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## Identification of the heparin binding site on adeno-associated virus serotype 3B (AAV-3B)

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### ABSTRACT

Adeno-associated virus is a promising vector for gene therapy. In the current study, the binding site on AAV serotype 3B for the heparan sulfate proteoglycan (HSPG) receptor has been characterized. X-ray diffraction identified a disaccharide binding site at the most positively charged region on the virus surface. The contributions of basic amino acids at this and other sites were characterized using site-directed mutagenesis. Both heparin and cell binding are correlated to positive charge at the disaccharide binding site, and transduction is significantly decreased in AAV-3B vectors mutated at this site to reduce heparin binding. While the receptor attachment sites of AAV-3B and AAV-2 are both in the general vicinity of the viral spikes, the exact amino acids that participate in electrostatic interactions are distinct. Diversity in the mechanisms of cell attachment by AAV serotypes will be an important consideration for the rational design of improved gene therapy vectors.

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Adeno-associated virus (AAV) is a small (~25 nm) parvovirus, with an ~4.7 kb ssDNA genome packaged inside a non-enveloped capsid of T=1 icosahedral (60-fold) symmetry (Caspar and Klug, 1962; Xie et al., 2002). As a non-pathogenic virus, AAV has become a leading candidate vector for human gene therapy (Hildinger and Auricchio, 2004). Several naturally occurring serotypes of AAV have been identified, each having broad, but distinct tissue specificity (Buning et al., 2004; Mitchell et al., 2010). In addition to having broad tropism, AAV vectors are often neutralized in individuals previously exposed to virus or vector (Zaiss and Muruve, 2005). Knowledge of the structure and infectious pathway of AAV serotype capsids provides a template to engineer vectors that more specifically target diseased tissues, and to engineer neutralization escape variants that remain viable in cell entry (Buning et al., 2003; Flotte, 2004; Mitchell et al., 2010).

Prior to cell entry, AAV serotype 3 (AAV-3) attaches to target cells by binding heparan sulfate proteoglycan (HSPG) (Handa et al., 2000; Rabinowitz et al., 2002), but few details are known. In contrast, the binding site on AAV-2 for HSPG (or its analog heparin) has been well characterized, and is centered at Arg<sub>585</sub> and Arg<sub>588</sub> on the sides of the 3-fold proximal spikes (Kern et al., 2003; O'Donnell et al.,

2009; Opie et al., 2003). Intriguingly, these residues are not conserved in AAV-3, and the determinants of receptor binding by AAV-3 remain unknown.

An understanding of the diversity in AAV-heparin interactions will advance our fundamental understanding of receptor attachment. AAV-3 is of particular interest because of its ability to transduce hematopoietic cells (Handa et al., 2000) and liver cancer cells (Glushakova et al., 2009) relatively efficiently. However, AAV-3 transduction levels are low for most cell types (Van Vliet et al., 2008). For AAV-2, heparin binding correlates closely with tissue specificity (Asokan et al., 2010; Grimm et al., 2008). In addition, the heparin binding site on AAV-2 can be replaced with peptide ligands to efficiently re-target vectors to desired tissues (Perabo et al., 2006; Shi and Bartlett, 2003; Shi et al., 2006). Similarly, detailed knowledge of receptor interactions by this serotype could increase its therapeutic potential.

We recently determined the crystal structure of AAV-3B (Lerch et al., 2010), a minor variant of AAV-3. The overall capsid structure is similar to that of other AAV serotypes which all have spike-like protrusions surrounding the 3-fold axes. Despite the structural similarity, the electrostatic surface potential of AAV-3B is quite different from that of other serotypes in the region corresponding to the AAV-2 HSPG-binding site. This has functional implications, as HSPG and heparin are negatively charged and typically form ionic interactions with basic regions on the surface of heparan-binding proteins (Conrad, 1998). Two regions near the spikes that are positively charged and unique to AAV-3B (Lerch et al., 2010), could, we hypothesized, facilitate receptor interactions in AAV-3B.

**Abbreviations:** HSPG, heparan sulfate proteoglycan; AAV, adeno-associated virus; SOS, sucrose octasulfate; NCS, non-crystallographic symmetry; WT, wild type; ELISA, enzyme-linked immunosorbent assay; GFP, green fluorescent protein.

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In the current study, interactions between AAV-3B and heparan sulfate analogs were investigated. The location of the receptor binding site was determined from crystallographic data from a complex of AAV-3B and an HSPG analog. AAV-3B capsid mutants were then used to (1) confirm the structural identification of the heparin binding site, (2) correlate heparin and cell binding to positive charge on the capsid surface, and (3) demonstrate the requirement of the heparin binding site for cellular transduction.

## Results

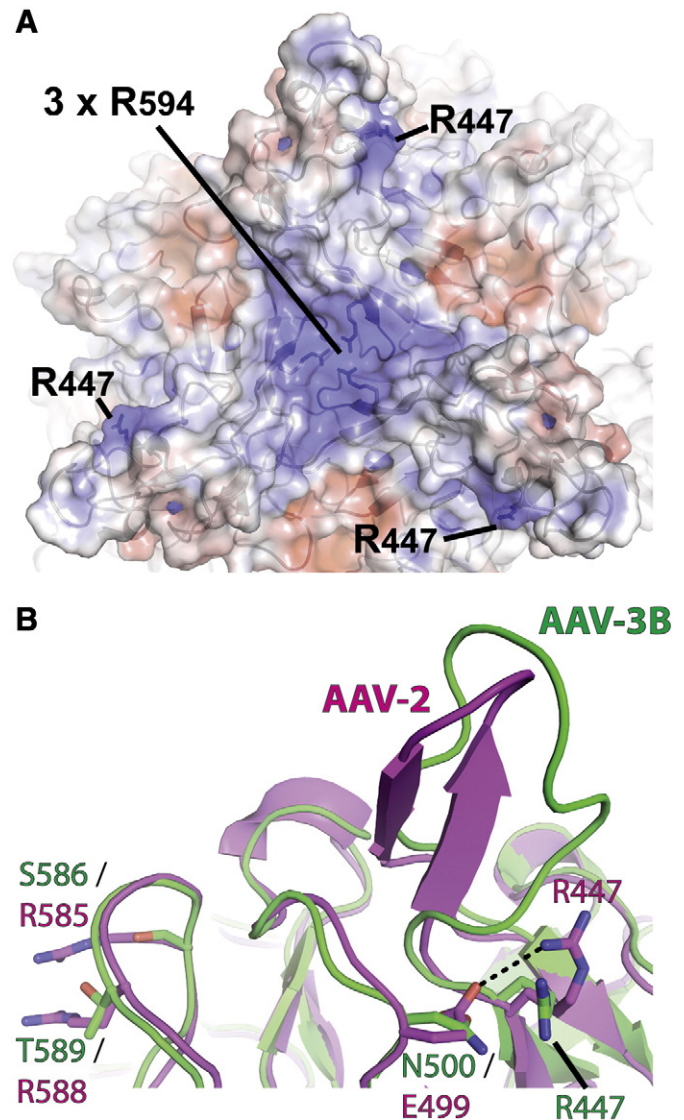
### Prediction of potential heparin binding residues

From the 2.6 Å crystal structure of AAV-3B (Lerch et al., 2010), candidate receptor binding sites were identified. Specifically, the electrostatic surface potential shows positively-charged regions near the 3-fold proximal spikes that are unique to AAV-3B (Fig. 1A). Heparin binding proteins typically interact with their ligands through one or a cluster of basic residues. One of the positively charged regions is centered on Arg<sub>447</sub>. Arg<sub>447</sub> is conserved in several other AAV serotypes, many of which do not bind heparin. In AAV-2, Arg<sub>447</sub> is not involved in heparin interactions. In fact, its charge is neutralized by a salt-bridge with Glu<sub>499</sub> (Fig. 1B). In AAV-3B, Glu<sub>499</sub> is replaced by Asn<sub>500</sub>, so the region is more positively charged. A second positively charged region is centered on Arg<sub>594</sub>, the only surface-exposed basic amino acid in AAV-3B that is not conserved in other serotypes. Three Arg<sub>594</sub> residues from adjacent subunits cluster at the 3-fold icosahedral axis to form the region of strongest positive charge on the AAV-3B surface (Fig. 1A).

### Crystallographic identification of a receptor binding locus on AAV-3B

Diffraction data were collected from AAV-3B crystals grown in the presence of the heparin analog sucrose octasulfate (SOS). SOS has been used previously in structural studies of heparin-binding proteins (Innis and Hyvonen, 2003). The best crystal diffracted X-rays to 6.5 Å resolution and belongs to space group F4<sub>1</sub>32. Resolution of 6.5 Å is not sufficient to build atomic models, but it suffices to identify a ligand-binding site on a structure determined at 2.6 Å resolution, especially with the high quality maps available following 5-fold non-crystallographic symmetry averaging (Badger et al., 1988). The 2.6 Å native AAV-3B structure (Lerch et al., 2010) could be superimposed accurately on the SOS complex map by alignment of their icosahedral symmetry axes with no degrees of freedom (see Materials and methods). In fact, without any atomic refinement (which would be susceptible to over-fitting at 6.5 Å resolution), the native AAV-3B structure yields  $R^{\text{cryst}}/R^{\text{free}}$  of 0.28/0.27 when compared to the diffraction data of the SOS complex (Table 1).

A minimally biased maximum likelihood difference map, averaged according to the 5-fold non-crystallographic symmetry (NCS), was calculated using Fourier coefficients of  $mF_o - DF_c$  and model phases,  $\varphi_c$ , where  $F_o$  and  $F_c$  are the observed and model structure amplitudes,  $m$  is the figure of merit weight, and  $D$  accounts for model errors (Read, 1986). A strong positive peak,  $10\sigma$  above the mean density, signified something present in the crystals of SOS complex, but absent from the atomic model of uncomplexed virus. It was positioned between the spikes above the outer surface of the capsid. The density is centered on a viral 3-fold axis above the cluster of three Arg<sub>594</sub> residues from neighboring capsid subunits (Fig. 2) that form the most positive region on the viral surface (see above). The peak is centered  $\sim 7$  Å from the  $N_{\text{db}}$  atoms of Arg<sub>594</sub> and can accommodate one SOS molecule. Manually modeling with SOS places several sulfate groups within 3–5 Å of Arg<sub>594</sub>, i.e. close enough for an ionic interaction. The density is located on a 3-fold symmetry axis, and therefore represents a mixture of 3 SOS orientations, precluding detailed modeling. Thus, corroborating experimental evidence would be



**Fig. 1.** Identification of potential heparin binding residues on AAV-3B. Two views near the 3-fold proximal spikes of AAV-3B are shown. (A) The spike-like protrusions of AAV-3B viewed down a 3-fold symmetry axis. A ribbon representation of the AAV-3B capsid can be seen beneath the translucent molecular surface, which is colored by electrostatic surface potential (blue = positive; red = negative). Two regions near residues Arg<sub>447</sub> and Arg<sub>594</sub> have strong positive surface charge and were identified as candidate receptor binding sites. (B) Structural overlay of a single spike from AAV-3B (green) and AAV-2 (magenta). Arg<sub>447</sub> is conserved in AAV-2, but forms a salt bridge with Glu<sub>499</sub> (dashed line). Asn<sub>500</sub> is the equivalent residue in AAV-3B and, as a neutral amino acid, does not pair with Arg<sub>447</sub>, leaving a stronger positive surface charge at this site. On the left, differences in the AAV-2 HSPG site are highlighted with Arg<sub>585</sub> and Arg<sub>588</sub> of AAV-2 replaced by Ser<sub>586</sub> and Thr<sub>589</sub> in AAV-3B.

sought (following sections) to characterize independently the functional significance of residues implicated by the low resolution crystallography.

Other features in the difference map were considered. A  $10\sigma$  peak in the difference map near the tip of the spikes is unlikely to be SOS because: (1) it has an elongated shape, (2) modeling with SOS results in clashes with protein atoms, and (3) the contact surface is not positively charged. Locally high B-factors in all AAV structures indicate that the region is among the most disordered (Govindasamy et al., 2006; Lerch et al., 2010; Nam et al., 2007; Ng et al., 2010; Xie et al., 2002). The SOS complex and native AAV-3B crystal forms have distinct packing interactions at this exposed region of the surface that affect some, but not all of the NCS-related subunits, likely

**Table 1**  
Summary of diffraction data processing and refinement statistics.

Parameter	Data processing
Space group	F4 <sub>3</sub> 2
Unit cell dimensions (Å)	a = b = c = 608.58
Resolution range (Å) <sup>a</sup>	80–6.5 (6.61–6.50)
# Observations	370,209
Unique reflections	19,447
Redundancy	19.0 (19.6)
R <sub>merge</sub> (%) <sup>b</sup>	16.9 (53.5)
<I>/<σI>	30.2 (7.5)
Completeness (%)	99.9 (100)
R <sub>cryst</sub> /R <sub>free</sub>	0.278/0.269

<sup>a</sup> Numbers in parentheses are values in highest resolution shell.

<sup>b</sup>  $R_{\text{merge}} = \frac{\sum_{hkl} \sum_i |I_i(hkl) - \langle I(hkl) \rangle|}{\sum_{hkl} \sum_i I_i(hkl)}$ , where  $I_i(hkl)$  is the  $i$ th observation of a symmetry equivalent of reflection  $hkl$ .

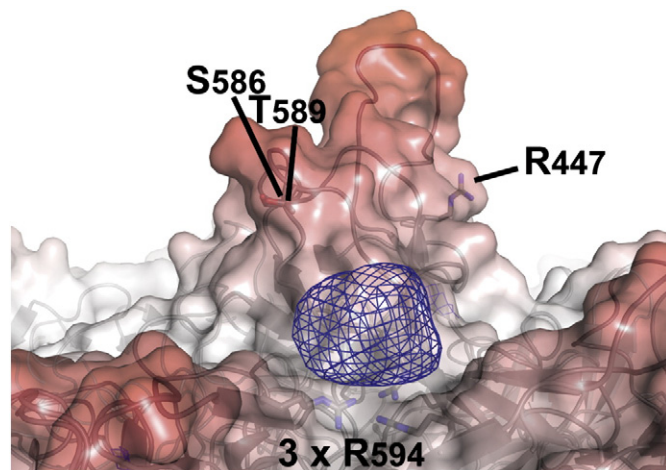
<sup>c</sup> R<sub>free</sub> was calculated with 1953 reflections (10% of data) selected randomly.

resulting in different distributions of conformers that could give rise to difference peaks at this site. The next strongest peak (8σ) is on the inner surface on a 5-fold symmetry axis. With noise proportional to the square root of the local symmetry, the signal/noise of this peak is just 60% of the Arg<sub>594</sub> site (Arnold and Rossmann, 1986). The inner surface is clearly not the site of receptor binding, and this peak was interpreted as the highest level of noise. Thus, only the peak near Arg<sub>594</sub> is likely to be SOS.

#### AAV-3B mutants targeted to disrupt heparin binding

The role of Arg<sub>594</sub> and other positively charged residues near AAV-3B's surface spikes was further investigated by site-directed mutagenesis. To decrease the surface charge on the side of the AAV-3B spike, Arg<sub>447</sub> was substituted with alanine. Separately, Asn<sub>500</sub> was substituted with glutamate in an attempt to mimic the neutralizing salt bridge between Glu<sub>499</sub> and Arg<sub>447</sub> in AAV-2. Targeting the SOS site observed crystallographically, Arg<sub>594</sub> was substituted with either alanine or glutamate. These AAV-3B mutations (R447A, R594A, R594E, and N500E) were anticipated to reduce heparin binding.

Heparin binding measurements of wild-type (WT) AAV-3B and AAV-2 showed that the AAV-3B elutes from a heparin column at ~200 mM lower NaCl than AAV-2 (see Fig. 4A), consistent with prior observations that AAV-3B has a weaker affinity than AAV-2



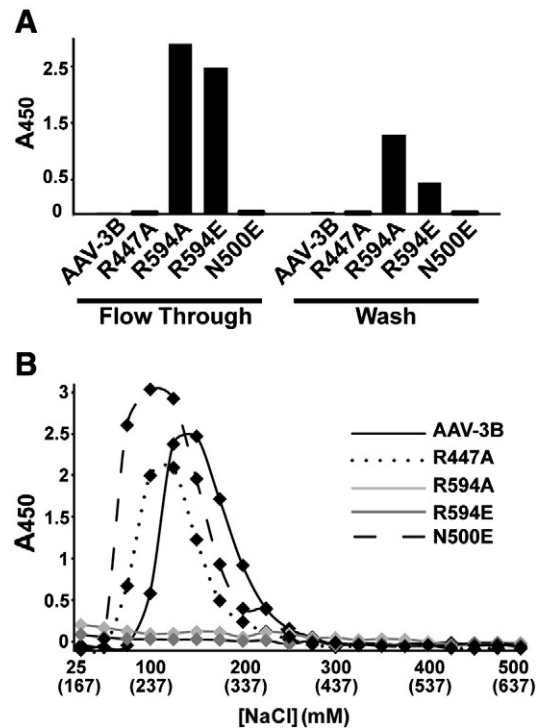
**Fig. 2.** The SOS binding site on AAV-3B. Difference ( $mF_o - DF_c$ ) electron density (blue mesh, contoured at 5σ) indicates SOS bound where it can interact electrostatically with Arg<sub>594</sub> in maps calculated using data from the AAV-3B:SOS co-crystals. The density can accommodate a single SOS molecule bound to the capsid on the 3-fold symmetry axis. A ribbon representation of the 2.6 Å AAV-3B structure (Lerch et al., 2010) is overlaid with a translucent molecular surface.

(Lerch et al., 2010; Rabinowitz et al., 2002). The salt concentration at which heparin binding proteins elute from a heparin column is correlated with their heparin binding affinity (Thompson et al., 1994). Wild-type AAV-3B eluted with the addition of 125–150 mM NaCl (i.e. at 262–287 mM total [NaCl], including the PBS running buffer; Fig. 3B). R447A and N500E mutants showed only slightly decreased affinity, eluting with the addition of 75–125 mM NaCl at a total [NaCl] of 212–262 mM, indicating at most a minor role in heparin binding. WT, R447A and N500E were not found in the flow-through and column wash fractions. By contrast, mutants R594A and R594E were found only in the flow through and column washes (Fig. 3A), indicating that these capsids did not bind to heparin at physiological ionic strength, and signaling an important role for Arg<sub>594</sub> in heparin binding.

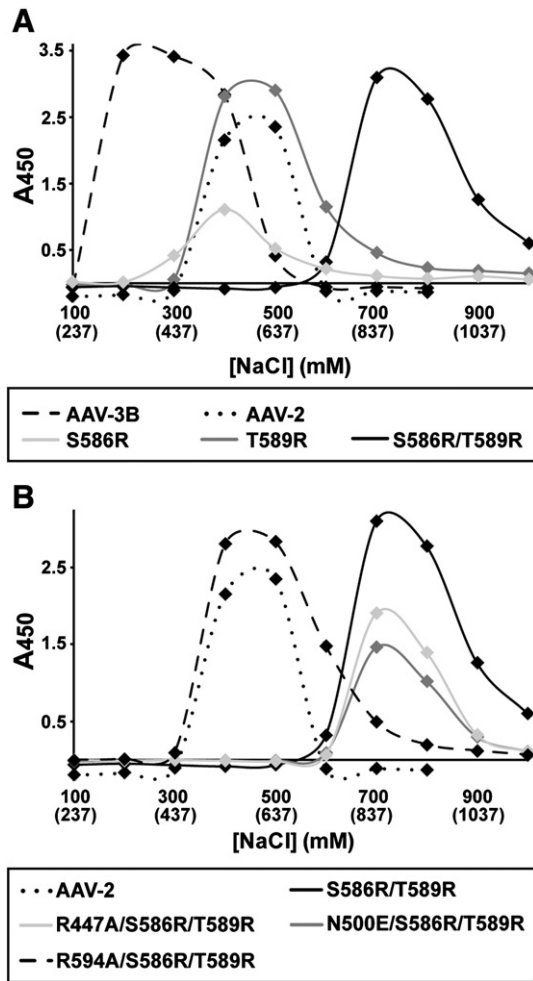
#### Gain of function AAV-3B mutants

To generate capsids with enhanced heparin binding, Arg<sub>585</sub> and Arg<sub>588</sub> (the strongest determinants of heparin binding for AAV2 (Kern et al., 2003; Opie et al., 2003)) were introduced into the equivalent positions in AAV-3B. Mutation at either of the two sites, with S586R or T589R, was sufficient to raise heparin affinity to AAV-2-like levels (Fig. 4A), suggesting that other sites (such as Arg<sub>594</sub>) contribute in AAV-3B. Introduction of both arginines in a double mutant (S586R/T589R) resulted in a strong increase in heparin affinity over AAV-2, the capsids eluting with the addition of ~750 mM NaCl at a total [NaCl] of ~900 mM (Fig. 4).

New constructs were now made with AAV-3B mutations R447A, R594A, and N500E in the S586R/T589R background. R447A and



**Fig. 3.** Heparin-affinity chromatography for AAV-3B mutants designed for diminished binding. Samples were applied to a heparin column, washed, and eluted in PBS with increasing NaCl concentrations. Capsids were assayed by ELISA, using a capsid-specific monoclonal antibody (Grimm et al., 1999). (A) Capsids were detected in the flow-through and 1st wash fraction only for AAV-3B mutants R594A and R594E, while all other capsids retained at least some affinity. (B) Elution profiles. The [NaCl] added is shown, and the total [NaCl], including the 137 mM of the PBS running buffer, is shown in parentheses. AAV-3B bound most tightly, and N500E and R447A eluted in slightly lower NaCl concentrations, suggesting that these residues might play a minor role in heparin binding. R594A or R594E capsid mutants were not detected in any of the elution fractions, indicating that mutation of Arg<sub>594</sub> abrogates heparin binding by AAV-3B.

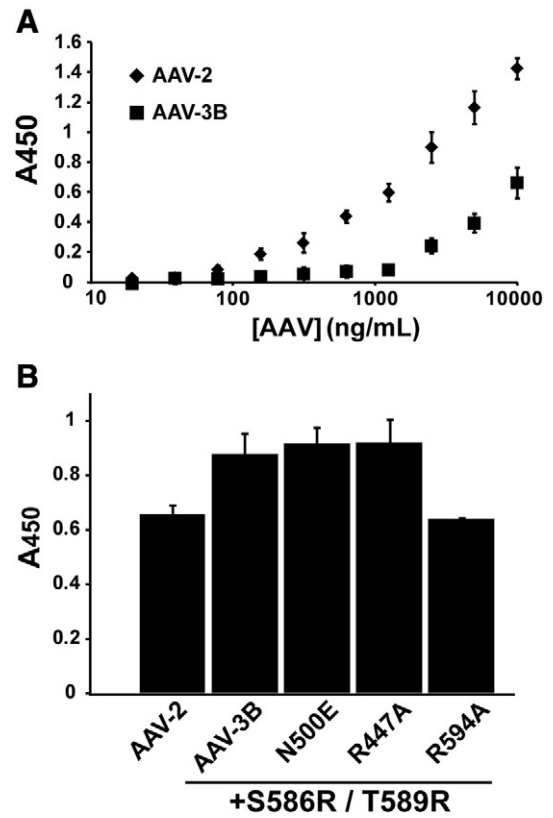


**Fig. 4.** Heparin affinity chromatography of AAV-3B mutants with enhanced binding. The heparin binding residues from AAV-2 (Arg<sub>585</sub> and Arg<sub>588</sub>) were introduced into the equivalent positions in AAV-3B (replacing Ser<sub>586</sub> and Thr<sub>589</sub>). (A) The heparin binding affinity of the single S586R and T589R mutants was increased over that of AAV-3B, and was comparable to that of AAV-2. AAV-3B S586R/T589R shows a striking increase in heparin binding affinity, presumably due to the combination of heparin binding sites from two serotypes. (B) AAV-3B S586R/T589R, N500E/S586R/T589R and R447A/S586R/T589R mutants all bound heparin with high affinity, while R594A/S586R/T589R showed weaker binding, comparable to that of AAV-2.

N500E as triple mutants had affinities that were unchanged relative to the S586R/T589R double mutant (Fig. 4B). The triple mutant, R594A/S586R/T589R, eluted with the addition of 400–600 mM NaCl (total [NaCl]  $\approx$  650 mM), which is indistinguishable from AAV-2. This confirms that the presence of Arg<sub>594</sub> in AAV-3B compensates for the absence of the arginine at 586 and 589 that would be present in AAV-2.

#### Cell binding and transduction

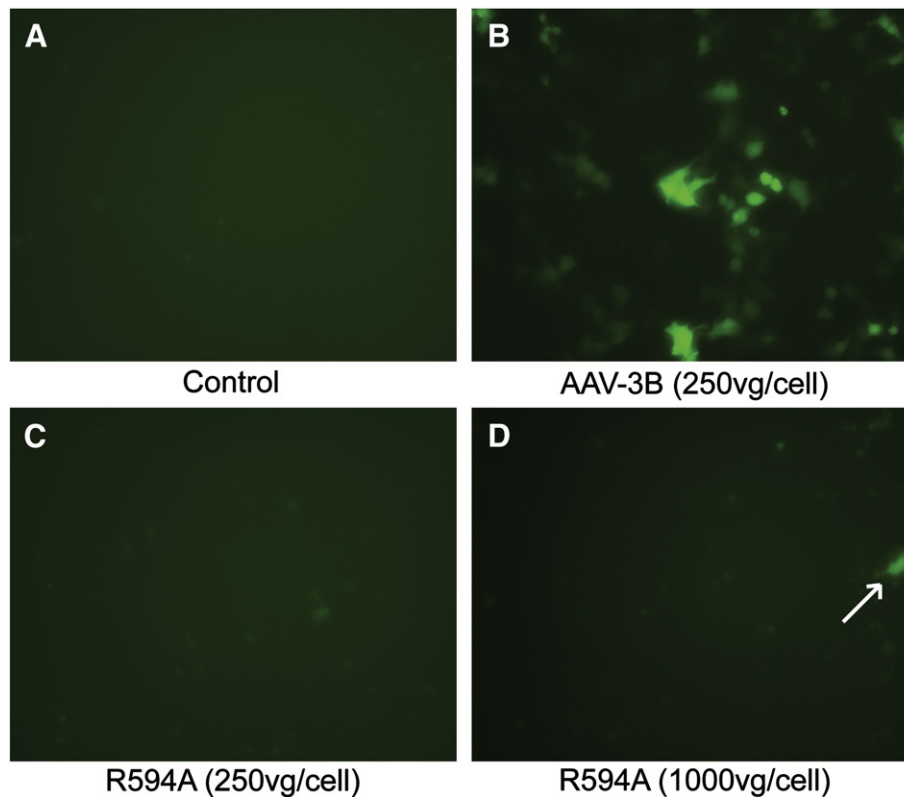
While heparin affinity is often used as a proxy, measurement of cell binding and transduction, where possible, would offer more functionally relevant characterization. Using methods previously established for AAV-2 (Kern et al., 2003), HeLa cell binding was first measured for WT AAV-3B and AAV-2 capsids (Fig. 5A) using a range of virus concentrations from 20 ng/mL to 10  $\mu$ g/mL (or  $3 \times 10^8$  to  $3 \times 10^{11}$  particles/mL). The concentrations of AAV capsids were determined by ELISA, using a monoclonal antibody (A20) specific for assembled AAV-2 or -3B capsids (Grimm et al., 1999). Equivalent amounts of AAV capsids were immobilized on a monolayer of HeLa cells. Capsid particles that remained bound after washing with PBS



**Fig. 5.** Cell binding by AAV-3B mutants. AAV capsids bound to HeLa cells were detected by a cell-based ELISA (Kern et al., 2003). (A) AAV-2 capsids bind cells to a greater extent than WT AAV-3B at similar concentrations. (B) Comparison of cell attachment for the enhanced-affinity AAV-3B mutants. S586R/T589R, N500E/S586R/T589R and R447A/S586R/T589R all bound cells comparably and at higher levels than AAV-2. The R594A/S586R/T589R mutant, however, showed decreased cell binding over the S586R/T589R mutant. As observed for heparin, R594A/S586R/T589R bound cells at levels comparable to AAV-2.

were measured by a cell-based ELISA (Kern et al., 2003). WT AAV-2 exhibited stronger binding than WT AAV-3B (Fig. 5A), consistent with their heparin-binding affinities. Many of the AAV-3B mutants were available only at concentrations less than the detection limit for this assay. However, mutants prepared in the high affinity S586R/T589R background were amenable to measurement. S586R/T589R exhibited stronger cell binding than WT AAV-3B (Fig. 5B). Triple mutants R447A/S586R/T589R and N500E/S586R/T589R bound cells comparably to the S586R/T589R double mutant. R594A/S586R/T589R bound cells less efficiently than the S586R/T589R mutant, and was comparable to that of AAV-2. All of these cell-binding results are consistent with the measured heparin affinities and indicate that Arg<sub>594</sub> is a key contributor to cell binding in AAV-3B.

Finally, to establish the role of Arg<sub>594</sub> in the infectious pathway of AAV-3B, transduction assays were performed using WT and R594A AAV-3B vectors, which package a GFP reporter gene. After 48 h, HeLa cells transduced with 250 rAAV-3B vector genome-containing particles (vg) per cell showed strong expression of GFP (Fig. 6B), with a transduction efficiency of  $3\text{--}4 \times 10^3$  vector genome-containing particles per transduction event. In contrast, transduction with R594A rAAV vectors at 250 vg/cell resulted in no GFP expression (Fig. 6C). At 4-fold higher titer (1000 vg/cell), faint GFP expression was observed in a small number of cells transduced with R594A vectors (Fig. 6D), but the transduction efficiency was  $100\times$  lower than wild type at  $\sim 5 \times 10^5$  vg/transduction. Thus, low levels of cell entry are measurable even with the R594A mutation, either due to residual binding or use of an alternative entry pathway (Opie et al., 2003). However, sharply reduced transduction efficiency confirms a



**Fig. 6.** Arg<sub>594</sub> is a key determinant of AAV-3B transduction. HeLa cells (shown at 200× magnification) were treated with WT or R594A AAV-3B vectors carrying a GFP gene. GFP expression was not observed in control cells (A), whereas strong expression was observed in cells transduced with AAV-3B vectors (B). AAV-3B R594A vectors did not transduce cells at 250 vg/cell (C), but GFP expression was observed in a few cells after treatment with 1000 vg/cell (D, arrow).

role for Arg<sub>594</sub> in cell entry and shows that disruption of the heparin binding site on AAV-3B results in a decrease in transduction by two orders of magnitude.

## Discussion

A combination of structural and biochemical approaches has been used to identify a major heparin binding determinant on the surface of AAV-3B. An absence of negative density in the difference map of magnitude comparable to the positive SOS peak indicates that large conformational changes in the capsid are *not* induced by SOS. Similarly, there was no evidence of heparin-induced conformational changes in 8 Å cryo-EM structure of the heparin-AAV2 complex (O'Donnell et al., 2009). Both studies stand in stark contrast to the inference of large induced changes from subtle differences in maps of AAV-2 at much lower (18 Å) resolution (Levy et al., 2009). Unlike the higher resolution studies, the 18 Å study had to rely on map features commensurate with experimental noise, a risky endeavor. However, if the proposed triggering mechanism were a reality, one would expect it to be conserved across AAV serotypes. The finding here that the principal determinants of heparin binding in AAV-2 and AAV-3B are ~20 Å apart makes the proposed triggering mechanism even less plausible.

In the case of AAV-2, Arg<sub>585</sub> and Arg<sub>588</sub> are core to receptor interactions, but additional neighboring residues contribute to heparin binding, as evidenced by less tightly bound heparin polymer that can be seen at lower contour levels in the AAV-2 complex structure (O'Donnell et al., 2009). Several of these residues are also conserved in AAV-3B (including Arg<sub>475</sub>, Arg<sub>485</sub>, Arg<sub>488</sub>, and Lys<sub>533</sub>). The current study uses a disaccharide heparin analog and therefore reveals only the site of tightest binding. It is quite plausible that a polymer could extend from this site to interact with the conserved basic amino acids that are part of the AAV-2 binding site. However, our binding

studies show that the cluster at Arg<sub>594</sub> can account for most of the observed binding under physiological salt concentrations, and that other residues play a more minor role. What is clear is that the core residues for AAV-2 and AAV-3B are distinct. In AAV-3B, they are at the mid-point between three adjacent spikes, while in AAV-2 they occur on the side of each spike.

The gain-of-function mutants in AAV-3B have some interesting implications. The S586R/T589R mutant combines the heparin binding determinants of AAV-2 with those of AAV-3B, creating a chimeric capsid with a broad receptor binding site and heparin binding affinity that is significantly greater than any naturally occurring AAV serotype. The apparent absence of such a “super-binding” variant in nature suggests that selective pressure is not unimodally in the direction of stronger receptor-binding. One might speculate that overly tight binding might be a selective disadvantage *in vivo* if the virus becomes non-productively sequestered in, for example, heparanoid-rich connective tissue.

While the AAV-2 and AAV-3B heparin-binding sites can be contrasted at a detailed level, there are fundamental similarities in binding at sites in the vicinity of the three-fold spikes. Proximity to the spikes could be providing partial protection of the binding site from immune surveillance (Rossmann, 1989). Alternatively, generally similar locations might, conceivably, result from a need to be near a site important for a later step in cell entry or trafficking. It is intriguing that within the constrained proximity to the spikes, the serotypes have selected different positively charged amino acids to anchor their core receptor interactions. One possible rationalization is that these sites remain under at least some immune surveillance, and that there has continued to be selective pressure to change residues within an essential binding site where antibody binding might have strong neutralizing potential.

The identification of the receptor binding site on AAV-3B provides new potential for its use as a therapeutic vector. Improved gene

therapy vectors based on other AAV serotypes have been engineered rationally, using insights from AAV structures and receptor binding properties (Asokan et al., 2010; Shi and Bartlett, 2003; Shi et al., 2006). Through its heparin binding site, it has been demonstrated that the AAV-3B capsid can be modified to either to enhance or reduce receptor binding, providing a novel template on which next generation gene therapy vectors can be designed.

## Materials and methods

### Cell culture

HeLa cells were maintained in Joklik's Modified Essential Medium (JMEM, Sigma-Aldrich) at 37 °C in 5% CO<sub>2</sub>. Medium was supplemented with 10% Cosmic Calf serum (HyClone, Inc.), 10 mg/L gentamicin, and an antimicrobial cocktail containing 10 µg/mL penicillin, 50 mg/L streptomycin, and 25 mg/L amphotericin (Gibco, Inc.). SF9 cells were maintained in SF900-II medium (Invitrogen) in suspension at a cell density of 0.5–2.0 × 10<sup>6</sup> cells/mL.

### Plasmids and mutagenesis

Mutations were introduced into the AAV-3B capsid gene in the pRepCap3B plasmid (Rutledge et al., 1998) using the QuikChange kit (Stratagene) according to the manufacturer's protocol. pRepCap3B contains the Rep and Cap genes of AAV-3B, but lacks the inverted terminal repeats necessary for genome packaging.

AAV-3B virus-like particles (VLPs) produced in SF9 cells were used as an ELISA standard. The AAV-3B capsid sequence was amplified from pRepCap3B, introducing 5' BglII and 3' XbaI restriction sites. Additional mutations to the 5' end of the capsid gene were introduced using the forward amplification primer to improve the capsid protein ratio in VLPs, as described (Urabe et al., 2002). The insert was digested with BglII and XbaI (New England Biolabs) and sub-cloned into the backbone of the pFBDVpM11 plasmid (obtained from Robert Kotin and as previously described (Urabe et al., 2002)), digested with BamHI and XbaI. The sequences of all plasmids used were confirmed by sequencing at the DNA Sequence Analysis Shared Resource in the Molecular Microbiology and Immunology Department at OHSU.

### Preparation of AAV

Production of infectious AAV-2 and AAV-3B was performed as previously described (Lerch et al., 2009; Xie et al., 2004). Production of AAV-3B VLPs from SF9 cells followed methods used for production of AAV-2 (Urabe et al., 2002) using the Bac-to-Bac Baculovirus Expression Vector System (Invitrogen). VLPs were harvested from SF9 cells by three rounds of freeze-thawing and purified by three consecutive CsCl gradients, as described for infectious AAV purification (Xie et al., 2004).

For transfection of the pRepCap3B plasmids containing capsid mutations, HeLa cells were plated at half confluence in 30 mL JMEM with 10% FCS per T-75 flask 1 day prior to transfection. 30 µg of each pRepCap3B plasmid per T-75 flask was transfected with 75 µL Lipofectamine 2000 in OptiMEM medium (Invitrogen) according to the manufacturer's protocol. Six hours after transfection, medium was replaced and adenovirus type 2 (Ad-2) at an MOI of 1 was added to the cells to provide helper functions in AAV gene expression. 72 h post-transfection, cells were harvested by scraping and pelleted by centrifugation at 112,400 × g (25,000 rpm) for 2 h using a Beckman SW28 ultracentrifuge rotor. Cell pellets were suspended in 1 mL PBS and subjected to 3 rounds of freeze-thawing to lyse the cells. Cell debris was clarified by centrifugation at 5000 × g for 10 min, and clarified lysates containing AAV-3B and mutant capsids were stored at –20 °C. Wild-type and mutant AAV-3B capsid expression was analyzed by

Western blot, probing with a monoclonal antibody (MAb) specific for denatured capsids (B1, American Research Products, Inc.), and the appropriate ratio of capsid proteins was confirmed. Proper assembly of capsids was confirmed by dot blot, probed with MAb A20, which is specific for intact capsids (Wobus et al., 2000).

Transducing vectors based on WT and the R594A mutant AAV-3B capsid were prepared in the Vollum Viral Core at OHSU using the triple transfection method described previously (Ayuso et al., 2010). Vectors containing a GFP reporter gene (driven by a CMV promoter and flanked by AAV-2 inverted terminal repeats) were purified from the cell medium by PEG precipitation, followed by a single centrifugation gradient in CsCl. Vectors were exchanged into PBS in micro-concentrators (Amicon) and titers (vector genomes/mL (vg/mL)) were determined by quantitative PCR.

### Crystallization and data collection

Crystals of infectious AAV-3B in complex with the heparin analog sucrose octasulfate (SOS) were grown by the hanging-drop vapor diffusion method at room temperature. 2 µL of purified infectious AAV-3B (concentrated to 6 mg/mL in 100 mM HEPES, 50 mM MgCl<sub>2</sub>, pH7.4) was mixed with 2 µL of a well solution containing 1.3% PEG 6000, 225 mM NaCl and 1 mM SOS. Crystals grew to ~300 µm in 3–4 weeks. Crystals were harvested by adding a harvest buffer (5% PEG 6000, 200 mM NaCl, 100 mM HEPES pH7.5, 50 mM MgCl<sub>2</sub>, 1 mM SOS) to the crystallization drop and subsequently cryo-protected by soaking for 1–5 min in harvest buffer that contained 10% PEG 6000 (total concentration) and 30% glycerol prior to flash-freezing in liquid nitrogen.

Diffraction data were collected at the BioCARS 14-BM-C beamline at the Advanced Photon Source, Argonne, IL. Crystals were exposed to 0.979 Å (12,668 eV) synchrotron radiation for 15 s with 0.5° oscillations. To obtain adequate diffraction spot separation, the detector was positioned 600 mm from the crystal, with a beam stop 100 mm from the crystal and the X-ray source was focused 400 mm from the sample. Reflection intensities were indexed, integrated and scaled using HKL 2000 (Otwinowski and Minor, 1997).

Diffraction data to 6.5 Å resolution were collected from the best crystal. 187 frames were obtained, and the data were 99.9% complete with 19-fold redundancy. Despite strong reflections ( $\langle I \rangle / \langle \sigma I \rangle = 7.5$ ) in the highest resolution shell (6.61–6.5 Å), the intensities of reflections at higher resolution decreased sharply, preventing their use in structure determination. The data were processed in the space group F4<sub>1</sub>32 (which is different from the native AAV3B crystals) with an R<sub>merge</sub> of 16.9%. The 2.6 Å structure of AAV-3B (PDB ID 3KIC; (Lerch et al., 2010)) was used to determine the position and orientation of the virus in the unit cell. A pentamer of viral subunits (1/12th of the capsid) is contained in the asymmetric unit. The position and orientation of the virus are fixed, as the 32 crystallographic and icosahedral symmetry axes are co-incident. Thus, the virus particle, centered at the origin of the unit cell, was positioned by aligning one 3-fold and two 2-fold icosahedral symmetry axes with those of the unit cell. Bulk solvent scaling was performed in Phenix (Adams et al., 2002), and electron density map averaging was performed using Rave (Kleywegt et al., 2004).

### Capsid titer

The concentration of AAV capsids in clarified HeLa cell lysates was determined by sandwich ELISA (Grimm et al., 1999). Capsid ELISAs were performed as described, except that 0.5% BSA (Jackson ImmunoResearch) was used as a blocking agent, and streptavidin-conjugated horse radish peroxidase (R&D Systems, Inc) and 1-Step Ultra TMB-ELISA Substrate (Pierce) were used in development. AAV-3B VLPs purified from SF9 cells were used as a standard for concentration determination.

## Heparin binding

Heparin binding was analyzed by affinity chromatography using a 1 mL HiTrap Heparin HP column (GE Healthcare). Purified infectious AAV-2 or AAV-3B or clarified HeLa cell lysates containing 200–500 ng AAV mutant capsids were loaded onto the column after first washing with 5 M NaCl and equilibrating with 10 column volumes (CV) of PBS. Unbound capsids were washed from the column with 5 CV PBS, collected over 3 fractions. Capsids were eluted from the column in 1 CV steps using PBS supplemented with additional NaCl. For capsid mutants with greater affinity than WT AAV-3B, 100 mM NaCl increments were used (PBS + 0.1–1.0 M NaCl). For mutants that bound to the column more weakly than WT AAV-3B, a fine step-gradient of 25 mM NaCl increments was used (PBS + 25–500 mM NaCl). Flow-through (FT), wash, and elution fractions were analyzed by an AAV capsid ELISA (Grimm et al., 1999).

## Cell binding and transduction

Cell binding assays followed methods previously used for AAV-2 (Kern et al., 2003). HeLa cells were plated in 96-well culture plates (BD Falcon) at half-confluence 1 day before cell binding was measured. AAV capsids were diluted in ice-cold PBS and incubated with cells that had also been cooled to 4 °C. Infectious AAV-3B and AAV-2 were measured in 2-fold serial dilutions from 10 µg/mL–20 ng/mL, and AAV-3B mutant capsids were compared at 150–300 ng/mL. Incubation of capsids on cells was carried out at 4 °C for 1 h, and cells were washed twice with chilled PBS. Cells were fixed in methanol for 20 min at –20 °C and washed in PBS + 0.05% Tween-20. Bound capsids were detected with the AAV capsid ELISA procedure described above (Grimm et al., 1999).

For cell transduction assays, HeLa cells were plated in 48-well culture plates (BD Falcon) at half-confluence 1 day before transduction. AAV-3B vectors were diluted in Dulbecco's Modified Eagle Medium (DMEM), supplemented with 2% FBS, and added to cells at 100–1000 vg/cell. 48 h after addition of vectors, cells were analyzed for GFP expression. At least 6 independent fields of cells expressing GFP were counted, and transduction efficiencies (calculated as the number of vector particles required for one transduction event) were determined by correcting for the number of genome-containing particles and total number of cells.

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