

Determination of Two Vectors from the Sum

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ABSTRACT

Let S_m be the set of all vectors of dimension m with all components 0 or 1. Let $\varphi(m)$ be the maximum of $|A + B|$ for pairs A, B of subsets of S_m such that the sums $\mathbf{a} + \mathbf{b}$ are different for different pairs (\mathbf{a}, \mathbf{b}) , $\mathbf{a} \in A, \mathbf{b} \in B$. Let $\lambda(m)$ be the maximum of $|A|$, $A \subset S_m$, such that the sums $\mathbf{a}_1 + \mathbf{a}_2$ are different for different subsets $\{\mathbf{a}_1, \mathbf{a}_2\}$ in A . Let $\nu(m)$ be the maximum of $|A|$, $A \subset S_m$, for A such that the sums $\mathbf{a}_1 + \mathbf{a}_2$ are different modulo 2 for different subsets $\{\mathbf{a}_1, \mathbf{a}_2\}$ in A , $\mathbf{a}_1 \neq \mathbf{a}_2$. The problem is to estimate $\varphi(m)^{1/m}$, $\lambda(m)^{1/m}$ and $\nu(m)^{1/m}$ as $m \rightarrow \infty$.

1. INTRODUCTION AND STATEMENT OF RESULTS

Let S_m be the set of all m -dimensional vectors with all components 0 or 1. For any two subsets A and B of S_m define $A + B$ as the set of all sums $\mathbf{a} + \mathbf{b}$, where $\mathbf{a} \in A$ and $\mathbf{b} \in B$. If X is a finite set let $|X|$ be the number of elements in X .

We shall consider pairs A, B of subsets of S_m such that $|A + B| = |A| |B|$, i.e., such that the sums $\mathbf{a} + \mathbf{b}$ are distinct for distinct pairs (\mathbf{a}, \mathbf{b}) with $\mathbf{a} \in A$ and $\mathbf{b} \in B$. Let $\varphi(m)$ be the maximum of $|A + B|$ for pairs A, B of subsets of S_m with this property. We shall prove the estimates

THEOREM 1.

$$6^{1/2} \leq \lim_{m \rightarrow \infty} \varphi(m)^{1/m} \leq 8^{1/2}.$$

The upper bound will be proved with the aid of information theory. A similar method is used by Katona in [3, see p. 190] and by the author in [5].

Let $\nu(m)$ denote the maximum of $|A|$ for subsets A of S_m such that $\mathbf{a}_1 + \mathbf{a}_2 \equiv \mathbf{a}_3 + \mathbf{a}_4 \pmod{2}$ for $\mathbf{a}_1 \neq \mathbf{a}_2, \mathbf{a}_3 \neq \mathbf{a}_4$ in A implies $\{\mathbf{a}_1, \mathbf{a}_2\} = \{\mathbf{a}_3, \mathbf{a}_4\}$. We shall prove

THEOREM 2.

$$\lim_{m \rightarrow \infty} \nu(m)^{1/m} = 2^{1/2}.$$

Let $\lambda(m)$ be the maximum of $|A|$ for subsets A of S_m such that $\mathbf{a}_1 + \mathbf{a}_2 = \mathbf{a}_3 + \mathbf{a}_4$ implies $\{\mathbf{a}_1, \mathbf{a}_2\} = \{\mathbf{a}_3, \mathbf{a}_4\}$ when $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$ and \mathbf{a}_4 are elements in A . We shall prove the estimates

THEOREM 3.

$$2^{1/2} \leq \underline{\lim}_{m \rightarrow \infty} \lambda(m)^{1/m}, \quad \overline{\lim}_{m \rightarrow \infty} \lambda(m)^{1/m} \leq 2^{2/3}.$$

The problem to determine $\lambda(m)$ is analogous to a problem in additive number theory for B_2 -sequences (see [2, p. 85]). The lower bound in Theorem 3 can be deduced from a theorem for B_2 -sequences by Bose (Theorem 2, p. 81, in [2]). Since $\lambda(m) \geq \nu(m)$ the lower bound is a consequence of Theorem 2 above.

The upper bound in Theorem 3 will be proved with a combinatorial argument related to a problem by Zarankiewicz [1]. With the aid of information theory I can now prove

$$\overline{\lim}_{m \rightarrow \infty} \lambda(m)^{1/m} \leq 2^{3/5} \text{ (added in proof).}$$

We shall not examine generalizations to sums with more than two terms. I shall only mention one result of this kind. Let A be a subset of S_m such that distinct subsets of A have distinct sums. Then if $F(m)$ is the maximum of $|A|$, we have asymptotically (with the aid of Theorem 1 and (1.8) in [6])

$$F(m) \sim \frac{1}{2} m \log_2 m, \quad \text{as } m \rightarrow \infty.$$

2. PROOF OF THEOREM 1

First I prove the existence of $\lim_{m \rightarrow \infty} \varphi(m)^{1/m}$. Let m_1 and m_2 be positive integers and assume $|A_i + B_i| = |A_i| |B_i| = \varphi(m_i)$ for two pairs of subsets A_i, B_i of S_{m_i} ($i = 1, 2$). The direct products $A_1 \times A_2$ and $B_1 \times B_2$ are subsets of $S_{m_1+m_2}$. If $\mathbf{a} = (\mathbf{a}_1, \mathbf{a}_2) \in A_1 \times A_2$ and $\mathbf{b} = (\mathbf{b}_1, \mathbf{b}_2) \in B_1 \times B_2$ then $\mathbf{a} + \mathbf{b} = (\mathbf{a}_1 + \mathbf{b}_1, \mathbf{a}_2 + \mathbf{b}_2)$ determines $\{\mathbf{a}_i, \mathbf{b}_i\}$ ($i = 1, 2$) uniquely and then $\{\mathbf{a}, \mathbf{b}\}$ is determined by the sum $\mathbf{a} + \mathbf{b}$. It follows

$$\varphi(m_1 + m_2) \geq \varphi(m_1) \varphi(m_2), \quad m_1, m_2 \geq 1. \quad (2.1)$$

If $\mathbf{a}, \mathbf{b} \in S_m$ all components of $\mathbf{a} + \mathbf{b}$ are 0, 1, or 2. Then we easily obtain the inequality $\varphi(m) \leq 3^m$. If we write $a_m = \log 3^m - \log \varphi(m)$ (natural logarithms), then $a_m \geq 0$ and $a_{m+n} \leq a_m + a_n$ for $m, n \geq 1$ by

(2.1). We then conclude that $\lim_{m \rightarrow \infty} a_m/m$ exists (see [7, p. 17, Problem 98]) and the existence of $\lim_{m \rightarrow \infty} \varphi(m)^{1/m}$ follows easily.

Let $A = \{(0, 0), (1, 1)\}$ and $B = \{(0, 0), (0, 1), (1, 0)\}$. Then $|A + B| = |A| |B|$ and we have $\varphi(2) \geq 6$ (one easily proves $\varphi(2) = 6$, but we shall not need this). With the aid of (2.1) we then obtain $\varphi(2k) \geq 6^k$ and the lower bound in Theorem 1 follows.

The upper bound will be proved with the aid of some information theory (see, e.g., [4]).

Assume $|A + B| = |A| |B| = N$ for two subsets A and B of S_m . In the direct product space $A \times B$ we give the probability N^{-1} to each point and get a sample space U with the entropy $H(U) = \log N$ (natural logarithms throughout).

The i -th component of $\mathbf{a} + \mathbf{b}$, where $(\mathbf{a}, \mathbf{b}) \in A \times B$, is a random variable y_i , $i = 1, \dots, m$. Since $|A + B| = |A| |B|$ there is a one-one correspondence between U and the joint distribution space for the sequence y_1, \dots, y_m . By a well-known inequality [4, p. 36], we have

$$\log N = H(U) = H(y_1, \dots, y_m) \leq H(y_1) + \dots + H(y_m). \quad (2.2)$$

Next we shall prove

$$H(y_i) \leq (3/2) \log 2. \quad (2.3)$$

Let x and y be the frequencies of 0's in the i -th component of the vectors in A and B , respectively. The random variable y_i takes the values 0, 1, and 2 with the probabilities

$$\Pr(y_i = 0) = xy, \quad \Pr(y_i = 1) = x + y - 2xy, \quad \Pr(y_i = 2) = (1 - x)(1 - y).$$

We introduce the new variables u and v defined by

$$u = xy, \quad v = (1 - x)(1 - y). \quad (2.4)$$

The region in the $x - y$ plane defined by $0 \leq x \leq 1$, $0 \leq y \leq 1$ is mapped onto a region in the $u - v$ plane defined by the inequalities

$$u \geq 0, \quad v \geq 0, \quad u^{1/2} + v^{1/2} \leq 1. \quad (2.5)$$

The last inequality in (2.5) follows easily from (2.4) with the aid of the geometric-arithmetic inequality. Conversely, if (2.5) holds one can determine x and y such that $0 \leq x \leq 1$, $0 \leq y \leq 1$ and (2.4) holds.

The entropy of y_i is (put $0 \log 0 = 0$)

$$H(y_i) = -u \log u - v \log v - (1 - u - v) \log(1 - u - v) = f(u, v). \quad (2.6)$$

We shall determine the maximum of $f(u, v)$ in the region defined by (2.5). This maximum is attained on the boundary of the region. For if $f'_u(u, v) = f'_v(u, v) = 0$ then $u = v = 1/3$, which violates the last inequality in (2.5).

If $u = 0$ or $v = 0$ we easily obtain $f(u, v) \leq \log 2$. If $u^{1/2} + v^{1/2} = 1$ put $u^{1/2} = t$ and define $g(t)$ for $0 \leq t \leq 1$ by

$$g(t) = f(t^2, (1 - t)^2) = -2t \log t - 2(1 - t) \log(1 - t) - 2t(1 - t) \log 2.$$

Since

$$g(0) = g(1) = 0, \quad g\left(\frac{1}{2}\right) = 0, \quad \text{and} \quad g''(t) = 4 \log 2 - \frac{2}{x(1-x)} < 0,$$

for $0 < t < 1$, it follows that the maximum of $g(t)$ is attained for $t = \frac{1}{2}$, which gives $g\left(\frac{1}{2}\right) = \left(\frac{3}{2}\right) \log 2$. Hence the maximum of $f(u, v)$ in the region defined by (2.5) is $\left(\frac{3}{2}\right) \log 2$, i.e. (2.3).

From (2.2) and (2.3) it follows that $N \leq 2^{3m/2}$ and, since

$$N = |A + B| = |A| |B|,$$

we have the upper bound of Theorem 1.

3. PROOF OF THEOREMS 2 AND 3

Let A be a subset of S_m such that $\mathbf{a}_1 + \mathbf{a}_2 \equiv \mathbf{a}_3 + \mathbf{a}_4 \pmod{2}$ implies $\{\mathbf{a}_1, \mathbf{a}_2\} = \{\mathbf{a}_3, \mathbf{a}_4\}$ for $\mathbf{a}_1 \neq \mathbf{a}_2, \mathbf{a}_3 \neq \mathbf{a}_4$ in A . If $|A| = n$ it follows easily $\frac{1}{2}n(n-1) \leq 2^m$ and

$$\overline{\lim}_{m \rightarrow \infty} \nu(m)^{1/m} \leq 2^{1/2}. \tag{3.1}$$

Next we shall prove

$$\nu(2r) \geq 2^r, \quad r \geq 1. \tag{3.2}$$

Let A be the set of all vectors (x, x^3) with $x \in GF(2^r)$. If $(x, x^3) + (y, y^3) = (u, v)$ for two elements $x \neq y$ in $GF(2^r)$, we easily obtain $x + y = u \neq 0$ and $xy = (v/u) - u^2$. Since an equation of the second degree cannot have more than two roots in the field, we find that $\{x, y\}$ is uniquely determined by (u, v) . The elements in $GF(2^r)$ are vectors of dimension r with components 0 and 1, which are added modulo 2. Hence A is a set of vectors of dimension $2r$ with components 0 and 1, which are added modulo 2. A has the required property and (3.2) follows.

Since $\nu(m)$ is non-decreasing, we have

$$\lim_{m \rightarrow \infty} \nu(m)^{1/m} \geq 2^{1/2}.$$

If we take (3.1) into account, Theorem 2 follows. Since $\lambda(m) \geq \nu(m)$, we also obtain the lower estimate in Theorem 3.

The upper estimate in Theorem 3 will follow from

$$\lambda(3r) < 2^{2r+1}, \quad r \geq 1. \tag{3.3}$$

But first we shall prove the lemma

LEMMA. *Let M_1, \dots, M_k be subsets of S with $|S| = h$ and $|M_i \cap M_j| \leq 1$ for $i \neq j$. Then it follows*

$$n \leq h + \frac{1}{2}k(k - 1), \quad \text{where } n = \sum_{i=1}^k |M_i|. \tag{3.4}$$

PROOF:

$$h \geq \left| \bigcup_{i=1}^k M_i \right| \geq n - \sum_{1 \leq i < j \leq k} |M_i \cap M_j| \geq n - \frac{1}{2}k(k - 1).$$

The second inequality follows from $|M \cup N| = |M| + |N| - |M \cap N|$ by induction over k , the number of sets.

This result and generalizations can be found in [1].

Now we shall prove (3.3). For $m = 3r$, $r \geq 1$, let A be a subset of S_m with $|A| = \lambda(3r)$ and such that any set $\{a, a'\}$ of elements in A is uniquely determined by $a + a'$. We split each $a \in A$ in two vectors b and c , where b consists of the first r components of a and c consists of the last $2r$ components of a . Write $a = (b, c)$. Let b_1, b_2, \dots, b_k be an enumeration of all distinct b 's which occur in the a 's of A . Let M_i be the set of all c 's such that $(b_i, c) \in A$.

We shall prove that $|M_i \cap M_j| \leq 1$ if $i \neq j$. Assume $c, c' \in M_i \cap M_j$. Then $(b_i, c), (b_i, c'), (b_j, c)$, and (b_j, c') are vectors in A and

$$(b_i, c) + (b_j, c') = (b_i, c') + (b_j, c).$$

This is possible only if $c = c'$, for $a + a'$ determines $\{a, a'\}$ uniquely in A . Hence $|M_i \cap M_j| \leq 1$ if $i \neq j$.

Each M_i is a subset of S_{2r} . The number of elements in S_{2r} is $h = 2^{2r}$. The number of elements in A is $n = |M_1| + \dots + |M_k|$, and k is at most 2^r . With the aid of the lemma we have $\lambda(3r) = n < 2^{2r+1}$, i.e., (3.3).

The upper estimate in Theorem 3 follows from (3.3) since $\lambda(m)$ is non-decreasing.

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