C_{i-s}^{t} G_{t} D^{i-s-t} r_{j+s+1}^{(0)},$$

where  $G_t(x)$  is defined by (3).

*Proof.* We shall prove Lemma 2 by induction on *i*. For i = 1 we have

$$t_{j}^{(1)} = Dt_{j}^{(0)} - 2(j+1) t_{j+1}^{(0)} + \frac{df}{dx} f^{-1} r_{j+1}^{(0)}$$

and therefore Lemma 2 is correct in this case. Under the inductive assumption

$$t_{j}^{(i-1)} = \sum_{s=0}^{i-1} (-1)^{s} 2^{s} \frac{(j+s)!}{j!} C_{i-1}^{s} D^{i-s-1} t_{j+s}^{(0)}$$
  
+ 
$$\sum_{s=0}^{i-2} \sum_{t=1}^{i-s-1} (-1)^{s+1} 2^{s} \frac{(j+s)!}{j!} C_{i-1}^{s} C_{i-s-1}^{t} G_{t} D^{i-s-t-1} r_{j+s+1}^{(0)}.$$

Then by (2) and (4)

$$\begin{split} t_{j}^{(i)} &= \sum_{s=0}^{i-1} (-1)^{s} 2^{s} \frac{(j+s)!}{j!} C_{i-1}^{s} D^{i-s} t_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-1} (-1)^{s+1} 2^{s+1} \frac{(j+s+1)!}{j!} C_{i-1}^{s} D^{i-s-1} t_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s+1} 2^{s} \frac{(j+s)!}{j!} C_{i-1}^{s} C_{i-s-1}^{t} \left( DG_{t} - \frac{df}{dx} f^{-1}G_{t} \right) \\ &\times D^{i-s-t-1} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-2} \sum_{t=1}^{i-s-1} (-1)^{s+1} 2^{s} \frac{(j+s)!}{j!} C_{i-1}^{s} C_{i-s-1}^{t}G_{t} D^{i-s-t} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-2} \sum_{t=1}^{i-s-1} (-1)^{s+2} 2^{s+1} \frac{(j+s+1)!}{j!} C_{i-1}^{s} C_{i-s-1}^{t}G_{t} D^{i-s-t-1} r_{j+s+2}^{(0)} \end{split}$$

$$\begin{split} &= \sum_{s=0}^{i-1} (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_{i-1}^s D^{i-s} t_{j+s}^{(0)} \\ &+ \sum_{s=1}^i (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_{i-1}^{s-1} D^{i-s} t_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=0}^{i-s-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_{i-1}^s C_{t-s-1}^t G_{t+1} D^{i-s-t-1} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-2} \sum_{t=1}^{i-s-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_{i-1}^s C_{t-s-1}^t G_t D^{i-s-t} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-1} \sum_{t=1}^{i-s} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_{i-1}^{s-1} C_{t-s}^t G_t D^{i-s-t} r_{j+s+1}^{(0)} \\ &+ \sum_{s=1}^{i-1} \sum_{t=1}^{i-s} (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} t_{j+s}^{(0)} - \sum_{t-1}^i (C_{t-1}^{i-1} + C_{t-1}^t) \, G_t D^{i-t} r_{j+1}^{(0)} \\ &+ (-1)^i \, 2^{i-1} \, \frac{(j+i-1)!}{j!} \, (C_{t-1}^{i-2} + C_{t-1}^{i-1}) \, G_1 p_{i+i}^{(0)} \\ &+ \sum_{s=1}^{i-2} \sum_{t=1}^{i-s-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^{s-1} C_{t-s}^i \, G_t D^{i-s-t} r_{j+s+1}^{(0)} \\ &+ \sum_{s=1}^{i-2} \sum_{t=1}^{i-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^{s-1} C_{t-s}^i \, G_t D^{i-s-t} r_{j+s+1}^{(0)} \\ &+ \sum_{s=1}^{i-2} \sum_{t=1}^{i-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^{s-1} C_{t-s}^{i-1} \, G_{t-s} r_{j+s+1}^{(0)} \\ &+ \sum_{s=1}^{i-2} \sum_{s=0}^{i-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} r_{j+s}^{(0)} \\ &- \sum_{s=0}^i (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} r_{j+s+1}^{(0)} \\ &+ \sum_{s=1}^{i-2} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s G_{i-s} r_{j+s+1}^{(0)} \\ &+ \sum_{s=1}^{i-2} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s G_{i-s} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-2} \sum_{t=1}^{i-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s G_{i-s} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-2} (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-2} (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-2} \sum_{t=1}^{i-1} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s G_{i-s} G_t D^{i-s-t} r_{j+s+1}^{(0)} \\ &+ \sum_{s=0}^{i-2} (-1)^s \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-2} (-1)^{s+1} \, 2^s \, \frac{(j+s)!}{j!} \, C_i^s D^{i-s} r_{j+s}^{(0)} \\ &+ \sum_{s=0}^{i-2} (-1)^{s+1$$

and Lemma 2 is proved.

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LEMMA 3. Let rational functions  $G_t(x)$ , t = 1, 2,... be defined by (3) and let  $f(x) = \prod_{i=1}^{n} (x - x_i)$  be the decomposition of the polynomials f(x) into linear factors in the algebraic closure of the field of rational numbers. Then

$$G_t = \sum_{k=1}^t \sum_{\substack{j_1=1\\j_1+\dots+j_k=t}}^t \cdots \sum_{\substack{j_k=1\\i_1 < i_2 < \dots < i_k}}^n \sum_{\substack{i_1=1\\i_1 < i_2 < \dots < i_k}}^n \frac{a_{j_1,\dots,j_k}^{(t)}}{(x-x_{i_1})^{j_1} \cdots (x-x_{i_k})^{j_k}},$$

where the  $a_{j_1,\ldots,j_k}^{(t)}$  are given by the recurrent relations

$$a_{1}^{(1)} = -1$$

$$a_{j_{1},...,j_{k}}^{(t)} = -\sum_{r=1}^{k} \left( 2(j_{r}-1) + 1 \right) a_{j_{1},...,j_{r}-1,...,j_{k}}^{(t-1)}, \quad t = 2, 3,...$$
(5)

*Proof.* We shall prove the lemma by induction on t. For t = 1 the statement is obvious. Under the inductive assumption

$$\begin{split} G_{i-1} &= \sum_{k=1}^{t-1} \sum_{\substack{j_1=1\\j_1+\cdots+j_k=t}}^{t-1} \cdots \sum_{\substack{i_k=1\\i_1 < i_2 < \cdots < i_k}}^n \sum_{\substack{r=1\\i_1 < i_2 < \cdots < i_k}}^k \\ &\times \frac{a_{j_1,\ldots,j_r-1,\ldots,j_k}^{(t-1)}}{(x-x_{i_1})^{j_1}\cdots(x-x_{i_r})^{j_r-1}\cdots(x-x_{i_k})^{j_k}} \,. \end{split}$$

Then by (3)

$$G_{t} = -2 \sum_{k=1}^{t-1} \sum_{\substack{j_{1}=1 \ j_{1}=1 \ j_{1$$

where  $\delta_{rs}$  is Kronecker's symbol.

It is clear that  $G_i$  may be written in the form

$$G_{t} = \sum_{k=1}^{t} \sum_{\substack{j_{1}=1\\j_{1}+\dots+j_{k}=t}}^{t} \cdots \sum_{\substack{i_{1}=1\\i_{1}< i_{2}<\dots< i_{k}}}^{n} \cdots \sum_{\substack{i_{k}=1\\i_{1}< i_{2}<\dots< i_{k}}}^{n} \frac{a_{j_{1},\dots,j_{k}}^{(i)}}{(x-x_{i_{1}})^{j_{1}}\cdots(x-x_{i_{k}})^{j_{k}}}.$$
 (7)

If we now in (6) and (7) compare coefficients of the expression

$$\sum_{\substack{i_1=1\\i_1$$

we get

$$a_{j_1,\ldots,j_k}^{(t)} = -\sum_{r=1}^k (2(j_r-1)+1) a_{j_1,\ldots,j_r-1,\ldots,j_k}^{(t-1)}.$$

Thus Lemma 3 is proved.

LEMMA 4. Let

$$a_{j_1,\ldots,j_k}^{(t)}, j_1 + \cdots + j_k = t; \quad t = 1, 2,\ldots$$

be given by relations (5). Then

$$a_{j_1,\ldots,j_k}^{(t)} = (-1)^t \frac{t!}{j_1!\cdots j_k!} \prod_{s=1}^k \prod_{\tau=1}^{j_s} (2(j_s - \tau) + 1).$$

*Proof.* We shall prove the lemma by induction on t. For t = 1 the statement is obvious. Under the inductive assumption

$$a_{j_1,\ldots,j_r-1,\ldots,j_k}^{(t-1)} = (-1)^{t-1} \frac{(t-1)!}{j_1!\cdots(j_r-1)!\cdots j_k!} \\ \times \prod_{\substack{s=1\\s\neq r}}^k \prod_{\tau=1}^{j_s} (2(j_s-\tau)+1) \prod_{\tau=1}^{j_r-1} (2(j_r-\tau-1)+1).$$

Then by (5)

$$a_{j_1,\ldots,j_k}^{(t)} = (-1)^t \sum_{\tau=1}^k \frac{(t-1)!}{j_1! \cdots (j_{\tau}-1)! \cdots j_k!} \prod_{s=1}^k \prod_{\tau=1}^{j_s} (2(j_s-1)+1)$$
$$= (-1)^t \frac{t!}{j_1! \cdots j_k!} \prod_{s=1}^k \prod_{\tau=1}^{j_s} (2(j_s-\tau)+1).$$

and thus Lemma 4 is proved.

LEMMA 5. Let rational functions  $F_k^{(i)}$  be given over **Z** by means of the recurrent relations

$$F_{1}^{(1)} = \frac{df}{dx} f^{-1},$$

$$F_{k}^{(i)} = DF_{k}^{(i-1)} + 2(k-1) F_{k-1}^{(i-1)} + \frac{df}{dx} f^{-1}F_{k}^{(i-1)}, \quad k = 1, 2, ..., i-1,$$

$$F_{i}^{(i)} = 2(i-1) F_{i-1}^{(i-1)} + 2^{i-1}(i-1)! \frac{df}{dx} f^{-1}.$$
(8)

Then the relations

$$F_k^{(i)} = 2iF_{k-1}^{(i-1)}$$

hold for 
$$k = 2, 3, ..., i$$
.

*Proof.* We prove Lemma 5 by induction on i. Iterating the last of the relations (8) we get

$$F_i^{(i)} = 2^{i-1}i! F_1^{(1)}$$

and therefore the statement holds for k = i. In particular, the statement of the lemma for i = 2 follows from the last equality. Under the inductive assumption,

$$F_k^{(i-1)} = 2(i-1) F_{k-1}^{(i-2)}, \quad F_{k-1}^{(i-1)} = 2(i-1) F_{k-2}^{(i-2)}.$$

Further,

$$F_{k-1}^{(i-1)} = DF_{k-1}^{(i-2)} + 2(k-2)F_{k-2}^{(i-2)} + \frac{df}{dx}f^{-1}F_{k-1}^{(i-2)}$$

and by (8)

$$2(i-1) F_{k-1}^{(i-1)} = D2(i-1) F_{k-1}^{(i-2)} + 4(i-1)(k-2) F_{k-2}^{(i-2)} + \frac{df}{dx} f^{-1} 2(i-1) F_{k-1}^{(i-2)} = DF_{k}^{(i-1)} + 2(k-2) F_{k-1}^{(i-1)} + \frac{df}{dx} f^{-1} F_{k}^{(i-1)} = F_{k}^{(i)} - 2F_{k-1}^{(i-1)}.$$

Hence

$$F_k^{(i)} = 2iF_{k-1}^{(i-1)}.$$

Thus the lemma is proved.

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LEMMA 6. Let rational functions  $F_k^{(i)}$ , k = 1, 2, ..., i; i = 1, 2, ... be defined by the recurrent relations (8) and let  $f(x) = \prod_{s=1}^{n} (x - x_s)$  be the decomposition of the polynomial f(x) into linear factors in the algebraic closure of the field of rational numbers. Then

$$F_1^{(i)} = \sum_{k=1}^i \sum_{\substack{j_1=1\\j_1+\cdots+j_k=i}}^i \cdots \sum_{\substack{s_k=1\\s_1 < s_2 < \cdots < s_k}}^n \cdots \sum_{\substack{s_k=1\\s_k < s_2 < \cdots < s_k}}^n \frac{b_{j_1,\ldots,j_k}^{(i)}}{(x-x_{s_1})^{j_1}\cdots(x-x_{s_k})^{j_k}},$$

where  $b_{j_1,\ldots,j_k}^{(i)}$  are given by the relations

$$b_{1}^{(1)} = 1,$$

$$b_{j_{1},...,j_{k}}^{(i)} = \sum_{r=1}^{k} (1 - 2(j_{r} - 1)) b_{j_{1},...,j_{r-1},...,j_{k}}^{(i-1)}.$$
(9)

*Proof.* We shall prove the lemma by induction on *i*. For i = 1 the statement is obvious, since

$$F_1^{(1)} = \sum_{s_1=1}^n \frac{1}{(x-x_{s_1})}$$

Under the inductive assumption,

Then by (8) we have

$$F_{1}^{(i)} = -2 \sum_{k=1}^{i-1} \sum_{\substack{j_{1}=1\\j_{1}+\dots+j_{k}=i}}^{i-1} \cdots \sum_{\substack{s_{i}=1\\j_{1}+\dots+j_{k}=i}}^{n} \sum_{\substack{s_{i}=1\\s_{1}$$

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where  $\delta_{rt}$  is Kronecker's symbol. It is clear that  $F_1^{(i)}$  may be written in the form

$$F_1^{(i)} = \sum_{k=1}^i \sum_{\substack{j_1=1\\j_1+\dots+j_k=i}}^i \cdots \sum_{\substack{j_k=1\\s_1 < s_2 < \dots < s_k}}^n \cdots \sum_{\substack{s_k=1\\s_1 < s_2 < \dots < s_k}}^n \frac{b_{j_1,\dots,j_k}^{(i)}}{(x-x_{s_1})^{j_1} \cdots (x-x_{s_k})^{j_k}}.$$
 (11)

*.*...

If we now in (10) and (11) compare coefficients of the expression

$$\sum_{\substack{s_1=1\\s_1< s_2<\cdots< s_k}}^n \cdots \sum_{\substack{s_k=1\\s_1< s_2<\cdots< s_k}}^n \frac{1}{(x-x_{s_1})^{j_1}\cdots(x-x_{s_k})^{j_k}},$$

we get

$$b_{j_1,\ldots,j_k}^{(i)} = \sum_{r=1}^k (1 - 2(j_r - 1)) b_{j_1,\ldots,j_r-1,\ldots,j_k}^{(i-1)}$$

Thus Lemma 6 is proved.

LEMMA 7. Let  $b_{j_1,...,j_k}^{(i)}$ ,  $j_1 + \cdots + j_k = i$ ; i = 1, 2,... be defined by the recurrent relations (9). Then

$$b_{j_1,\ldots,j_k}^{(i)} = \frac{i!}{j_1!\cdots j_k!} \prod_{t=1}^k \prod_{\tau=1}^{j_t} (1-2(j_t-\tau)).$$

**Proof.** We shall prove the lemma by induction on *i*. The statement of the lemma is obvious for i = 1. Under the inductive assumption,

$$b_{j_1,\ldots,j_r-1,\ldots,j_k}^{(i-1)} = \frac{(i-1)!}{j_1!\cdots(j_r-1)!\cdots j_k!} \\ \times \prod_{\substack{t=1\\t\neq r}}^k \prod_{\substack{\tau=1\\t\neq r}}^{j_t} (1-2(j_t-\tau)) \prod_{\tau=1}^{j_r-1} (1-2(j_r-\tau-1)).$$

Further by (9) we have

$$b_{j_1,\ldots,j_k}^{(i)} = \sum_{\tau=1}^k \frac{(i-1)!}{j_1!\cdots(j_{\tau}-1)!\cdots j_k!} \prod_{t=1}^k \prod_{\tau=1}^{j_t} (1-2(j_t-\tau))$$
$$= \frac{i!}{j_1!\cdots j_k!} \prod_{t=1}^k \prod_{\tau=1}^{j_t} (1-2(j_t-\tau))$$

and thus Lemma 7 is proved.

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LEMMA 8. The expressions  $F_k^{(i)}$ , k = 1, 2, ..., i; i = 1, 2, ... defined by the recurrent relations (8) are rational functions with coefficients from **Z** of the form

$$F_k^{(i)} = \frac{P_k^{(i)}}{f^{i-k+1}}$$

where the degree of the polynomial  $P_k^{(i)}$  does not exceed

$$\nu_k^{(i)} = (i - k + 1)(n - 1).$$

Further, if  $r_j^{(0)}(x)$ ,  $t_j^{(0)}(x)$ , j = 1, 2,... are polynomials with coefficients from **Z**, then the expressions  $r_j^{(i)}(x)$  and  $t_j^{(i)}(x)$ , i = 1, 2,...; j = 1, 2,... defined by (2) and (4) are rational functions of the form

$$r_{j}^{(i)} = rac{R_{j}^{(i)}}{f^{i}}, \quad t_{j}^{(i)} = rac{T_{j}^{(i)}}{f^{i}}$$

with coefficients from Z.

**Proof.** The second part of the statement follows easily from (2) and (4). The proof of the first part will be made by induction on *i*. For i = 1 the statement is obvious, since  $F_1^{(1)} = df/dx f^{-1}$ . In view of (8) the statement is also obvious for k = i, i = 1, 2, .... Under the inductive assumption,

$$F_k^{(i-1)} = rac{P_k^{(i-1)}}{f^{i-k}}, \qquad F_{k-1}^{(i-1)} = rac{P_{k-1}^{(i-1)}}{f^{i-k+1}},$$

where the degrees of the polynomials  $P_k^{(i-1)}$  and  $P_{k-1}^{(i-1)}$  do not exceed (i-k)(n-1) and (i-k+1)(n-1) respectively. But for  $i \neq k$ 

$$F_k^{(i)} = DF_k^{(i-1)} + 2(k-1)F_{k-1}^{(i-1)} + \frac{df}{dx}f^{-1}F_k^{(i-1)}.$$

Further, it is clear that

$$DF_k^{(i-1)} = \frac{Q_k^{(i-1)}}{f^{i-k+1}}$$

and that the degree of the polynomial  $Q_k^{(i-1)}$  does not exceed

$$(i - k + 1)(n - 1)$$
.

Hence

$$F_{k}^{(i)} = \frac{P_{k}^{(i)}}{f^{i-k+1}}$$

and the degree of polynomial  $P_k^{(i)}$  does not exceed (i - k + 1)(n - 1). Lemma 8 is proved.

**LEMMA** 9. Let the rational functions  $F_k^{(i)}(x)$ ,  $r_j^{(i)}(x)$ ,  $t_j^{(i)}(x)$ , k = 1, 2, ..., i; i = 1, 2, ...; j = 1, 2, ... be defined by the recurrent relations (2), (4) and (8). Let  $r_j^{(0)}(x)$  and  $t_j^{(0)}(x)$ , j = 1, 2, ..., be polynomials with coefficients from Z. Then the polynomials  $P_k^{(i)}(x)$ ,  $R_j^{(i)}(x)$  and  $T_j^{(i)}(x)$ , which are the numerators of  $F_k^{(i)}(x)$ ,  $r_j^{(i)}(x)$ , and  $t_j^{(i)}(x)$  respectively, can be written in the form

$$P_k^{(i)} = 2^{-i}i! \ \tilde{P}_k^{(i)}, \qquad R_j^{(i)} = 2^{-i}i! \ \tilde{R}_j^{(i)}, \qquad T_j^{(i)} = 2^{-i}i! \ \tilde{T}_j^{(i)},$$

where  $\tilde{P}_{k}^{(i)}$ ,  $\tilde{R}_{i}^{(i)}$ , and  $\tilde{T}_{i}^{(i)}$  are polynomials with coefficients from **Z**.

*Proof.* First we prove that  $(2j-3)!! 2^j/j!$  is an integer for all j = 2, 3, .... We have

$$\frac{(2j-3)!!\,2^j}{j!} = \frac{2(2j-2)!}{j!\,(j-1)!}\,.$$

On the other side,

$$\frac{(2j-3)!!\,2^j}{j!} = \frac{4(2j-3)!}{j!\,(j-2)!}\,.$$

Define

$$A = \frac{(2j-2)!}{j! (j-2)!}, \qquad B = \frac{(2j-3)!}{j! (j-3)!}.$$

It is clear that A and B are integers. Further, we have

$$\frac{2A}{j-1} = \frac{4B}{j-2}$$
 or  $\frac{A}{j-1} = \frac{2B}{j-2}$ .

Hence A(j-2) = 2B(j-1) or A = (A-2B)(j-1) and therefore A|j-1 = A-2B is an integer, so  $(2j-3)!!2^j/j!$  is also an integer.

We prove that  $R_j^{(i)}$  and  $T_j^{(i)}$  can be represented in the form

$$R_j^{(i)} = 2^{-i}i! \ \tilde{R}_j^{(i)}, \qquad T_j^{(i)} = 2^{-i}i! \ \tilde{T}_j^{(i)}.$$

In view of Lemmas 1 and 2, it is enough to prove that  $G_t$ , t = 1, 2, ..., can be written in the form  $G_t = Q_t/f_t$  and that  $Q_t = 2^{-t}t! \tilde{Q}_t$ , where  $\tilde{Q}_t$  is a polynomial with coefficients from Z.

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The first statement follows easily from (3). Further, in view of Lemma 3, to prove the second statement it is enough to show that

$$a_{j_1,\ldots,j_k}^{(t)}, j_1 + \cdots + j_k = t; \quad k = 1, 2, \ldots, t; \quad t = 1, 2, \ldots$$

can be represented in the form

$$a_{j_1,\ldots,j_k}^{(t)} = 2^{-t}t! \ \tilde{a}_{j_1,\ldots,j_k}^{(t)},$$

where

$$\tilde{a}_{j_1,\ldots,j_k}^{(t)}\in \mathbb{Z}.$$

By Lemma 4,

$$a_{j_1,\ldots,j_k}^{(t)} = (-1)^t \frac{t!}{j_1! \cdots j_k!} \prod_{s=1}^k \prod_{\tau=1}^{j_s} (2(j_s - \tau) + 1)$$
$$= (-1)^t \frac{t!}{j_1! \cdots j_k!} (2j_1 - 1)!! \cdots (2j_k - 1)!!.$$

Hence

$$a_{j_1,\ldots,j_k}^{(t)} = \frac{t!}{2^t} (-1)^t \frac{2^{j_1} (2j_1 - 1)!!}{j_1!} \cdots \frac{2^{j_k} (2j_k - 1)!!}{j_k!},$$

and so

$$\tilde{a}_{j_1,\ldots,j_k}^{(t)} = (-1)^t \frac{2^{j_1}(2j_1-1)!!}{j_1!} \cdots \frac{2^{j_k}(2j_k-1)!!}{j_k!}$$

is an integer.

To finish the proof of the lemma it remains to prove that  $P_k^{(i)}$ , k = 1, 2, ..., i; i = 1, 2, ... can be represented in the form  $P_k^{(i)} = 2^{-i}i! \tilde{P}_k^{(i)}$ . We consider separately the cases k > 1 and k = 1. Let k = 1. In view of Lemma 6, it is enough to show that  $b_{j_1,...,j_k}^{(i)}$ ,  $j_1 + \cdots + j_k = i; k = 1, 2, ..., i; i = 1, 2, ...$  can be represented in the form

$$b_{j_1,\ldots,j_k}^{(i)} = 2^{-i} i! \ \tilde{b}_{j_1,\ldots,j_k}^{(i)},$$

where  $\tilde{b}_{j_1,\ldots,j_k}^{(i)} \in \mathbb{Z}$ . By Lemma 7 we have

$$b_{j_1,\ldots,j_k}^{(i)} = \frac{i!}{j_1!\cdots j_k!} \prod_{t=1}^k \prod_{\tau=1}^{j_t} (1-2(j_t-\tau))$$
$$= (-1)^i \frac{i!}{j_1!\cdots j_k!} (2j_1-3)!!\cdots (2j_k-3)!!$$

and the last statement follows from the fact that

$$(-1)^{i} \frac{2^{j_{1}}(2j_{1}-3)!!}{j_{1}!} \cdots \frac{2^{j_{k}}(2j_{k}-3)!!}{j_{k}!}$$

is an integer.

Let now  $k \ge 2$ . In this case we shall prove the statement of the lemma by induction on *i*. For i = 1 the statement is obvious. Under the inductive assumption for  $k \ge 2$ 

$$P_k^{(i-1)} = 2^{-i+1}(i-1)! \tilde{P}_k^{(i-1)}.$$

Moreover,

$$P_1^{(i-1)} = 2^{-i+1}(i-1)! \tilde{P}_1^{(i-1)}$$

and all  $\tilde{P}_{k}^{(i-1)}$ , k = 1, 2, ..., i - 1, have integer rational coefficients. By Lemma 5 we have  $F_{k}^{(i)} = 2iF_{k-1}^{(i-1)}$  for k = 2, 3, ..., i. Hence in view of Lemma 8  $P_{k}^{(i)} = 2iP_{k-1}^{(i-1)}$ , and so  $P_{k}^{(i)} = 2^{-i}i! \tilde{P}_{k}^{(i)}$ . The lemma is proved.

## 3. BASIC CONSTRUCTION

Let  $m < p^r/2$  be a natural number. We consider the polynomial

$$S_{0}(x) = \left(1 + f^{\frac{p^{r}-1}{2}}\right) \sum_{j=1}^{2m} r_{j}^{(0)}(x)(x^{p^{r}}-x)^{j-1} + \sum_{j=1}^{2m} t_{j}^{(0)}(x)(x^{p^{r}}-x)^{j},$$

where  $r_j^{(0)}(x)$ ,  $t_j^{(0)}(x)$  are polynomials with coefficients from Z.

Define  $S_i(x)$ , i = 1, 2, ..., in the following way:

$$S_i(x) = D^i S_0(x).$$

We shall say that the expression  $S_i(x)$  has "necessary form" if it can be written as

$$S_{i}(x) = \left(1 + f^{\frac{p^{r}-1}{2}}\right) \sum_{j=1}^{2m} r_{j}^{(i)}(x)(x^{p^{r}} - x)^{j-1}$$
$$+ \sum_{j=1}^{2m} t_{j}^{(i)}(x)(x^{p^{r}} - x)^{j} + p^{r}U_{i}(x),$$

where  $r_j^{(i)}(x)$ ,  $t_j^{(i)}(x)$ ,  $U_i(x)$  are rational functions with coefficients from the ring Z.

LEMMA 10. Let  $S_{i-1}(x)$  have "necessary form". Then for the expression  $S_i(x)$  to have "necessary form" it is sufficient that the relation

$$2t_1^{(i-1)}(x) = \frac{df}{dx} f^{-1} r_1^{(i-1)}(x)$$

holds.

In that case, the rational functions  $r_j^{(i)}(x)$ ,  $t_j^{(i)}(x)$  are defined by relations (2) and (4) respectively, and moreover,

$$U_i(x) = \sum_{k=0}^{i-1} D^{i-k-1} H_k(x), \qquad (12)$$

where

$$H_{k}(x) = \sum_{j=1}^{2m-1} \left( 2j \left( 1 + f^{\frac{p^{r}-1}{2}} \right) x^{p^{r}-1} r_{j+1}^{(k)} + f^{\frac{p^{r}-3}{2}} \frac{df}{dx} r_{j}^{(k)} \right) (x^{p^{r}} - x)^{j-1}$$
$$+ f^{\frac{p^{r}-3}{2}} \frac{df}{dx} r_{2m}^{(k)} (x^{p^{r}} - x)^{2m-1} + 2x^{p^{r}-1} \sum_{j=1}^{2m} j t_{j}^{(k)} (x^{p^{r}} - x)^{j-1}$$

Proof. We have

$$S_{i}(x) = (1 + f^{\frac{p^{r}-1}{2}})$$

$$\times \left(\sum_{j=1}^{2m} (Dr_{j}^{(i-1)})(x^{p^{r}} - x)^{j-1} - 2\sum_{j=1}^{2m} (j-1) r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-2}\right)$$

$$- f^{\frac{p^{r}-1}{2}} \frac{df}{dx} f^{-1} \sum_{j=1}^{2m} r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1} + \sum_{j=1}^{2m} (Dt_{j}^{(i-1)})(x^{p^{r}} - x)^{j}$$

$$- 2\sum_{j=1}^{2m} jt_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1} + 2p^{r}\left(1 + f^{\frac{p^{r}-1}{2}}\right) x^{p^{r}-1} \sum_{j=1}^{2m} (j-1)$$

$$\times r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-2} + p^{r}f^{\frac{p^{r}-3}{2}} \frac{df}{dx} \sum_{j=1}^{2m} r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1}$$

$$+ 2p^{r}x^{p^{r}-1} \sum_{j=1}^{2m} jt_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1} + p^{r}DU_{i-1}(x).$$

We add and subtract the expression

$$\frac{df}{dx}f^{-1}\sum_{j=1}^{2m}r_j^{(i-1)}(x^{p^r}-x)^{j-1}$$

in the right-hand side of the last equality. Then  $S_i(x)$  can be written in the form

$$\begin{split} S_{i}(x) &= (1 + f^{\frac{p^{r}-1}{2}}) \left( \sum_{j=1}^{2m} (Dr_{j}^{(i-1)})(x^{p^{r}} - x)^{j-1} \right. \\ &\quad - 2 \sum_{j=1}^{2m} (j-1) r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-2} - \frac{df}{dx} f^{-1} \sum_{j=1}^{2m} r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1} \right) \\ &\quad + \frac{df}{dx} f^{-1} \sum_{j=1}^{2m} r_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1} + \sum_{j=1}^{2m} (Dt_{j}^{(i-1)})(x^{p^{r}} - x)^{j} \\ &\quad - 2 \sum_{j=1}^{2m} jt_{j}^{(i-1)}(x^{p^{r}} - x)^{j-1} + p^{r}H_{i-1}(x) + p^{r} DU_{i-1}(x) \\ &= (1 + f^{\frac{p^{r}-1}{2}}) \left( \sum_{j=1}^{2m-1} \left( Dr_{j}^{(i-1)} - 2jr_{j+1}^{(i-1)} - \frac{df}{dx} f^{-1}r_{j}^{(i-1)} \right) (x^{p^{r}} - x)^{j-1} \\ &\quad + \left( Dr_{2m}^{(i-1)} - \frac{df}{dx} f^{-1}r_{2m}^{(i-1)} \right) (x^{p^{r}} - x)^{2m-1} \right) \\ &\quad + \sum_{j=1}^{2m-1} \left( Dt_{j}^{(i-1)} - 2(j+1) t_{j+1}^{(i-1)} + \frac{df}{dx} f^{-1}r_{j+1}^{(i-1)} \right) (x^{p^{r}} - x)^{j} \\ &\quad + (Dt_{2m}^{(i-1)})(x^{p^{r}} - x)^{2m} + \frac{df}{dx} f^{-1}r_{1}^{(i-1)} - 2t_{1}^{(i-1)} + p^{r}U_{i}(x). \end{split}$$

The statement of the lemma follows from this in an obvious way.

**LEMMA** 11. Let  $F_k^{(i)}$ , k = 1, 2, ..., i; i = 1, 2, ... be defined by recurrent relations (8). In order that the expression  $S_i(x)$ , i = 1, 2, ..., 2m - 1 have "necessary form," it is sufficient that the relations

$$2^{2i}t_i^{(0)} = \sum_{k=1}^{i} \tilde{F}_k^{(i)} r_k^{(0)}, \quad i = 1, 2, ..., 2m - 1, \quad (14)$$

hold, where  $\tilde{F}_{k}^{(i)}$  are defined by the equalities

$$F_k^{(i)} = 2^{-i} i! \, \tilde{F}_k^{(i)}. \tag{15}$$

*Proof.* We shall prove Lemma 11 by induction on *i*. For i = 1 the statement follows from Lemma 10. Let the statement hold for i = j - 1. We prove it for i = j. Consider the *j* relations

$$2^{2i}t_i^{(0)} = \sum_{k=1}^{i} \tilde{F}_k^{(i)} r_k^{(0)}, \qquad i = 1, 2, ..., j.$$
(16)

From these relations it follows for j = 1 that

$$2t_1^{(0)} = \frac{df}{dx} f^{-1} r_1^{(0)}$$

and hence the expression  $S_1(x)$  has "necessary form." Moreover, for i = 1, the relations (2) hold. By (8) and (15),

$$\begin{split} i\tilde{F}_{k}^{(i)} &= 2\left(D\tilde{F}_{k}^{(i-1)} + 2(k-1)\tilde{F}_{k-1}^{(i-1)} + \frac{df}{dx}f^{-1}\tilde{F}_{k}^{(i-1)}\right),\\ k &= 1, 2, ..., i-1,\\ i\tilde{F}_{i}^{(i)} &= 2\left(2(i-1)\tilde{F}_{i-1}^{(i-1)} + 2^{2(i-1)}\frac{df}{dx}f^{-1}\right). \end{split}$$

Hence for i = 2, 3, ..., j, we have

$$2^{2i}it_{i}^{(0)} = 2\sum_{k=1}^{i-1} \left( D\tilde{F}_{k}^{(i-1)} + 2(k-1)\tilde{F}_{k-1}^{(i-1)} + \frac{df}{dx}f^{-1}\tilde{F}_{k}^{(i-1)} \right)r_{k}^{(0)} + 2\left( 2(i-1)\tilde{F}_{i-1}^{(i-1)} + 2^{2(i-1)}\frac{df}{dx}f^{-1} \right)r_{i}^{(0)} = 2\sum_{k=1}^{i-1} \left( D\tilde{F}_{k}^{(i-1)} \right)r_{k}^{(0)} + 2\sum_{k=1}^{i-1}2k\tilde{F}_{k}^{(i-1)}r_{k+1}^{(0)} + 2\frac{df}{dx}f^{-1}\sum_{k=1}^{i-1}\tilde{F}_{k}^{(i-1)}r_{k}^{(0)} + 2^{2i-1}\frac{df}{dx}f^{-1}r_{i}^{(0)}.$$

We add and subtract the sum

$$2\sum_{k=1}^{i-1}\tilde{F}_{k}^{(i-1)}Dr_{k}^{(0)}$$

in the right-hand side of the last equality. Then we have

$$2^{2i}it_{i}^{(0)} = 2 \sum_{k=1}^{i-1} (D\tilde{F}_{k}^{(i-1)}) r_{k}^{(0)} + 2 \sum_{k=1}^{i-1} \tilde{F}_{k}^{(i-1)} Dr_{k}^{(0)}$$
  
$$- 2 \sum_{k=1}^{i-1} \tilde{F}_{k}^{(i-1)} Dr_{k}^{(0)} + 2 \sum_{k=1}^{i-1} 2k\tilde{F}_{k}^{(i-1)}r_{k+1}^{(0)}$$
  
$$+ 2 \frac{df}{dx} f^{-1} \sum_{k=1}^{i-1} F_{k}^{(i-1)}r_{k}^{(0)} + 2^{2i-1} \frac{df}{dx} f^{-1}r_{i}^{(0)}$$
  
$$= 2D \sum_{k=1}^{i-1} \tilde{F}_{k}^{(i-1)}r_{k}^{(0)} - 2 \sum_{k=1}^{i-1} \tilde{F}_{k}^{(i-1)} \left( Dr_{k}^{(0)} - 2kr_{k+1}^{(0)} - \frac{df}{dx} f^{-1}r_{k}^{(0)} \right)$$
  
$$+ 2^{2i-1} \frac{df}{dx} f^{-1}r_{i}^{(0)}.$$

Whence by (2)

$$2^{2i}it_i^{(0)} = 2D \sum_{k=1}^{i-1} \tilde{F}_k^{(i-1)}r_k^{(0)} - 2 \sum_{k=1}^{i-1} \tilde{F}_k^{(i-1)}r_k^{(1)} + 2^{2i-1} \frac{df}{dx} f^{-1}r_i^{(0)}.$$

Apply the condition

$$2^{2^{(i-1)}}t_{i-1}^{(0)} = \sum_{k=1}^{i-1} \tilde{F}_k^{(i-1)}r_k^{(0)}$$

and obtain

$$2^{2i}it_i^{(0)} = 2^{2i-1}Dt_{i-1}^{(0)} - 2\sum_{k=1}^{i-1}\tilde{F}_k^{(i-1)}r_k^{(1)} + 2^{2i-1}\frac{df}{dx}f^{-1}r_i^{(0)}.$$

Hence in view of (2) we have

$$2^{2^{(i-1)}}t_{i-1}^{(1)} = \sum_{k=1}^{i-1} \tilde{F}_k^{(i-1)}r_k^{(1)}, \quad i = 2, 3, ..., j.$$

By the hypothesis of induction, the validity of the last relations is sufficient to insure that the expressions  $S_2(x),...,S_j(x)$  have "necessary form," hence the validity of the relations (16) is sufficient to insure that  $S_1(x), S_2(x),..., S_j(x)$  have "necessary form." The lemma is proved.

LEMMA 12. Let g(x) be a polynomial, not identically zero, from the ring  $K_{p}[x]$ . Further let

$$g(\alpha)=\frac{g'(\alpha)}{1!}=\frac{g''(\alpha)}{2!}=\cdots=\frac{g^{(i)}(\alpha)}{i!}=0.$$

Then  $\alpha$  is a root of the polynomial g(x) of order at least i + 1.

**Proof.** We suppose that  $\alpha$  is a root of g(x) of order j and that j < i + 1. Then

$$g(x) = (x - \alpha)^{j}h(x), \qquad h(\alpha) \neq 0,$$

and we have

$$\frac{g^{(i)}(x)}{j!} = h(x) + \frac{r(x)(x-\alpha)}{j!}$$

Under condition  $g^{(j)}(\alpha)/j! = 0$  and hence  $h(\alpha) = 0$ . But, by assumption,  $h(\alpha) \neq 0$ , and this contradiction proves the lemma.

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LEMMA 13. For any natural number  $m \leq \sqrt{p^r/3n}$  there exists a polynomial  $S_0(x)$ , not identically zero, in the ring  $k_p[x]$ , of degree at most

$$\frac{p^r-1}{2}n + (m-1)p^r + (n-1)m^2 + n$$

such that all elements of the second class are roots of  $S_0(x)$  of order at least 2m.

*Proof.* We shall try to find the polynomial  $S_0(x)$  in the form

$$S_{0}(x) = (1 + f^{\frac{p^{r}-1}{2}}) \sum_{j=1}^{m} r_{j}^{(0)}(x)(x^{p^{r}} - x)^{j-1} + \sum_{j=1}^{m} t_{j}^{(0)}(x)(x^{p^{r}} - x)^{j-1}$$

with indeterminate polynomial-valued coefficients  $r_j^{(0)}(x)$  and  $t_j^{(0)}(x)$ . We shall consider  $S_0(x)$  as a polynomial over the ring Z. However, we must avoid having all of the polynomials  $r_j^{(0)}(x)$ , j = 1, 2, ..., m, identically zero modulo p.

Let  $\tilde{F}_k^{(i)}$  be defined by equalities  $\dot{F}_k^{(i)} = 2^{-i}i! \tilde{F}_k^{(i)}$  where the  $F_k^{(i)}$  are given by (8). If we choose  $r_i^{(0)}$  and  $t_i^{(0)}$  so that the following relations over Z hold:

$$2^{2i}t_i^{(0)} = \sum_{k=1}^{i} \tilde{F}_k^{(i)} r_k^{(0)}, \quad i = 1, 2, ..., m,$$
(17)

$$0 = \sum_{k=1}^{m} \tilde{F}_{k}^{(i)} r_{k}^{(0)}, \quad i = m + 1, ..., 2m - 1, \quad (18)$$

then by Lemma 11 all the expressions  $S_i(x)$ , i = 0, 1, ..., 2m - 1, have "necessary form".

Find a nontrivial solution over  $k_p$  of the system (18) in polynomials  $r_k^{(0)}$ . It follows from Lemmas 8 and 9 that the rational functions  $\tilde{F}_k^{(i)}$  can be written in the form

$$\tilde{F}_{k}^{(i)} = \frac{\tilde{P}_{k}^{(i)}}{f^{i-k+1}},$$
(19)

where  $\tilde{P}_k^{(i)}$  are polynomials with integral rational coefficients, and the degree of  $\tilde{P}_k^{(i)}$  does not exceed  $\nu_k^{(i)} = (i - k + 1)(n - 1)$ . Write

$$r_k^{(0)} = f^{m-k+1} r_k \,. \tag{20}$$

It is clear from (19) that in this case the system (18) is equivalent to the system

$$\sum_{k=1}^{m} \tilde{P}_{k}^{(i)} r_{k} = 0, \quad i = m+1, ..., 2m-1, \quad (21)$$

with polynomial coefficients  $\tilde{P}_k^{(i)}$ . Let

$$\tilde{P}_k^{(i)} = \sum_{j=0}^{\nu_k^{(i)}} a_{j,k}^{(i)} x^j, \quad i = m+1,..., 2m-1; \quad k = 1, 2,..., m.$$

We write  $\mu_k = (m^2 - m + k)(n - 1)$  and look for  $r_k$  in the form

$$r_k = \sum_{l=0}^{\mu_k} b_{l,k} x^l.$$

Then system (21) can be written in the form

$$\sum_{q=0}^{\mu_{k}+\nu_{k}^{(i)}}\left(\sum_{k=1}^{m}\sum_{j+l=q}a_{j,k}^{(i)}b_{l,k}\right)x^{q}=0, \quad i=m+1,...,2m-1.$$

In this case the following equalities

$$\sum_{k=1}^{m} \sum_{l=0}^{\mu_{k}} a_{q-l,k}^{(i)} b_{l,k} = 0,$$

$$q = 0, 1, ..., \mu_{k} + \nu_{k}^{(i)}; \quad i = m+1, ..., 2m-1,$$
(22)

must hold. In the last system there are  $M = \sum_{k=1}^{m} (\mu_k + 1)$  variables  $b_{l,k}$  and  $N \leq \sum_{i=m+1}^{2m-1} (\mu_k + \nu_k^{(i)} + 1)$  equations. We have

$$M = (n-1) \sum_{k=1}^{m} (k+m^2-m) + m$$
  
=  $(n-1) m^3 - \frac{n-1}{2} m^2 + \frac{n+1}{2} m$ ,  
 $N \le (n-1) \sum_{j=1}^{m-1} (j+m^2+1n) + m - 1$   
=  $(n-1) m^3 - \frac{n-1}{2} m^2 + \frac{n+1}{2} m - n$ .

Thus  $M - N \ge 1n$  and system (22) has a nontrivial solution in elements  $b_{l,k}$  of the ring Z, where  $b_{l,k}$  can be chosen so that not all of them are zero in  $k_p$ .

Further, let  $t_j^{(0)}(x)$ , j = 1, 2, ..., m be defined by (17). From (19) and (20) it is clear that all the  $t_j^{(0)}$  are polynomials.

Let rational function  $\tilde{r}_{i}^{(i)}$  and  $\tilde{t}_{i}^{(i)}$  be defined by the equalities

$$r_j^{(i)} = 2^{-i}i! \, \tilde{r}_{j'}^{(i)} \qquad t_j^{(i)} = 2^{-i}i! \, \tilde{t}_j^{(i)}$$

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Then by Lemmas 8 and 9,  $\tilde{r}_{j}^{(i)}$  and  $\tilde{t}_{j}^{(i)}$  can be written in the form

$$\tilde{r}_{j}^{(i)} = \frac{\tilde{R}_{j}^{(i)}}{f^{i}}, \qquad \tilde{t}_{j}^{(i)} = \frac{\tilde{T}_{j}^{(i)}}{f^{i}},$$
(23)

where  $\tilde{R}_{j}^{(i)}$ ,  $\tilde{T}_{j}^{(i)}$  are polynomials with coefficients from Z.

In this case all the expressions  $2^{i}[S_{i}(x)/i!]$ , i = 0, 1, ..., 2m - 1, can be written in the form

$$2^{i} \frac{S_{i}(x)}{i!} = (1 + f^{\frac{p^{r}-1}{2}}) \sum_{j=1}^{m} \tilde{r}_{j}^{(i)}(x)(x^{p^{r}} - x)^{j-1} + \sum_{j=1}^{m} \tilde{t}_{j}^{(i)}(x)(x^{p^{r}} - x)^{j} + \frac{2^{i}p^{r}}{i!} U_{i}(x),$$

where  $U_i(x)$  are defined by (12) and (13). In view of Lemmas 8 and 9 and relation (13) it is clear that  $H_k(x)$  are rational functions of the form

$$H_k = 2^{-k}k! \frac{\tilde{Q}_k}{f^k} \quad , \tag{24}$$

where  $\tilde{Q}_k(x)$  are polynomials with coefficients from Z.

We shall find an upper bound for the exponent of the highest power of the prime number p that divides i!/k!(i-k-1)!, i = 1, 2, ..., 2m-1; k = 1, 2, ..., i-1. Let  $\nu(i)$  be the exponent of p in i!. It is obvious that

$$\nu(i) = \left[\frac{i}{p}\right] + \left[\frac{i}{p^2}\right] + \cdots + \left[\frac{i}{p^s}\right].$$

But  $m \leq \sqrt{p^r/3n}$  and so  $i < p^{r/2}$ . Hence we may write

$$\nu(i) = \frac{i}{p} + \frac{i}{p^2} + \dots + \frac{i}{p^s} - \theta_s^{(i)},$$
  

$$\nu(k) = \frac{k}{p} + \frac{k}{p^2} + \dots + \frac{k}{p^s} - \theta_s^{(k)},$$
  

$$\nu(i - k - 1) = \frac{i - k - 1}{p} + \frac{i - k - 1}{p^2} + \dots + \frac{i - k - 1}{p^s} - \theta_s^{(i - k - 1)},$$

where  $0 \leqslant \theta_s^{(i)} < s$ ,  $0 \leqslant \theta_s^{(k)} < s$ ,  $0 \leqslant \theta_s^{(i-k-1)} < s$  and s < r/2. It follows that

$$\nu(i) - \nu(k) - \nu(i - k - 1)$$
  
=  $\theta_s^{(k)} + \theta_s^{(i-k-1)} - \theta_s^{(i)} + \frac{1}{p} + \frac{1}{p^2} + \dots + \frac{1}{p^s}$ 

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from which

$$\nu(i) - \nu(k) - \nu(i-k-1) < 2s + \frac{(1-p^{-s})}{p-1}$$

Since 2s < r, for integers r and s we have  $2s \leq r - 1$  and

$$\nu(i) - \nu(k) - \nu(i-k-1) < r.$$

In this case it follows from (12) and (14) that  $(2^i p^r/i!)U_i(x)$  are rational functions of the form

$$\frac{2^{i}p^{r}}{i!} U_{i} = p \frac{V_{i}}{f^{i-1}}, \qquad (25)$$

where  $V_i(x)$  are polynomials with coefficients from Z.

Now we consider the expressions  $2^i(S_i(x)/i!)$ , i = 0, 1, ..., 2m - 1, in the field  $k_p(x)$ . It follows from (25) that in this case

$$2^{i} \frac{S_{i}(x)}{i!} = (1 + f^{\frac{p^{r}-1}{2}}) \sum_{j=1}^{m} \tilde{r}_{j}^{(i)}(x)(x^{p^{r}} - x)^{j-1} + \sum_{j=1}^{m} \tilde{t}_{j}^{(i)}(x)(x^{p^{r}} - x)^{j}.$$
(26)

Note that  $2^i(S_i(x)/i!)$  differs from  $S_0^{(i)}(x)/i!$  only by a nonzero constant factor in  $k_p$ . Further, in view of (23) and (26) it is clear that all elements of the second class are zeros of the expressions  $2^i(S_i(x)/i!)$ , i = 0, 1, ..., 2m - 1, and hence also zeros of  $S_0^{(i)}(x)/i!$ .

We show that the polynomial  $S_0(x)$  is not identically zero. Note that not all polynomials  $r_i^{(0)}(x)$  are zero in  $K_p[x]$ . Denote the degree of the polynomial  $r_k^{(0)}(x)$  by  $\delta_k$  and the degree of the polynomial  $t_i^{(0)}(x)$  by  $\gamma_i$ . Since the degree of the polynomial  $r_k$  does not exceed  $(m^2 - m + k)(n - 1)$ we get from (20) that  $\delta_k \leq m^2(n - 1) + m + n - k$ . Further, by Lemma 8 and by (17) we have  $\gamma_i \leq m^2(n - 1) + m + n - i - 1$ . But  $p^r > 9n^2$ and  $m \leq \sqrt{p^r/3n}$ , so that

$$\delta_{k} + \frac{n}{2} \leq m^{2}(n-1) + m + \frac{3n}{2} - k < \frac{p^{r}}{2}, \qquad k = 1, 2, ..., m,$$

$$\gamma_{i} + \frac{n}{2} \leq m^{2}(n-1) + m + \frac{3n}{2} - i - 1 < \frac{p^{r}}{2}, \qquad i = 1, 2, ..., m.$$
(27)

The degree of the polynomial  $(1 + f^{(p^r-1)/2}) r_k^{(0)} (x^{p^r} - x)^{k-1}$  is equal to  $\rho_k = (n/2)p^r - (n/2) + \delta_k + p^r(k-1)$  and the degree of the polynomial  $t_i^{(0)} (x^{p^r} - x)^i$  is equal to  $\omega_i = \gamma_i + p^r i$ . Since *n* is odd, it follows from (27)

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that  $\rho_k \neq \omega_i$  for any i, k = 1, 2, ..., m. Moreover,  $\rho_j > \rho_k, \omega_j > \omega_k$  for j > k. Hence the terms

$$(1+f^{\frac{p^{r}-1}{2}})r_{1}^{(0)},(1+f^{\frac{p^{r}-1}{2}})r_{2}^{(0)}(x^{p^{r}}-x),...,(1+f^{\frac{p^{r}-1}{2}})r_{m}^{(0)}(x^{p^{r}}-x)^{m-1},$$
  
$$t_{1}^{(0)}(x^{p^{r}}-x),t_{2}^{(0)}(x^{p^{r}}-x)^{2},...,t_{m}^{(0)}(x^{p^{r}}-x)^{m}$$

in the polynomial  $S_0(x)$  cannot cancel out. Then by Lemma 12 all elements of the second class are roots of the polynomial  $S_0(x)$  of order at least 2m.

Finally, we estimate the degree of  $S_0(x)$ . The degrees of the polynomials

$$(1+f^{\frac{p^{r}-1}{2}})r_{j}^{(0)}(x^{p^{r}}-x)^{j-1}, \quad j=1, 2, ..., m,$$

do not exceed

$$\frac{p^r-1}{2}n + (m-1)p^r + (n-1)m^2 + n.$$

The degrees of the polynomials  $t_j^{(0)}(x^{p^r}-x)^j$ , j=1, 2, ..., m, do not exceed

$$mp^r + (n-1)m^2 + n - 1.$$

Hence the degree of the polynomial  $S_0(x)$  is at most

$$\frac{p^r-1}{2}n + (m-1)p^r + (n-1)m^2 + n.$$

Lemma 13 is proved.

LEMMA 14. For any natural number  $m \leq \sqrt{p^r/3n}$  there exists a polynomial  $T_0(x)$ , not identically zero in the ring  $k_p[x]$ , of degree at most

$$\frac{p^r-1}{2}n + (m-1)p^r + (n-1)m^2 + n$$

such that all elements of the first class are roots of  $T_0(x)$  of order at least 2m.

*Proof.* The proof of this lemma is analogous to the proof of Lemma 13, with the difference that we now try to find the polynomial  $T_0(x)$  in the form

$$T_0(x) = (1 - f^{\frac{p^r - 1}{2}}) \sum_{j=1}^m S_j^{(0)}(x)(x^{p^r} - x)^{j-1} + \sum_{j=1}^m u_j^{(0)}(x)(x^{p^r} - x)^j.$$

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# 4. PROOF OF THE THEOREM

The number of roots of a polynomial does not exceed its degree. So by Lemma 13,

$$2mJ_{-1} \leqslant \frac{p^r - 1}{2}n + (m - 1)p^r + (n - 1)m^2 + n,$$

or

$$2m(p^r - J_{+1} - J_0) \leqslant \frac{p^r - 1}{2}n + (m - 1)p^r + (n - 1)m^2 + n.$$

Therefore,

$$2m\left(p^{r}-\frac{J_{p^{r}}}{2}-\frac{J_{0}}{2}\right) \leq \frac{p^{r}-1}{2}n+(m-1)p^{r}+(n-1)m^{2}+n.$$

But  $J_0 \leq n$ . Hence,

$$2m\left(p^{r}-\frac{J_{p^{r}}}{2}-\frac{n}{2}\right) \leqslant \frac{p^{r}-1}{2}n+(m-1)p^{r}+(n-1)m^{2}+n.$$

Thus we get

$$J_{p^r} \ge p^r + \frac{p^r}{m} - (n-1)m - \frac{n}{2} - \frac{p^r + 1}{2m}n.$$
 (28)

By Lemma 14,

$$2m \frac{J_{p^r} - J_0}{2} \leq \frac{p^r - 1}{2} n + (m - 1) p^r + (n - 1) m^2 + n,$$

or

$$J_{p^r} \leq p^r - \frac{p^r}{m} + (n-1)m + n + \frac{p^r + 1}{2m}n.$$
 (29)

Take

$$m = \left[\sqrt{\frac{p^r}{3n}}\right].$$

Then by (28) and (29),

$$J_{p^r} \ge p^r - \sqrt{3n} n \sqrt{p^r}; \qquad J_{p^r} \le p^r + \sqrt{3n} n \sqrt{p^r}.$$

Hence

$$|J_{p^r} - p^r| \leqslant \sqrt{3n} \ n \ \sqrt{p^r}.$$

The theorem is proved.

Finally let us show how the corollary follows from the theorem. By the theory of zeta-functions of fields of algebraic functions [2, p. 321],

$$J_{p^{r}} - p^{r} = \omega_{1}^{r} + \dots + \omega_{2q}^{r}, \qquad (30)$$

where  $\omega_1, ..., \omega_{2g}$  are roots of the zeta-functions of the field  $k_p(x, \sqrt{f(x)})$ ; in this case, 2g = n - 1. Hence for any natural r

$$|\omega_1^r + \cdots + \omega_{n-1}^r| \leq \sqrt{3n} n \sqrt{p^r}.$$

From here it follows by elementary arguments [3, p. 138] that  $|\omega_j| \leq \sqrt{p}$  so that from (30) we obtain

$$|J_{p^r} - p^r| \leq (n-1) p^{r/2}$$

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