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Existence of positive solutions for a class of p & q elliptic problems with critical growth on \mathbb{R}^N

Giovany M. Figueiredo¹

Universidade Federal do Pará, Faculdade de Matemática, CEP: 66075-110, Belém, PA, Brazil

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ABSTRACT

This paper is concerned with the existence of positive solutions to the class of p & q elliptic problems with critical growth type

$$-\operatorname{div}(a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u) + b(|u|^p)|u|^{p-2}u = \lambda f(u) + |u|^{\gamma^*-2}u,$$

$$u(z) > 0, \quad \forall z \in \mathbb{R}^N,$$

where λ is a positive parameter, $a: \mathbb{R} \rightarrow \mathbb{R}$ is a function of C^1 class and $b, f: \mathbb{R} \rightarrow \mathbb{R}$ are continuous functions.

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1. Introduction

The purpose of this article is to investigate the existence of positive solutions for the following class of quasilinear problem

$$\begin{cases} -\operatorname{div}(a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u) + b(|u|^p)|u|^{p-2}u = \lambda f(u) + |u|^{\gamma^*-2}u & \text{in } \mathbb{R}^N, \\ u \in X, \quad 1 < p < N, \\ u(z) > 0, \quad \forall z \in \mathbb{R}^N, \end{cases} \quad (P_\lambda)$$

where γ^* and X will be stated later.

Let us introduce the set \mathcal{V} as being the collection of all functions $k: \mathbb{R}^+ \rightarrow \mathbb{R}^+$ satisfying the following properties:

There exist constants $a_0, b_0 > 0$, $a_1, b_1 \geq 0$, $q > p$ such that

$$a_0 + H(b_1)a_1 t^{\frac{q-p}{p}} \leq k(t) \leq b_0 + b_1 t^{\frac{q-p}{p}} \quad \text{for all } t \geq 0, \quad (k_1)$$

where $H(s) = 1$ if $s > 0$ and $H(s) = 0$ if $s = 0$.

There exist constants α and θ such that $\gamma < \theta < \gamma^*$ and

$$K(t) \geq \frac{1}{\alpha} k(t)t \quad \text{with } 1 < \frac{q}{p} \leq \alpha < \frac{\theta}{p}, \quad (k_2)$$

for all $t \geq 0$, where $K(t) = \int_0^t k(s)ds$ and where $\gamma = (1 - H(b_1))p + H(b_1)q$ and $\gamma^* = (1 - H(b_1))p^* + H(b_1)q^*$.

The function

$$t \rightarrow k(t^p)t^{p-2} \text{ is increasing.} \quad (k_3)$$

E-mail address: giovany@ufpa.br.

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The hypotheses on functions a, b and f are the following: $a, b \in \mathcal{W}$ and the nonlinearity $f : \mathbb{R} \rightarrow \mathbb{R}$ is a continuous function and since we are looking for positive solutions, we suppose that

$$f(t) = 0 \quad \text{for all } t < 0. \tag{f_1}$$

Moreover, we assume the following growth conditions at the origin and at infinity:

$$\lim_{|t| \rightarrow 0} \frac{|f(t)|}{|t|^{p-1}} = 0 \tag{f_2}$$

and there exists $s \in (\gamma, \gamma^*)$ verifying

$$\lim_{|t| \rightarrow \infty} \frac{|f(t)|}{|t|^{s-1}} = 0. \tag{f_3}$$

In this article, we use the classical Palais–Smale condition. Related with this condition, we suppose that f verifies the well-known Ambrosetti–Rabinowitz superlinear condition,

$$0 < \theta F(t) = \int_0^t f(\xi) d\xi \leq tf(t) \quad \text{for all } t > 0, \tag{f_4}$$

where θ appeared in (a_2) .

Our main result is

Theorem 1.1. Assume that $a \in C^1(\mathbb{R}^+, \mathbb{R}^+) \cap \mathcal{W}$, $b \in C(\mathbb{R}^+, \mathbb{R}^+) \cap \mathcal{W}$ and that the conditions (f_1) – (f_4) hold. Then, there exists $\lambda^* > 0$, such that problem (P_λ) has a ground-state positive solution in $C^{1,\alpha}(\mathbb{R}^N)$, with $0 < \alpha < 1$, for all $\lambda \geq \lambda^*$.

Now, we will give some examples of functions a and b in order to illustrate the degree of generality of the kind of operators studied here.

Example 1.1. Considering $a(t) = b(t) = 1$, we have that $a, b \in \mathcal{W}$ with $a_0 = b_0 = 1$ and $b_1 = 0$ and $a_1 > 0$. Hence, Theorem 1.1 is valid for the problem

$$-\Delta_p u + |u|^{p-2}u = \lambda f(u) + |u|^{q^*-2}u \quad \text{in } \mathbb{R}^N.$$

Note that, in this case, Theorem 1.1 is the main result in [1] with $\lambda = 1$.

Example 1.2. Considering $a(t) = b(t) = 1 + t^{\frac{q-p}{p}}$, we have that $a, b \in \mathcal{W}$ with $a_0 = b_0 = a_1 = b_1 = 1$. Hence, Theorem 1.1 is valid for the problem

$$-\Delta_p u - \Delta_q u + |u|^{p-2}u + |u|^{q-2}u = \lambda f(u) + |u|^{q^*-2}u \quad \text{in } \mathbb{R}^N.$$

Example 1.3. Considering $a(t) = 1 + \frac{1}{(1+t)^{\frac{p-2}{p}}}$ and $b(t) = 1$, we have that $a \in \mathcal{W}$ with $a_0 = 1, b_0 = 2$ and $b_1 = 0, a_1 > 0$ and $b \in \mathcal{W}$ with $a_0 = b_0 = 1$ and $b_1 = 0$ and $a_1 > 0$. Hence, Theorem 1.1 is valid for the problem

$$-\operatorname{div} \left(|\nabla u|^{p-2} \nabla u + \frac{|\nabla u|^{p-2} \nabla u}{(1 + |\nabla u|^p)^{\frac{p-2}{p}}} \right) + |u|^{p-2}u = \lambda f(u) + |u|^{p^*-2}u \quad \text{in } \mathbb{R}^N.$$

Example 1.4. Considering $a(t) = 1 + t^{\frac{q-p}{p}} + \frac{1}{(1+t)^{\frac{p-2}{p}}}$ and $b(t) = 1 + t^{\frac{q-p}{p}}$, we have that $a \in \mathcal{W}$ with $a_0 = 1, b_0 = 2$ and $b_1 = a_1 = 1$ and $b \in \mathcal{W}$ with $a_0 = a_1 = b_0 = b_1 = 1$. Hence, Theorem 1.1 is valid for the problem

$$-\Delta_p u - \Delta_q u - \operatorname{div} \left(\frac{|\nabla u|^{p-2} \nabla u}{(1 + |\nabla u|^p)^{\frac{p-2}{p}}} \right) + |u|^{p-2}u + |u|^{q-2}u = \lambda f(u) + |u|^{q^*-2}u \quad \text{in } \mathbb{R}^N,$$

or still more complex problems, for example:

Example 1.5. Considering $a(t) = b(t) = 1 + t^{\frac{q-p}{p}} + \frac{1}{(1+t)^{\frac{p-2}{p}}}$, we have that $a, b \in \mathcal{W}$ with $a_0 = 1, b_0 = 2$ and $b_1 = a_1 = 1$

$$-\Delta_p u - \Delta_q u - \operatorname{div} \left(\frac{|\nabla u|^{p-2} \nabla u}{(1 + |\nabla u|^p)^{\frac{p-2}{p}}} \right) + \left(|u|^{p-2}u + |u|^{q-2}u + \frac{|u|^{p-2}u}{(1 + |u|^p)^{\frac{p-2}{p}}} \right) = \lambda f(u) + |u|^{q^*-2}u \quad \text{in } \mathbb{R}^N.$$

Other combinations can be made with the functions presented in the examples above, generating very interesting elliptic problems from the mathematical point of view.

The quasilinear equation of the type $p&q$ -Laplacian has received special attention in the last years, see for example the articles [6–8,10,11,13,14,17,20] and the references therein.

The existence and multiplicity of solutions of quasilinear problem

$$\begin{cases} -\operatorname{div}(a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u) = f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

where Ω is a bounded domain of \mathbb{R}^N , were studied by J.M. B do O (see [7,8]). In [7] the author showed a result of multiplicity using a \mathbb{Z}_2 version of the Mountain Pass Theorem [18], f being a function with subcritical exponential growth. In [8] the author used an argument of minimization, f being a function with subcritical growth.

The subcritical problem

$$\begin{cases} -\Delta_p u - \Delta_q u + v(x)|u|^{p-2}u + w(x)|u|^{q-2}u = \lambda f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

was studied by L. Cherfils and V. Il'yasov in [6]. In this article, the authors showed a result of existence and nonexistence using a variational principle.

In [17], Medeiros and Perera showed the existence of two solutions for the problem

$$\begin{cases} -\Delta_p u - \Delta_q u = \lambda|u|^{p-2}u - \mu|u|^{q-2}u + f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases}$$

The first solution was obtained via Mountain Pass Theorem and the second solution was obtained via cohomological linking theorem.

In [14], the problem with critical growth on bounded domain of \mathbb{R}^N was treated by Li and Guo. The authors showed a result of multiplicity of solutions for the problem

$$\begin{cases} -\Delta_p u - \Delta_q u = |u|^{p^*-2}u + \mu|u|^{r-2}u & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases}$$

The case on \mathbb{R}^N was studied in [10] by He and Li. More precisely, the authors studied the subcritical problem

$$\begin{cases} -\Delta_p u - \Delta_q u + m|u|^{p-2}u + n|u|^{q-2}u = f(x, u) & \text{in } \mathbb{R}^N, \\ u \in W^{1,p}(\mathbb{R}^N) \cap W^{1,q}(\mathbb{R}^N), \end{cases}$$

using the Mountain Pass Theorem and the concentration–compactness principle of Lions [15].

Other solutions' existence's results of $p&q$ -problems can be seen in [13,20]. For a result of regularity, see [11].

Moreover, that class of equations comes, for example, from a general reaction–diffusion system:

$$u_t = \operatorname{div}[D(u)\nabla u] + c(x, u), \tag{1.1}$$

where $D(u) = (|\nabla u|^{p-2} + |\nabla u|^{q-2})$. This system has a wide range of applications in physics and related sciences, such as biophysics, plasma physics and chemical reaction design. In such applications, the function u describes a concentration, the first term on the right-hand side of (1.1) corresponds to the diffusion with a diffusion coefficient $D(u)$; whereas the second one is the reaction and relates to source and loss processes. Typically, in chemical and biological applications, the reaction term $c(x, u)$ is a polynomial of u with variable coefficients (see [11,13,20]).

Our theorem extends or complements the articles above, because we consider a more general class of operators, f has a critical growth and problem (P_λ) is on \mathbb{R}^N .

2. Variational framework

We say that $u \in X$ with $u > 0$ on \mathbb{R}^N is a weak solution of the problem (P_λ) if it verifies

$$\int_{\mathbb{R}^N} a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u \nabla \phi \, dx + \int_{\mathbb{R}^N} b(|u|^p)|u|^{p-2}u \phi \, dx - \lambda \int_{\mathbb{R}^N} f(u)\phi \, dx - \int_{\mathbb{R}^N} |u|^{\gamma^*-2}u \phi \, dx = 0$$

for all $\phi \in X$, where X denotes the Sobolev space $W^{1,p}(\mathbb{R}^N) \cap W^{1,\gamma}(\mathbb{R}^N)$ endowed with the norm

$$\|u\| = \|u\|_{1,p} + H(b_1)\|u\|_{1,q},$$

where

$$\|u\|_{1,m}^m = \int_{\mathbb{R}^N} |\nabla u|^m \, dx + \int_{\mathbb{R}^N} |u|^m \, dx.$$

We will look for solutions of (P_λ) by finding critical points of the C^1 -functional $I : X \rightarrow \mathbb{R}$ given by

$$I(u) = \frac{1}{p} \int_{\mathbb{R}^N} A(|\nabla u|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} B(|u|^p) dx - \lambda \int_{\mathbb{R}^N} F(u) dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} u_+^{\gamma^*} dx.$$

Note that

$$I'(u)\phi = \frac{1}{p} \int_{\mathbb{R}^N} a(|\nabla u|^p) |\nabla u|^{p-2} \nabla u \nabla \phi dx + \frac{1}{p} \int_{\mathbb{R}^N} b(|u|^p) |u|^{p-2} u \phi dx - \lambda \int_{\mathbb{R}^N} f(u) \phi dx - \int_{\mathbb{R}^N} u_+^{\gamma^*-1} \phi dx,$$

for all $\phi \in X$.

In order to use critical point theory we firstly derive the results related to the Palais–Smale compactness condition.

We say that a sequence (u_n) is a Palais–Smale sequence for the functional I if

$$I(u_n) \rightarrow c_*$$

and

$$\|I'(u_n)\| \rightarrow 0 \quad \text{in } (X)',$$

where $c_* = \inf_{\eta \in \Gamma} \max_{t \in [0,1]} I(\eta(t)) > 0$ and $\Gamma := \{\eta \in C([0,1], X) : \eta(0) = 0, I(\eta(1)) < 0\}$.

If every Palais–Smale sequence of I has a strong convergent subsequence, then one says that I satisfies the Palais–Smale condition ((PS) for short).

Firstly one proves that functional I has the geometry of Mountain Pass Theorem.

Lemma 2.1. *For each $\lambda > 0$, the functional I satisfies the following conditions:*

(i) *There exist $r, \rho > 0$ such that:*

$$I(u) \geq \rho \quad \text{with } \|u\| = r.$$

(ii) *There exists $e \in B_r^c(0)$ with $I(e) < 0$.*

Proof. (i) By (f_2) and (f_3) , we get

$$I(u) \geq \frac{1}{p} \int_{\mathbb{R}^N} A(|\nabla u|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} B(|u|^p) dx - \lambda \frac{\epsilon}{p} \int_{\mathbb{R}^N} |u|^p dx - \lambda \frac{C_\epsilon}{s} \int_{\mathbb{R}^N} |u|^s dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx.$$

Now, by (k_1) we derive

$$\begin{aligned} I(u) &\geq \frac{a_0}{p} \int_{\mathbb{R}^N} |\nabla u|^p dx + H(b_1) \frac{a_1}{q} \int_{\mathbb{R}^N} |\nabla u|^q dx + \frac{a_0}{p} \int_{\mathbb{R}^N} |u|^p dx + H(b_1) \frac{a_1}{q} \int_{\mathbb{R}^N} |u|^q dx - \lambda \frac{\epsilon}{p} \int_{\mathbb{R}^N} |u|^p dx \\ &\quad - \lambda \frac{C_\epsilon}{s} \int_{\mathbb{R}^N} |u|^s dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx. \end{aligned}$$

So

$$I(u) \geq C_1 (\|u\|_{1,p}^p + H(b_1) \|u\|_{1,q}^q) - \lambda \frac{C_\epsilon}{s} \int_{\mathbb{R}^N} |u|^s dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx.$$

Choosing $0 < r = \|u\| < 1$, we get $\|u\|_{1,p}^{(q-p)} < 1$ and, hence,

$$I(u) \geq C_1 (\|u\|_{1,p}^q + H(b_1) \|u\|_{1,q}^q) - \lambda \frac{C_\epsilon}{s} \int_{\mathbb{R}^N} |u|^s dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx.$$

Hence,

$$I(u) \geq C_2 \|u\|^q - \lambda \frac{C_\epsilon}{s} \int_{\mathbb{R}^N} |u|^s dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx.$$

By the Sobolev embedding we get

$$I(u) \geq C_2 \|u\|^q - \lambda C_3 \|u\|^s - C_4 \|u\|^{\gamma^*}$$

and, since that $q < s < \gamma^*$, the lemma is proved.

(ii) From (f₄), there exist $C_4, C_5 > 0$ such that

$$F(t) \geq C_4 t^\theta - C_5, \quad \forall t > 1.$$

Thus, fixing $\phi \in C_0^\infty(\mathbb{R}^N)$ with $\phi > 0$ in \mathbb{R}^N , we get

$$I(t\phi) \leq \frac{1}{p} \int_{\mathbb{R}^N} A(t^p |\nabla \phi|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} B(t^p |\phi|^p) dx - C_4 t^\theta \int_{\mathbb{R}^N} \phi^\theta dx + C_5 |\text{supp } \phi| - \frac{t^{\gamma^*}}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx.$$

From (k₁) we derive

$$\begin{aligned} I(t\phi) &\leq \frac{b_0 t^p}{p} \int_{\mathbb{R}^N} |\nabla \phi|^p dx + \frac{b_1 t^q}{q} \int_{\mathbb{R}^N} |\nabla \phi|^q dx + \frac{b_0 t^p}{p} \int_{\mathbb{R}^N} |\phi|^p dx + \frac{b_1 t^q}{q} H(b_1) \int_{\mathbb{R}^N} |\phi|^q dx \\ &\quad - C_4 t^\theta \int_{\mathbb{R}^N} \phi^\theta dx + C_5 |\text{supp } \phi| - \frac{t^{\gamma^*}}{\gamma^*} \int_{\mathbb{R}^N} |u|^{\gamma^*} dx. \end{aligned}$$

Since $\gamma^* > \theta > \gamma$, there exists $\bar{t} > 1$ such that $e = \bar{t}\phi$ satisfies $I(e) < 0$ and $\|e\| > \rho$. \square

We devote the rest of this section to show that c_* is attained by a positive function. We start by defining the best constant of the Sobolev embedding $W^{1,\gamma}(\mathbb{R}^N) \hookrightarrow L^{\gamma^*}(\mathbb{R}^N)$ as

$$S := \inf \left\{ \int_{\mathbb{R}^N} |\nabla u|^\gamma dx : u \in X, \int_{\mathbb{R}^N} |u|^{\gamma^*} dx = 1 \right\}.$$

As in [5] and arguing as in [2], we are able to compare the minimax level c_* with a suitable number which involves the constant S .

Lemma 2.2. *If the conditions (k₁)–(k₃) and (f₁)–(f₄) hold, then there exists $\lambda_* > 0$ such that $c_* \in (0, (\frac{1}{\theta} - \frac{1}{\gamma^*})(a_0 S)^{N/\gamma})$ for all $\lambda \geq \lambda_*$.*

Proof. Considering $\phi \in C_0^\infty(\mathbb{R}^N)$ with $\phi > 0$, there exists $t_\lambda > 0$ verifying $I(t_\lambda \phi) = \max_{t \geq 0} I(t\phi)$ and $t_\lambda \phi \in \mathcal{N}$, that is,

$$\int_{\mathbb{R}^N} a(|t_\lambda \nabla \phi|^p) |t_\lambda \nabla \phi|^p dx + \int_{\mathbb{R}^N} b(|t_\lambda \phi|^p) |t_\lambda \phi|^p dx = \lambda \int_{\mathbb{R}^N} f(t_\lambda \phi) t_\lambda \phi dx + t_\lambda^{\gamma^*} \int_{\mathbb{R}^N} \phi^{\gamma^*} dx.$$

Using (k₁), we have

$$b_0 \int_{\mathbb{R}^N} |t_\lambda \nabla \phi|^p dx + b_1 \int_{\mathbb{R}^N} |t_\lambda \nabla \phi|^q dx + b_0 \int_{\mathbb{R}^N} |t_\lambda \phi|^p dx + b_1 \int_{\mathbb{R}^N} |t_\lambda \phi|^q dx \geq \lambda \int_{\mathbb{R}^N} f(t_\lambda \phi) t_\lambda \phi dx + t_\lambda^{\gamma^*} \int_{\mathbb{R}^N} \phi^{\gamma^*} dx. \tag{2.1}$$

Since $b_1 \geq 0$, then using (2.1) we get

$$t_\lambda^p b_0 \int_{\mathbb{R}^N} |\nabla \phi|^p dx + b_1 t_\lambda^q \int_{\mathbb{R}^N} |\nabla \phi|^q dx + b_0 t_\lambda^p \int_{\mathbb{R}^N} |\phi|^p dx + b_1 t_\lambda^q \int_{\mathbb{R}^N} |\phi|^q dx \geq t_\lambda^{\gamma^*} \int_{\mathbb{R}^N} \phi^{\gamma^*} dx$$

which implies that (t_λ) is bounded. Thus, there exists a sequence $(\lambda_n) \subset \mathbb{R}$ such that

$$t_{\lambda_n} \rightarrow t_0 \geq 0 \quad \text{when } \lambda_n \rightarrow +\infty.$$

Note that if $t_0 > 0$ then there exists $K > 0$ such that

$$K \geq b_0 \int_{\mathbb{R}^N} |t_{\lambda_n} \nabla \phi|^p dx + b_1 \int_{\mathbb{R}^N} |t_{\lambda_n} \nabla \phi|^q dx + b_0 \int_{\mathbb{R}^N} |t_{\lambda_n} \phi|^p dx + b_1 \int_{\mathbb{R}^N} |t_{\lambda_n} \phi|^q dx$$

and

$$\lambda_n \int_{\mathbb{R}^N} f(t_{\lambda_n} \phi) t_{\lambda_n} \phi dx + t_{\lambda_n}^{\gamma^*} \int_{\mathbb{R}^N} \phi^{\gamma^*} dx \rightarrow +\infty$$

which is an absurd. Hence we conclude that $t_0 = 0$. Thus, if we define $\eta_*(t) = te$ for $t \in [0, 1]$, it follows that $\eta_* \in \Gamma$ and thus

$$0 < c_* \leq \max_{t \in [0,1]} I(\eta_*(t)) = I(t_\lambda \phi) \leq b_0 t_\lambda^p \int_{\mathbb{R}^N} |\nabla \phi|^p dx + t_\lambda^q b_1 \int_{\mathbb{R}^N} |\nabla \phi|^q dx + b_0 t_\lambda^p \int_{\mathbb{R}^N} |\phi|^p dx + b_1 t_\lambda^q \int_{\mathbb{R}^N} |\phi|^q dx.$$

This way, if λ is large enough we derive

$$b_0 t_\lambda^p \int_{\mathbb{R}^N} |\nabla \phi|^p dx + t_\lambda^q b_1 \int_{\mathbb{R}^N} |\nabla \phi|^q dx + b_0 t_\lambda^p \int_{\mathbb{R}^N} |\phi|^p dx + b_1 t_\lambda^q \int_{\mathbb{R}^N} |\phi|^q dx < \left(\frac{1}{\theta} - \frac{1}{\gamma^*}\right) (a_0 S)^{N/\gamma},$$

which leads to

$$0 < c_* < \left(\frac{1}{\theta} - \frac{1}{\gamma^*}\right) (a_0 S)^{N/\gamma}. \quad \square$$

Remark 2.3. Note that, from the lemma above, if $\lambda \rightarrow \infty$, then $c_* \rightarrow 0$.

Lemma 2.4. Let (u_n) be a sequence in X such that $I(u_n) \rightarrow c_*$ and $I'(u_n) \rightarrow 0$ as $n \rightarrow \infty$. Then

- i) $u_n \rightarrow u$ in X .
- ii) There exists $\lambda^* > 0$ such that $I'(u) = 0$ for all $\lambda \geq \lambda^*$.
- iii) $u_n \geq 0$ for $n \in \mathbb{N}$.

Proof. i) We shall prove that (u_n) is bounded in X . Indeed, from (f_3) we get

$$C(1 + \|u_n\|) \geq I(u_n) - \frac{1}{\theta} I'(u_n) u_n.$$

So

$$C(1 + \|u_n\|) \geq \frac{1}{p} \int_{\mathbb{R}^N} A(|\nabla u_n|^p) dx - \frac{1}{\theta} \int_{\mathbb{R}^N} a(|\nabla u_n|^p) |\nabla u_n|^p dx + \frac{1}{p} \int_{\mathbb{R}^N} B(|u_n|^p) dx - \frac{1}{\theta} \int_{\mathbb{R}^N} b(|u_n|^p) |u_n|^p dx.$$

By (k_2) we derive

$$C(1 + \|u_n\|) \geq \left(\frac{1}{p\alpha} - \frac{1}{\theta}\right) \left[\int_{\mathbb{R}^N} a(|\nabla u_n|^p) |\nabla u_n|^p dx + \int_{\mathbb{R}^N} b(|u_n|^p) |u_n|^p dx \right].$$

From (k_1) we have

$$C(1 + \|u_n\|) \geq \left(\frac{1}{p\alpha} - \frac{1}{\theta}\right) a_0 \int_{\mathbb{R}^N} [|\nabla u_n|^p + |u_n|^p] dx + \left(\frac{1}{p\alpha} - \frac{1}{\theta}\right) H(b_1) a_1 \int_{\mathbb{R}^N} [|\nabla u_n|^q + |u_n|^q] dx.$$

Hence,

$$C(1 + \|u_n\|) \geq C_1 \|u_n\|_{1,p}^p + C_2 H(b_1) \|u_n\|_{1,q}^q. \tag{2.2}$$

Thus, if $b_1 = 0$, then (u_n) is bounded in X . If $b_1 > 0$, suppose, for contradiction, that, up to a subsequence, $\|u_n\| \rightarrow +\infty$. We consider several cases:

- a) $\|u_n\|_{1,p} \rightarrow +\infty$ and $\|u_n\|_{1,q} \rightarrow +\infty$;
- b) $\|u_n\|_{1,p} \rightarrow +\infty$ and $\|u_n\|_{1,q}$ is bounded;
- c) $\|u_n\|_{1,p}$ is bounded and $\|u_n\|_{1,q} \rightarrow +\infty$.

In the first case, for n sufficiently large, $\|u_n\|_{1,q}^{q-p} \geq 1$ and $\|u_n\|_{1,q}^q \geq \|u_n\|_{1,p}^p$. Thus, recalling (2.2),

$$C(1 + \|u_n\|) \geq C_1 \|u_n\|_{1,p}^p + C_2 \|u_n\|_{1,q}^p \geq C_3 (\|u_n\|_{1,p} + \|u_n\|_{1,q})^p = C_3 \|u_n\|^p,$$

which is an absurd.

In case b), by (f_4) , we have

$$C(1 + \|u_n\|_{1,p} + \|u_n\|_{1,q}) = C(1 + \|u_n\|) \geq \left(\frac{1}{p} - \frac{1}{\theta}\right) \|u_n\|_{1,p}^p.$$

Thus, we derive

$$C\left(\frac{1}{\|u_n\|_{1,p}^p} + \frac{1}{\|u_n\|_{1,p}^{p-1}} + \frac{\|u_n\|_{1,q}}{\|u_n\|_{1,p}^p}\right) \geq \left(\frac{1}{p} - \frac{1}{\theta}\right).$$

Since $p - 1 > 0$, passing to the limit as $n \rightarrow \infty$, we obtain $0 < \left(\frac{1}{p} - \frac{1}{\theta}\right) \leq 0$, which is an absurd.

The last case is similar to the case b).

Thus $u_n \rightarrow u$ in X .

ii) Since, up to a subsequence, $u_n \rightarrow u$ in $L^m_{loc}(\mathbb{R}^N)$ for $1 \leq m < \gamma^*$,

$$\int_{\mathbb{R}^N} |u_n|^\gamma \phi \, dx \rightarrow \int_{\mathbb{R}^N} |u|^\gamma \phi \, dx$$

and

$$\int_{\mathbb{R}^N} |u_n|^s \phi \, dx \rightarrow \int_{\mathbb{R}^N} |u|^s u \phi \, dx.$$

Hence, from generalized Lebesgue's Theorem

$$\int_{\mathbb{R}^N} b(|u_n|^p) |u_n|^p \phi \, dx \rightarrow \int_{\mathbb{R}^N} b(|u|^p) |u|^p \phi \, dx \tag{2.3}$$

and

$$\int_{\mathbb{R}^N} f(u_n) u_n \phi \, dx \rightarrow \int_{\mathbb{R}^N} f(u) u \phi \, dx, \tag{2.4}$$

for all $\phi \in X$. Moreover, $u_n(x) \rightarrow u(x)$ a.e. in \mathbb{R}^N and recalling a result due to Brezis and Lieb [4] (see also [9, Lemma 4.6])

$$\begin{aligned} \int_{\mathbb{R}^N} |u_n|^{\gamma-2} u \phi \, dx &\rightarrow \int_{\mathbb{R}^N} |u|^{\gamma-2} u \phi \, dx, \\ \int_{\mathbb{R}^N} |u_n|^{s-2} u \phi \, dx &\rightarrow \int_{\mathbb{R}^N} |u|^{s-2} u \phi \, dx \end{aligned}$$

and

$$\int_{\mathbb{R}^N} |u_n|^{\gamma^*-2} u \phi \, dx \rightarrow \int_{\mathbb{R}^N} |u|^{\gamma^*-2} u \phi \, dx. \tag{2.5}$$

Hence, from generalized Lebesgue's Theorem

$$\int_{\mathbb{R}^N} b(|u_n|^p) |u_n|^{p-2} u \phi \, dx \rightarrow \int_{\mathbb{R}^N} b(|u|^p) |u|^{p-2} u \phi \, dx \tag{2.6}$$

and

$$\int_{\mathbb{R}^N} f(u_n) u \phi \, dx \rightarrow \int_{\mathbb{R}^N} f(u) u \phi \, dx, \tag{2.7}$$

for all $\phi \in X$.

We claim that

$$\int_{\mathbb{R}^N} |u_n|^{\gamma^*} \phi \, dx \rightarrow \int_{\mathbb{R}^N} |u|^{\gamma^*} \phi \, dx. \tag{2.8}$$

In order to prove the claim we note that, taking a subsequence, we may suppose that

$$|\nabla u_n|^\gamma \rightharpoonup |\nabla u|^\gamma + \mu \quad \text{and} \quad |u_n|^{\gamma^*} \rightharpoonup |u|^{\gamma^*} + \nu \quad (\text{weak}^*\text{-sense of measures}).$$

Using the concentration–compactness principle due to Lions (cf. [16, Lemma 1.2]), we obtain an at most countable index set Λ , sequences $(x_i) \subset \mathbb{R}^N$, $(\mu_i), (\nu_i) \subset (0, \infty)$, such that

$$\nu = \sum_{i \in \Lambda} \nu_i \delta_{x_i}, \quad \mu \geq \sum_{i \in \Lambda} \mu_i \delta_{x_i} \quad \text{and} \quad S \nu_i^{\gamma/\gamma^*} \leq \mu_i, \tag{2.9}$$

for all $i \in \Lambda$, where δ_{x_i} is the Dirac mass at $x_i \in \mathbb{R}^N$.

Now, for every $\varrho > 0$, we set $\psi_\varrho(x) := \psi((x - x_i)/\varrho)$ where $\psi \in C_0^\infty(\mathbb{R}^N, [0, 1])$ is such that $\psi \equiv 1$ on $B_1(0)$, $\psi \equiv 0$ on $\mathbb{R}^N \setminus B_2(0)$ and $|\nabla \psi|_\infty \leq 2$. Since $(\psi_\varrho u_n)$ is bounded, $I'(u_n)(\psi_\varrho u_n) \rightarrow 0$, that is,

$$\begin{aligned} & \int_{\mathbb{R}^N} a(|\nabla u_n|^p) |\nabla u_n|^{p-2} \nabla u_n \cdot \nabla \psi_\varrho \, dx + \int_{\mathbb{R}^N} b(|u_n|^p) |u_n|^{p-2} u_n \psi_\varrho \, dx \\ &= - \int_{\mathbb{R}^N} \psi_\varrho a(|\nabla u_n|^p) |\nabla u_n|^p \, dx - \int_{\mathbb{R}^N} \psi_\varrho b(|u_n|^p) |u_n|^p \, dx + \lambda \int_{\mathbb{R}^N} f(x, u_n) \psi_\varrho u_n \, dx + \int_{\mathbb{R}^N} \psi_\varrho |u_n|^{\gamma^*} \, dx + o_n(1). \end{aligned}$$

Since by (k_1) $a(t), b(t) \geq a_0 >$ and arguing as in [3], we can prove that

$$\lim_{\varrho \rightarrow 0} \left[\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} a(|\nabla u_n|^p) |\nabla u_n|^{p-2} \nabla u_n \cdot \nabla \psi_\varrho \, dx \right] = 0$$

and

$$\lim_{\varrho \rightarrow 0} \left[\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} b(|u_n|^p) |u_n|^{p-2} u_n \cdot \psi_\varrho \, dx \right] = 0.$$

Moreover, since $u_n \rightarrow u$ in $L_{loc}^m(\mathbb{R}^N)$ for all $1 \leq m < \gamma^*$ and ψ_ϱ has compact support, we can let $n \rightarrow \infty$ in the above expression to obtain

$$\int_{\mathbb{R}^N} \psi_\varrho \, d\nu \geq \int_{\mathbb{R}^N} a_0 \psi_\varrho \, d\mu.$$

Letting $\varrho \rightarrow 0$ we conclude that $\nu_i \geq a_0 \mu_i$. It follows from (2.9) that $\nu_i \geq (a_0 S)^{N/\gamma}$. Thus, we derive

$$\nu_i \geq \left(\frac{1}{\theta} - \frac{1}{\gamma^*} \right) (a_0 S)^{N/\gamma}. \tag{2.10}$$

Now we shall prove that the above expression cannot occur, and therefore the set Λ is empty. Indeed, arguing by contradiction, let us suppose that $\nu_i \geq (\frac{1}{\theta} - \frac{1}{\gamma^*})(a_0 S)^{N/\gamma}$ for some $i \in \Lambda$. Thus,

$$c_* = I(u_n) - \frac{1}{\theta} I'(u_n)u_n + o_n(1).$$

From (f_4) , (k_1) and (k_2) we have

$$c_* \geq \left(\frac{1}{\theta} - \frac{1}{\gamma^*} \right) \int_{\mathbb{R}^N} |u_n|^{\gamma^*} \, dx + o_n(1) \geq \left(\frac{1}{\theta} - \frac{1}{\gamma^*} \right) \int_{B_\varrho(x_i)} \psi_\varrho |u_n|^{\gamma^*} \, dx + o_n(1).$$

Letting $n \rightarrow \infty$, we get

$$c_* \geq \left(\frac{1}{\theta} - \frac{1}{\gamma^*} \right) \sum_{i \in \Lambda} \psi_\varrho(x_i) \nu_i = \left(\frac{1}{\theta} - \frac{1}{\gamma^*} \right) \sum_{i \in \Lambda} \nu_i \geq \left(\frac{1}{\theta} - \frac{1}{\gamma^*} \right) (a_0 S)^{N/\gamma},$$

which does not make sense for all $\lambda > \lambda^*$. Hence Λ is empty and it follows that

$$\int_{\mathbb{R}^N} |u_n|^{\gamma^*} \, dx \rightarrow \int_{\mathbb{R}^N} |u|^{\gamma^*} \, dx.$$

The next step is to prove that

$$\int_{\mathbb{R}^N} a(|\nabla u_n|^p) |\nabla u_n|^{p-2} \nabla u_n \nabla \phi \, dx = \int_{\mathbb{R}^N} a(|\nabla u|^p) |\nabla u|^{p-2} \nabla u \nabla \phi \, dx + o_n(1), \tag{2.11}$$

for all $\phi \in X$.

To this end, we will prove the inequality below:

$$C|x - y|^p \leq \langle a(|x|^p) |x|^{p-2} x - a(|y|^p) |y|^{p-2} y, x - y \rangle,$$

for all $x, y \in \mathbb{R}^N$. Indeed, firstly note that

$$\langle a(|x|^p) |x|^{p-2} x - a(|y|^p) |y|^{p-2} y, x - y \rangle = \sum_{j=1}^N (a(|x|^p) |x|^{p-2} x_j - a(|y|^p) |y|^{p-2} y_j) (x_j - y_j)$$

and for all $z, \xi \in \mathbb{R}^N$ we get

$$\begin{aligned} \sum_{i,j=1}^N \frac{\partial}{\partial z_i} (a(|z|^p) |z|^{p-2} z_j) \xi_i \xi_j &= (p-2) |z|^{p-4} \sum_{i,j=1}^N a(|z|^p) z_i z_j \xi_i \xi_j \\ &+ \sum_{i,j=1}^N a(|z|^p) |z|^{p-2} \delta_{i,j} \xi_i \xi_j + p \sum_{i,j=1}^N a'(|z|^p) |z|^{2p-4} z_i z_j \xi_i \xi_j. \end{aligned}$$

Hence

$$\begin{aligned} \sum_{i,j=1}^N \frac{\partial}{\partial z_i} (a(|z|^p) |z|^{p-2} z_j) \xi_i \xi_j &= (p-2) |z|^{p-4} a(|z|^p) \sum_{i,j=1}^N z_i z_j \xi_i \xi_j \\ &+ \sum_{i,j=1}^N a(|z|^p) |z|^{p-2} |\xi|^2 + p a'(|z|^p) |z|^{2p-4} \sum_{i,j=1}^N z_i z_j \xi_i \xi_j. \end{aligned}$$

Since

$$\sum_{i,j=1}^N z_i z_j \xi_i \xi_j = \left(\sum_{j=1}^N z_j \xi_j \right)^2,$$

we have

$$\sum_{i,j=1}^N \frac{\partial}{\partial z_i} (a(|z|^p) |z|^{p-2} z_j) \xi_i \xi_j = \left(\sum_{j=1}^N z_j \xi_j \right)^2 |z|^{p-4} [(p-2)a(|z|^p) + p a'(|z|^p) |z|^p] + a(|z|^p) |z|^{p-2} |\xi|^2.$$

By (k_3) , we derive

$$\sum_{i,j=1}^N \frac{\partial}{\partial z_i} (a(|z|^p) |z|^{p-2} z_j) \xi_i \xi_j \geq a(|z|^p) |z|^{p-2} |\xi|^2. \tag{2.12}$$

Moreover, if $|y| \geq |x|$, we have $\frac{1}{2}|x - y| \leq |y|$ and for $t \in [0, \frac{1}{4}]$ we get

$$|y + t(x - y)| \geq |y| - t|x - y| \geq \frac{1}{4}|x - y|.$$

Making $z = x - y$ and $\xi = x - y$, from direct calculations we get

$$\sum_{j=1}^N (a(|x|^p) |x|^{p-2} x_j - a(|y|^p) |y|^{p-2} y_j) (x_j - y_j) = \int_0^1 \sum_{i,j=1}^N \frac{\partial}{\partial z_i} (a(|z|^p) |z|^{p-2} z_j) \xi_i \xi_j.$$

Using (2.12) we derive

$$\langle a(|x|^p) |x|^{p-2} x - a(|y|^p) |y|^{p-2} y, x - y \rangle \geq a(|y + t(x - y)|^p) |y + t(x - y)|^{p-2} |x - y|^2.$$

By (k_1) we conclude

$$(a(|x|^p)|x|^{p-2}x - a(|y|^p)|y|^{p-2}y, x - y) \geq \frac{a_0}{4}|x - y|^{p-2}|x - y|^2 = \frac{a_0}{4}|x - y|^p.$$

Now, considering

$$P_N = (a(|\nabla u_n|^p)|\nabla u_n|^{p-2}\nabla u_n - a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u, \nabla u_n - \nabla u)$$

and $\psi \in C_0^\infty(\mathbb{R}^N)$ such that $\psi \equiv 1$ in $B_1(0)$ and $\psi \equiv 0$ in $\mathbb{R}^N \setminus B_2(0)$, we have

$$0 \leq \frac{a_0}{4} \int_{B_1(0)} |\nabla u_n - \nabla u|^p dx \leq \int_{B_1(0)} P_N dx \leq \int_{\mathbb{R}^N} P_N \psi dx.$$

Hence

$$0 \leq \frac{a_0}{4} \int_{B_1(0)} |\nabla u_n - \nabla u|^p dx \leq \int_{\mathbb{R}^N} a(|\nabla u_n|^p)|\nabla u_n|^p \psi dx - \int_{\mathbb{R}^N} a(|\nabla u_n|^p)|\nabla u_n|^{p-2}\nabla u_n \nabla u \psi dx + o_n(1).$$

Using (2.3), (2.4), (2.5), (2.6), (2.7) and (2.8) we get

$$0 \leq \frac{a_0}{4} \int_{B_1(0)} |\nabla u_n - \nabla u|^p dx \leq I'(u_n)(u_n \psi) - I'(u_n)(u \psi) = o_n(1).$$

Thus

$$\frac{\partial u_n}{\partial x_i} \rightarrow \frac{\partial u}{\partial x_i} \quad \text{in } L^p(B_1(0))$$

and, up to a subsequence,

$$\frac{\partial u_n}{\partial x_i}(x) \rightarrow \frac{\partial u}{\partial x_i}(x) \quad \text{a.e. in } \mathbb{R}^N.$$

Using also a result due to Brezis and Lieb [4] (see also [9, Lemma 4.6]), we conclude that (2.11) holds. Hence, $I'(u)\psi = 0$ for all $\psi \in X$ and for $\lambda \geq \lambda^*$.

iii) In view of (f_1) and (a_1) , we have

$$\begin{aligned} o_n(1) &= I'(u_n)u_n^- = - \int_{\mathbb{R}^N} a(|\nabla u_n^-|^p)|\nabla u_n^-|^p dx - \int_{\mathbb{R}^N} b(|u_n^-|^p)|u_n^-|^p dx \\ &\leq -a_0 \int_{\mathbb{R}^N} |\nabla u_n^-|^p dx - b_1 \int_{\mathbb{R}^N} |\nabla u_n^-|^q dx - a_0 \int_{\mathbb{R}^N} |u_n^-|^p dx - H(b_1) \int_{\mathbb{R}^N} |u_n^-|^q dx = -\|u_n^-\|_{1,p}^p - H(b_1)\|u_n^-\|_{1,q}^q. \end{aligned}$$

Hence, $\|u_n^-\|_{1,p} = \|u_n^-\|_{1,q} = o_n(1)$ which implies $\|u_n^-\| = o_n(1)$. Thus, we can easily compute

$$I(u_n) = I(u_n^+) + o_n(1)$$

and

$$I'(u_n) = I'(u_n^+) + o_n(1).$$

Thus, we will assume hereafter that (u_n) is nonnegative. \square

The next proposition is a version of Lions' results [15] to problem with p & q -Laplacian.

Proposition 2.5. *Let $(u_n) \subset X$ be a $(PS)_{c_n}$ sequence for I with $u_n \rightharpoonup 0$ in X . Then we have either:*

- a) $u_n \rightarrow 0$ in X or
- b) there exist a sequence $(y_n) \in \mathbb{R}^N$ and constants $R, \beta > 0$ such that

$$\liminf_{n \rightarrow +\infty} \int_{B_R(y_n)} |u_n|^\gamma dx \geq \beta > 0.$$

Proof. Suppose that b) does not occur. Thus, $\liminf_{n \rightarrow +\infty} \sup_{y \in \mathbb{R}^N} \int_{B_R(y)} |u_n|^\gamma dx = 0$. Using Lemma 8.4 in [15], we get $u_n \rightarrow 0$ in $L^m(\mathbb{R}^N)$, for all $m \in (\gamma, \gamma^*)$. This fact implies that

$$\int_{\mathbb{R}^N} f(u_n)u_n dx \rightarrow 0.$$

It follows that

$$\int_{\mathbb{R}^N} a(|\nabla u_n|^p)|\nabla u_n|^p dx + \int_{\mathbb{R}^N} b(|u_n|^p)|u_n|^p dx = \int_{\mathbb{R}^N} |u_n|^{\gamma^*} dx + o_n(1).$$

Since, up to a subsequence,

$$\int_{\mathbb{R}^N} a(|\nabla u_n|^p)|\nabla u_n|^p dx + \int_{\mathbb{R}^N} b(|u_n|^p)|u_n|^p dx \rightarrow L_\lambda$$

and

$$\int_{\mathbb{R}^N} |u_n|^{\gamma^*} dx \rightarrow L_\lambda.$$

If $L_\lambda \rightarrow 0$ as $\lambda \rightarrow \infty$, then

$$C(\|u_n\|_{1,p}^p + H(b_1)\|u_n\|_{1,q}^q) \leq \int_{\mathbb{R}^N} a(|\nabla u_n|^p)|\nabla u_n|^p dx + \int_{\mathbb{R}^N} b(|u_n|^p)|u_n|^p dx = o_n(1)$$

and therefore

$$\|u_n\| \rightarrow 0.$$

If there exists $M > 0$, independent of λ , such that $L_\lambda \geq M$, then

$$o_n(1) + c_* = \frac{1}{p} \int_{\mathbb{R}^N} A(|\nabla u_n|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} B(|u_n|^p) dx - \frac{1}{\gamma^*} \int_{\mathbb{R}^N} |u_n|^{\gamma^*} dx.$$

By (k_2) we get

$$o_n(1) + c_* \geq \left(\frac{1}{p\alpha} - \frac{1}{\gamma^*}\right)L_\lambda \geq \left(\frac{1}{p\alpha} - \frac{1}{\gamma^*}\right)M > 0,$$

which is an absurd from Remark 2.3. Hence

$$\|u_n\| \rightarrow 0. \quad \square$$

2.1. Proof of Theorem 1.1

By Lemma 2.4, there exists $u \in X$ such that $I'(u) = 0$ and $u \geq 0$. Suppose that $u \not\equiv 0$. Adapting arguments from [12], we conclude that $u \in L^\infty(\mathbb{R}^N) \cap C^{1,\alpha}(\mathbb{R}^N)$ for some $0 < \alpha < 1$, and therefore it follows from Harnack’s inequality [19] that $u(x) > 0$ for all $x \in \mathbb{R}^N$. If $u \equiv 0$, then u_n no converges strongly to zero, because for the contrary case, we get $c_* = 0$. Thus, from Proposition 2.5, there is a sequence $(y_n) \in \mathbb{R}^N$ and $R, \alpha > 0$ such that

$$\liminf_{n \rightarrow +\infty} \int_{B_R(y_n)} |u_n|^\gamma dx > \beta. \tag{2.13}$$

Now, letting $\tilde{u}_n(x) = u_n(x + y_n)$, using the invariance of \mathbb{R}^N for translation, by a routine calculus we obtain $\|\tilde{u}_n\| = \|u_n\|$, $I(\tilde{u}_n) = I(u_n)$ and $I'(\tilde{u}_n) = o_n(1)$. Then, there exists \tilde{u} such that $\tilde{u}_n \rightharpoonup \tilde{u}$ weakly in X and as before it follows that $I'(\tilde{u}) = 0$. Now, by (2.13), taking a subsequence and R bigger we conclude that \tilde{u} is nontrivial and the proposition is proved.

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