JOURNAL OF ALGEBRA 40, 340-347 (1976)

Finite Partially Ordered Sets of Cohomological Dimension One

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Received December 19, 1974

INTRODUCTION

Let \mathbb{C} be a small category and R a ring with identity. We shall denote the category of unitary left R-modules by mod R and the category of covariant functors $\mathbb{C} \to \text{mod } R$ by $(\text{mod } R)^{\mathbb{C}}$. The *R*-cohomological dimension of \mathbb{C} is defined by

$$\mathrm{cd}_{R}\mathbb{C}=\sup\{k\mid \lim^{k}\neq 0\}$$

where \lim^k is the *k*th right derived functor of the (inverse) limit functor lim: (mod R)^{\mathbb{C}} \rightarrow mod R. There is a natural isomorphism lim M =Hom($\Delta R, M$) where ΔR denotes the constant R-valued functor. It follows that $\operatorname{cd}_R \mathbb{C} = \operatorname{pd}_{\mathbb{C}} \Delta R$ where $\operatorname{pd}_{\mathbb{C}}$ denotes projective dimension in (mod R)^{\mathbb{C}}. We shall denote $\operatorname{cd}_{\mathbb{Z}}\mathbb{C}$ simply by cd \mathbb{C} . It is not difficult to show that $\operatorname{cd}_R \mathbb{C} \leq \operatorname{cd} \mathbb{C}$ for all $R \neq 0$.

Laudal characterized all small categories \mathbb{C} with cd $\mathbb{C} = 0$ in [2]. Stallings [7] and Swan [8] characterized nontrivial free groups as those satisfying cd $\mathbb{C} = 1$. Mitchell [5] proved that if \mathbb{C} is a directed set and \mathbf{x}_n is the smallest cardinal number of a cofinal subset, then $cd_R\mathbb{C} = n + 1$ for any nonzero ring R. In this paper, we shall characterize those finite posets \mathbb{C} such that $cd_R\mathbb{C} \leq 1$.

1. MAIN THEOREM

Throughout \mathbb{C} will be a finite poset unless otherwise specified. Subsets of \mathbb{C} will be considered as full subcategories. We shall denote the category of abelian groups by Ab. If $p, q \in \mathbb{C}, p < q$, are such that there exists no $k \in \mathbb{C}$ with p < k < q, then we say that q is a cover for p and p a cocover for q.

Let $S_p: Ab \rightarrow Ab^{c}$ be the left adjoint of the *p*th evaluation functor. Then

$$S_p(A)(q) = A$$
 $q \ge p$
= 0 otherwise.

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Copyright © 1976 by Academic Press, Inc. All rights of reproduction in any form reserved. The functor S_p preserves projectives since it is exact and its right adjoint is exact. Consider the exact sequence

$$0 \to K \to \bigoplus_{m} S_{m}(\mathbb{Z}) \xrightarrow{\epsilon} \Delta \mathbb{Z} \to 0$$
 (1)

in Ab^{\mathbb{C}}, where the coproduct is indexed by minimal elements *m* of \mathbb{C} , and where the *m*th coordinate of ϵ is induced by the identity on \mathbb{Z} . Since the middle term *P* of (1) is projective, cd $\mathbb{C} \leq 1$ if and only if *K* is projective.

LEMMA 1.1. Let e be a minimal element of \mathbb{C} which has only one cover p. Let $\mathbb{C}' = \mathbb{C} - \{e\}$. Then cd $\mathbb{C} \leq 1$ if and only if cd $\mathbb{C}' \leq 1$.

Proof. The left adjoint G of the restriction functor $T: Ab^{\mathbb{C}} \to Ab^{\mathbb{C}'}$ extends $D \in Ab^{\mathbb{C}'}$ by adding 0 at e, and so is exact. The right adjoint R of T extends $D \in Ab^{\mathbb{C}'}$ by adding D(p) at e, and so is also exact. Since T is exact and has an exact right adjoint, it preserves projective resolutions. Applying T to (1), we get an exact sequence

$$0 \to T(K) \to T(P) \to T(\varDelta \mathbb{Z}) \to 0 \tag{2}$$

in $Ab^{\mathbb{C}'}$ with the middle term projective. Since K(m) = 0 for m minimal, we have

$$K = GT(K). \tag{3}$$

Since TG = id, it follows easily (see [4, Corollary 1.2]) that

$$\mathrm{pd}_{\mathbb{C}}GT(K) = \mathrm{pd}_{\mathbb{C}'}T(K). \tag{4}$$

Since $T(\Delta \mathbb{Z})$ is constant \mathbb{Z} -valued over \mathbb{C}' , we have

$$\mathrm{pd}_{\mathbb{C}'}T(\mathbb{Z}\mathbb{Z}) = \mathrm{cd}\ \mathbb{C}'.$$

Hence cd $\mathbb{C}' \leq 1$ if and only if $pd_{\mathbb{C}'}T(\Delta \mathbb{Z}) \leq 1$, which, by (2), is true if and only if pd T(K) = 0. But by (3) and (4), $pd_{\mathbb{C}}K = pd_{\mathbb{C}'}T(K)$. Therefore it follows from (1) that cd $\mathbb{C}' \leq 1$ if and only if $pd_{\mathbb{C}}\Delta \mathbb{Z} \leq 1$, that is, if and only if cd $\mathbb{C} \leq 1$.

LEMMA 1.2. Let p be an element of \mathbb{C} which has only one cocover e. Let $\mathbb{C}' = \mathbb{C} - \{p\}$. Then $\operatorname{cd} \mathbb{C} = \operatorname{cd} \mathbb{C}'$.

Proof. The left adjoint G of $T: Ab^{\mathbb{C}} \to Ab^{\mathbb{C}'}$ extends $D \in Ab^{\mathbb{C}'}$ by adding D(e) at p, and so is exact. Also $TG = \mathrm{id}$. Hence $\mathrm{pd}_{\mathbb{C}'} \mathscr{A}\mathbb{Z} = \mathrm{pd}_{\mathbb{C}} G(\mathscr{A}\mathbb{Z}) = \mathrm{pd}_{\mathbb{C}} \mathscr{A}\mathbb{Z}$, i.e., $\mathrm{cd} \mathbb{C}' = \mathrm{cd} \mathbb{C}$.

Recall that the *height* of an element x in a finite poset is the greatest integer n such that there is a chain $x_0 < x_1 < \cdots < x_n = x$. Define an element of \mathbb{C}

to be *superfluous* if it is of height 0 (minimal) with only one cover or if it has height one and only one cocover. Iterating as many times as possible the process of eliminating a superfluous element, we obtain a finite poset $E(\mathbb{C})$. We shall show that, up to isomorphism, $E(\mathbb{C})$ is independent of the order in which superfluous elements are removed. Let $S(\mathbb{C})$ denote the set of all superfluous elements of \mathbb{C} . The following lemma follows easily from the definition of superfluous elements.

LEMMA 1.3. If
$$x, y \in S(\mathbb{C})$$
, then either
(a) $x \in S(\mathbb{C} - \{y\})$ and $y \in S(\mathbb{C} - \{x\})$, or

(b)
$$\mathbb{C} - \{x\} \simeq \mathbb{C} - \{y\}.$$

PROPOSITION 1.4. Let \mathbb{C} be a finite poset, and suppose that $\mathbb{C} - \{a_1, a_2, ..., a_n\}$ has no superfluous elements, where $a_i \in S(\mathbb{C} - \{a_1, a_2, ..., a_{i-1}\})$, i = 1, ..., n. If also $\mathbb{C} - \{b_1, b_2, ..., b_m\}$ has no superfluous elements and

$$b_j \in S(\mathbb{C} - \{b_1, b_2, ..., b_{j-1}\}), \quad j = 1, ..., m,$$

then $\mathbb{C} - \{a_1, a_2, ..., a_n\} \simeq \mathbb{C} - \{b_1, b_2, ..., b_m\}$.

Proof. We shall prove it by induction on *n*. If n = 1, then $m \ge 1$. Hence $a_1, b_1 \in S(\mathbb{C})$. By Lemma 1.3, we have $\mathbb{C} - \{a_1\} \simeq \mathbb{C} - \{b_1\}$. Now suppose the proposition is true for all k < n. If $\mathbb{C} - \{a_1\} \simeq \mathbb{C} - \{b_1\}$, then we are done by induction. If $a_1 \in S(\mathbb{C} - \{b_1\})$ and $b_1 \in S(\mathbb{C} - \{a_1\})$, then suppose $\mathbb{C} - \{a_1, b_1, x_1, ..., x_r\}$ has no superfluous elements, where

$$x_i \in S(\mathbb{C} - \{a_1, b_1, x_1, ..., x_{i-1}\}), \quad 1 \leq i \leq r.$$

By induction, r = n - 2, and we have

$$\mathbb{C} - \{a_1, ..., a_n\} \simeq \mathbb{C} - \{a_1, b_1, x_1, ..., x_r\}$$

$$\simeq \mathbb{C} - \{b_1, a_1, x_1, ..., x_r\}$$

$$\simeq \mathbb{C} - \{b_1, ..., b_m\}.$$

From Lemmas 1.1 and 1.2 it follows that $\operatorname{cd} \mathbb{C} \leq 1$ if and only if $\operatorname{cd} E(\mathbb{C}) \leq 1$. In particular, if $E(\mathbb{C}) = 1$, then $\operatorname{cd} \mathbb{C} \leq 1$. The converse is not true in general. For example, if \mathbb{C} is the following poset



(all arrows are going down), then $\operatorname{cd} \mathbb{C} \leq 1$. But $E(\mathbb{C}) = \mathbb{C} \neq 1$. However, we shall show that if \mathbb{C} has a terminal element, then the converse holds.

Let *M* be the set of all minimal elements of \mathbb{C} . For each $q \in \mathbb{C}$, define the poset $\mathbb{C}_q = \{p \in \mathbb{C} \mid p \leq q\}$ and the poset ${}_q\mathbb{C} = \{p \in C \mid p \geq q\}$. We shall denote the number of elements in a finite set *S* by #S.

LEMMA 1.5. Define n(q) inductively by the formula

$$\sum_{p\leqslant q} n(p) = \#(M\cap \mathbb{C}_q) - 1,$$

for all $q \in \mathbb{C}$. If $\operatorname{cd} \mathbb{C} \leq 1$, then $n(q) \geq 0$ for all q.

Proof. Consider the exact sequence (1). Since $\operatorname{cd} \mathbb{C} \leq 1$, K is projective. Hence there exists, for each $p \in \mathbb{C}$, a unique nonnegative integer m(p) such that

$$K=\bigoplus_{p\in\mathbb{C}}S_p(\mathbb{Z}^{m(p)}),$$

[6, Corollary 23.4]. Hence $K(q) = \bigoplus_{p \leq q} \mathbb{Z}^{m(p)}$ for all $q \in \mathbb{C}$. But from the exact sequence (1),

$$K(q) = \left(\bigoplus_{M \cap \mathbf{C}_q} \mathbb{Z} \right) / \mathbb{Z}.$$

Taking ranks, we see that $\sum_{p \leq q} m(p) = \#(M \cap \mathbb{C}_q) - 1$. Hence m(p) = n(p), and so the result follows.

Remark. The converse of the above lemma is not true. For example if \mathbb{C} is the poset



then n(1) = n(2) = n(3) = 0, n(4) = n(5) = 1 and n(6) = 0. But our main theorem will show (or one can show directly) that $\operatorname{cd} \mathbb{C} = 2$.

A poset \mathbb{C} is *initially finite* if \mathbb{C}_q is finite for all $q \in \mathbb{C}$. It is known [6, Corollary 23.6] that if \mathbb{C} is initially finite, then

$$\operatorname{cd}_{R} \mathbb{C} = \sup_{q} \operatorname{cd}_{R} \mathbb{C}_{q} \,. \tag{5}$$

LEMMA 1.6. Suppose that $\operatorname{cd} \mathbb{C} \leq 1$ and let $p \in \mathbb{C}$ be such that height p > 1. Then $n(p) \geq \#M_p$ where $M_p := \{m \mid m \text{ is minimal and } p \text{ covers } m\}$.

Proof. By (5), $\operatorname{cd} \mathbb{C}_p \leqslant \operatorname{cd} \mathbb{C}$. Hence $\operatorname{cd} \mathbb{C}_p \leqslant 1$. By Lemma 1.1,

 $cd(\mathbb{C}_p - M_p) \leq 1$, so the unique number n'(p)(with respect to $\mathbb{C}_p - M_p$) is nonnegative. Since height p > 1, $n(p) \geq \#M_p$ where n(p) is the unique number associated with p in Lemma 1.5 with respect to \mathbb{C}_p , hence \mathbb{C} .

LEMMA 1.7. Let \mathbb{C} be a finite poset with a terminal object. Then $\operatorname{cd} \mathbb{C} \leq 1$ if and only if $E(\mathbb{C}) = 1$.

Proof. One direction is clear as mentioned before. Suppose $\operatorname{cd} \mathbb{C} \leq 1$ and $E(C) \neq 1$. Then $E(\mathbb{C})$ has the property that each minimal element has at least two covers and that each element of height one has at least two cocovers. Let the set of all covers of the minimal elements be P and let the set of all such elements of height one be Q. Then, by Lemma 1.5,

$$#M-1 = \sum_{p \in \mathbb{C}} n(p) \ge \sum_{p \in P-Q} n(p) + \sum_{p \in Q} n(p).$$
(6)

Since elements of P - Q satisfies the condition of Lemma 1.6, $n(p) \ge #M_p \ge 1$, for all $p \in P - Q$. Also, as $p \in Q$ covers at least two minimal elements, $n(p) \ge 1$ according to Lemma 1.5. Hence, from (6), $\#M - 1 \ge #P \ge \#Q$. Using (6) again, we see that

$$egin{aligned} & \#M-1 \geqslant \sum\limits_{p\in P-Q} \#M_p + \sum\limits_{p\in Q} (\#M_p-1) \ & = \sum\limits_{p\in P-Q} \#M_p + \sum\limits_{p\in Q} \#M_p - \#Q. \end{aligned}$$

The first two terms must be greater than 2#M, as every element of M has at least two covers. Hence $\#M - 1 \ge 2\#M - \#Q$, so $\#M \le \#Q - 1 < \#Q$, a contradiction.

Combining Lemma 1.7 and (5) we deduce our main theorem:

THEOREM 1.8. Let \mathbb{C} be an initially finite poset. Then $\operatorname{cd} \mathbb{C} \leq 1$ if and only if $E(\mathbb{C}_q) = 1$ for all $q \in \mathbb{C}$.

Actually Theorem 1.8 is valid for arbitrary coefficient rings. To see this, we recall from [4] that an object $D \in Ab^{\mathbb{C}}$ is *split* if the morphism $\sum_{i \leq q} \operatorname{im}(D_{iq}) \to D(q)$ is a coretraction for each $q \in \mathbb{C}$, where D_{iq} is the morphism induced by $i \leq q$. Also D is *pointwise free* if D(q) is free for all $q \in \mathbb{C}$.

COROLLARY 1.9. Let \mathbb{C} be a finite poset and R any nonzero ring. Then $\operatorname{cd}_{R}\mathbb{C} \leq 1$ if and only if $E(\mathbb{C}_{q}) = 1$ for all $q \in \mathbb{C}$.

Proof. By the theorem it suffices to prove that $cd_R \mathbb{C} \leq 1$ if and only if $cd \mathbb{C} \leq 1$. One direction is clear, since $cd_R \mathbb{C} \leq cd \mathbb{C}$ is true for any small

category. Now suppose cd $\mathbb{C} \leq 1$. Tensoring the exact sequence (1) with R, we get an exact sequence

$$0 \to R \otimes K \to \bigoplus_{m \in \mathcal{M}} S_m(R) \to \Delta R \to 0$$

in (mod R)^C. By [4, Lemma 3.7], K is split and pointwise free. Since K is not projective, by [4, Theorem 3.4], $\operatorname{cd}_R \mathbb{C} = \operatorname{pd}_{\mathbb{C}} \Delta R \ge 2 + \operatorname{pd} R = 2$.

2. Applications

The *n*-crown $C_n (n \ge 2)$ is the poset



If we add an initial element and a terminal element to C_n , we obtain the suspended n-crown \hat{C}_n . Following Mitchell [6], we say that \mathbb{C} contains a (suspended) crown if it contains $C_n(\hat{C}_n)$ as a full subcategory for some $n \ge 2$ with the further condition in case n = 2 that there exists no $k \in \mathbb{C}$ such that $e_i < k < f_i$, i = 1, 2.

LEMMA 2.1. Let \mathbb{C} be a finite poset. Then $E(\mathbb{C})$ contains a crown if and only if $E(\mathbb{C}) \neq 1$.

Proof. If $E(\mathbb{C})$ contains a crown, then certainly $E(\mathbb{C}) \neq 1$. Conversely suppose $E(\mathbb{C}) \neq 1$. Choose a minimal element of $E(\mathbb{C})$, say e_1 . Let f_1 be a cover for e_1 . There exists a minimal element, say e_2 , such that $f_1 > e_2$ and $e_1 \neq e_2$. Let f_2 be a cover for e_2 distinct from f_1 . Continuing in this way, we obtain a diagram in $E(\mathbb{C})$ of form



where $e_{i+1} \neq e_i$, $f_{i+1} \neq f_i$, f_i is a cover of e_i , and e_i is minimal. Since $E(\mathbb{C})$ is finite, there exists $i, j, i \neq j$ such that $e_i = e_j$ or $f_i = f_j$. In either case, by

renumbering the subscripts, we obtain a (not necessarily full) subcategory (1) which satisfies the following:

- (1) e_i is minimal, i = 1, ..., n,
- (2) f_i is a cover for e_i , i = 1, ..., n,
- (3) $e_{i+1} \neq e_i$ and $f_{i+1} \neq f_i$ for all *i* (subscripts mod *n*).

Suppose *n* is the smallest integer for which such a subset (1) exists $(n \ge 2)$. If n > 2, then \mathbb{C} contains (1) as a full subcategory, for otherwise we would contradict the minimality of *n*. In case n = 2, if there exists $k \in \mathbb{C}$ such that $e_i < k < f_i$, i = 1, 2, then (2) is contradicted. Hence $E(\mathbb{C})$ contains a crown.

A poset \mathbb{C} is *locally finite* if ${}_{p}\mathbb{C}_{q} = \{x \in \mathbb{C} \mid p \leq x \leq q\}$ is finite for all $p, q \in \mathbb{C}$. The following theorem is due to Mitchell [4, Theorem 4.6]. Using Lemma 2.1, we shall simplify the proof of the more difficult of its implications.

THEOREM 2.2. Let \mathbb{C} be a locally finite poset, and let \mathscr{A} be any abelian category with finite global dimension. Then \mathbb{C} contains a suspended crown if and only if

gl dim
$$\mathscr{A}^{\mathbb{C}} \ge 3 + \operatorname{gl} \operatorname{dim} \mathscr{A}.$$
 (2)

Proof. The "only if" direction is easily proved using the fact that if \mathbb{C} contains a suspended crown, then it contains it as a retract [6, Lemma 35.6]. For the other direction, consider the exact sequence

$$0 \to K \to S_p(\mathbb{Z}) \to L_p(\mathbb{Z}) \to 0 \tag{3}$$

in Ab[©], where

$L_p(\mathbb{Z})(q) =$	\mathbb{Z}	if	Þ		q
	0	if	Þ	\neq	q.

Then

$$K(q) := \mathbb{Z}$$
 if $q > p$
= 0 otherwise,

and it follows that

$$\operatorname{cd}_{p}\mathbb{C}-\{p\}=\operatorname{pd} K.$$
(4)

But from (3) we have

$$\operatorname{pd} K = \operatorname{pd} L_p(\mathbb{Z}) - 1.$$
(5)

Now suppose (2) holds. By [4, Lemma 3.1], $\operatorname{pd} L_p(\mathbb{Z}) \ge 3$, for some $p \in \mathbb{C}$. Hence $\operatorname{cd}_p\mathbb{C} - \{p\} \ge 2$. Using Eq. (5) of Section 1, we see that there exists $q \in {}_{p}\mathbb{C} - \{p\}$ such that $\operatorname{cd}({}_{p}\mathbb{C} - \{p\})_{q} \ge 2$. Hence, by Lemma 2.1, $E({}_{p}\mathbb{C} - \{p\})_{q}$ contains a crown. Since it is easy to see that \mathbb{C} contains a crown whenever $E(\mathbb{C})$ does, $({}_{p}\mathbb{C} - \{p\})_{q}$ contains a crown, and therefore \mathbb{C} contains a suspended crown.

Define the *R*-homological dimension of \mathbb{C} by

$$\mathrm{hd}_{R}\mathbb{C} = \sup\{k \mid \mathrm{colim}^{k} \neq 0\}$$

where colim^k is the kth left derived functor of the colimit (direct limit) functor colim: (mod R)^{\mathbb{C}} \rightarrow mod R. Latch and Mitchell have shown [1] that if \mathbb{C} is a finite category, then $cd_R\mathbb{C}^{op} = hd_R\mathbb{C}$. Hence the main theorem gives a characterization of the finite posets \mathbb{C} such that $hd_R\mathbb{C} \leq 1$.

In contrast to the situation for cohomological dimension one, the class of finite posets \mathbb{C} satisfying $cd_R \mathbb{C} \leq 2$ depends on the ring R. Examples which are obtained by ordering the cells of certain cell complexes under the inclusion relation are presented in [6].

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