



# The representation type of Ariki–Koike algebras and cyclotomic $q$ -Schur algebras

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## Abstract

We give a necessary and sufficient condition on parameters for Ariki–Koike algebras (resp. cyclotomic  $q$ -Schur algebras) to be of finite representation type.

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*Keywords:* Representation type; Cyclotomic  $q$ -Schur algebra; Ariki–Koike algebra; Hecke algebra

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## 0. Introduction

Let  $F$  be an algebraically closed field, and  $\mathcal{A}$  be a finite dimensional associative algebra over  $F$ . We say that  $\mathcal{A}$  is of finite representation type (simply, finite type) if there are only a finite number of isomorphism classes of indecomposable  $\mathcal{A}$ -modules, and that  $\mathcal{A}$  is of infinite representation type (infinite type) otherwise. Moreover, the infinite representation type has two classes, namely, tame type and wild type.  $\mathcal{A}$  is of tame type if indecomposable modules in each dimension come in one parameter families with finitely many exceptions.  $\mathcal{A}$  is of wild type if its module category is comparable with that of the free algebra in two variables. For precise definitions, see [10] or [5]. By Drozd's theorem, it is known that any finite dimensional algebra has finite type, tame type or wild type.

We consider the representation type of the Ariki–Koike algebra  $\mathcal{H}_{n,r} = \mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  over  $F$  with parameters  $q, Q_1, \dots, Q_r \in F$  and of the cyclotomic  $q$ -Schur algebra

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$\mathcal{S}_{n,r} = \mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  associated to  $\mathcal{H}_{n,r}$ . In the case where  $r = 1$ ,  $\mathcal{S}_{n,1}$  is the  $q$ -Schur algebra, and the representation type of  $\mathcal{S}_{n,1}$  has been determined by Erdmann and Nakano [13]. On the other hand, the representation type of Hecke algebras of classical type has been determined by Uno [23], Erdmann and Nakano [12], Ariki and Mathas [4] and Ariki [3]. In this paper, we will give a necessary and sufficient condition (here, we denote this condition by (CF)) for  $\mathcal{H}_{n,r}$  and  $\mathcal{S}_{n,r}$  to be of finite type (Theorem 3.13).

Suppose that  $\mathcal{S}_{n,r} = \mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  satisfies the condition (CF). In order to show the finiteness, we will see that any block of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  ( $r \geq 3$ ) is Morita equivalent to a certain block of  $\mathcal{S}_{n',2}(q, Q_i, Q_j)$  for some  $i, j \in \{1, \dots, r\}$  (Corollary 3.20). Thus, the finiteness of  $\mathcal{S}_{n,r}$  ( $r \geq 3$ ) is reduced to the case where  $r = 2$ . For the case where  $r = 2$ , the finiteness is shown in a similar way as in the case of Hecke algebras of type B [4, Theorem 6.2]. The finiteness for  $\mathcal{H}_{n,r}$  follows from the finiteness for  $\mathcal{S}_{n,r}$  (see Lemma 2.6).

On the other hand, suppose that  $\mathcal{H}_{n,r}$  does not satisfy the condition (CF). In order to show the infiniteness, we will make use of some properties of the structures of  $\mathcal{H}_{n,r}$  which follows from the structures of  $\mathcal{S}_{n,r}$  obtained in [22,24]. By adding some facts to results in [22,24], we have the following picture (Theorem 2.10).

$$\begin{array}{c}
 \bigoplus_{\eta=(n_1, \dots, n_g)} e_{\eta} \mathcal{S}_{n,r} e_{\eta} \longleftrightarrow \mathcal{S}^{\mathbf{p}} \longleftrightarrow \tilde{\mathcal{S}}^{\mathbf{p}} \longleftrightarrow \mathcal{S}_{n,r} \\
 \downarrow \swarrow \searrow \\
 \tilde{\mathcal{S}}^{\mathbf{p}} \cong \bigoplus_{\eta=(n_1, \dots, n_g)} \mathcal{S}_{n_1, r_1}(q, \mathbf{Q}_1) \otimes \mathcal{S}_{n_2, r_2}(q, \mathbf{Q}_2) \otimes \cdots \otimes \mathcal{S}_{n_g, r_g}(q, \mathbf{Q}_g).
 \end{array}$$

This implies the surjective homomorphism

$$\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r) \rightarrow \mathcal{H}_{n,k}(q, Q_{r-k+1}, \dots, Q_r)$$

for  $k = 1, \dots, r - 1$  (Proposition 2.12). By using this surjection, the infiniteness of  $\mathcal{H}_{n,r}$  is reduced to some special cases, namely the case where  $r = 2$  (in [4]), Proposition 3.21 and Proposition 3.22. Finally, the infiniteness for  $\mathcal{S}_{n,r}$  follows from the infiniteness for  $\mathcal{H}_{n,r}$  (Lemma 2.6).

### 1. The representation type of algebras

Throughout this paper, we suppose that  $F$  is an algebraically closed field, and any algebra  $\mathcal{A}$  is a finite dimensional unital associative algebra over  $F$ . We say just an  $\mathcal{A}$ -module for a right  $\mathcal{A}$ -module. The following results for the representation type are well known (see [10]).

**Lemma 1.1.** *Let  $\mathcal{A}$  be an  $F$ -algebra.*

- (i) *Let  $I$  be a two-sided ideal of  $\mathcal{A}$ . If  $\mathcal{A}/I$  is of infinite (resp. wild) type then  $\mathcal{A}$  is also of infinite (resp. wild) type.*
- (ii) *Let  $e$  be an idempotent of  $\mathcal{A}$ . If  $e\mathcal{A}e$  is of infinite (resp. wild) type then  $\mathcal{A}$  is also of infinite (resp. wild) type.*
- (iii) *If an idempotent  $e \in \mathcal{A}$  is primitive then  $\text{End}_{\mathcal{A}}(e\mathcal{A}) \cong e\mathcal{A}e$  is local. Moreover,  $\text{End}_{\mathcal{A}}(e\mathcal{A})$  is of finite type if and only if  $\text{End}_{\mathcal{A}}(e\mathcal{A}) \cong F[x]/\langle x^m \rangle$  for some integer  $m \geq 0$ , where  $F[x]$  is*

a polynomial ring over  $F$  with an indeterminate  $x$ , and  $\langle x^m \rangle$  is the ideal generated by the polynomial  $x^m$ .

- (iv) Let  $P_1, \dots, P_k$  be the complete set of non-isomorphic projective indecomposable  $\mathcal{A}$ -modules. Then  $\mathcal{A}$  is Morita equivalent to  $\text{End}_{\mathcal{A}}(P_1 \oplus \dots \oplus P_k)$ . Thus if  $\text{End}_{\mathcal{A}}(P_i)$  is of infinite type (resp. wild) for some  $i$ , then  $\mathcal{A}$  is of infinite (resp. wild) type.

**1.2. Cellular algebras.** A cyclotomic  $q$ -Schur algebra is a cellular algebra in the sense of [15]. So, we give some fundamental properties of cellular algebras which we will be needed in later discussions. For more details for cellular algebras, see [15] or [20].

Let  $\mathcal{A}$  be a cellular algebra over  $F$  with respect to a poset  $(\Lambda^+, \geq)$  and an algebra anti-automorphism  $*$  of  $\mathcal{A}$ . Then we can define a cell module  $W^\lambda$  for each  $\lambda \in \Lambda^+$ . Let  $\text{rad } W^\lambda$  be the radical of  $W^\lambda$  with respect to the canonical bilinear form on  $W^\lambda$ . Put  $L^\lambda = W^\lambda / \text{rad } W^\lambda$ . Since  $\text{rad } W^\lambda$  is an  $\mathcal{A}$ -submodule of  $W^\lambda$ ,  $L^\lambda$  is also an  $\mathcal{A}$ -module. Set  $\Lambda_0^+ = \{\lambda \in \Lambda^+ \mid L^\lambda \neq 0\}$ . Note that, for  $\lambda \in \Lambda_0^+$ ,  $\text{rad } W^\lambda$  coincides with the Jacobson radical of  $W^\lambda$ . Then  $\{L^\lambda \mid \lambda \in \Lambda_0^+\}$  is a complete set of non-isomorphic simple  $\mathcal{A}$ -modules. It is known that a cellular algebra  $\mathcal{A}$  is a quasi-hereditary algebra if and only if  $\Lambda_0^+ = \Lambda^+$ .

For  $\lambda \in \Lambda^+$  and  $\mu \in \Lambda_0^+$ , let  $d_{\lambda\mu} = [W^\lambda : L^\mu]$  be the decomposition number, namely the multiplicity of  $L^\mu$  in the composition series of  $W^\lambda$ . If  $d_{\lambda\mu} \neq 0$  then  $\lambda \geq \mu$ . The decomposition matrix of  $\mathcal{A}$  is a matrix  $D = (d_{\lambda\mu})_{\lambda \in \Lambda^+, \mu \in \Lambda_0^+}$ . For  $\lambda \in \Lambda_0^+$ , let  $P^\lambda$  be the projective cover of  $L^\lambda$ . The Cartan matrix of  $\mathcal{A}$  is a matrix  $C = (p_{\lambda\mu})_{\lambda, \mu \in \Lambda_0^+}$ , where  $p_{\lambda\mu} = \dim_F \text{Hom}_{\mathcal{A}}(P^\lambda, P^\mu) = [P^\mu : L^\lambda]$ . It is known that

$$C = {}^t D D. \tag{1.2.1}$$

Moreover, for  $\lambda \in \Lambda_0^+$ ,  $P^\lambda$  has a cell module filtration in which each cell module  $W^\mu$  occurs with multiplicity  $d_{\mu\lambda}$ . The following properties are well known (see [13, 2.5]).

**Lemma 1.3.** *Let  $\mathcal{A}$  be a cellular algebra with respect to a poset  $(\Lambda^+, \geq)$ .*

- (i)  $L^\lambda$  ( $\lambda \in \Lambda_0^+$ ) is self-dual. Thus we have, for  $\lambda, \mu \in \Lambda_0^+$ ,

$$\text{Ext}_{\mathcal{A}}^i(L^\lambda, L^\mu) \cong \text{Ext}_{\mathcal{A}}^i(L^\mu, L^\lambda) \quad \text{for any } i \geq 0.$$

In particular,

$$\begin{aligned} \text{Ext}_{\mathcal{A}}^1(L^\lambda, L^\mu) &\cong \text{Hom}_{\mathcal{A}}(\text{rad } P^\lambda / \text{rad}^2 P^\lambda, L^\mu) \\ &\cong \text{Hom}_{\mathcal{A}}(\text{rad } P^\mu / \text{rad}^2 P^\mu, L^\lambda) \\ &\cong \text{Ext}_{\mathcal{A}}^1(L^\mu, L^\lambda). \end{aligned}$$

- (ii) If  $\mathcal{A}$  is a quasi-hereditary algebra, then for  $\lambda, \mu \in \Lambda^+$  such that  $\lambda \geq \mu$ , we have

$$\begin{aligned} \text{Ext}_{\mathcal{A}}^1(L^\lambda, L^\mu) &\cong \text{Hom}_{\mathcal{A}}(\text{rad } P^\lambda / \text{rad}^2 P^\lambda, L^\mu) \\ &\cong \text{Hom}_{\mathcal{A}}(\text{rad } W^\lambda / \text{rad}^2 W^\lambda, L^\mu). \end{aligned}$$

Moreover, if  $\text{Ext}_{\mathcal{A}}^1(L^\lambda, L^\mu) \neq 0$  then  $\lambda > \mu$  or  $\mu > \lambda$ .

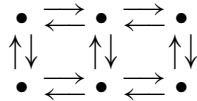
**1.4. Tensor products and representation type.** For two cellular algebras  $\mathcal{A}$  and  $\mathcal{B}$ , the tensor product  $\mathcal{A} \otimes_F \mathcal{B}$  becomes a cellular algebra again in the natural way. For the representation type of  $\mathcal{A} \otimes_F \mathcal{B}$ , we have the following lemma.

**Lemma 1.5.** *Let  $\mathcal{A}, \mathcal{B}$  be cellular algebras.*

- (i) *If  $\mathcal{B}$  is semisimple, then the representation type of  $\mathcal{A} \otimes_F \mathcal{B}$  coincides with the representation type of  $\mathcal{A}$ .*
- (ii) *If neither  $\mathcal{A}$  nor  $\mathcal{B}$  are semisimple, then  $\mathcal{A} \otimes_F \mathcal{B}$  is of infinite type.*
- (iii) *If neither  $\mathcal{A}$  nor  $\mathcal{B}$  are semisimple, and  $\mathcal{A}$  contains a block which has at least three non-isomorphic simple modules as composition factors, then  $\mathcal{A} \otimes_F \mathcal{B}$  is of wild type.*

**Proof.** (i) is clear. We show only (iii) since (ii) is proven in a similar way.

In order to apply [3, Lemma 17] to  $\mathcal{A} \otimes_F \mathcal{B}$ , we consider the Gabriel quiver of  $\mathcal{A} \otimes_F \mathcal{B}$ . By assumption and Lemma 1.3(i), the Gabriel quiver of  $\mathcal{A}$  contains the quiver  $\bullet \xleftrightarrow{\alpha_1} \bullet \xleftrightarrow{\alpha_2} \dots \xleftrightarrow{\alpha_{m-2}} \bullet \xleftrightarrow{\alpha_{m-1}} \bullet$ , and the Gabriel quiver of  $\mathcal{B}$  contains the quiver  $\bullet \xleftrightarrow{\beta_1} \bullet \xleftrightarrow{\beta_2} \dots \xleftrightarrow{\beta_{m-2}} \bullet \xleftrightarrow{\beta_{m-1}} \bullet$  as a subquiver. Thus, by [17, Lemma 1.3], the Gabriel quiver of  $\mathcal{A} \otimes_F \mathcal{B}$  contains the quiver



as subquiver. Thus  $\mathcal{A} \otimes_F \mathcal{B}$  is of wild type by [3, Lemma 17].  $\square$

**1.6.** Now we study a particular algebra defined by a quiver and relations. Let  $m$  be a positive integer, and let  $Q$  be a quiver

$$\begin{array}{ccccccc}
 & \alpha_1 & \alpha_2 & & \alpha_{m-2} & & \alpha_{m-1} \\
 1 & \xleftrightarrow{\alpha_1} & 2 & \xleftrightarrow{\alpha_2} & \dots & \xleftrightarrow{\alpha_{m-2}} & m-1 & \xleftrightarrow{\alpha_{m-1}} & m \\
 & \beta_1 & \beta_2 & & \beta_{m-2} & & \beta_{m-1}
 \end{array}$$

and  $\mathcal{I}$  be the two-sided ideal of the path algebra  $FQ$  generated by the relations

$$\begin{aligned}
 \alpha_{m-1}\beta_{m-1} &= 0, & \alpha_{i+1}\alpha_i &= 0, \\
 \beta_i\beta_{i+1} &= 0, & \alpha_i\beta_i &= \beta_{i+1}\alpha_{i+1} \quad \text{for } 1 \leq i \leq m-2,
 \end{aligned}$$

where we denote by  $\alpha_{i+1}\alpha_i$  the path  $i \xrightarrow{\alpha_i} i+1 \xrightarrow{\alpha_{i+1}} i+2$ , etc. We define  $\mathcal{A}_m = FQ/\mathcal{I}$ . Under the natural surjection  $FQ \rightarrow \mathcal{A}_m$ , we denote the image of paths in  $FQ$  by the same symbol. By definition,  $\mathcal{A}_m$  has an  $F$ -basis

$$\begin{array}{cccccccc}
 e_1, & e_2, & \beta_1, & e_3, & \beta_2, & \dots & e_m, & \beta_{m-1}, \\
 & \alpha_1, & \alpha_1\beta_1, & \alpha_2, & \alpha_2\beta_2, & & \alpha_{m-1}, & \alpha_{m-1}\beta_{m-1},
 \end{array}$$

where  $e_i$  is the path of length 0 on the vertex  $i$ . It is known that  $\mathcal{A}_m$  is of finite type by [11, 3.1]. The following proposition was inspired by [11, Proposition 3.2], and will be used to prove the finiteness of cyclotomic  $q$ -Schur algebras.

**Proposition 1.7.** *Let  $\mathcal{A}$  be a cellular algebra with respect to the poset  $(\Lambda^+, \geq)$ . If  $\mathcal{A}$  is a quasi-hereditary algebra with decomposition matrix*

$$D = \begin{pmatrix} 1 & & & \\ 1 & 1 & & \\ & \ddots & \ddots & \\ & & & 1 & 1 \end{pmatrix} \quad (\text{all omitted entries are zero}),$$

*and if any projective indecomposable  $\mathcal{A}$ -module has the simple socle, then  $\mathcal{A}$  is Morita equivalent to  $\mathcal{A}_m$  with  $m = |\Lambda^+|$ . In particular,  $\mathcal{A}$  is of finite type.*

**Proof.** Let  $\Lambda^+ = \{\lambda_1, \dots, \lambda_m\}$  such that  $i < j$  if  $\lambda_i < \lambda_j$ . We denote the simple  $\mathcal{A}$ -module by  $L^{\lambda_i}$ , and its projective cover by  $P^{\lambda_i}$  for  $\lambda_i \in \Lambda^+$ . By (1.2.1), the Cartan matrix of  $\mathcal{A}$  is

$$C = {}^t D D = \begin{pmatrix} 2 & 1 & & & \\ 1 & 2 & 1 & & \\ & 1 & 2 & 1 & \\ & & \ddots & \ddots & \ddots \\ & & & 1 & 2 & 1 \\ & & & & 1 & 1 \end{pmatrix} \quad (\text{all omitted entries are zero}).$$

Combined with the second isomorphism in Lemma 1.3(ii), we have

$$P^{\lambda_1} = \begin{matrix} L^{\lambda_1} \\ L^{\lambda_2} \\ L^{\lambda_1} \end{matrix}, \quad P^{\lambda_i} = \begin{matrix} L^{\lambda_i} \\ L^{\lambda_{i-1}} \oplus L^{\lambda_{i+1}} \\ L^{\lambda_i} \end{matrix} \quad \text{for } i = 2, \dots, m - 1, \quad P^{\lambda_m} = \begin{matrix} L^{\lambda_m} \\ L^{\lambda_{m-1}} \end{matrix}, \quad (1.7.1)$$

where the  $i$ th row in the right-hand side of equation means the  $i$ th radical layer of  $P^{\lambda_i}$ . We also have

$$\dim_F \text{Hom}_{\mathcal{A}}(P^{\lambda_i}, P^{\lambda_j}) = \begin{cases} 1 & \text{if } |i - j| = 1 \text{ or } i = j = m, \\ 2 & \text{if } i = j = 1, \dots, m - 1, \\ 0 & \text{if } |i - j| > 1. \end{cases} \quad (1.7.2)$$

Let  $\mathcal{A}' = \text{End}_{\mathcal{A}}(\bigoplus_{i=1}^m P^{\lambda_i})$ . Then  $\mathcal{A}$  is Morita equivalent to  $\mathcal{A}'$ . Let  $e'_i \in \mathcal{A}'$  be the identity map on  $P^{\lambda_i}$  and be the 0-map on  $P^{\lambda_j}$  ( $i \neq j$ ) for  $i = 1, \dots, m$ .

For  $i = 2, \dots, m$ , let  $M^{\lambda_i}$  and  $N^{\lambda_i}$  be the  $\mathcal{A}$ -modules such that

$$M^{\lambda_i} = \begin{matrix} L^{\lambda_{i-1}} \\ L^{\lambda_i} \end{matrix}, \quad N^{\lambda_i} = \begin{matrix} L^{\lambda_i} \\ L^{\lambda_{i-1}} \end{matrix}.$$

Note that any projective indecomposable  $\mathcal{A}$ -module has the simple socle. Then, by (1.7.1), one can take the natural surjective homomorphism  $\varphi_i : P^{\lambda_i} \rightarrow M^{\lambda_{i+1}}$  for  $i = 1, \dots, m - 2$ , and take the injective homomorphism  $\psi_i : M^{\lambda_i} \rightarrow P^{\lambda_i}$  for  $i = 2, \dots, m - 1$ . Put  $\alpha'_i = \psi_{i+1} \circ \varphi_i \in \text{Hom}_{\mathcal{A}}(P^{\lambda_i}, P^{\lambda_{i+1}})$  for  $i = 1, \dots, m - 2$ . We also define  $\alpha'_{m-1} \in \text{Hom}_{\mathcal{A}}(P^{\lambda_{m-1}}, P^{\lambda_m})$  by the composition of the natural surjection  $P^{\lambda_{m-1}} \rightarrow L^{\lambda_{m-1}}$  and the injection  $L^{\lambda_{m-1}} \rightarrow P^{\lambda_m}$ . Similarly, one can define  $\beta'_i \in \text{Hom}_{\mathcal{A}}(P^{\lambda_{i+1}}, P^{\lambda_i})$  for  $i = 1, \dots, m - 1$  such that  $\text{Im } \beta'_i = N^{\lambda_{i+1}}$ .

We regard  $\alpha'_i$  (resp.  $\beta'_i$ ) as an element of  $\mathcal{A}'$  by  $\alpha'_i(P^{\lambda_j}) = 0$  (resp.  $\beta'_i(P^{\lambda_j}) = 0$ ) for any  $j \neq i$  (resp.  $j \neq i + 1$ ). Then we have  $\text{Im } \beta'_i \alpha'_i = L^{\lambda_i}$  for  $i = 1, \dots, m - 1$ ,  $\text{Im } \alpha'_i \beta'_i = L^{\lambda_{i+1}}$  for  $i = 1, \dots, m - 2$  and  $\text{Im } \alpha'_{m-1} \beta'_{m-1} = 0$ . Since  $\dim_F \text{Hom}_{\mathcal{A}}(P^{\lambda_i}, L^{\lambda_i}) = 1$ , we have  $\alpha'_i \beta'_i = \beta'_{i+1} \alpha'_{i+1}$  for  $i = 1, \dots, m - 2$  by multiplying  $\alpha'_i$  by a scalar if necessary. Moreover, we have  $\alpha'_{i+1} \alpha'_i = 0$  and  $\beta'_i \beta'_{i+1} = 0$  since  $\text{Hom}_{\mathcal{A}}(P^{\lambda_i}, P^{\lambda_j}) = 0$  for  $|i - j| > 1$ . Now we can define a surjective homomorphism of algebras from  $\mathcal{A}_m$  to  $\mathcal{A}'$  by  $X \mapsto X'$  ( $X \in \{e_i, e_m, \alpha_i, \beta_i \mid i = 1, \dots, m - 1\}$ ), and we see that this gives an isomorphism by comparing the dimensions.  $\square$

The following lemma will be used to prove the infiniteness of Ariki–Koike algebras.

**Lemma 1.8.** *Let  $\mathcal{A}$  be a cellular algebra with respect to the poset  $\Lambda^+ = \{\lambda_0, \lambda_1, \lambda_2\}$ . If  $\mathcal{A}$  has the following decomposition matrix (thus,  $\mathcal{A}$  is a quasi-hereditary)*

$$\begin{array}{c|ccc} & L^{\lambda_0} & L^{\lambda_1} & L^{\lambda_2} \\ \hline W^{\lambda_0} & 1 & 0 & 0 \\ W^{\lambda_1} & a & 1 & 0 \\ W^{\lambda_2} & b & 0 & 1 \end{array} \quad (a, b > 0),$$

then  $\mathcal{A}$  is of infinite type.

**Proof.** From the decomposition matrix, we see that  $W^{\lambda_1}$  (resp.  $W^{\lambda_2}$ ) has the unique simple top  $L^{\lambda_1}$  (resp.  $L^{\lambda_2}$ ), and that any other composition factor of  $W^{\lambda_1}$  (resp.  $W^{\lambda_2}$ ) is isomorphic to  $L^{\lambda_0}$ . By the general theory of cellular algebras,  $P^{\lambda_0}$  has the filtration  $P^{\lambda_0} = P_0 \supseteq P_1 \supseteq P_2 \supseteq 0$  such that  $P_0/P_1 \cong W^{\lambda_0} \cong L^{\lambda_0}$ ,  $P_1/P_2 \cong (W^{\lambda_1})^{\oplus a}$  and  $P_2 \cong (W^{\lambda_2})^{\oplus b}$ . This filtration implies that  $L^{\lambda_1}$  appears exactly  $a$  times in the second radical layer of  $P^{\lambda_0}$ . On the other hand, by Lemma 1.3, we see that  $L^{\lambda_2}$  appears at least once in the second radical layer of  $P^{\lambda_0}$ . Thus,  $L^{\lambda_0}$  appears at least twice in the third radical layer of  $P^{\lambda_0}$ . This implies that  $\text{End}_{\mathcal{A}}(P^{\lambda_0}/\text{rad}^4 P^{\lambda_0})$  is not isomorphic to  $F[x]/\langle x^m \rangle$  for any  $m \geq 0$ . Combining with Lemma 1.1, we have that  $\mathcal{A}/\text{rad}^4 \mathcal{A}$  is of infinite type, thus  $\mathcal{A}$  is also of infinite type.  $\square$

### 2. Ariki–Koike algebras and cyclotomic $q$ -Schur algebras

In this section, we introduce Ariki–Koike algebras and cyclotomic  $q$ -Schur algebras. We also give some properties of them.

**2.1.** A composition  $\mu = (\mu_1, \mu_2, \dots)$  is a finite sequence of non-negative integers, and  $|\mu| = \sum_i \mu_i$  is called the size of  $\mu$ . If  $\mu_l \neq 0$  and  $\mu_k = 0$  for any  $k > l$ , then  $l = \ell(\mu)$  is called the length of  $\mu$ . If the composition  $\lambda$  is a weakly decreasing sequence,  $\lambda$  is called a partition. An  $r$ -tuple  $\mu = (\mu^{(1)}, \dots, \mu^{(r)})$  of compositions is called an  $r$ -composition, and the size  $|\mu|$  of  $\mu$  is defined by  $|\mu| = \sum_{i=1}^r |\mu^{(i)}|$ . In particular, if all  $\mu^{(i)}$  are partitions,  $\mu$  is called an  $r$ -partition. For  $n, r \in \mathbb{Z}_{>0}$  and an  $r$ -tuple  $\mathbf{m} = (m_1, \dots, m_r) \in \mathbb{Z}_{>0}^r$ , we denote by  $\Lambda_{n,r}(\mathbf{m})$  the set of  $r$ -compositions  $\mu = (\mu^{(1)}, \dots, \mu^{(r)})$  such that  $|\mu| = n$  and that  $\ell(\mu^{(k)}) \leq m_k$  for  $k = 1, \dots, r$ . We define  $\Lambda_{n,r}^+(\mathbf{m})$  as the subset of  $\Lambda$  consisting of  $r$ -partitions. Throughout this paper, we assume the following condition for  $\Lambda_{n,r}(\mathbf{m})$ .

(CL)  $m_i \geq n$  for any  $i = 1, \dots, r$ .

Under this condition,  $\Lambda_{n,r}^+(\mathbf{m})$  coincides with the set of  $r$ -partitions of size  $n$ . In particular,  $\Lambda_{n,r}^+(\mathbf{m})$  is independent of a choice of  $\mathbf{m}$  satisfying (CL). Thus, we write it simply as  $\Lambda_{n,r}^+$  instead of  $\Lambda_{n,r}^+(\mathbf{m})$ . Similarly, we may write  $\Lambda_{n,r}(\mathbf{m})$  simply as  $\Lambda_{n,r}$  if there is no fear of confusion.

For  $\mu \in \Lambda_{n,r}(\mathbf{m})$ , the diagram of  $\mu$  is the set

$$[\mu] = \{(i, j, k) \in \mathbb{N} \times \mathbb{N} \times \{1, 2, \dots, r\} \mid 1 \leq j \leq \mu_i^{(k)}\}.$$

We call an element of  $[\mu]$  a node.

We define a partial order, the so-called ‘‘dominance order’’, on  $\Lambda_{n,r}(\mathbf{m})$  by  $\mu \trianglerighteq \nu$  if and only if

$$\sum_{i=1}^{l-1} |\mu^{(i)}| + \sum_{j=1}^k \mu_j^{(l)} \geq \sum_{i=1}^{l-1} |\nu^{(i)}| + \sum_{j=1}^k \nu_j^{(l)}$$

for any  $1 \leq l \leq r, 1 \leq k \leq m_l$ . If  $\mu \trianglerighteq \nu$  and  $\mu \neq \nu$ , we write it as  $\mu \triangleright \nu$ .

**2.2. Ariki–Koike algebras.** Let  $F$  be an algebraically closed field, and take  $q \neq 0, Q_1, \dots, Q_r \in F$ . The Ariki–Koike algebra  $\mathcal{H}_{n,r} = \mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is an associative algebra over  $F$  with generators  $T_0, T_1, \dots, T_{n-1}$  and relations

$$\begin{aligned} (T_0 - Q_1)(T_0 - Q_2) \cdots (T_0 - Q_r) &= 0, \\ T_0 T_1 T_0 T_1 &= T_1 T_0 T_1 T_0, \\ (T_i + 1)(T_i - q) &= 0 \quad \text{for } i = 1, \dots, n - 1, \\ T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1} \quad \text{for } i = 1, \dots, n - 2, \\ T_i T_j &= T_j T_i \quad \text{if } |i - j| \geq 2. \end{aligned}$$

By [6], it is known that  $\mathcal{H}_{n,r}$  is a cellular algebra with a cellular basis

$$\{m_{\mathfrak{s}\mathfrak{t}} \mid \mathfrak{s}, \mathfrak{t} \in \text{Std}(\lambda) \text{ for some } \lambda \in \Lambda_{n,r}^+\},$$

where  $\text{Std}(\lambda)$  is the set of standard tableaux of shape  $\lambda$  (see [6] for definitions).

We denote by  $S^\lambda$  the cell module of  $\mathcal{H}_{n,r}$  corresponding to  $\lambda \in \Lambda_{n,r}^+$ , which is called the Specht module. Put  $D^\lambda = S^\lambda / \text{rad } S^\lambda$ , where  $\text{rad } S^\lambda$  is the radical of  $S^\lambda$  with respect to the canonical bilinear form on  $S^\lambda$ . When  $q \neq 1$  and  $Q_i \neq 0$  for  $1 \leq i \leq r$ , it is known that  $D^\lambda \neq 0$  if and only if  $\lambda$  is a Kleshchev multipartition by [2]. Thus  $\{D^\lambda \mid \lambda \in \Lambda_{n,r}^+ : \text{Kleshchev multipartition}\}$  gives a complete set of non-isomorphic simple  $\mathcal{H}_{n,r}$ -modules (see [2] or [21, §3.4] for the definition of Kleshchev multipartitions and more details).

**2.3. Cyclotomic  $q$ -Schur algebras.** The cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r} = \mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  associated to  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is defined by

$$\mathcal{S}_{n,r} = \mathcal{S}_{n,r}(\Lambda_{n,r}(\mathbf{m})) = \text{End}_{\mathcal{H}_{n,r}} \left( \bigoplus_{\mu \in \Lambda_{n,r}(\mathbf{m})} M^\mu \right),$$

where  $M^\mu$  is a certain  $\mathcal{H}_{n,r}$ -module introduced in [6] with respect to  $\mu \in \Lambda_{n,r}(\mathbf{m})$ . By [6],  $\mathcal{S}_{n,r}$  is a cellular algebra with respect to the poset  $(\Lambda_{n,r}^+, \supseteq)$ . More precisely,  $\mathcal{S}_{n,r}$  has a cellular basis

$$\mathcal{C} = \{ \varphi_{ST} \mid S, T \in \mathcal{T}_0(\lambda) \text{ for some } \lambda \in \Lambda_{n,r}^+ \},$$

where  $\mathcal{T}_0(\lambda) = \bigcup_{\mu \in \Lambda_{n,r}} \mathcal{T}_0(\lambda, \mu)$ , and  $\mathcal{T}_0(\lambda, \mu)$  is the set of semistandard tableaux of shape  $\lambda$  with type  $\mu$  (see [6] for definitions). By definition, for  $S \in \mathcal{T}_0(\lambda, \mu)$  and  $T \in \mathcal{T}_0(\lambda, \nu)$ , we have that  $\varphi_{ST} \in \text{Hom}_{\mathcal{H}_{n,r}}(M^\nu, M^\mu)$  and  $\varphi_{ST}|_{M^\kappa} = 0$  ( $\kappa \neq \nu$ ). For  $\mu \in \Lambda_{n,r}$ , let  $\varphi_\mu \in \mathcal{S}_{n,r}$  be the identity map on  $M^\mu$  and zero-map on  $M^\kappa$  ( $\kappa \neq \mu$ ). Then we have

$$1_{\mathcal{S}_{n,r}} = \sum_{\mu \in \Lambda_{n,r}} \varphi_\mu,$$

and  $\{ \varphi_\mu \mid \mu \in \Lambda_{n,r} \}$  is a set of pairwise orthogonal idempotents. Thus, for  $S \in \mathcal{T}_0(\lambda, \mu)$ ,  $T \in \mathcal{T}_0(\lambda, \nu)$  and  $\kappa \in \Lambda_{n,r}$ , we have

$$\varphi_\kappa \varphi_{ST} = \delta_{\kappa\mu} \varphi_{ST}, \quad \varphi_{ST} \varphi_\kappa = \delta_{\kappa\nu} \varphi_{ST}, \tag{2.3.1}$$

where  $\delta_{\kappa\mu} = 1$  if  $\kappa = \mu$ , and  $\delta_{\kappa\mu} = 0$  if  $\kappa \neq \mu$ .

Let  $W^\lambda$  be the cell module for  $\mathcal{S}_{n,r}$  corresponding to  $\lambda \in \Lambda_{n,r}^+$ , which is called the Weyl module, and  $\text{rad } W^\lambda$  be the radical of  $W^\lambda$  with respect to the canonical bilinear form on  $W^\lambda$ . Put  $L^\lambda = W^\lambda / \text{rad } W^\lambda$ . It is known that  $L^\lambda \neq 0$  for any  $\lambda \in \Lambda_{n,r}^+$ , namely the cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r}$  is a quasi-hereditary algebra. Thus  $\{L^\lambda \mid \lambda \in \Lambda_{n,r}^+\}$  is a complete set of non-isomorphic simple  $\mathcal{S}_{n,r}$ -modules.

**Remarks 2.4.**

- (i) By a general theory, for a cellular algebra  $\mathcal{A}$  over any field  $F$ ,  $F$  is a splitting field for  $\mathcal{A}$ . Thus, we may assume that  $F$  is an algebraically closed field without loss of generality.
- (ii) A cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r}$  depends on a choice of  $\Lambda_{n,r}(\mathbf{m})$ . But, it is known that  $\mathcal{S}_{n,r}(\Lambda_{n,r}(\mathbf{m}))$  is Morita equivalent to  $\mathcal{S}_{n,r}(\Lambda_{n,r}(\mathbf{m}'))$  with same parameters if both of  $\mathbf{m}$  and  $\mathbf{m}'$  satisfy the condition (CL).
- (iii) By definitions, we have the following properties.
  - (a) For any  $0 \neq c \in F$ , we have an isomorphism  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r) \cong \mathcal{H}_{n,r}(q, cQ_1, \dots, cQ_r)$ . We also have an isomorphism  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r) \cong \mathcal{S}_{n,r}(q, cQ_1, \dots, cQ_r)$ .
  - (b) For any permutation  $\sigma$  of  $r$  letters, we have an isomorphism  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r) \cong \mathcal{H}_{n,r}(q, Q_{\sigma(1)}, \dots, Q_{\sigma(r)})$ . However,  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is not isomorphic to  $\mathcal{S}_{n,r}(q, Q_{\sigma(1)}, \dots, Q_{\sigma(r)})$  in general.

**2.5.** Put  $\omega = (-, \dots, -, (1^n))$ , where “ $-$ ” means the empty partition, then  $\omega \in \Lambda_{n,r}^+$  by condition (CL) (see 2.1). By the definition,  $\varphi_\omega$  is the identity map on  $M^\omega$  and 0-map on  $M^\kappa$  ( $\kappa \neq \omega$ ). In particular,  $\varphi_\omega$  is an idempotent of  $\mathcal{S}_{n,r}$ . It is well known that the subalgebra  $\varphi_\omega \mathcal{S}_{n,r} \varphi_\omega$  of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is isomorphic to  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  as algebras. Thus, by Lemma 1.1(ii), we have the following lemma.



**Lemma 2.6.** *If  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is of infinite (resp. wild) type then  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is of infinite (resp. wild) type.*

**2.7. Schur functor.** Since  $\mathcal{H}_{n,r}$  is isomorphic to the subalgebra  $\varphi_\omega \mathcal{S}_{n,r} \varphi_\omega$  of  $\mathcal{S}_{n,r}$ , we can define a functor  $F = \text{Hom}_{\mathcal{S}_{n,r}}(\varphi_\omega \mathcal{S}_{n,r}, -)$  from the category of finite dimensional  $\mathcal{S}_{n,r}$ -modules to the category of finite dimensional  $\mathcal{H}_{n,r}$ -modules.

The following lemma is known (see e.g. [21, Theorem 5.1], [8, Appendix]).

**Lemma 2.8.**

- (i)  $F(W^\lambda) \cong S^\lambda$  as  $\mathcal{H}_{n,r}$ -modules for  $\lambda \in \Lambda_{n,r}^+$ .
- (ii)  $F(L^\lambda) \cong D^\lambda$  as  $\mathcal{H}_{n,r}$ -modules for  $\lambda \in \Lambda_{n,r}^+$ .
- (iii) For  $\lambda \in \Lambda_{n,r}^+$ , let  $P^\lambda$  be the projective cover of  $L^\lambda$ . Then we have that  $\{F(P^\lambda) \mid \lambda \in \Lambda_{n,r}^+, \text{ such that } F(L^\lambda) \neq 0\}$  gives a complete set of non-isomorphic projective indecomposable  $\mathcal{H}_{n,r}$ -modules.

**2.9.** For later discussions, we describe some structural properties of  $\mathcal{S}_{n,r}$ , the essential part of which has been obtained in [22,24]. Here, we modify such results for our purpose. Take and fix  $\mathbf{p} = (r_1, \dots, r_g) \in \mathbb{Z}_{>0}^g$  such that  $r_1 + \dots + r_g = r$ . Put  $p_i = \sum_{j=1}^{i-1} r_j$  with  $p_1 = 0$ . For  $\mu \in \Lambda_{n,r}$ , put  $\mu^{[k]} = (\mu^{(p_k+1)}, \mu^{(p_k+2)}, \dots, \mu^{(p_k+r_k)})$  for  $k = 1, \dots, g$ . Thus,  $\mu^{[k]}$  is an  $r_k$ -composition. We define a map  $\alpha_{\mathbf{p}} : \Lambda_{n,r} \rightarrow \mathbb{Z}_{\geq 0}^g$  by  $\mu \mapsto (|\mu^{[1]}|, |\mu^{[2]}|, \dots, |\mu^{[g]}|)$ . Thus, we have

$$\text{Im } \alpha_{\mathbf{p}} = \Delta_{n,g} := \{(n_1, \dots, n_g) \in \mathbb{Z}_{\geq 0}^g \mid n_1 + \dots + n_g = n\}.$$

Recall that, for  $\lambda \in \Lambda^+$ ,  $\mathcal{T}_0(\lambda) = \bigcup_{\mu \in \Lambda_{n,r}} \mathcal{T}_0(\lambda, \mu)$  is the set of semistandard tableaux of shape  $\lambda$ . We define two subsets  $\mathcal{T}_{\mathbf{p}}^+(\lambda)$  and  $\mathcal{T}_{\mathbf{p}}^-(\lambda)$  of  $\mathcal{T}_0(\lambda)$  by

$$\mathcal{T}_{\mathbf{p}}^+(\lambda) = \bigcup_{\substack{\mu \in \Lambda_{n,r} \\ \alpha_{\mathbf{p}}(\mu) = \alpha_{\mathbf{p}}(\lambda)}} \mathcal{T}_0(\lambda, \mu), \quad \mathcal{T}_{\mathbf{p}}^-(\lambda) = \mathcal{T}_0(\lambda) \setminus \mathcal{T}_{\mathbf{p}}^+(\lambda).$$

Moreover, for each  $\eta \in \Delta_{n,g}$ , we define the subset  $\mathcal{T}_{\mathbf{p}}^\eta(\lambda)$  of  $\mathcal{T}_0(\lambda)$  by

$$\mathcal{T}_{\mathbf{p}}^\eta(\lambda) = \bigcup_{\substack{\mu \in \Lambda_{n,r} \\ \alpha_{\mathbf{p}}(\mu) = \eta}} \mathcal{T}_0(\lambda, \mu).$$

By definition, we have

$$\begin{cases} \mathcal{T}_{\mathbf{p}}^\eta(\lambda) = \mathcal{T}_{\mathbf{p}}^+(\lambda) & \text{if } \alpha_{\mathbf{p}}(\lambda) = \eta, \\ \mathcal{T}_{\mathbf{p}}^\eta(\lambda) \subseteq \mathcal{T}_{\mathbf{p}}^-(\lambda) & \text{if } \alpha_{\mathbf{p}}(\lambda) \neq \eta. \end{cases} \tag{2.9.1}$$

For  $\eta \in \Delta_{n,g}$ , put

$$e_\eta = \sum_{\substack{\mu \in \Lambda_{n,r} \\ \alpha_{\mathbf{p}}(\mu) = \eta}} \varphi_\mu.$$

Since  $\{\varphi_\mu \mid \mu \in \Lambda_{n,r}\}$  is a set of pairwise orthogonal idempotents,  $e_\eta$  is also an idempotent. Thus,  $\mathcal{S}^\eta = e_\eta \mathcal{S}_{n,r} e_\eta$  is a subalgebra of  $\mathcal{S}_{n,r}$ . The following theorem is a modification of the results in [22,24].

**Theorem 2.10.** *For each  $\eta \in \Delta_{n,g}$ , we have the following.*

(i)  $\mathcal{S}^\eta$  is a subalgebra of  $\mathcal{S}_{n,r}$ . Moreover,  $\mathcal{S}^\eta$  is a cellular algebra with a cellular basis

$$\mathcal{C}^\eta = \{\varphi_{ST} \mid S, T \in \mathcal{T}_{\mathbf{p}}^\eta(\lambda) \text{ for some } \lambda \in \Lambda_{n,r}^+\}.$$

(ii) Let  $\widehat{\mathcal{S}}^\eta$  be the  $F$ -subspace of  $\mathcal{S}^\eta$  spanned by  $\{\varphi_{ST} \mid S, T \in \mathcal{T}_{\mathbf{p}}^\eta(\lambda) \text{ for } \lambda \in \Lambda_{n,r}^+ \text{ such that } \alpha_{\mathbf{p}}(\lambda) \neq \eta\}$ . Then  $\widehat{\mathcal{S}}^\eta$  is a two-sided ideal of  $\mathcal{S}^\eta$ . Thus one can define a quotient algebra  $\overline{\mathcal{S}}^\eta = \mathcal{S}^\eta / \widehat{\mathcal{S}}^\eta$ .

(iii)  $\overline{\mathcal{S}}^\eta$  is a cellular algebra with a cellular basis

$$\overline{\mathcal{C}}^\eta = \{\overline{\varphi}_{ST} \mid S, T \in \mathcal{T}_{\mathbf{p}}^+(\lambda) \text{ for } \lambda \in \Lambda_{n,r}^+ \text{ such that } \alpha_{\mathbf{p}}(\lambda) = \eta\}.$$

(iv) There exists an isomorphism of algebras

$$\overline{\mathcal{S}}^\eta \cong \mathcal{S}_{n_1,r_1}(q, \mathbf{Q}_1) \otimes \mathcal{S}_{n_2,r_2}(q, \mathbf{Q}_2) \otimes \cdots \otimes \mathcal{S}_{n_g,r_g}(q, \mathbf{Q}_g),$$

where  $\eta = (n_1, \dots, n_g)$  and  $\mathbf{Q}_k = \{Q_{p_k+1}, \dots, Q_{p_k+r_k}\}$  for  $k = 1, \dots, g$ .

**Proof.** It is already shown that  $\mathcal{S}^\eta$  is a subalgebra of  $\mathcal{S}_{n,r}$ . By (2.3.1), we see that  $\mathcal{C}^\eta$  is a basis of  $\mathcal{S}^\eta$ . Thus,  $\mathcal{S}^\eta$  inherits the cellular structure from  $\mathcal{S}_{n,r}$ . This proves (i). By noting (2.9.1), (ii) follows from [24, Lemma 2.11]. Now (iii) is clear. (iv) follows from the proof of [22, Theorem 4.15].  $\square$

**Remark 2.11.** The statements in Theorem 2.10 except (iv) also hold for certain types cellular algebras under the setting in [24].

Theorem 2.10 implies the following proposition for Ariki–Koike algebras.

**Proposition 2.12.** *For  $k = 1, 2, \dots, r - 1$ , there exists a surjective homomorphism*

$$\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r) \rightarrow \mathcal{H}_{n,k}(q, Q_{r-k+1}, \dots, Q_{r-1}, Q_r).$$

**Proof.** Put  $\mathbf{p} = (r - k, k)$  and  $\eta = (0, n)$ . Then, by Theorem 2.10, we have a surjective homomorphism  $\mathcal{S}^\eta \rightarrow \overline{\mathcal{S}}^\eta$ , where  $\overline{\mathcal{S}}^\eta$  is isomorphic to  $1 \otimes \mathcal{S}_{n,k}(q, Q_{r-k+1}, \dots, Q_r)$ . Since  $\alpha_{\mathbf{p}}(\omega) = \eta$ , we have  $\varphi_\omega e_\eta = e_\eta \varphi_\omega = \varphi_\omega$ . This implies that  $\varphi_\omega \mathcal{S}^\eta \varphi_\omega \cong \mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$ . On the other hand, one see easily that

$$\overline{\varphi_\omega} \overline{\mathcal{S}}^\eta \overline{\varphi_\omega} \cong \mathcal{H}_{n,k}(q, Q_{r-k+1}, \dots, Q_r)$$

through the isomorphism  $\overline{\mathcal{S}^\eta} \cong 1 \otimes \mathcal{S}_{n,k}(q, Q_{r-k+1}, \dots, Q_r)$ , where  $\overline{\varphi_\omega}$  is the image of  $\varphi_\omega$  under the surjection  $\mathcal{S}^\eta \rightarrow \overline{\mathcal{S}^\eta}$ . Hence the surjection  $\mathcal{S}^\eta \rightarrow \overline{\mathcal{S}^\eta}$  implies the proposition.  $\square$

The following corollary plays an important role in later discussions.

**Corollary 2.13.** *Take a subset  $\{i_1, \dots, i_k\} \subset \{1, \dots, r\}$ . If  $\mathcal{H}_{n,k}(q, Q_{i_1}, \dots, Q_{i_k})$  is of infinite (resp. wild) type, then  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is also of infinite (resp. wild) type.*

**Proof.** By Remarks 2.4(iii), we may suppose that  $\{i_1, i_2, \dots, i_k\} = \{r - k + 1, \dots, r - 1, r\}$ . Hence, the corollary follows from Proposition 2.12 together with Lemma 1.1(i).  $\square$

### 3. The representation type of Ariki–Koike algebras and cyclotomic $q$ -Schur algebras

In this section, we study the representation type of Ariki–Koike algebras and cyclotomic  $q$ -Schur algebras. First, we recall a necessary and sufficient condition for Ariki–Koike algebras (resp. cyclotomic  $q$ -Schur algebras) to be semisimple. By Ariki [1], the condition for Ariki–Koike algebras to be semisimple was obtained, and through the double centralizer property [21, Theorem 5.3], we have the following theorem.

**Theorem 3.1.**  *$\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  (resp.  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ ) is semisimple if and only if*

$$\prod_{i=1}^n (1 + q + \dots + q^{i-1}) \prod_{1 \leq i < j \leq r} \prod_{-n < a < -n} (q^a Q_i - Q_j) \neq 0.$$

**3.2.** In order to compute the decomposition numbers of  $\mathcal{H}_{n,r}$  or  $\mathcal{S}_{n,r}$  in some cases, we will use the Jantzen sum formula obtained by James and Mathas [16]. Here, we review on the Jantzen sum formula briefly (see [16] for more details).

Let  $R$  be a discrete valuation ring with the unique maximal ideal  $\wp$ ,  $K$  be the quotient field of  $R$ , and  $F$  be the residue field  $R/\wp$ . Let  $v_\wp : R^\times \rightarrow \mathbb{N}$  be the  $\wp$ -adic valuation map, and we extend  $v_\wp$  to a map  $K^\times \rightarrow \mathbb{Z}$  in the natural way.

Take  $\widehat{q}, \widehat{Q}_1, \dots, \widehat{Q}_r \in R$ , where  $\widehat{q}$  is invertible in  $R$ . Let  $q, Q_1, \dots, Q_r \in F$  be the image of  $\widehat{q}, \widehat{Q}_1, \dots, \widehat{Q}_r \in R$  under the natural surjection  $R \rightarrow F$ . Then,  $(K, R, F)$  turns out to be a modular system. We denote by  $\mathcal{H}_{n,r}^R$  (resp.  $\mathcal{S}_{n,r}^R$ ) the Ariki–Koike algebra (resp. cyclotomic  $q$ -Schur algebra) over  $R$  with the parameters  $\widehat{q}, \widehat{Q}_1, \dots, \widehat{Q}_r$ . Put  $\mathcal{H}_{n,r}^K = K \otimes_R \mathcal{H}_{n,r}^R$  (resp.  $\mathcal{S}_{n,r}^K = K \otimes_R \mathcal{S}_{n,r}^R$ ) and  $\mathcal{H}_{n,r}^F = F \otimes_R \mathcal{H}_{n,r}^R$  (resp.  $\mathcal{S}_{n,r}^F = F \otimes_R \mathcal{S}_{n,r}^R$ ), where we regard  $F$  as the  $R$ -module through the natural surjection  $R \rightarrow F$ . By using the modular system, for the Weyl module  $W^\lambda$  ( $\lambda \in \Lambda_{n,r}^+$ ) of  $\mathcal{S}_{n,r}^F$ , we can define the Jantzen filtration

$$W^\lambda = W^\lambda(0) \supseteq W^\lambda(1) \supseteq W^\lambda(2) \supseteq \dots,$$

where  $W^\lambda(1) = \text{rad } W^\lambda$ . Similarly, we have the Jantzen filtration

$$S^\lambda = S^\lambda(0) \supseteq S^\lambda(1) \supseteq S^\lambda(2) \supseteq \dots$$

for the Specht module  $S^\lambda$  of  $\mathcal{H}_{n,r}^F$ .

For  $x = (i, j, k) \in [\lambda]$  ( $\lambda \in \Lambda_{n,r}^+$ ), put

$$\text{res}_R(x) = \widehat{q}^{j-i} \widehat{Q}_k.$$

For  $\lambda, \mu \in \Lambda_{n,r}^+$ , we define the integer  $J_{\lambda\mu}$  called a **Jantzen coefficient** by

$$J_{\lambda\mu} = \begin{cases} \sum_{x \in [\lambda]} \sum_{\substack{y \in [\mu] \\ [\mu] \setminus r_y = [\lambda] \setminus r_x}} (-1)^{\ell(r_x) + \ell(r_y)} v_{\emptyset}(\text{res}_R(f_x) - \text{res}_R(f_y)), & \text{if } \lambda \triangleright \mu, \\ 0, & \text{otherwise,} \end{cases} \tag{3.2.1}$$

where  $r_x$  is the rim hook of  $x$ ,  $\ell(r_x)$  is the leg length of  $r_x$  and  $f_x$  is the foot node of  $r_x$  (for definitions, see [16]). Here, we remark that  $f_x$  is a node in  $\lambda^{(k)}$  if  $x$  is a node in  $\lambda^{(k)}$ . Then we have the following theorem.

**Theorem 3.3.** (See [16].) *Let  $(K, R, F)$  be a modular system. Suppose that  $\mathcal{S}_{n,r}^K$  is semisimple. Then, for  $\lambda \in \Lambda_{n,r}^+$ , we have*

$$\sum_{i>0} [W^\lambda(i)] = \sum_{\substack{\mu \in \Lambda_{n,r}^+ \\ \lambda \triangleright \mu}} J_{\lambda\mu} [W^\mu] \quad \left( \text{resp. } \sum_{i>0} [S^\lambda(i)] = \sum_{\substack{\mu \in \Lambda_{n,r}^+ \\ \lambda \triangleright \mu}} J_{\lambda\mu} [S^\mu] \right)$$

in the Grothendieck group of  $\mathcal{S}_{n,r}^F$  (resp.  $\mathcal{H}_{n,r}^F$ ).

**3.4.** Let  $e \in \{1, 2, \dots, \infty\}$  be the multiplicative order of  $q$  in  $F$ , namely  $q$  is a primitive  $e$ -th root of unity or  $e = \infty$  if  $q$  is not a root of unity. In the case where  $r = 1$ ,  $\mathcal{H}_{n,1}(q, Q_1)$  is the Iwahori–Hecke algebra  $\mathcal{H}_n(q)$  of type A, and  $\mathcal{S}_{n,1}(q, Q_1)$  is the  $q$ -Schur algebra  $\mathcal{S}_n(q)$  associated to  $\mathcal{H}_n(q)$ . (Note that  $\mathcal{H}_{n,1}(q, Q_1)$  (resp.  $\mathcal{S}_{n,1}(q, Q_1)$ ) is independent from the parameter  $Q_1$ .) A condition for  $\mathcal{H}_n(q)$  to be of finite representation type was obtained by Uno [23]. On the other hand, the representation type of  $\mathcal{S}_n(q)$  has been determined by Erdmann and Nakano [13] as follows.

**Theorem 3.5.** (See [23,13].) *Suppose that  $q \neq 1$  and  $r = 1$ , then we have the following.*

- (i)  $\mathcal{H}_n(q)$  (resp.  $\mathcal{S}_n(q)$ ) is semisimple if and only if  $n < e$ .
- (ii)  $\mathcal{H}_n(q)$  (resp.  $\mathcal{S}_n(q)$ ) is of finite type if and only if  $n < 2e$ .
- (iii)  $\mathcal{H}_n(q)$  (resp.  $\mathcal{S}_n(q)$ ) is of wild type if and only if  $n \geq 2e$ .

**Remarks 3.6.**

- (i) In [12], the representation type for an each block of  $\mathcal{H}_n(q)$  is determined.
- (ii) In this paper, we are only concerned with cyclotomic  $q$ -Schur algebras satisfying the condition (CL) in 2.1. In [13], the representation type of  $q$ -Schur algebras with  $m_1 < n$  is also determined. It occurs that  $\mathcal{S}_n(q)$  is of tame type for some cases with  $m_1 < n$ . But, under the condition (CL), no  $\mathcal{S}_n(q)$  has tame type.

(iii) In the case where  $q = 1$ ,  $q$ -Schur algebras are nothing but classical Schur algebras. The representation type of (classical) Schur algebras has been determined by Doty, Erdmann, Martin and Nakano [9].

**3.7.** In order to describe the representation type of Ariki–Koike algebras and cyclotomic  $q$ -Schur algebras in the case where  $r \geq 2$ , we need the following theorem which has been proved by Dipper and Mathas [7].

**Theorem 3.8.** (See [7].) Suppose that  $I = I_1 \cup I_2 \cup \dots \cup I_\kappa$  (disjoint union) is a partitioning of the index set  $I = \{1, \dots, r\}$  of parameters  $\mathbf{Q} = (Q_1, \dots, Q_r)$  such that

$$\prod_{1 \leq \alpha < \beta \leq \kappa} \prod_{\substack{Q_i \in \mathbf{Q}_\alpha \\ Q_j \in \mathbf{Q}_\beta}} \prod_{-n < a < n} (q^a Q_i - Q_j) \neq 0,$$

where we put  $\mathbf{Q}_\alpha = (Q_{\alpha_1}, \dots, Q_{\alpha_j})$  for  $I_\alpha = \{\alpha_1, \dots, \alpha_j\}$ . Then  $\mathcal{H}_{n,r}(q, \mathbf{Q})$  (resp.  $\mathcal{S}_{n,r}(q, \mathbf{Q})$ ) is Morita equivalent to the algebra

$$\bigoplus_{\substack{n_1, \dots, n_\kappa \geq 0 \\ n_1 + \dots + n_\kappa = n}} \mathcal{H}_{n_1, r_1}(q, \mathbf{Q}_1) \otimes \mathcal{H}_{n_2, r_2}(q, \mathbf{Q}_2) \otimes \dots \otimes \mathcal{H}_{n_\kappa, r_\kappa}(q, \mathbf{Q}_\kappa),$$

$$\left( \text{resp. } \bigoplus_{\substack{n_1, \dots, n_\kappa \geq 0 \\ n_1 + \dots + n_\kappa = n}} \mathcal{S}_{n_1, r_1}(q, \mathbf{Q}_1) \otimes \mathcal{S}_{n_2, r_2}(q, \mathbf{Q}_2) \otimes \dots \otimes \mathcal{S}_{n_\kappa, r_\kappa}(q, \mathbf{Q}_\kappa) \right),$$

where  $r_i = |\mathbf{Q}_i|$  ( $i = 1, \dots, \kappa$ ).

**3.9.** By Theorem 3.8 combined with Lemma 1.5 (together with multiplying  $Q_1, \dots, Q_r$  by a scalar  $c \in F$  simultaneously (see Remarks 2.4(iii))), we may assume that  $Q_i = q^{f_i}$  ( $i = 1, \dots, r$ ) or  $Q_1 = \dots = Q_r = 0$ . Then, in order to determine the representation type of cyclotomic  $q$ -Schur algebras, it is enough to consider the following cases.

- Case 1.  $q \neq 1$  and  $Q_i = q^{f_i}$  ( $f_i \in \mathbb{Z}$ ) for  $i = 1, \dots, r$ .
- Case 2.  $q = 1$  and  $Q_1 = \dots = Q_r = 1$ .
- Case 3.  $q = 1$  and  $Q_1 = \dots = Q_r = 0$ .
- Case 4.  $q \neq 1$  and  $Q_1 = \dots = Q_r = 0$ .

In this paper, we are only concerned with Case 1 and Case 2. First, we consider Case 2.

**Theorem 3.10.** Suppose that  $q = 1$ ,  $r \geq 2$  and  $Q_1 = \dots = Q_r = 1$ . Then  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  (resp.  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ ) is of finite type if and only if  $n = 1$ .

**Proof.** It is clear that  $\mathcal{H}_{n,r}$  (resp.  $\mathcal{S}_{n,r}$ ) is of finite type if  $n = 1$ . Suppose that  $n \geq 2$ . Then, by [3, Proposition 41],  $\mathcal{H}_{n,2}(q, Q_1, Q_2)$  ( $q = Q_1 = Q_2 = 1$ ) is of infinite type. (Note that the parameters are given by  $Q_1 = Q_2 = -1$  in [3]. By multiplying  $Q_1$  and  $Q_2$  by  $-1$ , we obtain the above claim.) Thus  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is of infinite type by Corollary 2.13, and  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is of infinite type by Lemma 2.6.  $\square$

**Remark 3.11.** We can also prove that  $\mathcal{H}_{n,r}$  (resp.  $\mathcal{S}_{n,r}$ ) is of wild type if  $r \geq 2$ ,  $n \geq 3$  and  $q = Q_1 = \dots = Q_r = 1$  by [3, Proposition 41] in a similar way as in the above proof. But, we don't know whether  $\mathcal{H}_{n,r}$  (resp.  $\mathcal{S}_{n,r}$ ) is of tame type or of wild type if  $n = 2$ ,  $r \geq 2$  and  $q = Q_1 = \dots = Q_r = 1$ .

**3.12.** From now on, we concentrate on Case 1 with  $r \geq 2$ . Hence we assume the following condition.

(CP)  $q$  is a primitive  $e$ -th root of unity. ( $e = \infty$  if  $q$  is not a root of unity.)  $Q_i = q^{f_i}$  ( $0 \leq f_i \leq e - 1$ ) for  $i = 1, \dots, r$ .

Note that, when  $e = \infty$ , we can take  $f_i \in \mathbb{Z}_{\geq 0}$  without loss of generality by Remarks 2.4(iii), and we regard as  $c < \infty$  for any integer  $c$ . Let

$$0 \leq f'_1 \leq f'_2 \leq \dots \leq f'_r \leq e - 1$$

be the increasing sequence of integers such that  $f'_i = f_{\sigma(i)}$  ( $i = 1, \dots, r$ ) for some permutation  $\sigma$  of  $r$  letters. Set  $f'_{r+i} = e + f'_i$  and  $g'_i = f'_{i+1} - f'_i$  ( $i = 1, \dots, r$ ). We define the integers  $f^{+1}(Q_1, \dots, Q_r)$ ,  $f^{+2}(Q_1, \dots, Q_r)$  and  $g(Q_1, \dots, Q_r)$  for parameters  $Q_1, \dots, Q_r$  by

$$\begin{aligned} f^{+1}(Q_1, \dots, Q_r) &= \min\{f'_{i+1} - f'_i \mid i = 1, \dots, r\}, \\ f^{+2}(Q_1, \dots, Q_r) &= \min\{f'_{i+2} - f'_i \mid i = 1, \dots, r\}, \\ g(Q_1, \dots, Q_r) &= \min\{g'_i + g'_j \mid 1 \leq i \neq j \leq r\}. \end{aligned}$$

The rest of this section is devoted to the proof of the following theorem.

**Theorem 3.13.** Under the condition (CP), we have the following.

(i) Assume that  $r = 2$ . Then  $\mathcal{H}_{n,2}(q, Q_1, Q_2)$  (resp.  $\mathcal{S}_{n,2}(q, Q_1, Q_2)$ ) is of finite type if and only if

$$n < \min\{e, 2f^{+1}(Q_1, Q_2) + 4\}.$$

(ii) Assume that  $r \geq 3$ . Then  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  (resp.  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ ) is of finite type if and only if

$$n < \min\{2f^{+1}(Q_1, \dots, Q_r) + 4, f^{+2}(Q_1, \dots, Q_r) + 1, g(Q_1, \dots, Q_r) + 2\}.$$

**3.14.** In order to prove Theorem 3.13, we prepare some known results on blocks of  $\mathcal{H}_{n,r}$  and  $\mathcal{S}_{n,r}$ . By a general theory of cellular algebras [15], for each  $\lambda \in \Lambda_{n,r}^+$ , all of the composition factors of the Specht module  $S^\lambda$  of  $\mathcal{H}_{n,r}$  belong to the same block of  $\mathcal{H}_{n,r}$ . This result allows us to classify the blocks of  $\mathcal{H}_{n,r}$  by using the Specht modules. Similar facts also hold for  $\mathcal{S}_{n,r}$ . By Lyle and Mathas [18], this classification has been described combinatorially as follows. Here, we only give the result under the condition (CP) though it is described in a general setting in [18].

For  $\lambda \in \Lambda_{n,r}^+$ , we define the *residue* of the node  $x = (i, j, k) \in [\lambda]$  by

$$\text{res}(x) = j - i + f_k \pmod{e}.$$

For  $\lambda, \mu \in \Lambda_{n,r}^+$ , we say that  $\lambda$  and  $\mu$  are *residue equivalent*, and write  $\lambda \sim_C \mu$  if  $\#\{x \in [\lambda] \mid \text{res}(x) = a\} = \#\{y \in [\mu] \mid \text{res}(y) = a\}$  for all  $a \in \mathbb{Z}/e\mathbb{Z}$ .

**Theorem 3.15.** (See [18, Theorem 2.11].) For  $\lambda, \mu \in \Lambda_{n,r}^+$ , the following conditions are equivalent.

- (i)  $S^\lambda$  and  $S^\mu$  belong to the same block of  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$ .
- (ii)  $W^\lambda$  and  $W^\mu$  belong to the same block of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ .
- (iii)  $\lambda \sim_C \mu$ .

For a block  $\mathcal{B}$  of  $\mathcal{S}_{n,r}$ , we write  $\lambda \in \mathcal{B}$  if  $W^\lambda$  belongs to the block  $\mathcal{B}$ , and we say that  $\mathcal{B}$  has a residue  $(\text{res}(x_1), \dots, \text{res}(x_m))$ , where  $\{x_i \mid i = 1, \dots, m\} = [\lambda]$  for some  $\lambda \in \mathcal{B}$ . By Theorem 3.15, the residue  $(\text{res}(x_1), \dots, \text{res}(x_m))$  of  $\mathcal{B}$  is well-defined up to a permutation of components. Set  $R(\mathcal{B}) = \{\text{res}(x) \mid x \in [\lambda] \text{ for some } \lambda \in \mathcal{B}\}$ . It is similar for  $\mathcal{H}_{n,r}$ , and we use the same notations.

Recall that  $F$  is the Schur functor defined in 2.7. As a corollary of Theorem 3.15, we have the following.

**Corollary 3.16.** For  $\lambda \in \Lambda_{n,r}^+$ , let  $P^\lambda$  be the projective cover of  $L^\lambda$ . Then we have the following.

- (i)  $F(P^\lambda) \neq 0$  for any  $\lambda \in \Lambda_{n,r}^+$ .
- (ii) If  $F(L^\lambda) \neq 0$  and  $F(L^\mu) \neq 0$ , then the following two conditions are equivalent.
  - (a)  $P^\lambda$  and  $P^\mu$  belong to the same block of  $\mathcal{S}_{n,r}$ .
  - (b)  $F(P^\lambda)$  and  $F(P^\mu)$  belong to the same block of  $\mathcal{H}_{n,r}$ .

**Proof.** By the general theory of quasi-hereditary algebras, there exists a submodule  $K^\lambda$  of  $P^\lambda$  such that  $P^\lambda/K^\lambda \cong W^\lambda$  for each  $\lambda \in \Lambda_{n,r}^+$ . Since  $F$  is an exact functor, we have that  $F(P^\lambda)/F(K^\lambda) \cong F(W^\lambda) \cong S^\lambda$  by Lemma 2.8(i). This implies (i), and (ii) follows from Theorem 3.15.  $\square$

**3.17.** First, we prove the “if” part of Theorem 3.13. The following lemma plays an important role in the proof of the “if” part of Theorem 3.13.

**Lemma 3.18.** Under the condition (CP), suppose that  $r \geq 3$  and

$$n < \min\{f^{+2}(Q_1, \dots, Q_r) + 1, g(Q_1, \dots, Q_r) + 2\}. \tag{3.18.1}$$

Then, for each block  $\mathcal{B}$  of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ , there exist  $i, j \in \{1, \dots, r\}$  such that  $\lambda^{(k)} = \mu^{(k)}$  for any  $\lambda, \mu \in \mathcal{B}$ , and for  $k \neq i, j$ .

**Proof.** Let  $\sigma$  be a permutation of  $r$  letters. We define the bijective map  $\sigma : \Lambda_{n,r}^+ \rightarrow \Lambda_{n,r}^+$  by  $\sigma((\lambda^{(1)}, \lambda^{(2)}, \dots, \lambda^{(r)})) = (\lambda^{(\sigma^{-1}(1))}, \lambda^{(\sigma^{-1}(2))}, \dots, \lambda^{(\sigma^{-1}(r))})$ . For  $\lambda \in \Lambda_{n,r}^+$ , we also define the

bijection  $\sigma : [\lambda] \rightarrow [\sigma(\lambda)]$  by  $\sigma(x) = (i, j, \sigma(k))$  for  $x = (i, j, k) \in [\lambda]$ . Then, for  $\lambda \in \Lambda_{n,r}^+$  and  $x = (i, j, k) \in [\lambda]$ , one sees easily that  $\text{res}(x)$  with respect to the parameters  $q, Q_1, \dots, Q_r$  coincides with  $\text{res}(\sigma(x))$  with respect to the parameters  $q, Q_{\sigma(1)}, \dots, Q_{\sigma(r)}$ . Combining with Theorem 3.15, we have that  $\lambda, \mu$  belong to the same block of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  if and only if  $\lambda, \mu$  belong to the same block of  $\mathcal{S}_{n,r}(q, Q_{\sigma(1)}, \dots, Q_{\sigma(r)})$ . Hence, it is enough to show the following cases:

$$0 \leq f_1 \leq f_2 \leq \dots \leq f_r \leq e - 1. \tag{3.18.2}$$

Hence, in this proof, we suppose that the condition (3.18.2) holds. Note that  $f'_i = f_i$  ( $i = 1, \dots, r$ ) under the condition (3.18.2).

Take  $\lambda, \mu \in \mathcal{B}$  such that  $\lambda \neq \mu$ . For a node  $x = (a, b, i) \in [\lambda] \setminus [\mu]$ , there exists a node  $y = (c, d, j) \in [\mu] \setminus [\lambda]$  such that  $\text{res}(x) = \text{res}(y)$  by Theorem 3.15. Since the condition  $n < f^{+2}(Q_1, \dots, Q_r) + 1$  implies that  $n < e$  by a direct calculation, we have  $i \neq j$ . We may assume that  $i < j$  by interchanging  $\lambda$  and  $\mu$  if necessary. Since  $\text{res}(x) = \text{res}(y)$ , one of the following two cases occurs:

$$\begin{cases} R(\mathcal{B}) \supseteq \{f_i, f_i + 1, \dots, f_j\}, \\ R(\mathcal{B}) \supseteq \{f_j, f_j + 1, \dots, e - 1, 0, 1, \dots, f_i\}. \end{cases} \tag{3.18.3}$$

In fact, suppose that  $a \geq b$ , then  $f_i, f_i + 1, \dots, \text{res}(x)$  occur among the residues of  $\lambda$ . If  $c \geq d$ , then  $f_j, f_j + 1, \dots, \text{res}(x)$  occur in  $\mu$ , and if  $c < d$ , then  $\text{res}(x), \text{res}(x) + 1, \dots, f_j$  occur in  $\mu$ . In either case, we see that  $f_i, \dots, f_j$  occur in  $R(\mathcal{B})$ . Next suppose  $a < b$ , then  $\text{res}(x), \text{res}(x) + 1, \dots, f_i$ , occur in  $\lambda$ . Hence if  $c \geq d$ , again  $f_i, f_i + 1, \dots, f_j$  occur in  $R(\mathcal{B})$ , and if  $c < d$ , we see that  $\{f_j, \dots, e - 1, 0, 1, \dots, f_i\} \in R(\mathcal{B})$ .

If  $|i - j| > 1$  and  $\{i, j\} \neq \{1, r\}$ , any case of (3.18.3) implies that

$$n \geq f^{+2}(Q_1, \dots, Q_r) + 1. \tag{3.18.4}$$

In fact, the first case implies that  $f_j - f_i + 1 \leq n$ . In the second case, we have  $e - f_j + f_i \leq n - 1$ , which implies that  $n \geq f_{j+2} - f_j + 1$  since  $\{i, j\} \neq \{1, r\}$ . But (3.18.4) contradicts the condition (3.18.1). Thus we have

$$|i - j| = 1 \quad \text{or} \quad \{i, j\} = \{1, r\}.$$

Next we show that such a pair  $\{i, j\}$  is determined uniquely for a given  $\lambda, \mu \in \mathcal{B}$ . Let  $\lambda, \mu \in \mathcal{B}$ , and take  $x_k = (a_k, b_k, i_k) \in [\lambda] \setminus [\mu]$ ,  $y_k = (c_k, d_k, j_k) \in [\mu] \setminus [\lambda]$  such that  $\text{res}(x_k) = \text{res}(y_k)$  ( $k = 1, 2$ ). By the above result, we have  $|i_k - j_k| = 1$  or  $\{i_k, j_k\} = \{1, r\}$ . If  $\{i_1, j_1\} \cap \{i_2, j_2\} = \emptyset$ , we have  $n \geq g(Q_1, \dots, Q_r) + 2$  by considering the residues contained in  $R(\mathcal{B})$  of (3.18.3). This contradicts the condition (3.18.1). For example, in the case where  $r = 6, i_1 = 1, j_1 = 2, i_2 = 3, j_2 = 4$ , we have

$$R(\mathcal{B}) \supset \{f_1, f_1 + 1, \dots, f_2\} \cup \{f_3, f_3 + 1, \dots, f_4\}.$$

Thus we may assume that

$$\{i_1, j_1\} \cap \{i_2, j_2\} \neq \emptyset. \tag{3.18.5}$$



If the two sets contain exactly one common element, we have  $n \geq f^{+2}(Q_1, \dots, Q_r) + 1$  by considering the residues contained in  $R(\mathcal{B})$  of (3.18.3). This contradicts the condition (3.18.1). For example, in the case where  $r = 6, i_1 = 1, j_1 = 2, i_2 = 2, j_2 = 3$ , we have

$$R(\mathcal{B}) \supset \{f_1, f_1 + 1, \dots, f_2\} \cup \{f_2, f_2 + 1, \dots, f_3\}.$$

As a conclusion, we have  $\{i_1, j_1\} = \{i_2, j_2\}$ .

Finally, we show that such a pair  $\{i, j\}$  is independent of the choice of  $\lambda, \mu \in \mathcal{B}$ . For  $\lambda, \mu, \nu \in \mathcal{B}$ , take  $x = (a, b, i) \in [\lambda] \setminus [\mu], y = (c, d, j) \in [\mu] \setminus [\lambda]$  such that  $\text{res}(x) = \text{res}(y)$ , and take  $x' = (a', b', i') \in [\mu] \setminus [\nu], y' = (c', d', j') \in [\nu] \setminus [\mu]$  such that  $\text{res}(x') = \text{res}(y')$ . If  $\{i, j\} \cap \{i', j'\} = \emptyset$ , we have  $n \geq g(Q_1, \dots, Q_r) + 2$ , and if  $\{i, j\}$  and  $\{i', j'\}$  contain exactly one common element, we have  $n \geq f^{+2}(Q_1, \dots, Q_r) + 1$  in a similar way as above. Thus we have  $\{i, j\} = \{i', j'\}$ . The lemma is proved.  $\square$

Lemma 3.18 implies the following proposition which shows the “if” part of Theorem 3.13.

**Proposition 3.19.** *Under the condition (CP), if  $n < \min\{2f^{+1}(Q_1, \dots, Q_r) + 4, f^{+2}(Q_1, \dots, Q_r) + 1, g(Q_1, \dots, Q_r) + 2\}$  ( $r \geq 3$ ) or if  $n < \min\{e, 2f^{+1}(Q_1, Q_2) + 4\}$  ( $r = 2$ ), then the decomposition matrix of a block of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is given as*

$$D = \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & \ddots & & & \\ & & & \ddots & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \quad (\text{all omitted entries are zero}).$$

Moreover, any projective indecomposable  $\mathcal{S}_{n,r}$ -module has the simple socle.

In particular,  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is of finite type. Thus,  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is also of finite type.

**Proof.** Take a block  $\mathcal{B}$  of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ . We compute the decomposition matrix of  $\mathcal{B}$  by the Jantzen sum formula in Theorem 3.3.

In the case where  $r \geq 3$ , assume that  $n < \min\{2f^{+1}(Q_1, \dots, Q_r) + 4, f^{+2}(Q_1, \dots, Q_r) + 1, g(Q_1, \dots, Q_r) + 2\}$ . Then by Lemma 3.18, there exist  $i, j \in \{1, \dots, r\}$  such that  $\lambda^{(k)} = \mu^{(k)}$  for any  $\lambda, \mu \in \mathcal{B}$  and  $k \neq i, j$ . Put  $n' = |\lambda^{(i)}| + |\lambda^{(j)}|$  for  $\lambda \in \mathcal{B}$ , which is independent of  $\lambda \in \mathcal{B}$ . By Theorem 3.15 combined with Lemma 3.18, one can find a block  $\mathcal{B}'$  of  $\mathcal{S}_{n',2}(q, Q_i, Q_j)$  which contains  $\{(\lambda^{(i)}, \lambda^{(j)}) \mid \lambda \in \mathcal{B}\}$ . In order to compute the decomposition matrix of  $\mathcal{B}$  by the Jantzen sum formula, we take the following modular system. Let  $F[t]$  be a polynomial ring over  $F$  with indeterminate  $t$ , and  $R = F[t]_{(t)}$  be the localization of  $F[t]$  by the prime ideal  $\langle t \rangle$  generated by the polynomial  $t$ . Let  $K$  be the quotient field of  $R$ . Put  $\widehat{q} = q, \widehat{Q}_i = q^{f_i}, \widehat{Q}_j = t + q^{f_j}$  and  $\widehat{Q}_k = t^{2k} + q^{f_k}$  for  $k \neq i, j$  as elements in  $R$ . Then,  $(K, R, F)$  becomes a modular system. Under this modular system, we see that  $\mathcal{S}_{n',2}^K(\widehat{q}, \widehat{Q}_1, \dots, \widehat{Q}_r)$  is semisimple. Thus, we can apply the Jantzen sum formula (Theorem 3.3). By the definition (3.2.1) combined with Lemma 3.18, the Jantzen coefficient  $J_{\lambda\mu}$  for  $\lambda, \mu \in \mathcal{B}$  is determined by only the informations of  $(\lambda^{(i)}, \lambda^{(j)})$  and  $(\mu^{(i)}, \mu^{(j)})$  since  $\lambda^{(k)} = \mu^{(k)}$  for  $k \neq i, j$ . Moreover, this Jantzen coefficient  $J_{\lambda\mu}$  coincides with the Jantzen coefficient  $J_{(\lambda^{(i)}, \lambda^{(j)}), (\mu^{(i)}, \mu^{(j)})}$  in  $\mathcal{S}_{n',2}(q, Q_i, Q_j)$ , where we take the same modular system  $(K, R, F)$  with the parameters  $\widehat{q}, \widehat{Q}_i, \widehat{Q}_j$ .

This means that the Jantzen sum formula for  $\mathcal{B}$  coincides with the Jantzen sum formula for  $\mathcal{B}'$ . Moreover,  $\mathcal{S}_{n',2}(q, Q_i, Q_j)$  satisfies the assumption of the proposition for the case where  $r = 2$ . Thus, we have only to compute the decomposition matrix in the case where  $r = 2$ .

Note that, the Jantzen sum formula (more precisely the Jantzen coefficient) for  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  coincides with the Jantzen sum formula for  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  (see Theorem 3.3). For  $\mathcal{H}_{n,2}(q, Q_1, Q_2)$  satisfying the condition  $n < \min\{e, 2f^{+1}(Q_1, Q_2) + 4\}$ , the Jantzen coefficient has been computed by [4, Theorem 6.2]. Thus, we can compute the decomposition matrix of  $\mathcal{B}$  in a similar way as in the proof of [4, Theorem 6.2], and we obtain the matrix as given in the proposition.

Next, we show that any projective indecomposable  $\mathcal{S}_{n,r}$ -module has the simple socle. Let  $\{\lambda_1, \dots, \lambda_m\} = \{\lambda \in \mathcal{B}\}$  be such that  $i < j$  if  $\lambda_i \triangleleft \lambda_j$ . Then  $P^{\lambda_i}$  has the radical series as in (1.7.1). It is clear that  $P^{\lambda_m}$  has the simple socle from the radical series. We claim that

$$F(L^{\lambda_i}) \neq 0 \quad \text{for } i = 1, \dots, m - 1. \tag{3.19.1}$$

By Lemma 2.8(i), we see that  $F(L^{\lambda_1}) \neq 0$  since  $L^{\lambda_1} = W^{\lambda_1}$ . Assume that  $F(L^{\lambda_i}) = 0$  for some  $i = 2, \dots, m - 1$ . Then we have that  $F(L^{\lambda_{i-1}}) \neq 0$  or  $F(L^{\lambda_{i+1}}) \neq 0$  since  $F(P^{\lambda_i}) \neq 0$  by Corollary 3.16(i). If  $F(L^{\lambda_{i-1}}) \neq 0$  and  $F(L^{\lambda_{i+1}}) \neq 0$ , then  $F(P^{\lambda_{i-1}})$  and  $F(P^{\lambda_{i+1}})$  are projective indecomposable  $\mathcal{H}_{n,r}$ -modules by Lemma 2.8(iii). Moreover,  $F(P^{\lambda_{i-1}})$  and  $F(P^{\lambda_{i+1}})$  belong to the same block of  $\mathcal{H}_{n,r}$  by Corollary 3.16(ii). However,  $F(P^{\lambda_{i-1}})$  and  $F(P^{\lambda_{i+1}})$  are not linked since  $F(L^{\lambda_i}) = 0$ . This is a contradiction. If  $F(L^{\lambda_{i-1}}) = 0$ , we have that  $F(L^{\lambda_{i+1}}) \neq 0$ , and also  $F(L^{\lambda_{i-2}}) \neq 0$  since  $F(P^{\lambda_{i-1}}) \neq 0$ . Then  $F(P^{\lambda_{i-2}})$  and  $F(P^{\lambda_{i+1}})$  belong to the same block of  $\mathcal{H}_{n,r}$ , but these are not linked. This is a contradiction. In the case where  $F(L^{\lambda_{i+1}}) = 0$ , we have a similar contradiction. Hence, we have the claim (3.19.1).

By (3.19.1) combined with Lemma 2.8(iii),  $F(P^{\lambda_i})$  ( $1 \leq i \leq m - 1$ ) is a projective indecomposable  $\mathcal{H}_{n,r}$ -module, and it is also injective since  $\mathcal{H}_{n,r}$  is self-injective by [19]. Thus,  $F(P^{\lambda_i})$  has the simple socle. Combining with (3.19.1), we see that  $P^{\lambda_i}$  ( $1 \leq i \leq m - 1$ ) has the simple socle.

Finally, we note that the block  $\mathcal{B}$  of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is also a quasi-hereditary cellular algebra. Then we conclude that  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is of finite type by Proposition 1.7, and  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is also of finite type by Lemma 2.6.  $\square$

As a corollary of the proof of the proposition, we have the following.

**Corollary 3.20.** *Suppose that  $r \geq 3$ , and that  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  satisfies the assumption of Proposition 3.19. Then, for each block  $\mathcal{B}$  of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$ , there exist  $i, j \in \{1, \dots, r\}$  such that  $\mathcal{B}$  is Morita equivalent to a block  $\mathcal{B}'$  of  $\mathcal{S}_{n',2}(q, Q_i, Q_j)$ , where  $n' = |\lambda^{(i)}| + |\lambda^{(j)}|$  for some  $\lambda \in \mathcal{B}$ , and  $\mathcal{B}'$  contains the partitions  $\{(\lambda^{(i)}, \lambda^{(j)}) \mid \lambda \in \mathcal{B}\}$ .*

**Proof.** By the proof of Proposition 3.19 and Proposition 1.7, both of  $\mathcal{B}$  and  $\mathcal{B}'$  are Morita equivalent to the algebra  $\mathcal{A}_m$  in 1.6, where  $m = \#\{\lambda \in \mathcal{B}\}$ .  $\square$

Next, we discuss the “only if” part of Theorem 3.13.

**Proposition 3.21.** *Under the condition (CP), suppose that  $n < \min\{e, 2f^{+1}(Q_1, Q_2, Q_3) + 4\}$  and  $n \geq f^{+2}(Q_1, Q_2, Q_3) + 1$ . Then  $\mathcal{H}_{n,3}(q, Q_1, Q_2, Q_3)$  is of infinite type.*

**Proof.** By Remarks 2.4(iii), we may assume that  $0 = f_1 \leq f_2 \leq f_3 \leq e - 1$  without loss of generality. First, we assume that  $n \geq 4$ . Then, by the condition  $n < 2f^+(Q_1, Q_2, Q_3) + 4$ , we have  $0 = f_1 < f_2 < f_3$ . Moreover, we can take  $f^{+2}(Q_1, Q_2, Q_3) = f_3 - f_1 = f_3$  by permuting and multiplying  $Q_1, Q_2, Q_3$  by a common scalar if necessary. Let  $\mathcal{B}$  be the block of  $\mathcal{H}_{n,3}(q, Q_1, Q_2, Q_3)$  with the residue  $(f_3, f_3 - 1, \dots, 1, 0, e - 1, \dots, e - f')$ , where  $f' = n - (f_3 + 1)$ . The condition  $n \geq f^{+2}(Q_1, Q_2, Q_3) + 1 = f_3 + 1$  implies that  $f' \geq 0$ , and the condition  $n < e$  implies that  $e - f' > f_3 + 1$ .

Put  $\lambda_0 = (-, -, (1^n))$ ,  $\lambda_i = (-, (i, 1^{f_2+f'}), (1^{f_3-f_2-i+1}))$  for  $i = 1, \dots, k - 1$  and  $\lambda_k = ((1^{n-f_3}), -, (1^{f_3}))$ , where  $k = f_3 - f_2 + 2$ .

**Example.** (In the case where  $n = 5, e = 6, f_1 = 0, f_2 = 1, f_3 = 3$ .)

$$\lambda_0 = \left( -, -, \begin{array}{|c|} \hline 3 \\ \hline 2 \\ \hline 1 \\ \hline 0 \\ \hline 5 \\ \hline \end{array} \right), \quad \lambda_1 = \left( -, \begin{array}{|c|} \hline 1 \\ \hline 0 \\ \hline 5 \\ \hline \end{array}, \begin{array}{|c|} \hline 3 \\ \hline 2 \\ \hline \end{array} \right), \quad \lambda_2 = \left( -, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 0 & \\ \hline 5 & \\ \hline \end{array}, \begin{array}{|c|} \hline 3 \\ \hline \end{array} \right),$$

$$\lambda_3 = \left( -, \begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 0 & & \\ \hline 5 & & \\ \hline \end{array}, - \right), \quad \lambda_4 = \left( \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}, -, \begin{array}{|c|} \hline 3 \\ \hline 2 \\ \hline 1 \\ \hline \end{array} \right).$$

It is easy to see that  $\lambda_0, \dots, \lambda_k$  all lie in the block  $\mathcal{B}$ , and let  $\lambda_{k+1}, \dots, \lambda_m$  denote the remaining multipartitions in  $\mathcal{B}$ , in any order. Then  $\mathcal{B}$  is a cellular algebra with respect to the poset  $(\Lambda_{\mathcal{B}}^+, \triangleright)$ , where  $\Lambda_{\mathcal{B}}^+ = \{\lambda_i \mid 0 \leq i \leq m\}$ . Let  $\mathcal{B}^\vee$  be the  $F$ -subspace of  $\mathcal{B}$  spanned by the cellular basis elements indexed by  $\lambda_i$  ( $i \neq 0, 1, k$ ). Note that  $e - f' > f_3 + 1$  and the definitions of multipartitions  $\lambda_0, \lambda_1, \dots, \lambda_k$ , one sees that  $\lambda_i \not\triangleright \lambda_j$  for  $i = 0, 1, k$  and  $j \neq 0, 1, k$ . This implies that  $\mathcal{B}^\vee$  is a two-sided ideal of  $\mathcal{B}$ . Thus  $\bar{\mathcal{B}} = \mathcal{B}/\mathcal{B}^\vee$  becomes a cellular algebra with respect to the poset  $(\{\lambda_0, \lambda_1, \lambda_k\}, \triangleright)$ . One can easily check that  $\lambda_i$  ( $i = 0, 1, k$ ) is a Kleshchev multipartition, thus  $D^{\lambda_i} \neq 0$  for  $i = 0, 1, k$ . Now, we can compute the decomposition matrix of  $\bar{\mathcal{B}}$  by the Jantzen sum formula, and its matrix is given as follows.

	$D^{\lambda_0}$	$D^{\lambda_1}$	$D^{\lambda_k}$	
$S^{\lambda_0}$	1	0	0	$(a, b > 0).$
$S^{\lambda_1}$	$a$	1	0	
$S^{\lambda_k}$	$b$	0	1	

This implies that  $\bar{\mathcal{B}}$  is of infinite type by Lemma 1.8, thus  $\mathcal{B}$  and so  $\mathcal{H}_{n,3}(q, Q_1, Q_2, Q_3)$  is of infinite type.

In the case where  $n \leq 3$ , we have the following three cases,  $0 = f_1 = f_2 = f_3, 0 = f_1 = f_2 < f_3$  or  $f_1 < f_2 < f_3$ . For each case, we can prove in a similar way as above by taking the appropriate block.  $\square$

**Proposition 3.22.** *Under the condition (CP), suppose that  $n < \min\{2f^+(Q_1, \dots, Q_4) + 4, f^{+2}(Q_1, \dots, Q_4) + 1\}$  and  $n \geq g(Q_1, \dots, Q_4) + 2$ . Then  $\mathcal{H}_{n,4}(q, Q_1, \dots, Q_4)$  is of infinite type.*

**Proof.** By Remarks 2.4(iii), we may assume that  $0 = f_1 \leq f_2 \leq f_3 \leq e - 1$  without loss of generality. First, we suppose that  $n \geq 4$ . Then, by the condition  $n < 2f^+(Q_1, \dots, Q_4) + 4$ ,

we have  $0 = f_1 < f_2 < f_3 < f_4$ . If  $g(Q_1, \dots, Q_4) = g_i + g_{i+1}$  for some  $i \in \{1, 2, 3, 4\}$ , we have  $g(Q_1, \dots, Q_4) = f^{+2}(Q_1, \dots, Q_4)$ . In this case, the condition  $n \geq g(Q_1, \dots, Q_4) + 2$  contradicts the condition  $n < f^{+2}(Q_1, \dots, Q_4) + 1$ . Thus one can assume that  $g(Q_1, \dots, Q_4) = g_1 + g_3 = (f_2 - f_1) + (f_4 - f_3)$  (by permuting the parameters, and by a scalar multiplication if necessary).

Let  $\mathcal{B}$  be the block of  $\mathcal{H}_{n,4}(q, Q_1, \dots, Q_4)$  with the residue  $(0, 1, 2, \dots, f_2, f', f' + 1, \dots, f_4 - 1, f_4)$ , where  $f' = f_4 - (n - (f_2 + 1)) + 1$ . Note that  $(f_2 + 1) + (f_4 - f' + 1) = n$  and that  $f' \leq f_3 < f_4$  by the condition  $n \geq g_1 + g_3 + 2$ . Moreover, by the condition  $n < f^{+2}(Q_1, \dots, Q_r) + 1$ , we have  $n < f_4 - f_2 + 1 < f_4 + 1$ . This implies that  $f_2 < f' - 1$ . Put  $\lambda_0 = (-, (1^{f_2+1}), -, (1^{f_4-f'+1}))$ ,  $\lambda_i = (-, (1^{f_2+1}), (i, 1^{f_3-f'}), (1^{f_4-f_3-i+1}))$  for  $i = 1, \dots, k$  and  $\lambda_{k+1} = ((1), (1^{f_2}), -, (1^{f_4-f'+1}))$ , where  $k = f_4 - f_3 + 1$ .

**Example.** (In the case where  $n = 7, e = 16, f_1 = 0, f_2 = 2, f_3 = 8, f_4 = 10$ .)

$$\begin{aligned} \lambda_0 &= \left( -, \begin{array}{|c|c|} \hline 2 \\ \hline 1 \\ \hline 0 \\ \hline \end{array}, -, \begin{array}{|c|c|c|} \hline 10 \\ \hline 9 \\ \hline 8 \\ \hline 7 \\ \hline \end{array} \right), & \lambda_1 &= \left( -, \begin{array}{|c|c|} \hline 2 \\ \hline 1 \\ \hline 0 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 8 \\ \hline 7 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 10 \\ \hline 9 \\ \hline \end{array} \right), \\ \lambda_2 &= \left( -, \begin{array}{|c|c|} \hline 2 \\ \hline 1 \\ \hline 0 \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline 8 & 9 & \\ \hline 7 & & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 10 \\ \hline \\ \hline \end{array} \right), & \lambda_3 &= \left( -, \begin{array}{|c|c|} \hline 2 \\ \hline 1 \\ \hline 0 \\ \hline \end{array}, \begin{array}{|c|c|c|c|} \hline 8 & 9 & 10 & \\ \hline 7 & & & \\ \hline \end{array}, - \right), \\ \lambda_4 &= \left( \begin{array}{|c|c|} \hline 0 & \\ \hline & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 \\ \hline 1 \\ \hline \end{array}, -, \begin{array}{|c|c|c|} \hline 10 \\ \hline 9 \\ \hline 8 \\ \hline 7 \\ \hline \end{array} \right). \end{aligned}$$

In a similar way as in the proof of Proposition 3.21, one can consider the quotient algebra  $\bar{\mathcal{B}} = \mathcal{B}/\mathcal{B}^\vee$  of  $\mathcal{B}$  which is a cellular algebra with respect to the poset  $(\{\lambda_0, \lambda_1, \lambda_{k+1}\}, \triangleright)$ . One can easily check that  $\lambda_i$  ( $i = 0, 1, k + 1$ ) is a Kleshchev multipartition. Now we can compute the decomposition matrix of  $\bar{\mathcal{B}}$  by the Jantzen sum formula, and its matrix is completely the same as the decomposition matrix of  $\bar{\mathcal{B}}$  in Proposition 3.21, replacing  $k$  by  $k + 1$ . Thus, by Lemma 1.8,  $\bar{\mathcal{B}}$  is of infinite type, thus  $\mathcal{B}$  and so  $\mathcal{H}_{n,4}(q, Q_1, \dots, Q_4)$  is of infinite type.

For the case where  $n \leq 3$ , one can check case by case as in the proof of Proposition 3.21.  $\square$

Now, we can prove Theorem 3.13.

**Proof of Theorem 3.13.** The “if” part is already shown in Proposition 3.19. We show the “only if” part, and we prove only the statement for  $\mathcal{H}_{n,r}$  since the statement for  $\mathcal{S}_{n,r}$  follows from one for  $\mathcal{H}_{n,r}$  by Lemma 2.6.

By Remarks 2.4(iii), we may assume that  $0 \leq f_1 \leq f_2 \leq \dots \leq f_r \leq e - 1$ .

First, we consider the case where  $r = 2$ . If  $n \geq \min\{e, 2f^{+1}(Q_1, Q_2) + 4\}$ , then  $\mathcal{H}_{n,2}(q, Q_1, Q_2)$  is of infinite type by [4, Theorem 1.4].

Next, we consider the case where  $r \geq 3$ . If  $n \geq \min\{e, 2f^{+1}(Q_1, \dots, Q_r) + 4\}$ , then we have  $n \geq \min\{e, 2(f_{i+1} - f_i) + 4\}$  for some  $i = 1, \dots, r - 1$  or  $n \geq \min\{e, 2(e - f_r) + 4\}$ . Take such  $i$  (put  $i = r$  if the last case occurs), then  $\mathcal{H}_{n,2}(q, Q_i, Q_{i+1})$  (put  $i + 1 = 1$  if  $i = r$ ) has infinite type by the above arguments. Thus,  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  has infinite type by Corollary 2.13.

If  $n < \min\{e, 2f^{+1}(Q_1, \dots, Q_r) + 4\}$  and  $n \geq f^{+2}(Q_1, \dots, Q_r) + 1$ , then there exist  $i \in \{1, \dots, r\}$  such that  $\mathcal{H}_{n,3}(q, Q_i, Q_{i+1}, Q_{i+2})$  (put  $r + 1 = 1, r + 2 = 2$  if  $i = r - 1$  or  $i = r$ )

satisfies the assumption in Proposition 3.21 (by adjusting the parameters by a scalar multiplication if necessary). By Proposition 3.21, such  $\mathcal{H}_{n,3}(q, Q_i, Q_{i+1}, Q_{i+2})$  has infinite type. Thus, we see that  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  has infinite type by Corollary 2.13.

Finally, we consider the case where  $n < \min\{2f^{+1}(Q_1, \dots, Q_r) + 4, f^{+2}(Q_1, \dots, Q_r) + 1\}$  and  $n \geq g(Q_1, \dots, Q_r) + 2$ . If  $g(Q_1, \dots, Q_r) = g_i + g_{i+1}$  for some  $i \in \{1, \dots, r\}$ , we have  $g(Q_1, \dots, Q_r) = f^{+2}(Q_1, \dots, Q_r)$ . In this case, the conditions  $n < f^{+2}(Q_1, \dots, Q_r) + 1$  and  $n \geq g(Q_1, \dots, Q_r) + 2$  are not compatible. Thus, there exist  $i, j \in \{1, \dots, r\}$  such that  $j - i > 1$ ,  $\{i, j\} \neq \{1, r\}$ , and that  $\mathcal{H}_{n,4}(q, Q_i, Q_{i+1}, Q_j, Q_{j+1})$  (put  $r + 1 = 1$  if  $j = r$ ) satisfies the assumption in Proposition 3.22 (by adjusting the parameters by a scalar multiplication if necessary). By Proposition 3.22, such  $\mathcal{H}_{n,4}(q, Q_i, Q_{i+1}, Q_j, Q_{j+1})$  has infinite type. Thus  $\mathcal{H}_{n,r}(q, Q_1, \dots, Q_r)$  is of infinite type by Corollary 2.13.

This proves the “only if” part. We have completed the proof of Theorem 3.13.  $\square$

**Remarks 3.23.**

- (i) The Poincaré polynomial of the complex reflection group  $W = \mathfrak{S}_n \times (\mathbb{Z}/r\mathbb{Z})^n$  is given as

$$P_W(t) = \prod_{i=1}^n \frac{t^{ir} - 1}{t - 1}.$$

Now, we consider the Ariki–Koike algebra  $\mathcal{H}_{n,r}$  with one parameter, namely, in the case where the first relation in the definition of Ariki–Koike algebra (see 2.2) is

$$(T_0 - q)(T_0 - \zeta)(T_0 - \zeta^2) \cdots (T_0 - \zeta^{r-1}) = 0, \tag{3.23.1}$$

where  $\zeta$  is a primitive  $r$ -th root of unity. We assume that  $q$  is a primitive  $e$ -th root of unity and that  $r$  divides  $e$ . Then we have  $\zeta = q^{\frac{e}{r}}$ . In order to apply Theorem 3.13, we rewrite the relation (3.23.1) by changing the generator  $T_0$  by  $q^{-1}T_0$  as follows.

$$(T_0 - 1)(T_0 - q^{\frac{e}{r}-1})(T_0 - q^{\frac{2e}{r}-1}) \cdots (T_0 - q^{\frac{(r-1)e}{r}-1}).$$

In this case, the condition  $n < \min\{2f^{+1}(Q_1, \dots, Q_r) + 4, f^{+2}(Q_1, \dots, Q_r) + 1, g(Q_1, \dots, Q_r) + 2\}$  is equivalent to the condition  $n \leq \frac{2e}{r}$ . Thus, by Theorem 3.13, the Ariki–Koike algebra  $\mathcal{H}_{n,r}$  is of finite type if and only if  $n \leq \frac{2e}{r}$ . Moreover, if  $\mathcal{H}_{n,r}$  is not semisimple then we have  $\frac{e}{r} \leq n$ . The condition  $\frac{e}{r} \leq n \leq \frac{2e}{r}$  is equivalent to the condition that  $q$  (a primitive  $e$ -th root of unity) is a simple root of the Poincaré polynomial  $P_W(t)$ . This result is compatible with a generalization of Uno’s conjecture for Hecke algebras [23].

- (ii) One can check that, if  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  is of finite type, then the weight of each block of  $\mathcal{S}_{n,r}(q, Q_1, \dots, Q_r)$  (in the sense of Fayers [14]) is less than or equal to one by [14, Proposition 3.5] and the definition of the weight of a block [14, 2.1] combined with Lemma 3.18. On the other hand, if the weight of a block of  $\mathcal{S}_{n,r}$  is 0, then such a block is semisimple by [14, Theorem 4.1]. If the weight of a block of  $\mathcal{S}_{n,r}$  is one, then such a block is of finite type by [14, Theorem 4.12] combined with Proposition 1.7. (These facts give an alternate proof of Proposition 3.19.) Hence, it is likely that a block of cyclotomic  $q$ -Schur algebra  $\mathcal{S}_{n,r}$  is of (non-semisimple) finite type if and only if the weight of the block is equal to one.

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