

ILX: Extending the .NET Common IL for Functional Language Interoperability

Don Syme¹

Microsoft Research, Cambridge, U.K.

Abstract

This paper describes several extensions to the .NET Common Intermediary Language (CIL), each of which is designed to enable easier implementation of typed high-level programming languages on the .NET platform, and to promote closer integration and interoperability between these languages. In particular we aim for easier interoperability between components whose interfaces are expressed using function types, discriminated unions and parametric polymorphism, regardless of the languages in which these components are implemented. We show that it is possible to add these constructs to an existing, “real world” intermediary language and that this allows corresponding subsets of constructs to be compiled uniformly, which in turn will allow programmers to use these constructs seamlessly between different languages. In this paper we discuss the motivations for our extensions, which are together called Extended IL (ILX), and describe them via examples. In this setting, many of the traditional responsibilities of the backend of a compiler must be moved to ILX and the execution environment, in particular those related to representation choices and low-level optimizations. We have modified a Haskell compiler to generate this language, and have implemented an assembler that translates the extensions to regular or polymorphic CIL code.

1 Introduction

Given a world with many programming languages, we need the ability to translate constructs between languages, or, alternatively, we need common subsets of language constructs that make sense in most or all languages. Recently there has been more emphasis on language implementations that follow the second path [24,1,4,7]. This paper describes the design and implementation of one such set of constructs, and its realization as a set of extensions to the input language of an execution platform that has already been designed with some of this kind of interoperation in mind. The platform is the .NET Common Language Runtime [4], an implementation of which is provided as part of Microsoft’s VisualStudio.NET. The intermediary language is called Common IL (CIL), and our extended language is called ILX.

The constructs that we have included in ILX so far and which we describe in this paper are:

¹ Email: dsyme@microsoft.com

- First-class functions, closures and thunks;
- Parametric polymorphism;
- Discriminated unions;
- First-class type functions.

Our aim is to provide a practical IL that allows for a standardized treatment of constructs found in many typed high-level programming languages, e.g. Mercury, O’Caml and Generic C# [8,18,13]. This is the first time an IL with significant support across the computing industry has been modified to support these constructs. Similarly this is the first time these constructs have been integrated into a typed, object based IL of the kind supported by the JVM [19] or the .NET CLR.

We have successfully modified the GHC Haskell Compiler [14] to target these extensions.² The compiler produces ILX modules with predictable, typed interfaces that could easily be called from other .NET languages. Implementors of Mercury, Scheme and Vault [6,7,8] are actively pursuing the use of ILX to compile some or all of the corresponding constructs for their languages. We are planning an implementation of the core languages of Standard ML and OCaml.

The remainder of this section discusses the motivations and design aims for ILX. §2 describes the .NET CLR, and §3-5 cover the new constructs, describing them mainly by example. §6 discusses related work and we conclude in §7.

We only give brief discussions on implementation techniques in each section as it we believe it is essential to focus on the *design* of ILX, and not just the performance properties of its implementation. Getting functional languages to run fast has been well explored, but no one has successfully provided a basis for getting them to talk to each other. Clearly this can only be done if a design is suitable for the needs of many languages.

1.1 Motivation

In a multi-language component programming environment such as .NET, language interoperability is one of the key determinants of programmer productivity. There are several possible ways to reduce overheads associated with language interoperability. One, which is certainly attractive when it is practical, is to orient the entire computing environment around a single programming language. This approach will naturally be the one preferred by any single programmer, who will have a favorite language that he or she thinks the whole world should be using, or if a project is starting from scratch without legacy constraints. However, for better or for worse the computing world is very multi-lingual – this has certainly been the case historically and there are of course many languages in use to day. There are many reasons to expect this situation to continue: the existing investments in today’s languages are enormous – it is expensive to change existing source code bases, or to retrain programmers, or to re-implement advanced compilation technology. This has been the primary motivation for Microsoft’s approach to multi-language programming in the context of the .NET platform.

² Though see the caveats in the conclusion.

This situation poses both opportunities and problems for languages with functional and algebraic constructs (e.g. Standard ML, O’Caml, Mercury, Scheme and Haskell). The opportunity is that if language interoperability issues are solved, then these languages are better placed to be used in the areas where they are most suited, and components can be written in these languages without revealing that “exotic” techniques are being used.

However there are many problems. Foremost amongst these is the simple fact that the vast majority of libraries are now being written using APIs expressed in the Java and .NET object systems. Recently some progress has been made in this area [1,8,15,24]. But the problems for these languages go beyond simply being able to access libraries: there is a fundamental lack of interoperability between these languages over the very constructs which give higher programmer productivity, i.e. the functional and algebraic constructs such as polymorphism and discriminated unions. Interoperability is hard for this feature set for the simple reason that there are a number variations on these constructs, and for each there are many ways to implement them. For example, there are many ways to represent discriminated unions in an object model, and even more ways to represent them at the level of bits and bytes provided by COM and Corba, or a C FFI. Unfortunately it is only natural that if multiple possibilities exist, then different implementations inevitably choose different possibilities, and interoperability becomes very difficult.

A related problem is that of runtime support, which is partly addressed by platforms such as the CLR. The constructs we are interested work best when the objects are stored in a garbage collected heap, but GC has traditionally been a service provided by the language implementation itself. Combining multiple GCs and heaps is technically difficult and it becomes hard to trade objects seamlessly – at least some level of wrapping is typically required. Thus sharing a GC between multiple languages is a great first step. However, the services provided by the CLR are not always sufficient, as in the case of parametric polymorphism [16].

1.2 *The ILX Design Aims*

Given this context, ILX attempts to solve some of these problems, at least for the .NET platform. The “big-picture” aims for ILX can be summarized as follows:

- Permit practical interoperability between functional languages on .NET;
- Be the easiest route to implement a language containing the ILX constructs.

The first aim imagines a world where all the .NET languages with functional-like constructs can interoperate with relative ease. Ideally, it shouldn’t matter which language a component is written in – for example Mercury, O’Caml or Standard ML components should be essentially interchangeable, as long as the APIs to the components are written in a sufficiently common fragment of the respective languages. Furthermore, for the purposes of interoperability it shouldn’t matter how the common constructs (e.g. function types and closures) are represented in terms of the underlying soup of objects, code pointers and the like.

It must be admitted that both of the “big-picture” aims described above will be very difficult to realize in practice, primarily for economic and social reasons:

there is already a large investment in existing functional language implementations; whole platforms are still costly to implement; and there would have to be a convincing economic reason for functional language implementations to converge and interoperate. Fortunately for the author, ILX has served other purposes as well, discussed further in the conclusion. Perhaps the most important has been to help identify some minimal changes required to the CLR design and implementation to best support the designs described here.

1.3 *The ILX Design Philosophy*

Our design constraints for ILX have been as follows:

Compatibility. The ILX language includes all existing CIL constructs.

Adequacy for certain languages. The constructs must be adequate to compile the equivalent constructs in ML, O’Caml, Haskell and Mercury.

Reasonable efficiency. The design must, in theory, be translatable to existing CIL constructs, and a verifiable translation must be theoretically possible, perhaps by sacrificing some efficiency. The implementation by translation must be fast enough to mean that compiler writers would prefer to use these constructs rather than finding their own encodings in CIL.

Feasibility of direct support. The constructs must be appropriate to implement directly in some future version of the CLR. Looking to the future, the designs should permit a reasonable range of optimization strategies.

Non-enforceability of all language properties. We do not try to preserve all high-level language properties in the underlying ILX language. For example, in our present design discriminated unions are always mutable data structures, even if some high-level languages typically optimize on the basis of non-mutability.

Design orthogonality. The design of the different extensions must be orthogonal. Indeed, as we implement the ILX assembler by eliminating the constructs one by one, they also need to be orthogonal for implementation reasons.

Note that one design goal not listed is “absolute minimalism” – i.e. we are willing to include constructs in ILX that represent higher abstractions that can, in theory, be built in terms of existing constructs, in particular with regard to discriminated unions (§4). We discuss this further in §7.

2 The .NET Common Language Runtime

A dynamic execution platform (or virtual machine, runtime environment or execution engine) is the combination of a number of software services, usually packaged as a process running on an underlying operating system. The services typically include execution of a bytecode-based portable binary format, a garbage collector and a set of standard libraries. Other services may include Just-In-Time (JIT) compilers, marshalling and distribution support, code security via verification checks, support for reflective programming, and debugging and profiling APIs. Examples include

the O’Caml bytecode interpreter [18], the JVM [19], and the .NET CLR [4]. In this paper we focus on the CLR, though we emphasize that our approach to extending an IL could be applied in other situations, and that many of the technical details would carry over.

The CLR “manages” the execution of code. When developing code with a language compiler that targets the CLR, you compile your application or component to code that uses the services described above. CIL code contains “metadata” that makes it “self-describing”, so it can be disassembled into a fairly readable form and used by tools, especially to provide a common model for component interaction.

The CLR has been designed explicitly to support multiple programming languages. In particular, it can execute C#, C, C++ and VB.Net code efficiently and faithfully, and the constructs required to achieve this have greatly affected its design. Supporting multiple languages is both a blessing and a curse. Different languages have different execution models, and the design of the CLR must, necessarily, end up looking somewhat like the “union of several different dynamic execution platforms”. For example, to efficiently execute C code that assumes a 64-bit processor, but which explicitly performs bit-level operations on pointers, the machine must certainly provide a rich model of integer types and pointer arithmetic. To support VB, instructions that allow a certain kind of “safe” use of pointers (called “byref parameters”) are required. To support object-oriented languages, an object model is required, and to support Java-style arrays, co-variance is allowed between array types, even though many languages do not require this. A unified model of several kinds of exceptions is also included. Many languages have been successfully targeted at the CLR, including Mercury, Standard ML, C#, C++ and VB.NET. Any one language typically only needs a small number of the features provided.

The CLR provides numerous other services that are well beyond the scope of this report, e.g. a declarative security model and “assemblies” for managing code packages. One of the primary motivations for extending an existing IL is that we can take advantage of all these features from other languages.

Common IL (CIL) is the intermediary language supported by the .NET Execution Engine. CIL is a stack-based language designed to be easy to generate from source code by compilers and other tools. Some of the instructions of CIL are shown in Figure 1. The CIL contains a subset that is strongly typed and can be verified prior to execution. Some aspects of CIL have been formalized [10]. Note that most IL instructions are polymorphic with respect to size, e.g. there is just one `add` instruction. The JIT reconstructs the basic types on the stack as it emits code.

Logically speaking, the .NET CLR has a much richer input language than is immediately evident from the CIL instruction set. This is for two reasons: assembly (the .NET notion of a software component), class, interface, “struct” and method definitions form part of the CIL and give a fairly rich object calculus for organizing and expressing data structures. This calculus includes a fairly standard kind of privacy (public, private, protected and assembly scoping) and a selection of novel declarative security attributes. Secondly, some aspects of CLR’s behaviour are by rights first-class constructs in the CIL and are thinly disguised within other constructs (e.g. delegate and enumerations) or as calls to class libraries (e.g. dynamic security scopes and threads).

The .NET design already specifies an interoperability standard called the .NET

<code>int32, int64, float32, float64</code>	Types for “32-bit integers” etc.
<code>ldc.i4, ldc.r4, add, sub, mul, div, shl, shr, ...</code>	“Load integer constant”, “add” and other arithmetic instructions.
<code>ldloc, stloc, ldarg, starg</code>	“Load local”, “Store local”, etc. Manipulate resources local to a method invocation.
<code>ldfld, stfld, ldsfld, stsfld</code>	“Load field”, “Store field”, “Load static field”, etc. Manipulate object and static data.
<code>ldloca, ldarga, ldflda, ldsflda, ldind, stind</code>	“Load local address”, “Load argument address” etc. Pointer manipulation.
<code>call, callvirt, newobj</code>	Method call and object creation.
<code>ldftn, calli</code>	C-style code pointer generation and use.
<code>castclass, isinst</code>	Access runtime type information.
<code>box, unbox</code>	Convert in-line values (“structs”) to and from heap-allocated objects.

Fig. 1. Some Sample CIL Types and Instructions

Common Language Specification (CLS), for interoperability between object-based languages such as VC++, Eiffel, C# and VB. The CLS includes constructs such as primitive types, arrays, classes, methods and fields. Many constructs are in the CLR but not in the CLS. These include finalization, immutability, variable argument functions and optional parameters.

3 The ILX Extensions for First-class Function Values

We now proceed to describe the extensions to the CIL currently included in ILX. It has long been recognized that “anonymous computations” (i.e. lambda terms or function values) are pervasive in computing. Often they are side-effect free, but anonymous computations with limited side-effects also have many uses. Languages that fail to build in an adequate notion of anonymous computation invariably force the programmer to use contorted techniques in many situations, e.g. the simplest “map” operations will have no natural representation.

Anonymous computations need not be statically typed, but type systems often include a notion of a function type. Functions can sometimes also accept other things as arguments besides values, e.g. type functions accept types as arguments – we consider this case in §5.2.

One of the key goals in this section is to choose a design that allows an efficient implementation, in particular one where each closure is compiled to one method, and *not* one class as is the case in many existing implementations for virtual machines. We also ensure that it is feasible to implement the design using standard multiple entry point techniques.

3.1 The ILX Design

We first describe the ILX extensions for function types, closures and closure objects, and then consider some implementation techniques being explored in the current ILX implementation. The ILX design includes the following aspects.

- n -ary function types. This is a space of function types of the form $\tau_1, \dots, \tau_n \rightarrow \tau$, written `(func (τ_1, \dots, τ_n) --> τ)`. The number of arguments accepted by each application may be zero or greater, and there is only one return value.³
- Closures and thunks. These declare a set of free variables (the environment) and an `.apply` method. The latter can be declared to accept one or more groups of multiple arguments, i.e. closures may be declared in “curried” form. Closures that accept one empty set of arguments can be declared to have thunking-semantics.
- Function application is performed using the `callfunc` instruction. This applies one or more groups of arguments. The function value appears first on the stack, followed by the argument groups in sequence.
- Closure types. Closure declarations introduce corresponding closure types. Type annotations can then be used to show that function values are known to belong to particular closure types. An instruction `callclo` to perform a “direct call” to a closure is provided. Closure types are primarily for use by optimizing compilers to record evidence that demonstrates that direct calling to known closures is sound.
- Subtyping rules. Function types are subtypes of `System.Object`, closure types are subtypes of their corresponding function types.⁴
- Runtime typing and reflection rules for the new types. The CIL instructions `castclass` and `isinst` can be used on function types with guaranteed “exact” results. The instruction `castclo` can be used on closure types with “inexact” results (see §3.3 below).
- Instructions `ldenv` and `stcloenv` to access and perform limited mutation on the closure environments. The primary purpose of this mechanism is to permit the allocation and “fix-up” of mutually recursive closure declarations, and this closure environments may only be mutated in the same block of code where the closure object is allocated. If general mutability is required then appropriate fields of the closure environment must be boxed.
- Cross module closure references. Optimizing compilers can reference closures declared in other modules directly.

None of this is terribly surprising in itself – it embodies a straightforward eval-apply model of function values in the context of CIL. The key aspects are the fact that the design is fully integrated with existing CIL constructs and that it permits a range of implementation options. Most importantly, our design does not commit a translating implementation to realize a closure “class” by a CIL “class” – indeed in one of our implementations each closure corresponds to a single CIL method. We discuss this further below, but the basis for this result is that the information that can be specified in a closure class is very limited, and the reflection semantics of

³ Extending this design to multiple return values is under consideration. Multiple return values can currently be simulated by returning a CLR struct containing the values.

⁴ Function types are not currently *co/contra*-variant due to the limitations this would cause on some implementation techniques.

```

// Two closures, the first implementing the function type:
// (func (int32, int32) --> int32)
.closure add() {
  .apply (int32 x,int32 y) --> int32 {
    ldarg x ldarg y add ret
  }
}
.closure add_lots(int32 fv1,float64 fv2) {
  .apply (float32 x1) (int32 x3) --> int32 {
    ldenv fv1 ldenv fv2 conv.i4 add
    ldarg x1 conv.i4 add
    ldarg x2 add ldarg x3 add
    ret
  }
}
.method static public void main() {
  .locals(int32 result, (func (int32,int32) --> int32) f)
  // allocate a function value:
  ldc.i4 10 ldc.r8 3.1415
  newclo closure add_lots
  // partially apply it:
  ldc.r4 2.71
  callfunc (float32) --> (func (int32,int32) --> int32)
  stloc f
  // apply the remaining arguments:
  ldloc f ldc.i4 40 ldc.i4 50
  callfunc (int32,int32) --> int32
  stloc result
  // print the result:
  ldloc result
  call void class System.Console::WriteLine(int32)
  ret
}

```

Fig. 2. Sample ILX Code using First-class Functions

function values are under-defined. Closures may *not* contain additional methods, fields, attributes, data, security declarations or any of the other baggage that comes with regular CIL classes.

Figure 2 shows how closures are declared and how a function value is created and called. The declarations that follow the name of the closure are the members of the environment – the order and names of these declarations are irrelevant to the execution semantics. Each closure must have one `.apply` method.

3.2 *Thunks and Values-as-computations*

Closures that accept one empty set of arguments can be declared to have thinking- semantics: in this case `.think` is used in place of `.closure` in the declaration. The evaluation code is guaranteed to be executed only once and the result memoized. Otherwise the declarations and instructions used are identical to closures.

The primary reason to include thunks as part of the IL design is to permit ILX and the runtime environment to make choices about how thunks are implemented.

In theory it is possible to add smaller primitives to the CLR itself to support techniques such as “shorting out” thunk indirections during garbage collection. ILX could then be modified to use this facility without modifying the source language compilers. Furthermore ILX can, in theory, make implementation choices about the layout of the thunk closures and how the thunk result will be stored. Finally, ILX guarantees that the closure environment is “copied-out” to the stack when application occurs, preventing some space-leaks and relieving the compiler writer of the burden of generating this code.

Normal object values can be used as zero-arity function values in one particular way, by the class subclassing a zero-arity function type, for example:

```
class MyString extends (func () --> class MyString) {  
  .field public class System.String mydata;  
}
```

Function application always returns the object itself for such classes. The motivation for this design decision is to allow data values to be used where computations are expected. Only values of classes declared via this route are guaranteed to be compatible with their lifted type.

3.3 Closure Types

Closure types indicate that a value is not only known to be a particular function type, but is also known to be an instance of a particular closure. The only special operation you can do with such a value is perform an application using `callclo`, which are normally implemented as faster, direct calls. Classes may not subtype closure types.

The instruction `castclo` can be used on a closure type. However, unlike class types, the mapping between `.closure` declarations and runtime closure types need not be 1:1. That is, the ILX may choose to make two `.closure` declarations indistinguishable at runtime. In practice compilers should never emit `castclo` instructions that may fail. If privacy of closure environments is required, then the environment should be wrapped in a class declared private to the containing assembly.

3.4 Function Types and Delegates

The .NET CLR already includes a notion that is not too far from function types and anonymous computations, called delegates. For those unfamiliar with delegates, the guide in Figure 3 may be helpful.

Delegate types are essentially named function types, introduced by special kinds of class declarations, and it is worth considering whether one could unify the two concepts, partly because delegate types are used frequently in the .NET standard libraries. Delegates can be used to implement most of the ILX design described above: closures would simply become delegate objects, and typically the closure will itself be the delegate recipient associated with the delegate object. However, as they stand, delegates are not adequate for function types and closures: delegate types are named, not structural; the code for the delegate is tied to the environment; each closure corresponds to roughly one delegate recipient class; delegate types are named, rather than belonging to a general space of function types; and the costs of

Delegate types.	Named n -ary function types.
Delegate recipient classes.	Closures where the data-layout of the closure is tied to the code.
Delegate objects with an associated delegate recipient.	Closures where the environment has been separated out to be another object in the heap.
Multicast delegates.	Closures that sequence several side-affecting function applications.

Fig. 3. Delegates v. First-class Functions

delegate construction, invocation and the space-costs of delegate objects appear high on existing CLR implementations, at least when compared with typical functional language implementations. Nevertheless we plan to experiment with a translation to delegates, perhaps combined with some modifications to the CLR, and to determine the runtime costs involved.

3.5 Implementation Strategies

In this section we consider techniques to implement the above design for function types and closures via translation to (perhaps unverifiable) CIL code. We also consider targeting Generic CIL, described in [16] and covered further in §5. A direct implementation of the constructs in the CLR is naturally possible but is beyond the scope of this paper.

One of the long-term goals of ILX is to determine the “best” such translation given the overall goals of ILX, and to identify the minimal modifications to the CIL (if any) that are required to support it. We are in the process of completing a spectrum of implementations and comparing their performance under various parameters. The key aspect of any such implementation is a *closure conversion*. A range of type-preserving closure conversions have been studied in other contexts [20,1]. One of the key aspects of such a conversion is the use of existential types to model environments, and in our situation we use classes, subclassing and subtyping (which offer a form of existential typing) as a replacement.

The simplest closure conversion to CIL is as follows:

- Function types are translated to a set of polymorphic abstract base classes $\text{Func0}\langle B \rangle$, $\text{Func1}\langle A, B \rangle$, $\text{Func2}\langle A1, A2, B \rangle$ up to some $\text{Func}N$.⁵ Each of these have an appropriate abstract virtual `apply` method, e.g. `B apply(A)` for Func1 . We thus piggyback off the system of polymorphism described in §5 and rely on the implementation of that system to handle code-generation, non-uniform instantiations and the insertion of most of the casts needed for verifiability.
- Closures become subclasses of these classes that implement the base class at a particular type, overriding the entrypoint. Other operations map down to CIL object operations in obvious ways.

Such an implementation has been tried before when implementing languages on the JVM and .NET CLR [7,1,23], and suffers from an obvious and well-known

⁵ Function types of higher arity would be compiled using the given types combined with a product construct, e.g. a parametric $\text{Pair}\langle A, B \rangle$ class.

problem: there will be many, many classes for a typical functional program (our estimates show one closure per line of Haskell code for the GHC standard library). By necessity, the implementation of classes on such systems is always going to be heavyweight, as classes must support reflection semantics. Other problems include the technical difficulties involved with supporting multiple calling conventions for closures under such a scheme.

Our favoured implementation strategy is rather different, and relies on features of the CLR that are not present in systems such as the JVM. The idea is to have one unverifiable implementation module that encapsulates the unsafe tricks we use to implement closures. This module can use the “function-pointer” primitives of CIL (`calli` and `ldftn` – see §2) to mimic the implementation strategies of existing functional language implementations – these are verifiable to a degree in CIL, in the sense that typesafe function pointers can be generated and be passed to methods that accept them. As a minimum the module must provide:

- a collection of abstract generic types `Func0`, `Func1<A,B>`, `Func2<A1,A2,B>` up to some `FuncN`;
- several abstract generic closures, defined to be subclasses of the above function types, e.g. `Clo1<A,B,E>` : `Func1<A,B>` is used to implement closures containing one free variable with an environment of type `E`;
- methods for allocating function values;
- methods for performing function applications.

The allocation methods will be polymorphic and have signatures such as

```
Clo1<A,B,E> bake1<A,B,E> (E env, method B *(Clo1<A,B,E> env, A arg) code)
```

where `method τ *(τ_1, \dots, τ_n)` is the CLR type for a C-style code pointer. The application methods are again polymorphic and have signatures such as

```
B app1<A,B>(Func1<A,B> f, A arg)
```

These functions will simply tailcall to the code pointer carried in the closure `f` and should normally be inlined.

The ILX operations then map down to these constructs in a straightforward fashion. The actual implementation of the above module has many options: the simplest implementation will only support one entry point and the code pointer for each closure will be stored inline in the function value itself. Further refinements are possible to this implementation technique, in particular to support multiple entry points. These are under development and are beyond the scope of this paper.

4 The ILX Extensions for Discriminated Unions

Discriminated unions are a typesafe way of dividing data into categories. They form an essential part of the implementation of recursive sum/product structures found in ML, Haskell, OCaml, Mercury and many other languages, and thereby help provide a simple, unified way of modeling structures such as lists, trees, records, enumerations, and abstract syntax.

.NET does not support discriminated unions directly, and they must instead be encoded in the object model. After a moment's thought it can be seen that there are many ways of doing this, depending on the particular datatype in question, and upon the encoding scheme desired. For example, even simple enumerations can be encoded as 32 bit integers, or as integers of an appropriate size, or as explicitly unsigned integers. Our aim in this section is to relieve the compiler writer of the burden of deciding on appropriate representations. We do this by extending CIL with constructs to define and manipulate discriminated unions in a way that is completely compatible with existing CIL. This will allow those languages generating ILX to interoperate to some degree.

The ILX design for discriminated unions is fairly straightforward, the main questions being ones of implementation and the guarantees that would be given as to how the underlying constructs appear as classes to the C# or CLS programmer. The extensions are as follows. New algebraic types are defined using the “.classunion” directive:

```
.classunion color {
  .alternative RGB(int32,int32,int32)
  .alternative CMY(int32,int32,int32)
  .alternative HSB(int32,int32,int32)
}
```

Each alternative specifies a name and a signature, the latter representing the data fields that objects of that kind possess. Note that data fields need not be given names, i.e. names of data fields are not significant for purposes of binding (linking) or (non-reflective) execution. Alternatives with the same name but different signatures are distinct, so some overloading is permitted. Some existing languages with support for discriminated unions support overloading on arity (e.g. Mercury), and the CIL allows methods to be overloaded in the same way.

The order of declaration of alternatives is *not* significant, though this design decision is a difficult one and may be subject to further review. Some languages such as SML have order-independent source language semantics, but some obvious implementation techniques (e.g. using integer tags) become more difficult if order-independence is permitted. However, reasonable implementation strategies exist to allow an order-independent semantics (i.e. compiling to classes and using runtime type tests to discriminate variants), and the generality gained from order-independence thus seems justified.

Classunions are much closer to normal CIL classes than closures, because they are not anonymous and we require less flexibility in how they are implemented. They may contain: static fields and methods; instance methods; custom attributes; security attributes; and instance fields. They may also extend other classes. About the only restriction is that classunions are implicitly sealed and may not have explicit layout information, restrictions that could in theory be lifted. Thus our classunions are really “classes with an embedded discriminated union.”

We introduce instructions `newdata`, `lddata`, `stdata`, `castdata`, `isdata` and `switchdata` to create and manipulate classunion values. The code in Figure 4 illustrates some of these. The `castdata` instruction raises an exception if the data value is not of the given variant. At the branch destinations of `switchdata` the

```

.method static public void main() {
    .locals(classunion color)

    ldc.i4 0   ldc.i4 255   ldc.i4 255
    newdata classunion color RGB(int32,int32,int32)
    dup   ldc.i4 255
    stdata classunion color,RGB(int32,int32,int32),0
    switchdata classunion color,
                (RGB(int32,int32,int32),rgb),
                (CMY(int32,int32,int32),cmy)
default: pop
        br lab3
rgb:    ldc.i4 64
        stdata classunion color,RGB(int32,int32,int32),0
        br lab3
cmy:    ldc.i4 128
        stdata classunion color,RGB(int32,int32,int32),0
lab3:   ret
}

```

Fig. 4. Sample ILX Code using Discriminated Unions

data is left on the stack and, as far as the type system is concerned, has a type corresponding to the appropriate alternative. These types are not first-class in ILX, and only exist for the purposes of intra-method verification.

4.1 Implementation Strategies

Once again we only consider translations to CIL. Given this, the range of implementation strategies for discriminated unions is wide but straightforward: the basic encoding will typically be superclass-subclass based, with one subclass for each alternative. There are obvious improvements on this scheme: enumerations can be encoded as integers; other zero-argument alternatives can be encoded either by `null` or by constant members of the superclass; if there is only one non-zero-argument alternative the superclass can act as that alternative. As is well known, polymorphism makes it difficult to be more ambitious than this kind of global data-layout optimizations. If non-verifiable code is being produced then it may be wiser to use an integer tag to discriminate between alternatives rather than a runtime type, though the order-independence of datatype alternatives would mean the allocation of integer tags may need to be delayed until the discriminated union is first loaded into the virtual machine.

The exact choice of a “standard” encoding is outside the scope of this paper – this depends partly on the efficiency required and partly whether the results of the translation should be visible to the C# or other .NET programmers. We plan to present a detailed analysis of implementation options when more data is available.

5 The ILX Extensions for Parametric Polymorphism

Generics, or parametric polymorphism, allow classes, methods and other structures to be parameterized by types. In parallel with the ILX project we have designed

support for generics as an extension to CIL and implemented it natively in the Microsoft .NET CLR [16]. This section summarizes this design and its interactions with the constructs described so far. The key features of the design are:

- Type abstraction. Classes, structs and methods can have type parameters.
- Non-uniform instantiations. All polymorphic structures can be instantiated at both reference and non-reference types, the latter including 32-bit unboxed integers, 64-bit unboxed floats and structs.
- Polymorphic inheritance. A polymorphic class can have a monomorphic superclass, and a monomorphic class can have an instantiated polymorphic superclass.
- Constraints by interfaces. Type parameters may be constrained by interface and class types, and interfaces used in this way may include static (i.e. “static-virtual”) members.
- Exact runtime types. Instantiations of type parameters are not erased at runtime, and thus operations such as `castclass` have “exact” type semantics.
- Polymorphic virtual methods. Type applications are supported at indirect call-sites such as virtual methods.
- Non-variant. For example, `List<String>` is not a subtype of `List<Object>`.
- Not higher-kinded. Abstraction over type constructors is not allowed.

The new CIL instructions and types are

<code>class class-name<τ_1, \dots, τ_n></code>	an instantiated generic reference type
<code>value class value-class-name<τ_1, \dots, τ_n></code>	an instantiated generic struct type
<code>!n</code>	a type variable, numbered from the outermost to the innermost in the surrounding scope
<code>call, callvirt, ldfld, stfld, newobj, newarr</code>	modified to accept type instantiations in addition to their other parameters
<code>ldelem.any, stelem.any</code>	size-polymorphic array access
<code>castclass, isinst</code>	implementing exact runtime type semantics.

ILX provides several implementations of polymorphism by translating to CIL code.⁶ It can also optionally emit generic code unchanged to run on a Generic CLR. The implementations planned are:

- by erasure to the universal representation `Object`, inserting box/unbox instructions where necessary [17];
- by code-expansion with respect to two representations: `Object` and 32-bit ints;
- by runtime reflection to generate new classes as needed.

⁶ While the syntax of ILX’s polymorphism is identical to that for the Generic CLR, the current ILX implementation does not have identical semantics. In particular, we do not always maintain exact runtime type information. The current languages targeting ILX are not affected as they do not make use of exact runtime types.

```

.closure mem<T>(T x) {
  .apply (List<T> list) --> int32 {
    ... // Some implementation, e.g. loop through "list" looking for "x"
  }
}
.method static void main() {
  // Create an instance of the closure, with T = int32
  ldc.i4 17
  newclo mem<int32>
  ...
  // Assume a List<int32> has been pushed. Now apply the closure.
  callfunc (List<int32>) --> int32
}

```

Fig. 5. Sample ILX Code for Polymorphic Closures

5.1 Polymorphism and Discriminated Unions

Given the design for Generic CIL described above, we must consider how polymorphism interacts with the designs described so far. We consider discriminated unions first. Here the design is simple: discriminated unions may be tagged with generic type parameters:

```

.classunion tree<any T> {
  .alternative Tip()
  .alternative Node(tree<T>, tree<T>)
}

```

As noted in §3.5, polymorphism limits implementation options in some ways when choosing representations for datatypes. The exact runtime type semantics also limit implementations: for the above discriminated union, values of the nullary constructor `Tip` must be discriminable for different `T`, e.g. `Tip<int>` must, in some way, be a different value to `Tip<string>`, as both are compatible with the type `Object` and casting can be used to differentiate one from the other. This problem can be addressed in a number of ways, but the use of the `null` value to represent `Tip` will not be possible.

5.2 Polymorphism, First-class Function Values and Type Functions

Polymorphism is more complex to combine with closures. Some decisions are easy: the first is to permit closures to be parameterized by type, as illustrated in Figure 5. These type parameters are effectively the free type variables in the corresponding λ expression. These free type variables must be specified when a closure is created and whenever the closure is used “directly”, e.g. in the `callclo` instruction. These kind of type parameters are *not* specified when using `callfunc`.

The ILX design goes further than this, however, and supports a notion of first-class type function. Given that the system of generics permits the runtime application of type parameters, it is natural to extend our notion of function value to encompass type functions, i.e. anonymous function values accepting types as arguments. As it happens (and this is no coincidence) our target system of generics [16] includes virtual methods that accept type parameters as arguments: these are pre-

```

// The following specifies a closure that accepts a type as its first argument.
// Objects of this closure have type  $\forall\alpha. \alpha \rightarrow \alpha$ .
.closure id () {
  .apply <any> (!0 x) --> !0 {
    ldstr "Called id once..."
    call void System.Console::WriteLine(class System.String)
    ldarg x
    ret
  }
}
// This method accepts type function as an argument and applies it twice.
.method void go( (forall <any> (func (!0) --> !0)) f) {
  ldarg f ldc.i4 17 callfunc <int32> (!0) --> !0 pop
  ldarg f ldc.i4 "abc" callfunc <class System.String> (!0) --> !0 pop
  ret
}
.method static void main() {
  // Create a value that is a type function and pass it as an argument:
  newclo class id
  call void go((forall <any> (func (!0) --> !0)))
  ret
}

```

Fig. 6. Sample ILX Code for Type Functions

cisely type applications at indirect callsites, i.e. at runtime. However that system does not include any types of the form $\forall\alpha.\tau$.

The code in Figure 6 shows an ILX code sample where a type function is created and then used within a method. The extensions for type functions are:

- A new form of types of the form $\forall\alpha.\tau[\alpha]$, written `(forall <any> ...)` and indexing type parameters from the outermost quantifier inward.
- Modifications to the instructions `callfunc` and `callclo`. For a single type application `callfunc < τ_1 > --> τ_2` , a value with static type $\forall\alpha.\tau[\alpha]$ must be on the stack, and an object of static type $\tau_2[\tau_1]$ is returned.
- Subtyping rules. Type functions are always subtypes of `System.Object`. The following subtyping rule also always holds: $\forall\alpha.\tau[\alpha] < \forall\alpha.o$ where o is `System.Object`.
- Exact runtime type semantics for the new types.

It is expected that `callfunc` instructions involving type applications are executed rarely, primarily upon class and object initialization. Standard ML and Haskell allow nearly all type applications to be lifted into module initialization code.

6 Related Work

ILX is one attempt to transfer results that have been described again and again in an academic setting across to a “real-world” context. Two areas of recent work are particularly relevant: type systems for low-level languages (a good summary is in [5]), and the efforts to incorporate algebraic language features into Java, e.g. Pizza,

NextGen and Bücki and Weck’s work on compound types [21,3,2]. In many ways our work is much closer in spirit to the latter, as we accept an existing language as our starting point and are trying to retrofit constructs on top of this. Our constraints have been somewhat different, as we are trying to satisfy the needs of languages such as Standard ML, Haskell and Mercury, rather than the needs of Java programmers, but the recurring problems of finding a design that “feels right” (i.e. has an appropriate set of orthogonal properties) given an existing language has been similar. Both kinds of work share a common requirement to “keep it simple”, in our case in order to make sure that different languages compile constructs in compatible ways.

The translation steps performed by our implementation of ILX are strongly reminiscent of those performed by Morrisett et al. when implementing TAL [9]. They also share similarities with the implementation steps in compilers targeting the JVM or .NET CLR, e.g. [1].

There is a growing body of work on language interoperability. Our work differs from that based on marshalling, COM APIs or FFIs, mainly because we seek language interoperability via language integration rather than marshalling techniques. Some marshalling may be required in the cases where the IL or ILX representations are not suitable or compatible, but our aim is to minimize the amount of marshalling for some common constructs.

7 Conclusions

This paper has presented the design aims for ILX and described the ILX design choices for function types, closures, thunks, discriminated unions and parametric polymorphism. ILX makes implementing these aspects of a language on the .NET platform simple, by providing these constructs within the context of the existing IL. Such a compiler using ILX will automatically produce code that is representationally compatible with other ILX implementations, thus making interlanguage working feasible.

The design chosen for ILX can be criticized in several ways. Firstly, ILX is biased towards typed languages with a functional core and toward compilers that preserve types through the compilation process. This can make it difficult to adapt an existing compiler (it was the primary difficulty in targeting the GHC compiler at ILX), and also throws into doubt the suitability of ILX for untyped languages such as Scheme. We are in the process of considering options to better support untyped languages, especially with regard to closures.

Some of the constructs included in ILX could, in theory, be treated fairly adequately by a source language compiler – for example discriminated unions. These are included in ILX to enable us to ensure that we can achieve the crucial design goals of representational uniformity between different languages. Thus the ILX design is *deliberately* non-minimalist, just as the design for the CLR itself is non-minimalist. Similarly, some design choices ensure that the job of producing a reasonably efficient implementation is relatively simple – for example curried application is included as a single instruction. Once again, this is deliberate non-minimalism. However, in parallel with the design of ILX we have been recommending minimalist modifications to the design and implementation of the .NET CLR itself to help ensure it

has the facilities required for ILX.

Our type system is not higher-kinded, and thus is not quite capable of directly representing either the essence of the ML type system (see [22,12]) or Haskell's higher-kinded type abstraction. This is a significant problem that is difficult to solve – we have considered supporting higher-kinded abstraction in our system of generics for the CLR but the complexity increase is high for the benefits achieved.

ILX has serves other purposes besides those outlined in §1. In particular:

- ILX has allowed us to design and prototype the design for generics for the .NET CLR and perform controlled correctness and performance tests for generics.
- We have used it to prototype other suggested design changes for the .NET CLR.
- We are using it to systematically investigate encodings of constructs described with a view to fixing and standardizing them.
- It has helped us give evidence to CLR teams about where performance should be improved, or else the design changed.

In the long run, one major advantage of using a dynamic execution platform is the opportunity that it gives for advanced optimization strategies, as such a platform can utilize incrementally collected global information to make compilation decisions. For example, a dynamic execution platform can inline function calls across compilation units, something that compilers cannot do unless they can see the code being called at compile time and which in any case tends to break versioning properties of the generated code. There are undoubtedly many potential runtime optimization strategies applicable to the kinds of constructs considered in this paper, and this forms a large area for potential future research.

Many more constructs could be systematically encoded at the level of ILX. Some constructs we have considered adding to ILX in the future are backtracking; type classes [11]; compound types [2]; join-style synchronization points; co-variant return types and contravariant argument types. Finally, the implementation techniques described in this paper are still under development and require further, continual investigations as the target .NET platform and its implementations evolve.

Acknowledgements

I am very grateful to Nick Benton, Cedric Fournet, Andrew Kennedy, Andy Gordon, Simon Peyton Jones, Claudio Russo, Reuben Thomas, Andrew Tolmach and the anonymous referees for their help and advice with this work.

References

- [1] P. N. Benton, A. J. Kennedy, and G. Russell. Compiling Standard ML to Java bytecodes. In *3rd ACM SIGPLAN International Conference on Functional Programming*, September 1998.
- [2] M. Büchi and W. Weck. Java needs compound types. Technical Report 182, Turku Centre for Computer Science, June 1998.

- [3] R. Cartwright and G. L. Steele. Compatible genericity with run-time types for the Java programming language. In *Object-Oriented Programming: Systems, Languages, Applications (OOPSLA)*, Vancouver, October 1998. ACM.
- [4] Microsoft Corporation. The .NET Common Language Runtime. See website at <http://msdn.microsoft.com/net/>.
- [5] K. Crary and G. Morrisett. Type structure for low-level programming languages. In *International Colloquium on Automata, Languages, and Programming*, pages 40–54. Springer-Verlag, July 1999.
- [6] R. DeLine and M. Fähndrich. The Vault Project. See website at <http://research.microsoft.com/projects/Vault>.
- [7] M. DePristo and P. Surana. The HotDog Scheme compiler. See website at <http://rover.cs.nwu.edu/~scheme>.
- [8] T. Dowd and F. Henderson. The .NET Common Language Runtime Mercury Compiler. See website at <http://www.cs.mu.oz.au/research/mercury/>.
- [9] G. Morrisett, D. Walker, K. Crary, and N. Glew. From System F to typed assembly language. In *25th Annual ACM Symposium on Principles of Programming Languages*, pages 527–568. ACM, January 1998.
- [10] A. Gordon and D. Syme. Typing a multi-language intermediate code. In *27th Annual ACM Symposium on Principles of Programming Languages*, January 2001.
- [11] C.V. Hall, K. Hammond, S.L. Peyton Jones, and P.L. Wadler. Type classes in Haskell. In *European Symposium On Programming*, number 788 in LNCS, pages 241—256. Springer Verlag, April 1994.
- [12] R. Harper, J.C. Mitchell, and E. Moggi. Higher-order modules and the phase distinction. In *Conference Record of the Seventeenth Annual ACM Symposium on Principles of Programming Languages, San Francisco, California*, pages 341–354. ACM, 1990.
- [13] A. Hejlsberg and S. Wiltamuth. C# language reference. See <http://msdn.microsoft.com/vstudio/>.
- [14] S.L. Peyton Jones and many others. The Glasgow Haskell Compiler. See website at <http://www.haskell.org/ghc>.
- [15] S.L. Peyton Jones, P.L. Wadler, and many others. The Haskell 98 report. See website at <http://www.haskell.org>.
- [16] A. Kennedy and D. Syme. Design and Implementation of Generics for the .NET Common Language Runtime. In *ACM-SIGPLAN 2001 Conference on Programming Language Design and Implementation*. ACM, June 2001. To be published.
- [17] X. Leroy. Unboxed objects and polymorphic typing. In *19th Annual ACM Symposium on Principles of Programming Languages*, pages 177–188. ACM, 1992.

- [18] Xavier Leroy, Damien Doligez, Jacques Garrigue, Didier Rmy, and Jérôme Vouillon. The Objective Caml system users' manual. See website at <http://caml.inria.fr>.
- [19] T. Lindholm and F. Yellin. *The Java Virtual Machine Specification*. Addison-Wesley, second edition, 1999.
- [20] Y. Minamide, G. Morrisett, and R. Harper. Typed closure conversion. In *23rd Annual ACM Symposium on Principles of Programming Languages*, pages 271–283. ACM, January 1996.
- [21] Martin Odersky and Phillip Wadler. Pizza into Java: Translating theory into practice. In *24th Annual ACM Symposium on Principles of Programming Languages*, pages 146–159. ACM, January 1997.
- [22] C.V. Russo. Non-Dependent Types for Standard ML Modules. In *1999 International Conference on Principles and Practice of Declarative Programming*. September 1999.
- [23] G. Stolpmann. The JavaCaml bytecode interpreter. See website at <http://www.ocaml-programming.de/javacaml>.
- [24] Andrew Tolmach and Dino P. Oliva. From ML to Ada: Strongly-typed language interoperability via source translation. *Journal of Functional Programming*, 8:367–412, July 1998.