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# Linear layouts measuring neighbourhoods in graphs

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## Abstract

In this paper we introduce the graph layout parameter neighbourhood-width as a variation of the well-known cut-width. The cut-width of a graph  $G = (V, E)$  is the smallest integer  $k$ , such that there is a linear layout  $\varphi : V \rightarrow \{1, \dots, |V|\}$ , such that for every  $1 \leq i < |V|$  there are at most  $k$  edges  $\{u, v\}$  with  $\varphi(u) \leq i$  and  $\varphi(v) > i$ . The neighbourhood-width of a graph is the smallest integer  $k$ , such that there is a linear layout  $\varphi$ , such that for every  $1 \leq i < |V|$  the vertices  $u$  with  $\varphi(u) \leq i$  can be divided into at most  $k$  subsets each members having the same neighbourhood with respect to the vertices  $v$  with  $\varphi(v) > i$ .

We show that the neighbourhood-width of a graph differs from its linear clique-width or linear NLC-width at most by one. This relation is used to show that the minimization problem for neighbourhood-width is NP-complete.

Furthermore, we prove that simple modifications of neighbourhood-width imply equivalent layout characterizations for linear clique-width and linear NLC-width.

We also show that every graph of path-width  $k$  or cut-width  $k$  has neighbourhood-width at most  $k + 2$  and we give several conditions such that graphs of bounded neighbourhood-width have bounded path-width or bounded cut-width.

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## 1. Introduction

A *linear layout* (a *layout*, or an *arrangement*) of an undirected graph  $G = (V, E)$  is a bijective function  $\varphi : V \rightarrow \{1, \dots, |V|\}$ . A graph layout problem on a graph  $G$  seeks for a layout for  $G$  such that a certain function on the graph is optimized. For a survey on graph layout problems see e.g. [12,25]. We will use the following notations for graph layout problems given in [12].

For a graph  $G$ , we denote by  $\Phi(G)$  the set of all layouts for  $G$ . Given a layout  $\varphi \in \Phi(G)$  we define for  $1 \leq i \leq |V|$  the vertex sets

$$L(i, \varphi, G) = \{u \in V \mid \varphi(u) \leq i\}$$

and

$$R(i, \varphi, G) = \{u \in V \mid \varphi(u) > i\}.$$

The *reverse layout*  $\varphi^R$ , for  $\varphi \in \Phi(G)$ , is defined by  $\varphi^R(u) = |V| - \varphi(u) + 1$ ,  $u \in V$ .

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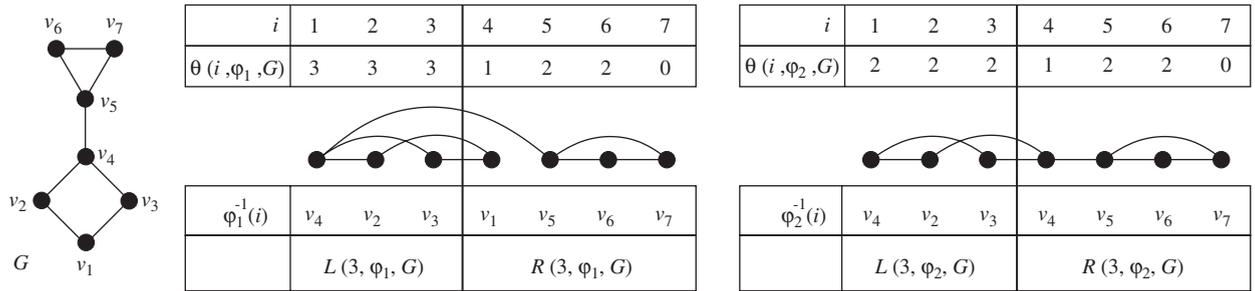


Fig. 1. The figure shows a graph  $G$ , a layout  $\varphi_1$  for  $G$  with  $\theta(3, \varphi_1, G)=\text{cut-width}(\varphi_1, G) = 3$ , and a layout  $\varphi_2$  for  $G$  with  $\theta(3, \varphi_2, G)=\text{cut-width}(\varphi_2, G)=2$ .

A *layout cost function* is a function that defines for a graph  $G$  and a layout  $\varphi \in \Phi(G)$  an integer  $F(\varphi, G)$ . For a layout cost function we define the corresponding layout problem  $F$  by determining a layout  $\varphi^* \in \Phi(G)$ , such that  $F(\varphi^*, G) = F(G)$  where

$$F(\varphi^*, G) = \min_{\varphi \in \Phi(G)} F(\varphi, G).$$

Next, we illustrate these notations by the well-known graph layout parameter cut-width.

The *edge cut* for a graph  $G$ ,  $\varphi \in \Phi(G)$ ,  $1 \leq i \leq |V|$ , is defined as

$$\theta(i, \varphi, G) = |\{\{u, v\} \mid u \in L(i, \varphi, G), v \in R(i, \varphi, G)\}|.$$

In Fig. 1, we show two layouts  $\varphi_j$ ,  $j = 1, 2$ , of a graph  $G$ , by aligning vertex  $v$  at position  $\varphi_j(v)$  on a horizontal line. Each vertical line between two consecutive vertices  $\varphi_j^{-1}(i)$  and  $\varphi_j^{-1}(i + 1)$  separates the vertex set of  $G$  into  $L(i, \varphi_j, G)$  and  $R(i, \varphi_j, G)$ .  $\theta(i, \varphi_j, G)$  is the number of edges crossing the vertical line between vertex  $\varphi_j^{-1}(i)$  and vertex  $\varphi_j^{-1}(i + 1)$ .

The layout cost function for cut-width is defined by

$$\text{cut-width}(\varphi, G) = \max_{1 \leq i \leq |V|} \theta(i, \varphi, G).$$

In Fig. 1,  $\text{cut-width}(\varphi_1, G)=3$  and  $\text{cut-width}(\varphi_2, G)=2$  holds true, this can easily be verified by counting the maximum number of edges crossing a vertical line between two consecutive vertices  $\varphi_j^{-1}(i)$  and  $\varphi_j^{-1}(i + 1)$  in layout  $\varphi_j$ ,  $j = 1, 2$ .

The *cut-width problem* seeks for a given graph  $G$  a linear layout  $\varphi^* \in \Phi(G)$ , such that

$$\text{cut-width}(\varphi^*, G) = \min_{\varphi \in \Phi(G)} \text{cut-width}(\varphi, G),$$

the *cut-width* of graph  $G$  is defined by  $\text{cut-width}(G) = \text{cut-width}(\varphi^*, G)$ .

In this paper we introduce the neighbourhood-width which leads, in comparison to cut-width, a more powerful complexity measure. Graph  $G = (V, E)$  has neighbourhood-width at most  $k$ , if there is a linear layout  $\varphi \in \Phi(G)$ , such that for every  $1 \leq i < |V|$  the vertices in  $L(i, \varphi, G)$  can be divided into at most  $k$  subsets  $L_{1, \dots, L_k}$ , such that the vertices of set  $L_j$ ,  $1 \leq j \leq k$ , have the same neighbourhood with respect to the vertices in  $R(i, \varphi, G)$ .

One motivation for defining neighbourhood-width is to characterize graphs of bounded clique-width and graphs of bounded NLC-width. The clique-width and NLC-width of a graph  $G$  is defined as the minimum number of labels needed to define  $G$  by expressions consisting of single labelled vertices, union, edge insertion, and relabelling operations [11,26]. Clique-width and NLC-width bounded graphs are particularly interesting from an algorithmic point of view. A lot of NP-complete graph problems can be solved in linear time for graphs of bounded clique-width if a corresponding decomposition for the graph is given as an input [9]. For example, all graph properties which are expressible in monadic second-order logic with quantifications over vertices and vertex sets (MSO<sub>1</sub>-logic) are decidable in linear time on clique-width bounded graphs if a corresponding decomposition for the graph is given as an input [9]. Recently, Oum and Seymour have shown that such a decomposition can be found in polynomial time [23]. In this paper we consider graphs

defined by linear clique-width and linear NLC-width expressions, i.e. in every union operation one of the two involved graphs consists of a single labelled vertex. Restricted versions of clique-width and NLC-width are sometimes very useful. This shows for example the proof of the NP-completeness of minimizing clique-width in [14,15].

This paper is organized as follows. In Section 2, we recall the definition of linear NLC-width, linear clique-width, and path-width. In Section 3, we introduce the neighbourhood-width of a graph and we show that every graph of neighbourhood-width  $k$  has linear NLC-width and linear clique-width  $k$  or  $k + 1$ . The class of graphs of neighbourhood-width 1 is characterized as the set of threshold graphs. In Sections 4 and 5, we modify the layout parameter neighbourhood-width to show equivalent layout characterizations for linear NLC-width and linear clique-width, independently from vertex labelled graphs. In Section 6, we give upper bounds for the linear NLC-width, linear clique-width, and neighbourhood-width of graphs of bounded path-width and graphs of bounded cut-width. Further we show that under several conditions graphs of bounded neighbourhood-width even have bounded cut-width. In Section 7 these bounds are used to show that minimizing the neighbourhood-width of a given graph is an NP-complete problem, but for graphs of bounded tree-width the neighbourhood-width can be approximated with constant difference guarantee.

## 2. Preliminaries

Let  $\mathcal{G}$  be the set of all graphs  $G=(V_G, E_G)$ , where  $V_G$  is a finite set of vertices and  $E_G \subseteq \{\{u, v\} \mid u, v \in V_G, u \neq v\}$  is a finite set of edges. Let  $[k] := \{1, \dots, k\}$  be the set of all integers between 1 and  $k$ . We also work with labelled graphs  $G=(V_G, E_G, \text{lab}_G)$ , where  $\text{lab}_G : V_G \rightarrow [k]$  is a mapping. A labelled graph  $J=(V_J, E_J, \text{lab}_J)$  is a subgraph of  $G$  if  $V_J \subseteq V_G, E_J \subseteq E_G$ , and  $\text{lab}_J(u) = \text{lab}_G(u)$  for all  $u \in V_J$ .  $J$  is an induced subgraph of  $G$  if additionally  $E_J = \{\{u, v\} \in E_G \mid u, v \in V_J\}$ . For  $U \subseteq V_G$ , we define by  $G[U]$  the subgraph of  $G$  induced by the vertices of  $U$ . The labelled graph consisting of a single vertex labelled by  $a \in [k]$  is denoted by  $\bullet_a$ . For the definition of special graph classes we refer to the survey of Brandstädt et al. [6].

Next, we recall the definitions of linear NLC-width, linear clique-width and path-width.

**Definition 1** (linear NLC-width, [20]). Let  $k$  be a positive integer. The class  $\text{lin-NLC}_k$  of labelled graphs is recursively defined as follows:

- (1) The single vertex graph  $\bullet_a$  for  $a \in [k]$  is in  $\text{lin-NLC}_k$ .
- (2) Let  $G=(V_G, E_G, \text{lab}_G) \in \text{lin-NLC}_k$  be a vertex labelled graph,  $v \notin V_G$  be a single vertex labelled by  $a \in [k]$ , and  $S \subseteq [k]^2$  be a relation, then  $G \times_S \bullet_a$  defined by  $V' := V_G \cup \{v\}, E' := E_G \cup \{\{u, v\} \mid u \in V_G, (\text{lab}_G(u), a) \in S\}$ , and

$$\text{lab}'(u) := \begin{cases} \text{lab}_G(u) & \text{if } u \in V_G \\ a & \text{if } u = v \end{cases} \quad \forall u \in V'$$

is in  $\text{lin-NLC}_k$ .

- (3) Let  $G=(V_G, E_G, \text{lab}_G) \in \text{lin-NLC}_k$  be a labelled graph and  $R : [k] \rightarrow [k]$  be a function, then  $\circ_R(G) := (V_G, E_G, \text{lab}')$  defined by  $\text{lab}'(u) := R(\text{lab}_G(u))$  is in  $\text{lin-NLC}_k$ .

The linear NLC-width of a labelled graph  $G$  ( $\text{linear NLC-width}(G)$ ) is the least integer  $k$  such that  $G \in \text{lin-NLC}_k$ .

Graphs of linear NLC-width 1 are exactly  $(C_4, P_4, 2K_2)$ -free graphs and thus exactly threshold graphs [17]. For every fixed  $k \geq 2$  the recognition problem for graphs of linear NLC-width at most  $k$  is still open. If  $k$  is given to the input the recognition problem is NP-complete, see Section 7.

**Definition 2** (linear clique-width, [20]). Let  $k$  be a positive integer. The class  $\text{lin-CW}_k$  of labelled graphs is recursively defined as follows:

- (1) The single vertex graph  $\bullet_a$  for  $a \in [k]$  is in  $\text{lin-CW}_k$ .

- (2) Let  $G = (V_G, E_G, \text{lab}_G) \in \text{lin-CW}_k$  be a vertex labelled graph and  $v \notin V_G$  be a single vertex labelled by  $a \in [k]$ , then  $G \oplus_{\bullet a} := (V', E_G, \text{lab}')$  defined by  $V' := V_G \cup \{v\}$  and

$$\text{lab}'(u) := \begin{cases} \text{lab}_G(u) & \text{if } u \in V_G \\ a & \text{if } u = v \end{cases} \quad \forall u \in V'$$

is in  $\text{lin-CW}_k$ .

- (3) Let  $a, b \in [k]$  be two distinct integers and  $G = (V_G, E_G, \text{lab}_G) \in \text{lin-CW}_k$  be a labelled graph, then
- (a)  $\rho_{a \rightarrow b}(G) := (V_G, E_G, \text{lab}')$  defined by

$$\text{lab}'(u) := \begin{cases} \text{lab}_G(u) & \text{if } \text{lab}_G(u) \neq a \\ b & \text{if } \text{lab}_G(u) = a \end{cases} \quad \forall u \in V_G$$

is in  $\text{lin-CW}_k$  and

- (b)  $\eta_{a,b}(G) := (V_G, E', \text{lab}_G)$  defined by  $E' := E_G \cup \{\{u, v\} \mid u, v \in V_G, u \neq v, \text{lab}(u) = a, \text{lab}(v) = b\}$  is in  $\text{lin-CW}_k$ .

The *linear clique-width* of a labelled graph  $G$  ( $\text{linear clique-width}(G)$ ) is the least integer  $k$  such that  $G \in \text{lin-CW}_k$ .

Graphs of linear clique-width at most 2 are exactly  $(\text{co-}2P_3, P_4, 2K_2)$ -free graphs and can thus be recognized in polynomial time [17]. For every fixed  $k \geq 3$  the recognition problem for graphs of linear clique-width at most  $k$  is still open. If  $k$  is given to the input the recognition problem is NP-complete, see [14].

An expression  $X$  built with the operations  $\bullet_a, \times_S, \circ_R$  for  $a \in [k], S \subseteq [k]^2$ , and  $R : [k] \rightarrow [k]$  as defined above is called a *linear NLC-width  $k$ -expression*. An expression  $X$  built with the operations  $\bullet_a, \oplus, \rho_{a \rightarrow b}, \eta_{a,b}$  for integers  $a, b \in [k]$  as defined above is called a *linear clique-width  $k$ -expression*. Note that every expression defines a layout by the order in which the vertices are inserted in the corresponding graph. Every such expression has by its recursive definition a tree structure which we call the *linear NLC-width expression tree* or *linear clique-width expression tree*, respectively.

The *linear NLC-width (linear clique-width)* of an unlabelled graph  $G = (V, E)$  is the smallest integer  $k$ , such that there is a mapping  $\text{lab} : V \rightarrow [k]$  such that the labelled graph  $(V, E, \text{lab})$  has linear NLC-width (linear clique-width) at most  $k$ . The graph defined by expression  $X$  is denoted by  $\text{val}(X)$ .

There is a very close relation between the linear NLC-width and linear clique-width of a graph.

**Lemma 3** (Gurski and Wanke [20]). *Let  $G$  be a graph of linear NLC-width  $k$ , then  $G$  has linear clique-width  $k$  or  $k + 1$ .*

For example every *path*  $P_n = (\{v_1, \dots, v_n\}, \{\{v_1, v_2\}, \dots, \{v_{n-1}, v_n\}\})$  has linear NLC-width at most 3 and linear clique-width at most 3. This can easily be shown by the following 3-expressions  $X_{P_n}$  and  $Y_{P_n}$ :

$$X_{P_3} = (\bullet_1 \times_{\{(1,2)\}} \bullet_2) \times_{\{(2,3)\}} \bullet_3,$$

$$X_{P_n} = \circ_{\{(1,1), (2,1), (3,2)\}} (X_{P_{n-1}}) \times_{\{(2,3)\}} \bullet_3, \quad n \geq 4,$$

$$Y_{P_3} = \eta_{2,3}(\eta_{1,2}(\bullet_1 \oplus \bullet_2) \oplus \bullet_3),$$

$$Y_{P_n} = \eta_{2,3}(\rho_{3 \rightarrow 2}(\rho_{2 \rightarrow 1}(Y_{P_{n-1}})) \oplus \bullet_3), \quad n \geq 4.$$

Further results on graph classes of bounded linear NLC-width can be found in [20].

Last we want to recall the definition of path-width.

**Definition 4** (path-width, [24]). A *path decomposition* of a graph  $G = (V_G, E_G)$  is a pair  $(\mathcal{X}, T)$  where  $T = (V_T, E_T)$  is a path and  $\mathcal{X} = \{X_u \mid u \in V_T\}$  is a family of subsets  $X_u \subseteq V_G$ , one for each node  $u$  of  $T$ , such that

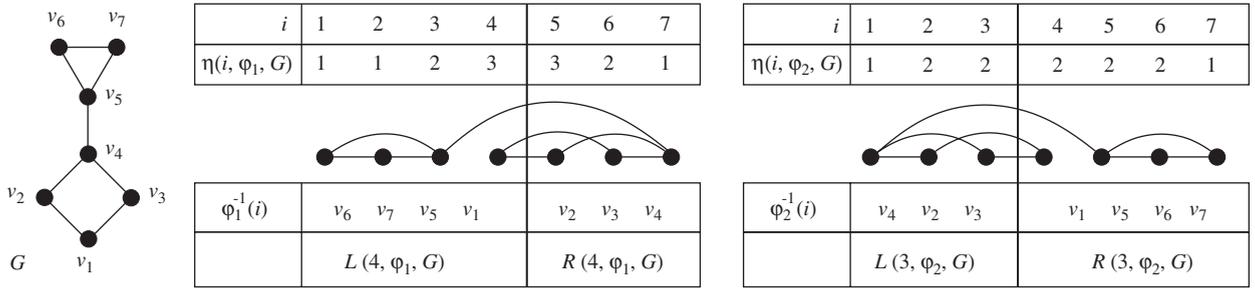


Fig. 2. The figure shows a graph  $G$ , a layout  $\varphi_1$  for  $G$  with  $\eta(4, \varphi_1, G) = \text{nw}(\varphi_1, G) = 3$ , and a layout  $\varphi_2$  for  $G$  with  $\eta(3, \varphi_2, G) = \text{nw}(\varphi_2, G) = 2$ .

- (1)  $\bigcup_{u \in V_T} X_u = V_G$ ,
- (2) for every edge  $\{v_1, v_2\} \in E_G$ , there is a node  $u \in V_T$  such that  $v_1 \in X_u$  and  $v_2 \in X_u$ , and
- (3) for every vertex  $v \in V_G$  the subgraph of  $T$  induced by the nodes  $u \in V_T$  with  $v \in X_u$  is connected.

The width of a path decomposition  $(\mathcal{X} = \{X_u \mid u \in V_T\}, T = (V_T, E_T))$  is  $\max_{u \in V_T} |X_u| - 1$ . The path-width of a graph  $G$  is the smallest integer  $k$  such that there is a path decomposition  $(\mathcal{X}, T)$  of width  $k$  for  $G$ .

The path-width of a graph  $G = (V, E)$  equals its vertex separation number ( $\text{vsn}$ ), which is a well-known graph layout parameter [25,21,22] defined as follows:

$$\text{vsn}(G) = \min_{\varphi \in \Phi(G)} \max_{1 \leq i \leq |V|} |\{u \in L(i, \varphi, G) \mid \exists v \in R(i, \varphi, G) : \{u, v\} \in E\}|.$$

### 3. A linear layout measuring neighbourhoods in graphs

In this section we introduce the layout parameter neighbourhood-width as a variation of the well-known cut-width. Let  $G = (V, E)$  be a graph and  $U, W \subseteq V$  two disjoint vertex sets, by

$$N_W(u) = \{v \in W \mid \{u, v\} \in E\}$$

we denote the neighbourhood of vertex  $u$  into set  $W$ , i.e. the vertices of  $W$  which are adjacent to  $u$ . By

$$N(U, W) = \{N_W(u) \mid u \in U\}$$

we denote the set of all neighbourhoods of the vertices of set  $U$  into set  $W$ .

For a graph  $G$ ,  $\varphi \in \Phi(G)$ ,  $1 \leq i \leq |V|$ , we define the neighbourhood cut as the number of distinct neighbourhoods of the vertices of set  $L(i, \varphi, G)$  into set  $R(i, \varphi, G)$  by

$$\eta(i, \varphi, G) = |N(L(i, \varphi, G), R(i, \varphi, G))|.$$

In Fig. 2, we show two layouts  $\varphi_j$ ,  $j = 1, 2$ , of a graph  $G$ , by aligning vertex  $v$  at position  $\varphi_j(v)$  on a horizontal line.  $\eta(i, \varphi_j, G)$  is the number of disjoint subsets  $L_{i,j}$  of the vertices left of the vertical line between vertex  $\varphi_j^{-1}(i)$  and  $\varphi_j^{-1}(i + 1)$ , such that all vertices in  $L_{i,j}$  have the same neighbourhood with respect to the vertices right of the vertical line. For example the vertices of set  $L(4, \varphi_1, G) = \{v_1, v_5, v_6, v_7\}$  have the following three neighbourhoods into set  $R(4, \varphi_1, G) = \{v_2, v_3, v_4\}$ ,  $N_{R(4, \varphi_1, G)}(v_6) = N_{R(4, \varphi_1, G)}(v_7) = \emptyset$ ,  $N_{R(4, \varphi_1, G)}(v_5) = \{v_4\}$ ,  $N_{R(4, \varphi_1, G)}(v_1) = \{v_2, v_3\}$ , thus  $N(L(4, \varphi_1, G), R(4, \varphi_1, G)) = \{\emptyset, \{v_4\}, \{v_2, v_3\}\}$  and  $\eta(4, \varphi_1, G) = 3$ .

Further we define a layout cost function by

$$\text{nw}(\varphi, G) = \text{neighbourhood-width}(\varphi, G) = \max_{1 \leq i \leq |V|} \eta(i, \varphi, G).$$

In Fig. 2 two layouts  $\varphi_1, \varphi_2$  for a graph  $G$  are shown, where  $\text{nw}(\varphi_1, G) = 3$  and  $\text{nw}(\varphi_2, G) = 2$ .

The *neighbourhood-width problem* searches for a given graph  $G$  a layout  $\varphi^* \in \Phi(G)$ , such that

$$\text{neighbourhood-width}(\varphi^*, G) = \min_{\varphi \in \Phi(G)} \text{neighbourhood-width}(\varphi, G),$$

the *neighbourhood-width* of graph  $G$  is defined by  $\text{neighbourhood-width}(G) = \text{neighbourhood-width}(\varphi^*, G)$ .

Note that for every graph  $G$  and every  $\varphi \in \Phi(G)$ , the value of  $\text{cut-width}(G, \varphi)$  does not change if we exchange layout  $\varphi$  by its reverse layout  $\varphi^R$ , while this property is not true for the value of  $\text{nw}(G, \varphi)$  in general.

Next, we show a very tight connection between the neighbourhood-width, linear NLC-width, and linear clique-width.

**Theorem 5.** *Let  $G$  be a graph of neighbourhood-width  $k$ , then  $G$  has linear NLC-width  $k$  or  $k + 1$  and linear clique-width  $k$  or  $k + 1$ .*

**Proof.** Let  $G$  be a graph with  $n$  vertices of neighbourhood-width  $k$  and  $\varphi : V \rightarrow [n]$  be a layout such that  $\text{neighbourhood-width}(\varphi, G) \leq k$ . We next recursively define linear NLC-width  $(k + 1)$ -expressions  $X_i, i = 1, \dots, n$ , such that  $X_i$  defines subgraph  $G[L(i, \varphi, G)]$  and in graph  $\text{val}(X_i)$  two vertices with the same neighbourhood with respect to the vertices in  $R(i, \varphi, G)$  are labelled equal by a label from  $[k]$ .

- (1) For  $i = 1$ . We define  $X_1 = \bullet_1$  representing vertex  $\varphi^{-1}(1)$ .
- (2) For  $i > 1$ . By induction expression  $X_{i-1}$  defines subgraph  $G[L(i - 1, \varphi, G)]$ .  $X_i$  can be defined by  $X_i = \circ_R(X_{i-1} \times_S \bullet_{k+1})$  as follows. For vertex  $\varphi^{-1}(i)$  we define an expression  $\bullet_{k+1}$ . Therefore, we use label  $k + 1$  which is not used in graph  $\text{val}(X_{i-1})$ , since vertex  $\varphi^{-1}(i)$  does not necessarily belong to one of the  $\leq k$  neighbourhoods of the vertices in  $L(i - 1, \varphi, G)$  into set  $R(i, \varphi, G)$ . The edges between vertex  $\varphi^{-1}(i)$  and vertices of graph  $\text{val}(X_{i-1})$  will be inserted by operation  $\times_S$ , which is possible by our assumption. In the resulting expression we relabel the vertices in  $L(i, \varphi, G)$  by function  $R : [k + 1] \rightarrow [k]$  with respect to their neighbourhoods into  $R(i, \varphi, G)$ . This is possible by our assumption that  $\text{neighbourhood-width}(\varphi, G) \leq k$ .

The resulting  $(k + 1)$ -expression  $X_n$  defines graph  $G$  by construction, which implies that  $\text{linear NLC-width}(G) \leq \text{neighbourhood-width}(G) + 1$ .

On the other hand, if  $G$  has linear NLC-width  $k$ , then there exists a  $k$ -expression  $X$  defining  $G$ . Expression  $X$  defines a layout  $\varphi : V \rightarrow [n]$  for the vertices of  $G$  by the order the vertices of  $G$  are inserted in graph  $G$ . By the definition of linear NLC-width, for every  $1 \leq i < n$ , vertices of the same label in graph  $G[L(i, \varphi, G)]$  will be treated in the same way by all further operations. Since there are  $k$  possible vertex labels, for every  $1 \leq i < n$ , the vertices in  $L(i, \varphi, G)$  define at most  $k$  neighbourhoods with respect to set  $R(i, \varphi, G)$ . Thus,  $\varphi$  defines a layout for  $G$  such that  $\text{neighbourhood-width}(\varphi, G) \leq k$ . This implies that  $\text{neighbourhood-width}(G) \leq \text{linear NLC-width}(G)$ .

Similar arguments can be used to show the bounds for linear clique-width.  $\square$

The proof of Theorem 5 even shows that the set of graphs of neighbourhood-width 1 is equal to the set of graphs of linear NLC-width 1 and by the results shown in [17], we conclude the following characterization.

**Corollary 6.** *For every graph  $G$  the following statements are equivalent:*

- (1)  $G$  has neighbourhood-width 1.
- (2)  $G$  contains no  $C_4$ , no  $P_4$ , and no  $2K_2$  as induced subgraph.
- (3)  $G$  is a threshold graph.
- (4)  $G$  has linear NLC-width 1.

By the expressions given in Section 2 and a very simple observation we conclude that every path  $P_n, n \geq 4$ , has linear clique-width 3, every path  $P_n, n \geq 7$ , has linear NLC-width 3, and every path  $P_n, n \geq 4$ , has neighbourhood-width 2, which implies that the bounds of Theorem 5 cannot be improved.

#### 4. A layout characterization for linear NLC-width

Let  $G = (V, E) \in \text{lin-NLC}_k$  be a graph,  $\varphi \in \Phi(G)$  be a layout defined by a  $k$ -expression for  $G$ , and  $1 \leq i < |V|$ . We next show that a modification of the neighbourhood cut given in Section 3 leads to an equivalent layout definition

for linear NLC-width, independently from vertex labelled graphs. As stated in the proof of Theorem 5, such a layout definition has to consider, besides counting the number of neighbourhoods of the vertices in set  $L(i, \varphi, G)$  into set  $R(i, \varphi, G)$ , whether vertex  $\varphi^{-1}(i + 1)$ ,  $1 \leq i \leq |V| - 2$ , has the same neighbourhood as a vertex of  $L(i, \varphi, G)$  into set  $R(i + 1, \varphi, G)$ . Therefore, we define a boolean graph property  $\pi_1 : \mathbb{N} \times \Phi(\mathcal{G}) \times \mathcal{G} \rightarrow \{0, 1\}$  as follows:

$$\pi_1(i, \varphi, G) = 0 \iff \exists u \in L(i, \varphi, G) : N_{R(i+1, \varphi, G)}(u) = N_{R(i+1, \varphi, G)}(\varphi^{-1}(i + 1)).$$

Obviously for  $i = |V| - 1$ ,  $\pi_1(i, \varphi, G)$  is equal to 0, which fits to the definition of linear NLC-width, since the last inserted vertex  $\varphi^{-1}(|V|)$  in an linear NLC-width expression can always get an arbitrary label.

We define the *modified neighbourhood cut* for a graph  $G$ ,  $\varphi \in \Phi(G)$ ,  $1 \leq i < |V|$ , by

$$v(i, \varphi, G) = |N(L(i, \varphi, G), R(i, \varphi, G))| + \pi_1(i, \varphi, G).$$

We next show that for every graph  $G$  a minimal value for  $\max_{1 \leq i < |V|} v(i, \varphi, G)$ ,  $\varphi \in \Phi(G)$ , is equal to its linear NLC-width.

**Theorem 7.** *Let  $G = (V, E)$  be a graph with at least two vertices, then*

$$\text{linear NLC-width}(G) = \min_{\varphi \in \Phi(G)} \max_{1 \leq i < |V|} v(i, \varphi, G).$$

**Proof.** Let  $G$  be a graph with  $n$  vertices and  $\varphi : V \rightarrow [n]$  be a layout for graph  $G$  such that  $\max_{1 \leq i < |V|} v(i, \varphi, G) = k$ . We next define linear NLC-width  $k$ -expressions  $X_i$ ,  $i = 1, \dots, n$ , such that  $X_i$  defines subgraph  $G[L(i, \varphi, G)]$  and in  $\text{val}(X_i)$  two vertices with the same neighbourhood into set  $R(i, \varphi, G)$  have the same label.

- (1) For  $i = 1$ . We define  $X_1 = \bullet_1$  representing vertex  $\varphi^{-1}(1)$ .
- (2) For  $i > 1$ . By induction there is a linear NLC-width  $k$ -expression  $X_{i-1}$  that defines graph  $G[L(i - 1, \varphi, G)]$ . We now insert vertex  $\varphi^{-1}(i)$  into graph  $\text{val}(X_{i-1})$ . In order to choose the initial label of vertex  $\varphi^{-1}(i)$  we distinguish between the following two cases:
  - (a) If there is a vertex  $u$  in set  $L(i - 1, \varphi, G)$  with the same neighbourhood as  $\varphi^{-1}(i)$  into set  $R(i, \varphi, G)$ , then we will label vertex  $\varphi^{-1}(i)$  by the label  $l \in [k]$  of vertex  $u$  in graph  $\text{val}(X_{i-1})$ .
  - (b) Otherwise,  $\pi_1(i - 1, \varphi, G) = 1$  and thus  $|N(L(i - 1, \varphi, G), R(i - 1, \varphi, G))| \leq k - 1$  holds true. This implies that graph  $\text{val}(X_{i-1})$  uses at most  $k - 1$  labels. We can choose one free label  $l \in [k]$  which will be given to vertex  $\varphi^{-1}(i)$ .

Then we can define  $X_i$  by  $X_i = \circ_R(X_{i-1} \times_S \bullet_i)$ . The edges between vertex  $\varphi^{-1}(i)$  and vertices of graph  $\text{val}(X_{i-1})$  will be inserted by operation  $\times_S$ , which is possible by our assumption. In the resulting expression we relabel the vertices in  $L(i, \varphi, G)$  by function  $R : [k] \rightarrow [k]$  with respect to their neighbourhoods into  $R(i, \varphi, G)$ , i.e. two vertices of  $L(i, \varphi, G)$  are labelled equal in graph  $G[L(i, \varphi, G)]$  if and only if they have the same neighbourhood with respect to  $R(i, \varphi, G)$ .

Graph  $\text{val}(X_n)$  defines graph  $G$  by construction. Thus, for every layout  $\varphi \in \Phi(G)$ ,  $\text{linear NLC-width}(G) \leq \max_{1 \leq i < |V|} v(i, \varphi, G)$ .

On the other hand, if  $G$  has linear NLC-width  $k$ , then there is a  $k$ -expression  $X$  defining  $G$ . Expression  $X$  defines a layout  $\varphi_1 : V \rightarrow [n]$  for the vertices of  $G$  by the order the vertices of  $G$  are inserted in the graph. Since there are only  $k$  possible vertex labels, for every  $1 \leq i < n$ , the vertices in  $L(i, \varphi_1, G)$  define at most  $k$  neighbourhoods with respect to vertex set  $R(i, \varphi_1, G)$ , and thus we know that  $|N(L(i, \varphi_1, G), R(i, \varphi_1, G))| \leq k$ . Next, we consider two possible cases for vertex  $\varphi_1^{-1}(i + 1)$ .

- (1) If vertex  $\varphi_1^{-1}(i + 1)$  has the same neighbourhood as at least one vertex of  $L(i, \varphi_1, G)$  with respect to set  $R(i + 1, \varphi_1, G)$ , then  $\pi_1(i, \varphi_1, G) = 0$ .
- (2) Otherwise, vertex  $\varphi_1^{-1}(i + 1)$  has to be labelled in expression  $X$  different from vertices in  $L(i, \varphi_1, G)$ . This implies that there are only  $k - 1$  possible labels for the vertices of  $L(i, \varphi_1, G)$  and thus at most  $k - 1$  neighbourhoods from  $L(i, \varphi_1, G)$  into  $R(i, \varphi_1, G)$  and thus  $|N(L(i, \varphi_1, G), R(i, \varphi_1, G))| + \pi_1(i, \varphi_1, G) \leq (k - 1) + 1 = k$ .

Thus, we have shown that a layout  $\varphi_1$  exists, such that  $\max_{1 \leq i < |V|} v(i, \varphi_1, G) \leq \text{linear NLC-width}(G)$ .

Table 1  
Examples for values used in layout definition of linear NLC-width for graph  $P_4$

$i$	1	2	3	4	$i$	1	2	3	4
$\varphi_1^{-1}(i)$	$v_1$	$v_2$	$v_3$	$v_4$	$\varphi_2^{-1}(i)$	$v_1$	$v_2$	$v_4$	$v_3$
$\eta(i, \varphi_1, P_4)$	1	2	2	–	$\eta(i, \varphi_2, P_4)$	1	2	2	–
$\pi_1(i, \varphi_1, P_4)$	1	1	0	–	$\pi_1(i, \varphi_2, P_4)$	1	0	0	–

By the first part of the proof we can conclude that

$$\min_{\varphi \in \Phi(G)} \max_{1 \leq i < |V|} v(i, \varphi, G) = \max_{1 \leq i < |V|} v(i, \varphi_1, G) = \text{linear NLC-width}(G),$$

which completes our proof.  $\square$

In Table 1, we give the values for  $\eta$  and  $\pi_1$  for two layouts of graph  $P_4 = (\{v_1, v_2, v_3, v_4\}, \{\{v_1, v_2\}, \{v_2, v_3\}, \{v_3, v_4\}\})$ .

### 5. A layout characterization for linear clique-width

Let  $G = (V, E) \in \text{lin-CW}_k$  be a graph,  $\varphi \in \Phi(G)$  a layout defined by a  $k$ -expression for  $G$ ,  $1 \leq i < |V|$ . We next give a further modification of the neighbourhood cut given in Section 4 which leads to an equivalent layout definition for linear clique-width, independently from vertex labelled graphs. Therefore, we use three boolean graph properties  $\pi_i : \mathbb{N} \times \Phi(\mathcal{G}) \times \mathcal{G} \rightarrow \{0, 1\}$ ,  $1 \leq i \leq 3$ .

Similar as in the case of linear NLC-width, if there is no vertex in  $L(i, \varphi, G)$ ,  $1 \leq i \leq |V| - 2$ , with the same neighbourhood as vertex  $\varphi^{-1}(i + 1)$  with respect to  $R(i + 1, \varphi, G)$ , we need one additional label for vertex  $\varphi^{-1}(i + 1)$  which is not used in graph  $G[L(i, \varphi, G)]$ . Therefore, we again use graph property  $\pi_1$  of Section 4.

We now assume that there exists a non-empty subset  $L_1$  of  $L(i, \varphi, G)$ , such that vertex  $\varphi^{-1}(i + 1)$  has the same neighbourhood as the vertices of  $L_1$  with respect to  $R(i + 1, \varphi, G)$ .

Unfortunately, linear clique-width operations do not allow to connect vertices of the same label by an edge, thus if  $\{\varphi^{-1}(i + 1), u\} \in E$  for some  $u \in L_1$ ,  $1 \leq i \leq |V| - 2$ , we have to label vertex  $\varphi^{-1}(i + 1)$  differently from the label used for the vertices of  $L_1$  and thus differently from all vertices in  $G[L(i, \varphi, G)]$ . For  $i = |V| - 1$ , every vertex in set  $L(i, \varphi, G)$  has the same (empty) neighbourhood as vertex  $\varphi^{-1}(i + 1)$  into set  $R(i + 1, \varphi, G)$ , we need one additional label for vertex  $\varphi^{-1}(i + 1)$ , if  $\varphi^{-1}(i + 1)$  is adjacent to all vertices of  $L(i, \varphi, G)$ . We denote this property by  $\pi_2(i, \varphi, G)$ , which can be expressed as follows:

$$\pi_2(i, \varphi, G) = 1 \Leftrightarrow \begin{cases} \exists u \in L(i, \varphi, G) : \\ N_{R(i+1, \varphi, G)}(u) = N_{R(i+1, \varphi, G)}(\varphi^{-1}(i + 1)) \\ \wedge \{u, \varphi^{-1}(i + 1)\} \in E & \text{if } i \leq |V| - 2, \\ \forall u \in L(i, \varphi, G) : \{u, \varphi^{-1}(i + 1)\} \in E & \text{if } i = |V| - 1. \end{cases}$$

Further, since an edge insertion in graph  $G[L(i, \varphi, G)] \oplus \varphi^{-1}(i + 1)$  may have an effect on the adjacencies in graph  $G[L(i, \varphi, G)]$ , we have to verify whether every vertex in  $N_{L(i, \varphi, G)}(\varphi^{-1}(i + 1))$  is also contained in  $N_{L(i, \varphi, G)}(u)$  for every  $u \in L_1$ . For  $i = |V| - 1$ , we need one additional label for vertex  $\varphi^{-1}(i + 1)$ , if  $\varphi^{-1}(i + 1)$  cannot be labelled as its non-neighbours in set  $L(i, \varphi, G)$ . We denote this property by  $\pi_3(i, \varphi, G)$ , which can be defined as follows:

$$\pi_3(i, \varphi, G) = 1 \Leftrightarrow \begin{cases} \exists u \in L(i, \varphi, G) : \\ N_{R(i+1, \varphi, G)}(u) = N_{R(i+1, \varphi, G)}(\varphi^{-1}(i + 1)) \\ \wedge N_{L(i, \varphi, G)}(\varphi^{-1}(i + 1)) - \{u\} \not\subseteq N_{L(i, \varphi, G)}(u) & \text{if } i \leq |V| - 2, \\ \exists u \in L(i, \varphi, G) - N_{L(i, \varphi, G)}(\varphi^{-1}(i + 1)) \\ \wedge v \in N_{L(i, \varphi, G)}(\varphi^{-1}(i + 1)) : \{u, v\} \notin E & \text{if } i = |V| - 1. \end{cases}$$

The logical or of the defined properties  $\pi_i$ ,  $1 \leq i \leq 3$ , allows us to define a *modified neighbourhood cut* for  $1 \leq i < |V|$  as follows:

$$v_2(i, \varphi, G) = \eta(i, \varphi, G) + (\pi_1(i, \varphi, G) \vee \pi_2(i, \varphi, G) \vee \pi_3(i, \varphi, G)).$$

Table 2  
Examples for values used in layout definition of linear clique-width for graph  $P_4$

$i$	1	2	3	4	$i$	1	2	3	4
$\varphi_1^{-1}(i)$	$v_1$	$v_2$	$v_3$	$v_4$	$\varphi_2^{-1}(i)$	$v_1$	$v_2$	$v_4$	$v_3$
$\eta(i, \varphi_1, P_4)$	1	2	2	–	$\eta(i, \varphi_2, P_4)$	1	2	2	–
$\pi_1(i, \varphi_1, P_4)$	1	1	0	–	$\pi_1(i, \varphi_2, P_4)$	1	0	0	–
$\pi_2(i, \varphi_1, P_4)$	0	0	0	–	$\pi_2(i, \varphi_2, P_4)$	0	0	0	–
$\pi_3(i, \varphi_1, P_4)$	0	0	1	–	$\pi_3(i, \varphi_2, P_4)$	0	0	1	–

Analogous to the proof of Theorem 7 we conclude that for every graph  $G$  a minimal value for  $\max_{1 \leq i < |V|} v_2(i, \varphi, G)$ ,  $\varphi \in \Phi(G)$ , is equal to its linear clique-width.

**Theorem 8.** Let  $G = (V, E)$  be a graph with at least two vertices, then

$$\text{linear clique-width}(G) = \min_{\varphi \in \Phi(G)} \max_{1 \leq i < |V|} v_2(i, \varphi, G).$$

In Table 2, we give the values for  $\eta, \pi_1, \pi_2$ , and  $\pi_3$  for two layouts of graph  $P_4$ .

### 6. Relations between the layout measures

In this section we summarize relations between the cut-width, path-width, linear NLC-width, linear clique-width, and neighbourhood-width.

**Theorem 9.** Let  $G$  be a graph of path-width or cut-width  $k$ , then  $G$  has linear NLC-width at most  $k + 2$ , linear clique-width at most  $k + 2$ , and neighbourhood-width at most  $k + 2$ .

**Proof.** Let  $G$  be a graph of path-width  $k$  and  $(\mathcal{X} = \{X_u \mid u \in V_P\}, P = (\{1, \dots, n'\}, \{\{1, 2\}, \dots, \{n' - 1, n'\}\}))$  be a path-decomposition of width  $k$  for  $G$ , i.e.  $|X_i| \leq k + 1, 1 \leq i \leq n'$ . We next recursively define for  $i = 1, \dots, n'$  a linear clique-width  $(k + 2)$ -expression  $Y_i$  which defines subgraph  $G \left[ \bigcup_{j=1}^i X_j \right]$ . We will use label  $k + 2$  exclusively for vertices which will not get any further incident edges.

- (1) For  $i = 1$ . Subgraph  $G[X_1]$  contains at most  $k + 1$  vertices and can be defined by a linear clique-width  $(k + 1)$ -expression  $Y_1$  such that each vertex gets a different label.
- (2) For  $i > 1$ . We first relabel in expression  $Y_{i-1}$ , which defines subgraph  $G \left[ \bigcup_{j=1}^{i-1} X_j \right]$ , the vertices of  $X_{i-1} - X_i$  into label  $k + 2$ , these vertices will not get any further edges during the composition. Since set  $X_i = (X_i \cap X_{i-1}) \cup (X_i - X_{i-1})$  contains at most  $k + 1$  vertices we can insert the vertices of  $X_i - X_{i-1}$ , each with a label unequal to all other used labels in graph  $\text{val}(Y_{i-1})$ , and connect them to their neighbours in  $X_i$ .

Graph  $\text{val}(Y_{n'})$  defines graph  $G$  by construction, which implies that the linear clique-width of a graph  $G$  is always at most  $\text{path-width}(G) + 2$ .

Since the path-width of a graph is always at most its cut-width [2], the neighbourhood-width of a graph is always at most its linear NLC-width (Theorem 5), and the linear NLC-width of a graph is always at most its linear clique-width (Lemma 3), the remaining results follow.  $\square$

The proofs of this bounds also show how to define from a given layout  $\varphi$ , a layout  $\varphi'$ , such that neighbourhood-width  $(\varphi', G) = \text{cut-width}(\varphi, G) + 2$ . Thus, the definition of neighbourhood-width leads an extension for the definition of cut-width.

Further we conclude that every graph class of bounded path-width, also has bounded linear NLC-width. On the other hand, graph classes of bounded linear NLC-width do not have bounded path-width in general, e.g. a clique  $K_n$

(complete graph on  $n$  vertices) has linear NLC-width 1 and path-width  $n - 1$ . In the next theorem we consider the path-width of linear NLC-width bounded graphs under certain conditions.

For a graph  $G$  we want to denote by  $\Delta(G)$  the maximum vertex degree of graph  $G$ .

**Theorem 10.** *Let  $G$  be a graph of linear NLC-width  $k$  or linear clique-width  $k$*

- (1) *and the complete bipartite graph  $K_{n,n}$  for some  $n > 1$  is not a subgraph of  $G$ , then  $G$  has path-width at most  $2k(n - 1)$ .*
- (2) *and  $G$  is uniformly  $l$ -sparse,<sup>1</sup> then  $G$  has path-width at most  $4kl$ .*
- (3) *and there is a graph with  $n$  vertices which is not a minor of  $G$ , then  $G$  has path-width at most  $2k(n - 1)$ .*
- (4) *and  $G$  is planar, then  $G$  has path-width at most  $4k$ .*
- (5) *then  $G$  has path-width at most  $k \cdot \Delta(G)$ .*

**Proof.**

- (1) We refer to the proof of Theorem 2 of [18] which constructs for a graph of NLC-width  $k$ , which does not contain the complete bipartite graph  $K_{n,n}$  as a subgraph, from a given NLC-width  $k$ -expression-tree  $T$  a tree-decomposition  $(\mathcal{X} = \{X_u \mid u \in V_T\}, T = (V_T, E_T))$  of width  $3k(n - 1) - 1$ . In decomposition  $(\mathcal{X}, T)$  every vertex set  $X_u$  either consists of a single vertex or is the union of at most  $3k$  sets of size at most  $n - 1$ . Given a linear NLC-width  $k$ -expression-tree  $T$  the same proof constructs a tree-decomposition  $(\mathcal{X}, T)$  of width at most  $2k(n - 1)$ , since every union node (every node labelled by  $\times_S$ ) in expression tree  $T$  has at least one child which is a leaf of  $T$ . In this case it is easy to see that  $T$  is a caterpillar<sup>2</sup> with hairlength one and vertex degree at most 3 and all sets  $X_u$ , for leaves  $u$  of  $T$  contain exactly one vertex of  $G$ . If we remove all vertices of  $T$  which do not belong to its backbone, we obtain by  $(\mathcal{X}, T)$  a path-decomposition of width  $2k(n - 1)$ , which defines  $G$ .
- (2) If a graph  $G$  is uniformly  $l$ -sparse, then graph  $G$  contains no complete bipartite graph  $K_{2l+1, 2l+1}$  as a subgraph.
- (3) If there is a graph with  $n$  vertices which is not a minor of  $G$  then the complete graph  $K_n$  is not a minor of  $G$ , and thus the  $K_{n,n}$  is not a minor of  $G$ , and thus the complete bipartite graph  $K_{n,n}$  is not a subgraph of  $G$ .
- (4) Planar graphs do not contain the  $K_{3,3}$  as a subgraph.
- (5) Let  $T$  be a linear NLC-width  $k$ -expression tree defining  $G$ . If for a node  $u$  of  $T$  and a label  $l \in [k]$ , there are more than  $\Delta(G)$  vertices in the subgraph defined by the subtree of  $T$  with root  $u$ , then there will be no further edge insertion to label  $l$ . Thus, we can easily define a path-decomposition of width  $k \cdot \Delta(G)$  from  $T$ .  $\square$

The last theorem immediately implies bounds for the path-width of specific graphs. These bounds also hold for the tree-width of a graph which is always less or equal its path-width, in the case of threshold graphs (which are co-graphs) even tree-width equals the path-width [5]. Since threshold are exactly graphs of linear NLC-width 1 [17], and incidence graphs<sup>3</sup> contain no  $K_{2,2}$  as a subgraph, the bounds of Theorem 10 imply the following corollary.

**Corollary 11.**

- (1) *Incidence graphs of threshold graphs have path-width at most 2.*
- (2) *Planar threshold graphs have path-width at most 4.*
- (3) *The path-width of threshold graphs is at most its maximum vertex degree.*

Although graph classes of bounded linear clique-width do not have bounded path-width, in general, there is a very close relation between path-width and the linear clique-width of the corresponding line graphs.<sup>4</sup>

<sup>1</sup> A graph  $G = (V_G, E_G)$  is  $l$ -sparse if  $|E_G| \leq l \cdot |V_G|$ . It is uniformly  $l$ -sparse if every subgraph of  $G$  is  $l$ -sparse [8].

<sup>2</sup> A caterpillar  $C$  is a tree where all vertices of degree at least 3 lie on a path, called the backbone of  $C$ . The hairlength of a caterpillar is the maximum distance of a non-backbone vertex to the backbone.

<sup>3</sup> The incidence graph  $I(G)$  of a graph  $G$  is the graph with vertices  $V_G \cup E_G$  and an edge joining  $v \in V_G$  and  $e \in E_G$  if and only if  $v$  is incident to  $e$  in  $G$ .

<sup>4</sup> The line graph  $L(G)$  of a graph  $G$  has a vertex for every edge of  $G$  and an edge between two vertices if the corresponding edges of  $G$  are adjacent.

Table 3  
Overview on the inclusions of graph families

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<i>Arbitrary graphs</i>
$\mathcal{F}(\text{CUTW}) \subsetneq \mathcal{F}(\text{PW}) \subsetneq \mathcal{F}(\text{NW}) = \mathcal{F}(\text{lin-NLC}) = \mathcal{F}(\text{lin-CW})$
<i>Graphs without arbitrary large <math>K_{n,n}</math></i>
$\mathcal{F}(\text{CUTW}) \subsetneq \mathcal{F}(\text{PW}) = \mathcal{F}(\text{NW}) = \mathcal{F}(\text{lin-NLC}) = \mathcal{F}(\text{lin-CW})$
<i>Graphs of bounded vertex degree</i>
$\mathcal{F}(\text{CUTW}) = \mathcal{F}(\text{PW}) = \mathcal{F}(\text{NW}) = \mathcal{F}(\text{lin-NLC}) = \mathcal{F}(\text{lin-CW})$

---

**Theorem 12** (Gurski and Wanke [19]). *A set of graphs has bounded path-width if and only if its set of line graphs has bounded linear clique-width.*

A similar relation can be obtained for incidence graphs by Theorems 9 and 10(1) and the fact that incidence graphs contain no  $K_{2,2}$  as a subgraph.

**Corollary 13.** *A set of graphs has bounded path-width if and only if its set of incidence graphs has bounded linear clique-width.*

In order to get bounds for the cut-width of a graph  $G$  of bounded linear NLC-width, we can use the conditions of Theorem 10 and the following relation shown in [7].

$$\text{cut-width}(G) \leq \text{path-width}(G) \cdot \Delta(G). \tag{1}$$

In fact, the path-width and cut-width of a graph can differ very much, e.g. a  $K_{1,n}$  has path-width 1 and cut-width  $\lceil n/2 \rceil$ .

Notice that a similar result as shown in Theorem 12 and Corollary 13 does not hold for cut-width and line graphs of bounded linear NLC-width or incidence graphs of bounded linear NLC-width. A simple counter-example is the set  $\mathcal{L}$  of all  $K_{1,n}$  which has unbounded cut-width, but the set of all line graphs of graphs in  $\mathcal{L}$  has bounded linear NLC-width and the set of all incidence graphs of graphs in  $\mathcal{L}$  has bounded linear NLC-width as well.

Last we want to mention that we can use the conditions of Theorem 10 to bound the cut-width of a graph by its neighbourhood-width by Theorem 5 and the relation (1) shown in [7]. This re-proves the following bound shown in [20].

**Lemma 14.** *Let  $G$  be a graph of neighbourhood-width  $k$ , then  $G$  has cut-width at most  $(k + 1) \cdot \Delta(G)^2$ .*

Thus, graphs of bounded vertex degree have bounded cut-width if and only if they have bounded neighbourhood-width.

In order to summarize the results, we denote by  $\mathcal{F}(\text{CUTW})$  ( $\mathcal{F}(\text{PW})$ ,  $\mathcal{F}(\text{NW})$ ,  $\mathcal{F}(\text{lin-NLC})$ ,  $\mathcal{F}(\text{lin-CW})$ ) the family of all graph classes of bounded cut-width (path-width, neighbourhood-width, linear NLC-width, linear clique-width, respectively), see Table 3.

## 7. Complexity results

In this section we summarize results on the complexity of minimizing the layout parameters considered in this paper.

The problems of minimizing cut-width and minimizing path-width of a given graph are well known to be NP-complete [16,1]. Recently, Fellows et al. have shown the NP-completeness of minimizing linear clique-width by a reduction from path-width minimization [14].

The reduction for linear NLC-width minimization can be done by the ideas shown in [19,14].

**Theorem 15.** *Given a graph  $G$  and a positive integer  $k$ , the problem to decide whether  $G$  has linear NLC-width at most  $k$  is NP-complete, even for co-bipartite graphs.*

**Proof.** In [14] for an arbitrary graph  $G$  a new graph  $G'$  is constructed by substituting every edge  $\{u, v\}$  of  $G$  by three paths  $(\{u, u_i, v_i, v\}, \{\{u, u_i\}, \{u_i, v_i\}, \{v_i, v\}\})$ ,  $1 \leq i \leq 3$ , such that it holds

$$\text{path-width}(G) \leq \text{linear clique-width}(G') \leq \text{path-width}(G) + 4.$$

By Lemma 3 we can deduce

$$\text{path-width}(G) - 1 \leq \text{linear NLC-width}(G') \leq \text{path-width}(G) + 4.$$

This inequality can be used to show the NP-completeness of minimizing linear NLC-width.

The problem to decide whether a given graph has linear NLC-width at most  $k$  is obviously in NP.

For a graph  $G = (V, E)$  and an integer  $r > 1$  let  $G^r$  be the graph  $G$  in that every vertex  $u$  is replaced by a clique  $C_u$  with  $r$  vertices and every edge  $\{u, v\}$  is replaced by all edges between the vertices of  $C_u$  and  $C_v$ . That is,  $G^r = (V_r, E_r)$  has vertex set  $V_r = \{u_{i,j} \mid u_i \in V, j \in \{1, \dots, r\}\}$  and edge set

$$E_r = \{\{u_{i,j}, u_{i',j'}\} \mid j, j' = 1, \dots, r \text{ and } i = i' \vee \{u_i, u_{i'}\} \in E\}.$$

Bodlaender et al. have shown in [4] that  $G$  has path-width  $k$  if and only if  $G^r$  has path-width  $r(k + 1) - 1$ .

Arnborg et al. have shown in [1] that path-width minimization is NP-complete, even for co-bipartite graphs. That is, given a graph  $G$  and an integer  $k$ , the problem to decide whether  $G$  has path-width at most  $k$ , is NP-complete.

For a given co-bipartite graph  $G$ , we first construct graph  $G^6$  and then graph  $(G^6)'$ , which is still co-bipartite. This can be done in polynomial time. If  $G$  has path-width  $k$ , then  $(G^6)'$  has path-width  $6k + 5$ . By the inequality above  $(G^6)'$  has linear NLC-width at least  $6k + 4$  and linear NLC-width at most  $6k + 9$ . That is,

$$\text{path-width}(G) = \left\lfloor \frac{\text{linear NLC-width}((G^6)') - 4}{6} \right\rfloor.$$

Thus, a graph  $G$  has path-width at most  $k$  if and only if  $(G^6)'$  has linear NLC-width at most  $6k + 9$ , which completes our proof.  $\square$

The results of Theorem 5 can be used to show the NP-completeness of finding a minimum layout with respect to neighbourhood cuts.

**Corollary 16.** *Given a graph  $G$  and an integer  $k$ , the problem to decide whether  $G$  has neighbourhood-width most  $k$  is NP-complete, even for co-bipartite graphs.*

**Proof.** The proof runs similar to the proof of Theorem 15. We again use the construction of [14], which defines for an arbitrary co-bipartite graph  $G$  a new graph  $G'$ , such that it holds

$$\text{path-width}(G) \leq \text{linear clique-width}(G') \leq \text{path-width}(G) + 4.$$

By Theorem 5 we can conclude

$$\text{path-width}(G) - 1 \leq \text{neighbourhood-width}(G') \leq \text{path-width}(G) + 4.$$

This inequality implies the NP-completeness of minimizing neighbourhood-width similar as shown in proof of Theorem 15 for linear NLC-width.  $\square$

In [14] the following non-approximability result for linear clique-width is shown.

**Lemma 17** (Fellows et al. [14]). *For every  $\varepsilon$ ,  $0 < \varepsilon < 1$ , there is no polynomial time algorithm that computes for a given graph  $G$  a linear clique-width  $k$ -expression such that  $k$ -linear clique-width  $(G) \leq |V_G|^\varepsilon$ , unless  $P = NP$ .*

The bounds shown in Lemma 3 and Theorem 5 and the results of [14] imply the following non-approximability result for linear NLC-width and for neighbourhood-width.

**Corollary 18.**

- (1) For every  $\varepsilon, 0 < \varepsilon < 1$ , there is no polynomial time algorithm that computes for a given graph  $G$  a linear NLC-width  $k$ -expression such that  $k$ -linear NLC-width  $(G) \leq |V_G|^\varepsilon$ , unless  $P = NP$ .
- (2) For every  $\varepsilon, 0 < \varepsilon < 1$ , there is no polynomial time algorithm that computes for a given graph  $G$  a layout  $\varphi$  such that  $\text{nw}(\varphi, G) - \text{nw}(G) \leq |V_G|^\varepsilon$ , unless  $P = NP$ .

These results also imply that there is no polynomial time approximation algorithm for linear clique-width, linear NLC-width and neighbourhood-width with constant difference guarantee.

The ideas shown in [13] can also be used to compute the linear clique-width of a given graph of bounded tree-width in linear time.

**Theorem 19.** Given a graph  $G$  of bounded tree-width and a positive integer  $k$ , the problem to decide whether  $G$  has linear clique-width at most  $k$  is decidable in linear time.

Lemma 3 and Theorem 5 imply the following approximation result for graphs of bounded tree-width of difference guarantee 1.

**Corollary 20.**

- (1) Given a graph  $G$  of bounded tree-width and a positive integer  $k$ , then there exists a linear time algorithm that computes for a given graph  $G$  a linear NLC-width  $k$ -expression such that  $k$ -linear NLC-width  $(G) \leq 1$ .
- (2) Given a graph  $G$  of bounded tree-width and a positive integer  $k$ , then there exists a linear time algorithm that computes for a given graph  $G$  a layout  $\varphi$  such that  $\text{nw}(\varphi, G) - \text{nw}(G) \leq 1$ .

## 8. Conclusions

In this paper we introduced the neighbourhood-width of graphs as a new layout measure for graphs. Our results show that neighbourhood-width leads an extension of the layout parameters vertex separation number and cut-width. For example arbitrary large complete graphs  $K_n$  have neighbourhood-width 1, vertex separation number  $n - 1$  [3], and cut-width  $\lceil n/2 \rceil \cdot \lfloor n/2 \rfloor$  [12], complete bipartite graphs  $K_{n,m}$  have neighbourhood-width 2, vertex separation number  $\min\{n, m\}$  [3], and cut-width  $\lceil n \cdot m/2 \rceil$  [12].

The close relation of neighbourhood-width and linear clique-width shown in Section 3, implies that all graph properties which are expressible in monadic second-order logic with quantifications over vertices and vertex sets ( $\text{MSO}_1$ -logic) are decidable in linear time on neighbourhood-width bounded graphs if a layout for the graph is given as an input [9]. On graph classes of bounded vertex separation number, and thus also on graph classes of bounded cut-width, even all graph properties which are expressible in monadic second-order logic with quantifications over vertices, vertex sets, edges, and edge sets ( $\text{MSO}_2$ -logic) are decidable in linear time [10].

Simple modifications in the definition of neighbourhood-width lead the first equivalent layout definitions for linear NLC-width and linear clique-width, independently from vertex labelled graphs. These layouts imply simple but exponential algorithms for determining the linear NLC-width and linear clique-width of a given graph.

One of the main open questions is the complexity of the recognition problem for graphs of linear NLC-width at most  $k$ , linear clique-width at most  $k$ , and neighbourhood-width at most  $k$ , for every fixed  $k, k \geq 2, k \geq 3$ , and  $k \geq 2$ , respectively.

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