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[www.elsevier.com/locate/jnt](http://www.elsevier.com/locate/jnt)Explicit construction of self-dual integral normal bases for the square-root of the inverse different <sup>☆</sup>

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## ABSTRACT

Let  $K$  be a finite extension of  $\mathbb{Q}_p$ , let  $L/K$  be a finite abelian Galois extension of odd degree and let  $\mathfrak{D}_L$  be the valuation ring of  $L$ . We define  $A_{L/K}$  to be the unique fractional  $\mathfrak{D}_L$ -ideal with square equal to the inverse different of  $L/K$ . For  $p$  an odd prime and  $L/\mathbb{Q}_p$  contained in certain cyclotomic extensions, Erez has described integral normal bases for  $A_{L/\mathbb{Q}_p}$  that are self-dual with respect to the trace form. Assuming  $K/\mathbb{Q}_p$  to be unramified we generate odd abelian weakly ramified extensions of  $K$  using Lubin–Tate formal groups. We then use Dwork's exponential power series to explicitly construct self-dual integral normal bases for the square-root of the inverse different in these extensions.

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## 1. Introduction

Let  $K$  be a finite extension of  $\mathbb{Q}_p$  and let  $\mathfrak{D}_K$  be the valuation ring of  $K$  with unique maximal ideal  $\mathfrak{P}_K$  and residue field  $k$ . We let  $L/K$  be a finite Galois extension of odd degree with Galois group  $G$  and let  $\mathfrak{D}_L$  be the integral closure of  $\mathfrak{D}_K$  in  $L$ . From [12, IV §2, Proposition 4], this means that the different,  $\mathfrak{D}_{L/K}$ , of  $L/K$  will have an even valuation, and so we define  $A_{L/K}$  to be the unique fractional ideal such that

$$A_{L/K} = \mathfrak{D}_{L/K}^{-1/2}.$$

<sup>☆</sup> Part of this work was completed when the author was at the University of Manchester, studying for a PhD under the supervision of M.J. Taylor.

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We let  $T_{L/K} : L \times L \rightarrow K$  be the symmetric non-degenerate  $K$ -bilinear form associated to the trace map (i.e.,  $T_{L/K}(x, y) = \text{Tr}_{L/K}(xy)$ ) which is  $G$ -invariant in the sense that  $T_{L/K}(g(x), g(y)) = T_{L/K}(x, y)$  for all  $g$  in  $G$ .

In [1] Bayer-Fluckiger and Lenstra prove that for an odd extension of fields,  $L/K$ , of characteristic not equal to 2, then  $(L, T_{L/K})$  and  $(KG, l)$  are isometric as  $K$ -forms, where  $l : KG \times KG \rightarrow K$  is the bilinear extension of  $l(g, h) = \delta_{g,h}$  for  $g, h \in G$ . This is equivalent to the existence of a self-dual normal basis generator for  $L$ , i.e., an  $x \in L$  such that  $L = KG.x$  and  $T_{L/K}(g(x), h(x)) = \delta_{g,h}$ .

If  $M \subset KG$  is a free  $\mathfrak{O}_K G$ -lattice, and is self-dual with respect to the restriction of  $l$  to  $\mathfrak{O}_K G$ , then Fainsilber and Morales have proved that if  $|G|$  is odd, then  $(M, l) \cong (\mathfrak{O}_K G, l)$  (see [6, Corollary 4.7]). The square-root of the inverse different,  $A_{L/K}$ , is a Galois module that is self-dual with respect to the trace form. From [4, Theorem 1], we know that  $A_{L/K}$  is a free  $\mathfrak{O}_K G$ -module if and only if  $L/K$  is at most weakly ramified, i.e., if the second ramification group is trivial. We know that if  $[L : K]$  is odd, then  $(L, T_{L/K}) \cong (KG, l)$ . Therefore, if  $[L : K]$  is odd,  $(A_{L/K}, T_{L/K})$  is isometric to  $(\mathfrak{O}_K G, l)$  if and only if  $L/K$  is at most weakly ramified. Equivalently, there exists a self-dual integral normal basis generator for  $A_{L/K}$  if and only if  $L/K$  is weakly ramified.

We remark that this problem has not been solved in the global setting. Erez and Morales show in [5] that, for an odd tame abelian extension of  $\mathbb{Q}$ , a self-dual integral normal basis does exist for the square-root of the inverse different. However, in [13], Vinatier gives an example of a non-abelian tamely ramified extension,  $N/\mathbb{Q}$ , where such a basis for  $A_{N/\mathbb{Q}}$  does not exist.

We now assume  $K$  is a finite unramified extension of  $\mathbb{Q}_p$  of degree  $d$ . We fix a uniformising parameter,  $\pi$ , and let  $q = p^d = |k|$ . We define  $K_{\pi,n}$  to be the unique field obtained by adjoining to  $K$  the  $[\pi^n]$ -division points of a Lubin–Tate formal group associated to  $\pi$ . We note that  $K_{\pi,n}/K$  is a totally ramified abelian extension of degree  $q^{n-1}(q-1)$ . In Section 2 we choose  $\pi = p$  and prove that the  $p$ th roots of unity are contained in the field  $K_{p,1}$ , therefore any abelian extension of exponent  $p$  above  $K_{p,1}$  will be a Kummer extension.

Let  $\gamma^{p-1} = -p$ . In [2, §5], Dwork introduces the exponential power series,

$$E_\gamma(X) = \exp(\gamma X - \gamma X^p),$$

where the right-hand side is to be thought of as the power series expansion of the exponential function. In [10] Lang presents a proof that  $E_\gamma(X)|_{X=\eta}$  converges  $p$ -adically if  $v_p(\eta) \geq 0$  and also that  $E_\gamma(X)|_{X=1}$  is equal to a primitive  $p$ th root of unity. In Section 3 we use Dwork’s power series to construct a set  $\{e_0, \dots, e_{d-1}\} \subset K_{p,1}$  such that  $K_{p,2} = K_{p,1}(e_0^{1/p}, \dots, e_{d-1}^{1/p})$ . In Section 3 we use these elements to obtain very explicit constructions of self-dual integral normal basis generators for  $A_{M/K}$  where  $M/K$  is any Galois extension of degree  $p$  contained in  $K_{p,2}$ .

When  $K = \mathbb{Q}_p$  and  $\pi = p$  the  $n$ th Lubin–Tate extensions are the cyclotomic extensions obtained by adjoining  $p^n$ th roots of unity to  $K$ . Hence the study of the Lubin–Tate extensions,  $K_{p,n}$ , can be thought of as a generalisation of cyclotomy theory. In [3] Erez studies a weakly ramified  $p$ -extension of  $\mathbb{Q}$  contained in the cyclotomic field  $\mathbb{Q}(\zeta_{p^2})$  where  $\zeta_{p^2}$  is a  $p^2$ th root of unity. He constructs a self-dual normal basis for the square-root of the inverse different of this extension. It turns out that the weakly ramified extension studied by Erez is, in fact, a special case of the extensions studied in Section 3 and the self-dual normal basis generator that he constructs is the corresponding basis generator we have generated using Dwork’s power series, so this work generalises results in [3].

## 2. Kummer generators

The construction of abelian Galois extensions of local fields using Lubin–Tate formal groups is standard in local class field theory. For a detailed account see, for example, [9] or [11]. We include a brief overview for the convenience of the reader and to fix some notation.

Let  $K$  be a finite extension of  $\mathbb{Q}_p$ , contained in a fixed algebraic closure  $\bar{K}$ . Let  $\pi$  be a uniformising parameter for  $\mathfrak{O}_K$  and let  $q = |\mathfrak{O}_K/\mathfrak{P}_K|$  be the cardinality of the residue field. We let  $f(X) \in X\mathfrak{O}_K[[X]]$  be such that

$$f(X) \equiv \pi X \pmod{\text{deg} 2}, \quad \text{and} \quad f(X) \equiv X^q \pmod{\pi}.$$

We now let  $F_f(X, Y) \in \mathfrak{D}_K[[X, Y]]$  be the unique formal group which admits  $f$  as an endomorphism. This means  $F_f(f(X), f(Y)) = f(F_f(X, Y))$  and that  $F_f(X, Y)$  satisfies some identities that correspond to the usual group axioms, see [11, §3.2] for full details. For  $a \in \mathfrak{D}_K$ , there exists a unique formal power series,  $[a]_f(X) \in X\mathfrak{D}_K[[X]]$ , that commutes with  $f$  such that  $[a]_f(X) \equiv aX \pmod{\text{deg}2}$ . We can use the formal group,  $F_f$ , and the formal power series,  $[a]_f$ , to define an  $\mathfrak{D}_K$ -module structure on  $\mathfrak{P}_K^c = \bigcup_L \mathfrak{P}_L$ , where the union is taken over all finite Galois extensions  $L/K$  where  $L \subseteq \bar{K}$ . We are going to look at the  $\pi^n$ -torsion points of this module. We let  $E_{f,n} = \{x \in \mathfrak{P}_K^c : [\pi^n]_f(x) = 0\}$  and  $K_{\pi,n} = K(E_{f,n})$ . We remark that the set  $E_{f,n}$  depends on the choice of the polynomial  $f$  but due to a property of the formal group (see [11, §3.3, Proposition 4]),  $K_{\pi,n}$  depends only on the uniformising parameter  $\pi$ . The extensions  $K_{\pi,n}/K$  are totally ramified abelian extensions. If we let  $K = \mathbb{Q}_p$  we can let  $\pi = p$  and  $f(X) = (X + 1)^p - 1$ . We then see that  $K_{p,n} = \mathbb{Q}_p(\zeta_{p^n})$  where  $\zeta_{p^n}$  is a primitive  $p^n$ th root of unity.

We now let  $K$  be an unramified extension of  $\mathbb{Q}_p$  of degree  $d$ . We note that  $q = p^d$  and that we can take  $\pi = p$ . We can then let  $f(X) = X^q + pX$  and note that  $K_{p,1} = K(\beta)$  where  $\beta^{q-1} = -p$ . If we let  $\gamma = \beta^{(q-1)/(p-1)}$  then  $\gamma^{p-1} = -p$  and  $K(\gamma) \subseteq K_{p,1}$ . From now on we will let  $K(\gamma) = K'$ . We will use Dwork's exponential power series to construct Kummer generators for  $K_{p,2}$  over  $K_{p,1}$ .

**Definition 1.** Let  $\gamma^{p-1} = -p$ . We define Dwork's exponential power series as

$$E_\gamma(X) = \exp(\gamma X - \gamma X^p),$$

where the right-hand side is to be thought of as the power series expansion of the exponential function.

From [10, Chapter 14 §2], we know that  $E_\gamma(X)|_{X=x}$  converges  $p$ -adically when  $v_p(x) \geq 0$  and that  $E_\gamma(X) \equiv 1 + \gamma X \pmod{\gamma^2}$ . We know then that  $E_\gamma(X)|_{X=1} \neq 1$ . We now raise Dwork's power series to the power  $p$  and see

$$\begin{aligned} \exp(\gamma X - \gamma X^p)^p &= \exp(p(\gamma X - \gamma X^p)) \\ &= \exp(\gamma pX - \gamma pX^p) \\ &= \exp(\gamma pX) \exp(-\gamma pX^p). \end{aligned}$$

As  $\exp(p\gamma X)|_{X=x}$  converges when  $v_p(x) \geq 0$  we can evaluate both sides at  $X = 1$  and see  $(\exp(\gamma X - \gamma X^p)^p)|_{X=1} = \exp(\gamma pX)|_{X=1} \exp(-\gamma pX^p)|_{X=1} = 1$ . Therefore,  $E_\gamma(X)|_{X=1}$  is equal to a primitive  $p$ th root of unity. This implies that  $K' = K(\gamma) = K(\zeta_p)$ .

Let  $\zeta_{q-1}$  be a primitive  $(q - 1)$ th root of unity. From [8, Theorem 25], we know  $K$  is uniquely defined and is equal to  $\mathbb{Q}_p(\zeta_{q-1})$ . From [8, Theorem 23] we then know that  $\mathfrak{D}_K = \mathbb{Z}_p[\zeta_{q-1}]$ . We now define  $\{a_i : 0 \leq i \leq d - 1\}$  to be a  $\mathbb{Z}_p$ -basis for  $\mathfrak{D}_K$  where  $a_0 = 1$  and each  $a_i$  is a  $(q - 1)$ th root of unity. We also define  $e_i = E_\gamma(X)|_{X=a_i}$  and let  $\mathcal{K}_2 = K_{p,1}(e_0^{1/p}, e_1^{1/p}, \dots, e_{d-1}^{1/p})$ . We will now show that  $\mathcal{K}_2 = K_{p,2}$ .

**Lemma 2.**  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^*) = (\pi) \times (1 + \mathfrak{P}_K^2)$  for some uniformising parameter,  $\pi$  of  $\mathfrak{D}_K$ .

**Proof.** As  $E_\gamma(X) \equiv 1 + \gamma X \pmod{\gamma^2}$  we see that  $e_i \equiv 1 + \gamma a_i \pmod{\gamma^2}$ . We define  $\mathcal{E}$  to be the set

$$\mathcal{E} = \{e_i : 0 \leq i \leq d - 1\} (\mathfrak{D}_{K(\gamma)}^\times)^p / (\mathfrak{D}_{K(\gamma)}^\times)^p$$

with multiplicative group structure. We have an isomorphism of groups  $\mathcal{E} \xrightarrow{\cong} (\mathfrak{P}_K)/(p\mathfrak{P}_K)$ , using the additive group structure of  $(\mathfrak{P}_K)/(p\mathfrak{P}_K)$ , which sends  $e_i$  to  $a_i$ . We remark that here  $p\mathfrak{P}_K = \mathfrak{P}_K^2$ . From our selection of the set  $\{a_i : 0 \leq i \leq d - 1\}$  as a basis for  $\mathfrak{D}_K$  we know that the  $e_i$  must be linearly

independent (multiplicatively) over  $\mathbb{F}_p$ . Therefore, we know that  $\text{Gal}(\mathcal{K}_2/K_{p,1})$  must be isomorphic to  $\prod_{i=1}^d C_p$ . From standard theory (see [11, §3]), we know  $\text{Gal}(K_{p,2}/K_{p,1}) \cong \mathfrak{P}_K/\mathfrak{P}_K^2$ , which is also isomorphic to  $\prod_{i=1}^d C_p$ . Therefore,  $\text{Gal}(\mathcal{K}_2/K) \cong \text{Gal}(K_{p,2}/K) \cong C_{q-1} \times \prod_{i=1}^d C_p$ .

The extensions  $\mathcal{K}_2/K$  and  $K_{p,2}/K$  are both finite abelian extensions of local fields. By the Artin symbol, (see [14, Appendix, Theorem 7]), we know that

$$K^\times/N_{K_{p,2}/K}(K_{p,2}^\times) \cong \text{Gal}(K_{p,2}/K) \quad \text{and} \quad K^\times/N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times) \cong \text{Gal}(\mathcal{K}_2/K),$$

and so

$$K^\times/N_{K_{p,2}/K}(K_{p,2}^\times) \cong K^\times/N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times).$$

From [9, Proposition 5.16] we know that  $N_{K_{p,2}/K}(K_{p,2}^\times) = \langle p \rangle \times (1 + \mathfrak{P}_K^2)$ . As  $K^\times$  is an abelian group we must then have  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times) \cong \langle p \rangle \times (1 + \mathfrak{P}_K^2)$ .

It is straightforward to check that  $\mathcal{K}_2/K$  is totally ramified. Therefore, from [7, IV §3], we know that  $K^\times/N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times) = \mathfrak{O}_K^\times/N_{\mathcal{K}_2/K}(\mathfrak{O}_{\mathcal{K}_2}^\times) \cong C_{q-1} \times \prod_{i=1}^d C_p$ . The group  $\mathfrak{O}_K^\times \cong C_{q-1} \times (1 + \mathfrak{P}_K)$ , so we know that

$$(1 + \mathfrak{P}_K)/N_{\mathcal{K}_2/K}(\mathfrak{O}_{\mathcal{K}_2}^\times) \cong \prod_{i=1}^d C_p.$$

As  $K/\mathbb{Q}_p$  is unramified and  $p > 2$ , the logarithmic power series gives us an isomorphism of groups,  $\log: 1 + \mathfrak{P}_K \cong \mathfrak{P}_K \cong \bigoplus_{i=0}^{d-1} \mathbb{Z}_p$ , using the multiplicative structure of  $1 + \mathfrak{P}_K$  and the additive structure of  $\mathfrak{P}_K$ , see [7, Chapter IV, Example 1.4] for full details. The maximal  $p$ -elementary abelian quotient of  $\bigoplus_{i=1}^d \mathbb{Z}_p$  is given by  $\bigoplus_{i=1}^d \mathbb{Z}_p / \bigoplus_{i=1}^d p\mathbb{Z}_p \cong \prod_{i=1}^d C_p$  and the unique subgroup that gives this quotient is  $\bigoplus_{i=1}^d p\mathbb{Z}_p$ . We then have  $\mathfrak{P}_K/p\mathfrak{P}_K \cong \prod_{i=1}^d C_p$  and using the logarithmic isomorphism we see  $(1 + \mathfrak{P}_K)/(1 + \mathfrak{P}_K)^p \cong \prod_{i=1}^d C_p$ . This means that  $(1 + \mathfrak{P}_K)^p$  is the unique subgroup of  $1 + \mathfrak{P}_K$  that gives the maximal  $p$ -elementary abelian quotient. As above we have  $(1 + \mathfrak{P}_K)^p = 1 + \mathfrak{P}_K^2$  and therefore,

$$N_{\mathcal{K}_2/K}(\mathfrak{O}_{\mathcal{K}_2}^\times) = 1 + \mathfrak{P}_K^2.$$

Let  $\Pi$  be a uniformising parameter for  $\mathcal{K}_2$ . As  $\mathcal{K}_2/K$  is totally ramified,  $N_{\mathcal{K}_2/K}(\Pi) = \pi$  must be a uniformising parameter of  $K$ . Since  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times)$  is a group under multiplication we know that  $\langle \pi \rangle$  must be a subgroup. We have already seen that  $(1 + \mathfrak{P}_K^2)$  is a subgroup, so as  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times)$  is abelian, we must have

$$\langle \pi \rangle \times (1 + \mathfrak{P}_K^2) \subseteq N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times).$$

The subgroups  $\langle \pi \rangle \times (1 + \mathfrak{P}_K^2)$  and  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times)$  both have the same finite index in  $K^\times$ , therefore we must have equality.  $\square$

To prove the next lemma we will use some properties of the  $p$ th Hilbert pairing for a field that contains the  $p$ th roots of unity. For full definitions and proofs see [7, Chapter IV]. We include the properties we will need for the convenience of the reader.

**Definition 3.** Let  $L$  be a field of characteristic 0 with fixed separable algebraic closure  $\bar{L}$  and let  $\mu_p$  be the group of  $p$ th roots of unity in  $\bar{L}$ . Let  $\mu_p \subseteq L$ . We define the  $p$ th Hilbert symbol of  $L$  as

$$\begin{aligned}
 (\cdot, \cdot)_{p,L} : L^\times \times L^\times &\longrightarrow \mu_p, \\
 (a, b) &\longmapsto \frac{(A_L(a))(b^{1/p})}{b^{1/p}},
 \end{aligned}$$

where  $A_L : L^\times \longrightarrow \text{Gal}(L^{ab}/L)$  is the Artin map of  $L$  (see [9, Chapter 6, §3] for details).

In [7, Chapter IV, Proposition 5.1] it is proved that if  $L'/L$  is a finite Galois extension of local fields, then the Hilbert symbol satisfies the following conditions.

- (1)  $(a, b)_{p,L} = 1$  if and only if  $a \in N_{L(b^{1/p})/L}(L(b^{1/p})^\times)$ , and  $(a, b)_{p,L} = 1$  if and only if  $b \in N_{L(a^{1/p})/L}(L(a^{1/p})^\times)$ ,
- (2)  $(a, b)_{p,L'} = (N_{L'/L}(a), b)_{p,L}$  for  $a \in L'^\times$  and  $b \in L^\times$ ,
- (3)  $(a, 1 - a)_{p,L} = 1$  for all  $1 \neq a \in L^\times$ ,
- (4)  $(a, b)_{p,L} = (b, a)_{p,L}^{-1}$ .

**Lemma 4.**

$$p \in N_{\mathcal{K}_2/K}(\mathcal{K}_2^*).$$

**Proof.** First we show that  $(e_i, \zeta_p - 1)_{p,K'} = 1$  for all  $0 \leq i \leq d - 1$ .

Recall that  $K' = K(\zeta_p)$  and consider the field extension  $K'/\mathbb{Q}_p(\zeta_p)$ . This is an unramified extension of degree  $d$ . As  $\zeta_p - 1 \in \mathbb{Q}_p(\zeta_p)$ , we can use property 2 of the Hilbert symbol to show  $(e_i, \zeta_p - 1)_{p,K'} = (N_{K'/\mathbb{Q}_p(\zeta_p)}(e_i), \zeta_p - 1)_{p,\mathbb{Q}_p(\zeta_p)}$ . Recall that  $e_i = E_\gamma(X)|_{X=a_i}$  where the set  $\{a_i : 0 \leq i \leq p - 1\}$  forms a basis for  $\mathfrak{D}_K$  over  $\mathbb{Z}_p$ , all the  $a_i$  are  $(p^d - 1)$ th roots of unity and  $a_0 = 1$ . The action of the Galois group  $\text{Gal}(K/\mathbb{Q}_p)$  on each  $a_i$  (which will be the same as the action of  $\text{Gal}(K'/\mathbb{Q}_p(\zeta_p))$ ) will be generated by the Frobenius element,

$$\phi_{K/\mathbb{Q}_p} : a_i \mapsto a_i^p.$$

We know that  $E_\gamma(X)|_{X=x}$  converges when  $v_p(x) \geq 0$ . As  $a_i^{p^k} \in \mathfrak{D}_K^\times$ , we have that  $E_\gamma(X)|_{X=a_i^{p^k}}$  converges for all  $k \in \mathbb{Z}$ . Therefore  $E_\gamma(X^{p^k})|_{X=a_i}$  must converge and

$$\phi_{K/\mathbb{Q}_p}^k(e_i) = E_\gamma(X^{p^k})|_{X=a_i},$$

where  $\phi_{K/\mathbb{Q}_p}^k$  is the Frobenius element,  $\phi_{K/\mathbb{Q}_p}$ , applied  $k$  times. We can now make the following derivation.

$$\begin{aligned}
 N_{K'/\mathbb{Q}_p(\zeta_p)}(e_i) &= \prod_{g \in \text{Gal}(K'/\mathbb{Q}_p(\zeta_p))} g(e_i) = \prod_{k=0}^{d-1} \phi_{K/\mathbb{Q}_p}^k(e_i) \\
 &= \prod_{k=0}^{d-1} E_\gamma(X^{p^k})|_{X=a_i} = \prod_{k=0}^{d-1} \exp(\gamma X^{p^k} - \gamma X^{p^{k+1}})|_{X=a_i} \\
 &= \exp((\gamma X - \gamma X^p) + (\gamma X^p - \gamma X^{p^2}) + \dots + (\gamma X^{p^{d-1}} - \gamma X^{p^d}))|_{X=a_i} \\
 &= \exp(\gamma X - \gamma X^{p^d})|_{X=a_i}.
 \end{aligned}$$

We now consider raising to the power  $p$  and see

$$\begin{aligned} \exp(\gamma X - \gamma X^{p^d})^p &= \exp(p(\gamma X - \gamma X^{p^d})) \\ &= \exp(p\gamma X - p\gamma X^{p^d}) \\ &= \exp(p\gamma X) \exp(-p\gamma X^{p^d}). \end{aligned}$$

The power series  $\exp(p\gamma X)|_{X=a_i}$  will converge when  $v_p(x) \geq 0$  so we can evaluate at  $X = a_i$  and see,  $(N_{K'/\mathbb{Q}_p}(\zeta_p)(e_i))^p = 1$ . Therefore  $N_{K'/\mathbb{Q}_p}(\zeta_p)(e_i)$  is a  $p$ th root of unity for all  $0 \leq i \leq d - 1$ . If  $N_{K'/\mathbb{Q}_p}(\zeta_p)(e_i) = 1$  then  $(N_{K'/\mathbb{Q}_p}(\zeta_p)(e_i), 1 - \zeta_p)_{p, \mathbb{Q}_p(\zeta_p)} = (1, 1 - \zeta_p)_{p, \mathbb{Q}_p(\zeta_p)} = 1$ , so we now assume  $N_{K'/\mathbb{Q}_p}(\zeta_p)(e_i)$  is a primitive  $p$ th root of unity. From property 3 of the Hilbert symbol we know that  $(\zeta_p, 1 - \zeta_p)_{p, \mathbb{Q}_p(\zeta_p)} = 1$ . We know that for  $1 \leq k \leq p - 1$ , then  $\mathbb{Q}_p(\zeta_p)(\zeta_p^{1/p}) = \mathbb{Q}_p(\zeta_p)(\zeta_p^{k/p})$ , and so from property 1 of the Hilbert symbol we know that  $(\zeta_p^k, 1 - \zeta_p)_{p, \mathbb{Q}_p(\zeta_p)} = 1$ . This means that  $(e_i, 1 - \zeta_p)_{p, K'} = 1$  for all  $0 \leq i \leq d - 1$ . We now let  $\xi_i \in K'(e_i^{1/p})$  be such that  $N_{K'(e_i^{1/p})/K'}(\xi_i) = 1 - \zeta_p$ . As  $p$  is odd,  $N_{K'(e_i^{1/p})/K'}(-\xi_i) = \zeta_p - 1$ , and therefore

$$(e_i, \zeta_p - 1)_{p, K'} = 1$$

for all  $0 \leq i \leq d - 1$ .

Next we show that  $\zeta_p - 1 \in N_{\mathcal{K}_2/K'}(\mathcal{K}_2^\times)$ . We have just shown that  $\zeta_p - 1 \in N_{K'(e_0^{1/p})/K'}(K'(e_0^{1/p})^\times)$ . We assume, for induction, that

$$\zeta_p - 1 \in N_{K'(e_0^{1/p}, \dots, e_j^{1/p})/K'}(K'(e_0^{1/p}, \dots, e_j^{1/p})^\times)$$

for some  $0 \leq j \leq p - 1$ . Let  $\eta \in K'(e_0^{1/p}, \dots, e_j^{1/p})^\times$  be such that  $N_{K'(e_0^{1/p}, \dots, e_j^{1/p})/K'}(\eta) = \zeta_p - 1$ . As  $e_{j+1} \in K'$  we can make the following derivation:

$$\begin{aligned} (\eta, e_{j+1})_{p, K'(e_0^{1/p}, \dots, e_j^{1/p})} &= (N_{K'(e_0^{1/p}, \dots, e_j^{1/p})/K'}(\eta), e_{j+1})_{p, K'} \\ &= (\zeta_p - 1, e_{j+1})_{p, K'} \\ &= (e_{j+1}, \zeta_p - 1)_{p, K'}^{-1} = 1. \end{aligned}$$

Therefore,

$$\eta \in N_{K'(e_0^{1/p}, \dots, e_{j+1}^{1/p})/K'(e_0^{1/p}, \dots, e_j^{1/p})}(K'(e_0^{1/p}, \dots, e_{j+1}^{1/p})^\times),$$

and so

$$(\zeta_p - 1) \in N_{K'(e_0^{1/p}, \dots, e_{j+1}^{1/p})/K'}(K'(e_0^{1/p}, \dots, e_{j+1}^{1/p})^\times).$$

By induction on  $j$  we see that  $(\zeta_p - 1) \in N_{\mathcal{K}_2/K'}(\mathcal{K}_2^\times)$ .

Finally we note that the minimal polynomial of  $\zeta_p - 1$  over  $K$  is  $f(X) = ((X + 1)^p - 1)/X$ . The constant term in  $f(X)$  is equal to  $p$  and  $K'$  is the splitting field of  $f(X)$ . Therefore, as  $[K' : K]$  is even,  $N_{K'/K}(\zeta_p - 1) = p$ . The norm map is transitive, so we know that  $p \in N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times)$ .  $\square$

**Theorem 5.**

$$K_{p,2} = K_{p,1}(e_0^{1/p}, e_1^{1/p}, \dots, e_{d-1}^{1/p}).$$

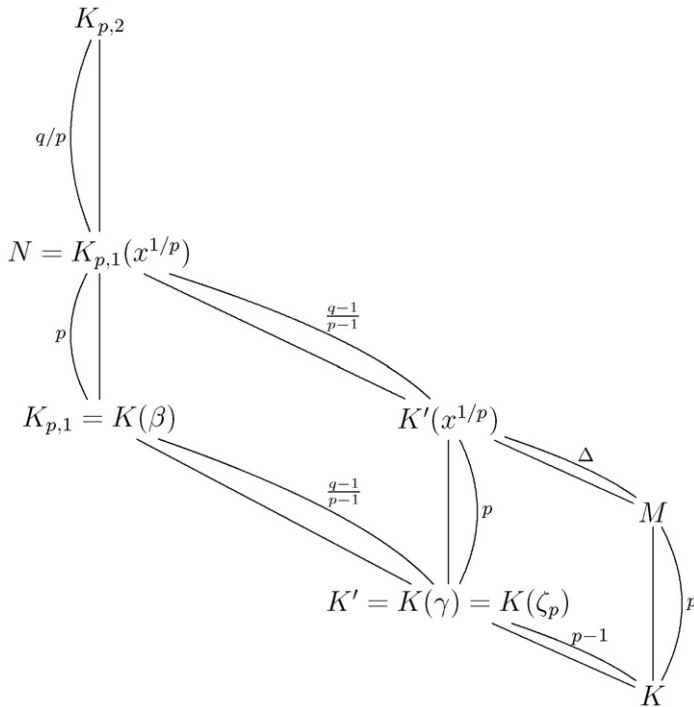


Fig. 1. Abelian extensions of  $K$ .

**Proof.** From Lemma 2 we know that  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times) = \langle \pi \rangle \times 1 + \mathfrak{P}_K^2$  where  $\pi = up$  for some  $u \in \mathfrak{O}_K^\times$ . From Lemma 4 we know that  $p \in N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times)$  and therefore that  $N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times) = \langle p \rangle \times 1 + \mathfrak{P}_K^2$ . From [9, Proposition 5.16], we know that  $N_{K_{p,2}/K}(K_{p,2}^\times) = \langle p \rangle \times (1 + \mathfrak{P}_K^2)$ . As  $\mathcal{K}_2/K$  and  $K_{p,2}/K$  are both finite abelian extensions of local fields contained in  $\bar{K}$  and  $N_{K_{p,2}/K}(K_{p,2}^\times) = N_{\mathcal{K}_2/K}(\mathcal{K}_2^\times)$ , from [14, Appendix, Theorem 9], we know that  $\mathcal{K}_2 = K_{p,2}$ .  $\square$

### 3. Explicit self-dual normal bases for $A_{M/K}$

We begin this section by describing the intermediate fields of  $K_{p,2}/K$  that we are going to study. The extension  $K_{p,2}/K_{p,1}$  is a totally ramified abelian extension of degree  $q$ . There will be  $(q - 1)/(p - 1)$  intermediate fields,  $N_j$  such that  $[K_{p,2} : N_j] = q/p$  and  $[N_j : K_{p,1}] = p$ . The  $p$ th roots of unity are contained in  $K_{p,1}$ , so for each  $j$ , the extension  $N_j/K_{p,1}$  will be a Kummer extension. We recall that  $\{a_i : 0 \leq i \leq d - 1\}$  is a  $\mathbb{Z}_p$ -basis for  $\mathfrak{O}_K$  where  $a_0 = 1$  and all the  $a_i$  are  $(q - 1)$ th roots of unity. We have shown that  $K_{p,2} = K(e_0^{1/p}, e_1^{1/p}, \dots, e_{d-1}^{1/p})$ , where the  $e_i = E_\gamma(X)|_{X=a_i}$ . Therefore each  $N_j = K_{p,1}(x_j^{1/p})$  for  $x_j = \prod_{i=0}^{d-1} e_i^{n_i}$  for some  $0 \leq n_i \leq p - 1$ , not all zero. We now note that for all  $x = \prod_{i=0}^{d-1} e_i^{n_i}$  as above, we have  $x \in K' (= K(\gamma) = K(\zeta_p))$ . Therefore  $K'(x_j^{1/p})$  is the unique extension of  $K'$  of degree  $p$  contained in  $N_j$ . There is also a unique extension of  $K$  of degree  $p$  contained in  $N_j$ , we shall call this extension  $M_j$  and let  $\text{Gal}(K'(x_j^{1/p})/M_j) = \Delta_j$ . From now on we will drop the subscript for  $N_j$ ,  $x_j$ ,  $M_j$  and  $\Delta_j$  as the following results do not depend on which  $x_j = \prod_{i=0}^{d-1} e_i^{n_i}$  we pick. To clarify, we will describe these extensions in Fig. 1.

We also let  $\text{Gal}(K'(x^{1/p})/K') = G$ , and as all the groups we are dealing with are abelian we will use an abuse of notation and write  $\text{Gal}(M/K) = G$  and  $\text{Gal}(K'/K) = \Delta$ .

Let  $A_{M/K} = \mathfrak{D}_{M/K}^{-1/2}$  be the square-root of the inverse different of  $M/K$ . The aim now is to show that  $(1 + \text{Tr}_\Delta(x^{1/p}))/p$  is a self-dual normal basis for  $A_{M/K}$ .

We remark that if  $K = \mathbb{Q}_p$ , then  $K' = K_{p,1}$ ,  $N_1 = K_{p,2} = K'(x^{1/p})$  and the only choice for  $x$  is  $E_\gamma(X)|_{X=1} = \zeta_p$ . In [3] Erez shows that in this case  $(1 + \text{Tr}_\Delta(\zeta_p^{1/p}))/p$  does indeed give a self-dual normal basis for  $A_{M/K}$ . So the situation we describe generalises the work in [3].

Before we proceed to the main results of this section we must make some basic calculations about the field extensions to be studied.

**Lemma 6.**

$$v_M(A_{M/K}) = 1 - p.$$

**Proof.** We first calculate the ramification groups of  $K_{p,2}/K_{p,1}$ . We recall that  $f(X) = X^q + pX$ . If we let  $u \in \mu_{q-1} \cup \{0\} (=k)$ , clearly  $[u](X) = uX$  and  $[up](X) = u[p](X)$ . Let  $\alpha$  be a primitive  $[p^2]$ -division point for  $F_f(X, Y)$ . We see that

$$\begin{aligned} f([up + 1](\alpha)) &= f(F(u[p](\alpha), \alpha)) \\ &= F(f(u[p](\alpha)), f(\alpha)) \\ &= F(uf^2(\alpha), f(\alpha)) \\ &= f(\alpha). \end{aligned}$$

Therefore  $[up + 1](\alpha)$  is another primitive  $[p^2]$ -division point and the Galois conjugates of  $\alpha$  over  $K_{p,1}$  are given by  $[up + 1](\alpha)$  for  $u \in \mu_{q-1} \cup \{0\}$ .

Given  $f(X) \in \mathfrak{D}_K[X]$  such that  $f(X) \equiv pX \pmod{\text{deg}2}$  and  $f(X) \equiv X^q \pmod{p}$ , the standard proof in the literature of the existence of a formal group  $F(X, Y) \in \mathfrak{D}_K[[X, Y]]$  such that  $F$  commutes with  $f$  uses an iterative process for calculating  $F_f$ . See, for example, [11, §3.5, Proposition 5] or [9, III, Proposition 3.12]. The  $i$ th iteration calculates  $F(X, Y) \pmod{\text{deg}(i + 1)}$  and passage to the inductive limit gives  $F(X, Y)$ . We will use this process to calculate the first few terms of  $F(X, Y)$ .

We will let  $F^i(X, Y) \equiv F(X, Y) \pmod{\text{deg}(i + 1)}$  and define  $E_i$  to be the  $i$ th error term, i.e.,  $E_i = f(F^{i-1}(X, Y)) - F^{i-1}(f(X), f(Y)) \pmod{\text{deg}(i + 1)}$ . From [11, §3.5, Proposition 5] we then have

$$F^{i+1}(X, Y) = F^i(X, Y) - \frac{E_i}{p(1 - p^{i-1})}.$$

$F(X, Y)$  is a formal group, so  $F^1(X, Y) = X + Y$ . We then see

$$\begin{aligned} f(F^1(X, Y)) - F^1(f(X), f(Y)) &= (X + Y)^q + p(X + Y) - (X^q + pX + Y^q + pY) \\ &= \sum_{i=1}^{q-1} \binom{q}{i} X^i Y^{q-i}. \end{aligned}$$

So the error terms will be  $E_i = 0$  for  $2 \leq i \leq q - 1$  and  $E_q = \sum_{i=1}^{q-1} \binom{q}{i} X^i Y^{q-i}$ . From [11, §3.5, Proposition 5], we then get

$$F(X, Y) \equiv X + Y - \frac{\sum_{i=1}^{q-1} \binom{q}{i} X^i Y^{q-i}}{p(1 - p^{q-1})} \pmod{\text{deg}(q + 1)}.$$



We now substitute  $X = \alpha$  and  $Y = u[p](X) = u(\alpha^q + p\alpha)$  into our expression for  $F(X, Y)$  and see that

$$\begin{aligned}
 [1 + up](\alpha) &\equiv \alpha + u(\alpha^q + p\alpha) - \frac{\sum_{i=1}^{q-1} \binom{q}{i} \alpha^i (u(\alpha^q + p\alpha))^{q-i}}{p(1 - p^{q-1})} \pmod{\alpha^{q+1}} \\
 &\equiv (1 + up)\alpha + \left( u - \frac{\sum_{i=1}^{q-1} (up)^{q-i} \binom{q}{i}}{p(1 - p^{q-1})} \right) \alpha^q \pmod{\alpha^{q+1}}.
 \end{aligned}$$

Let  $\Gamma = \text{Gal}(K_{p,2}/K_{p,1})$ . We know that  $\alpha$  is a uniformising parameter for  $\mathfrak{D}_{K_{p,2}}$  and that  $p \in \mathfrak{P}_{K_{p,2}}^{q(q-1)}$ . An element  $s \in \Gamma$  is in the  $i$ th ramification group (with the lower numbering),  $\Gamma_i$ , if and only if  $s(\alpha)/\alpha \equiv 1 \pmod{\mathfrak{P}_{K_{p,2}}^i}$ , see [12, IV §2, Proposition 5]. We have shown that for  $1 \neq s \in \Gamma$  then  $s(\alpha)/\alpha \equiv 1 + u\alpha^{q-1} \pmod{\mathfrak{P}_{K_{p,2}}^q}$ . Therefore,  $\Gamma = \Gamma_i$  for  $0 \leq i \leq (q - 1)$  and  $\Gamma_q = \{1\}$ .

To calculate the ramification groups of  $N/K_{p,1}$  we need to change the numbering of the ramification groups of  $K_{p,2}/K_{p,1}$  from lower numbering to upper numbering. From [12, IV §3] we have  $\Gamma^{-1} = \Gamma$ ,  $\Gamma^0 = \Gamma_0$  and  $\Gamma^{\phi(m)} = \Gamma_m$  where  $\phi(m) = \frac{1}{|T_0|} \sum_{i=1}^m |\Gamma_i|$ . A straightforward calculation then shows that the upper numbering is actually the same as the lower numbering. From [12, IV §3, Proposition 14] we then know that  $\text{Gal}(N/K_{p,1}) = \text{Gal}(N/K_{p,1})^i$  for  $0 \leq i \leq (q - 1)$ , and  $\text{Gal}(N/K_{p,1})^q = \{1\}$  and switching back to the lower numbering we have  $\text{Gal}(N/K_{p,1}) = \text{Gal}(N/K_{p,1})_i$  for  $0 \leq i \leq (q - 1)$ , and  $\text{Gal}(N/K_{p,1})_q = \{1\}$ .

From [12, IV §2, Proposition 4], we have the formula,

$$v_N(\mathfrak{D}_{N/K_{p,1}}) = \sum_{i \geq 0} (|\text{Gal}(N/K_{p,1})_i| - 1),$$

and so  $v_N(\mathfrak{D}_{N/K_{p,2}}) = q(p - 1)$ . The extensions  $N/M$  and  $K_{p,1}/K$  are both totally, tamely ramified extensions of degree  $q - 1$ , so from the formula above we know that  $v_N(\mathfrak{D}_{N/M}) = v_{K_{p,1}}(\mathfrak{D}_{K_{p,1}/K}) = q - 2$ . From [8, III.2.15] we know, for a separable tower of fields  $L' \supseteq L' \supseteq L$ , the differentials of these field extensions are linked by the formula  $\mathfrak{D}_{L'/L} = \mathfrak{D}_{L'/L'} \mathfrak{D}_{L'/L}$ . We therefore have  $v_M(\mathfrak{D}_{M/K}) = 2(p - 1)$ , and so  $v_M(A_{M/K}) = 1 - p$ .  $\square$

**Remark 7.** We remark that this lemma implies that  $M/K$  is weakly ramified.

We now prove a very useful result that makes finding self-dual integral normal bases much easier.

**Lemma 8.** *Let  $a$  be an element of  $A_{L/K}$  that is self-dual with respect to the trace form, (i.e.,  $T_{L/K}(g(a), h(a)) = \delta_{g,h}$  for all  $g, h \in G$ ), then  $A_{L/K} = \mathfrak{D}_K[G].a$ .*

**Proof.** Let  $a \in A_{L/K}$  be as given. The square-root of the inverse different,  $A_{L/K}$ , is a fractional  $\mathfrak{D}_L$ -ideal stable under the action of the Galois group,  $G$ , therefore  $\mathfrak{D}_K[G].a \subseteq A_{L/K}$ .

The inclusion of  $\mathfrak{D}_K$ -lattices,  $\mathfrak{D}_K[G].a \subseteq A_{L/K}$ , means that  $A_{L/K}^D \subseteq (\mathfrak{D}_K[G].a)^D$  where  $D$  denotes the  $\mathfrak{D}_K$ -dual taken with respect to the trace form. As  $A_{L/K} = A_{L/K}^D$ , we have  $A_{L/K} \subseteq (\mathfrak{D}_K[G].a)^D$ . We know that  $\mathfrak{D}_K[G].a$  is  $\mathfrak{D}_K$ -free on the basis  $\{g(a) : g \in G\}$ , so  $(\mathfrak{D}_K[G].a)^D$  is  $\mathfrak{D}_K$ -free on the dual basis with respect to the trace form, which is  $\{g(a) : g \in G\}$ . Therefore  $(\mathfrak{D}_K[G].a)^D = \mathfrak{D}_K[G].a$  and  $A_{L/K} \subseteq \mathfrak{D}_K[G].a$ , and so  $A_{L/K} = \mathfrak{D}_K[G].a$ .  $\square$

For each  $x = \prod_{i=0}^{d-1} e_i^{n_i}$  with  $0 \leq n_i \leq p - 1$  not all zero, we know that there exists  $u \in \mathfrak{D}_K^\times$  such that  $x \equiv 1 + u\gamma \pmod{\gamma^2}$ . The element  $\gamma$  is a uniformising parameter for  $\mathfrak{D}_{K'}$ , therefore,  $x \in \mathfrak{D}_{K'}^\times$  and  $x - 1$  will also be a uniformising parameter for  $\mathfrak{D}_{K'}$ . Using the binomial theorem we note that  $(x^{1/p} - 1)^p = x - 1 + py$  where  $v_{K'}(x^{1/p})(y) \geq 0$ . Therefore  $v_{K'}(x^{1/p})((x^{1/p} - 1)^p) = p$  and  $v_{K'}(x^{1/p})(x^{1/p} - 1) = 1$ , so  $x^{1/p} - 1$  is a uniformising parameter for  $\mathfrak{D}_{K'}(x^{1/p})$ .

**Lemma 9.**

$$\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p} \in A_{M/K}.$$

**Proof.** We have just shown that  $x^{1/p} - 1$  is a uniformising parameter for  $\mathfrak{O}_{K'(x^{1/p})}$ . As  $K'(x^{1/p})/M$  is a totally, tamely ramified extension, we know that  $\text{Tr}_\Delta(x^{1/p} - 1) \in \mathfrak{P}_M$  so  $v_M(\text{Tr}_\Delta(x^{1/p} - 1)) \geq 1$ . We know that

$$\text{Tr}_\Delta(x^{1/p} - 1) = \text{Tr}_\Delta(x^{1/p}) - (p - 1) = (1 + \text{Tr}_\Delta(x^{1/p})) - p.$$

Therefore,  $v_M(1 + \text{Tr}_\Delta(x^{1/p})) \geq 1$  and  $v_M(\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p}) \geq 1 - p$ . Since  $v_M(A_{M/K}) = 1 - p$ , we must have  $\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p} \in A_{M/K}$ .  $\square$

**Lemma 10.** Let  $x = \prod_{i=0}^{d-1} e_i^{n_i}$  for some  $n_i \in \mathbb{Z}^+$ , and let  $\delta \in \Delta = \text{Gal}(K'(x^{1/p})/M)$ . Let  $\delta : \gamma \mapsto \chi(\delta)\gamma$  with  $\chi(\delta) \in \mu_{p-1}$ , then  $\delta(x) = x^{\chi(\delta)}$ .

**Proof.** As  $\chi(\delta)^p = \chi(\delta)$ , for all  $\delta \in \Delta$  we have the following equality:

$$\exp(\chi(\delta)\gamma X - \chi(\delta)\gamma X^p) = \exp\left(\chi(\delta)\gamma X + \frac{(\chi(\delta)\gamma X)^p}{p}\right).$$

As  $\chi(\delta)$  is a unit we know, from [10, Chapter 14, §2] that  $\exp((\chi(\delta)\gamma X) + \frac{(\chi(\delta)\gamma X)^p}{p})|_{X=y}$  will converge when  $v_p(y) \geq 0$ . Therefore,  $\exp(\chi(\delta)\gamma X - \chi(\delta)\gamma X^p)|_{X=a_i}$  will converge. We can now make the following derivation:

$$\begin{aligned} (E_\gamma(X)|_{X=a_i})^{\chi(\delta)} &= (\exp(\gamma X - \gamma X^p)|_{X=a_i})^{\chi(\delta)} \\ &= \exp(\chi(\delta)(\gamma X - \gamma X^p))|_{X=a_i} \\ &= \exp(\chi(\delta)\gamma X - \chi(\delta)\gamma X^p)|_{X=a_i}. \end{aligned}$$

As  $a_i$  is fixed by all  $\delta \in \Delta$  we see that

$$\delta(\gamma X - \gamma X^p)|_{X=a_i} = (\delta(\gamma X - \delta(\gamma X^p))|_{X=a_i} = (\chi(\delta)\gamma X - \chi(\delta)\gamma X^p)|_{X=a_i}.$$

As  $\exp(\chi(\delta)\gamma X - \chi(\delta)\gamma X^p)|_{X=a_i}$  converges we must then have

$$\begin{aligned} \exp(\chi(\delta)\gamma X - \chi(\delta)\gamma X^p)|_{X=a_i} &= \exp(\delta(\gamma X - \delta(\gamma X^p))|_{X=a_i}) \\ &= \delta(\exp(\gamma X - \gamma X^p)|_{X=a_i}) \\ &= \delta(E_\gamma(X)|_{X=a_i}). \end{aligned}$$

Therefore,  $\delta(e_i) = (e_i)^{\chi(\delta)}$  for all  $0 \leq i \leq (d - 1)$ , which means  $\delta(x) = x^{\chi(\delta)}$ .  $\square$

**Lemma 11.** Let  $g \in \text{Gal}(M/K)$ , then

$$T_{M/K}\left(\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p}, g\left(\frac{1 + \text{Tr}_\Delta(x^{i/p})}{p}\right)\right) = \delta_{1,g}.$$

**Proof.** First we observe that  $\text{Tr}_G(x^{i/p}) = \sum_{g \in G} g(x^{i/p}) = x^{1/p} \sum_{j=0}^{p-1} \zeta_p^{ij} = 0$  for all  $p \mid i$ . The trace map is transitive, so  $\text{Tr}_G(\text{Tr}_\Delta(x^{i/p})) = \text{Tr}_\Delta(\text{Tr}_G(x^{i/p})) = \text{Tr}_\Delta(0) = 0$  for  $p \mid i$ . We make the following derivation:

$$\begin{aligned} & \text{Tr}_G\left(\left(\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p}\right)g\left(\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p}\right)\right) \\ &= \text{Tr}_G\left(\left(\frac{1 + \text{Tr}_\Delta(x^{1/p})}{p}\right)\left(\frac{1 + g(\text{Tr}_\Delta(x^{1/p}))}{p}\right)\right) \\ &= \text{Tr}_G\left(\frac{1 + \text{Tr}_\Delta(x^{1/p}) + g(\text{Tr}_\Delta(x^{1/p})) + \text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p}))}{p^2}\right) \\ &= \text{Tr}_G\left(\frac{1 + \text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p}))}{p^2}\right) \\ &= \frac{p + \text{Tr}_G(\text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p})))}{p^2}. \end{aligned}$$

The right-hand side of this equation equals 1 if and only if  $\text{Tr}_G(\text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p}))) = (p - 1)p$ , and it equals 0 if and only if  $\text{Tr}_G(\text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p}))) = -p$ . Therefore it is sufficient to show

$$\text{Tr}_G(\text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p}))) = \begin{cases} (p - 1)p & \text{if } g = \text{id}, \\ -p & \text{if } g \neq \text{id}. \end{cases}$$

From Lemma 10 we know that  $\delta(x) = x^\chi(\delta)$ . This means that  $\delta(x^{1/p}) = \zeta_\delta x^{\chi(\delta)/p}$  for some  $\zeta_\delta \in \mu_p$ . We know that  $\mu_{p-1} \subset \mathbb{Z}_p^\times$  so we can write  $\chi(\delta) \equiv j(\delta) \pmod p$ , for some  $1 \leq j(\delta) \leq (p - 1)$  and note that  $j(\delta) = j(\delta')$  if and only if  $\delta = \delta'$ . We can therefore define a set of constants  $\{\lambda_{j(\delta)} \in \mathfrak{D}_K : \delta \in \Delta\}$  such that  $\delta(x^{1/p}) = \lambda_{j(\delta)} x^{j(\delta)/p}$ . We now define  $\sigma \in \Delta$  to be the involution such that  $\chi(\sigma) = -1$  and  $j(\sigma) = p - 1$  and note that  $\sigma(\zeta_p) = \zeta_p^{-1}$ . We consider the double action of  $\sigma$  on  $x^{1/p}$ . We have  $\sigma(x^{1/p}) = \zeta_\sigma x^{\chi(\sigma)/p} = \zeta_\sigma x^{-1/p}$ , so

$$\begin{aligned} \sigma^2(x^{1/p}) &= \sigma(\zeta_\sigma)\sigma(x^{-1/p}) \\ &= \zeta_\sigma^{-1}\sigma(x^{1/p})^{-1} \\ &= \zeta_\sigma^{-1}(\zeta_\sigma x^{-1/p})^{-1} \\ &= \zeta_\sigma^{-2}x^{1/p}. \end{aligned}$$

As  $\sigma$  is an involution,  $x^{1/p} = \zeta_\sigma^{-2}x^{1/p}$ , so we have  $\zeta_\sigma = 1$ . Therefore,  $\sigma(x^{1/p}) = x^{-1/p} = (1/x)x^{(p-1)/p}$ , and so  $\lambda_{p-1} = 1/x$ .

For  $g \in G$  we know that  $g(x^{1/p}) = \zeta^i x^{1/p}$  for some  $0 \leq i \leq p - 1$  with  $i = 0$  when  $g = \text{id}$ . Using this notation we make the following derivation:

$$\begin{aligned} \text{Tr}_G(\text{Tr}_\Delta(x^{1/p})g(\text{Tr}_\Delta(x^{1/p}))) &= \text{Tr}_G\left(\left(\sum_{\xi \in \Delta} \xi(x^{1/p})\right)\left(g\left(\sum_{\eta \in \Delta} \eta(x^{1/p})\right)\right)\right) \\ &= \text{Tr}_G\left(\sum_{\xi \in \Delta} \sum_{\eta \in \Delta} \xi(x^{1/p})g(\eta(x^{1/p}))\right) \end{aligned}$$

$$\begin{aligned}
 &= \text{Tr}_G \left( \sum_{\xi \in \Delta} \sum_{\eta \in \Delta} \xi(x^{1/p}) \eta g(x^{1/p}) \right) \text{ as } G \times \Delta \text{ is abelian} \\
 &= \text{Tr}_G \left( \sum_{\xi \in \Delta} \sum_{\delta \in \Delta} \xi(x^{1/p}) \xi \delta g(x^{1/p}) \right) \text{ where } \delta = \xi^{-1} \eta \\
 &= \text{Tr}_G \left( \sum_{\xi \in \Delta} \xi \left( \sum_{\delta \in \Delta} (x^{1/p}) \delta g(x^{1/p}) \right) \right) \\
 &= \text{Tr}_{G \times \Delta} \left( \sum_{\delta \in \Delta} (x^{1/p}) \delta g(x^{1/p}) \right) \\
 &= \sum_{\delta \in \Delta} \text{Tr}_{G \times \Delta} \left( (x^{1/p}) \delta g(x^{1/p}) \right) \\
 &= \sum_{\delta \in \Delta} \text{Tr}_{G \times \Delta} \left( (x^{1/p}) \delta (\zeta_p^i(x^{1/p})) \right) \\
 &= \sum_{\delta \in \Delta} \text{Tr}_{G \times \Delta} \left( (x^{1/p}) \delta (x^{1/p}) \delta (\zeta_p^i) \right) \\
 &= \sum_{\delta \in \Delta} \text{Tr}_{G \times \Delta} \left( (x^{1/p}) (\lambda_{j(\delta)} x^{j(\delta)/p}) \zeta_p^{ij(\delta)} \right) \\
 &= \sum_{j=1}^{p-1} \text{Tr}_{G \times \Delta} \left( (x^{1/p}) (\lambda_j x^{j/p}) \zeta_p^{ij} \right) \\
 &= \sum_{j=1}^{p-1} \text{Tr}_{G \times \Delta} \left( (x^{(j+1)/p}) \lambda_j \zeta_p^{ij} \right).
 \end{aligned}$$

Now  $\text{Tr}_{G \times \Delta}((x^{(j+1)/p}) \lambda_j \zeta_p^{ij}) = \text{Tr}_\Delta(\lambda_j \zeta_p^{ij} (\text{Tr}_G(x^{(j+1)/p})))$  as  $\lambda_j, \zeta_p^{ij} \in K'$  and we saw above that  $\text{Tr}_G(x^{(j+1)/p}) = 0$  apart from when  $j = p - 1$ . Using this and that fact that  $\lambda_{p-1} = 1/x$  we see that

$$\begin{aligned}
 \text{Tr}_G(\text{Tr}_\Delta(x^{1/p}) g(\text{Tr}_\Delta(x^{1/p}))) &= \text{Tr}_\Delta((1/x) \zeta_p^{i(p-1)} (\text{Tr}_G(x))) \\
 &= p \text{Tr}_\Delta(\zeta^{-i}).
 \end{aligned}$$

Therefore,

$$\text{Tr}_G(\text{Tr}_\Delta(x^{1/p}) g(\text{Tr}_\Delta(x^{1/p}))) = \begin{cases} (p - 1)p & \text{if } g = \text{id}, \\ -p & \text{if } g \neq \text{id} \end{cases}$$

as required.  $\square$

**Theorem 12.** For all  $x_j = \prod_{i=0}^{d-1} e_i^{n_i}$  with  $0 \leq n_i \leq p - 1$  not all zero,

$$\frac{1 + \text{Tr}_{\Delta_j}(x_j^{1/p})}{p}$$

is a self-dual normal basis generator for  $A_{M_j/K}$ .

**Proof.** From Lemma 9 we know that  $(1 + \text{Tr}_{\Delta_j}(x_j^{1/p}))/p \in A_{M/K}$ . From Lemma 11 we know that

$$T_{M/K} \left( \frac{1 + \text{Tr}_{\Delta_j}(x_j^{1/p})}{p}, g \left( \frac{1 + \text{Tr}_{\Delta_j}(x_j^{1/p})}{p} \right) \right) = \delta_{1,g}$$

for all  $g \in \text{Gal}(M/K)$ . Therefore, using Lemma 8 we know that  $(1 + \text{Tr}_{\Delta_j}(x_j^{1/p}))/p$  is a self-dual normal basis generator for  $A_{M_j/K}$ .  $\square$

### Remark 13.

- (1) We remark that for every Galois extension,  $M'/K$ , of degree  $p$  contained in  $K_{p,2}$  we can construct a self-dual normal basis generator for  $A_{M'/K}$  in this way.
- (2) Let  $\mathcal{M} = \prod_j M_j$  be the compositum of the field extensions  $M_j$  for all  $j$  ( $\mathcal{M}$  is actually equal to  $\prod_{x_j \in \{e_i: 0 \leq i \leq d-1\}} M_i$ ). This is a weakly ramified extension of  $K$  of degree  $q$ . The product  $\prod_{i=0}^{q-1} (1 + \text{Tr}_{\Delta}(e_i^{1/p}))/p$  is then a self-dual element in  $\mathcal{M}$  and seems like the obvious choice for a self-dual integral normal basis generator for  $A_{\mathcal{M}/K}$ . However  $v_{\mathcal{M}}(A_{\mathcal{M}/K}) = 1 - q$ , and so  $\prod_{i=0}^{q-1} (1 + \text{Tr}_{\Delta}(e_i^{1/p}))/p \notin A_{\mathcal{M}/K}$  so generalisation up to  $\mathcal{M}$  is not as straight forward as one might hope.

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