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# Quantum cluster algebras<sup>☆</sup>

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## Abstract

Cluster algebras form an axiomatically defined class of commutative rings designed to serve as an algebraic framework for the theory of total positivity and canonical bases in semisimple groups and their quantum analogs. In this paper we introduce and study quantum deformations of cluster algebras.

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## 1. Introduction

Cluster algebras were introduced by S. Fomin and A. Zelevinsky [8]; their study continued in [10,2]. This is a family of commutative rings designed to serve as an algebraic framework for the theory of total positivity and canonical bases in semisimple groups and their quantum analogs. In this paper, we introduce and study quantum deformations of cluster algebras.

Our immediate motivation for introducing quantum cluster algebras is to prepare the ground for a general notion of the canonical basis in a cluster algebra. Remarkably, cluster algebras and their quantizations appear to be relevant for the study of (higher)

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Teichmüller theory initiated in [11,12,5,6]. Our approach to quantization has much in common with the one in [5,6], but we develop it more systematically. In particular, we show that practically all the structural results on cluster algebras obtained in [8,10,2] extend to the quantum setting. This includes the Laurent phenomenon [8,9,2] and the classification of cluster algebras of finite type [10].

Our approach to quantum cluster algebras can be described as follows. Recall that a *cluster algebra*  $\mathcal{A}$  is a certain commutative ring generated by a (possibly infinite) set of generators called *cluster variables* inside an ambient field  $\mathcal{F}$  isomorphic to the field of rational functions in  $m$  independent variables over  $\mathbb{Q}$ . The set of cluster variables is the union of some distinguished transcendence bases of  $\mathcal{F}$  called (extended) *clusters*. The clusters are not given from the outset but are obtained from an initial cluster via an iterative process of *mutations* which follows a set of canonical rules. According to these rules, every cluster  $\{x_1, \dots, x_m\}$  is surrounded by  $n$  adjacent clusters (for some  $n \leq m$  called the *rank* of  $\mathcal{A}$ ) of the form  $\{x_1, \dots, x_m\} - \{x_k\} \cup \{x'_k\}$ , where  $k$  runs over a given  $n$ -element subset of *exchangeable* indices, and  $x'_k \in \mathcal{F}$  is related to  $x_k$  by the *exchange relation* (see (2.2)). The cluster algebra structure is completely determined by an  $m \times n$  integer matrix  $\tilde{B}$  that encodes all the exchange relations. (The precise definitions of all these notions are given in Section 2.) Now, the quantum deformation of  $\mathcal{A}$  is a  $\mathbb{Q}(q)$ -algebra obtained by making each cluster into a *quasi-commuting* family  $\{X_1, \dots, X_m\}$ ; this means that  $X_i X_j = q^{\lambda_{ij}} X_j X_i$  for a skew-symmetric integer  $m \times m$  matrix  $\Lambda = (\lambda_{ij})$ . In doing so, we have to modify the mutation process and the exchange relations so that all the adjacent quantum clusters will also be quasi-commuting. This imposes the *compatibility* relation between the quasi-commutation matrix  $\Lambda$  and the exchange matrix  $\tilde{B}$  (Definition 3.1). In what follows, we develop a formalism that allows us to show that any compatible matrix pair  $(\Lambda, \tilde{B})$  gives rise to a well-defined quantum cluster algebra.

The paper is organized as follows. In Section 2, we present necessary definitions and facts from the theory of cluster algebras in the form suitable for our current purposes. In Section 3, we introduce compatible matrix pairs  $(\Lambda, \tilde{B})$  and their mutations.

Section 4 plays the central part in this paper. It introduces the main concepts needed for the definition of quantum cluster algebras (Definition 4.12): *based quantum tori* (Definition 4.1) and their skew-fields of fractions, *toric frames* (Definition 4.3), *quantum seeds* (Definition 4.5) and their mutations (Definition 4.8).

Section 5 establishes the quantum version of the Laurent phenomenon (Corollary 5.2): any cluster variable is a Laurent polynomial in the elements of any given cluster. The proof closely follows the argument in [2] with necessary modifications. It is based on the important concept of an *upper cluster algebra* and the fact that it is invariant under mutations (Theorem 5.1).

In Section 6, we show that the *exchange graph* of a quantum cluster algebra remains unchanged in the “classical limit”  $q = 1$  (Theorem 6.1). (Recall that the vertices of the exchange graph correspond to (quantum) seeds, and the edges correspond to mutations.) An important consequence of Theorem 6.1 is that the classification of cluster algebras of finite type achieved in [10] applies verbatim to quantum cluster algebras.

An important ingredient of the proof of Theorem 6.1 is the *bar-involution* on the quantum cluster algebra which is modeled on the Kazhdan–Lusztig involution, or the

one used later by Lusztig in his definition of the canonical basis. We conclude Section 6 by including the bar-involution into a family of *twisted bar-involutions* (Proposition 6.9). This construction is motivated by our hope that this family of involutions will find applications to the future theory of canonical bases in (quantum) cluster algebras.

Section 7 extends to the quantum setting another important result from [2]: a sufficient condition (“acyclicity”) guaranteeing that the cluster algebra coincides with the upper one (Theorem 7.5). The proof in [2] is elementary but rather involved; we do not reproduce it here in the quantum setting, just indicate necessary modifications.

Section 8 presents our main source of examples of quantum cluster algebras: those associated with double Bruhat cells in semisimple groups. The ordinary cluster algebra structure associated with these cells was introduced and studied in [2]. The main result in Section 8 (Theorem 8.3) shows, in particular, that every matrix  $\tilde{B}$  associated as in [2] with a double Bruhat cell can be naturally included into a compatible matrix pair  $(\Lambda, \tilde{B})$ . Not very surprisingly, the skew-symmetric matrix  $\Lambda$  that appears here is the one describing the standard Poisson structure in the double cell in question; this matrix was calculated in [16,11]. The statement and proof of Theorem 8.3 are purely combinatorial, i.e., do not use the geometry of double cells; thus, without any additional difficulty, we state and prove it in greater generality that allows us to produce a substantial class of compatible matrix pairs associated with generalized Cartan matrices.

The study of quantum double Bruhat cells continues in Section 10. (For the convenience of the reader, we collect necessary preliminaries on quantum groups in Section 9.) The goal is to relate the cluster algebra approach with that developed by De Concini and Procesi [4] (see also [14,3]). Our results here are just the first step in this direction; we merely prepare the ground for a conjecture (Conjecture 10.10) that every quantum double Bruhat cell is naturally isomorphic to the upper cluster algebra associated with an appropriate matrix pair from Theorem 8.3. The classical case of this conjecture was proved in [2, Theorem 2.10].

For the convenience of the reader, some needed facts on Ore localizations are collected with proofs in Appendix A.

## 2. Cluster algebras of geometric type

We start by recalling the definition of (skew-symmetrizable) cluster algebras of geometric type, in the form most convenient for our current purposes.

Let  $m$  and  $n$  be two positive integers with  $m \geq n$ . Let  $\mathcal{F}$  be the field of rational functions over  $\mathbb{Q}$  in  $m$  independent (commuting) variables. The cluster algebra that we are going to introduce will be a subring of the ambient field  $\mathcal{F}$ . To define it, we need to introduce seeds and their mutations.

**Definition 2.1.** A (skew-symmetrizable) *seed* in  $\mathcal{F}$  is a pair  $(\tilde{\mathbf{x}}, \tilde{B})$ , where

- (1)  $\tilde{\mathbf{x}} = \{x_1, \dots, x_m\}$  is a transcendence basis of  $\mathcal{F}$ , which generates  $\mathcal{F}$ .
- (2)  $\tilde{B}$  is an  $m \times n$  integer matrix with rows labeled by  $[1, m] = \{1, \dots, m\}$  and columns labeled by an  $n$ -element subset  $\mathbf{ex} \subset [1, m]$ , such that the  $n \times n$  submatrix  $B$  of  $\tilde{B}$

with rows labeled by  $\mathbf{ex}$  is skew-symmetrizable, i.e.,  $DB$  is skew-symmetric for some diagonal  $n \times n$  matrix  $D$  with positive diagonal entries.

The seeds are defined up to a relabeling of elements of  $\tilde{\mathbf{x}}$  together with the corresponding relabeling of rows and columns of  $\tilde{B}$ .

**Remark 2.2.** The last condition in (1), namely that  $\tilde{\mathbf{x}}$  generates  $\mathcal{F}$ , was unfortunately omitted in [10,2] although it was always meant to be there. (We thank E.B. Vinberg for pointing this out to us.) In what follows, we refer to the subsets satisfying (1) as *free generating sets* of  $\mathcal{F}$ .

We denote  $\mathbf{x} = \{x_j : j \in \mathbf{ex}\} \subset \tilde{\mathbf{x}}$ , and  $\mathbf{c} = \tilde{\mathbf{x}} - \mathbf{x}$ . We refer to the indices from  $\mathbf{ex}$  as *exchangeable indices*, to  $\mathbf{x}$  as the *cluster* of a seed  $(\tilde{\mathbf{x}}, \tilde{B})$ , and to  $B$  as the *principal part* of  $\tilde{B}$ .

Following [8, Definition 4.2], we say that a real  $m \times n$  matrix  $\tilde{B}'$  is obtained from  $\tilde{B}$  by *matrix mutation* in direction  $k \in \mathbf{ex}$ , and write  $\tilde{B}' = \mu_k(\tilde{B})$  if the entries of  $\tilde{B}'$  are given by

$$b'_{ij} = \begin{cases} -b_{ij} & \text{if } i = k \text{ or } j = k; \\ b_{ij} + \frac{|b_{ik}|b_{kj} + b_{ik}|b_{kj}|}{2} & \text{otherwise.} \end{cases} \tag{2.1}$$

This operation has the following properties.

**Proposition 2.3.** (1) *The principal part of  $\tilde{B}'$  is equal to  $\mu_k(B)$ .*

(2)  *$\mu_k$  is involutive:  $\mu_k(\tilde{B}') = \tilde{B}$ .*

(3) *If  $B$  is integer and skew-symmetrizable then so is  $\mu_k(B)$ .*

(4) *The rank of  $\tilde{B}'$  is equal to the rank of  $\tilde{B}$ .*

**Proof.** Parts (1) and (2) are immediate from the definitions. To see (3), notice that  $\mu_k(B)$  has the same skew-symmetrizing matrix  $D$  (see [8, Proposition 4.5]). Finally, Part (4) is proven in [2, Lemma 3.2].  $\square$

**Definition 2.4.** Let  $(\tilde{\mathbf{x}}, \tilde{B})$  be a seed in  $\mathcal{F}$ . For any exchangeable index  $k$ , the *seed mutation* in direction  $k$  transforms  $(\tilde{\mathbf{x}}, \tilde{B})$  into a seed  $\mu_k(\tilde{\mathbf{x}}, \tilde{B}) = (\tilde{\mathbf{x}}', \tilde{B}')$ , where

- $\tilde{\mathbf{x}}' = \tilde{\mathbf{x}} - \{x_k\} \cup \{x'_k\}$ , where  $x'_k \in \mathcal{F}$  is determined by the *exchange relation*

$$x_k x'_k = \prod_{\substack{i \in [1,m] \\ b_{ik} > 0}} x_i^{b_{ik}} + \prod_{\substack{i \in [1,m] \\ b_{ik} < 0}} x_i^{-b_{ik}}. \tag{2.2}$$

- The matrix  $\tilde{B}'$  is obtained from  $\tilde{B}$  by the matrix mutation in direction  $k$ .

Note that  $(\tilde{\mathbf{x}}', \tilde{B}')$  is indeed a seed, since  $\tilde{\mathbf{x}}'$  is obviously a free generating set for  $\mathcal{F}$ , and the principal part of  $\tilde{B}'$  is skew-symmetrizable by parts (1) and (3) of Proposition 2.3. As an easy consequence of part (2) of Proposition 2.3, the seed mutation

is involutive, i.e.,  $\mu_k(\tilde{\mathbf{x}}', \tilde{B}') = (\tilde{\mathbf{x}}, \tilde{B})$ . Therefore, the following relation on seeds is an equivalence relation: we say that  $(\tilde{\mathbf{x}}, \tilde{B})$  is mutation-equivalent to  $(\tilde{\mathbf{x}}', \tilde{B}')$  and write  $(\tilde{\mathbf{x}}, \tilde{B}) \sim (\tilde{\mathbf{x}}', \tilde{B}')$  if  $(\tilde{\mathbf{x}}', \tilde{B}')$  can be obtained from  $(\tilde{\mathbf{x}}, \tilde{B})$  by a sequence of seed mutations. Note that all seeds  $(\tilde{\mathbf{x}}', \tilde{B}')$  mutation-equivalent to a given seed  $(\tilde{\mathbf{x}}, \tilde{B})$  share the same set  $\mathbf{c} = \tilde{\mathbf{x}}' - \mathbf{x}'$ . Let  $\mathbb{Z}[\mathbf{c}^{\pm 1}] \subset \mathcal{F}$  be the ring of integer Laurent polynomials in the elements of  $\mathbf{c}$ .

Now everything is in place for defining cluster algebras.

**Definition 2.5.** Let  $\mathcal{S}$  be a mutation-equivalence class of seeds in  $\mathcal{F}$ . The cluster algebra  $\mathcal{A}(\mathcal{S})$  associated with  $\mathcal{S}$  is the  $\mathbb{Z}[\mathbf{c}^{\pm 1}]$ -subalgebra of the ambient field  $\mathcal{F}$ , generated by the union of clusters of all seeds in  $\mathcal{S}$ .

Since  $\mathcal{S}$  is uniquely determined by each of the seeds  $(\tilde{\mathbf{x}}, \tilde{B})$  in it, we sometimes denote  $\mathcal{A}(\mathcal{S})$  as  $\mathcal{A}(\tilde{\mathbf{x}}, \tilde{B})$ , or even simply  $\mathcal{A}(\tilde{B})$ , because  $\tilde{B}$  determines this algebra uniquely up to an automorphism of the ambient field  $\mathcal{F}$ .

### 3. Compatible pairs

**Definition 3.1.** Let  $\tilde{B}$  be an  $m \times n$  integer matrix with rows labeled by  $[1, m]$  and columns labeled by an  $n$ -element subset  $\mathbf{ex} \subset [1, m]$ . Let  $\Lambda$  be a skew-symmetric  $m \times m$  integer matrix with rows and columns labeled by  $[1, m]$ . We say that a pair  $(\Lambda, \tilde{B})$  is *compatible* if, for every  $j \in \mathbf{ex}$  and  $i \in [1, m]$ , we have

$$\sum_{k=1}^m b_{kj} \lambda_{ki} = \delta_{ij} d_j$$

for some positive integers  $d_j$  ( $j \in \mathbf{ex}$ ). In other words, the  $n \times m$  matrix  $\tilde{D} = \tilde{B}^T \Lambda$  consists of the two blocks: the  $\mathbf{ex} \times \mathbf{ex}$  diagonal matrix  $D$  with positive integer diagonal entries  $d_j$ , and the  $\mathbf{ex} \times ([1, m] - \mathbf{ex})$  zero block.

A large class of compatible pairs is constructed in Section 8.1. Here is one specific example of a pair from this class.

**Example 3.2.** Let  $\tilde{B}$  be an  $8 \times 4$  matrix given by

$$\tilde{B} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ -1 & 0 & 1 & -1 \\ 1 & -1 & 0 & 1 \\ 0 & 1 & -1 & 0 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

where the columns are indexed by the set  $\mathbf{ex} = \{3, 4, 5, 6\}$  (note that the  $4 \times 4$  submatrix of  $\tilde{B}$  on the rows  $\{3, 4, 5, 6\}$  is skew-symmetric). (This matrix describes the cluster algebra structure in the coordinate ring of  $SL_3$  localized at the four minors  $\Delta_{1,3}$ ,  $\Delta_{3,1}$ ,  $\Delta_{12,23}$ , and  $\Delta_{23,12}$ ; it is obtained from the one in [2, Fig. 2] by interchanging the first two rows and changing the sign of all entries.) Let us define a skew-symmetric  $8 \times 8$  matrix  $\Lambda$  by

$$\Lambda = \begin{pmatrix} 0 & 0 & -1 & -1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & -1 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 & -1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & -1 & 0 & 0 & 1 \\ 0 & 0 & -1 & -1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & -1 & -1 & 0 & 0 \end{pmatrix}.$$

A direct check shows that the pair  $(\Lambda, \tilde{B})$  is compatible: the product  $\tilde{D} = \tilde{B}^T \Lambda$  is equal to

$$\begin{pmatrix} 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 \end{pmatrix}.$$

**Proposition 3.3.** *If a pair  $(\Lambda, \tilde{B})$  is compatible then  $\tilde{B}$  has full rank  $n$ , and its principal part  $B$  is skew-symmetrizable.*

**Proof.** By the definition, the  $n \times n$  submatrix of  $\tilde{B}^T \Lambda$  with rows and columns labeled by  $\mathbf{ex}$  is the diagonal matrix  $D$  with positive diagonal entries  $d_j$ . This implies at once that  $\text{rk}(\tilde{B}) = n$ . To show that  $B$  is skew-symmetrizable, note that  $DB = \tilde{B}^T \Lambda \tilde{B}$  is skew-symmetric.  $\square$

We will extend matrix mutations to those of compatible pairs. Fix an index  $k \in \mathbf{ex}$  and a sign  $\varepsilon \in \{\pm 1\}$ . As shown in [2, (3.2)], the matrix  $\tilde{B}' = \mu_k^\varepsilon(\tilde{B})$  can be written as

$$\tilde{B}' = E_\varepsilon \tilde{B} F_\varepsilon, \tag{3.1}$$

where

- $E_\varepsilon$  is the  $m \times m$  matrix with entries

$$e_{ij} = \begin{cases} \delta_{ij} & \text{if } j \neq k; \\ -1 & \text{if } i = j = k; \\ \max(0, -\varepsilon b_{ik}) & \text{if } i \neq j = k. \end{cases} \tag{3.2}$$

- $F_\varepsilon$  is the  $n \times n$  matrix with rows and columns labeled by  $\mathbf{ex}$ , and entries given by

$$f_{ij} = \begin{cases} \delta_{ij} & \text{if } i \neq k; \\ -1 & \text{if } i = j = k; \\ \max(0, \varepsilon b_{kj}) & \text{if } i = k \neq j. \end{cases} \tag{3.3}$$

Now suppose that a pair  $(\Lambda, \tilde{B})$  is compatible. We set

$$\Lambda' = E_\varepsilon^T \Lambda E_\varepsilon; \tag{3.4}$$

thus,  $\Lambda'$  is skew-symmetric.

**Proposition 3.4.** (1) *The pair  $(\Lambda', \tilde{B}')$  is compatible.*

(2)  *$\Lambda'$  is independent of the choice of a sign  $\varepsilon$ .*

**Proof.** To prove (1), we show that the pair  $(\Lambda', \tilde{B}')$  satisfies Definition 3.1 with the same matrix  $\tilde{D}$ . We start with an easy observation that

$$E_\varepsilon^2 = 1, \quad F_\varepsilon^2 = 1. \tag{3.5}$$

We also have

$$F_\varepsilon^T \tilde{D} = \tilde{D} E_\varepsilon; \tag{3.6}$$

indeed, one only has to check that

$$d_i \max(0, -\varepsilon b_{ik}) = d_k \max(0, \varepsilon b_{ki})$$

for  $i \in \mathbf{ex} - \{k\}$ , which is true since, by Proposition 3.3,  $D$  is a skew-symmetrizing matrix for the principal part of  $\tilde{B}$ . In view of (3.5) and (3.6), we have

$$(\tilde{B}')^T \Lambda' = F_\varepsilon^T \tilde{D} E_\varepsilon = \tilde{D}$$

finishing the proof.

(2) An easy calculation shows that the matrix entries of the product  $G = E_- E_+$  are given by

$$g_{ij} = \begin{cases} 1 & \text{if } i = j; \\ \delta_{jk} b_{ik} & \text{if } i \neq j. \end{cases} \tag{3.7}$$

A direct check now shows that  $G^T \Lambda G = \Lambda$ . (For instance, if  $j \neq k$  then the  $(k, j)$  entry of  $G^T \Lambda G$  is equal to

$$\lambda_{kj} + \sum_{i \neq k} b_{ik} \lambda_{ij} = \lambda_{kj},$$

since the sum  $\sum_{i \neq k} b_{ik} \lambda_{ij}$  is the  $(k, j)$ -entry of  $\tilde{B}^T \Lambda$  and so is equal to 0.) We conclude that  $E_+^T \Lambda E_+ = E_-^T \Lambda E_-$  as claimed.  $\square$

Proposition 3.4 justifies the following important definition.

**Definition 3.5.** Let  $(\Lambda, \tilde{B})$  be a compatible pair, and  $k \in \mathbf{ex}$ . We say that the compatible pair given by (3.1) and (3.4) is obtained from  $(\Lambda, \tilde{B})$  by the *mutation* in direction  $k$ , and write  $(\Lambda', \tilde{B}') = \mu_k(\Lambda, \tilde{B})$ .

The following result extends part (2) of Proposition 2.3 to compatible pairs.

**Proposition 3.6.** *The mutations of compatible pairs are involutive: for any compatible pair  $(\Lambda, \tilde{B})$  and  $k \in \mathbf{ex}$ , we have  $\mu_k(\mu_k(\Lambda, \tilde{B})) = (\Lambda, \tilde{B})$ .*

**Proof.** Let  $\mu_k(\Lambda, \tilde{B}) = (\Lambda', \tilde{B}')$ , and let  $E'_\varepsilon$  be given by (3.2) applied to  $\tilde{B}'$  instead of  $\tilde{B}$ . By the first case in (2.1), the  $k$ th column of  $\tilde{B}'$  is the negative of the  $k$ th column of  $\tilde{B}$ . It follows that:

$$E'_\varepsilon = E_{-\varepsilon}. \tag{3.8}$$

In view of (3.5), we get

$$(E'_+)^T \Lambda' E'_+ = E_-^T \Lambda' E_- = \Lambda,$$

which proves the desired claim.  $\square$

### 4. Quantum cluster algebras setup

#### 4.1. Based quantum torus and ambient skew-field

Let  $L$  be a lattice of rank  $m$ , with a skew-symmetric bilinear form  $\Lambda : L \times L \rightarrow \mathbb{Z}$ . We also introduce a formal variable  $q$ . It will be convenient to work over the field of rational functions  $\mathbb{Q}(q^{1/2})$  as a ground field. Let  $\mathbb{Z}[q^{\pm 1/2}] \subset \mathbb{Q}(q^{1/2})$  denote the ring of integer Laurent polynomials in the variable  $q^{1/2}$ .



**Definition 4.1.** The *based quantum torus* associated with  $L$  is the  $\mathbb{Z}[q^{\pm 1/2}]$ -algebra  $\mathcal{T} = \mathcal{T}(\Lambda)$  with a distinguished  $\mathbb{Z}[q^{\pm 1/2}]$ -basis  $\{X^e : e \in L\}$  and the multiplication given by

$$X^e X^f = q^{\Lambda(e,f)/2} X^{e+f} \quad (e, f \in L). \tag{4.1}$$

Thus,  $\mathcal{T}$  can be viewed as the group algebra of  $L$  over  $\mathbb{Z}[q^{\pm 1/2}]$  twisted by a 2-cocycle  $(e, f) \mapsto q^{\Lambda(e,f)/2}$ . It is easy to see that  $\mathcal{T}$  is associative: we have

$$(X^e X^f) X^g = X^e (X^f X^g) = q^{(\Lambda(e,f)+\Lambda(e,g)+\Lambda(f,g))/2} X^{e+f+g}. \tag{4.2}$$

The basis elements satisfy the commutation relations

$$X^e X^f = q^{\Lambda(e,f)} X^f X^e. \tag{4.3}$$

We also have

$$X^0 = 1, \quad (X^e)^{-1} = X^{-e} \quad (e \in L). \tag{4.4}$$

It is well-known (see the appendix) that  $\mathcal{T}$  is an Ore domain, i.e., is contained in its skew-field of fractions  $\mathcal{F}$ . Note that  $\mathcal{F}$  is a  $\mathbb{Q}(q^{1/2})$ -algebra. A quantum cluster algebra to be defined below will be a  $\mathbb{Z}[q^{\pm 1/2}]$ -subalgebra of  $\mathcal{F}$ .

#### 4.2. Some automorphisms of $\mathcal{F}$

Unless otherwise stated, by an *automorphism* of  $\mathcal{F}$  we will always mean a  $\mathbb{Q}(q^{1/2})$ -algebra automorphism. An important class of automorphisms of  $\mathcal{F}$  can be given as follows. For a lattice point  $b \in L - \ker(\Lambda)$ , let  $d(b)$  denote the minimal positive value of  $\Lambda(b, e)$  for  $e \in L$ . We associate with  $b$  the grading on  $\mathcal{T}$  such that every  $X^e$  is homogeneous of degree

$$d_b(X^e) = d_b(e) = \Lambda(b, e)/d(b). \tag{4.5}$$

**Proposition 4.2.** *For every  $b \in L - \ker(\Lambda)$ , and every sign  $\varepsilon$ , there is a unique automorphism  $\rho_{b,\varepsilon}$  of  $\mathcal{F}$  such that*

$$\rho_{b,\varepsilon}(X^e) = \begin{cases} X^e & \text{if } \Lambda(b, e) = 0; \\ X^e + X^{e+\varepsilon b} & \text{if } \Lambda(b, e) = -d(b). \end{cases} \tag{4.6}$$

**Proof.** Since the elements  $X^e$  that appear in (4.6), together with their inverses generate  $\mathcal{T}$  as a  $\mathbb{Z}[q^{\pm 1/2}]$ -algebra, the uniqueness of  $\rho_{b,\varepsilon}$  is clear. To show the existence, we

introduce some notation. For every non-negative integer  $r$ , we define an element  $P_{b,\varepsilon}^r \in \mathcal{T}$  by

$$P_{b,\varepsilon}^r = \prod_{p=1}^r (1 + q^{\varepsilon(2p-1)d(b)/2} X^{\varepsilon b}). \tag{4.7}$$

We extend the action of  $\rho_{b,\varepsilon}$  given by (4.6) to a  $\mathbb{Z}[q^{\pm 1/2}]$ -linear map  $\mathcal{T} \rightarrow \mathcal{F}$  such that, for every  $e \in L$  with  $|d_b(e)| = r$ , we have

$$\rho_{\varepsilon,b}(X^e) = \begin{cases} P_{b,\varepsilon}^r X^e & \text{if } d_b(e) = -r, \\ (P_{-b,-\varepsilon}^r)^{-1} X^e & \text{if } d_b(e) = r \end{cases} \tag{4.8}$$

(it is easy to see that (4.8) specializes to (4.6) when  $d_b(e) = 0$ , or  $d_b(e) = -1$ ; a more general expression is given by (4.10)). One checks easily with the help of (4.3) that this extended map is a  $\mathbb{Z}[q^{\pm 1/2}]$ -algebra homomorphism  $\mathcal{T} \rightarrow \mathcal{F}$ , and so it extends to an algebra endomorphism of  $\mathcal{F}$ . The fact that this is an automorphism follows from the identity  $\rho_{-b,-\varepsilon}(\rho_{b,\varepsilon}(X^e)) = X^e$ , which is a direct consequence of (4.8).  $\square$

A direct check using (4.8) shows that the automorphisms  $\rho_{b,\varepsilon}$  have the following properties:

$$\rho_{b,\varepsilon}^{-1} = \rho_{-b,-\varepsilon}, \quad \rho_{b,-\varepsilon} = \tau_{b,\varepsilon} \circ \rho_{b,\varepsilon}, \tag{4.9}$$

where  $\tau_{b,\varepsilon}$  is an automorphism of  $\mathcal{F}$  acting by

$$\tau_{b,\varepsilon}(X^e) = X^{e-\varepsilon d_b(e)b} \quad (e \in L).$$

In the first case in (4.8), i.e., when  $d_b(e) = -r \leq 0$ , we have also the following explicit expansion of  $\rho_{b,\varepsilon}(X^e)$  in terms of the distinguished basis in  $\mathcal{T}$ :

$$\rho_{b,\varepsilon}(X^e) = \sum_{p=0}^r \binom{r}{p}_{q^{d(b)/2}} X^{e+\varepsilon pb}, \tag{4.10}$$

where we use the notation

$$\binom{r}{p}_t = \frac{(t^r - t^{-r}) \dots (t^{r-p+1} - t^{-r+p-1})}{(t^p - t^{-p}) \dots (t - t^{-1})}. \tag{4.11}$$

This expansion follows from the first case in (4.8) with the help of the well-known “ $t$ -binomial formula”

$$\prod_{p=0}^{r-1} (1 + t^{r-1-2p} x) = \sum_{p=0}^r \binom{r}{p}_t x^p. \tag{4.12}$$

4.3. Toric frames

**Definition 4.3.** A toric frame in  $\mathcal{F}$  is a mapping  $M : \mathbb{Z}^m \rightarrow \mathcal{F} - \{0\}$  of the form

$$M(c) = \varphi(X^{\eta(c)}), \tag{4.13}$$

where  $\varphi$  is an automorphism of  $\mathcal{F}$ , and  $\eta : \mathbb{Z}^m \rightarrow L$  is an isomorphism of lattices.

Note that both  $\varphi$  and  $\eta$  are not uniquely determined by a toric frame  $M$ .

By the definition, the elements  $M(c)$  form a  $\mathbb{Z}[q^{\pm 1/2}]$ -basis of an isomorphic copy  $\varphi(\mathcal{T})$  of the based quantum torus  $\mathcal{T}$ ; their multiplication and commutation relations are given by

$$M(c)M(d) = q^{\Lambda_M(c,d)/2} M(c + d) \tag{4.14}$$

and

$$M(c)M(d) = q^{\Lambda_M(c,d)} M(d)M(c), \tag{4.15}$$

where the bilinear form  $\Lambda_M$  on  $\mathbb{Z}^m$  is obtained by transferring the form  $\Lambda$  from  $L$  by means of the lattice isomorphism  $\eta$ . (Note that either of (4.14) and (4.15) establishes, in particular, that  $\Lambda_M$  is well defined, i.e., does not depend on the choice of  $\eta$ .) In view of (4.4), we have

$$M(0) = 1, \quad M(c)^{-1} = M(-c) \quad (c \in \mathbb{Z}^m). \tag{4.16}$$

We denote by the same symbol  $\Lambda_M$  the corresponding  $m \times m$  integer matrix with entries

$$\lambda_{ij} = \Lambda_M(e_i, e_j), \tag{4.17}$$

where  $\{e_1, \dots, e_m\}$  is the standard basis of  $\mathbb{Z}^m$ .

Given a toric frame, we set  $X_i = M(e_i)$  for  $i \in [1, m]$ . In view of (4.15), the elements  $X_i$  quasi-commute:

$$X_i X_j = q^{\lambda_{ij}} X_j X_i. \tag{4.18}$$

In the “classical limit”  $q = 1$ , the set  $\tilde{\mathbf{X}} = \{X_1, \dots, X_m\}$  specializes to an (arbitrary) free generating set  $\tilde{\mathbf{x}}$  of the ambient field, while the set  $\{M(c) : c \in \mathbb{Z}^m\}$  turns into the set of all Laurent monomials in the elements of  $\tilde{\mathbf{x}}$ .

**Lemma 4.4.** *A toric frame  $M : \mathbb{Z}^m \rightarrow \mathcal{F} - \{0\}$  is uniquely determined by the elements  $X_i = M(e_i)$  for  $i \in [1, m]$ .*

**Proof.** In view of (4.14), (4.17), and (4.18), we get

$$M(a_1, \dots, a_m) = q^{\frac{1}{2} \sum_{\ell < k} a_k a_\ell \lambda_{k\ell}} X_1^{a_1} \dots X_m^{a_m} \tag{4.19}$$

for any  $(a_1, \dots, a_m) \in \mathbb{Z}^m$ , which implies our statement.  $\square$

In spite of Lemma 4.4, we still prefer to include the whole infinite family of elements  $M(c)$  into Definition 4.3, since there seems to be no nice way to state the needed conditions in terms of the finite set  $\tilde{\mathbf{X}}$ .

#### 4.4. Quantum seeds and their mutations

Now everything is ready for a quantum analog of Definition 2.1.

**Definition 4.5.** A *quantum seed* is a pair  $(M, \tilde{B})$ , where

- $M$  is a toric frame in  $\mathcal{F}$ .
- $\tilde{B}$  is an  $m \times n$  integer matrix with rows labeled by  $[1, m]$  and columns labeled by an  $n$ -element subset  $\mathbf{ex} \subset [1, m]$ .
- The pair  $(\Lambda_M, \tilde{B})$  is compatible in the sense of Definition 3.1.

As in Definition 2.1, quantum seeds are defined up to a permutation of the standard basis in  $\mathbb{Z}^m$  together with the corresponding relabeling of rows and columns of  $\tilde{B}$ .

**Remark 4.6.** In the “classical limit”  $q = 1$ , the quasi-commutation relations (4.15) give rise to the Poisson structure on the cluster algebra introduced and studied in [11]. In fact, the compatibility condition for the pair  $(\Lambda_M, \tilde{B})$  appears in [11, (1.7)]. Furthermore, for  $k \in \mathbf{ex}$ , let  $b^k \in \mathbb{Z}^m$  denote the  $k$ th column of  $\tilde{B}$ . As a special case of (4.15), for every  $j, k \in \mathbf{ex}$ , we get

$$M(b^j)M(b^k) = q^{\Lambda_M(b^j, b^k)} M(b^k)M(b^j),$$

where the exponent  $\Lambda_M(b^j, b^k)$  is the  $(j, k)$ -entry of the matrix  $\tilde{B}^T \Lambda_M \tilde{B}$ . Since the pair  $(\Lambda_M, \tilde{B})$  is compatible, this exponent is equal to  $d_j b_{jk} = -d_k b_{kj}$ , where the positive integers  $d_j$  for  $j \in \mathbf{ex}$  have the same meaning as in Definition 3.1. In the limit  $q = 1$ , this agrees with the calculation of the Poisson structure from [11, Theorem 1.4] in the so-called  $\tau$ -coordinates.

Our next target is a quantum analog of Definition 2.4. Let  $(\tilde{M}, \tilde{B})$  be a quantum seed. Fix an index  $k \in \mathbf{ex}$  and a sign  $\varepsilon \in \{\pm 1\}$ . We define a mapping  $M' : \mathbb{Z}^m \rightarrow \mathcal{F} - \{0\}$  by setting, for  $c = (c_1, \dots, c_m) \in \mathbb{Z}^m$  with  $c_k \geq 0$ ,

$$M'(c) = \sum_{p=0}^{c_k} \binom{c_k}{p}_{q^{d_k/2}} M(E_\varepsilon c + \varepsilon p b^k), \quad M'(-c) = M'(c)^{-1}, \tag{4.20}$$

where we use the  $t$ -binomial coefficients from (4.11), the matrix  $E_\varepsilon$  is given by (3.2), and the vector  $b^k \in \mathbb{Z}^m$  is the  $k$ th column of  $\tilde{B}$ . Finally, let  $\tilde{B}' = \mu_k(\tilde{B})$  be given by (2.1).

**Proposition 4.7.** (1) *The mapping  $M'$  is a toric frame independent of the choice of a sign  $\varepsilon$ .*

(2) *The pair  $(\Lambda_{M'}, \tilde{B}')$  is obtained from  $(\Lambda_M, \tilde{B})$  by the mutation in direction  $k$  (see Definition 3.5).*

(3) *The pair  $(M', \tilde{B}')$  is a quantum seed.*

**Proof.** (1) To see that  $M'$  is independent of the choice of  $\varepsilon$ , notice that the summation term in (4.20) does not change if we replace  $\varepsilon$  with  $-\varepsilon$ , and  $p$  with  $c_k - p$  (this is a straightforward check). To show that  $M'$  is a toric frame, we express  $M$  according to (4.13). Replacing the initial-based quantum torus  $\mathcal{T}$  with  $\varphi(\mathcal{T})$ , and using  $\eta$  to identify the lattice  $L$  with  $\mathbb{Z}^m$ , we may assume from the start that  $L = \mathbb{Z}^m$ , and  $M(c) = X^c$  for any  $c \in L$ . Note that the compatibility condition for the pair  $(\Lambda_M, \tilde{B})$  can be simply written as

$$\Lambda(b^j, e_i) = \delta_{ij} d_j \quad (i \in [1, m], j \in \mathbf{ex}). \tag{4.21}$$

It follows that, using the notation introduced in Section 4.2, we get  $d(b^k) = d_k$  for  $k \in \mathbf{ex}$ , and  $d_{b^k}(E_\varepsilon c) = -c_k$ . Comparing (4.20) with (4.10), we now obtain

$$M'(c) = \rho_{b^k, \varepsilon}(X^{E_\varepsilon c}) \quad (c \in L); \tag{4.22}$$

thus,  $M'$  is of the form (4.13), i.e., is a toric frame.

(2) In view of (4.17) and (4.22), the matrices  $\Lambda_{M'}$  and  $\Lambda_M$  are related by  $\Lambda_{M'} = E_\varepsilon^T \Lambda_M E_\varepsilon$ , so the claim follows from (3.4).

(3) The statement follows from parts (1) and (2) in view of Proposition 3.4. □

Proposition 4.7 justifies the following definition.

**Definition 4.8.** Let  $(M, \tilde{B})$  be a quantum seed, and  $k \in \mathbf{ex}$ . We say that the quantum seed  $(M', \tilde{B}')$  given by (4.20) and (2.1) is obtained from  $(M, \tilde{B})$  by the *mutation in direction  $k$* , and write  $(M', \tilde{B}') = \mu_k(M, \tilde{B})$ .

The following proposition demonstrates that Definition 4.8 is indeed a quantum analog of Definition 2.4.

**Proposition 4.9.** *Let  $(M, \tilde{B})$  be a quantum seed, and suppose the quantum seed  $(M', \tilde{B}')$  is obtained from  $(M, \tilde{B})$  by the mutation in direction  $k \in \mathbf{ex}$ . For  $i \in [1, m]$ , let  $X_i = M(e_i)$  and  $X'_i = M'(e_i)$ . Then  $X'_i = X_i$  for  $i \neq k$ , and  $X'_k$  is given by the following quantum analog of the exchange relation (2.2):*

$$X'_k = M(-e_k + \sum_{b_{ik}>0} b_{ik}e_i) + M(-e_k - \sum_{b_{ik}<0} b_{ik}e_i). \tag{4.23}$$

**Proof.** This follows at once by applying (4.20) to  $c = e_i$  for  $i \in [1, m]$ .  $\square$

**Proposition 4.10.** *The mutation of quantum seeds is involutive: if  $(M', \tilde{B}') = \mu_k(M, \tilde{B})$  then  $\mu_k(M', \tilde{B}') = (M, \tilde{B})$ .*

**Proof.** As in the proof of Proposition 4.7, we can assume without loss of generality that  $L = \mathbb{Z}^m$ , and  $M(c) = X^c$  for any  $c \in L$ . Then the toric frame  $M'$  is given by (4.22). Applying (4.22) once again, with  $\varepsilon$  replaced by  $-\varepsilon$ , we see that the toric frame  $M''$  in the quantum seed  $\mu_k(M', \tilde{B}')$  is given by

$$M''(c) = \rho_{b^k, \varepsilon} \rho_{-E_\varepsilon b^k, -\varepsilon} (X^{E_\varepsilon E'^{-\varepsilon} c}),$$

where the matrix  $E'^{-\varepsilon}$  is given by (3.2) applied to  $\tilde{B}'$  instead of  $\tilde{B}$ . Using an obvious fact that  $E_\varepsilon b^k = b^k$  together with (3.8), (3.5), and (4.9), we conclude that  $M''(c) = X^c = M(c)$ , as required.  $\square$

#### 4.5. Quantum cluster algebras

In view of Proposition 4.10, the following relation on quantum seeds is an equivalence relation: we say that two quantum seeds are *mutation-equivalent* if they can be obtained from each other by a sequence of quantum seed mutations. For a quantum seed  $(M, \tilde{B})$ , we denote by  $\tilde{\mathbf{X}} = \{X_1, \dots, X_m\}$  the corresponding “free generating set” in  $\mathcal{F}$  given by  $X_i = M(e_i)$ . As for the ordinary seeds, we call the subset  $\mathbf{X} = \{X_j : j \in \mathbf{ex}\} \subset \tilde{\mathbf{X}}$  the *cluster* of the quantum seed  $(M, \tilde{B})$ , and set  $\mathbf{C} = \tilde{\mathbf{X}} - \mathbf{X}$ . The following result is an immediate consequence of Proposition 4.9.

**Proposition 4.11.** *The  $(m - n)$ -element set  $\mathbf{C} = \tilde{\mathbf{X}} - \mathbf{X}$  depends only on the mutation-equivalence class of a quantum seed  $(M, \tilde{B})$ .*

Now everything is in place for defining quantum cluster algebras.

**Definition 4.12.** Let  $\mathcal{S}$  be a mutation-equivalence class of quantum seeds in  $\mathcal{F}$ , and let  $\mathbf{C} \subset \mathcal{F}$  be the  $(m - n)$ -element set associated to  $\mathcal{S}$  as in Proposition 4.11. The cluster

algebra  $\mathcal{A}(\mathcal{S})$  associated with  $\mathcal{S}$  is the  $\mathbb{Z}[q^{\pm 1/2}]$ -subalgebra of the ambient skew-field  $\mathcal{F}$ , generated by the union of clusters of all seeds in  $\mathcal{S}$ , together with the elements of  $\mathbf{C}$  and their inverses.

Since  $\mathcal{S}$  is uniquely determined by each of its quantum seeds  $(M, \tilde{B})$ , we sometimes denote  $\mathcal{A}(\mathcal{S})$  as  $\mathcal{A}(M, \tilde{B})$ , or even simply  $\mathcal{A}(\Lambda_M, \tilde{B})$ , because a compatible matrix pair  $(\Lambda_M, \tilde{B})$  determines this algebra uniquely up to an automorphism of the ambient skew-field  $\mathcal{F}$ . We denote by  $\mathbb{P}$  the multiplicative group generated by  $q^{1/2}$  and  $\mathbf{C}$ , and treat the integer group ring  $\mathbb{Z}\mathbb{P}$  as the *ground ring* for the cluster algebra. In other words,  $\mathbb{Z}\mathbb{P}$  is the ring of Laurent polynomials in the elements of  $\mathbf{C}$  with coefficients in  $\mathbb{Z}[q^{\pm 1/2}]$ .

### 5. Upper bounds and quantum Laurent phenomenon

Let  $(M, \tilde{B})$  be a quantum seed in  $\mathcal{F}$ , and  $\tilde{\mathbf{X}} = \{X_1, \dots, X_m\}$  denote the corresponding “free generating set” in  $\mathcal{F}$  given by  $X_i = M(e_i)$ . As in [2], we will associate with  $(M, \tilde{B})$  a subalgebra  $\mathcal{U}(M, \tilde{B}) \subset \mathcal{F}$  called the (quantum) *upper cluster algebra*, or simply the *upper bound*.

Let  $\mathbb{Z}\mathbb{P}[\mathbf{X}^{\pm 1}]$  denote the based quantum torus generated by  $\tilde{\mathbf{X}}$ ; this is a  $\mathbb{Z}[q^{\pm 1/2}]$ -subalgebra of  $\mathcal{F}$  with the basis  $\{M(c) : c \in \mathbb{Z}^m\}$ . For the sake of convenience, in this section, we assume that  $\tilde{\mathbf{X}}$  is numbered so that its cluster  $\mathbf{X}$  has the form  $\mathbf{X} = \{X_1, \dots, X_n\}$ . Thus, the complement  $\mathbf{C} = \tilde{\mathbf{X}} - \mathbf{X}$  is given by  $\mathbf{C} = \{X_{n+1}, \dots, X_m\}$ , and the ground ring  $\mathbb{Z}\mathbb{P}$  is the ring of integer Laurent polynomials in the (quasi-commuting) variables  $q^{1/2}, X_{n+1}, \dots, X_m$ . For  $k \in [1, n]$ , let  $(M_k, \tilde{B}_k)$  denote the quantum seed obtained from  $(M, \tilde{B})$  by the mutation in direction  $k$ , and let  $\mathbf{X}_k$  denote its cluster; thus, we have

$$\mathbf{X}_k = \mathbf{X} - \{X_k\} \cup \{X'_k\}, \tag{5.1}$$

where  $X'_k$  is given by (4.23).

Following [2, Definition 1.1], we denote by  $\mathcal{U}(M, \tilde{B}) \subset \mathcal{F}$  the  $\mathbb{Z}\mathbb{P}$ -subalgebra of  $\mathcal{F}$  given by

$$\mathcal{U}(M, \tilde{B}) = \mathbb{Z}\mathbb{P}[\mathbf{X}^{\pm 1}] \cap \mathbb{Z}\mathbb{P}[\mathbf{X}_1^{\pm 1}] \cap \dots \cap \mathbb{Z}\mathbb{P}[\mathbf{X}_n^{\pm 1}]. \tag{5.2}$$

In other words,  $\mathcal{U}(M, \tilde{B})$  is formed by the elements of  $\mathcal{F}$  which are expressed as Laurent polynomials over  $\mathbb{Z}\mathbb{P}$  in the variables from each of the clusters  $\mathbf{X}, \mathbf{X}_1, \dots, \mathbf{X}_n$ .

Our first main result is a quantum analog of [2, Theorem 1.5].

**Theorem 5.1.** *The algebra  $\mathcal{U}(M, \tilde{B})$  depends only on the mutation-equivalence class of the quantum seed  $(M, \tilde{B})$ .*

Theorem 5.1 justifies the notation  $\mathcal{U}(M, \tilde{B}) = \mathcal{U}(\mathcal{S})$ , where  $\mathcal{S}$  is the mutation-equivalence class of  $(M, \tilde{B})$ ; in fact, we have

$$\mathcal{U}(\mathcal{S}) = \bigcap_{(M, \tilde{B}) \in \mathcal{S}} \mathbb{Z}\mathbb{P}[\mathbf{X}^{\pm 1}]. \tag{5.3}$$

In view of Propositions 4.9 and 4.10,  $\tilde{\mathbf{X}} \subset \mathcal{U}(\mathcal{S})$  for every quantum seed  $(M, \tilde{B})$  in  $\mathcal{S}$ . Therefore, Theorem 5.1 has the following important corollary that justifies calling  $\mathcal{U}(\mathcal{S})$  the *upper bound* for the cluster algebra.

**Corollary 5.2.** *The cluster algebra  $\mathcal{A}(\mathcal{S})$  is contained in  $\mathcal{U}(\mathcal{S})$ . Equivalently,  $\mathcal{A}(\mathcal{S})$  is contained in the quantum torus  $\mathbb{Z}\mathbb{P}[\mathbf{X}^{\pm 1}]$  for every quantum seed  $(M, \tilde{B}) \in \mathcal{S}$  with the cluster  $\mathbf{X}$  (we refer to this property as the quantum Laurent phenomenon).*

**Example 5.3.** Let  $\mathcal{A}(b, c)$  be the quantum cluster algebra associated with a compatible pair  $(\Lambda, \tilde{B})$  of the form

$$\Lambda = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \tilde{B} = B = \begin{pmatrix} 0 & b \\ -c & 0 \end{pmatrix}$$

for some positive integers  $b$  and  $c$ . Tracing the definitions, we see that  $\mathcal{A}(b, c)$  can be described as follows (cf. [8,20]). The ambient field  $\mathcal{F}$  is the skew-field of fractions of the quantum torus with generators  $Y_1$  and  $Y_2$  satisfying the quasi-commutation relation  $Y_1 Y_2 = q Y_2 Y_1$ . Then  $\mathcal{A}(b, c)$  is the  $\mathbb{Z}[q^{\pm 1/2}]$ -subalgebra of  $\mathcal{F}$  generated by a sequence of cluster variables  $\{Y_m : m \in \mathbb{Z}\}$  defined recursively from the relations

$$Y_{m-1} Y_{m+1} = \begin{cases} q^{b/2} Y_m^b + 1 & m \text{ odd;} \\ q^{c/2} Y_m^c + 1 & m \text{ even.} \end{cases} \tag{5.4}$$

The clusters are the pairs  $\{Y_m, Y_{m+1}\}$  for all  $m \in \mathbb{Z}$ . One checks easily that

$$Y_m Y_{m+1} = q Y_{m+1} Y_m \quad (m \in \mathbb{Z}).$$

According to Corollary 5.2, every cluster variable  $Y_m$  is a Laurent polynomial in  $Y_1$  and  $Y_2$  with coefficients in  $\mathbb{Z}[q^{\pm 1/2}]$ . A direct calculation gives these polynomials explicitly in the *finite type* cases when  $bc \leq 3$  (cf. [20, (4.4)–(4.6)]). In accordance with (4.19), in the following formulas we use the notation:

$$Y^{(a_1, a_2)} = q^{-a_1 a_2 / 2} Y_1^{a_1} Y_2^{a_2} \quad (a_1, a_2 \in \mathbb{Z}).$$



Type  $A_2$ :  $(b, c) = (1, 1)$ .

$$\begin{aligned} Y_3 &= Y^{(-1,1)} + Y^{(-1,0)}, & Y_4 &= Y^{(0,-1)} + Y^{(-1,-1)} + Y^{(-1,0)}, \\ Y_5 &= Y^{(1,-1)} + Y^{(0,-1)}, & Y_6 &= Y_1, & Y_7 &= Y_2. \end{aligned} \tag{5.5}$$

Type  $B_2$ :  $(b, c) = (1, 2)$ .

$$\begin{aligned} Y_3 &= Y^{(-1,2)} + Y^{(-1,0)}, & Y_4 &= Y^{(0,-1)} + Y^{(-1,-1)} + Y^{(-1,1)}, \\ Y_5 &= Y^{(1,-2)} + (q^{1/2} + q^{-1/2})Y^{(0,-2)} + Y^{(-1,-2)} + Y^{(-1,0)}, \\ Y_6 &= Y^{(1,-1)} + Y^{(0,-1)}, & Y_7 &= Y_1, & Y_8 &= Y_2. \end{aligned} \tag{5.6}$$

Type  $G_2$ :  $(b, c) = (1, 3)$ .

$$\begin{aligned} Y_3 &= Y^{(-1,3)} + Y^{(-1,0)}, & Y_4 &= Y^{(0,-1)} + Y^{(-1,-1)} + Y^{(-1,2)}, \\ Y_5 &= Y^{(1,-3)} + (q + 1 + q^{-1})(Y^{(0,-3)} + Y^{(-1,0)} + Y^{(-1,-3)}) \\ &\quad + Y^{(-2,3)} + (q^{3/2} + q^{-3/2})Y^{(-2,0)} + Y^{(-2,-3)}, \\ Y_6 &= Y^{(1,-2)} + (q^{1/2} + q^{-1/2})Y^{(0,-2)} + Y^{(-1,-2)} + Y^{(-1,1)}, \\ Y_7 &= Y^{(2,-3)} + (q + 1 + q^{-1})(Y^{(1,-3)} + Y^{(0,-3)}) + Y^{(-1,-3)} + Y^{(-1,0)}, \\ Y_8 &= Y^{(1,-1)} + Y^{(0,-1)}, & Y_9 &= Y_1, & Y_{10} &= Y_2. \end{aligned} \tag{5.7}$$

The rest of this section is devoted to the proof of Theorem 5.1. The proof follows that of [2, Theorem 1.5] but we have to deal with some technical complications caused by non-commutativity of a quantum torus. As a rule, the arguments in [2] will require only obvious changes if the quantum analogs of all participating elements quasi-commute with each other. We shall provide more details when more serious changes will be needed.

We start with an analog of [2, Lemma 4.1].

**Lemma 5.4.** *The algebra  $\mathcal{U}(M, \tilde{B})$  can be expressed as follows:*

$$\mathcal{U}(M, \tilde{B}) = \bigcap_{k=1}^n \mathbb{Z}\mathbb{P}[X_1^{\pm 1}, \dots, X_{k-1}^{\pm 1}, X_k, X'_k, X_{k+1}^{\pm 1}, \dots, X_n^{\pm 1}], \tag{5.8}$$

where  $X'_k$  is given by (4.23).

**Proof.** In view of (5.2), it is enough to show that

$$\mathbb{ZP}[\mathbf{X}^{\pm 1}] \cap \mathbb{ZP}[\mathbf{X}'^{\pm 1}] = \mathbb{ZP}[X_1, X'_1, X_2^{\pm 1}, \dots, X_n^{\pm 1}]. \tag{5.9}$$

As in [2], (5.9) is a consequence of the following easily verified properties.

**Lemma 5.5.** (1) Every element  $Y \in \mathbb{ZP}[\mathbf{X}^{\pm 1}]$  can be uniquely written in the form

$$Y = \sum_{r \in \mathbb{Z}} c_r X_1^r, \tag{5.10}$$

where each coefficient  $c_r$  belongs to  $\mathbb{ZP}[X_2^{\pm 1}, \dots, X_n^{\pm 1}]$ , and all but finitely many of them are equal to 0.

(2) Every element  $Y \in \mathbb{ZP}[\mathbf{X}^{\pm 1}] \cap \mathbb{ZP}[\mathbf{X}'^{\pm 1}]$  can be uniquely written in the form

$$Y = c_0 + \sum_{r \geq 1} (c_r X_1^r + c'_r (X'_1)^r), \tag{5.11}$$

where all coefficients  $c_r$  and  $c'_r$  belong to  $\mathbb{ZP}[X_2^{\pm 1}, \dots, X_n^{\pm 1}]$ , and all but finitely many of them are equal to 0.

Our next target is an analog of [2, Lemma 4.2]. As in the proof of Proposition 4.7, in what follows, we will assume without loss of generality that  $L = \mathbb{Z}^m$ , and the toric frame of the initial quantum seed  $(M, \tilde{B})$  is given by  $M(c) = X^c$  for any  $c \in L$ . In particular, we view the columns  $b^j$  of  $\tilde{B}$  as elements of  $L$ . According to (4.7), for every non-negative integer  $r$  and every sign  $\varepsilon$ , we have a well-defined element  $P_{b^1, \varepsilon}^r \in \mathbb{ZP}[X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ . Note that, in view of (4.3) and (4.21),  $P_{b^1, \varepsilon}^r$  belongs to the center of the algebra  $\mathbb{ZP}[X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ . In particular,  $P_{b^1, +}^r$  and  $P_{b^1, -}^r$  commute with each other; an easy check shows that their ratio is an invertible element of the center of  $\mathbb{ZP}[X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ .

**Lemma 5.6.** An element  $Y \in \mathcal{F}$  belongs to  $\mathbb{ZP}[X_1, X'_1, X_2^{\pm 1}, \dots, X_n^{\pm 1}]$  if and only if it has the form (5.10), and for each  $r > 0$ , the coefficient  $c_{-r}$  is divisible by  $P_{b^1, +}^r$  in the algebra  $\mathbb{ZP}[X_2^{\pm 1}, \dots, X_n^{\pm 1}]$ .

**Proof.** In view of (4.22) and (4.8), we have

$$(X'_1)^r = P_{b^1, +}^r (X^{e'_1})^r, \tag{5.12}$$

where

$$e'_1 = -e_1 - \sum_{b_{i1} < 0} b_{i1} e_i. \tag{5.13}$$

Combining (5.12) with (5.11), we obtain the desired claim.  $\square$

Our next step is an analog of [2, Proposition 4.3].

**Proposition 5.7.** *Suppose that  $n \geq 2$ . Then*

$$\mathcal{U}(M, \tilde{B}) = \bigcap_{j=2}^n \mathbb{Z}\mathbb{P}[X_1, X'_1, X_2^{\pm 1}, \dots, X_{j-1}^{\pm 1}, X_j, X'_j, X_{j+1}^{\pm 1}, \dots, X_n^{\pm 1}]. \tag{5.14}$$

**Proof.** As in the proof of [2, Proposition 4.3], we can assume that  $n = 2$ , i.e., the ground ring  $\mathbb{Z}\mathbb{P}$  is the ring of Laurent polynomials in  $q, X_3, \dots, X_m$ . Thus, it suffices to show the following analog of [2, (4.4)]:

$$\mathbb{Z}\mathbb{P}[X_1, X'_1, X_2^{\pm 1}] \cap \mathbb{Z}\mathbb{P}[X_1^{\pm 1}, X_2, X'_2] = \mathbb{Z}\mathbb{P}[X_1, X'_1, X_2, X'_2]. \tag{5.15}$$

The proof of (5.15) breaks into two cases.

*Case 1:*  $b_{12} = b_{21} = 0$ . In this case, the elements  $P^r_{b^1,+}$  and  $P^s_{b^2,+}$  belong to the center of  $\mathbb{Z}\mathbb{P}$  for all  $r, s > 0$ ; furthermore,  $P^r_{b^1,+}$  commutes with  $X_2$ , while  $P^s_{b^2,+}$  commutes with  $X_1$ . Arguing as in [2], we reduce the proof to the following statement: if an element of  $\mathbb{Z}\mathbb{P}$  is divisible by each of the  $P^r_{b^1,+}$  and  $P^s_{b^2,+}$  then it is divisible by their product. By Proposition A.2, it suffices to check that  $P^r_{b^1,+}$  and  $P^s_{b^2,+}$  are relatively prime in the center of  $\mathbb{Z}\mathbb{P}$ . This follows from the fact that  $\tilde{B}$  has full rank (see Proposition 3.3), and so the columns  $b^1$  and  $b^2$  are not proportional to each other.

*Case 2:*  $b_{12}b_{21} < 0$ . In this case, the proof goes through the same steps as in [2], with some obvious modifications taking into account non-commutativity. We leave the details to the reader.  $\square$

To finish the proof of Theorem 5.1, it is enough to show that  $\mathcal{U}(M, \tilde{B})$  does not change under the mutation in direction 1. If  $n = 1$ , there is nothing to prove, so we assume that  $n \geq 2$ . Let  $X''_2$  be the cluster variable that replaces  $X_2$  in the cluster  $\mathbf{X}_1$  under the mutation in direction 2. In view of (5.14), Theorem 5.1 becomes a consequence of the following lemma.

**Lemma 5.8.** *In the above notation, we have*

$$\mathbb{Z}\mathbb{P}[X_1, X'_1, X_2, X'_2] = \mathbb{Z}\mathbb{P}[X_1, X'_1, X_2, X''_2].$$

**Proof.** By symmetry, it is enough to show that

$$X_2'' \in \mathbb{Z}\mathbb{P}[X_1, X_1', X_2, X_2']. \tag{5.16}$$

The following proof of (5.16) uses the same strategy as in the proof of [2, Lemma 4.6], but one has to keep a careful eye on the non-commutativity effects.

We start by recalling the assumption that  $L = \mathbb{Z}^m$ , and the initial toric frame  $M$  is given by  $M(c) = X^c$  for any  $c \in L$ . Then the toric frames of the adjacent quantum seeds are given by (4.22). For typographic reasons, we rename the quantum seed  $(M_1, \tilde{B}_1) = \mu_1(M, \tilde{B})$  to  $(M', \tilde{B}')$  (so the entries of the matrix  $\tilde{B}_1 = \tilde{B}'$  are denoted  $b'_{ij}$ ), and also use the notation  $(M'', \tilde{B}'') = \mu_2(M', \tilde{B}')$ . Thus,  $X_2'' = M''(e_2)$ . Without loss of generality, we assume that the matrix entry  $b_{12}$  of  $\tilde{B}$  is non-positive; and we set  $r = -b_{12} \geq 0$ . Since the principal parts of  $\tilde{B}$  and  $\tilde{B}'$  are skew-symmetrizable, it follows that  $b_{21} \geq 0$ ,  $b'_{12} = r$ , and  $b'_{21} \leq 0$ .

Applying (4.23) and (4.22), we see that

$$X_2'' = M'(e_2'') + M'(e_2'' + (b')^2) = \rho_{b^1,+}(X^{E_+e_2''} + X^{E_+(e_2''+(b')^2)}),$$

where

$$e_2'' = -e_2 - \sum_{i>2, b'_{i2}<0} b'_{i2}e_i, \tag{5.17}$$

$(b')^2$  is the second column of  $\tilde{B}'$ , and  $E_+$  is given by (3.2) with  $k = 1$ . Note that the summation in (5.17) does not include a multiple of  $e_1$  because  $b'_{12} = r \geq 0$ ; this implies that  $E_+e_2'' = e_2''$ . We also have  $E_+(b')^2 = b^2$  (to see this, use (3.1) to write  $\tilde{B}' = E_+ \tilde{B} F_+$ , and note that the second column of  $\tilde{B} F_+$  is equal to  $b^2$ , hence  $(b')^2 = E_+b^2$ , and so our statement follows from (3.5)). Remembering (4.8) and (4.21), we conclude that

$$X_2'' = X^{e_2''} + P_{b^1,+}^r X^{e_2''+b^2}. \tag{5.18}$$

On the other hand, setting

$$e_2' = -e_2 - \sum_{b_{i2}<0} b_{i2}e_i,$$

we have

$$X_2' = X^{e_2'} + X^{e_2'+b^2};$$

applying (4.1) and (4.21), we obtain

$$q^{-\Lambda(e_2, e'_2)/2} X_2 X'_2 = X^{e_2+e'_2} + q^{-d_2/2} X^{e_2+e'_2+b^2}. \tag{5.19}$$

Note that the second summand  $F = q^{-d_2/2} X^{e_2+e'_2+b^2}$  is an invertible element of  $\mathbb{Z}\mathbb{P}$ ; thus, to prove the desired inclusion (5.16), it suffices to show that

$$X''_2 F \in \mathbb{Z}\mathbb{P}[X_1, X'_1, X_2, X'_2].$$

Using (5.18) and (5.19), we write

$$X''_2 F = q^{-\Lambda(e_2, e'_2)/2} S_1 - S_2 + S_3,$$

where

$$\begin{aligned} S_1 &= P_{b^1, +}^r X^{e''_2+b^2} X_2 X'_2, \\ S_2 &= (P_{b^1, +}^r - 1) X^{e''_2+b^2} X^{e_2+e'_2}, \\ S_3 &= q^{-d_2/2} X^{e''_2} X^{e_2+e'_2+b^2} - X^{e''_2+b^2} X^{e_2+e'_2}. \end{aligned}$$

To complete the proof, we will show that

$$S_1, S_2 \in \mathbb{Z}\mathbb{P}[X_1, X'_1, X_2, X'_2], \quad S_3 = 0.$$

First, we use (5.12) to rewrite  $S_1$  as

$$S_1 = (X'_1)^r (X^{e'_1})^{-r} X^{e''_2+b^2} X_2 X'_2. \tag{5.20}$$

A direct check shows that the vector  $-r e'_1 + e''_2 + b^2 + e_2$  has the first two components equal to 0; it follows that the middle factor  $(X^{e'_1})^{-r} X^{e''_2+b^2} X_2$  in (5.20) is an invertible element of  $\mathbb{Z}\mathbb{P}$ . Thus,  $S_1 \in \mathbb{Z}\mathbb{P}[X_1, X'_1, X_2, X'_2]$ , as desired.

To show the same inclusion for  $S_2$ , we notice that  $P_{b^1, +}^r - 1$  is a polynomial in  $X^{b^1}$  with coefficients in  $\mathbb{Z}[q^{\pm 1/2}]$  and zero constant term. If  $r = -b_{12} = 0$  then  $S_2 = 0$ , and there is nothing to prove. Otherwise, the desired inclusion follows from the fact that the first two components of  $b^1$  are  $(0, b_{21})$  with  $b_{21} > 0$ , while the first two components of  $e''_2 + b^2 + e_2 + e'_2$  are  $(0, -1)$ .

Finally, to show that  $S_3 = 0$ , in view of (4.1), we only need to check that

$$-d_2 + \Lambda(e''_2, e_2 + e'_2 + b^2) = \Lambda(e''_2 + b^2, e_2 + e'_2),$$

or, equivalently,

$$\Lambda(b^2, e_2 + e'_2 + e''_2) = -d_2,$$

which is a direct consequence of (4.21). This completes the proof of Lemma 5.8 and Theorem 5.1.  $\square$

### 6. Exchange graphs, bar-involutions, and gradings

Recall that the *exchange graph* of the cluster algebra  $\mathcal{A}(\mathcal{S})$  associated with a mutation-equivalent class of seeds  $\mathcal{S}$  has the seeds from  $\mathcal{S}$  as vertices, and the edges corresponding to seed mutations (cf. [8, Section 7] or [10, Section 1.2]). We define the exchange graph of a quantum cluster algebra in exactly the same way: the vertices correspond to its quantum seeds, and the edges to quantum seed mutations. As explained in Section 4.5, we can associate the quantum cluster algebra with a compatible matrix pair  $(\Lambda_M, \tilde{B})$ , and denote it  $\mathcal{A}(\Lambda_M, \tilde{B})$ . Let  $E(\Lambda_M, \tilde{B})$  denote the exchange graph of  $\mathcal{A}(\Lambda_M, \tilde{B})$ , and  $E(\tilde{B})$  denote the exchange graph of the cluster algebra  $\mathcal{A}(\tilde{B})$  obtained from  $\mathcal{A}(\Lambda_M, \tilde{B})$  by the specialization  $q = 1$ . Then the graph  $E(\Lambda_M, \tilde{B})$  naturally covers  $E(\tilde{B})$ .

**Theorem 6.1.** *The specialization  $q = 1$  identifies the quantum exchange graph  $E(\Lambda_M, \tilde{B})$  with the “classical” exchange graph  $E(\tilde{B})$ .*

The proof of Theorem 6.1 will require a little preparation. For a quantum seed  $(M, \tilde{B})$ , let  $\mathcal{T}_M$  denote the corresponding based quantum torus having  $\{M(c) : c \in \mathbb{Z}^m\}$  as a  $\mathbb{Z}[q^{\pm 1/2}]$ -basis. This is the same algebra that was previously denoted by  $\mathbb{Z}^{\mathbb{P}}[\mathbf{X}^{\pm 1}]$ , where  $\mathbf{X}$  is the cluster of  $(M, \tilde{B})$ ; thus, we can rewrite (5.3) as

$$\mathcal{U}(\mathcal{S}) = \bigcap_{(M, \tilde{B}) \in \mathcal{S}} \mathcal{T}_M, \tag{6.1}$$

where  $\mathcal{S}$  is the mutation-equivalence class of  $(M, \tilde{B})$ . We associate with  $(M, \tilde{B})$  the  $\mathbb{Z}$ -linear *bar-involution*  $X \mapsto \bar{X}$  on  $\mathcal{T}_M$  by setting

$$\overline{q^{r/2} M(c)} = q^{-r/2} M(c) \quad (r \in \mathbb{Z}, c \in \mathbb{Z}^m). \tag{6.2}$$

**Proposition 6.2.** *Let  $\mathcal{S}$  be the mutation-equivalence class of a quantum seed  $(M, \tilde{B})$ . Then the bar-involution associated with  $(M, \tilde{B})$  preserves the subalgebra  $\mathcal{U}(\mathcal{S}) \subset \mathcal{T}_M$ , and its restriction to  $\mathcal{U}(\mathcal{S})$  depends only on  $\mathcal{S}$ .*

**Proof.** It suffices to show the following: if two quantum seeds  $(M, \tilde{B})$  and  $(M', \tilde{B}')$  are obtained from each other by a mutation in some direction  $k$ , then the corresponding

bar-involutions have the same restriction to  $\mathcal{T}_M \cap \mathcal{T}_{M'}$ . Using (5.11), we see that each element of  $\mathcal{T}_M \cap \mathcal{T}_{M'}$  is a  $\mathbb{Z}[q^{\pm 1/2}]$ -linear combination of the elements  $M(c)$  and  $M'(c)$  for all  $c \in \mathbb{Z}^m$  with  $c_k \geq 0$ . It remains to observe that, in view of (4.20), each  $M'(c)$  with  $c_k \geq 0$  is invariant under the bar-involution associated with  $(M, \tilde{B})$ .  $\square$

**Proof of Theorem 6.1.** We need to show the following: if two quantum seeds  $(M, \tilde{B})$  and  $(M', \tilde{B}')$  are mutation-equivalent, and such that  $\tilde{B}' = \tilde{B}$  and  $M'(c)|_{q=1} = M(c)|_{q=1}$  for all  $c \in \mathbb{Z}^m$ , then  $M' = M$ . (Recall that a quantum seed is defined up to a permutation of the coordinates in  $\mathbb{Z}^m$  together with the corresponding relabeling of rows and columns of  $\tilde{B}$ .) In view of Lemma 4.4, it suffices to show that  $M'(c) = M(c)$  for  $c$  being one of the standard basis vectors  $e_1, \dots, e_n$ .

By Corollary 5.2,  $M'(c) \in \mathcal{T}_M$ , i.e.,  $M'(c)$  is a  $\mathbb{Z}[q^{\pm 1/2}]$ -linear combination of the elements  $M(d)$  for  $d \in \mathbb{Z}^m$ . Let  $N(c)$  denote the *Newton polytope* of  $M'(c)$ , i.e., the convex hull in  $\mathbb{R}^m$  of the set of all  $d \in \mathbb{Z}^m$  such that  $M(d)$  occurs in  $M'(c)$  with a non-zero coefficient. We claim that  $N(c)$  does not shrink under the specialization  $q = 1$ , i.e., that none of the coefficients at vertices of  $N(c)$  vanish under this specialization. To see this, note that, in view of (4.20),  $M'(c)$  is obtained from a family  $\{M(d) : d \in \mathbb{Z}^m\}$  by a sequence of subtraction-free rational transformations. This implies in particular that, whenever  $d$  is a vertex of  $N(c)$ , the coefficient of  $M(d)$  in  $M'(c)$  is a Laurent polynomial in  $q^{1/2}$  which can also be written as a subtraction-free rational expression. Therefore, this coefficient does not vanish at  $q = 1$ , as claimed. This allows us to conclude that the assumption  $M'(c)|_{q=1} = M(c)|_{q=1}$  implies that  $M'(c) = p M(c)$  for some  $p \in \mathbb{Z}[q^{\pm 1/2}]$ . Because of the symmetry between  $M$  and  $M'$ , the element  $p$  is invertible, so we conclude that  $M'(c) = q^{r/2} M(c)$  for some  $r \in \mathbb{Z}$ . Finally, the fact that  $r = 0$  follows from Proposition 6.2 since both  $M(c)$  and  $M'(c)$  are invariant under the bar-involution.  $\square$

**Remark 6.3.** An important consequence of Theorem 6.1 is that the classification of cluster algebras of finite type achieved in [10] applies verbatim to quantum cluster algebras.

**Remark 6.4.** Proposition 6.2 has the following important corollary: all cluster variables in  $\mathcal{A}(\mathcal{S})$  are invariant under the bar-involution associated to  $\mathcal{S}$ . A good illustration for this is provided by Example 5.3: indeed, the elements given by (5.5)–(5.7) are obviously invariant under the bar-involution.

We conclude this section by exhibiting a family of gradings of the upper cluster algebras.

**Definition 6.5.** A *graded quantum seed* is a triple  $(M, \tilde{B}, \Sigma)$ , where

- $(M, \tilde{B})$  is a quantum seed in  $\mathcal{F}$ ;
- $\Sigma$  is a symmetric integer  $m \times m$  matrix such that  $\tilde{B}^T \Sigma = 0$ .

As in Definitions 2.1 and 4.5, graded quantum seeds are defined up to a permutation of the standard basis in  $\mathbb{Z}^m$  together with the corresponding relabeling of rows and columns of  $\tilde{B}$  and  $\Sigma$ .

We identify  $\Sigma$  with the corresponding symmetric bilinear form on  $\mathbb{Z}^m$ . Then the condition  $\tilde{B}^T \Sigma = 0$  is equivalent to

$$b^j \in \ker \Sigma \quad (j \in \mathbf{ex}), \tag{6.3}$$

where  $b^j \in \mathbb{Z}^m$  is the  $j$ th column of  $\tilde{B}$ .

The choice of the term “graded” in Definition 6.5 is justified by the following construction: every graded quantum seed  $(M, \tilde{B}, \Sigma)$  gives rise to a  $\mathbb{Z}$ -grading on the  $\mathbb{Z}[q^{\pm 1/2}]$ -module  $\mathcal{T}_M$  given by

$$\text{deg}_\Sigma(M(c)) = \Sigma(c, c) \quad (c \in \mathbb{Z}^m). \tag{6.4}$$

(Note that this is *not* an algebra grading.)

We will extend quantum seed mutations to graded quantum seeds. Fix an index  $k \in \mathbf{ex}$  and a sign  $\varepsilon \in \{\pm 1\}$ . Let  $\tilde{B}'$  be obtained from  $\tilde{B}$  by the mutation in direction  $k$ , and set

$$\Sigma' = E_\varepsilon^T \Sigma E_\varepsilon, \tag{6.5}$$

where  $E_\varepsilon$  has the same meaning as in (3.2). Clearly,  $\Sigma'$  is symmetric. The following proposition is an analog of Proposition 3.4 and is proved by the same argument.

**Proposition 6.6.** (1) We have  $(\tilde{B}')^T \Sigma' = 0$ .

(2)  $\Sigma'$  is independent of the choice of a sign  $\varepsilon$ .

Proposition 6.6 justifies the following definition, which extends Definition 4.8.

**Definition 6.7.** Let  $(M, \tilde{B}, \Sigma)$  be a graded quantum seed, and  $k \in \mathbf{ex}$ . We say that the graded quantum seed  $(M', \tilde{B}', \Sigma')$  is obtained from  $(M, \tilde{B}, \Sigma)$  by the *mutation* in direction  $k$ , and write  $(M', \tilde{B}', \Sigma') = \mu_k(M, \tilde{B}, \Sigma)$  if  $(M', \tilde{B}') = \mu_k(M, \tilde{B})$ , and  $\Sigma'$  is given by (6.5).

Clearly, the mutations of graded quantum seeds are involutive (cf. Proposition 4.10). Therefore, we can define the mutation-equivalence for graded quantum seeds, and the exchange graph  $E(\tilde{\mathcal{S}})$  for a mutation-equivalence class of graded quantum seeds in the same way as for ordinary quantum seeds above.

**Proposition 6.8.** Let  $\tilde{\mathcal{S}}$  be the mutation-equivalence class of a graded quantum seed  $(M, \tilde{B}, \Sigma)$ , and  $\mathcal{S}$  be the mutation-equivalence class of the underlying quantum seed  $(M, \tilde{B})$ .

- (1) The upper cluster algebra  $\mathcal{U}(\mathcal{S})$  is a graded  $\mathbb{Z}[q^{\pm 1/2}]$ -submodule of  $(\mathcal{T}_M, \text{deg}_\Sigma)$ ; furthermore, the restriction of the grading  $\text{deg}_\Sigma$  to  $\mathcal{U}(\mathcal{S})$  does not depend on the choice of a representative of  $\tilde{\mathcal{S}}$ .



(2) The forgetful map  $(M, \tilde{B}, \Sigma) \mapsto (M, \tilde{B})$  is a bijection between  $\tilde{S}$  and  $S$ , i.e., it identifies the exchange graph  $E(\tilde{S})$  with  $E(S)$ .

**Proof.** As in the proof of Proposition 6.2, to prove (1) it suffices to show the following: if two graded quantum seeds  $(M, \tilde{B}, \Sigma)$  and  $(M', \tilde{B}', \Sigma')$  are obtained from each other by a mutation in some direction  $k$ , then  $\mathcal{T}_M \cap \mathcal{T}_{M'}$  is a graded  $\mathbb{Z}[q^{\pm 1/2}]$ -submodule of each of  $(\mathcal{T}_M, \text{deg}_\Sigma)$  and  $(\mathcal{T}_{M'}, \text{deg}_{\Sigma'})$ , and the restrictions of both gradings to  $\mathcal{T}_M \cap \mathcal{T}_{M'}$  are the same. By the same argument as in the proof of Proposition 6.2, it is enough to show that, for every  $c \in \mathbb{Z}^m$  with  $c_k \geq 0$ , the element  $M'(c) \in \mathcal{T}_M \cap \mathcal{T}_{M'}$  is homogeneous with respect to  $\text{deg}_\Sigma$ , and  $\text{deg}_\Sigma(M'(c)) = \Sigma'(c, c)$ . By (4.20),  $M'(c)$  is a  $\mathbb{Z}[q^{\pm 1/2}]$ -linear combination of the elements  $M(E_\varepsilon c + \varepsilon p b^k)$ ; to complete the proof of (1), it remains to note that, in view of (6.3) and (6.5), we have

$$\Sigma(E_\varepsilon c + \varepsilon p b^k, E_\varepsilon c + \varepsilon p b^k) = \Sigma(E_\varepsilon c, E_\varepsilon c) = \Sigma'(c, c)$$

as required.

To prove (2), suppose that  $\tilde{S}$  contains two graded quantum sets  $(M, \tilde{B}, \Sigma)$  and  $(M, \tilde{B}, \Sigma')$  with the same underlying quantum seed. By the already proven part (1), the two gradings  $\text{deg}_\Sigma$  and  $\text{deg}_{\Sigma'}$  agree with each other on  $\mathcal{U}(S)$ . In particular, for every  $c \in \mathbb{Z}_{\geq 0}^m$ , we have

$$\Sigma(c, c) = \text{deg}_\Sigma(M(c)) = \text{deg}_{\Sigma'}(M(c)) = \Sigma'(c, c).$$

It follows that  $\Sigma = \Sigma'$ , and we are done.  $\square$

Proposition 6.8 allows us to include the bar-involution on  $\mathcal{U}(S)$  into a family of more general “twisted” bar-involutions defined as follows. Let  $(M, \tilde{B}, \Sigma)$  be a graded quantum seed. We associate with  $(M, \tilde{B}, \Sigma)$  the  $\mathbb{Z}$ -linear twisted bar-involution  $X \mapsto \overline{X}^{(\Sigma)}$  on  $\mathcal{T}_M$  by the following formula generalizing (6.2):

$$\overline{q^{r/2} M(c)}^{(\Sigma)} = q^{-(r + \Sigma(c, c))/2} M(c) \quad (r \in \mathbb{Z}, c \in \mathbb{Z}^m). \tag{6.6}$$

The following proposition generalizes Proposition 6.2.

**Proposition 6.9.** *The twisted bar-involution  $X \mapsto \overline{X}^{(\Sigma)}$  associated with a graded quantum seed  $(M, \tilde{B}, \Sigma)$  preserves the subalgebra  $\mathcal{U}(M, \tilde{B})$  of  $\mathcal{T}_M$ , and its restriction to  $\mathcal{U}(M, \tilde{B})$  depends only on the mutation-equivalence class of  $(M, \tilde{B}, \Sigma)$ .*

**Proof.** Recall the  $\mathbb{Z}$ -grading  $\text{deg}_\Sigma$  on  $\mathcal{T}_M$  given by (6.4), and note that the twisted bar-involution  $X \mapsto \overline{X}^{(\Sigma)}$  on  $\mathcal{T}_M$  can be written as follows:

$$\overline{X}^{(\Sigma)} = Q^{-1}(\overline{Q(X)}), \tag{6.7}$$

where  $Q$  is a  $\mathbb{Z}[q^{\pm 1/2}]$ -linear map given by  $Q(X) = q^{d/4}X$  for every homogeneous element  $X \in \mathcal{T}_M$  of degree  $d$ . By Part (1) of Proposition 6.8, the map  $Q$  preserves the subalgebra  $\mathcal{U}(M, \tilde{B}) \subset \mathcal{T}_M$ , and its restriction to  $\mathcal{U}(M, \tilde{B})$  depends only on the mutation-equivalence class of  $(M, \tilde{B}, \Sigma)$ . Therefore, the same is true for the twisted bar-involution.  $\square$

### 7. Lower bounds and acyclicity

In this section, we state and prove quantum analogs of the results in [2] concerning *lower bounds*. We retain all the notation and assumptions in Section 5. In particular, we assume (without loss of generality) that  $L = \mathbb{Z}^m$ , and the toric frame  $M$  of the “initial” quantum seed  $(M, \tilde{B})$  is given by  $M(c) = X^c$  for  $c \in L$ . Furthermore, we assume that the initial cluster  $\mathbf{X}$  is the set  $\{X_1, \dots, X_n\}$ , where  $X_j = X^{e_j}$ . By (4.23), for  $k \in [1, n]$ , the mutation in direction  $k$  replaces  $X_k$  with an element  $X'_k$  given by

$$X'_k = X^{-e_k + \sum_{b_{ik} > 0} b_{ik}e_i} + X^{-e_k - \sum_{b_{ik} < 0} b_{ik}e_i}. \tag{7.1}$$

It follows that  $X'_k$  quasi-commutes with all  $X_i$  for  $i \neq k$ ; and each of the products  $X_k X'_k$  and  $X'_k X_k$  is the sum of two monomials in  $X_1, \dots, X_m$ . The elements  $X'_1, \dots, X'_n$  also satisfy the following (quasi-)commutation relations.

**Proposition 7.1.** *Let  $j$  and  $k$  be two distinct indices from  $[1, n]$ . Then  $X'_j X'_k - q^{r/2} X'_k X'_j = (q^{s/2} - q^{t/2}) X^e$  for some integers  $r, s, t$ , and some vector  $e \in \mathbb{Z}^m_{\geq 0}$ .*

**Proof.** Without loss of generality, assume that  $b_{jk} \leq 0$ . We abbreviate

$$e'_j = -e_j + \sum_{b_{ij} > 0} b_{ij}e_i, \quad e'_k = -e_k - \sum_{b_{ik} < 0} b_{ik}e_i,$$

so that (7.1) can be rewritten as

$$X'_j = X^{e'_j} + X^{e'_j - b^j}, \quad X'_k = X^{e'_k} + X^{e'_k + b^k},$$

where the vectors  $b^j, b^k \in \mathbb{Z}^m$  are the  $j$ th and  $k$ th columns of  $\tilde{B}$ . Using (4.1) and (4.21), we obtain

$$\begin{aligned} & q^{-\Lambda(e'_j - b^j, e'_k + b^k)/2} X'_j X'_k - q^{-\Lambda(e'_k + b^k, e'_j - b^j)/2} X'_k X'_j \\ &= (q^{-\Lambda(b^j, b^k)/2} - q^{-\Lambda(b^k, b^j)/2}) X^{e'_j + e'_k}. \end{aligned} \tag{7.2}$$

If  $b_{jk} = 0$  then  $\Lambda(b^j, b^k) = 0$  by (4.21), and so the right-hand side of (7.2) is equal to 0; we see that in this case,  $X'_j$  and  $X'_k$  quasi-commute. And if  $b_{jk} < 0$  (and so  $b_{kj} > 0$ ) then the vector  $e = e'_j + e'_k$  belongs to  $\mathbb{Z}_{\geq 0}^m$ , since its  $j$ th (resp.  $k$ th) component is  $-b_{jk} - 1 \geq 0$  (resp.  $b_{kj} - 1 \geq 0$ ).  $\square$

Following [2, Definition 1.10], we associate with a quantum seed  $(M, \tilde{B})$  the algebra

$$\mathcal{L}(M, \tilde{B}) = \mathbb{Z}\mathbb{P}[X_1, X'_1, \dots, X_n, X'_n]. \tag{7.3}$$

We refer to  $\mathcal{L}(M, \tilde{B})$  as the *lower bound* associated with  $(M, \tilde{B})$ ; this name is justified by the obvious inclusion  $\mathcal{L}(M, \tilde{B}) \subset \mathcal{A}(M, \tilde{B})$ .

The following definition is an analog of [2, Definition 1.15].

**Definition 7.2.** A *standard monomial* in  $X_1, X'_1, \dots, X_n, X'_n$  is an element of the form  $X_1^{a_1} \dots X_n^{a_n} (X'_1)^{a'_1} \dots (X'_n)^{a'_n}$ , where all exponents are non-negative integers, and  $a_k a'_k = 0$  for  $k \in [1, n]$ .

Using the relations between the elements  $X_1, \dots, X_n, X'_1, \dots, X'_n$  described above, it is easy to see that

$$\text{the standard monomials generate } \mathcal{L}(M, \tilde{B}) \text{ as a } \mathbb{Z}\mathbb{P}\text{-module.} \tag{7.4}$$

To state our first result on the lower bounds, we need to recall the definition of *acyclicity* given in [2, Definition 1.14]. We encode the sign pattern of matrix entries of the exchange matrix  $B$  (i.e., the principal part of  $\tilde{B}$ ) by the directed graph  $\Gamma(B)$  with the vertices  $1, \dots, n$  and the directed edges  $(i, j)$  for  $b_{ij} > 0$ . We say that  $B$  (as well as the corresponding quantum seed) is *acyclic* if  $\Gamma(B)$  has no oriented cycles. The following result is an analog of [2, Theorem 1.16].

**Theorem 7.3.** *The standard monomials in  $X_1, X'_1, \dots, X_n, X'_n$  are linearly independent over  $\mathbb{Z}\mathbb{P}$  (that is, they form a  $\mathbb{Z}\mathbb{P}$ -basis of  $\mathcal{L}(M, \tilde{B})$ ) if and only if  $B$  is acyclic.*

**Proof.** The proof goes along the same lines as that of [2, Theorem 1.16]. The only place where one has to be a little careful is [2, Lemma 5.2] which is modified as follows.

**Lemma 7.4.** *Let  $u_1, \dots, u_\ell$  and  $v_1, \dots, v_\ell$  be some elements of an associative ring, and let  $i \mapsto i^+$  be a cyclic permutation of  $[1, \ell]$ . For every subset  $J \subset [1, \ell]$  such that  $J \cap J^+ = \emptyset$ , and for every  $i \in [1, \ell]$ , we set*

$$t_i(J) = \begin{cases} u_i & \text{if } i \in J, \\ v_i & \text{if } i \in J^+, \\ u_i + v_i & \text{otherwise.} \end{cases}$$

Then

$$\sum_{\substack{J \subset [1, \ell] \\ J \cap J^+ = \emptyset}} (-1)^{|J|} t_1(J) \cdots t_\ell(J) = u_1 \cdots u_\ell + v_1 \cdots v_\ell. \tag{7.5}$$

The proof of [2, Lemma 5.2] applies verbatim, and the rest of the proof of [2, Theorem 1.16] holds with obvious modifications.  $\square$

Our next result is an analog of [2, Theorem 1.18]; it shows that the acyclicity condition closes the gap between the upper and lower bounds.

**Theorem 7.5.** *If a quantum seed  $(M, \tilde{B})$  is acyclic then  $\mathcal{L}(M, \tilde{B}) = \mathcal{A}(\mathcal{S}) = \mathcal{U}(\mathcal{S})$ , where  $\mathcal{S}$  is the mutation-equivalence class of  $(M, \tilde{B})$ .*

**Proof.** The proof of [2, Theorem 1.18] extends to the quantum setting, again with some modifications caused by non-commutativity. The most non-trivial of these modifications is the following: in [2, Lemma 6.7], we have to replace  $P_1$  with an element  $P_{b^1,+}^r$  for an arbitrary positive integer  $r$ ; the proof of the modified claim then follows from Proposition A.2 in the same way as in Case 1 in the proof of Proposition 5.7.  $\square$

We conclude this section with an analog of [2, Theorem 1.20], which is proved in the same way as its prototype.

**Theorem 7.6.** *The condition that a quantum seed  $(M, \tilde{B})$  is acyclic, is necessary and sufficient for the equality  $\mathcal{L}(M, \tilde{B}) = \mathcal{A}(\mathcal{S})$ .*

### 8. Matrix triples associated with Cartan matrices

In this section, we construct a class of matrix triples  $(\Lambda, \tilde{B}, \Sigma)$  satisfying conditions in Definitions 2.1, 3.1 and 6.5, i.e., giving rise to graded quantum seeds in the sense of Definition 6.5. These triples are associated with (generalized) Cartan matrices; in the case of finite type Cartan matrices, the matrices  $\tilde{B}$  were introduced in [2, Definition 2.3]. Our terminology on Cartan matrices and related notions will basically follow [15].

#### 8.1. Cartan data

**Definition 8.1.** A (generalized) *Cartan matrix* is an  $r \times r$  integer matrix  $A = (a_{ij})$  such that

- $a_{ii} = 2$  for all  $i$ .
- $a_{ij} \leq 0$  for  $i \neq j$ .
- $a_{ij} = 0$  if and only if  $a_{ji} = 0$ .

Recall that  $A$  is *symmetrizable* if  $d_i a_{ij} = d_j a_{ji}$  for some positive integers  $d_1, \dots, d_r$ . In what follows, we fix a symmetrizable Cartan matrix  $A$  and the numbers  $d_i$ .

**Definition 8.2.** A *realization* of  $A$  is a triple  $(\mathfrak{h}, \Pi, \Pi^\vee)$ , where  $\mathfrak{h}$  is a  $\mathbb{C}$ -vector space, and  $\Pi = \{\alpha_1, \dots, \alpha_r\} \subset \mathfrak{h}^*$ , and  $\Pi^\vee = \{\alpha_1^\vee, \dots, \alpha_r^\vee\} \subset \mathfrak{h}$  are two subsets satisfying the following conditions:

- both  $\Pi$  and  $\Pi^\vee$  are linearly independent.
- $\alpha_j(\alpha_i^\vee) = a_{ij}$  for all  $i, j$ .
- $\dim \mathfrak{h} + \text{rk} A = 2r$ .

In what follows, we fix a realization of  $A$ ; as shown in [15, Proposition 1.1], it is unique up to an isomorphism. The elements  $\alpha_i$  (resp.  $\alpha_i^\vee$ ) are called *simple roots* (resp. *simple coroots*) associated to  $A$ .

For each  $i \in [1, r]$ , the *simple reflection*  $s_i$  is an involutive linear transformation of  $\mathfrak{h}^*$  acting by

$$s_i(\gamma) = \gamma - \gamma(\alpha_i^\vee)\alpha_i.$$

The Weyl group  $W$  is the group generated by all  $s_i$ . We fix a family  $\{\omega_1, \dots, \omega_r\} \subset \mathfrak{h}^*$  such that  $\omega_j(\alpha_i^\vee) = \delta_{ij}$ ; the elements  $\omega_j$  are called *fundamental weights*. Thus, we have

$$s_i(\omega_j) = \begin{cases} \omega_j - \alpha_j & \text{if } i = j; \\ \omega_j & \text{if } i \neq j. \end{cases} \tag{8.1}$$

Note that each  $\omega_j$  is defined up to a translation by a  $W$ -invariant vector from  $\mathfrak{h}^*$ . Note also the following useful property:

$$\text{for every } j \in [1, r], \text{ the vector } \sum_{i \in [1, r]} a_{ij}\omega_i - \alpha_j \text{ is } W\text{-invariant.} \tag{8.2}$$

As shown in [15, Chapter 2], there exists a  $W$ -invariant non-degenerate symmetric bilinear form  $(\gamma|\delta)$  on  $\mathfrak{h}^*$  such that

$$(\alpha_i|\gamma) = d_i \gamma(\alpha_i^\vee) \quad (\gamma \in \mathfrak{h}^*). \tag{8.3}$$

### 8.2. Double words and associated matrix triples

By a *double word* we will mean a sequence  $\mathbf{i} = (i_1, \dots, i_m)$  of indices from  $\pm[1, r] = -[1, r] \sqcup [1, r]$ . For every  $i \in [1, r]$ , we denote

$$\varepsilon(\pm i) = \pm 1, \quad |\pm i| = i.$$

We adopt the convention that  $s_{-i}$  is the identity transformation of  $\mathfrak{h}^*$  for  $i \in [1, r]$ . For any  $a \leq b$  in  $[1, m]$ , and any sign  $\varepsilon$ , we set

$$\pi_\varepsilon[a, b] = \pi_\varepsilon^{\mathbf{i}}[a, b] = s_{\varepsilon i_a} \cdots s_{\varepsilon i_b}.$$

Iterating (8.1), we obtain the following properties which will be used many times below:

$$\begin{aligned} \pi_\varepsilon[a, b]\omega_i &= \pi_\varepsilon[a, c]\omega_i \text{ if } a \leq c \leq b, \text{ and } \varepsilon i_t \neq i \text{ for } c < t \leq b, \\ \pi_\varepsilon[a, b]\omega_j &= \pi_\varepsilon[a, b - 1](\omega_j - \alpha_j) \text{ if } \varepsilon i_b = j. \end{aligned} \tag{8.4}$$

For  $k \in [1, m]$ , we denote by  $k^+ = k_{\mathbf{i}}^+$  the smallest index  $\ell$  such that  $k < \ell \leq m$  and  $|i_\ell| = |i_k|$ ; if  $|i_k| \neq |i_\ell|$  for  $k < \ell \leq m$ , then we set  $k^+ = m + 1$ . Let  $k^- = k_{\mathbf{i}}^-$  denote the index  $\ell$  such that  $\ell^+ = k$ ; if such an  $\ell$  does not exist, we set  $k^- = 0$ . We say that an index  $k \in [1, m]$  is **i-exchangeable** if both  $k^-$  and  $k^+$  belong to  $[1, m]$ , and denote by  $\mathbf{ex} = \mathbf{ex}_{\mathbf{i}} \subset [1, m]$  the subset of **i-exchangeable** indices.

We will associate to a double word  $\mathbf{i}$  a triple  $(\Lambda(\mathbf{i}), \tilde{B}(\mathbf{i}), \Sigma(\mathbf{i}))$ , where  $\Lambda(\mathbf{i})$  and  $\Sigma(\mathbf{i})$  are integer  $m \times m$  matrices (respectively, skew-symmetric and symmetric), while  $\tilde{B}(\mathbf{i})$  is a rectangular integer matrix with rows labeled by  $[1, m]$  and columns labeled by  $\mathbf{ex}$ .

We define the matrix entries of  $\Lambda(\mathbf{i})$  and  $\Sigma(\mathbf{i})$  by

$$\lambda_{k\ell} = \eta_{k,\ell^+} - \eta_{\ell,k^+}, \quad \sigma_{k\ell} = \eta_{k,\ell^+} + \eta_{\ell,k^+} \tag{8.5}$$

for  $k, \ell \in [1, m]$ , where

$$\eta_{k\ell} = \eta_{k\ell}(\mathbf{i}) = (\pi_-[\ell, k]\omega_{|i_k|} - \pi_+[\ell, k]\omega_{|i_\ell|}) \tag{8.6}$$

(with the convention that  $\eta_{k\ell} = 0$  unless  $1 \leq \ell \leq k \leq m$ ). Note that  $\eta_{k\ell}$  and so both matrices  $\Lambda(\mathbf{i})$  and  $\Sigma(\mathbf{i})$  are independent of the choice of fundamental weights. Indeed, a simple calculation shows that  $\eta_{k\ell}$  does not change if we replace  $\omega_{|i_k|}$  by  $\omega_{|i_k|} + \gamma$ , and  $\omega_{|i_\ell|}$  by  $\omega_{|i_\ell|} + \gamma'$ , where both  $\gamma$  and  $\gamma'$  are  $W$ -invariant.

Following [2, Definitions 2.2, 2.3] (which in turn were based on [21]), we define the matrix entries  $b_{pk}$  of  $\tilde{B}(\mathbf{i})$  for  $p \in [1, m]$  and  $k \in \mathbf{ex}$  as follows:

$$b_{pk} = b_{pk}(\mathbf{i}) = \begin{cases} -\varepsilon(i_k) & \text{if } p = k^-; \\ -\varepsilon(i_k)a_{|i_p|,|i_k|} & \text{if } p < k < p^+ < k^+, \varepsilon(i_k) = \varepsilon(i_{p^+}) \\ & \text{or } p < k < k^+ < p^+, \varepsilon(i_k) = -\varepsilon(i_{k^+}); \\ \varepsilon(i_p)a_{|i_p|,|i_k|} & \text{if } k < p < k^+ < p^+, \varepsilon(i_p) = \varepsilon(i_{k^+}) \\ & \text{or } k < p < p^+ < k^+, \varepsilon(i_p) = -\varepsilon(i_{p^+}); \\ \varepsilon(i_p) & \text{if } p = k^+; \\ 0 & \text{otherwise} \end{cases} \tag{8.7}$$

(For technical reasons, the matrix  $\tilde{B}(\mathbf{i})$  given by (8.7) differs by sign from the one in [2, Definitions 2.2, 2.3], but this does not affect the corresponding cluster algebra structure.)

**Theorem 8.3.** *Suppose that a double word  $\mathbf{i}$  satisfies the following condition:*

$$\begin{aligned} &\text{for every } p \in [1, m] \text{ with } p^- = 0, \text{ there are no} \\ &\mathbf{i}\text{-exchangeable indices } k \in [1, p - 1] \text{ with } a_{|i_p|, |i_k|} < 0. \end{aligned} \tag{8.8}$$

Then the matrix entries given by (8.5) and (8.7) satisfy

$$\sum_{p=1}^m b_{pk} \lambda_{p\ell} = 2\delta_{k\ell} d_{|i_k|}, \quad \sum_{p=1}^m b_{pk} \sigma_{p\ell} = 0 \tag{8.9}$$

for  $\ell \in [1, m]$  and  $k \in \mathbf{ex}$ . Thus the pair  $(\Lambda(\mathbf{i}), \tilde{B}(\mathbf{i}))$  is compatible in the sense of Definition 3.1, and the pair  $(\tilde{B}(\mathbf{i}), \Sigma(\mathbf{i}))$  satisfies Definition 6.5.

**Example 8.4.** Let

$$A = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$$

be the Cartan matrix of type  $A_2$ , with  $d_1 = d_2 = 1$ . Taking

$$\mathbf{i} = (1, 2, 1, 2, 1, -1, -2, -1)$$

it is easy to check that the corresponding matrices  $\tilde{B}(\mathbf{i})$  and  $\Lambda(\mathbf{i})$  are those in Example 3.2. The first equality in (8.9) was shown there. As for  $\Sigma(\mathbf{i})$ , it is a symmetric matrix whose entries on and below the main diagonal are equal to those of  $\Lambda(\mathbf{i})$ . The last equality in (8.9) can be seen by a direct inspection.

**Proof of Theorem 8.3.** We will use (8.7) to define  $b_{pk}$  for all  $k, p \in [1, m]$  (with  $k$  not necessarily  $\mathbf{i}$ -exchangeable). In view of (8.5), to verify (8.9) it suffices to show the following.

**Lemma 8.5.** *For an arbitrary double word  $\mathbf{i}$ , we have*

$$\sum_{p=1}^m b_{pk} \eta_{p\ell} = \delta_{k^+, \ell} d_{|i_k|} \tag{8.10}$$

for all  $k, \ell \in [1, m]$  such that  $k^+ \leq m$ . If  $\mathbf{i}$  satisfies (8.8) then we also have

$$\sum_{p=1}^m b_{pk} \eta_{\ell, p^+} = -\delta_{k\ell} d_{|i_k|} \tag{8.11}$$

for all  $\ell \in [1, m]$  and  $k \in \mathbf{ex}$ .

The rest of this section is dedicated to the proof of Lemma 8.5. First, we get (8.11) out of the way by showing that it follows from (8.10). To see this, consider the *opposite* double word  $\mathbf{i}^\circ = (i_m, \dots, i_1)$ . We abbreviate  $k^\circ = m + 1 - k$ , so that  $\mathbf{i}^\circ$  can be written as  $\mathbf{i}^\circ = (i_{1^\circ}, \dots, i_{m^\circ})$ . Examining (8.6) and (8.7), we obtain

$$\begin{aligned} \eta_{k\ell}(\mathbf{i}) &= \eta_{\ell^\circ, k^\circ}(\mathbf{i}^\circ) \quad (k, \ell \in [1, m]), \\ b_{pk}(\mathbf{i}) &= -b_{p^{+\circ}, k^{+\circ}}(\mathbf{i}^\circ) \quad (k^+, p^+ \in [1, m]). \end{aligned} \tag{8.12}$$

Turning to (8.11), we note that the summation there can be restricted to the values of  $p$  such that  $p^+ \leq m$  (because  $\eta_{\ell, p^+} = 0$  unless  $p^+ \leq \ell$ ). Substituting the expressions given by (8.12) into (8.11), we obtain

$$\sum_{p=1}^m b_{pk} \eta_{\ell, p^+} = - \sum_{p^+ \leq m} b_{p^{+\circ}, k^{+\circ}}(\mathbf{i}^\circ) \eta_{p^{+\circ}, \ell^\circ}(\mathbf{i}^\circ). \tag{8.13}$$

Comparing this with the counterpart of (8.10) for the double word  $\mathbf{i}^\circ$ , we see that it remains to show the following:

$$\sum_{(p^\circ)_{i^\circ}^+ = m+1} b_{p^\circ, k^{+\circ}}(\mathbf{i}^\circ) \eta_{p^\circ, \ell^\circ}(\mathbf{i}^\circ) = 0,$$

whenever  $k$  is  $\mathbf{i}$ -exchangeable. To complete the proof of (8.11), it remains to observe that condition (8.8) guarantees that  $b_{p^\circ, k^{+\circ}}(\mathbf{i}^\circ) = 0$  for all  $p$  such that  $(p^\circ)_{i^\circ}^+ = m + 1$  (which is equivalent to  $p^- = 0$ ).

We now concentrate on the proof of (8.10). We will need to consider several cases of the relative position of  $k$  and  $\ell$ . As a warm-up, we note that  $b_{pk} = 0$  for  $p > k^+$ , and  $\eta_{p\ell} = 0$  for  $p < \ell$ ; therefore, the sum in (8.10) is equal to 0 if  $\ell > k^+$ . For  $\ell = k^+$ , the sum in question reduces to just one term with  $p = \ell = k^+$ ; using (8.6), (8.7), and (8.1)–(8.3), we see that this term is equal to

$$\begin{aligned} b_{pk} \eta_{p\ell} &= \varepsilon(i_p)(s_{-i_p} \omega_{|i_p|} - s_{i_p} \omega_{|i_p|} \mid \omega_{|i_p|}) = (\omega_{|i_k|} - s_{|i_k|} \omega_{|i_k|} \mid \omega_{|i_k|}) \\ &= (\alpha_{|i_k|} \mid \omega_{|i_k|}) = d_{|i_k|} \end{aligned}$$

in accordance with (8.10).



For the rest of the proof, we assume that  $\ell < k^+$ , and (for typographical reasons) abbreviate  $|i_k| = j$  and  $|i_\ell| = h$ . To show that the sum in (8.10) is equal to 0, we compute, for every  $i \in [1, r]$ , the contribution to this sum from the values of  $p$  such that  $|i_p| = i$ . We denote this contribution by  $S_i = S_i(k, \ell; \mathbf{i})$ .

**Lemma 8.6.** *We have*

$$S_j = \begin{cases} (\omega_j - \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_j \mid \omega_h) & \text{if } k < \ell < k^+; \\ \begin{aligned} &(\pi_{\varepsilon(i_k)}[\ell, k](\omega_j - \alpha_j) \\ &- \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_j \mid \omega_h) \end{aligned} & \text{if } \ell \leq k, \varepsilon(i_k) = \varepsilon(i_{k^+}); \\ \begin{aligned} &(\pi_{\varepsilon(i_k)}[\ell, k]\omega_j \\ &- \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_j \mid \omega_h) \end{aligned} & \text{if } k^- < \ell \leq k, \varepsilon(i_k) = -\varepsilon(i_{k^+}); \\ \begin{aligned} &(\pi_{\varepsilon(i_k)}[\ell, k](2\omega_j - \alpha_j) \\ &- \pi_{\varepsilon(i_{k^+})}[\ell, k^+](2\omega_j - \alpha_j) \mid \omega_h) \end{aligned} & \text{if } \ell \leq k^-, \varepsilon(i_k) = -\varepsilon(i_{k^+}) \end{cases} \quad (8.14)$$

and, for  $i \neq j$ ,

$$S_i = \begin{cases} a_{ij}(\omega_i - \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_i \mid \omega_h) & \text{if } k < \ell < k^+; \\ a_{ij}(\pi_{\varepsilon(i_k)}[\ell, k]\omega_i - \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_i \mid \omega_h) & \text{if } \ell \leq k. \end{cases} \quad (8.15)$$

**Proof.** By (8.7), the only possible values of  $p$  contributing to  $S_j$  are  $p = k^+$  and  $p = k^-$  (the latter value appears only when  $\ell \leq k^-$ ). Let us do the last case in (8.14) (the other cases are similar):  $\ell \leq k^-, \varepsilon(i_k) = -\varepsilon(i_{k^+}) = \varepsilon$ . Applying (8.7) and (8.6), and using (8.4), we get

$$\begin{aligned} b_{k^+,k}\eta_{k^+,\ell} &= (\pi_{\varepsilon}[\ell, k^+]\omega_j - \pi_{-\varepsilon}[\ell, k^+]\omega_j \mid \omega_h) \\ &= (\pi_{\varepsilon}[\ell, k]\omega_j - \pi_{-\varepsilon}[\ell, k^+]\omega_j \mid \omega_h) \end{aligned}$$

and

$$\begin{aligned} b_{k^-,k}\eta_{k^-, \ell} &= (\pi_{\varepsilon}[\ell, k^-]\omega_j - \pi_{-\varepsilon}[\ell, k^-]\omega_j \mid \omega_h) \\ &= (\pi_{\varepsilon}[\ell, k](\omega_j - \alpha_j) - \pi_{-\varepsilon}[\ell, k^+](\omega_j - \alpha_j) \mid \omega_h) \end{aligned}$$

which implies our claim.

Turning to (8.15), we will also consider only the latter case  $\ell \leq k$ , the former one being similar and simpler. The indices  $p$  with  $|i_p| = i$ , which may have a non-zero contribution to  $S_i$ , fall into the following types:

*Type 1:*  $\ell \leq p < k < k^+ < p^+, \varepsilon(i_k) = -\varepsilon(i_{k^+})$ , or  $\ell \leq p < k < p^+ < k^+, \varepsilon(i_k) = \varepsilon(i_{p^+})$ . Using (8.6), (8.7), and (8.4), we see that the corresponding contribution to  $S_i$  is given by

$$b_{pk}\eta_{p\ell} = a_{ij}(\pi_{\varepsilon(i_k)}[\ell, k]\omega_i - \pi_{-\varepsilon(i_k)}[\ell, k]\omega_i \mid \omega_h). \quad (8.16)$$

Type 2:  $k < p < p^+ < k^+$ ,  $\varepsilon(i_p) = -\varepsilon(i_{p^+})$ , or  $k < p < k^+ < p^+$ ,  $\varepsilon(i_p) = \varepsilon(i_{k^+})$ . The corresponding contribution to  $S_i$  is given by

$$b_{pk}\eta_{p\ell} = a_{ij}(\pi_{-\varepsilon(i_p)}[\ell, p]\omega_i - \pi_{\varepsilon(i_p)}[\ell, p]\omega_i \mid \omega_h). \tag{8.17}$$

Note that there is at most one index of type 1, but there could be several indices of type 2. We need to show that all contributions (8.16) and (8.17) add up to

$$S_i = a_{ij}(\pi_{\varepsilon(i_k)}[\ell, k]\omega_i - \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_i \mid \omega_h). \tag{8.18}$$

First, suppose that there are no indices  $p$  with  $|i_p| = i$  between  $k$  and  $k^+$ ; in particular, there are no indices  $p$  of type 2. In view of (8.4), the sum in (8.18) can be rewritten as

$$a_{ij}(\pi_{\varepsilon(i_k)}[\ell, k]\omega_i - \pi_{\varepsilon(i_{k^+})}[\ell, k]\omega_i \mid \omega_h).$$

This expression is easily seen to vanish unless  $\varepsilon(i_k) = -\varepsilon(i_{k^+})$ , and there exists a (unique) index  $p$  of type 1; furthermore, in the latter case, it agrees with (8.16).

Next, consider the case when there are some indices  $p$  with  $|i_p| = i$  between  $k$  and  $k^+$ , but none of them are of type 2. In other words, all these values of  $p$  have the same sign, say  $\varepsilon$ , of  $i_p$ , and we also have  $\varepsilon(i_{k^+}) = -\varepsilon$ . In this case, the sum in (8.18) can be rewritten as

$$a_{ij}(\pi_{\varepsilon(i_k)}[\ell, k]\omega_i - \pi_{-\varepsilon}[\ell, k]\omega_i \mid \omega_h).$$

Again, this expression vanishes unless  $\varepsilon(i_k) = \varepsilon$ , and there exists a (unique) index  $p$  of type 1; and again, in the latter case, it agrees with (8.16).

It remains to treat the case when there are some indices  $p$  of type 2. Let  $p(1) < \dots < p(t)$  be all such indices. By the definition, we have  $\varepsilon(i_{p(s)}) = -\varepsilon(i_{p(s+1)})$  for  $s = 1, \dots, t-1$ , and  $\varepsilon(i_{p(t)}) = \varepsilon(i_{k^+})$ . Furthermore, (8.4) yields  $\pi_{-\varepsilon(i_{p(s+1)})}[\ell, p(s+1)]\omega_i = \pi_{\varepsilon(i_{p(s)})}[\ell, p(s)]\omega_i$  for  $s = 1, \dots, t-1$ . This shows that the sum of all expressions (8.17) allows telescoping, and so is equal to

$$a_{ij}(\pi_{-\varepsilon(i_{p(1)})}[\ell, k]\omega_i - \pi_{\varepsilon(i_{k^+})}[\ell, k^+]\omega_i \mid \omega_h). \tag{8.19}$$

An easy inspection shows that (8.19) agrees with (8.18) if there are no indices  $p$  of type 1. In the latter case, we must have  $\varepsilon(i_k) = \varepsilon(i_{p(1)})$ , and so the sum of expressions in (8.19) and (8.16) is equal to that in (8.18), as desired. This completes the proof of Lemma 8.6.  $\square$

To finish the proof of (8.10), we need to show that

$$S := S_j + \sum_{i \neq j} S_i = 0$$

in all the cases in Lemma 8.6. Combining (8.14) and (8.15) with (8.2), we get

$$S = \begin{cases} (\alpha_j - \omega_j \\ -\pi_{\varepsilon(i_{k^+})}[\ell, k^+](\alpha_j - \omega_j) \mid \omega_h) & \text{if } k < \ell < k^+, \\ (\pi_{\varepsilon(i_k)}[\ell, k](-\omega_j) \\ -\pi_{\varepsilon(i_{k^+})}[\ell, k^+](\alpha_j - \omega_j) \mid \omega_h) & \text{if } \ell \leq k, \varepsilon(i_k) = \varepsilon(i_{k^+}); \\ (\pi_{\varepsilon(i_k)}[\ell, k](\alpha_j - \omega_j) \\ -\pi_{\varepsilon(i_{k^+})}[\ell, k^+](\alpha_j - \omega_j) \mid \omega_h) & \text{if } k^- < \ell \leq k, \varepsilon(i_k) = -\varepsilon(i_{k^+}); \\ 0 & \text{if } \ell \leq k^-, \varepsilon(i_k) = -\varepsilon(i_{k^+}). \end{cases} \tag{8.20}$$

It remains to show that  $S = 0$  in each of the first three cases in (8.20). In case 1, we have  $\pi_{\varepsilon(i_{k^+})}[\ell, k^+](\alpha_j - \omega_j) = -\omega_j$ , and so  $S = (\alpha_j \mid \omega_h) = 0$ . In case 2 (resp. 3), we have  $\pi_{\varepsilon(i_{k^+})}[\ell, k^+](\alpha_j - \omega_j) = \pi_{\varepsilon(i_k)}[\ell, k](-\omega_j)$  (resp.  $\pi_{\varepsilon(i_k)}[\ell, k](\alpha_j - \omega_j) = -\omega_j = \pi_{\varepsilon(i_{k^+})}[\ell, k^+](\alpha_j - \omega_j)$ ), which again yields  $S = 0$ . This completes the proof of (8.10) and hence those of Lemma 8.5 and Theorem 8.3.  $\square$

**Remark 8.7.** Inspecting the above proof, we see that condition (8.8) was used only to ensure that  $b_{p^\circ, k^+}(\mathbf{i}^\circ) = 0$  for all  $\mathbf{i}$ -exchangeable indices  $k$  and all  $p$  with  $p^- = 0$ . It follows that (8.8) can be replaced, for instance, by the following weaker restriction:

$$\begin{aligned} &\text{For every } p \in [1, m] \text{ and } j \in [1, r] \text{ such that } p^- = 0, a_{|i_p|, j} < 0, \\ &\text{and } \{k \in [1, p - 1] : |i_k| = j\} = \{k_1 < \dots < k_t\} \text{ with } t \geq 2, \\ &\text{we have } \varepsilon(i_{k_2}) = \dots = \varepsilon(i_{k_t}); \text{ if } k_t \text{ is } \mathbf{i}\text{-exchangeable then also} \\ &\varepsilon(i_{k_t}) = -\varepsilon(i_p). \end{aligned} \tag{8.21}$$

However, the simpler condition (8.8) is good enough for our applications. For instance, it is satisfied whenever the first  $r$  terms of  $\mathbf{i}$  are  $\pm 1, \dots, \pm r$  arranged in any order; this covers the class of double words  $\mathbf{i}$  considered in [2, Section 2] and in Section 10.

**Remark 8.8.** Because of the fundamental role played by the matrix  $\tilde{B}$  in the theory of cluster algebras, it would be desirable to find an alternative expression to (8.7) involving fewer special cases. One such expression was given in [2, Remark 2.4]. Here, we present another expression that seems to be more manageable. Namely we claim that, for  $p \in [1, m]$  and  $k \in \mathbf{ex}$ , (8.7) is equivalent to

$$b_{pk} = s_{pk} - s_{p, k^+} - s_{p^+, k} + s_{p^+, k^+}, \tag{8.22}$$

where

$$s_{pk} = \frac{\text{sgn}(p - k)(\varepsilon(i_p) + \varepsilon(i_k))}{4} a_{|i_p|, |i_k|} \tag{8.23}$$

and we use the following convention: if  $p^+ = m + 1$  then the last two terms in (8.22) are given by (8.23) with  $i_{m+1} = \pm i_p$  (the choice of a sign does not matter). The proof of (8.22) is straightforward, and we leave it to the reader.

### 9. Preliminaries on quantum groups

#### 9.1. Quantized enveloping algebras

Our standard reference in this section will be [3]. We start by recalling the definition of the quantized enveloping algebra associated with a symmetrizable (generalized) Cartan matrix  $A = (a_{ij})$ . We fix a realization  $(\mathfrak{h}, \Pi, \Pi^\vee)$  of  $A$  as in Definition 8.2. Let  $(\gamma|\delta)$  be the inner product on  $\mathfrak{h}^*$  defined by (8.3). Define the weight lattice  $P$  by

$$P = \{\lambda \in \mathfrak{h}^* : \lambda(\alpha_i^\vee) \in \mathbb{Z} \text{ for all } i \in [1, r]\}.$$

The *quantized enveloping algebra*  $U$  is a  $\mathbb{Q}(q)$ -algebra generated by the elements  $E_i$  and  $F_i$  for  $i \in [1, r]$ , and  $K_\lambda$  for  $\lambda \in P$ , subject to the following relations:

$$K_\lambda K_\mu = K_{\lambda+\mu}, \quad K_0 = 1$$

for  $\lambda, \mu \in P$ ;

$$K_\lambda E_i = q^{(\alpha_i|\lambda)} E_i K_\lambda, \quad K_\lambda F_i = q^{-(\alpha_i|\lambda)} F_i K_\lambda$$

for  $i \in [1, r]$  and  $\lambda \in P$ ;

$$E_i F_j - F_j E_i = \delta_{ij} \frac{K_{\alpha_i} - K_{-\alpha_i}}{q^{d_i} - q^{-d_i}}$$

for  $i, j \in [1, r]$ ; and the *quantum Serre relations*

$$\sum_{p=0}^{1-a_{ij}} (-1)^p E_i^{[1-a_{ij}-p;i]} E_j E_i^{[p;i]} = 0,$$

$$\sum_{p=0}^{1-a_{ij}} (-1)^p F_i^{[1-a_{ij}-p;i]} F_j F_i^{[p;i]} = 0$$

for  $i \neq j$ , where the notation  $X^{[p;i]}$  stands for the *divided power*

$$X^{[p;i]} = \frac{X^p}{[1]_i \cdots [p]_i}, \quad [k]_i = \frac{q^{kd_i} - q^{-kd_i}}{q^{d_i} - q^{-d_i}}. \tag{9.1}$$

The algebra  $U$  is a  $q$ -deformation of the universal enveloping algebra of the Kac–Moody algebra  $\mathfrak{g}$  associated to  $A$ , so it is commonly denoted by  $U = U_q(\mathfrak{g})$ . It has a natural structure of a bialgebra with the comultiplication  $\Delta : U \rightarrow U \otimes U$  and the counit homomorphism  $\varepsilon : U \rightarrow \mathbb{Q}(q)$  given by

$$\Delta(E_i) = E_i \otimes 1 + K_{\alpha_i} \otimes E_i, \quad \Delta(F_i) = F_i \otimes K_{-\alpha_i} + 1 \otimes F_i, \quad \Delta(K_\lambda) = K_\lambda \otimes K_\lambda, \tag{9.2}$$

$$\varepsilon(E_i) = \varepsilon(F_i) = 0, \quad \varepsilon(K_\lambda) = 1. \tag{9.3}$$

In fact,  $U$  is a Hopf algebra with the antipode antihomomorphism  $S : U \rightarrow U$  given by

$$S(E_i) = -K_{-\alpha_i} E_i, \quad S(F_i) = -F_i K_{\alpha_i}, \quad S(K_\lambda) = K_{-\lambda}$$

but we will not need this structure.

Let  $U^-$  (resp.  $U^0$ ;  $U^+$ ) be the  $\mathbb{Q}(q)$ -subalgebra of  $U$  generated by  $F_1, \dots, F_r$  (resp. by  $K_\lambda$  ( $\lambda \in P$ ); by  $E_1, \dots, E_r$ ). It is well-known that  $U = U^- \cdot U^0 \cdot U^+$  (more precisely, the multiplication map induces an isomorphism  $U^- \otimes U^0 \otimes U^+ \rightarrow U$ ).

The algebra  $U$  is graded by the root lattice  $Q$ :

$$U = \bigoplus_{\alpha \in Q} U_\alpha, \quad U_\alpha = \{u \in U : K_\lambda u K_{-\lambda} = q^{(\lambda|\alpha)} \cdot u \text{ for } \lambda \in P\}. \tag{9.4}$$

Thus, we have

$$\deg E_i = \alpha_i, \quad \deg F_i = -\alpha_i, \quad \deg K_\lambda = 0.$$

### 9.2. The quantized coordinate ring of $G$

Our next target is the quantized coordinate ring  $\mathcal{O}_q(G)$  (also known as the *quantum group*) of the group  $G$  associated to the Cartan matrix  $A$ . Since most of the literature on quantum groups deals only with the case when  $A$  is of finite type, we will also restrict our attention to this case (even though we have little doubt that all the results extend to Kac–Moody groups). That is, from now on we assume that  $A$  is of finite type, i.e., it corresponds to a semisimple Lie algebra  $\mathfrak{g}$ . Let  $G$  be the simply connected semisimple group with the Lie algebra  $\mathfrak{g}$ . Following [3, Chapter I.8], the *quantized coordinate algebra*  $\mathcal{O}_q(G)$  can be defined as follows.

First note that  $U^* = \text{Hom}_{\mathbb{Q}(q)}(U, \mathbb{Q}(q))$  has a natural algebra structure: for  $f, g \in U^*$ , the product  $fg$  is defined by

$$fg(u) = (f \otimes g)(\Delta(u)) = \sum f(u_1)g(u_2) \tag{9.5}$$

for all  $u \in U$ , where we use the Sweedler summation notation  $\Delta(u) = \sum u_1 \otimes u_2$  (cf. e.g., [3, Section I.9.2]). The algebra  $U^*$  has the standard  $U - U$ -bimodule structure given by

$$(Y \bullet f \bullet X)(u) = f(XuY)$$

for  $f \in U^*$  and  $u, X, Y \in U$ . In view of (9.5), we have

$$Y \bullet (fg) \bullet X = \sum (Y_1 \bullet f \bullet X_1)(Y_2 \bullet g \bullet X_2). \tag{9.6}$$

Let  $U^\circ$  be the Hopf dual of  $U$  defined by

$$U^\circ = \{f \in U^* : f(I) = 0 \text{ for some ideal } I \subset U \text{ of finite codimension}\}.$$

Then  $U^\circ$  is a subalgebra and a  $U - U$ -sub-bimodule of  $U^*$ .

Slightly modifying the definition in [3, Section I.8.6], for every  $\gamma, \delta \in P$ , we set

$$U_{\gamma, \delta}^\circ = \{f \in U^\circ : K_\mu \bullet f \bullet K_\lambda = q^{(\lambda|\gamma)+(\mu|\delta)} f \text{ for } \lambda, \mu \in P\}. \tag{9.7}$$

Finally, we define  $\mathcal{O}_q(G)$  as the  $P \times P$ -graded subalgebra of  $U^\circ$  given by

$$\mathcal{O}_q(G) = \bigoplus_{\gamma, \delta \in P} U_{\gamma, \delta}^\circ$$

(from now on, we will denote the homogeneous components of  $\mathcal{O}_q(G)$  by  $\mathcal{O}_q(G)_{\gamma, \delta}$  instead of  $U_{\gamma, \delta}^\circ$ ).

It is well-known (see e.g., [3, Theorem I.8.9]) that  $\mathcal{O}_q(G)$  is a domain.

The algebra  $\mathcal{O}_q(G)$  is a  $U - U$ -sub-bimodule of  $U^\circ$ : according to [3, Lemma I.8.7], we have

$$Y \bullet \mathcal{O}_q(G)_{\gamma, \delta} \bullet X \subset \mathcal{O}_q(G)_{\gamma-\alpha, \delta+\beta} \text{ for } X \in U_\alpha, Y \in U_\beta.$$

We now give a more explicit description of  $\mathcal{O}_q(G)$ . Let

$$P^+ = \{\lambda \in P : \lambda(\alpha_i^\vee) \geq 0 \text{ for all } i \in [1, r]\}$$

be the semigroup of dominant weights. Thus,  $P^+$  is a free additive semigroup generated by fundamental weights  $\omega_1, \dots, \omega_r$ . (Since  $A$  is of finite type, the setup in Section 8.1 simplifies so that simple coroots (resp. simple roots) form a basis in  $\mathfrak{h}$  (resp.  $\mathfrak{h}^*$ ), and the fundamental weights are uniquely determined by the condition  $\omega_j(\alpha_i^\vee) = \delta_{ij}$ .) To every dominant weight  $\lambda \in P^+$  we associate an element  $\Delta^\lambda \in U^*$  given by

$$\Delta^\lambda(FK_\mu E) = \varepsilon(F)q^{(\lambda|\mu)}\varepsilon(E) \tag{9.8}$$

for  $F \in U^-$ ,  $E \in U^+$  and  $\mu \in P$ . Let  $\mathcal{E}_\lambda = U \bullet \Delta^\lambda \bullet U$  be the  $U - U$ -sub-bimodule of  $U^*$  generated by  $\Delta^\lambda$ . The following presentation of  $\mathcal{O}_q(G)$  was essentially given in [3, Section I.7].

**Proposition 9.1.** *Each element  $\Delta^\lambda$  belongs to  $\mathcal{O}_q(G)_{\lambda,\lambda}$ , each subspace  $\mathcal{E}_\lambda$  is a finite-dimensional simple  $U - U$ -bimodule, and  $\mathcal{O}_q(G)$  has the direct sum decomposition*

$$\mathcal{O}_q(G) = \bigoplus_{\lambda \in P^+} \mathcal{E}_\lambda.$$

The reason for our choice of the  $P \times P$ -grading in  $\mathcal{O}_q(G)$  is the following: we can view  $\mathcal{O}_q(G)$  as a  $U \times U$ -module via

$$(X, Y)f = Y \bullet f \bullet X^T,$$

where  $X \mapsto X^T$  is the transpose antiautomorphism of the  $\mathbb{Q}(q)$ -algebra  $U$  given by

$$E_i^T = F_i, \quad F_i^T = E_i, \quad K_\lambda^T = K_\lambda.$$

The specialization  $q = 1$  transforms  $\mathcal{O}_q(G)$  into a  $\mathfrak{g} \times \mathfrak{g}$ -module, and  $\mathcal{O}_q(G)_{\gamma,\delta}$  becomes the weight subspace of weight  $(\gamma, \delta)$  under this action. In particular, under the specialization  $q = 1$ , the space  $\mathcal{E}_\lambda$  becomes a simple  $\mathfrak{g} \times \mathfrak{g}$ -module generated by the highest vector  $\Delta^\lambda$  of weight  $(\lambda, \lambda)$ .

Comparing (9.7) with (9.4), we obtain the following useful property:

$$\text{If the pairing } \mathcal{O}_q(G)_{\gamma,\delta} \times U_\alpha \rightarrow \mathbb{Q}(q) \text{ is non-zero then } \alpha = \gamma - \delta. \tag{9.9}$$

### 9.3. Quantum double Bruhat cells

For each  $i \in [1, r]$ , we adopt the notational convention

$$E_{-i} = F_i, \quad s_{-i} = 1$$

(the latter was already used in Section 8.2). For  $i \in \pm[1, r] = -[1, r] \sqcup [1, r]$ , we denote by  $U_i$  the subalgebra of  $U$  generated by  $U^0$  and  $E_i$ . For every double word  $\mathbf{i} = (i_1, \dots, i_m)$  (i.e., a word in the alphabet  $\pm[1, r]$ ), we set

$$U_{\mathbf{i}} = U_{i_1} \cdots U_{i_m} \subset U.$$

Denote

$$J_{\mathbf{i}} := \{f \in \mathcal{O}_q(G) : f(U_{\mathbf{i}}) = 0\},$$

i.e.,  $J_{\mathbf{i}}$  is the orthogonal complement of  $U_{\mathbf{i}}$  in  $\mathcal{O}_q(G)$ .

Clearly, each  $U_i$  satisfies  $\Delta(U_i) \subset U_i \otimes U_i$ , hence  $J_{\mathbf{i}}$  is a two-sided ideal in  $\mathcal{O}_q(G)$ . In fact,  $J_{\mathbf{i}}$  is prime, i.e.,  $\mathcal{O}_q(G)/J_{\mathbf{i}}$  is a domain (see, e.g., [14, Corollary 10.1.10]).

Recall that a *reduced word* for  $(u, v) \in W \times W$  is a shortest possible double word  $\mathbf{i} = (i_1, \dots, i_m)$  such that

$$s_{-i_1} \cdots s_{-i_m} = u, \quad s_{i_1} \cdots s_{i_m} = v;$$

thus,  $m = \ell(u) + \ell(v)$ , where  $\ell : W \rightarrow \mathbb{Z}_{\geq 0}$  is the length function on  $W$ .

**Proposition 9.2.** *If  $\mathbf{i}$  and  $\mathbf{i}'$  are reduced words for the same element  $(u, v) \in W \times W$ , then  $U_{\mathbf{i}} = U_{\mathbf{i}'}$ .*

**Proof.** By the well-known Tits’ lemma, it suffices to check the statement in the following two special cases:

- (1)  $\mathbf{i} = (i, j, i, \dots)$ ,  $\mathbf{i}' = (j, i, j, \dots)$ , where  $i, j \in [1, r]$ , and the length of each of  $\mathbf{i}$  and  $\mathbf{i}'$  is equal to the order of  $s_i s_j$  in  $W$ ;
- (2)  $\mathbf{i} = (i, -j)$ ,  $\mathbf{i}' = (-j, i)$ , where  $i, j \in [1, r]$ .

Case (1) is treated in [19], while Case (2) follows easily from the commutation relation between  $E_i$  and  $F_j$  in  $U$ .  $\square$

In view of Proposition 9.2, for every  $u, v \in W$ , we set  $U_{u,v} = U_{\mathbf{i}}$ , and  $J_{u,v} = J_{\mathbf{i}}$ , where  $\mathbf{i}$  is any reduced word for  $(u, v)$ . The algebra  $\mathcal{O}_q(G)/J_{u,v}$  has the following geometric meaning. Let  $H$  be the maximal torus in  $G$  with Lie algebra  $\mathfrak{h}$ , and let  $B$  (resp.  $B_-$ ) be the Borel subgroup in  $G$  generated by  $H$  and the root subgroups corresponding to simple roots  $\alpha_1, \dots, \alpha_r$  (resp.  $-\alpha_1, \dots, -\alpha_r$ ). Recall that the Weyl group  $W$  is naturally identified with  $\text{Norm}_G(H)/H$ . For  $u, v \in W$ , let  $G^{u,v}$  denote the double Bruhat cell  $BuB \cap B_-vB_-$  in  $G$  (for their properties see [7]). Let  $\overline{G^{u,v}}$  denote the Zariski closure of  $G^{u,v}$  in  $G$ . As shown in [4], the specialization of  $\mathcal{O}_q(G)/J_{u,v}$  at  $q = 1$  is the coordinate ring of  $\overline{G^{u,v}}$ . Thus, we will denote  $\mathcal{O}_q(G)/J_{u,v}$  by  $\mathcal{O}_q(\overline{G^{u,v}})$  and refer to it as a *quantum closed double Bruhat cell*.

In order to define the “non-closed” quantum double Bruhat cells, we introduce the quantum analogs of generalized minors from [7]. Fix a dominant weight  $\lambda \in P^+$ , a pair



$(u, v) \in W \times W$ , a reduced word  $(i_1, \dots, i_{\ell(u)})$  for  $u$ , and a reduced word  $(j_1, \dots, j_{\ell(v)})$  for  $v$ . For  $k \in [1, \ell(u)]$  (resp.  $k \in [1, \ell(v)]$ ), we define the coroot  $\eta_k^\vee$  (resp.  $\zeta_k^\vee$ ) by setting  $\eta_k^\vee = s_{i_{\ell(u)}} \cdots s_{i_{k+1}} \alpha_{i_k}^\vee$  (resp.  $\zeta_k^\vee = s_{j_{\ell(v)}} \cdots s_{j_{k+1}} \alpha_{j_k}^\vee$ ). It is well-known that the coroots  $\eta_1^\vee, \dots, \eta_{\ell(u)}^\vee$  (resp.  $\zeta_1^\vee, \dots, \zeta_{\ell(v)}^\vee$ ) are positive and distinct; in particular, we have  $\lambda(\eta_k^\vee) \geq 0$  and  $\lambda(\zeta_k^\vee) \geq 0$ . Then we define an element  $\Delta_{u\lambda, v\lambda} \in \mathcal{E}_\lambda \subset \mathcal{O}_q(G)$  by

$$\Delta_{u\lambda, v\lambda} = (F_{j_1}^{[\lambda(\zeta_1^\vee); j_1]} \cdots F_{j_{\ell(v)}}^{[\lambda(\zeta_{\ell(v)}^\vee); j_{\ell(v)}]}) \bullet \Delta^\lambda \bullet (E_{i_{\ell(u)}}^{[\lambda(\eta_{\ell(u)}^\vee); i_{\ell(u)}]} \cdots E_{i_1}^{[\lambda(\eta_1^\vee); i_1]}) \quad (9.10)$$

(see (9.1)); in view of the quantum Verma relations [18, Proposition 39.3.7] the element  $\Delta_{u\lambda, v\lambda}$  indeed depends only on the weights  $u\lambda$  and  $v\lambda$ , not on the choices of  $u, v$  and their reduced words. It is also immediate that each quantum minor  $\Delta_{\gamma, \delta}$  belongs to the graded component  $\mathcal{O}_q(G)_{\gamma, \delta}$ , and that it spans the one-dimensional weight space  $\mathcal{E}_\lambda \cap \mathcal{O}_q(G)_{\gamma, \delta}$ . This implies that

$$\begin{aligned} E_i \bullet \Delta_{\gamma, \delta} &= 0 \quad \text{if } (\alpha_i \mid \delta) \geq 0, \\ F_i \bullet \Delta_{\gamma, \delta} &= 0 \quad \text{if } (\alpha_i \mid \delta) \leq 0, \end{aligned} \quad (9.11)$$

$$\begin{aligned} \Delta_{\gamma, \delta} \bullet F_i &= 0 \quad \text{if } (\alpha_i \mid \gamma) \geq 0, \\ \Delta_{\gamma, \delta} \bullet E_i &= 0 \quad \text{if } (\alpha_i \mid \gamma) \leq 0. \end{aligned} \quad (9.12)$$

The generalized minors have the following multiplicative property:

$$\Delta_{u\lambda, v\lambda} \Delta_{u\mu, v\mu} = \Delta_{u(\lambda+\mu), v(\lambda+\mu)} \quad (\lambda, \mu \in P^+, u, v \in W). \quad (9.13)$$

For  $u = v = 1$ , this follows at once from (9.8); for general  $u$  and  $v$ , (9.13) follows by a repeated application of the following useful lemma which is proved by a direct calculation using (9.2) and (9.6).

**Lemma 9.3.** *Let  $f \in \mathcal{O}_q(G)_{\gamma, \delta}$  and  $g \in \mathcal{O}_q(G)_{\gamma', \delta'}$ . For a given  $i \in [1, r]$ , suppose that  $a = \delta(\alpha_i^\vee)$  (resp.  $b = \delta'(\alpha_i^\vee)$ ) is the maximal non-negative integer such that  $F_i^a \bullet f \neq 0$  (resp.  $F_i^b \bullet g \neq 0$ ). Then*

$$(F_i^{[a;i]} \bullet f) \cdot (F_i^{[b;i]} \bullet g) = F_i^{[a+b;i]} \bullet (fg). \quad (9.14)$$

*Similarly, if  $c = \gamma(\alpha_i^\vee)$  (resp.  $d = \gamma'(\alpha_i^\vee)$ ) is the maximal non-negative integer such that  $f \bullet E_i^c \neq 0$  (resp.  $g \bullet E_i^d \neq 0$ ), then*

$$(f \bullet E_i^{[c;i]}) \cdot (g \bullet E_i^{[d;i]}) = (fg) \bullet E_i^{[c+d;i]}. \quad (9.15)$$

The following fact can be deduced from the proof of Proposition II.4.2 in [3].

**Proposition 9.4.** *For any dominant weight  $\lambda \in P^+$ , a pair of Weyl group elements  $u, v \in W$ , and a homogeneous element  $f \in \mathcal{O}_q(G)_{\gamma, \delta}$ , we have*

$$f \cdot \Delta_{\lambda, v^{-1}\lambda} - q^{(\gamma|\lambda) - (\delta|v^{-1}\lambda)} \Delta_{\lambda, v^{-1}\lambda} \cdot f \in J_{u, v}, \tag{9.16}$$

$$\Delta_{u\lambda, \lambda} \cdot f - q^{(\gamma|u\lambda) - (\delta|\lambda)} f \cdot \Delta_{u\lambda, \lambda} \in J_{u, v}. \tag{9.17}$$

Let  $\pi_{u, v}$  denote the projection  $\mathcal{O}_q(G) \rightarrow \mathcal{O}_q(\overline{G^{u, v}})$ . It is not hard to check that  $\pi_{u, v}(\Delta_{u\lambda, \lambda}) \neq 0$  and  $\pi_{u, v}(\Delta_{\lambda, v^{-1}\lambda}) \neq 0$ . We can rewrite (9.16) and (9.17) as

$$f \cdot \pi_{u, v}(\Delta_{\lambda, v^{-1}\lambda}) = q^{(\gamma|\lambda) - (\delta|v^{-1}\lambda)} \pi_{u, v}(\Delta_{\lambda, v^{-1}\lambda}) \cdot f, \tag{9.18}$$

$$\pi_{u, v}(\Delta_{u\lambda, \lambda}) \cdot f = q^{(\gamma|u\lambda) - (\delta|\lambda)} f \cdot \pi_{u, v}(\Delta_{u\lambda, \lambda}) \tag{9.19}$$

(for  $f \in \mathcal{O}_q(\overline{G^{u, v}})_{\gamma, \delta}$ ).

In view of (9.18)–(9.19) and (9.13), for each  $u, v \in W$  the set

$$D_{u, v} := \{q^k \pi_{u, v}(\Delta_{u\lambda, \lambda}) \cdot \pi_{u, v}(\Delta_{\mu, v^{-1}\mu}) : k \in \mathbb{Z}, \lambda, \mu \in P^+\}$$

is an Ore set in the Ore domain  $\mathcal{O}_q(\overline{G^{u, v}})$  (see the appendix). This motivates the following definition.

**Definition 9.5.** The *quantum double Bruhat cell*  $\mathcal{O}_q(G^{u, v})$  is the localization of  $\mathcal{O}_q(\overline{G^{u, v}})$  by the Ore set  $D_{u, v}$ , that is,  $\mathcal{O}_q(G^{u, v}) = \mathcal{O}_q(\overline{G^{u, v}})[D_{u, v}^{-1}]$ .

Definition 9.5 is easily seen to coincide with the definition in [3, Section II.4.4].

## 10. Cluster algebra setup in quantum double Bruhat cells

### 10.1. Clusters associated with double reduced words

Fix a pair  $(u, v) \in W \times W$ , and let  $m = r + \ell(u) + \ell(v) = \dim G^{u, v}$ . Let  $\mathbf{i} = (i_1, \dots, i_m)$  be a double word such that  $(i_{r+1}, \dots, i_m)$  is a reduced word for  $(u, v)$ , and  $(i_1, \dots, i_r)$  is a permutation of  $[1, r]$ . For  $k = 1, \dots, m$ , we define the weights  $\gamma_k, \delta_k \in P$  as follows:

$$\gamma_k = s_{-i_1} \cdots s_{-i_k} \omega_{|i_k|}, \quad \delta_k = s_{i_m} \cdots s_{i_{k+1}} \omega_{|i_k|}$$

(with our usual convention that  $s_{-i} = 1$  for  $i \in [1, r]$ ). Let  $\Delta_{\gamma_k, \delta_k} \in \mathcal{O}_q(G)$  be the corresponding quantum minor. Note that

$$\{\Delta_{\gamma_1, \delta_1}, \dots, \Delta_{\gamma_r, \delta_r}\} = \{\Delta_{\omega_1, v^{-1}\omega_1}, \dots, \Delta_{\omega_r, v^{-1}\omega_r}\}$$

and  $\Delta_{\gamma_k, \delta_k} = \Delta_{u\omega_{|i_k|}, \omega_{|i_k|}}$  whenever  $k^+ = m + 1$  (see Section 8.2); thus, the only minors  $\Delta_{\gamma_k, \delta_k}$  that depend on the choice of  $\mathbf{i}$  are those for which  $k$  is  $\mathbf{i}$ -exchangeable.

**Theorem 10.1.** *The quantum minors  $\Delta_{\gamma_k, \delta_k}$  pairwise quasi-commute in  $\mathcal{O}_q(G)$ . More precisely, for  $1 \leq \ell < k \leq m$ , we have*

$$\Delta_{\gamma_k, \delta_k} \cdot \Delta_{\gamma_\ell, \delta_\ell} = q^{(\gamma_k | \gamma_\ell) - (\delta_k | \delta_\ell)} \Delta_{\gamma_\ell, \delta_\ell} \cdot \Delta_{\gamma_k, \delta_k}. \tag{10.1}$$

**Proof.** Identity (10.1) is a special case of the following identity:

$$\Delta_{s' s \lambda, t' t \lambda} \cdot \Delta_{s' \mu, t' t \mu} = q^{(s \lambda | \mu) - (\lambda | t \mu)} \Delta_{s' \mu, t' t \mu} \cdot \Delta_{s' s \lambda, t' t \lambda} \tag{10.2}$$

for any  $\lambda, \mu \in P^+$ , and  $s, s', t, t' \in W$  such that

$$\ell(s' s) = \ell(s') + \ell(s), \quad \ell(t' t) = \ell(t') + \ell(t).$$

Indeed, (10.1) is obtained from (10.2) by setting

$$\begin{aligned} \lambda &= \omega_{|i_k|}, & \mu &= \omega_{|i_\ell|}, & s' &= s_{-i_1} \cdots s_{-i_\ell}, & s &= s_{-i_{\ell+1}} \cdots s_{-i_k}, \\ t' &= s_{i_m} \cdots s_{i_{\max(k,r)+1}}, & t &= \begin{cases} s_{i_k} \cdots s_{i_{\max(\ell,r)+1}} & \text{if } r < k, \\ 1 & \text{otherwise.} \end{cases} \end{aligned}$$

To prove (10.2), we first consider its special case with  $s' = t' = 1$ :

$$\Delta_{s \lambda, \lambda} \cdot \Delta_{\mu, t \mu} = q^{(s \lambda | \mu) - (\lambda | t \mu)} \Delta_{\mu, t \mu} \cdot \Delta_{s \lambda, \lambda} \tag{10.3}$$

for any  $\lambda, \mu \in P^+$  and  $s, t \in W$ . In view of (9.11) and (9.12), the minors in (10.3) satisfy

$$E_i \bullet \Delta_{s \lambda, \lambda} = \Delta_{\mu, t \mu} \bullet F_i = 0 \quad (i \in [1, r])$$

or equivalently,

$$E \bullet \Delta_{s \lambda, \lambda} = \varepsilon(E) \Delta_{s \lambda, \lambda} \quad (E \in U^+), \quad \Delta_{\mu, t \mu} \bullet F = \varepsilon(F) \Delta_{\mu, t \mu} \quad (F \in U^-).$$

Thus, (10.3) is a consequence of the following lemma.

**Lemma 10.2.** *Suppose the elements  $f \in \mathcal{O}_q(G)_{\gamma, \delta}$  and  $g \in \mathcal{O}_q(G)_{\gamma', \delta'}$  satisfy*

$$E \bullet f = \varepsilon(E)f \quad (E \in U^+), \quad g \bullet F = \varepsilon(F)g \quad (F \in U^-).$$

Then

$$fg = q^{(\gamma|\gamma') - (\delta|\delta')} gf. \tag{10.4}$$

**Proof.** It suffices to show that both sides of (10.4) take the same value at each element  $FK_\lambda E \in U$ , where  $F$  (resp.  $E$ ) is some monomial in  $F_1, \dots, F_r$  (resp.  $E_1, \dots, E_r$ ). Using (9.6) together with (9.2)–(9.3) and (9.7), we obtain

$$\begin{aligned} (fg)(FK_\lambda E) &= (E \bullet fg \bullet F)(K_\lambda) = \sum (E_1 \bullet f \bullet F_1)(K_\lambda) \cdot (E_2 \bullet g \bullet F_2)(K_\lambda) \\ &= (K_{\deg E} \bullet f \bullet F)(K_\lambda) \cdot (E \bullet g \bullet K_{\deg F})(K_\lambda) \\ &= q^{(\deg E|\delta) + (\deg F|\gamma')} f(FK_\lambda) \cdot g(K_\lambda E); \end{aligned}$$

similarly,

$$(gf)(FK_\lambda E) = f(FK_\lambda) \cdot g(K_\lambda E).$$

In view of (9.9),  $f(FK_\lambda) \neq 0$  (resp.  $g(K_\lambda E) \neq 0$ ) implies that  $\deg F = \gamma - \delta$  (resp.  $\deg E = \gamma' - \delta'$ ). We conclude that

$$fg = q^{(\gamma' - \delta'|\delta) + (\gamma - \delta|\gamma')} gf = q^{(\gamma|\gamma') - (\delta|\delta')} gf$$

as claimed.  $\square$

To finish the proof of Theorem 10.1, it remains to deduce (10.2) from (10.3). Remembering definition (9.10), we see that this implication is obtained by a repeated application of the following lemma, which is immediate from Lemma 9.3.

**Lemma 10.3.** *In the situation of Lemma 9.3, suppose the elements  $f$  and  $g$  quasi-commute, i.e.,  $fg = q^k gf$  for some integer  $k$ . Then*

$$(F_i^{[a;i]} \bullet f) \cdot (F_i^{[b;i]} \bullet g) = q^k (F_i^{[b;i]} \bullet g) \cdot (F_i^{[a;i]} \bullet f); \tag{10.5}$$

$$(f \bullet E_i^{[c;i]}) \cdot (g \bullet E_i^{[d;i]}) = q^k (g \bullet E_i^{[d;i]}) \cdot (f \bullet E_i^{[c;i]}). \tag{10.6}$$

This completes the proof of Theorem 10.1.  $\square$

**Remark 10.4.** Under the specialization  $q = 1$ , Theorem 10.1 evaluates the standard Poisson–Lie brackets between the ordinary generalized minors. This answer agrees with

the one given in [16, Theorem 2.6], in view of [11, Theorem 3.1]; in fact, Theorem 10.1 allows one to deduce each of these two results from another one (see [16, Remark 2.8]). (Unfortunately, the Poisson bracket used in [16] and borrowed from [17] is the opposite of the one in [3].)

10.2. The dual Lusztig bar-involution

Following Lusztig, we denote by  $u \mapsto \bar{u}$  the involutive ring automorphism of  $U$  such that

$$\bar{q} = q^{-1}, \quad \bar{E}_i = E_i, \quad \bar{F}_i = F_i, \quad \bar{K}_\mu = K_{-\mu}.$$

Clearly, this involution preserves grading (9.4). Define the dual bar-involution  $f \mapsto \bar{f}$  on  $\mathcal{O}_q(G)$  by

$$\bar{f}(u) = \overline{f(\bar{u})} \quad (u \in U). \tag{10.7}$$

This is an involutive automorphism of  $\mathcal{O}_q(G)$  as a  $\mathbb{Q}$ -vector space, satisfying  $\overline{\bar{Q}f} = \bar{Q} \bar{f}$  for  $Q \in \mathbb{Q}(q)$ , where  $\overline{Q}(q) = Q(q^{-1})$ . The definitions imply at once that

$$\overline{Y \bullet f \bullet X} = \bar{Y} \bullet \bar{f} \bullet \bar{X} \quad (X, Y \in U, f \in \mathcal{O}_q(G)). \tag{10.8}$$

It follows that

$$\overline{\mathcal{O}_q(G)_{\gamma, \delta}} = \mathcal{O}_q(G)_{\gamma, \delta}$$

for any  $\gamma, \delta \in P$ .

The dual bar-involution has the following useful multiplicative property.

**Proposition 10.5.** For any  $f \in \mathcal{O}_q(G)_{\gamma, \delta}$  and  $g \in \mathcal{O}_q(G)_{\gamma', \delta'}$ , we have

$$\overline{f \cdot g} = q^{(\delta|\delta') - (\gamma|\gamma')} \bar{g} \cdot \bar{f}. \tag{10.9}$$

**Proof.** We start with some preparation concerning “twisted” comultiplications in  $U$ . For a ring homomorphism  $D : U \rightarrow U \otimes U$  and a ring automorphism  $\varphi$  of  $U$ , we define the twisted ring homomorphism  ${}^\varphi D : U \rightarrow U \otimes U$  by

$${}^\varphi D = (\varphi \otimes \varphi) \circ D \circ \varphi^{-1}. \tag{10.10}$$

In particular, we have a well-defined ring homomorphism  ${}^\neg \Delta : U \rightarrow U \otimes U$  corresponding to  $D = \Delta$  and  $\varphi(u) = \bar{u}$ . Clearly,  ${}^\neg \Delta$  is  $\mathbb{Q}(q)$ -linear.

Let  $\sigma : U \rightarrow U$  denote a  $\mathbb{Q}(q)$ -linear automorphism of  $U$  given by

$$\sigma(u) = q^{\frac{(\alpha|\alpha)}{2}} u K_\alpha$$

for  $u \in U_\alpha$  (an easy check shows that  $\sigma$  is a ring automorphism of  $U$ ). As an easy consequence of (9.9), we see that

$$f \circ \sigma = q^{\frac{(\gamma|\gamma) - (\delta|\delta)}{2}} f \tag{10.11}$$

for any  $f \in \mathcal{O}_q(G)_{\gamma, \delta}$ .

Let  $\sigma\Delta^{\text{op}} : U \rightarrow U \otimes U$  be the  $\mathbb{Q}(q)$ -algebra homomorphism defined as in (10.10) with  $\varphi = \sigma$  and  $D = \Delta^{\text{op}}$ , the *opposite comultiplication* given by  $\Delta^{\text{op}} = P \circ \Delta$ , where  $P(X \otimes Y) = Y \otimes X$ . We claim that

$$-\Delta = \sigma\Delta^{\text{op}}; \tag{10.12}$$

indeed, both sides are  $\mathbb{Q}(q)$ -algebra homomorphisms  $U \rightarrow U \otimes U$ , so it suffices to show that they take the same value at each of the generators  $E_i, F_i$ , and  $K_\lambda$ , which is done by a straightforward calculation.

Now everything is ready for the proof of (10.9), which we prefer to prove in an equivalent form:  $\overline{f \cdot g} = q^{(\delta|\delta') - (\gamma|\gamma')} gf$ . Indeed, combining the definitions with (10.12) and (10.11), we obtain

$$\begin{aligned} \overline{f \cdot g}(u) &= (f \otimes g)(-\Delta(u)) = (f \otimes g)(\sigma\Delta^{\text{op}}(u)) = (((g \circ \sigma) \cdot (f \circ \sigma)) \circ \sigma^{-1})(u) \\ &= q^{\frac{(\gamma|\gamma) - (\delta|\delta) + (\gamma'|\gamma') - (\delta'|\delta') - (\gamma + \gamma'|\gamma + \gamma') + (\delta + \delta'|\delta + \delta')}{2}} (gf)(u) \\ &= q^{(\delta|\delta') - (\gamma|\gamma')} (gf)(u), \end{aligned}$$

as claimed.  $\square$

**Proposition 10.6.** *Every quantum minor  $\Delta_{\gamma, \delta}$  is invariant under the dual bar-involution.*

**Proof.** First, we note that  $\overline{\Delta^\lambda} = \Delta^\lambda$ : this is a direct consequence of (9.8). The general statement  $\overline{\Delta_{\gamma, \delta}} = \Delta_{\gamma, \delta}$  follows from (9.10) together with (10.8) and the observation that all divided powers of the elements  $E_i$  and  $F_i$  in  $U$  are invariant under the Lusztig involution.  $\square$

Let  $\mathbf{i}$  and the corresponding quantum minors  $\Delta_{\gamma_k, \delta_k}$  for  $k = 1, \dots, m$  be as in Section 10.1. Generalizing Proposition 10.6, we now prove the following.

**Proposition 10.7.** *Every monomial  $\Delta_{\gamma_1, \delta_1}^{a_1} \cdots \Delta_{\gamma_m, \delta_m}^{a_m}$  is invariant under the dual bar-involution.*

**Proof.** Using Propositions 10.9, 10.6, and Theorem 10.1, we obtain

$$\overline{\Delta_{\gamma_1, \delta_1}^{a_1} \cdots \Delta_{\gamma_m, \delta_m}^{a_m}} = q^{\sum_{\ell < k} a_k a_\ell ((\delta_k | \delta_\ell) - (\gamma_k | \gamma_\ell))} \Delta_{\gamma_m, \delta_m}^{a_m} \cdots \Delta_{\gamma_1, \delta_1}^{a_1} = \Delta_{\gamma_1, \delta_1}^{a_1} \cdots \Delta_{\gamma_m, \delta_m}^{a_m},$$

as claimed.  $\square$

Note that the projection  $\pi_{u,v} : \mathcal{O}_q(G) \rightarrow \mathcal{O}_q(\overline{G^{u,v}})$  gives rise to a well-defined dual bar-involution on  $\mathcal{O}_q(\overline{G^{u,v}})$  given by  $\overline{\pi_{u,v}(f)} = \pi_{u,v}(\overline{f})$  (indeed, the Lusztig involution preserves  $U_{u,v}$  so its dual preserves  $J_{u,v} = \ker \pi_{u,v}$ ).

**Proposition 10.8.** *The monomials  $\pi_{u,v}(\Delta_{\gamma_1, \delta_1})^{a_1} \cdots \pi_{u,v}(\Delta_{\gamma_m, \delta_m})^{a_m}$  are linearly independent over  $\mathbb{Q}(q)$ , and each of them is invariant under the dual bar-involution in  $\mathcal{O}_q(\overline{G^{u,v}})$ .*

**Proof.** The linear independence is clear because it holds under the specialization  $q = 1$ . The invariance under the dual bar-involution is immediate from Proposition 10.7.  $\square$

### 10.3. Connections with cluster algebras

As in Section 10.1, let  $\mathbf{i} = (i_1, \dots, i_m)$  be a double word such that  $(i_{r+1}, \dots, i_m)$  is a reduced word for  $(u, v)$ , and  $(i_1, \dots, i_r)$  is a permutation of  $[1, r]$ . Let  $\Lambda(\mathbf{i})$  (resp.  $\Sigma(\mathbf{i})$ ) be the skew-symmetric (resp. symmetric) integer  $m \times m$  matrix defined by (8.5). We identify  $\Lambda(\mathbf{i})$  with the corresponding skew-symmetric bilinear form on  $L = \mathbb{Z}^m$ , and consider the based quantum torus  $\mathcal{T}(\Lambda(\mathbf{i}))$  associated with  $L$  and  $\Lambda(\mathbf{i})$  according to Definition 4.1. For  $k = 1, \dots, m$ , we denote  $X_k = X^{e_k}$ , where  $\{e_1, \dots, e_m\}$  is the standard basis in  $\mathbb{Z}^m$ . Let  $\mathcal{F}$  be the skew-field of fractions of  $\mathcal{T}(\Lambda(\mathbf{i}))$ , and let  $M : \mathbb{Z}^m \rightarrow \mathcal{F} - \{0\}$  be the toric frame such that  $M(e_k) = X_k$  for  $k \in [1, m]$  (see Definition 4.3 and Lemma 4.4).

On the other hand, let  $\mathcal{O}_{q^{1/2}}(G^{u,v})$  denote the algebra obtained from  $\mathcal{O}_q(G^{u,v})$  by extending the scalars from  $\mathbb{Q}(q)$  to  $\mathbb{Q}(q^{1/2})$ . Let  $\mathcal{T}_{\mathbf{i}} \subset \mathcal{O}_{q^{1/2}}(G^{u,v})$  denote the quantum subtorus of  $\mathcal{O}_{q^{1/2}}(G^{u,v})$  generated by the elements  $\pi_{u,v}(\Delta_{\gamma_1, \delta_1}), \dots, \pi_{u,v}(\Delta_{\gamma_m, \delta_m})$  (see Proposition 10.8).

**Proposition 10.9.** (1) *The correspondence  $X_k \mapsto \pi_{u,v}(\Delta_{\gamma_k, \delta_k})$  ( $k \in [1, m]$ ) extends uniquely to a  $\mathbb{Q}(q^{1/2})$ -algebra isomorphism  $\varphi : \mathcal{T}(\Lambda(\mathbf{i})) \rightarrow \mathcal{T}_{\mathbf{i}}$ .*

(2) *The isomorphism  $\varphi$  transforms the twisted bar-involution  $X \mapsto \overline{X}^{(\Sigma(\mathbf{i}))}$  on  $\mathcal{T}(\Lambda(\mathbf{i}))$  (see (6.6)) into the dual bar-involution on  $\mathcal{T}_{\mathbf{i}}$  (see Section 10.2).*

**Proof.** (1) Comparing (4.18) with (10.1), and using Proposition 10.8, we see that it suffices to prove the following:

$$\lambda_{k\ell}(\mathbf{i}) = (\gamma_k | \gamma_\ell) - (\delta_k | \delta_\ell) \tag{10.13}$$

for  $1 \leq \ell < k \leq m$ . Remembering (8.5) and (8.6), we obtain (for  $\ell < k$ ):

$$\begin{aligned} (\gamma_k | \gamma_\ell) - (\delta_k | \delta_\ell) &= (s_{-i_1} \cdots s_{-i_k} \omega_{|i_k|} | s_{-i_1} \cdots s_{-i_\ell} \omega_{|i_\ell|}) \\ &\quad - (s_{i_m} \cdots s_{i_{k+1}} \omega_{|i_k|} | s_{i_m} \cdots s_{i_{\ell+1}} \omega_{|i_\ell|}) \\ &= (s_{-i_{\ell+1}} \cdots s_{-i_k} \omega_{|i_k|} | \omega_{|i_\ell|}) - (\omega_{|i_k|} | s_{i_k} \cdots s_{i_{\ell+1}} \omega_{|i_\ell|}) \\ &= (\pi_-[\ell^+, k] \omega_{|i_k|} - \pi_+[\ell^+, k] \omega_{|i_k|} | \omega_{|i_\ell|}) = \eta_{k\ell^+} = \lambda_{k\ell}(\mathbf{i}) \end{aligned}$$

as required.

(2) This is a direct consequence of (6.6), (4.19) and the last statement in Proposition 10.8.  $\square$

In view of Proposition 10.9, the isomorphism  $\varphi : \mathcal{T}(\Lambda(\mathbf{i})) \rightarrow \mathcal{T}_{\mathbf{i}}$  extends uniquely to an injective homomorphism of skew-fields of fractions  $\mathcal{F} \rightarrow \mathcal{F}(\mathcal{O}_{q^{1/2}}(G^{u,v}))$ , which we will denote by the same symbol  $\varphi$ . Let  $\mathcal{U}(M, \tilde{B}(\mathbf{i})) \subset \mathcal{F}$  be the upper cluster algebra associated according to (5.2) with the toric frame  $M$  and the matrix  $\tilde{B}(\mathbf{i})$  given by (8.7). We can now state the following conjecture whose classical counterpart is [2, Theorem 2.10].

**Conjecture 10.10.** *The homomorphism  $\varphi : \mathcal{F} \rightarrow \mathcal{F}(\mathcal{O}_{q^{1/2}}(G^{u,v}))$  is an isomorphism of skew fields; furthermore, it restricts to an isomorphism of  $\mathbb{Q}(q^{1/2})$ -algebras  $\mathcal{U}(M, \tilde{B}(\mathbf{i})) \rightarrow \mathcal{O}_{q^{1/2}}(G^{u,v})$ .*

For instance, if  $G = SL_3$ , and  $G^{u,v}$  is the open double Bruhat cell in  $G$  (i.e.,  $u = v = w_0$ ) then we conjecture that  $\mathcal{O}_{q^{1/2}}(G^{u,v})$  identifies with the quantum upper cluster algebra associated with the compatible pair  $(\Lambda, \tilde{B})$  in Examples 3.2 and 8.4.

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**Appendix A . Ore domains and skew fields of fractions**

Let  $R$  be a *domain*, i.e., an associative ring with unit having no zero-divisors. As in [14, A.2], we say that  $R$  is an Ore domain if it satisfies the (left) Ore condition:  $aR \cap bR \neq \{0\}$  for any non-zero  $a, b \in R$ . Let  $\mathcal{F}(R)$  denote the set of *right fractions*  $ab^{-1}$  with  $a, b \in R$ , and  $b \neq 0$ ; two such fractions  $ab^{-1}$  and  $cd^{-1}$  are identified if  $af = cg$  and  $bf = dg$  for some non-zero  $f, g \in R$ . The ring  $R$  is embedded into  $\mathcal{F}(R)$  via  $a \mapsto a \cdot 1^{-1}$ . It is well known that if  $R$  is an Ore domain then the addition and



multiplication in  $R$  extend to  $\mathcal{F}(R)$  so that  $\mathcal{F}(R)$  becomes a skew-field. (Indeed, we can define

$$ab^{-1} + cd^{-1} = (ae + cf)g^{-1},$$

where non-zero elements  $e, f$ , and  $g$  of  $R$  are chosen so that  $be = df = g$ ; similarly,

$$ab^{-1} \cdot cd^{-1} = ae \cdot (df)^{-1},$$

where non-zero  $e, f \in R$  are chosen so that  $cf = be$ .)

A subset  $D \subset R - \{0\}$  is called an Ore set if  $D$  is a multiplicative monoid with unit satisfying  $dR = Rd$  for all  $d \in D$ . One checks easily that if  $D$  is an Ore set, then the set of right fractions  $R[D^{-1}] = \{ad^{-1} : a \in R, d \in D\}$  is a subring of  $\mathcal{F}(R)$ , called the localization of  $R$  by  $D$ .

We now present a helpful sufficient condition for a domain to be an Ore domain. Suppose that  $R$  is an algebra over a field  $k$  with an increasing filtration ( $k \subset R_0 \subset R_1 \subset \dots$ ), where each  $R_i$  is a finite-dimensional  $k$ -vector space,  $R_i R_j \subset R_{i+j}$ , and  $R = \cup R_i$ . We say that  $R$  has polynomial growth if  $\dim R_n \leq P(n)$  for all  $n \geq 0$ , where  $P(x)$  is some polynomial. The following proposition is well known (see, e.g., [1,13]); for the convenience of the reader, we will provide a proof.

**Proposition A.1.** *Any domain of polynomial growth is an Ore domain.*

**Proof.** Assume, on the contrary, that  $aR \cap bR = \{0\}$  for some non-zero  $a, b \in R$ . Choose  $i \geq 0$  such that  $a, b \in R_i$ . Then, for every  $n \geq 0$ , the  $k$ -subspaces  $aR_n$  and  $bR_n$  of  $R_{i+n}$  are disjoint, hence

$$\dim R_{i+n} \geq \dim aR_n + \dim bR_n \geq 2 \dim R_n.$$

Iterating this inequality, we see that  $\dim R_{mi} \geq 2^m$  for  $m \geq 0$ , which contradicts the assumption that  $R$  has polynomial growth.  $\square$

As a corollary, we obtain that any based quantum torus  $\mathcal{T}(\Lambda)$  (see Definition 4.1) is an Ore domain, as well as the quotient of the quantized coordinate ring  $\mathcal{O}_q(G)$  (see Section 9.2) by any prime ideal  $J$ . Indeed, both  $\mathcal{T}(\Lambda)$  and  $\mathcal{O}_q(G)/J$  are easily seen to have polynomial growth (e.g., for  $R = \mathcal{O}_q(G)/J$ , take  $R_n$  as the  $\mathbb{Q}(q)$ -linear span of all products of at most  $n$  factors, each of which is the projection of one of the generators  $E_i, F_i$ , or  $K_j$ ).

We conclude with a description of the two-sided ideals in  $\mathcal{T} = \mathcal{T}(\Lambda)$ . The following proposition is well known to the experts; it was shown to us by Maria Gorelik.

**Proposition A.2.** (1) *The center  $Z$  of  $\mathcal{T} = \mathcal{T}(\Lambda)$  is a free  $\mathbb{Z}[q^{\pm 1/2}]$ -module with the basis  $\{X^f : f \in \ker \Lambda\}$ . Thus,  $Z$  is the Laurent polynomial ring over  $\mathbb{Z}[q^{\pm 1/2}]$  in  $r$  independent commuting variables, where  $r = \text{rk}(\ker \Lambda)$ .*

(2) The correspondence  $J \mapsto I = \mathcal{T}J = J\mathcal{T}$  gives a bijection between the ideals in  $Z$  and the two-sided ideals in  $\mathcal{T}$ . The inverse map is given by  $I \mapsto J = I \cap Z$ .

(3) The correspondence  $J \mapsto I$  in (2) sends intersections to intersections. In particular, if  $z_1$  and  $z_2$  are relatively prime in  $Z$ , then  $\mathcal{T}_{z_1} \cap \mathcal{T}_{z_2} = \mathcal{T}_{z_1 z_2}$ .

**Proof.** We start with a little preparation. Let  $L^* = \text{Hom}(L, \mathbb{Z})$  be the dual lattice. For  $\xi \in L^*$ , we set

$$\mathcal{T}_\xi = \{X \in \mathcal{T} : X^e X X^{-e} = q^{\xi(e)} X \text{ for } e \in L\}. \tag{A.1}$$

This makes  $\mathcal{T}$  into a  $L^*$ -graded algebra: the decomposition  $\mathcal{T} = \bigoplus_{\xi \in L^*} \mathcal{T}_\xi$  is clear since, in view of (4.3),

$$\mathcal{T}_\xi \text{ is a } \mathbb{Z}[q^{\pm 1/2}] \text{-module with the basis } \{X^f : \xi_f = \xi\}, \tag{A.2}$$

where  $\xi_f(e) = \Lambda(e, f)$ . It follows that

$$\text{the multiplication by } X^f \text{ gives an isomorphism } \mathcal{T}_\xi \rightarrow \mathcal{T}_{\xi + \xi_f}. \tag{A.3}$$

In view of (A.1), we have  $Z = \mathcal{T}_0$ . Thus, assertion (1) is a special case of (A.2). To prove (2), it is enough to note that every two-sided ideal  $I$  of  $\mathcal{T}$  is  $L^*$ -graded, and, in view of (A.3), the multiplication by any  $X^f$  restricts to an isomorphism  $I \cap \mathcal{T}_\xi \rightarrow I \cap \mathcal{T}_{\xi + \xi_f}$ . Finally, (3) is immediate from (2): since the correspondence  $I \mapsto J = I \cap Z$  sends intersections to intersections, the same is true for the inverse correspondence.  $\square$

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