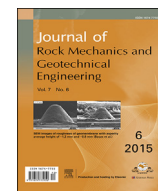


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Full length article

## Effects of fracture distribution and length scale on the equivalent continuum elastic compliance of fractured rock masses

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### ABSTRACT

Fracture systems have strong influence on the overall mechanical behavior of fractured rock masses due to their relatively lower stiffness and shear strength than those of the rock matrix. Understanding the effects of fracture geometrical distribution, such as length, spacing, persistence and orientation, is important for quantifying the mechanical behavior of fractured rock masses. The relation between fracture geometry and the mechanical characteristics of the fractured rock mass is complicated due to the fact that the fracture geometry and mechanical behaviors of fractured rock mass are strongly dependent on the length scale. In this paper, a comprehensive study was conducted to determine the effects of fracture distribution on the equivalent continuum elastic compliance of fractured rock masses over a wide range of fracture lengths. To account for the stochastic nature of fracture distributions, three different simulation techniques involving Oda's elastic compliance tensor, Monte Carlo simulation (MCS), and suitable probability density functions (PDFs) were employed to represent the elastic compliance of fractured rock masses. To yield geologically realistic results, parameters for defining fracture distributions were obtained from different geological fields. The influence of the key fracture parameters and their relations to the overall elastic behavior of the fractured rock mass were studied and discussed. A detailed study was also carried out to investigate the validity of the use of a representative element volume (REV) in the equivalent continuum representation of fractured rock masses. A criterion was also proposed to determine the appropriate REV given the fracture distribution of the rock mass.

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## 1. Introduction

Rock masses inevitably contain fractures with varying fracturing intensity over a wide range of length scales. Fracture geometry has often very complex patterns, and fracture distributions and properties are strongly dependent on the length scale. Since the mechanical stiffness and strength of fractures are much lower than those of the rock matrix, the overall mechanical response of fractured rock masses is controlled by the fractures. Fractures contribute additional displacements to the rock mass, and owing to the complicated fracture geometry, the mechanical response is generally anisotropic even if the surrounding rock matrix behavior is isotropic. In addition to the mechanical behavior, geometrical distribution of fractures in a rock mass and corresponding fracture

properties including length, orientation, frequency and stiffness, are key factors that control the mechanical behavior of fractured rock masses. Developing a comprehensive relation between fracture geometry and the overall mechanical characteristics of fractured rock masses is challenging because of the generally complex nature of fracture patterns and distributions.

Since the 1950s, several numerical procedures have been developed for modeling the mechanical behavior of fractured rock masses and the effect of different fracture patterns. The most rigorous approaches are the distinct fracture network (DFN) model and distinct element method (DEM). In DFN and DEM, individual fractures in a rock mass are modeled explicitly as distinct features that deform in the normal and shear directions. These methods can be used to precisely determine the explicit behavior of fractured rock masses. In many cases, however, considering all the individual fractures by the DFN and DEM models is computationally impossible as well as practically unachievable due to the lack of reliable data on fracture distribution and pattern. Thus, these simulation techniques are typically used only for defining the mechanical behavior of major faults and fractures as individual features (Guvanasen and Chan, 2000), as well as the small (e.g. core) scale mechanical behavior of rocks (Esmaili et al., 2015).

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An alternative approach to modeling of fractured rock masses is to average the mechanical contributions from the fractures to obtain an equivalent continuum representation of the rock mass stress–strain behavior. Equivalent continuum models (ECMs) assume that a sufficiently large representative element volume (REV) exists, and that this REV contains “a sufficient number of representative fractures in a rock mass over which the fractures’ stress–strain behavior can be averaged”. Since the initial concept of ECM was first developed by Eshelby (1957), different numerical approaches based on ECMs have been introduced. The three most general ECMs for the mechanical analysis of fractured rock masses are the smeared crack model, multilaminate model, and anisotropic constitutive model. All the three modeling techniques have found wide use in different applications. In the smeared crack model (Rashid, 1968; Rots, 1991; De Borst et al., 2004), fractured rock mass deformations are obtained from superposition of rock matrix and fracture deformations. In the multilaminate model (Zienkiewicz and Pande, 1977), fracture deformations are added to the intact rock deformation using a viscoplastic formulation. Both methods are generally applicable for relatively simple fracture geometries since local stresses and deformations along fracture planes need to be transformed to the global axes in every time step. In anisotropic constitutive models, the strength and deformability of fractured rock masses are modeled using orthotropic stress–strain relations. Cai and Horii (1992), Oda (1982, 1988), Oda et al. (1993), and Yoshida and Horii (1998) have proposed anisotropic constitutive models that can simulate the effects of fracture geometries using compliance tensor formulations.

Despite its simplicity, there are two important issues that have not been completely addressed in the use of ECMs. These issues are related to: (1) the sensitivity of fractured rock mass equivalent continuum properties to fracture geometry and distribution, and (2) the dependency of the fracture geometry and behavior on the length scale and the volume of the rock mass. The sensitivity of the calculated compliance values to fracture geometry is an important issue since fracture geometry and distribution are inherently uncertain and stochastic in nature. The expected length scale dependency of ECMs stems primarily from their formulation, which assumes the existence of an REV. The REV of a fractured rock mass is qualitatively defined as “the smallest volume of the rock mass that is large enough relative to the characteristic scale of the fractures in the volume”. However, there is currently no rigorously quantitative criterion for establishing the REV of a rock mass given the fracture geometry.

The main objective of the research presented in this paper is to critically evaluate the sensitivities of the elastic compliance tensor calculated from Oda’s formulation (Oda, 1982, 1988; Oda et al., 1993) to the variability in fracture distribution and length scale, and to propose a method to quantify these sensitivities. An extensive parametric study is conducted to evaluate the dependence of rock mass compliance on fracture geometrical parameters, and the results are used to establish relationships between fracture geometry variations and rock mass elastic compliance. The dependence of fractured rock mass elastic compliance on length scale is investigated by calculating equivalent continuum elastic parameters over different sampling volumes of rock mass. To ensure that the results are valid for a wide range of fracture geometries and distributions, a large number of fracture geometry realizations are generated using a combination of Monte Carlo simulation (MCS) and probability distribution functions (PDFs). In addition, to ensure that they are geologically realistic, the fracture geometrical parameters are based on field data obtained from different sources in studies of fracturing from various geological fields.

The focus of the study is on fractured rock mass elastic behavior. Although fractured rock masses are expected to behave non-elastically in general, the study of elastic behavior is important in geophysical characterization where elastic response determines the propagation of seismic waves in fractured rock formations. Future extension of the study will be for nonlinear and elastoplastic behavior of fractured rock masses. Two-dimensional (2D) elastic mechanical behavior is assumed based on the following justifications: (1) fracture data particularly from field studies of rock exposures are predominantly 2D; (2) most rock mechanical models for different applications (e.g. tunneling and excavations, and slope stability) remain 2D; and (3) interpretation and visualization of results in three-dimensional (3D) are difficult, and as a consequence 2D slices are often used to present 3D results. 2D results can provide valuable insights that can be extrapolated to 3D problems and be easily interpreted without being bogged down by the need to use complicated visualization techniques. A comprehensive parametric study is conducted using Oda’s analytical compliance tensor formulation. Once the fracture geometries and mechanical properties have been collected, Oda’s formulation generates crack tensors, which is combined with the fracture stiffness parameters and yields a homogenized elastic compliance tensor for a fractured rock mass. Since the crack tensor formulation has a summation form, all the generated fracture geometries can be effectively considered in the entire compliance tensor calculation.

## 2. Methodology

Three techniques were used for analyzing the effects of fracture geometrical distribution and length scale on fractured rock mass elastic properties: (1) Oda’s elastic compliance tensor formulation; (2) Different PDFs to generate geologically realistic fracture geometries based on in-situ data from different field studies; and (3) MCS to generate stochastic realizations of fracture geometry and assemble the results of the compliance calculations from different randomly generated realizations. These techniques are described below.

### 2.1. Elastic compliance tensor for fractured rocks

Oda’s compliance tensor formulation (Oda, 1982, 1988; Oda et al., 1993) suggests a way to express the geometry of complicated fracture systems in tensorial form and to deal with any fractured rock mass as a mechanically equivalent continuum. To apply Oda’s compliance tensor formulation for fractured rock masses, the following assumptions are made: (1) The position of a fracture corresponds to its centroid, and the centroids are evenly distributed in the entire rock sample (i.e. fracture locations are assumed to follow a Poisson distribution). (2) The fracture is assumed to have a planar shape. Thus, the surface area of a fracture and volume of fractured rock under plane strain conditions can be converted to length of fracture and cross-sectional area of rock outcrop, respectively, due to the unity width of the sampling area. (3) The mechanical behavior of fractures is assumed to be elastic. (4) Fractures are persistent and there are no stress concentrations at fracture tips and intersections. Based on these assumptions, it is commonly assumed that a fracture plane can be replaced by an elastically equivalent set of parallel plates connected by two elastic springs in normal and shear directions. The formulations of the stiffness of the two springs are discussed below.

On the basis of these assumptions, Oda et al. (1993) has suggested the following equivalent continuum compliance tensor equation for fractured rock masses:

$$\mathbf{S}_{ijkl}^f = \left( \frac{1}{k_n} - \frac{1}{k_s} \right) \mathbf{F}_{ijkl} + \frac{1}{4k_s} \left( \delta_{ik} \mathbf{F}_{jl} + \delta_{jk} \mathbf{F}_{il} + \delta_{il} \mathbf{F}_{jk} + \delta_{jl} \mathbf{F}_{ik} \right) \quad (1)$$

where  $\mathbf{S}_{ijkl}^f$  is the compliance tensor of fractures;  $k_n$  and  $k_s$  are the fracture normal and shear stiffnesses, respectively;  $\delta_{ij}$  is the Kronecker delta;  $\mathbf{F}_{ij}$  and  $\mathbf{F}_{ijkl}$  are the second- and fourth-rank crack tensors, respectively. Note that Eq. (1) accounts for the deformation of the fractures only and that the matrix is assumed to be rigid. Rock matrix deformability can be added to the fracture deformability as shown below. The summation forms of the crack tensors  $\mathbf{F}_{ij}$  and  $\mathbf{F}_{ijkl}$  in Eq. (1) are given by Oda (1982) as

$$\mathbf{F}_{ij} = \frac{1}{V} \sum_{k=1}^{m(V)} A^{(k)} r^{(k)} n_i^{(k)} n_j^{(k)} \quad (2)$$

$$\mathbf{F}_{ijkl} = \frac{1}{V} \sum_{k=1}^{m(V)} A^{(k)} r^{(k)} n_i^{(k)} n_j^{(k)} n_k^{(k)} n_l^{(k)} \quad (3)$$

where  $i, j, k, l = 1, 2$ ;  $V$  is the sample volume;  $m(V)$  is the total number of fractures in  $V$ ;  $A^{(k)}$  is the surface area of the  $k$ -th fracture;  $r^{(k)}$  is the trace length of the  $k$ -th fracture; and  $n_i^{(k)}$  is the direction cosine of the normal and tangent to the  $k$ -th fracture orientation.

The following empirical correlation developed by Barton and Choubey (1977) for fracture shear stiffness is used in Eq. (1):

$$k_s = 100\sigma_n \tan \left[ JRC \log_{10} \left( \frac{JCS}{\sigma_n} \right) + \phi_r \right] \quad (4)$$

where  $\sigma_n$  is the normal stress on the fracture surface,  $JRC$  is the joint roughness coefficient,  $JCS$  is the joint compressive strength, and  $\phi_r$  is the fracture residual friction angle. The estimated stiffness value is converted to the shear fracture stiffness parameter  $k_s$  by multiplying it with the length of fracture, following Oda et al. (1993). It should be noted that the converted fracture stiffness parameter has the units of force/thickness. Unit thickness is assumed to be perpendicular to the 2D mode, making the stiffness independent of the fracture length. Thus, the value can be substituted in Eq. (1) with dimensionless crack tensors regardless of the effect of fracture length.

Fracture normal stiffness is assumed to be a constant ratio of the shear stiffness (Bandis et al., 1981, 1983):

$$k_n = Rk_s \quad (5)$$

where  $R$  is the ratio between normal and shear fracture stiffnesses. Bandis et al. (1983) have reported that the experimentally determined stiffness ratio  $R$  is a function of the normal stress on the fracture surface. According to their experimental results, the ratio decreases exponentially when the normal stress increases, and “that under extremely low  $\sigma_n$  (0.02–0.05 MPa), the  $k_n/k_s$  ratio attained values ranging from 58 to 130. Within the  $\sigma_n$  range of 0.25 MPa to 1 MPa or 2 MPa, anisotropy was markedly reduced”. This reduction is observed until a stiffness ratio of about 10, and there is very little data below this ratio. Thus, it was decided to use this ratio in the paper. Despite the scatter in data, the range of normal stress of 0.25–2 MPa corresponding to a stiffness ratio of 10 is very low in comparison to the JCS of the rocks used in the study of Bandis et al. (1983), which varied from 22 MPa to 182 MPa. Since it is necessary for the normal stress to be close to the JCS before asperity damage can occur, normal stress of up to 2 MPa is deemed low enough so as not to change the fracture surface morphology and behavior. Based on this reason, it appears justified to use a stiffness ratio of 10.

## 2.2. PDFs for stochastic fracture geometry

As can be seen from Eqs. (2) and (3), the main parameters required to generate the crack tensors  $\mathbf{F}_{ij}$  and  $\mathbf{F}_{ijkl}$  are the fracture lengths and orientations. Fracture locations can also affect rock mass compliance depending on fracture locations relative to the sampling volume, however, these were not accounted for in Oda’s tensor formulation. Since natural fracture systems are highly variable, various empirical and stochastic methods have been developed to quantify fracture statistical data. In previous work by other researchers, various PDFs have been utilized to characterize the stochastic variations of the fracture geometries, such as the length, orientation, and location of fractures. Table 1 presents a list of the PDFs most widely used to represent the geometrical distribution of fractures including normal, lognormal, exponential, power law, Fisher, and Poisson distributions. The applicability of each PDF has been studied and confirmed by the accompanying reference(s) in Table 1.

Because several studies have confirmed its validity, the power law distribution is used to produce random fracture length distributions. Many in-situ fracture length distribution data show the validity of the power law distribution over a wide range of length

**Table 1**  
Suitability and use of different PDFs to characterize the statistical variation of fracture geometrical parameters.

PDFs	Length	Orientation	Location
Normal distribution	No	Yes (Long et al., 1982)	No
Lognormal distribution	Yes (McMahon, 1971; Baecher et al., 1977; Baecher and Lanney, 1978; Long et al., 1982; Dershowitz and Einstein, 1988)	No	No
Exponential distribution	Yes (Robertson, 1970; Call et al., 1976; Baecher et al., 1977; Long et al., 1982; Dershowitz and Einstein, 1988)	No	No
Power law distribution	Yes (Heffer and Bevan, 1990; Bonnet et al., 2001; Park et al., 2001; De Dreuzy et al., 2002)	No	No
Fisher distribution	No	Yes (Fisher, 1953; Park et al., 2001)	No
Poisson distribution	No	No	Yes (Baecher and Lanney, 1978; Long et al., 1982; Priest, 1993; Min et al., 2004)

scales, ranging from millimeter to kilometer, and for different host rock types. The wide validity is attributed to the physical basis of the power law distribution, which is based on the self-similarity or fractal behavior of fracturing in a wide range of materials. In this paper, the following inverse cumulative power law function proposed by Min et al. (2004) is used:

$$r = \left[ cut_{\min}^{-D} - F \left( cut_{\min}^{-D} - cut_{\max}^{-D} \right) \right]^{-\frac{1}{D}} \quad (6)$$

where  $r$  is the trace length of fracture;  $D$  is the fractal dimension;  $cut_{\min}$  and  $cut_{\max}$  are the minimum and maximum fracture lengths, respectively; and  $F$  is the random number between 0 and 1.

Fracture orientations are generated by using Fisher distribution because it has been validated that this distribution can represent fracture orientation in a given fracture set on the basis of statistical measurements in several fields. Fisher distribution (Fisher, 1953) has been widely applied to various studies (e.g. Cacas et al., 1990; Lee et al., 1995). Its wide applicability and ease of use make the Fisher distribution the most commonly adopted PDF for fracture orientation. The following inverse cumulative form of the Fisher distribution from Priest (1993) is used in the modeling:

$$\theta = \cos^{-1} \left\{ \frac{\ln \left[ e^K - F(e^K - e^{-K}) \right]}{K} \right\} \quad (7)$$

where  $\theta$  is the direction of the fracture plane measured counter-clockwise from the  $x$ -axis, and  $K$  is the Fisher coefficient. Note that  $F$  takes different values in Eqs. (6) and (7).

Finally, as mentioned above, Poisson distribution is employed to yield fracture locations that are uniformly random in distribution. The validity of the Poisson distribution for characterizing fracture locations has been supported by Dershowitz and Einstein (1988), Priest (1993), and Min et al. (2004).

### 2.3. Monte Carlo simulation (MCS)

MCS is a widely used technique especially for modeling stochastic data that follow statistical distributions. By using MCS, it is possible to stochastically generate representative fractured rock geometries that can reproduce realistic mechanical characteristics as part of an entire fractured rock domain. However, fracture geometry varies according to location, and a single randomly reproduced sample cannot represent the variability of fracture geometry in a given site. Thus, a large number of fracture geometry realizations using MCS are required to cover a wide spectrum of fracture geometries and distributions. The two requirements that are to be satisfied in order to conduct MCS are: (1) a large number of simulations in order to provide a consistent result, and (2) a random number input to generate random numbers or random fields. In this study, MCS is used to generate fracture patterns using the PDFs described above for the fracture geometrical parameters. Once a series of uniform random numbers varying from 0 to 1 is applied as  $F$  in the inverse cumulative density functions (Eqs. (6) and (7)), then random fracture geometries that follow each statistical function are generated. Each fracture pattern or realization is then used to calculate the equivalent continuum compliance tensor using Oda's formulation. The minimum number of MCS required to achieve stable fractured rock mass elastic compliance values is discussed in the following section.

### 3. Details of the analysis

Oda's compliance tensor formulation and the MCS procedure to produce random fracture geometries and properties were

programmed in MATLAB (Mathworks, 2012). Random fracture geometries were generated following the prescribed PDFs for each fracture parameter. To obtain geologically realistic fracture distributions and geometries, data from various field studies were used to generate the required fracture length data based on the truncated power law distribution. All parameters required to stochastically generate the ECM compliance values were based on the summary of 34 field data sets collected by Bonnet et al. (2001). The data presented by Bonnet et al. (2001) cover a wide range of rock types and field geological history. The required data sets for the simulations consist of the number of fractures, the maximum and minimum fracture lengths, fractal dimension, and the total area of the sampling field. These parameters are summarized in Table 2.

Once the centroids of the fractures have been located, randomly generated fractured rock samples are assembled by applying stochastically produced fracture length and orientation data at the points. Fig. 1 shows examples of fractured rock sample realizations from four different in-situ data sets given in Table 2. After the fracture generation process, if some fracture segments lie outside the sampling boundary, the program automatically truncates the extra part of the fracture and excludes that part from the compliance tensor calculations. Based on extensive studies, fracture truncations at the boundaries do not significantly change the original fracture length distribution or the magnitude of the fracture compliance tensor.

After the randomly fractured rock geometry generation is completed, a stochastically generated fracture compliance tensor is superimposed on the homogeneous intact rock compliance:

$$\mathbf{S}_{ijkl}^c = \mathbf{S}_{ijkl}^f + \mathbf{S}_{ijkl}^r \quad (8)$$

where  $\mathbf{S}_{ijkl}$  is the fourth-rank compliance tensor. The superscripts c, f, and r indicate a combination of fracture and rock, fracture only, and rock mass only, respectively. The elastic compliance tensor for the intact rock can be written as

$$\mathbf{S}_{ijkl}^r = \frac{1}{E} \left[ (1 + \nu) \delta_{ik} \delta_{jl} - \nu \delta_{ij} \delta_{kl} \right] \quad (9)$$

where  $E$  is the Young's modulus of intact rock, and  $\nu$  is the Poisson's ratio of intact rock. Typical elastic parameters for sandstone, i.e.  $E = 20$  GPa and  $\nu = 0.3$ , are used in this paper. The equivalent continuum 2D fractured rock compliance is measured as an expanded tensorial calculation as shown in the following equation:

$$\begin{aligned} \mathbf{S}_{ijkl}^c &= \frac{1}{E} \begin{bmatrix} 1 & -\nu & 0 \\ -\nu & 1 & 0 \\ 0 & 0 & 2(1 + \nu) \end{bmatrix} + \left( \frac{1}{k_n} - \frac{1}{k_s} \right) \\ &\times \begin{bmatrix} F_{1111} & F_{1122} & 2F_{1112} \\ F_{2211} & F_{2222} & 2F_{2212} \\ 2F_{1211} & 2F_{1222} & 4F_{1212} \end{bmatrix} \\ &+ \frac{1}{k_s} \begin{bmatrix} F_{11} & 0 & F_{12} \\ 0 & F_{22} & F_{21} \\ F_{21} & F_{12} & F_{11} + F_{22} \end{bmatrix} \end{aligned} \quad (10)$$

As can be gleaned from Eqs. (2) and (3), since the crack tensors  $\mathbf{F}_{ij}$  and  $\mathbf{F}_{ijkl}$  are symmetric, the elastic compliance tensor (Eq. (10)) should be symmetric as well. The simplest case of material symmetry is obtained when the fractures are orthogonal and parallel to the reference axes. In this case, the compliance tensor is orthotropic requiring only three parameters. In general, in case of random fracture orientations, Eq. (10) yields a fully anisotropic elastic stress-strain relation requiring a total of six components to describe the 2D rock mass elastic compliance tensor. Presenting all these compliance components will be intractable. Instead, the



**Table 2**  
Compilation of power law exponents for fracture length distributions (Bonnet et al., 2001).

Reference	Number of fracture sets, $N_{FS}$	Length range (m)	Fractal dimension, $D$	Area (m <sup>2</sup> )
Ackermann and Schlische (1997)	873	$(4-15) \times 10^{-2}$	2.64	34
Bahat (1987)	107	0.7–2.5	1.74	24
	121	0.6–2.3	2.11	25
Blackstone (1988)	250	$(10-60) \times 10^3$	2.11	$250 \times 10^9$
Cladouhos and Marrett (1996)	70	$(7-25) \times 10^3$	2.67	$3600 \times 10^6$
	150	$(7-25) \times 10^3$	2.66	$5100 \times 10^6$
	200	$(7-20) \times 10^3$	3.07	$6200 \times 10^6$
Clark et al. (1999)	1034	360–4500	2.51	$87 \times 10^6$
Fossen and Hesthammer (1997)	40	1–20	1.6	$2 \times 10^4$
Gauthier and Lake (1993)	318	150–800	2.42	$169 \times 10^6$
	291	150–800	2.69	$169 \times 10^6$
	78	100–700	2.1	$169 \times 10^6$
Gudmundsson (1987a)	120	600–5750	0.9	$8.25 \times 10^7$
Gudmundsson (1987b)	101	1000–7700	1	$2.62 \times 10^7$
Kakimi (1980)	180	1000–7000	1.97	$280 \times 10^6$
Knott et al. (1996)	218	0.31–0.93	2.02	1
Krantz (1988)	50	150–1500	1.67	$29 \times 10^6$
Odling et al. (1999)	470	2–20	1.8	$11.7 \times 10^3$
Ouillon et al. (1996)	380	3–30	1.9	3433
	350	700–7000	2.1	$1.26 \times 10^8$
	1000	$(2.2-15) \times 10^3$	3.2	$1.60 \times 10^9$
	1000	$(3.5-11) \times 10^3$	2.1	$1.65 \times 10^{10}$
Pickering et al. (1997)	417	200–1000	2.18	$60 \times 10^6$
Reches (1986)	800	0.14–2.63	2.2	25
Schlische et al. (1996)	201	$3 \times 10^3-10 \times 10^3$	2.4	0.3
Scholz et al. (1993)	1700	$3 \times 10^3-30 \times 10^3$	2.02	$1 \times 10^{10}$
Scott and Castellanos (1984)	400	300–2000	2.21	$120 \times 10^6$
Segall and Pollard (1983)	260	3–16	1.3	8750
	100	15–50	1.8	2100
Stewart (1980)	400	$(15-50) \times 10^3$	2.84	$290 \times 10^9$
Villemin and Sunwoo (1987)	100	$(4-30) \times 10^3$	2.4	$6 \times 10^8$
Watterson et al. (1996)	1034	200–5000	2.36	$87 \times 10^6$
Yielding et al. (1996)	450	500–6000	2.18	$220 \times 10^6$
	350	$(4-50) \times 10^3$	2.75	$1.5 \times 10^9$

effects of fracture distribution and length scale will be analyzed and presented using two elastic parameters, which are the equivalent continuum Young's modulus  $\bar{E} = \sigma_{11}/\epsilon_{11}$  and the equivalent

Poisson's ratio  $\bar{\nu} = -\epsilon_{22}/\epsilon_{11}$ . These parameters can be obtained from Eq. (10) as follows:

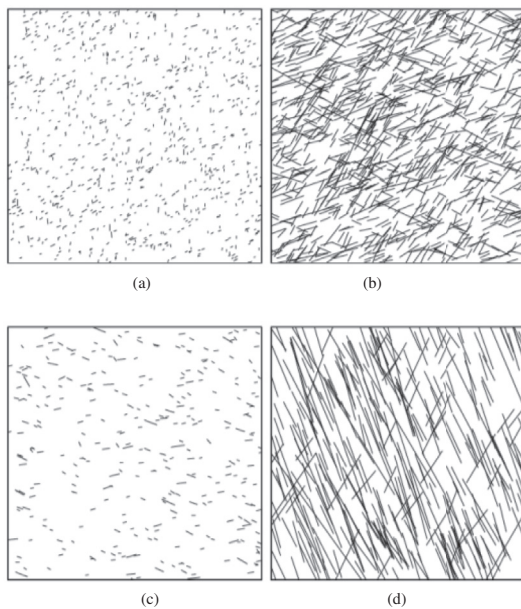
$$S_{1111}^c = \frac{1}{\bar{E}}, S_{1122}^c = \frac{-\bar{\nu}}{\bar{E}} \quad (11)$$

$$\bar{E} = \left[ \frac{1}{E} + \left( \frac{1}{k_n} - \frac{1}{k_s} \right) F_{1111} + \frac{F_{11}}{k_s} \right]^{-1} \quad (12)$$

$$\bar{\nu} = - \left[ \frac{-\nu}{E} + \left( \frac{1}{k_n} - \frac{1}{k_s} \right) F_{1122} \right] \left[ \frac{1}{E} + \left( \frac{1}{k_n} - \frac{1}{k_s} \right) F_{1111} + \frac{F_{11}}{k_s} \right]^{-1} \quad (13)$$

It is expected that the dependency of the other equivalent continuum elastic parameters on fracture distribution and length scale will follow the trends for  $\bar{E}$  and  $\bar{\nu}$ . The directional dependency of the elastic parameters of fractured rock masses is discussed below.

To study the effects of variation in each fracture parameter, parametric studies were conducted where a range of values for the particular parameter was generated while the other parameters were kept constant. First, random values were developed to define a fracture length distribution using different fractal dimensions  $D$ . Since the range of the fractal dimension in Table 2 is between 0.9 and 3.2, three different values (lowest, intermediate and highest) were chosen from the range. In addition, to evaluate the influence of fracture stiffness, different values of the normal stresses in the shear stiffness (Eq. (4)) and the normal to shear stiffness ratio  $R$  (Eq. (5)) were investigated. Finally, to evaluate the influence of fracture orientation, the effects of the number of fracture sets on the compliance was investigated. The total number of fractures was divided into several fracture sets, each with a random mean



**Fig. 1.** Fractured rock mass realizations based on field data from: (a) Ackermann and Schlische (1997), (b) Clark et al. (1999), (c) Gauthier and Lake (1993), and (d) Yielding et al. (1996) (all sampling box sizes are 2000 m  $\times$  2000 m).

orientation. Each fracture group has its own variation in orientation based on the characteristics of different Fisher distributions. The Fisher coefficient for each distribution was also selected randomly from a typical range of 20–100 based on data collected by Post et al. (2001). In addition, *JRC* and *JCS* values to calculate the fracture stiffness parameters in Eqs. (4) and (5) were selected from the data reported by Barton and Choubey (1977). The shape factors for the PDF generation and the stiffness parameters in each case are summarized in Table 3. In representing the fracture orientation by the Fisher distribution, the mean orientation of all fractures is set to be 0° to facilitate analysis of the direction-dependent elastic response of the fractured rock mass.

**4. Results and discussions**

**4.1. Minimum number of required MCSs**

Before studying the effects of the statistical distributions of different fracture parameters, an analysis was first performed to determine the number of MCS needed to obtain a stable distribution of the elastic compliance values. To quantify the stability of the calculated elastic parameters as a function of number of random realizations, the relative errors (RE) defined below are used:

$$RE_{E_x}^N = 100 \sqrt{\frac{(\bar{E}_x^N - \bar{E}_x^{REP})^2}{(\bar{E}_x^{REP})^2}} \quad (14)$$

$$RE_{\nu_{xy}}^N = 100 \sqrt{\frac{(\bar{\nu}_{xy}^N - \bar{\nu}_{xy}^{REP})^2}{(\bar{\nu}_{xy}^{REP})^2}} \quad (15)$$

where  $\bar{E}_x$  and  $\bar{\nu}_{xy}$  are the Young’s modulus and Poisson’s ratio, respectively; and the superscripts *N* and *REP* indicate that the elastic parameters are measured from *N* realizations and from 10,000 realizations, respectively, which can produce sufficiently stable compliance parameters.

Fig. 2 shows the variation in RE from the data sets in Table 2, revealing that RE rapidly reduces to small values as the number of simulations increases. Although it is recommended to use as a small RE as possible, it assumed that RE = 5% gives an acceptable level of error in the MCS. Therefore, it was confirmed that discretizing the PDFs of the input variables into 2000 discrete data points, corresponding to RE = 5%, is sufficient to investigate the effects of stochastic parameters on the compliance values.

**4.2. Effect of the fracture PDF on compliance**

As mentioned above, depending on the fracture orientations, Oda’s compliance tensor (Eq. (1)) generally yields a fully anisotropic elastic stress–strain relation. Owing to anisotropy, both the Young’s modulus and Poisson’s ratio are dependent on the direction of loading. To show this directional dependency, the variation in the elastic parameters corresponding to rotation of the local axis or loading direction is also measured in this parametric study. The rotated elastic compliance tensor can be calculated by using the transformation:

$$\bar{\mathbf{S}}_{ijkl}^\theta = t_{im}t_{jn}t_{ko}t_{lp}\bar{\mathbf{S}}_{mnop} \quad (16)$$

where  $\bar{\mathbf{S}}_{ijkl}^\theta$  is the equivalent continuum fractured rock compliance tensor oriented at an angle  $\theta$  counterclockwise from the positive *x*-axis;  $t_{ij}$  is the direction cosine of the angle between the local

**Table 3**  
Summary of PDF parameters for fracture pattern realizations.

Case studied	Fractal dimension, <i>D</i>	Normal stress, $\sigma_n$ (MPa)	Fracture stiffness ratio, <i>R</i>	Fisher constant, <i>K</i>	Mean orientation	Number of fracture sets ( <i>N<sub>fs</sub></i> )	Fracture surface values		
							<i>JRC</i>	<i>JCS</i> (MPa)	$\phi_r$ (°)
Length as function of fractal dimension, <i>D</i>	0.9, 2.05, 3.2	10	10	Random in the range of 20–100	0°	1	8.9	92	27.5
Stiffness as function of normal stress $\sigma_n$ in Eq. (4)	Fractal dimension in each data in Table 2	20, 40, 80	10	Random in the range of 20–100	0°	1	8.9	92	27.5
Fracture orientation as function of number of fracture sets, <i>N<sub>fs</sub></i>	Fractal dimension in each data in Table 2	10	10	Random in the range of 20–100	Random in the range of 0°–360°	1, 2, 3, ..., 100	8.9	92	27.5

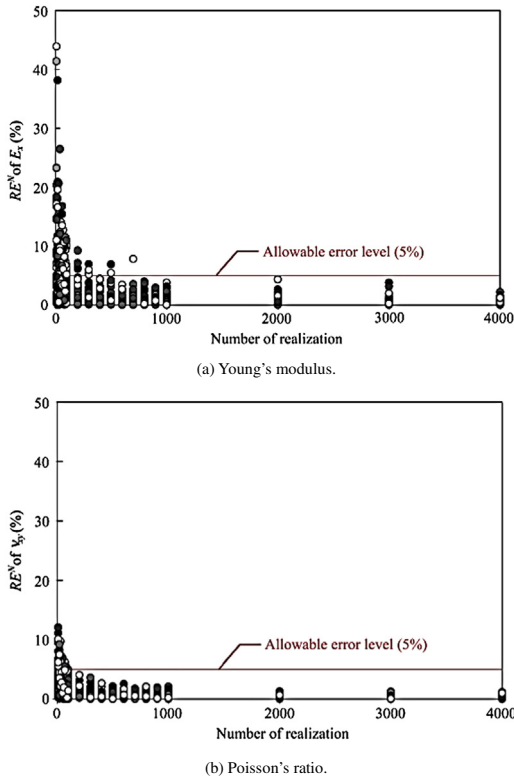


Fig. 2. Relative errors of the equivalent continuum elastic parameters from MCS as a function of number of realization.

coordinate  $x_i^\theta$  axes oriented at an angle  $\theta$  from the global coordinate  $x_j$  axes, i.e.

$$t_{ij} = \cos(x_i^\theta, x_j) \quad (17)$$

Once the oriented compliance tensor is determined, the equivalent Young's modulus and Poisson's ratio along different directions can be calculated from Eqs. (12) and (13), respectively.

The results of the calculation of the equivalent anisotropic compliance tensor are shown using polar plots in Figs. 3–5. Due to the symmetry of the elastic compliance tensor (Eq. (10)), only the upper half of the polar plot needs to be shown. The first part of the parametric study evaluates the effects of fracture length distribution while keeping the same fracture stiffness and number of fracture set. The directional elastic parameters are shown in polar plots in Fig. 3 in terms of the fractal dimension  $D$ . Since the power law distribution has a negative exponent, a higher fractal dimension results in a greater number of minor fractures and fewer longer fracture. The fracture length distribution from a higher fractal dimension yields greater equivalent fractured rock stiffness, as shown in Fig. 3. In addition, the deviations of the elastic parameters are linearly related to the magnitude of the fractal dimension.

The second part of the parametric study evaluates the effect of different fracture stiffness variations when the same fracture length and orientation distributions are used (Fig. 4). The magnitude of stiffness is controlled by varying of fracture normal stress in Eq. (4), ranging from 20 MPa to 80 MPa, which relatively makes the stiffness ratio value  $R$  stable (Barton and Choubey, 1977). If a greater fracture normal stress is applied in the fracture stiffness calculation, the fracture stiffness parameters increase due to more friction and reduced aperture space. The increased fracture normal stress then results in relatively larger equivalent elasticity of fractured rock masses, corresponding to larger Young's modulus and smaller Poisson's ratio. In addition, it must be noted that there are directional sensitivities for both Young's modulus and Poisson's ratio. A lower normal stress yields strong directional variations and results in a more obvious orthotropic distribution of the elastic parameter distributions.

Although the normal stress range in this parametric study is limited to produce a stable fracture stiffness ratio  $R$  and reasonable fracture surface values such as  $JRC$  or  $JCS$  in the equivalent compliance calculation, it is expected that the mechanical effect of the fracture system can be ignored when the normal stress is

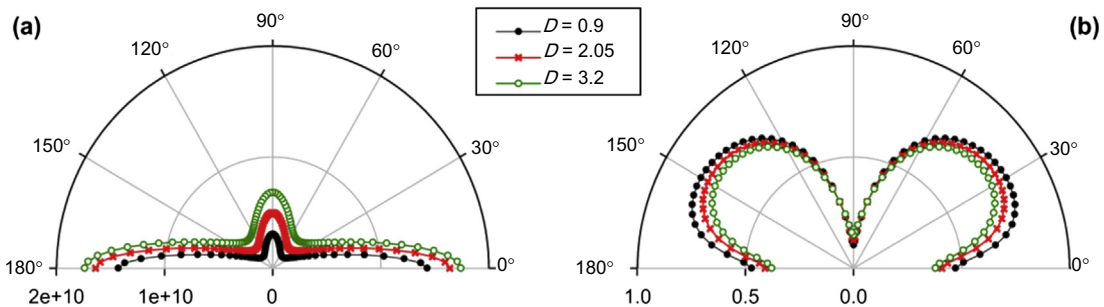


Fig. 3. Polar plots of equivalent continuum elastic parameters for three values of fractal dimension  $D$ . (a) Young's modulus in Pa, and (b) Poisson's ratio.

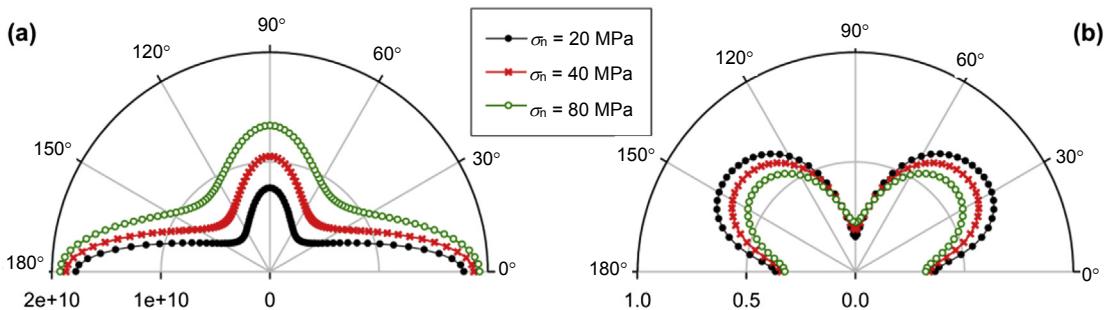


Fig. 4. Polar plots of equivalent continuum elastic parameters for three values of fracture normal stress  $\sigma_n$ . (a) Young's modulus in Pa, and (b) Poisson's ratio.

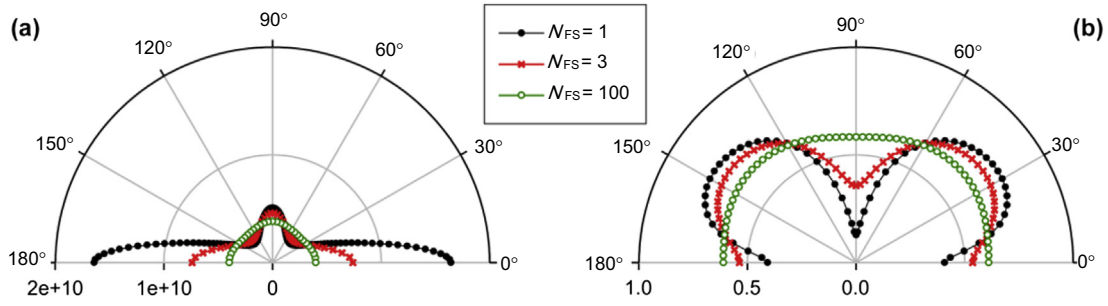


Fig. 5. Polar plots of equivalent continuum elastic parameters for three different numbers of fracture sets  $N_{FS}$ . (a) Young's modulus in Pa, and (b) Poisson's ratio.

extremely high, because it may result in a low fracture stiffness ratio and a high fracture stiffness, which ultimately yield isotropic and homogeneous mechanical behavior.

The third series of parametric studies involves the effects of fracture orientations and number of fracture sets. In this series, the total number of fractures in a realization is divided into several fracture sets, with fracture orientation in each set following the Fisher distribution. Fig. 5 shows how the directional stiffness parameters deviate from an isotropic distribution due to the number of fracture sets  $N_{FS}$ . More fracture sets produce a larger variation of the fracture orientations. In turn, a larger variation in fracture orientation leads to increasingly isotropic elastic parameter distributions. The convergence rate decreases exponentially upon increasing the number of fracture sets and the mean orientation. When  $N_{FS}$  becomes large, the ratio between the major and minor principal stiffness parameters tends towards a value of 1.0, which indicates isotropic material parameters.

#### 4.3. Equivalent continuum Poisson's ratio for fractured rock masses

It can be noted from Figs. 3–5 that equivalent continuum fractured rock mass Poisson's ratio  $\bar{\nu}$  calculated from Oda's compliance tensor can be larger than 0.5. It is essential to understand the reason for the high values. To do this, Eq. (13) is normalized with respect to  $k_s$  to give:

$$\bar{\nu} = \left[ \frac{\nu}{R_1} - \left( \frac{1}{R} - 1 \right) F_{1122} \right] \left[ \frac{1}{R_1} + \left( \frac{1}{R} - 1 \right) F_{1111} + F_{11} \right]^{-1} \quad (18)$$

where  $R_1 = E/k_s$  is the ratio of the intact rock Young's modulus to the fracture shear stiffness. The above equation was plotted for two cases: (a) an orthogonal fracture system with two sets of fractures aligned with the coordinate axes, and (b) an orthogonal fracture system with two sets of fractures oriented at  $45^\circ$  from the coordinate axes. According to Oda (1986), for case (a)  $F_{11} = 0.5$ ,  $F_{1111} = 0.5$  and  $F_{1122} = 0$ , and for case (b)  $F_{11} = 0.5$ ,  $F_{1111} = 0.25$  and  $F_{1122} = 0.25$ . Intact rock Poisson's ratio is set to  $\nu = 0.2$ . The equivalent continuum Poisson's ratios for the two cases are plotted in Fig. 6 for a range of  $R$  and  $R_1$  values. In general, fracture normal stiffness is larger than the fracture shear stiffness, and as noted above,  $R$  varies from 1 to about 10 for stresses low enough to avoid asperity damage, so values of  $\bar{\nu}$  are plotted against this range of  $R$  values.

As can be seen for case (a), the equivalent continuum Poisson's ratio is always lower than the intact rock Poisson's ratio of  $\nu = 0.2$  for all values of  $R$  and  $R_1$ . Thus, Poisson's ratio is below 0.5 for the principal directions of the elastic compliance tensor, which in the case of orthotropic elasticity are parallel and perpendicular to the directions of orthogonal fracture systems. This can also be seen in Figs. 3–5, where the equivalent continuum Poisson's ratio is lower

than 0.5 for directions close to  $0^\circ$ ,  $90^\circ$ ,  $180^\circ$  and  $270^\circ$  from the coordinate axes. It is clear that Oda's compliance tensor satisfies the criterion of  $\bar{\nu} = 0.5$  for orthotropic elasticity.

For case (b), the equivalent continuum Poisson's ratio  $\bar{\nu}$  is generally larger than the intact rock Poisson's ratio of  $\nu = 0.2$  except for  $R \leq 1.5$  where  $\bar{\nu} < \nu$ . The equivalent Poisson's ratio  $\bar{\nu}$  increases as both  $R_1$  and  $R$  increase, and exceeds the value of 0.5 particularly for  $R_1 > 4$ . With increasing  $R_1$ , the fracture shear deformability

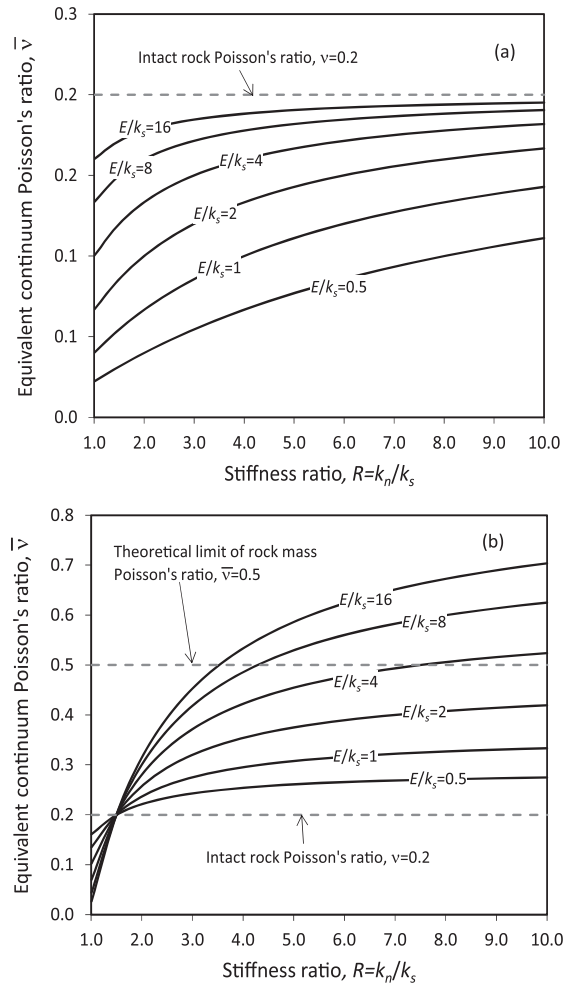


Fig. 6. Equivalent continuum Poisson's ratios for fractured rock mass calculated from Oda's compliance tensor as function of  $R = k_n/k_s$  and  $R_1 = E/k_s$ . (a) Orthogonal fracture system with two sets of fractures parallel and perpendicular to the coordinate axes. (b) Orthogonal fracture system with two sets of fractures oriented at  $45^\circ$  from the coordinate axes.



increases in comparison to intact rock deformability. Similarly, with increasing  $R$ , fracture shear deformability increases in comparison to fracture normal deformability. It appears that increasing fracture shear deformability translates to increase in equivalent continuum Poisson's ratio. For fully anisotropic materials, it is known that Poisson's ratio can exceed 0.5 for some loading directions, and such values have been observed in natural and engineered materials (e.g. Gładyszewska, 2012). Poisson's ratio values above 0.5 have also been obtained from DEM simulations by Min and Jing (2004), and Bidgoli and Jing (2014).

4.4. Scale effects on fractured rock mass compliance

To investigate the length scale effects on the equivalent continuum elasticity of fractured rock mass and the validity of the existence of an REV, two parameters are introduced to characterize the sampling volume and the degree of fracturing in a rock mass. The first parameter is a relative measure of the sampling volume, which is specified by a parameter called the side length ratio (SLR). This parameter was first introduced by Min (2004), and is equal to the length of a side of the square sampling area divided by the length of the region of interest, also assumed to be square:

$$SLR = \frac{\text{Side length of sampling area}}{\text{Side length of total area}} \tag{19}$$

The mean values of Young's modulus and Poisson's ratio are measured for different sampling box sizes, then the sampling box size is gradually decreased in each step. Fig. 7 shows an example that illustrates the variation of the mean values of the equivalent continuum Young's modulus and Poisson's ratio as a function of SLR using the field data collected by Gauthier and Lake (1993). When SLR is relatively large, the mean values remain relatively constant and behaves as a continuum independent of the size of the sampling box. This observation is consistent with the formal definition of the REV as the "volumetric dimensions of the scale in which the continuum approach can be used". For SLR less than a certain threshold value, the mean elastic parameter values start to oscillate and drastically increase, and finally reach the host rock's properties, because the volume scale is too small to contain the proper fracture length. The REV can now be simply defined as the value of the SLR where the equivalent continuum elastic parameters start to significantly deviate from their asymptotic constant values. Similar results were obtained for all the simulations using the different field data sets listed in Table 2.

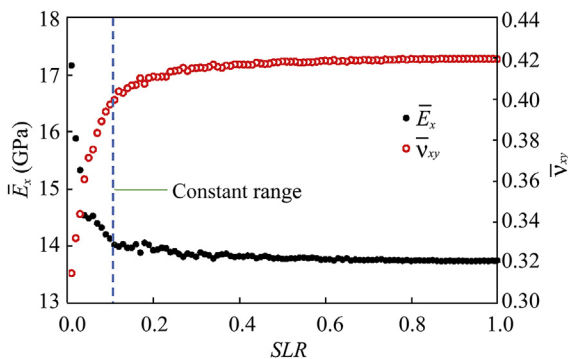


Fig. 7. Equivalent Young's modulus and Poisson's ratio as a function of SLR from field data collected by Gauthier and Lake (1993).

Additional analyses were performed in order to quantify the variation of the equivalent continuum elastic parameters from their asymptotically constant values. The deviations of the values of the equivalent continuum elastic parameters from their asymptotic values are quantified by the following RE values:

$$RE_{E_x}^{SLR} = 100 \sqrt{\frac{(\bar{E}_x^{SLR} - \bar{E}_x^{ORG})^2}{(\bar{E}_x^{ORG})^2}} \tag{20}$$

$$RE_{v_{xy}}^{SLR} = 100 \sqrt{\frac{(\bar{v}_{xy}^{SLR} - \bar{v}_{xy}^{ORG})^2}{(\bar{v}_{xy}^{ORG})^2}} \tag{21}$$

where the superscripts SLR and ORG indicate the elastic parameters measured when  $SLR < 1$  and  $SLR = 1$ , respectively. By using these additional data processing calculations, it is possible to standardize the threshold to determine the data oscillation. As an example, Fig. 8 shows the RE values as a function of SLR for both the equivalent continuum Young's modulus and Poisson's ratio. It is assumed that the variation of the elastic parameter values is no longer constant when the error level is higher than 5% relative to the stable value from the original volume of fractured rock, which is indicated by the blue line in Fig. 8. Thus, the lowest SLR in the constant RE range can be used to calculate the REV of the sample as

$$REV = Area SLR_{min}^2 \tag{22}$$

where Area is the original rock outcrop area shown in Tables 2 and 4.

The second parameter used to investigate the effects of length scale on the equivalent continuum compliance of the fractured rock mass and the validity of the use of an REV is the fracture length scale parameter MOV. This parameter is defined as the maximum length of fracture over the total area of the region of interest:

$$MOV = r_{max}/Area \tag{23}$$

where  $r_{max}$  is the maximum fracture length (m) in an area.

Table 4 lists the MOV values for the different sets of in-situ fracture data given in Table 2. As can be seen, most field sites have MOV magnitudes in the range of  $10^{-7}$ – $1 \text{ m}^{-1}$ .

To recapitulate, the results from an extensive stochastic simulation of the compliance of fractured rock masses indicated that the REV can be formally defined as the value of the SLR below which the RE of the mean Young's modulus or Poisson's ratio starts to exceed the threshold value. Using this definition, REV values were determined for all the different cases used in the stochastic

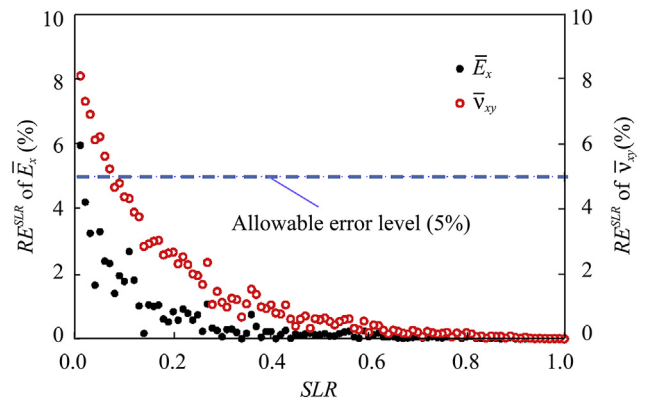


Fig. 8. RE values for Young's modulus and Poisson's ratio as a function of SLR from field data collected by Fossen and Hesthammer (1997).

**Table 4**  
Compilation of MOV and fracture length data.

Reference	Maximum fracture length, $r_{max}$ (m)	Area (m <sup>2</sup> )	MOV (m <sup>-1</sup> )
Ackermann and Schlische (1997)	$15 \times 10^{-2}$	34	$4.41 \times 10^{-3}$
Bahat (1987)	2.5	1.74	1.04
	2.3	2.11	$0.92 \times 10^{-1}$
Blackstone (1988)	$60 \times 10^3$	$250 \times 10^9$	$2.40 \times 10^{-7}$
Cladouhos and Marrett (1996)	$25 \times 10^3$	$3600 \times 10^6$	$6.94 \times 10^{-6}$
	$25 \times 10^3$	$5100 \times 10^6$	$4.90 \times 10^{-6}$
	$20 \times 10^3$	$6200 \times 10^6$	$3.85 \times 10^{-6}$
Clark et al. (1999)	4500	$87 \times 10^6$	$5.71 \times 10^{-5}$
Fossen and Hesthammer (1997)	20	$2 \times 10^4$	$1 \times 10^{-3}$
Gauthier and Lake (1993)	800	$169 \times 10^6$	$4.73 \times 10^{-6}$
	800	$169 \times 10^6$	$4.73 \times 10^{-6}$
	700	$169 \times 10^6$	$4.14 \times 10^{-6}$
Gudmundsson (1987a)	5750	0.9	$6.97 \times 10^{-5}$
Gudmundsson (1987b)	7700	1	$2.94 \times 10^{-4}$
Kakimi (1980)	7000	$280 \times 10^6$	$2.5 \times 10^{-5}$
Knott et al. (1996)	0.93	1	$9.3 \times 10^{-1}$
Krantz (1988)	1500	$29 \times 10^6$	$5.17 \times 10^{-5}$
Odling et al. (1999)	20	$11.7 \times 10^3$	$1.71 \times 10^{-3}$
Ouillon et al. (1996)	30	1.9	$8.74 \times 10^{-3}$
	7000	2.1	$5.56 \times 10^{-5}$
	$15 \times 10^3$	3.2	$9.38 \times 10^{-6}$
	$11 \times 10^3$	2.1	$6.67 \times 10^{-7}$
Pickering et al. (1997)	1000	$60 \times 10^6$	$1.67 \times 10^{-5}$
Reches (1986)	2.63	2.2	$1.05 \times 10^{-1}$
Schlische et al. (1996)	$10 \times 10^{-3}$	0.3	$3.33 \times 10^{-2}$
Scholz et al. (1993)	$30 \times 10^3$	2.02	$3 \times 10^{-6}$
Scott and Castellanos (1984)	2000	$120 \times 10^6$	$1.67 \times 10^{-5}$
Segall and Pollard (1983)	16	1.3	$1.83 \times 10^{-3}$
	50	1.8	$2.38 \times 10^{-2}$
Stewart (1980)	$50 \times 10^3$	$290 \times 10^9$	$1.72 \times 10^{-7}$
Villemin and Sunwoo (1987)	$30 \times 10^3$	$6 \times 10^8$	$5 \times 10^{-5}$
Watterson et al. (1996)	5000	$87 \times 10^6$	$5.75 \times 10^{-5}$
Yielding et al. (1996)	6000	$220 \times 10^6$	$2.73 \times 10^{-5}$
	$50 \times 10^3$	$1.5 \times 10^9$	$3.33 \times 10^{-5}$

simulations and are plotted against MOV in Fig. 9. The plot shows that a linear relationship exists between the logarithmic values of MOV and REV. More importantly, the plot applies for a wide range of MOV values ranging from  $10^{-7} \text{ m}^{-1}$  to  $1 \text{ m}^{-1}$ , and REVs from  $10^{-3} \text{ m}^2$  to  $10^{12} \text{ m}^2$ . If the MOV value can be calculated from a rock outcrop, then REV can be easily calculated using Fig. 9.

The best-fit linear relationship between the logarithmic values of MOV and REV shown in Fig. 9 is given as

$$REV = 0.06MOV^{-1.79} \tag{24}$$

where MOV is expressed in  $\text{m}^{-1}$  and REV in  $\text{m}^2$ .  $R^2$  value for Eq. (24) is 0.81. For guidance in determining MOV, typical values are given in

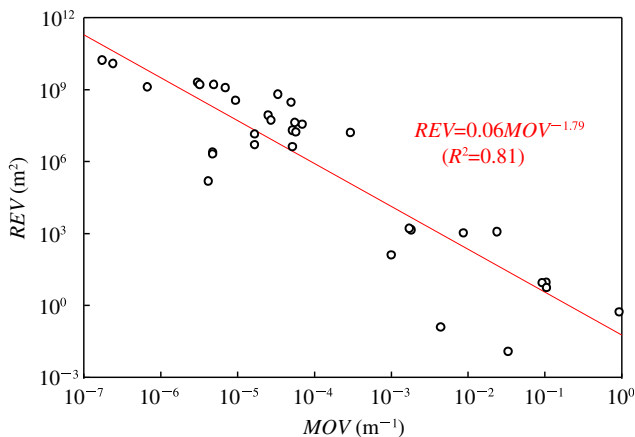


Fig. 9. REV as function of MOV.

Table 4 for different sites. REV values obtained from Eq. (24) can be employed to decide on the size of elements, relative to the fracture length scale, that can be used in finite element or finite difference mechanical simulations for fractured rock masses.

It should be noted in Fig. 9 that the value of REV can be as large as  $1000 \text{ m}^2$ . This size of REV is impossible to be used in numerical modeling. In fact, for most cases studied and shown in Fig. 9, the use of equivalent continuum modeling and REV appears to be not viable. The required large REV sizes are contrary to the small discretization (i.e. size of elements) needed to achieve accurate results in numerical methods such as the finite element or finite difference methods. Therefore, it can be concluded that for very large REV, the equivalent approach is not valid, and other approaches (e.g. DEM or DFN) need to be used. For problems which involve a range of large and small REV, an approach combining DFN/DEM techniques with equivalent continuum models have to be used. Alternatively, scaling laws must be developed to ensure that the calculated parameters from equivalent continuum models are independent of the REV or the discretization used in numerical modeling.

### 5. Conclusions

This paper extensively evaluated the sensitivities of the elastic parameters calculated from an equivalent continuum model based on Oda's compliance tensor to quantify the effects of fracture distribution and length scale on the compliance of fractured rock masses. A parametric study covering a wide range of field data was conducted to evaluate the dependence of rock mass elastic compliance on fracture geometrical parameters and sampling volume. A large number of fracture geometry realizations were generated using MCS based on different PDFs to reflect the

variability of fracture geometry. Fracture geometrical parameters were based on field data obtained from different sources in studies of fracturing from varied geological sites. The results were used to establish relationships between variations in fracture geometry, length scale, and rock mass stiffness. The following conclusions are drawn from the study:

- (1) A high fractal dimension in the power law fracture length distribution results in higher Young's modulus and smaller Poisson's ratio distributions, which indicates higher equivalent continuum stiffness. The deviation of the elastic parameters is linearly related to the magnitude of fractal dimension.
- (2) A high fracture normal stress produces higher fracture stiffness parameters, and ultimately results in larger Young's modulus and smaller Poisson's ratio. Owing to directional sensitivities for both Young's modulus and Poisson's ratio, a lower normal stress yields strong directional variations and produces clear anisotropy of the elastic parameter distributions. When the normal stress is extremely high, the mechanical effect of the fracture system may be ignored because of the low fracture stiffness ratio with  $R$  approaching 1 and high fracture stiffnesses (not exceeding intact rock stiffness) corresponding to the intact rock mass mechanical behavior.
- (3) Increasing the number of fracture sets results in increasingly isotropic elastic parameter distributions. The convergence rate decreases exponentially when the number of fracture sets is relatively large.
- (4) Using the side length ratio  $SLR$ , which is equal to the length of the side of the square sampling area divided by the length of the square region of interest, it was found that the mean values of the equivalent continuum Young's modulus and Poisson's ratio are independent of  $SLR$  above a certain threshold of  $SLR$  value and oscillate below this threshold.
- (5) REV was defined by the  $SLR$  below which both the mean equivalent continuum Young's modulus and Poisson's ratio values start to oscillate. Above this  $SLR$  value, the mean elastic stiffness parameters remain relatively constant and independent of the size of the sampling volume. In order to suggest a quantified oscillation value in a general manner, a relative error calculation was applied.
- (6) A linear relationship was obtained between the logarithmic values of  $MOV$ , which is the maximum length of fracture over the total sampling volume, and the REV. The relationship between REV and  $MOV$  can be used as a guideline to determine the REV and the size of elements that can be applied in numerical simulations of mechanical behavior of fractured rock masses based on the degree of fracturing.
- (7) Sizes of the REV obtained from the study can be as large as 1000 m<sup>2</sup>, which are impossible to be used in numerical modeling using the finite element and finite difference methods. These methods require small elements to achieve reliable results. Thus, other approaches (e.g. DEM or DFN) need to be used potentially in combination with equivalent continuum models in case of large REV sizes. Alternatively, scaling laws must be developed to ensure that the calculated parameters from equivalent continuum models are scale independent.

### Conflict of interest

The authors wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

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