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# An algorithm for distinguishing efficiently bit-strings by their subsequences

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#### Abstract

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A linear on-h. algorithm for computing a shortest subsequence that distinguishes two different bit-strings is presented. The method is based on a special way of factorizing strings.

## **0. Introduction**

A string h divides a string u if it can be obtained from u by deleting zero or more symbols. If a string h divides u (resp. v) and does not divide v (resp. u) we say that h distinguishes u and v. The similarity of two strings u and v can be studied by comparing the strings they are divided by. For example several similarity measures are based on the computation of a longest string dividing u and v [2, 4, 5, 6]. One can also consider as a measure of similarity the greatest integer d(u, v) such that no string of length  $\leq d(u, v)$  can distinguish u and v. This paper is devoted to the computation of d(u, v).

Various algorithms have been proposed for this problem. Simon [7] presented an algorithm with time and space complexity O(|A| |uv|), where A is the alphabet. Unfortunately this algorithm is not on-line and requires a large pre-processing needing a lot of space. Another method uses the finite automaton which accepts the set of all the strings that divide a given string. This leads to an almost linear algorithm [1].

We present a new method based on a special factorization of u and v which we call the arch factorization. If u and v are bit-strings the arch factorization provides

an efficient linear algorithm to compute d(u, v). This algorithm is on-line and only requires a constant amount of extra space. Moreover the method gives the construction of a shortest string that distinguishes u and v.

#### 1. Basic definitions and notations

Given a finite set of symbols A, a string u is a finite sequence  $u(1) \dots u(n)$  of elements of A; the length of u is denoted by |u|. The empty string is denoted by  $\varepsilon$  and the set of all strings over A by  $A^*$ . By alph(u) we mean the set of symbols which occur in u. The concatenation of two strings u and v is denoted by u.v.

Given a string  $u(1) \dots u(n)$ , pref(u, i) and suf(u, i) denote respectively  $u(1) \dots u(i)$  and  $u(i+1) \dots u(n)$ . We have u = pref(u, i).suf(u, i).

A string h divides u if there exists a subsequence of  $u u(s(1)) \dots u(s(m))$  such that  $h = u(s(1)) \dots u(s(m))$ . s is said to be the first occurrence of h in u if for every subsequence  $u(t(1)) \dots u(t(m))$  such that  $h = u(t(1)) \dots u(t(m))$ , we have  $s(i) \le t(i) \ (1 \le i \le m)$ . A string h distinguishes two strings u and v if it divides one of them and does not divide the other. D(u, v) denotes the set of the shortest strings that distinguish u and v.

Given a string u and an integer l let  $S(u, l) = \{h \in A^* | h \text{ divides } u \text{ and } |h| \le l\}$ . Given two strings u and v, d(u, v) is defined by

 $d(u, v) = \begin{cases} \max\{l \mid S(u, l) = S(v, l)\} & \text{if } u \neq v, \\ \infty & \text{otherwise.} \end{cases}$ 

d(u, v) is the greatest integer such that no string of length  $\leq d(u, v)$  can distinguish u and v. One can show that  $\delta(u, v) = 2^{-d(u, v)}$  is an ultrametric distance [3]. If h is member of D(u, v) then |h| = d(u, v) + 1. The following sections deal with the computation of d(u, v) and D(u, v).

#### 2. Arch factorization

Our method is based on a special way of factorizing strings.

**Definition.** Let u be a string over A.  $u = ar_u(1) \dots ar_u(n) \cdot r(u)$  is the arch factorization of u if:

(i) for every  $I \in \{1, ..., n\}$ ,  $\operatorname{ar}_u(I) = c_u(I).u(p_u(I))$  with  $c_u(I) \in A^*$ ,  $p_u(I) \in \{1, ..., |u|\}$ ,  $\operatorname{alph}(c_u(I)) \neq A$  and  $\operatorname{alph}(\operatorname{ar}_u(I)) = A$ . (ii)  $\operatorname{alph}(r(u)) \neq A$ .

The strings  $ar_u(I)$  will be called the *archs* of *u* and r(u) the *rest*. The string  $u(p_u(1)).u(p_u(2))...u(p_u(n))$  will be called the *model* of *u* and denoted by m[u].

## Example

$A = \{0, 1, 2\},\$	u = 1022011210010210,
u = 102.201.1210.0102.10,	
$\operatorname{ar}_{u}(1)=102,$	$u(p_u(1)) = u(3) = 2,$
$ar_u(2) = 201,$	$u(p_u(2)) = u(6) = 1,$
$ar_u(3) = 1210,$	$u(p_u(3)) = u(10) = 0,$
$ar_u(4) = 0102,$	$u(p_u(4)) = u(14) = 2,$
r(u) = 10 and	m[u] = 2102.

We show that every string shorter than |m[u]| divides u and that it is always possible to construct a string of length |m[u]|+1 which does not divide u.

**Proposition 1.** Let u and h be two strings over A. If  $|h| \leq |m[u]|$  then h divides u.

**Proof.**  $\forall i \in \{1, \ldots, |h|\}, h(i) \text{ divides } ar_u(i). \square$ 

**Proposition 2.** Let u be a string over A and  $a \in A \setminus alph(r(u))$ . Then m[u]. a does not divide u.

**Proof.** We have  $m[u] = u(p_u(1)) \dots u(p_u(n))$ . The result follows from the fact that  $p_u$  is the first occurrence of m[u] in u.  $\Box$ 

The two following propositions show that the arch factorization provides an easy tool to compute d(u, v) when the models m[u] and m[v] have different lengths or alph(r(u)) is different from alph(r(v)). In both cases we have  $d(u, v) = \min(|m[u]|, |m[v]|)$ .

**Proposition 3.** Let u and v be two strings over A such that |m[u]| < |m[v]| and let  $a \in A \setminus alph(r(u))$ . Then d(u, v) = |m[u]| and  $m[u].a \in D(u, v)$ .

**Proof.** Let h be a string over A. If  $|h| \leq |m[u]|$ , h divides u and v. The string m[u].a does not divide u (Proposition 2), it divides v since  $|m[u].a| \leq |m[v]|$ .

**Proposition 4.** Let u and v be two strings over A such that |m[u]| = |m[v]| = n and  $alph(r(v)) \setminus alph(r(u)) \neq \emptyset$ . Let  $a \in alph(r(v)) \setminus alph(r(u))$ . Then d(u, v) = n and  $m[u].a \in D(u, v)$ .

**Proof.** Let h be a string over A. If  $|h| \leq |m[u]|$ , h divides u and v. The string m[u].a does not divide u, it divides v since m[u] divides  $\operatorname{ar}_v(1) \ldots \operatorname{ar}_v(n)$  and a divides r(v).  $\Box$ 

We must now show how to compute d(u, v) when |m[u]| = |m[v]| and alph(r(u)) = alph(r(v)). This will only be done for bit-strings.

## 3. The case of bit-strings

In this section u and v are strings over  $\{0, 1\}$ . We first examine the situation where |m[u]| = |m[v]|, alph(r(u)) = alph(r(v)) and  $m[u] \neq m[v]$ . The following proposition says that in this case d(u, v) = |m[u]| = |m[v]|. This result is proved by considering the greatest k such that  $u(p_u(k)) \neq v(p_v(k))$ . To make things more concrete let us examine the following examples:

(1) u = 01011100010 and v = 10001010100

$$u = 0 \ \underline{1}.$$
 0  $\underline{1}.$  1 1  $\underline{0}.$  0 0  $\underline{1}.$  0  $\underline{1}.$  0  $\underline{m[u]} = 1101,$   
 $v = \underline{1} \ 3.$  0 0  $\underline{1}.$  0  $\underline{1}.$  0  $\underline{1}.$  0 0  $\underline{m[v]} = 0111.$ 

We have k = 3. The string  $w = m[u] \cdot 1 = 11011$  divides v and does not divide u. The third arch enables w to run more quickly through u than through v.

(2) u = 001100 and v = 01010

 $u = 0 \ 0 \ \underline{1}. \ 1 \ \underline{0}. \ 0 \ \underline{m}[u] = 10,$  $v = 0 \ \underline{1}. \ \underline{0} \ \underline{1}. \ 0 \ \underline{m}[v] = 11.$ 

We have k = 2 = |m[u]| and m[u] = 101 distinguishes u and v.

**Proposition 5.** Let u and v be two strings over  $\{0, 1\}$  such that |m[u]| = |m[v]| = n, alph $(r(u)) = alph(r(v)) = \mathcal{R}$  and  $m[u] \neq m[v]$ . Then n > 0 and d(u, v) = n. Moreover if k  $(1 \le k \le n)$  is the greatest integer such that  $u(p_u(k)) \neq v(p_v(k))$  we have:

- (i) if k < n and  $a \in \{0, 1\} \setminus \mathcal{R}$ :
- either  $u(p_u(k)) \neq u(p_u(k+1))$  and  $m[u].a \in D(u, v)$ ,
- or  $v(p_v(k)) \neq v(p_v(k+1))$  and  $m[v].a \in D(u, v)$ . (ii) if k = n:
- either  $v(p_v(n)) \notin \mathcal{R}$  and  $m[u].v(p_v(n)) \in D(u, v)$ ,
- or  $u(p_u(n)) \notin \mathcal{R}$  and  $m[v].u(p_u(n)) \in D(u, v)$ .

**Proof.** (i) k < n. We have  $u(p_u(k)) \neq v(p_v(k))$  and  $u(p_u(k+1)) = v(p_v(k+1))$ . Suppose  $u(p_u(k)) = 0$ ,  $v(p_v(k)) = 1$ ,  $u(p_u(k+1)) = 1$  and  $v(p_v(k+1)) = 1$ . The string m[u].a distinguishes u and v. It does not divide u (Proposition 2). pref(m[u], k-1) divides  $ar_v(1) \dots ar_v(k-1)$  (Proposition 1),  $u(p_u(k)).u(p_u(k+1))$  divides  $ar_v(k)$  since  $ar_v(k) = 0^q 1(q > 0)$ , and suf(m[u], k+1).a divides  $ar_v(k+1) \dots ar_v(n)$  (Proposition 1). Consequently m[u].a divides v.

(ii) k = n. We may suppose  $u(p_u(n)) = 0$  and  $v(p_v(n)) = 1$ . Either  $u(p_u(n))$  or  $v(p_v(n))$  does not belong to  $\mathcal{R}$ . Suppose  $v(p_v(n))$  does not belong to  $\mathcal{R}$ . The string  $m[u].v(p_v(n))$  distinguishes u and v. It does not divide u (Proposition 2).

pref(m[u], n-1) divides  $\operatorname{ar}_{v}(1) \dots \operatorname{ar}_{v}(n-1)$  (Proposition 1), and  $u(p_{u}(n)).v(p_{v}(n))$  divides  $\operatorname{ar}_{v}(n)$  since  $\operatorname{ar}_{v}(n) = 0^{q}.1$  (q > 0). Therefore  $m[u].v(p_{v}(n))$  divides v.  $\Box$ 

**Remark.** Note that Proposition 5 does not hold if card(A) > 2. For example when  $A = \{0, 1, 2\}, u = 20101012$  and v = 21010102 we have |m[u]| = |m[v]| = 2, m[u] = 12, m[v] = 02, but d(u, v) = 3 (S(u, 3) = S(v, 3) and 1110 distinguishes u and v).

In order to study the only case that has not yet been considered, namely m[u] = m[v] and alph(r(u)) = alph(r(v)), we need some new definitions and notations.

**Definition.** Given two bit-strings u and v such that |m[u]| = |m[v]| = n with n > 0and  $|\operatorname{ar}_u(I)| < |\operatorname{ar}_v(I)|$  for some  $I \in \{1, \ldots, n\}$ , disting(I) is the string:  $\operatorname{pref}(m[u], I-1).c_u(I).m[\operatorname{suf}(u, p_u(I)-1)].a$  with  $a \in \{0, 1\} \setminus \operatorname{alph}(u(|u|))$ .

#### Example

$$u = 110.01.1110.1, \quad m[u] = 010, \operatorname{alph}(r(u)) = \{1\},$$

$$v = 1110.01.110.11, \quad m[v] = 010, \operatorname{alph}(r(v)) = \{1\},$$

$$|\operatorname{ar}_{u}(1)| < |\operatorname{ar}_{v}(1)|, \quad \operatorname{pref}(m[u], 0) = \varepsilon, c_{u}(1) = 11,$$

$$m[\operatorname{suf}(u, 2)] = m[00111101] = 10, a = 0,$$

$$\operatorname{disting}(1) = 11100.$$

$$|\operatorname{ar}_{v}(3)| < |\operatorname{ar}_{u}(3)|, \quad \operatorname{pref}(m[v], 2) = 01, c_{v}(3) = 11,$$

$$m[\operatorname{suf}(v, 9)] = m[011] = 1, a = 0,$$

$$\operatorname{disting}(3) = 011110.$$

We shall see (Proposition 9) that disting(I) distinguishes u and v. In order to prove this result we must first thoroughly examine the string  $m[suf(u, p_u(I)-1)]$ . The following lemma shows that its properties depend on whether conditions  $u(p_u(I)-1) \neq u(p_u(I+1)-1)$  and  $|ar_u(I+1)| > 2$  hold.

**Lemma 6.** Let u be a string over  $\{0, 1\}$  such that |m[u]| = n and n > 0. Let I < n.

- (i) If  $u(p_u(n)-1) \notin alph(r(u))$  then  $m[suf(u, p_u(n)-1)] = \varepsilon$ .
- (ii) If  $u(p_u(n)-1) \in alph(r(u))$  then  $m[suf(u, p_u(n)-1)] = u(p_u(n)-1)$ .
- (iii) If  $u(p_u(I)-1) \neq u(p_u(I+1)-1)$  then

$$m[suf(u, p_u(I) - 1)] = u(p_u(I) - 1).m[suf(u, p_u(I + 1))].$$

(iv) If 
$$u(p_u(I)-1) = u(p_u(I+1)-1)$$
 and  $|ar_u(I+1)| = 2$  then

$$m[suf(u, p_u(I) - 1)] = u(p_u(I) - 1).m[suf(u, p_u(I + 1) - 1)].$$

(v) If  $u(p_u(I)-1) = u(p_u(I+1)-1)$  and  $|ar_u(I+1)| > 2$  then

 $m[suf(u, p_u(I) - 1)] = u(p_u(I) - 1).u(p_u(I)).m[suf(u, p_u(I + 1))].$ 

**Proof.** (i) Suppose  $u(p_u(n)-1)=0$ . If  $u(p_u(n)-1) \notin alph(r(u))$  then  $suf(u, p_u(n)-1)=1^k(k>0)$ , and  $m[suf(u, p_u(n)-1)]=\varepsilon$ .

(ii) Suppose  $u(p_u(n)-1) = 0$ . If  $u(p_u(n)-1) \in alph(r(u))$  then  $suf(u, p_u(n)-1) = 10^k (k>0)$ , and  $m[suf(u, p_u(n)-1)] = 0$ .

(iii) Suppose  $u(p_u(I)-1) = 0$  and  $u(p_u(I+1)-1) = 1$ . We have  $u(p_u(I)) = 1$ ,  $ar_u(I+1) = 1^k 0(k>0)$ , and  $suf(u, p_u(I)-1) = 1^{k+1} 0.suf(u, p_u(I+1))$ . Then  $m[suf(u, p_u(I)-1)] = 0.m[suf(u, p_u(I+1))]$ .

(iv) Suppose  $u(p_u(I)-1) = u(p_u(I+1)-1) = 0$ . Then  $ar_u(I+1) = 01$ , suf $(u, p_u(I)-1) = 10$ .suf $(u, p_u(I+1)-1)$  and

 $m[suf(u, p_u(I) - 1)] = 0.m[suf(u, p_u(I + 1) - 1)].$ 

(v) Suppose  $u(p_u(I)-1) = u(p_u(I+1)-1) = 0$ . Then  $ar_u(I+1) = 00^k 1$  (k>0),  $suf(u, p_u(I)-1) = 100^k 1.suf(u, p_u(I+1))$  and

$$m[suf(u, p_u(I) - 1)] = 01.m[suf(u, p_u(I + 1) - 1]].$$

**Examples.** Here I = 1,  $p_u(I) - 1 = 2$ ,  $u(p_u(I) - 1) = 0$  and  $w \in \{0, 1\}^*$ .

- (i)  $u = 001, m[suf(u, 2)] = m[1] = \varepsilon; u = 001.1, m[suf(u, 2)] = m[11] = \varepsilon.$
- (ii) u = 001.0, m[suf(u, 2)] = m[10] = 0.
- (iii) u = 001.110.w, m[suf(u, 2)] = m[1110.w] = 0.m[w].
- (iv) u = 001.01.w, m[suf(u, 2)] = m[101.w] = 0.m[1.w].
- (v) u = 001.001.w, m[suf(u, 2)] = m[1001.w] = 01.m[w].

Notations. Given  $I \in \{1, ..., |m[u]|\}$ ,  $F_u(I)$  (resp.  $G_u(I)$ ) denotes the smallest J such that J > I and  $u(p_u(J)-1) \neq u(p_u(I)-1)$  (resp.  $|ar_u(J)| > 2$ ). For every  $I \in \{1, ..., |m[u]|\}$ , let  $\mathcal{F}_u(I) = \{I < J \le |m[u]| / u(p_u(J)-1) \neq u(p_u(I)-1)\}$  and  $\mathcal{G}_u(I) = \{I < J \le |m[u]| / |ar_u(J)| > 2\}$ . If  $\mathcal{F}_u(I) \neq \emptyset$  then  $F_u(I) = \min \mathcal{F}_u(I)$  otherwise  $F_u(I) = \infty$ , if  $\mathcal{G}_u(I) \neq \emptyset$  then  $G_u(I) = \min \mathcal{G}_u(I)$  otherwise  $G_u(I) = \infty$ .

## Example

$$u = 01.001.110.10.0$$
  
 $F_u(1) = 3, \quad G_u(1) = 2, \quad F_u(2) = 3, \quad G_u(2) = 3,$   
 $F_u(3) = G_u(3) = \infty, \quad F_u(4) = G_u(4) = \infty.$ 

The next lemma shows that  $m[suf(u, p_u(I) - 1)]$  can be written  $a^p b^q$ .suf(m[u], K) with  $a, b \in \{0, 1\}$  and  $a = u(p_u(I) - 1)$ . The values of p, q and K depend on  $F_u(I)$  and  $G_u(I)$ . Let us see that first on examples.

Here I = 1,  $p_u(1) - 1 = 1$  and  $u(p_u(1) - 1) = 0$ : (1) u = 01.01.110.w,  $F_u(1) = G_u(1) = 3$ . We have  $F_u(1) \le G_u(1)$  and  $m[suf(u, p_u(1) - 1)] = m[10.1110.w] = 0^2.m[w] = 0^2.suf(m[u], 3)$ . (2) u = 01.01.001.w,  $G_u(1) = 3$ ,  $F_u(1) > 3$ . We have  $G_u(1) < F_u(1)$  and

$$m[suf(u, p_u(1) - 1)] = m[10.10.01.w] = 0^2 1.suf(m[u], 3)$$

(3) u = 01.01.111,

 $F_u(1) = G_u(1) = \infty$  and  $u(p_u(1)-1) \notin alph(r(u)),$  $m[suf(u, p_u(1)-1)] = m[10.1111] = 0.$ 

(4) u = 01.01.000,

 $F_u(1) = G_u(1) = \infty$  and  $u(p_u(1)-1) \in alph(r(u)),$ 

 $m[suf(u, p_u(1) - 1)] = m[10.10.00] = 0^2.$ 

**Lemma 7.** Let u be a string over  $\{0, 1\}$  such that |m[u]| = n and n > 0. Let  $I \in \{1, ..., n\}$  and  $a = u(p_u(I) - 1)$ .

(i) If  $F_u(I) \neq \infty$  and  $F_u(I) \leq G_u(I)$  then

 $m[suf(u, p_u(I)-1)] = a^{J-I}suf(m[u], J)$  where  $J = F_u(I)$ .

- (ii) If  $G_u(I) < F_u(I)$  then  $m[suf(u, p_u(I) 1)] = a^{J-I}b.suf(m[u], J)$  where  $J = G_u(I)$  and  $b = u(p_u(I))$ .
- (iii) If  $F_u(I) = G_u(I) = \infty$  and  $a \notin alph(r(u))$  then  $m[suf(u, p_u(I) 1)] = a^{n-1}$ .
- (iv) If  $F_u(I) = G_u(I) = \infty$  and  $a \in alph(r(u))$  then  $m[suf(u, p_u(I) 1)] = a^{n-l+1}$ .

#### Proof

(i) 
$$m[suf(u, p_u(I) - 1)] = a^{J-I-1} \cdot m[suf(u, p_u(J-1) - 1] (Lemma 6(iv))$$
  
 $= a^{J-I} \cdot m[suf(u, p_u(J))]$  (Lemma 6(iii))  
 $= a^{J-I} \cdot suf(m[u], J),$   
(iii)  $\int d^{-I-1} \cdot \int d^{-I-1} \int d^{-I} d^{-I-1} \cdot \int d^{-I-1} d^{-I-1} \cdot \int d^{-I-1} d^{-I-1} \cdot \int d^{-I-1}$ 

(ii) 
$$m[suf(u, p_u(I) - 1)] = a^{J-I-1} \cdot m[suf(u, p_u(J-1) - 1)]$$
 (Lemma 6(iv))  
=  $a^{J-I}b \cdot m[suf(u, p_u(J))]$  (Lemma 6(v))  
=  $a^{J-I}b \cdot suf(m[u], J)$ ,

(iii) 
$$m[suf(u, p_u(I) - 1)] = a^{n-1} m[suf(u, p_u(n) - 1)]$$
 (Lemma 6(iv))  
=  $a^{n-1}$ , (Lemma 6(i))

(iv) 
$$m[suf(u, p_u(I) - 1)] = a^{n-I} \cdot m[suf(u, p_u(n) - 1)]$$
 (Lemma 6(iv))  
=  $a^{n-I+1}$  (Lemma 6(ii)).

**Definition.** Given two bit-strings u and v such that |m[u]| = |m[v]| = n with n > 0and  $|ar_u(I)| < |ar_v(I)|$  for some  $I \in \{1, ..., n\}$ , we say that arch I satisfies  $\mathcal{P}$  if  $[F_u(I) \neq \infty$  and  $F_u(I) \leq G_u(I)]$  or  $[F_u(I) = G_u(I) = \infty$  and  $u(p_u(I) - 1) \notin$ alph(r(u))]. As a consequence of Lemma 7 the length of disting(I) can be easily calculated.

**Proposition 8.** Let u and v be two bit-strings such that |m[u]| = |m[v]| = n with n > 0and  $|ar_u(I)| < |ar_v(I)|$  for some  $I \in \{1, ..., n\}$ . Then

$$|\text{disting}(I)| = \begin{cases} n + |\text{ar}_u(I)| - 1 & \text{if arch I satisfies } \mathcal{P}, \\ n + |\text{ar}_u(I)| & \text{otherwise.} \end{cases}$$

Proof

$$|\operatorname{disting}(I)| = |\operatorname{pref}(m[u], I-1)| + |c_u(I)| + |m[\operatorname{suf}(u, p_u(I)-1)]| + 1$$
$$= I - 1 + |\operatorname{ar}_u(I)| - 1 + |m[\operatorname{suf}(u, p_u(I)-1)]| + 1.$$

It follows from Lemma 7 that  $|m[suf(u, p_u(I) - 1)]| = n - I$  if arch I satisfies  $\mathscr{P}$  and  $|m[suf(u, p_u(I) - 1)]| = n - I + 1$  otherwise.  $\Box$ 

The next proposition shows that two bit-strings u and v such that m[u] = m[v]and alph(r(u)) = alph(r(v)), are distinguished by disting(I). Consider the following example:

u = 110.01.1110.1,	$m[u] = 010$ , $alph(r(u)) = \{1\}$ ,
<i>v</i> = 1110.01.110.11,	$m[v] = 010$ , $alph(r(v)) = \{1\}$ ,
$ \operatorname{ar}_u(1)  <  \operatorname{ar}_v(1) ,$	disting(1) = 11100 divides $v$ and does not divide $u$ :
	$u = \underline{1} \ \underline{1} \ 0 \ . \ 0 \ \underline{1} \ . \ 1 \ 1 \ \underline{1} \ \underline{0} \ . \ 1 \ \underline{1}$
	$v = \underline{1}  \underline{1}  \underline{1}  \underline{0}  .  \underline{0}  1  .  1  1  0  .  1  1$
$ \operatorname{ar}_{v}(3)  <  \operatorname{ar}_{u}(3) ,$	disting(3) = 011110 divides $u$ and does not divide $v$ :
	$u=1 \ 1 \ \underline{0} \ . \ 0 \ \underline{1} \ . \ \underline{1} \ \underline{1} \ \underline{1} \ \underline{0} \ . \ 1$
	$v = 1 \ 1 \ 1 \ \underline{0} \ . \ 0 \ \underline{1} \ . \ \underline{1} \ \underline{1} \ 0 \ . \ \underline{1} \ 1 \ \underline{1}$

Notice that u and v can also be distinguished by their rests provided that  $|r(u)| \neq |r(v)|$ . In the above example we have |r(u)| < |r(v)| and the string m[u].r(u).1 = 01011 divides v but does not divide u.

**Proposition 9.** Let u and v be strings over  $\{0, 1\}$  such that m[u] = m[v] and alph(r(u)) = alph(r(v)). Let n = |m[u]| = m|[v]|.

(i) If n > 0 and there exists  $I \in \{1, ..., n\}$  such that  $|ar_u(I)| < |ar_v(I)|$ , then disting(I) divides v but does not divide u.

(ii) If |r(u)| < |r(v)| and  $a \in alph(r(v))$ , then m[u].r(u).a divides v but does not divide u.

**Proof.** (i) disting(I) = pref(m[u], I-1). $c_u(I)$ .m[suf( $u, p_u(I)-1$ )].a with  $a \in \{0, 1\} \setminus alph(u(|u|))$ . It follows from Proposition 2 that disting(I) does not divide u since  $a \in \{0, 1\} \setminus alph(r(suf(u, p_u(I)-1)))$ . Let us show that disting(I) divides v. We can suppose  $c_u(I) = 0^k$ ,  $ar_u(I) = 0^{k+1}$  and  $ar_v(I) = 0^{k+1}$  with l > 0. We have pref( $v, p_v(I-1)+k$ ) =  $ar_v(1) \dots ar_v(I-1).c_u(I)$  and therefore pref(m[u], I-1). $c_u(I)$  divides pref( $v, p_v(I-1)+k$ ). We must now show that  $m[suf(u, p_u(I)-1)].a$  divides suf( $v, p_v(I-1)+k$ ). Three cases must be considered.

Case 1. arch I satisfies  $\mathcal{P}$ . It follows from Lemma 7(i) and (iii) that

$$|m[suf(u, p_u(I) - 1)].a| = n - I + 1.|m[suf(v, p_v(I - 1) + k)]|$$
  
=  $|m[0^{I}1.suf(v, p_v(I))]| = |1.m[suf(v, p_v(I))]|$   
=  $|1.suf(m[v], I)| = n - I + 1.$ 

Then  $m[suf(u, p_u(I)-1)].a$  divides  $suf(v, p_v(I-1)+k)$  (Proposition 1). Case 2.  $G_u(I) < F_u(I)$ . It follows from Lemma 7(ii) that

$$m[suf(u, p_u(I) - 1)].a = 0^{J-I}1.suf(m[u], J).a$$

with

$$J = G_u(I).suf(v, p_v(I-1)+k) = 0'1.ar_v(I+1)...ar_v(J-1).suf(v, p_v(J-1)).$$

For every  $K \in \{I+1, ..., J-1\}$ ,  $ar_u(K) = 01$  and  $ar_v(K)$  has the form  $0^q 1$  (q>0)since m[u] = m[v]. Then  $0^{J-l} 1$  divides  $0^l 1.ar_v(I+1)...ar_v(J-1)$ . It follows from Propose on 1 that suf(m[u], J).a divides  $suf(v, p_v(J-1))$  since |suf(m[u], J).a| = n - J + 1 and  $|r_v[suf(v, p_v(J-1))]| = |suf(m[v], J-1)| = n - J + 1$ .

Case 3.  $F_{n}(I) = G_{u}(I) = \infty$  and  $u(p_{u}(I)-1) \in alph(r(u))$ . It follows from Lemma 7(iv) that  $m[suf(u, p_{u}(I)-1)].a = 0^{n-l+1}.a$ . Since  $u(p_{u}(I)-1) \in alph(r(u))$  we have

$$alph(r(u)) = alph(r(v)) = \{0\},\$$

and

$$suf(v, p_v(I-1)+k) = 0^l 1.ar_v(I+1) \dots ar_v(n-1).0^q 10^r$$

with q > 0 and r > 0. The string  $0^{n-l+1}$  divides  $0^l 1.ar_v(l+1) \dots ar_v(n-1).0^q$  and a divides 10<sup>r</sup>.

(ii) We have  $r(v) = r(u).a^k$  with k > 0. It is readily seen that m[u].r(u).a does not divide u. It divides v since m[u] = m[v].  $\Box$ 

Given u and v  $(u \neq v)$  such that m[u] = m[v] and alph(r(u)) = alph(r(v)) we now prove that either there exists an arch I such that disting(I) belongs to D(u, v), or D(u, v) contains a string which distinguishes u and v by their rests. **Proposition 10.** Let u and v be two different strings over  $\{0, 1\}$  such that m[u] = m[v]and  $alph(r(u)) = alph(r(v)) = \mathcal{R}$ . Let n = |m[u]| = |m[v]|. At least one of the following conditions holds.

(i) n > 0 and there exists  $I \in \{1, ..., n\}$  such that  $|ar_u(I)| \neq |ar_v(I)|$ , disting(I) belongs to D(u, v) and d(u, v) = |disting(I)| - 1.

(ii)  $|r(u)| \neq |r(v)|$  and  $d(u, v) = n + \min(|r(u)|, |r(v)|)$ . If |r(u)| < |r(v)| then  $m[u].r(u).a \in D(u, v)$  otherwise  $m[v].r(v).a \in D(u, v)$ , with  $a \in \mathcal{R}$ .

**Proof.** Let  $h \in D(u, v)$ . Necessarily  $|h| \ge 2$ . The string  $h(1) \dots h(|h|-1)$  divides u and v. Let s (resp. t) be the *first occurrence* of  $h(1) \dots h(|h|-1)$  in u (resp. v);  $h(1) \dots h(|h|-1) = u(s(1)) \dots u(s(|h|-1)) = v(t(1)) \dots v(t(|h|-1))$ . For every  $I \in \{1, \dots, n\}$  let N(u, I, h), N(v, I, h), R(u, h) and R(v, h) denote the following sets:

$$N(u, I, h) = \{s(1), \dots, s(|h|-1)\} \cap \{p_u(I-1)+1, \dots, p_u(I)\},\$$

$$N(v, I, h) = \{t(1), \dots, t(|h|-1)\} \cap \{p_v(I-1)+1, \dots, p_v(I)\},\$$

$$R(u, h) = \{s(1), \dots, s(|h|-1)\} \cap \{p_u(n)+1, \dots, |u|\},\$$

$$R(v, h) = \{t(1), \dots, t(|h|-1)\} \cap \{p_v(n)+1, \dots, |v|\}.$$

Card(N(u, I, h)) (resp. Card(R(u, h))) indicates how many times  $h(1) \dots h(|h|-1)$ "touches" the Ith arch (resp. the rest) of u.

Case 1. n = 0 or [n > 0 and  $\forall I \in \{1, ..., n\}$ , Card(N(u, I, h)) = Card(N(v, I, h))]. We have card(R(u, h)) = card(R(v, h)). R(u, h) and R(v, h) are not empty, otherwise h would not distinguish u and v. Let  $R(u, h) = \{s(r), \ldots, s(|h|-1)\}$  and  $R(v, h) = \{t(r), \ldots, t(|h|-1)\}$ . The string  $h(1) \ldots h(r-1)$  divides pref $(u, p_u(n))$  and  $pref(v, p_v(n))$ . The string  $h(1) \dots h(r)$  divides neither  $pref(u, p_u(n))$  nor  $pref(v, p_v(n))$  because s and t are first occurrences. Suppose h divides v and does not divide u. Then  $h(r) \dots h(|h|)$  divides r(v). If we had  $|r(u)| \ge |r(v)|$ ,  $h(r) \dots h(|h|)$ would divide r(u) and h would divide u. Hence |r(u)| < |r(v)|. Let  $a \in \mathcal{R}$ . We have  $r(v) = r(u) \cdot a^{q}(q > 0)$  and  $h(r) \cdot h(|h|) = r(u) \cdot a$ . Since  $h(1) \cdot h(r)$  does not divide  $pref(u, p_u(n))$  we have  $r > |m[pref(u, p_u(n))]|$ (Proposition 1). Now  $m[\operatorname{pref}(u, p_u(n))] = m[u]$ , then r > |m[u]| and  $|h| \ge |m[u].r(u).a|$ . It follows from Proposition 9(ii) that m(u).r(u).a distinguishes u and v. Then  $m(u).r(u).a \in D(u, v)$ since  $h \in D(u, v)$ , and condition (ii) holds.

Case 2. n > 0 and  $\{I \in \{1, ..., n\} | Card(N(u, I, h)) \neq Card(N(v, I, h))\} \neq \emptyset$ . Let  $J = \min\{I \in \{1, ..., n\} | Card(N(u, I, h)) \neq Card(N(v, I, h))\}, N(u, J, h) = \{s(r), ..., s(r+p)\}$  and  $N(v, J, h) = \{t(r), ..., t(r+q)\}$ . The string h(1) ... h(r-1) divides pref $(u, p_u(J-1))$  and pref $(v, p_v(J-1))$ ; h(1) ... h(r) divides neither pref $(u, p_u(J-1))$  nor pref $(v, p_v(J-1))$  since s and t are first occurrences. Suppose p < q. Then h(r) ... h(r+p+1) divides  $ar_v(J)$  but does not divide  $ar_u(J) | < |ar_v(J)|$ ,  $h(r) ... h(r+p) = c_u(J)$ ,  $s(r+p) = p_u(J) - 1$  and  $t(r+p) \leq p_v(J) - 2$ .

disting(J) = pref(
$$m[u], J-1$$
). $c_u(J).m[suf(u, p_u(J)-1)].a$ 

with  $a \in \{0, 1\} \setminus alph(u(|u|))$ . It follows from Proposition 9 that disting(J) distinguishes u and v. We show that  $|h| \ge |disting(J)|$ .

(a) The string  $h(1) \dots h(r)$  does not divide  $\operatorname{pref}(u, p_u(J-1))$ . Now  $|m[\operatorname{pref}(u, p_u(J-1))]| = |\operatorname{pref}(m[u], J-1)| = J-1$ . Therefore  $r-1 \ge J-1$  (Proposition 1).

(b) Let us show now that  $|h(r+p+1) \dots h(|h|)| > |m[\operatorname{suf}(u, p_u(J)-1)]|$ : If h does not divide u then  $h(r+p+1) \dots h(|h|)$  does not divide  $\operatorname{suf}(u, p_u(J)-1)$ , and therefore  $|h(r+p+1) \dots h(|h|)| > |m[\operatorname{suf}(u, p_u(J)-1)]|$  (Proposition 1). If h divides u then it does not divide v and  $h(r+p+1) \dots h(|h|)$  does not divide  $\operatorname{suf}(v, t(r+p))$ . Then  $|h(r+p+1) \dots h(|h|)| > |m[\operatorname{suf}(v, t(r+p))]|$  (Proposition 1). We have

$$m[\operatorname{suf}(v, t(r+p))] = m[\operatorname{suf}(v, p_v(J-1))]$$

since

$$t(r+p) \le p_v(J) - 2.$$
  
 $m[suf(v, p_v(J-1))] = m[suf(u, p_u(J-1))]$ 

since

$$m[u] = m[v].$$

Now

$$|m[suf(u, p_u(J-1))]| \ge |m[suf(u, p_u(J)-1)]|,$$

thus

$$|h(r+p+1)...h(|h|)| > |m[suf(u, p_u(J)-1)]|.$$

Finally we have  $r-1 \ge J-1$ ,  $h(r) \dots h(r+p) = c_u(J)$  and  $|h(r+p+1) \dots h(|h|)| \ge |m[suf(u, p_u(J)-1)].a|$ . Thus  $|h| \ge disting(J)|$ ,  $disting(J) \in D(u, v)$  and condition (i) holds.  $\Box$ 

### 4. Algorithm

In this section u and v are bit-strings. From the above propositions we obtain a linear on-line algorithm which computes d(u, v). It only requires one reading of u and v and a constant amount of extra space. Let

$$n = \min(|m[u]|, |m[v]|), \quad r = \min(|r(u)|, |r(v)|),$$
  

$$Diff = \{I \in \{1, ..., n\} | |ar_u(I)| \neq |ar_v(I)|\},$$
  

$$M = \{I \in Diff | \forall J \in Diff, \min(|ar_u(I)|, |ar_v(I)|) \leq \min(|ar_u(J)|, |ar_v(J)|)\},$$
  

$$lmin = \min(|ar_u(I)|, |ar_v(I)|) \quad \text{with } I \in M,$$
  

$$P = \{I \in M | \text{arch } I \text{ satisfies } \mathcal{P}\}.$$

We can summarize the results of the preceding sections by the following functional statement:

$$d(u, v) \equiv$$
  
if  $(m[u] \neq m[v]$  or  $alph(r(u)) \neq alph(r(v))$ ) then *n* (Propositions 3, 4, 5)  
else if Diff = Ø then (if  $|r(u)| = |r(v)|$  then  $\infty$  else  $n + r$ ) (Proposition 10)  
else if  $(|r(u)| \neq |r(v)|$  and  $r \leq lmin - 2$ ) then  $n + r$  (Propositions 8, 10)  
else if  $P \neq \emptyset$  then  $n + lmin - 2$  (Propositions 8, 10)  
else  $n + lmin - 1$ . (Propositions 8, 10)

Examples. (i) u = 110.01.1110.1, v = 1110.01.110.11, m[u] = m[v] = 010, alph(r(u)) = 010 $alph(r(v)) = \{1\}, n = 3, r = 1, Diff = \{1, 3\}, M = \{1, 3\}, lmin = 3, r \le lmin - 2, d(u, v) = \{1, 3\}, lmin = 1, r \le lmin - 2, l$ n+r=4,  $m[u].r(u).1=01011 \in D(u, v)$ .

(ii) u = 110.01.1110.11, v = 1110.01.110.111, m[u] = m[v] = 010, alph(r(u)) = 010 $alph(r(v)) = \{1\}, n = 3, r = 2, Diff = \{1, 3\}, M = \{1, 3\}, Imin = 3, r > Imin - 2, P = \{1\}, n = 1, n = 1,$  $d(u, v) = n + 1\min - 2 = 4$ , disting(1) = 11100  $\in D(u, v)$ .

(iii) u = 10.01.001.10.00, v = 10.001.0001.10.0, m[u] = m[v] = 0110, alph(r(u)) = $alph(r(v)) = \{0\}, n = 4, r = 1, Diff = \{2, 3\}, M = \{2\}, lmin = 2, r > lmin - 2, P = \emptyset$ d(u, v) = n + lmin - 1 = 5, disting(2) = 000101  $\in D(u, v)$ .

In order to compute d(u, v) we must merely calculate n, r, lmin, and determine whether m[u] = m[v], alph(r(u)) = alph(r(v)), |r(u)| = |r(v)|,  $Diff \neq \emptyset$  and  $P \neq \emptyset$ . This is done by the following algorithm.

The algorithm uses the procedure NEXTARCH. When NEXTARCH(u) is called it reads the next arch of u. If  $a^k b$  (k > 0) is read, the variables bit-arch[u], length- $\operatorname{arch}[u]$  and bool-arch[u] are respectively bound to a, k+1 and true. If the rest  $a^k$  $(k \ge 0)$  is read the variables bit-arch[u], length-arch[u] and bool-arch[u] are respectively bound to a, k and false (if k = 0 the value of bit-arch[u] is indeterminate). Notice that procedure NEXTARCH can be implemented in such a way that it only needs a constant memory. The algorithm runs through u and v using NEXTARCH.

The variable lmin is initially bound to  $\infty$ . If immediately after arch I has been read the conditions min(length-arch[u], length-arch[v]) < lmin and length-arch[u]  $\neq$ length-arch[v] hold, the value of lmin is changed by the assignment lmin := $\min(\operatorname{length}-\operatorname{arch}[u],\operatorname{length}-\operatorname{arch}[v])$ . In order to determine whether arch I satisfies  $\mathcal{P}$  we use the variables inf, bit and p which are updated by calling procedure UPDATE. Each time UPDATE is called the following assignments are performed: if length-arch[u] < length-arch[v] then inf := u else inf := v, bit := bit-arch[inf] and p := ind (indeterminate). The value of p remains "ind" until it can be decided whether arch I satisfies  $\mathcal{P}$ , "true" (resp. "false") is then assigned to p if arch I does satisfy  $\mathcal{P}$  (resp. does not satisfy  $\mathcal{P}$ ). This is done by procedure COMPUTE\_P which compares for each arch J > I the current value of bit-arch[inf] with that of bit, and tests whether length-arch[inf]>2.

.

The procedure UPDATE is also called when the value of p is false and conditions min(length-arch[u],length-arch[v]) = lmin and length-arch[u]  $\neq$  length-arch[v] hold, because even if lmin is not changed, the values of inf and bit might be different and p might become true.

When the algorithm terminates we obtain the following bindings.

- n is bound to  $\min(|m[u]|, |m[v]|)$ ,
- bool-m is bound to the boolean value of m[u] = m[v].
   If m[u] = m[v] then:
- r is bound to  $\min(|r(u)|, |r(v)|)$ ,
- bool-rl is bound to the value of |r(u)| = |r(v)|,
- bool-r is bound to the value of alph(r(u)) = alph(r(v)),
- bool-Diff is bound to the value of  $\text{Diff} \neq \emptyset$ , If m[u] = m[v] and  $\text{Diff} \neq \emptyset$  then:
- Imin is bound to min( $|ar_u(I)|, |ar_v(I)|$ ) with  $I \in M$ ,
- p is bound to the value of  $P \neq \emptyset$ .

# procedure UPDATE;

## begin

```
if length-arch[u] < length-arch[v] then inf := u else inf := v;
bit := bit-arch[inf]; p := ind
```

end; {UPDATE}

```
procedure COMPUTE_P;
```

# begin

```
if bit-arch[inf] ≠ bit then p ≔ true
else if length-arch[inf] > 2 then p ≔ false;
end; {COMPUTE_P}
```

# Algorithm

# begin

 $n \coloneqq 0$ ;  $lmin \coloneqq \infty$ ;  $p \coloneqq false$ ; NEXTARCH(u); NEXTARCH(v);

```
while bool-arch[u] and bool-arch[v] and bit-arch[u] = bit-arch[v] do begin
n := n + 1;
if p = ind then COMPUTE_P;
(1) if length-arch[u] ≠ length-arch[v] then
if min(length-arch[u], length-arch[v]) < lmin then
begin lmin := min(length-arch[u], length-arch[v]);
UPDATE
end
else if min(length-arch[u], length-arch[v]) = lmin and p = false
then UPDATE;
NEXTARCH(u);NEXTARCH(v)
end; {while}
```

```
if not bool-arch[u] and not bool-arch[v] then {here m[u] = m[v]}
  begin
     bool-m \coloneqq true;
     r := \min(\text{length-arch}[u], \text{length-arch}[v]);
     if length-arch[u] = length-arch[v]
        then bool-rl := true else bool-rl := false;
     if (r=0 \text{ and bool-}rl) or (r \neq 0 \text{ and bit-arch}[u] = \text{bit-arch}[v])
        then bool-r := true else bool-r := false;
     if \min \neq \infty then bool-Diff := true else bool-Diff := false;
     if p = ind then
        if length-arch[inf] = 0 or bit \neq bit-arch[inf] then p := true else p := false
  end
else
   begin {here m[u] \neq m[v]}
     bool-m \coloneqq false;
     while bool-arch [u] and bool-arch [v] do
        begin n \coloneqq n+1; NEXTARCH(u); NEXTARCH(v) end
   end
```

end.{algorithm}

**Remark.** Line (1): if the value of p is still "ind" necessarily bit-arch[inf] = bit and length-arch[inf] = 2 (otherwise COMPUTE\_P would have assigned "true" or "false" to p). Therefore if length-arch[u]  $\neq$  length-arch[v], min(length-arch[u],length-arch[v]) = lmin and p = ind it is useless to call UPDATE (inf, bit and p would not be changed).

It follows from Propositions 3, 4, 5, 10 and Lemma 7 that the above algorithm can be straightforwardly adapted to compute a string of D(u, v). The main difference is that the models m[u] and m[v] must be explicitly computed and the required amount of extra space becomes O(d(u, v)).

## 5. Conclusion

The arch factorization provides an efficient method to compute d(u, v) when uand v are bit-strings. One may ask whether this method could be generalized to any pair of strings. We first notice that Propositions 3 and 4 hold even if u and v are not bit-strings, thus d(u, v) can always be computed if  $|m[u]| \neq |m[v]|$  or  $alph(r(u)) \neq alph(r(v))$ . Difficulties arise when |m[u]| = |m[v]| and alph(r(u)) =alph(r(v)). For example let us merely consider the case |m[u]| = |m[v]| = n, alph(r(u)) = alph(r(v)) and  $m[u] \neq m[v]$ . If u and v are bit-strings we can prove that d(u, v) = n (Proposition 5), but this result does not hold if card(A) > 2 (see the remark following Proposition 5). In fact the proof of Proposition 5 strongly depends on the cardinality of A. In order to compute d(u, v) when card(A) > 2, the analysis of u and v must be less coarse than the one provided by the mere application of arch factorization. The way for future work could be to compute the arch factorization of u and v, and then of every arch of u and v, and so on.

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