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Review

Inadequate supply of vitamins and DHA in the elderly: Implications for brain aging and Alzheimer-type dementia



M. Hasan Mohajeri Ph.D.* , Barbara Troesch Ph.D., Peter Weber M.D., Ph.D.

DSM Nutritional Products Ltd., R&D Human Nutrition and Health, Basel, Switzerland

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ABSTRACT

Alzheimer's disease (AD) is the most prevalent, severe, and disabling cause of dementia worldwide. To date, AD therapy is primarily targeted toward palliative treatment of symptoms rather than prevention of disease progression. So far, no pharmacologic interventions have changed the onset or progression of AD and their use is accompanied by side effects. The major obstacle in managing AD and designing therapeutic strategies is the difficulty in retarding neuronal loss in the diseased brain once the pathologic events leading to neuronal death have started. Therefore, a promising alternative strategy is to maintain a healthy neuronal population in the aging brain for as long as possible. One factor evidently important for neuronal health and function is the optimal supply of nutrients necessary for maintaining normal functioning of the brain. Mechanistic studies, epidemiologic analyses, and randomized controlled intervention trials provide insight to the positive effects of docosahexaenoic acid (DHA) and micronutrients such as the vitamin B family, and vitamins E, C, and D, in helping neurons to cope with aging. These nutrients are inexpensive in use, have virtually no side effects when used at recommended doses, are essential for life, have established modes of action, and are broadly accepted by the general public. This review provides some evidence that the use of vitamins and DHA for the aging population in general, and for individuals at risk in particular, is a viable alternative approach to delaying brain aging and for protecting against the onset of AD pathology.

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Introduction

In the 20th century, life expectancy increased by > 30 y, which, combined with declining fertility rates, led to a dramatic shift in demographic characteristics [1]. By the year 2040, more than one in four Europeans will be older than age 65 y and one in seven will be > 75 y of age [2]. Consequently, demand for health and long-term care, as well as expenses for pensions and social security, will increase significantly. More importantly, health expectancy is 8 to 11 y shorter than life expectancy, that is, the last decade of life is marked by disability and disease [1], to which the loss of cognitive functions and the increase in dementia is an important contributor. Dementia is not a disease

with a given etiology, but it is rather a group of chronic symptoms that are common to different neurologic disorders. These symptoms can include disorientation and impaired memory, language and reasoning competencies. Moreover, demented individuals progressively lose the capability to care for themselves accompanied by a deterioration of nutritional status [3].

Here we combine intake data and physiological data for proper brain functioning, as well as results from epidemiology studies and randomized placebo-controlled trials to examine the importance of micronutrients and polyunsaturated fatty acids (PUFAs) during brain aging and for maintaining neuronal health and proper cognitive performance. An attempt is made to define the role of nutrition in the development and progression of Alzheimer's disease (AD), which appears to be of particular interest as there is so far no curative treatment established for these patients. We will primarily concentrate on late-onset, sporadic AD, as it is the most common form and is thought to have a weaker genetic component [4]. Therefore, a greater potential for the effects of environmental factors, such as nutrition, may be anticipated.

MHM and PW designed and contributed the concept to the manuscript. MHM and BT reviewed the literature. All authors contributed to writing the manuscript. The authors are employed by DSM Nutritional Products, but no conflicts of interest exist in relation to the studies described and the content of this paper.

* Corresponding author. Tel.: +41 61 815 8484; fax: +41 61 815 8840.

E-mail address: hasan.mohajeri@dsm.com (M. H. Mohajeri).

Impact of aging on nutrient intake and utilization

Aging has been defined as the collection of changes that render human beings progressively more likely to die [5]. Indeed, one of its hallmarks in humans is an age-related increase in mortality rates shortly after maturity [5]. Although the aging process itself is distinct from disease, it increases the vulnerability to become infirm [6,7]. It is important to mention that brain aging is not a single process, but an accumulation of modifications, affecting different parts of the brain to varying degrees [8]. Aging and its linked pathologies also are accompanied by a multitude of social changes. Decreased income after retirement, lack of mobility and social contacts, as well as intake of multiple medications, chronic alcoholism, depressive mood, and loss of appetite often lead to a decrease in food intake [9]. Due to physical disabilities and confusion resulting from dementia, depression, or other psychological factors, older individuals tend to be less capable of preparing meals for themselves [9], resulting in decreased food intake [10]. Additionally, the mechanisms controlling food intake and the ability to compensate normal daily fluctuations in energy intake appear to decline with age [10, 11]. Moreover, the correlation between stomach content and hunger declines, and meals become smaller and less frequent [12]. This reduced effectiveness to detect and react to hunger makes this population particularly vulnerable to malnutrition [10]. Furthermore, appetite is reduced by weakened taste and smell sensitivities, various pathological conditions or medications, and impaired chewing due to ill-fitting dentures [13]. Decreased secretion of saliva due to age, or the intake of certain drugs, also makes swallowing more difficult [14].

In addition to age-related issues with swallowing and food intake, the efficiency of the stomach often is impaired due to decreased secretion of gastric acid, pepsin, and mucus as well as a reduction in gastric emptying and blood supply, although this is rarely caused by older age alone [14]. Malabsorption may be the result of surgery or pathological conditions such as Crohn's, Whipple's, or celiac diseases; alcoholism; or certain infections [15, 16]. Infections with *Helicobacter pylori*, which is widespread in older people, manifests with symptoms such as anorexia, problems swallowing, bleeding in the upper gastrointestinal tract, vomiting, weight loss, and anemia [14]. With age, the gut microbiota shifts toward an increase in enterobacteria at the cost of anaerobes and bifidobacteria, which increases the vulnerability to diarrheal diseases [17]. The susceptibility is further elevated by increased intake of antibiotics or other drugs and a decreased immune defense [18]. At the same time, constipation is widespread due to lack of exercise, dehydration, low intake of dietary fibers, and drug treatment [19]. Another common cause for constipation is irritable bowel syndrome, which also can cause anemia, weight loss, and rectal bleeding [14]. These changes have been associated with increased risk for malnutrition and inadequate supplies of micronutrients in the older population [20] (Fig.1).

Alzheimer's disease

AD, an age-related debilitating progressive neurologic disease, is the leading cause of senile dementia and the fourth leading cause of death in industrialized societies [21]. Currently, >35 million individuals are afflicted with AD worldwide, and these numbers are expected to quadruple by 2050 [22]. Although deaths from HIV, stroke, and heart disease decreased between 2000 and 2008 by 29%, 20% and 13%, respectively, there was a 66% increase in deaths related directly to AD during the same period (www.alz.org/). The number of AD patients is expected to reach 13.5 million

by 2050 in the United States, resulting in >\$1 trillion in patient care costs (www.alz.org/). No disease-curing drug has been developed so far for treating AD, making it one of the most pressing public health problems in the world today [23]. Institutionalized care for demented individuals, especially AD patients, is a large challenge for health and social welfare systems. Existing AD options of medication, aiming to extend the half-life of the neurotransmitters acetylcholine, or modulate the N-methyl-D-aspartate receptor activity in the brain, can, at most, temporarily retard some of the cognitive decline associated with the disease, but they by no means, halt or reverse its progression [24–26].

AD is clinically diagnosed by progressive memory loss accompanied by another cognitive dysfunction, such as information-processing or language impairment. A final diagnosis, however, is only possible at biopsy, where the presence of intracellular neurofibrillary tangles, extra-cellular amyloid (A β) plaque depositions, and neuronal loss confirm the AD pathology [27,28]. The etiologic events leading to their generation are not entirely understood. Mutations in genes encoding amyloid precursor protein, presenilin 1 and 2 (APP, PS1, and PS2) are found in familial AD and lead to accelerated deposition of A β in animal models [28, 29]. These findings strengthen the so-called amyloid cascade hypothesis as a dominant theory of AD pathophysiology, and they provide associations between the presence of toxic-soluble amyloid oligomers and AD. Animal data show indeed that over-expressing human A β peptide in brains of transgenic mice mimics some of the pathologies observed in AD, including the deposition of amyloid plaques. Such in vivo studies set the basis for designing therapeutic approaches in humans. These animal models, however, do not represent the full biochemical and cellular changes found in AD [30] and despite the preclinical evidence, attempts at ameliorating AD pathology by removing amyloid plaques from the patient's brain have been unsuccessful [29–32]. The problem for AD therapy in humans is that well before dementia manifests itself, protein aggregates trigger a number of cellular changes leading to irreversible neuronal injury and loss. Anti-amyloid interventions proved to be disappointing in the clinic, even worsening the pathology at times [33]. This clinical inefficiency of anti-amyloid therapies questions the validity of the amyloid cascade hypothesis to the extent that some investigators openly question whether this hypothesis should be tested further [34] and it also has been claimed that deposition of A β in the AD brain is a consequence rather than a cause of AD [35–38]. Several additional mechanisms were proposed as alternative or combinatory mechanisms to explain AD pathophysiology including hormonal influence [39], inflammatory pathways [40], metabolic dysfunction [41], dysregulation of metal ion homeostasis [42], oxidative stress [43,44] and tau phosphorylation [45–48]. Although all of these mechanisms are implicated in the disease, none of these theories completely explains AD pathology. Therefore, it is not surprising that therapeutic strategies relying on any specific mechanism have not resulted in any pharmaceutical breakthrough for AD treatment to date.

Nutritional status of the older population

Physiological changes linked to aging lead to decreased needs for energy intake in older adults compared with young adults with the same height, weight, and level of activity, whereas requirements for micronutrients remain high [49]. Moreover, high-energy, low-nutrient foods constitute approximately 25% of the total energy intake in people >50 y in the United States [50], making it difficult to meet their needs for micronutrients. In other segments of the older population, the situation might be even

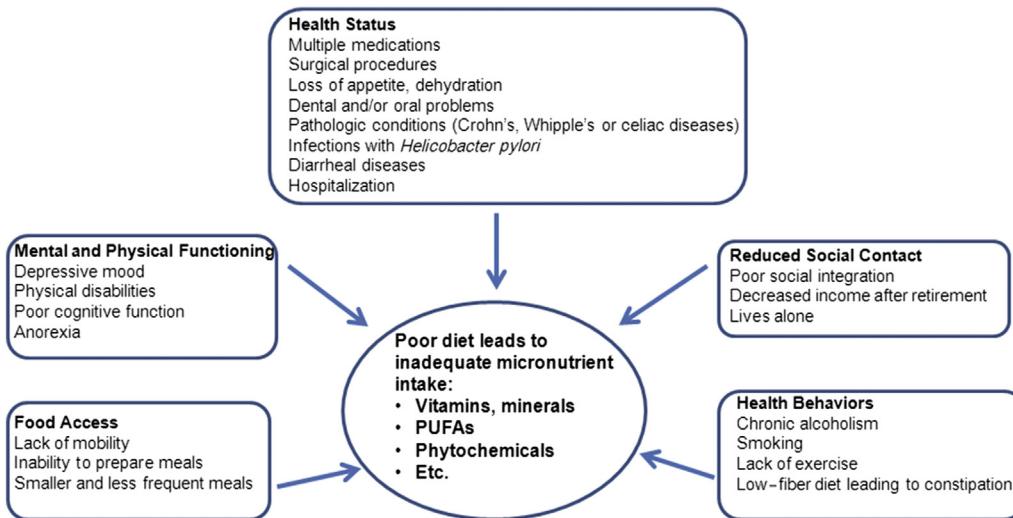


Fig. 1. Older adults are at risk for malnutrition. Various factors may cause poor coverage with essential nutrients and possible malnutrition in this age group. PUFA, polyunsaturated fatty acid.

more critical: Energy intake in ~50% to 75% residents of German nursing homes was found to be low [51]. The reduced energy recommendations and intakes highlight the importance of nutrient-dense foods for the older population [52]. Nutrient density refers to foods that have high levels of essential nutrients per food unit and are therefore deemed high-quality foods [53]. It was shown that nutrient density decreased as household budget available for food went down [54]. Given the often reduced budgets of the older population, this adds a further difficulty in achieving a balanced diet with sufficient micronutrients.

Various nutrients are crucial for proper brain functioning, especially in the elderly, and adequate intakes are essential given the age-related changes described. To get a better understanding of the adequacy of vitamin intake in older individuals in Western countries, we analyzed data collected in the frame of dietary surveys in the United States [55–57]. Data were selected for all adult participants with complete and reliable dietary records in the age range >70 y ($n = 2545$). The method of data collection and analysis was described elsewhere [58]. National Health and Nutrition Examination Survey (NHANES) data from 2003 to 2008 shows that intakes of vitamin A, C, D, E, K and folate are low in a significant proportion of the older population in the United States (Fig. 2A, B). In Germany, vitamin D and folate appear to be the most critical vitamins in people ages 65 to 80 y, followed by vitamins E and C [59] (Table 1), in both institutionalized and community-dwelling elderly. More than 50% of older residents in German nursing homes consumed the majority of vitamins at levels often well below recommendations [51]. The European Nutrition and Health Survey also reported that average intakes of vitamin D and folate for most countries are below the recommended levels, whereas around 50% of the countries have average intakes of vitamin E and C that are below recommendations [60]. Consequently, a significant proportion of older citizens can be expected to be at risk for multiple micronutrient deficiencies. Hospitalization, which is more likely in this age group, may add an additional risk for inadequate nutrition as it is reported that nutritional status is likely to worsen during a hospital stay (for a review see [61]). One reason given for the high prevalence of inadequate intakes even in affluent societies is lack of awareness of the problem not just in the general public, but also among health care professionals and decision makers [62]. Although

the controlled environment of the hospital would allow for improved nutrition, this opportunity has so far been neglected, likely due to lack of awareness and differing priorities. Consequently, it has repeatedly been stipulated that nutrition takes a more prominent role in the training of physicians [63].

In the United States, the nutrient-poor diet is partially compensated by the use of dietary supplements. The 1999 to 2000 NHANES reported that >35% of people ages ≥60 take micronutrient supplements on a regular basis [64]. In Germany, this was not the case as only around 3% of this age group were taking supplements [51]. A similar situation most likely exists with micronutrients supplied by fortification and enrichment, as it was found that fortification served as a significant source for vitamins and minerals in the United States [65], but not in Europe [66].

Vitamin B₁₂ intake levels are reported to be mostly above the Recommended Dietary Allowance (Table 2, Fig. 2) for older individuals in the United States. However, given the level of atrophic gastritis found in this age group [67] and the recommendations of doses of ≥1000 µg/d for people with malabsorption [68], concerns about the sufficiency of intake or bioavailability remain. Data collected in NHANES 1999 to 2004 found that 5% to 25% of people ages ≥60 had low serum vitamin B₁₂ levels, depending on the cutoff used [69]. In Germany, intakes are lower still; vitamin B₁₂ deficiency is therefore likely to be even more common.

The increased needs of many micronutrients, such as calcium and vitamin D in this group are difficult to meet from diet alone [70]. The same applies to levels of vitamin E, an important antioxidant in the human body as concluded by the European Food Safety Authority (EFSA; efsa.europa.eu). Vitamin E deficiency has been implicated in various chronic degenerative diseases [11]. The risk for nutrient intakes below the Estimated Average Requirements is reduced four times in older persons who regularly used supplements one or more micronutrients [71]. In older men, the prevalence of vitamins A and E and folate intake below the recommendations decreased from 53% to 4%, from 93% to 14%, and from 75% to 7%, respectively, with the use of supplements [71].

Cognitively normal aged brains can exhibit characteristic markers of AD

A healthy brain is one of the organs with the highest metabolic activities, and it uses a large proportion of the total nutrient

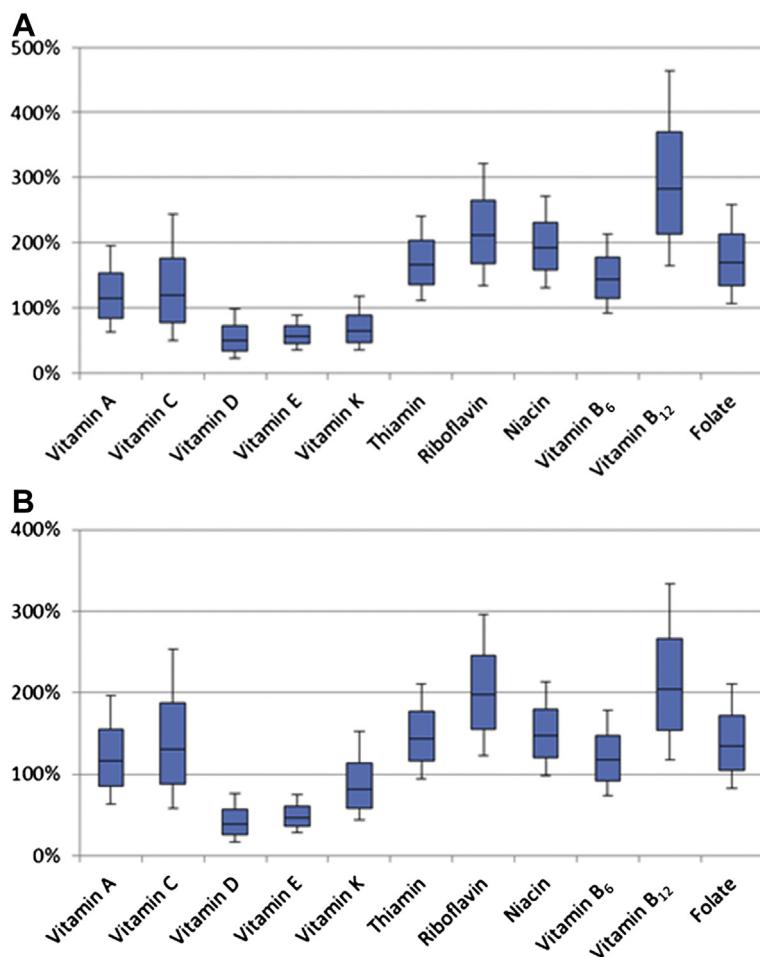


Fig. 2. Vitamin intake in the older U.S. population. Vitamin intake in older men ($n = 1274$) (A) and women ($n = 1271$) (B) in the United States relative to estimated average requirements for the age group of >70 y old [139,141,183,184]. The boxplots indicate 10th, 25th, 50th, 75th, and 90th percentiles.

and energy intake. Furthermore, the maintenance of properly functioning neural tissue is tightly regulated and depends on optimal intakes of vitamins, structural nutrients, and minerals. The brain is metabolically very active, depends on glucose for energy production, and consumes ~20% of the total blood glucose, although it only accounts for 2% to 3% of body weight in adult humans [72]. This high demand for energy makes the brain susceptible to any metabolic insufficiency caused by a shortage of essential nutrients [73].

Neurons are postmitotic, extremely specialized, and long-living cells. As a result, they have a limited capacity to cope with environmental stress and accumulating age-related events [74]. Additionally, because neurons in the brain do not regenerate, the accumulation of damage may exceed the capacity of repair mechanisms [75]. Also, the antioxidant machinery is less active in brain neurons compared with other organs [76]. Therefore, an aging brain has altered requirements of essential nutrients including vitamins, and this need is even more pronounced in dementia or depression [76]. In AD, markers of oxidative stress are elevated in the brain, indicating an increased level of oxidative stress and/or a decreased capacity to repair nucleic acid damage [77]. A shared factor in normal brain aging, age-related degenerative processes, common to a variety of neurodegenerative disorders, appears to be a decay of mitochondrial function [78]. One likely reason for this loss of function is the age-related accumulation of oxidative damage to mitochondrial proteins,

causing structural deformation and defects in key enzyme function [79]. Defective mitochondria also lead to a shortage of the energy supply to neurons, which in turn, may start the pathogenic cascades leading to age-associated neuronal loss in AD [80,81].

Older individuals with an inadequate supply of micronutrients and antioxidants may be at a higher risk for alterations in brain functioning and loss of mental and emotional abilities. Additionally, some of the neuropathologic changes associated with AD (elevated amyloid burden) and cerebrovascular disease (white matter hyperintensities) are also observed in clinically normal older adults [78,79,82]. Both amyloid accumulation and white matter abnormalities among clinically normal older adults provide a possible link to AD and cerebral amyloid angiopathy [83].

Moreover, prospective longitudinal studies in cognitively normal older adults revealed that up to 45% of nondemented individuals would histopathologically meet the criteria for AD [84]. In particular, the pattern of regional distribution of pathologic changes in nondemented controls was shown to match that of AD patients. Thus, mounting evidence from pathologic studies supports the view that AD is a continuous spectrum between asymptomatic lesions in cognitively normal older adults and dementia, with mild cognitive impairment (MCI) as a transition phase. The existence of pathologic hallmarks in cognitively normal individuals suggests that they likely represent the earliest stages of neuropathology, too early in the course of the disease to be clinically manifest. These data support the notion

Table 1

Proportion of the population with vitamin intakes below recommended levels in the general population and in the institutionalized older population in Germany

	General [59] (65–80 y)		Institutionalized [51] (>65 y)		Recommendation [185]	
	Men (%)	Women (%)	Men (%)	Women (%)	Men	Women
n	1469	1562	148	606		
Vitamin A	13.2	8.9	47.3	40.3	1 mg RE/d	0.8 mg RE/d
Vitamin D	94.2	97.4	92.6	95.7	5 µg/d*	5 µg/d*
Vitamin E	46.7	47.4	82.4	85.8	12 mg TE/d	11 mg TE/d
Thiamin	20.2	40.4	57.4	82.5	1 mg/d	1 mg/d
Riboflavin	18.5	31.8	50.7	63	1.2 mg/d	1.2 mg/d
Niacin	0.6	2.1	13.8	28.7	13 mg/d	13 mg/d
Vitamin B ₆	11.3	13.9	68.2	68.2	1.4 mg/d	1.2 mg/d
Folate	89.5	90.8	98.6	97	400 µg/d	400 µg/d
Vitamin B ₁₂	9.8	26.3	48	48	3 µg/d	3 µg/d
Vitamin C	30.6	30.0	84.6	84.6	100 mg/d	100 mg/d

RE, retinol equivalent; TE, tocopherol equivalent

* The recommendations for vitamin D have since been increased to 20 µg/d [186].

that advanced aging is associated with multiple neuropathologic cascades impacting cognitive function [83,85]. Importantly, age-related decline of neuronal numbers in the brain is reported in susceptible young adults [86], showing that processes leading to neuronal loss and the impairment of brain functions may begin at much younger ages than generally assumed. Clinical research shows that episodic memory, the ability to recall events in time and place, declines with age, starting as early as 20 y (93). This finding is in agreement with animal experiments showing the age-related neuronal loss in the rat brain starts at the end of adolescence (94). Therefore, the search for validated biomarker profiles for early detection of beginning pathology in healthy populations is of utmost importance. Moreover, holistic intervention strategies, including sufficient access to micronutrients, may be required to mitigate early decline in memory and to improve mental well-being.

Classical pharmacologic anti-AD therapies

Enormous resources have been dedicated to developing pharmacologic therapeutics for AD, but they have done little to help patients to date [23]. Pharmacologic approaches for primary or secondary prevention of AD target neurotransmission, A_β production, aggregation or clearance, tau proteins or neurotrophins, or they increase brain resistance to A_β or modulate synaptic plasticity and nerve growth [23]. Moreover, hormone replacement therapy, nonsteroidal anti-inflammatory drugs, cholinesterase inhibitors, and immunization therapy were examined in randomized controlled trials (RCTs). All have either

failed to causally affect the onset or progression of AD pathology or have been associated with safety concerns prompting the conclusion that a single target or pathogenic pathway for AD is unlikely to be identified owing to the complexity of the disease involving manifold interactions on several levels including genes, proteins, organelles, cells, organs, whole organism, and environment levels [26,87]. A comprehensive review of completed and ongoing clinical trials is given elsewhere and concluded that none of the AD treatments to date provide curative protection against AD pathogenesis, even if some short-term symptomatic relief is evident [88]. Moreover, the study postulated that focusing on several targets implicated in AD pathology exhibits the best chances of success [88].

The effect of essential micronutrients on the metabolism of aging brain and cognitive health

Several mechanisms are identified by which micronutrients affect cognitive function [89,90]:

1. Some essential nutrients are implicated in the synthesis of neurotransmitters, amino acids, biogenic amines, and steroids. Specifically, the metabolism of dopamine and noradrenaline within the central nervous system (CNS) depends on vitamins B₂, B₆, B₁₂, nicotinamide, folate, and vitamin C [90].
2. Thiamine, thiamine triphosphate, vitamin E, and PUFAs are constituents of neuronal membranes, affecting membrane-dependent properties such as neurite outgrowth, action potential generation, and signal transduction.
3. Pyridoxine deficiency leads to changes in the receptor binding of a number of neurotransmitters, including glutamate and glycine.
4. Energy production in the brain is heavily dependent on several vitamins and minerals, such as vitamin B family members and vitamin C, as they are essential cofactors in glycolysis, the citric acid cycle, and the respiratory chain for producing adenosine triphosphate from glucose [73,90].

Another pathway linking micronutrients to cognitive function in the adult brain is the metabolism of homocysteine (Hcy) [91]. Hcy is an amino acid produced in the body from dietary methionine and is essential for normal cellular functions. However, high Hcy concentrations can undermine normal cellular functioning. Folate and vitamins B₆ and B₁₂ are implicated in the metabolism of Hcy. Vitamin B₁₂ plays an important role in the transformation of Hcy into the amino acid methionine. Vitamin

Table 2

Recommended Intakes for Men and Women in the United States for the Age Group >70 y [139,141,183,184]

Vitamin	Unit/d	U.S. EAR	
		Men	Women
Vitamin A	µg RE	625	500
Vitamin D	µg	10	10
Vitamin E	mg TE	12	12
Thiamine	mg	1.0	0.9
Riboflavin	mg	1.1	0.9
Niacin	mg	12	12
Vitamin B ₆	mg	1.4	1.3
Vitamin B ₁₂	µg	2.0	2.0
Folic acid	µg	320	320
Vitamin C	mg	75	60
Vitamin K*	µg	120	90

EAR, Estimated Average Requirements; RE, retinol equivalent; TE, tocopherol equivalent

* Average nutrient requirement.

Table 3
Hcy levels in AD patients and controls

Study		AD patients		Healthy controls		<i>P</i> -value
		Serum levels	n	Serum levels	n	
Joosten et al. 1997 [187]	μmol/L	18.3 (8.2–41)*	52	12.3 (6.8–22.1)*	49	<0.01
Clarke et al. 1998 [188]	μmol/L	15.3 ± 8.4†‡	164	13.2 ± 4.0	108	<0.05
Fekkes et al. 1998 [189]	μmol/L	19.4 ± 9.2	14	17.9 ± 3.5	17	NS
Leblhuber et al. 2000 [190]	μmol/L	17.8 ± 6.6	19	13.8 ± 4.2	19	<0.04
Postiglione et al. 2001 [191]	μmol/L	20.9 ± 15.0	74	11.8 ± 5.0	74	<0.001
Hogervorst et al. 2002 [192]	μmol/L	14.7 ± 4.9	137	12.8 ± 3.9	277	NS
McIlroy et al. 2002 [193]	μmol/L	14.7 (10.9–19.4)§	83	10.7 (8.1–13.3)§	71	<0.001
Mizrahi et al. 2003 [194]	μmol/L	12.3 ± 4.3	64	11.5 ± 3.7	64	NS
Religa et al. 2003 [195]	μmol/L	18.0 ± 9.9	99	14.4 ± 4.5	100	<0.0001
Gallucci et al. 2004 [196]	μmol/L	21.4 ± 10.6	137	15.5 ± 5.2	42	<0.01
Malaguñarera et al. 2004 [197]	μmol/L	22.3 ± 4.51	22	10.7 ± 3.00	24	<0.0001
Mizrahi et al. 2004 [198]	μmol/L	20.6 ± 8.7	75	16.4 ± 6.5	155	<0.001
Nilsson et al. 2004 [199]	μmol/L	17.9 ± 8.4	159	14.7 ± 4.1	51	<0.01
Quadri et al. 2004 [200]	μmol/L	16.8 ± 7.0	74	14.6 ± 6.1	55	NS
De Silva et al. 2005 [201]	μmol/L	13.3 ± 5.3	23	8.3 ± 2.3	21	<0.01
Da Silva et al. 2006 [202]	μmol/L	18.3 ± 7.6	42	15.3 ± 7.5	50	<0.05
Bottiglieri et al. 2001 [203]	μmol/L	12.4 ± 11.1	48	11.7 ± 6.2	22	<0.01
Nägga et al. 2003 [204]	μmol/L	16.5 ± 6.4	47	12.9 ± 4.2	101	<0.05
Köseoglu et al. 2007 [205]	μmol/L	14.2 ± 2.97	51	10.3 ± 1.28	40	<0.001
Storey et al. 2003 [206]	μmol/L	14.4 ± 2.7	50	10.6 ± 3.2	50	<0.0001
Folin et al. 2005 [207]	μmol/L	21.01 ± 7.80	79	15.78 ± 5.55	24	<0.05
Quadri et al. 2005 [208]	μmol/L	16.9 ± 7.3	111	14.4 ± 6.1	79	NS
Miller et al. 2002 [209]	μmol/L	10.6 ± 2.0	32	9.3 ± 2.2	22	NS

AD, Alzheimer's disease; Hcy, homocysteine; NS, not significant

* Geometric mean with 95% range.

† Mean with SD (all such values).

‡ Clinically diagnosed patients, for histologically confirmed cases (n = 76), mean Hcy increases to 16.3 ± 7.4, P < 0.01.

§ Geometric mean with interquartile range.

B_6 and folate are cofactors necessary for this reaction and, in their absence, Hcy accumulates [90,92]. Clinical research shows that plasma levels of Hcy are significantly higher in cases of cognitive impairment when compared with controls [93]. Likewise, the severity of cognitive impairment has been associated with increased concentrations of plasma Hcy [94]. Moreover, a significantly higher risk for AD was reported when both folate and B_{12} levels were low, and it has been suggested that high Hcy and low B vitamin levels predict cognitive decline in aging men [95]. Various prospective or case-control studies report Hcy levels in AD patients and controls, showing that they tend to be higher in AD (Table 3).

The systematic evaluation of association and/or causal tie between marginal vitamin deficiencies and cognitive function has gained broad acceptance in the past decades. The concept of marginal vitamin deficiencies (suboptimal vitamin status) was first proposed almost 3 decades ago [96] and in a study that introduced the triage theory [97]. According to the triage theory, borderline micronutrient deficiencies accelerate aging, cancer, and neural decay potentially causing impairment of brain function with age.

Here, we emphasize the importance of an adequate vitamin supply for mental health by summarizing some of the mental disorders caused directly by insufficient vitamin intake or use (Fig. 3). Thiamine deficiency causes beriberi and Wernicke-Korsakoff syndrome with symptoms varying from confusion and depression to psychosis, coma, and memory impairment [98]. Treatment consists of reversing the thiamine deficiency with supplemental thiamine. Deficiency of niacin in the diet causes the disease pellagra, which is characterized by diarrhea, dermatitis, and dementia. Common psychiatric symptoms of niacin deficiency include irritability, poor concentration, anxiety, fatigue, restlessness, apathy, and depression due to perturbed serotonin synthesis [99]. Vitamin B_6 deficiency can be detected by a characteristic abnormal electroencephalogram, nerve degeneration,

and peripheral neuritis [100]. Neurologic symptoms of vitamin B_6 deficiency include confusion and neuropathy. A modest vitamin B_6 deficiency results in impaired tryptophan-niacin conversion affecting neurotransmitter balance within the CNS, and may lead to mood fluctuations in depressed individuals. Additionally, pyridoxal phosphate-dependent enzymes play a role in the biosynthesis of five important neurotransmitters: serotonin, dopamine, adrenaline, noradrenaline and γ -aminobutyric acid [100]. A vitamin B_6 deficiency alone is relatively uncommon and often occurs in association with other vitamins of the B complex, especially folate or vitamin B_{12} [101]. As already mentioned, insufficient supply of B vitamins, especially of thiamine, riboflavin, folate, and vitamin B_{12} are implicated in high Hcy levels and cognitive deficits, and new data suggest that B vitamins have a preventative role against AD pathology (see later). Vitamin B_6 deficiency also can result in impaired transsulfuration of methionine to cysteine [102] systemically affecting the antioxidant capacity and protein structure in the body and brain.

Vitamins C and E likely play roles as antioxidants in the brain. After the pituitary gland and leukocytes, the brain has higher vitamin C content than any other organ. Vitamin C is essential for the synthesis of the neurotransmitters dopamine and noradrenaline [101,102], and it modulates tyrosine metabolism [103,104]. Furthermore, ascorbate may also act as an antioxidant protecting neurons against oxidative stress [105], particularly because it also is needed for recycling vitamin E to its antioxidant form [106]. Vitamin E is important for proper neuronal functioning [107] and its deficiency leads primarily to neurologic dysfunction such as spinocerebellar ataxia and dysarthria [108]. As a potent antioxidant, a free radical scavenger, and a constituent of neuronal membranes, vitamin E prevents the oxidation of lipids and PUFAs [108]. It has an effect on gene expression [109–112] and is a modulator of enzymatic activities [113]. The only symptoms of vitamin E deficiency in humans, caused by mutations in the gene for α -tocopherol transfer protein, are

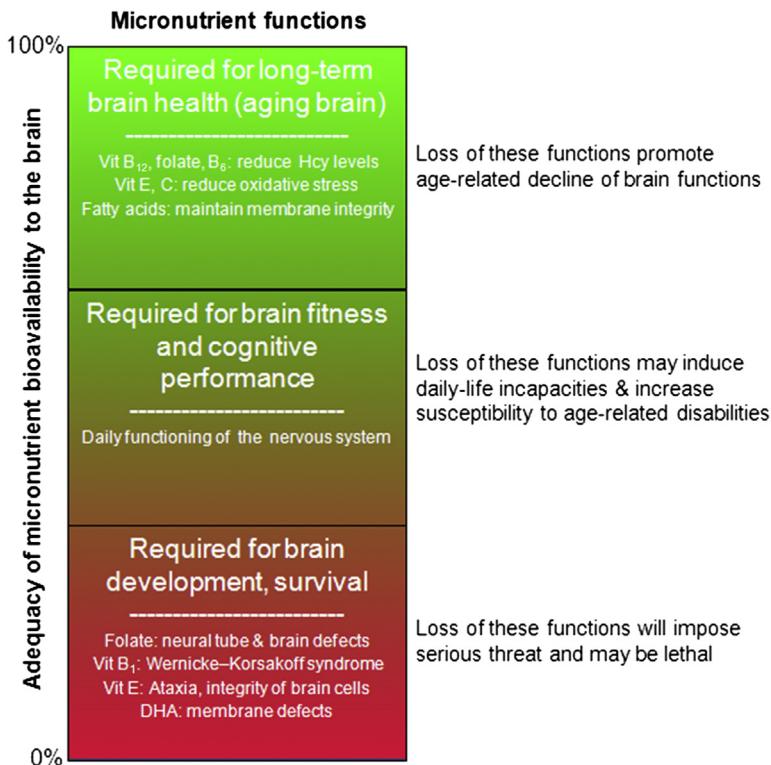


Fig. 3. Use of micronutrients depends on their extent of availability. Scarcity of micronutrients supply enforces a prioritization of allocation to functions ensuring survival. When micronutrients are sufficiently supplied throughout life, they may help to maintain brain functions as individuals age, thus potentially preventing dementia. DHA, docosahexaenoic acid; Hcy, homocysteine.

neurologic complications that are similar to those of Friedreich's ataxia. Such symptoms can be treated completely by vitamin E supplementation therapy [114,115]. Additionally, low plasma levels of tocopherols and tocotrienols are associated with increased odds of MCI and AD [116,117].

Low vitamin D levels are associated with anxiety and a decline in mood, especially in the winter months [118]. Recent data show that supplementation of healthy individuals with vitamin D₃ for 5 d prevents mood decline [118]. Vitamin D receptors are expressed broadly in the brain [119], and several new studies hint to the importance of vitamin D for normal cognitive performance, especially in older populations [119–123].

Long-chain ω-3 PUFAs, such as DHA, are important building blocks for neuronal cell membranes and are instrumental in brain development, neurotransmission, modulation of ion channels, and neuroprotection [31,124]. Brain DHA levels decrease with age, especially among AD patients, indicating that a reduced DHA content may contribute to deterioration in memory and other cognitive functions [31,34]. Recent data favor a role for PUFA in slowing cognitive decline in older individuals when treated before clinical manifestation of dementia [31,34], but this work also suggested that the beneficial effects of PUFA supplementation may depend on stage of disease, other dietary mediators, and apolipoprotein (Apo) E status [125]. In general, accumulative data support the potential of DHA to support cognitive functions in older populations, before the onset of AD symptoms. However, the benefits of ω-3 supplementation become marginal and debatable in individuals already diagnosed with AD.

It is known that malnutrition causes oxidative stress and inflammation in aged brains, which in turn may damage neural tissues and compromise brain functions as people age [126].

Nutrition-dependent biological mechanisms associated with aging include accumulative oxidative stress [73], inflammatory stress [127], Hcy metabolism [91], and advanced glycation end products [128]. The intrinsic common feature of all these factors is that they respond and adapt to the diet. One consequence of inadequately supplying the brain with micronutrients is the activation of the above mechanisms, potentially leading to pathologic cues such as reduced cerebral blood supply, glucose tolerance, or brain atrophy, which change a healthy brain to an AD brain over several decades [129]. Thus, there is a wealth of observations and experimental knowledge that effective functioning of the brain depends on an adequate and constant nutrient supply and that nutrition and in particular micronutrients are key for cognitive performance and mental well-being.

Epidemiologic data on the role of micronutrients in cognitive health and AD

Epidemiologic studies show that multivitamins and minerals, consumed as fruits, vegetables, or dietary supplements are associated with lower risk for developing cognitive deficits [130–133]. Table 4 summarizes some of the studies that compared serum levels of micronutrients in AD patients with controls. Although the results are mixed, they provide evidence that some of these micronutrients play an important role in the etiology of AD. This is apparent from the fact that, in many cases, AD patients have significantly lower serum levels of micronutrients than those of their healthy peers. Serum levels are merely crude snapshots of an individual's nutritional status, and it is likely that nutritional adequacy throughout the lifecycle or during critical periods might be more relevant. Nonetheless, many studies show that higher micronutrient serum levels are

linked to lower risks for developing AD with advanced age. A meta-analysis of seven case-control studies tested the hypothesis that hypovitaminosis D could be associated with AD. The authors showed that higher dietary intake of vitamin D was associated with a lower risk for developing AD among older women [120, 134] and that AD cases have lower 25-hydroxy vitamin D concentrations than controls [120] (Table 4). Also, in a prospectively followed cohort of 498 older women initially not taking vitamin D supplements, the baseline dietary intake of vitamin D was associated with the risk for onset of AD within 7 y [122]. The highest consumption of dietary vitamin D was associated with a 4.35-fold decrease in the risk for developing AD [122].

Dietary data collected in a longitudinal study of 5092 individuals ages ≥ 65 y who were residents of Cache County, Utah, were retrospectively evaluated [135]. In this population, a dietary intake of B vitamins from food and supplements appeared to be unrelated to the incidence of dementia and AD. The authors suggested that further studies examining associations between dietary intakes of B vitamins, biomarkers of vitamin B status, and cognitive end points are warranted [135]. In contrast, in a study that followed 965 individuals ages ≥ 65 y without dementia at baseline for 6.1 y, high folate intake was shown to be linked to decreased risk for AD independent of other risk factors and levels of vitamins B₆ and B₁₂ [136]. In another prospective study, 579 nondemented older volunteers from the Baltimore Longitudinal Study of Aging were followed for 9.3 y. Fifty-seven participants developed AD. Higher intake of folate and vitamins E and B₆ were associated individually with a decreased risk for AD after adjusting for age, sex, education, and calorie intake [137]. These results are consistent with data showing that symptoms of deficiencies in vitamin E and B vitamins are neurologic [138–141]. The Baltimore study further showed that participants who had intakes ≥ 400 μg of folates had a 55% reduction in risk for developing AD. Because intake of 400 μg folate could only be achieved by supplementation, these authors concluded that many people do not get the recommended amounts of folates in their diets [103]. These data are in agreement with another study showing that red blood cell folate, but not serum folate, was decreased in Australian AD patients compared with healthy controls [142]. Following an inverted U-shape curve, high folate levels in red blood cells were associated with worse long-term episodic memory, total episodic memory, and global cognition. A critical review of published literature provided solid evidence that high Hcy plasma levels are linked to a growing number of diseases including AD [93]. In a cohort of 1112 participants (768 controls, 133 MCI, and 211 AD), plasma Hcy levels were associated with cognitive deterioration [142]. Furthermore, poor vitamin B₁₂ status was associated with neurologic problems and other health-related conditions, including poor cognition and AD [143].

An exhaustive review of literature showed that AD patients exhibit generally low vitamin C levels in their plasma and that maintaining healthy vitamin C levels can have a protective function against age-related cognitive decline and AD [144]. Plasma levels of vitamins E and C were both lower in patients with MCI and AD compared with controls [145] (Table 4). Other reports hint to a protective role of vitamin E against AD. For example, a Swedish-Italian study showed that, after a follow-up period of 6 y, high plasma levels of vitamin E at baseline were associated with a reduced risk for AD in advanced age. The neuroprotective effect of vitamin E in this study seemed to be related to the combination of different forms, rather than to α -tocopherol alone [88]. One meta-analysis demonstrated that increased vitamin E intake reduced AD risk in the older population [146]. The results of this meta-analysis suggested that vitamins E and C and β -carotene intakes

can help lower the risk for AD, and among the three antioxidants, vitamin E exhibits the most significant protective effect [146]. Epidemiologic data show that cognitive scores were directly correlated with blood levels of α -tocopherol and lycopene [147] and that vitamin E intake, from foods or supplements, was associated with less cognitive decline with age [148]. Additionally, increasing vitamin E intake from foods was associated with decreased risk for developing AD after adjustment for age, education, sex, race, ApoE ε -4, and length of follow-up [149]. These data are supported by findings that telomeres, a marker of biological longevity, are longer in healthy women who had higher intakes of vitamins C and E from foods [150]. Along the same line of evidence, vitamin E was shown to increase life expectancy in men, depending on dietary vitamin C intake and smoking [151]. Further support of a positive role of vitamin E in brain function and maintenance is provided by the finding that cognitively normal centenarians exhibit normal levels of plasma vitamin E and A [152], possibly contributing to the protection of centenarians against oxidative stress and thereby to their extreme longevity.

Existing evidence links greater dietary intake of fish and (ω -3) PUFA to better early brain development and lowered risk for cognitive disorders in late life [124]. Cross-sectional and prospective cohort data have demonstrated that reduced dietary intake or low brain levels of DHA are associated with accelerated cognitive decline or the development of incipient dementia, including AD. In a community-dwelling cohort, levels of α -linolenic acid, eicosapentaenoic acid, and DHA were assessed in serum phospholipids of 280 volunteers between 35 and 54 y of age, free of major neuropsychiatric disorders, and not taking fish oil supplements [153,154]. Only the associations between DHA and nonverbal reasoning and working memory persisted after additional adjustment for participant education and vocabulary scores. Thus, among the three key PUFAs, only DHA was associated with major aspects of cognitive performance in healthy adults < 55 y old. These findings suggest that DHA is related to brain health throughout the life span and may have implications for clinical trials of neuropsychiatric disorders [153,154].

Randomized controlled trials examining nutrients for AD prevention and treatment

Evidence provided by numerous studies pinpoints to a fundamental role of vitamins in cognitive performance in older adults: A systematic review and meta-analysis of RCT reporting the chronic effects (≥ 1 mo) of oral multivitamin supplementation on any valid cognitive outcome was performed in 2012 [155]. The authors concluded that multivitamins enhanced immediate free-recall memory in cognitively healthy adults [155]. In another study, 818 mentally healthy adults, ages 50 to 75 y, were randomized to receive a daily tablet containing 800 μg folic acid or placebo for 3 y: Participants taking folic acid performed better on memory tests than the placebo-treated group and as well as individuals who were 5 y younger. Additionally, folate-supplemented individuals exhibited scores of information processing that were similar to those who were 2 y younger [156]. These data were consistent with the Baltimore Longitudinal Study of Aging, showing that intake of folates and vitamins E and B₆ lowered risk for AD [137]. It has been demonstrated that supplementing AD patients with high doses of vitamins B₆ and B₁₂ and folate lowers plasma Hcy concentration [157]. Most importantly, under conditions of healthy nutrition, Hcy levels could be reduced further by administration of high-dose supplements of folic acid and vitamins B₆ and B₁₂ [158].

Table 4

Data on serum vitamin levels in AD patients and controls from Cross-sectional and case-control studies

Vitamin	Study		AD patients		Healthy controls		P-value
			Serum level	N	Serum level	n	
Folate	Joosten et al. 1997 [187]	µg/L	3.5 (1.3–9.7)*	52	3.8 (1.8–8.2)	49	NS
	Clarke et al. 1998 [188]	nmol/L	17.6 ± 10.7†‡	164	22.9 ± 10.0	108	<0.01
	Leblhuber et al. 2000 [190]	ng/mL	4.4 ± 1.5	19	6.3 ± 4.1	19	NS
	Postiglione et al. 2001 [191]	ng/L	5.7 ± 2.1	74	8.5 ± 3.2	74	<0.001
	McIlroy et al. 2002 [193]	µg/L	4.5 (3.2–6.5)*	83	5.1 (3.8–7.6)	71	<0.01
	Religa et al. 2003 [195]	ng/mL	8.5 ± 3.4	99	7.6 ± 5.4	100	NS
	Gallucci et al. 2004 [196]	ng/mL	5.1 ± 2.7	137	6.2 ± 4.9	42	NS
	Malaguarnera et al. 2004 [197]	nmol/L	10.0 ± 2.72	22	13.9 ± 3.0	24	<0.001
	Mizrahi et al. 2004 [198]	nmol/L	4.3 ± 3.2	75	4.8 ± 2.6	155	NS
	Nilsson et al. 2004 [199]	nmol/L	297 ± 112	159	339 ± 151	51	NS
	Quadri et al. 2004 [200]	nmol/L	13.6 ± 5.6	74	16.9 ± 5.8	55	NS
	Quadri et al. 2005 [208]	nmol/L	13.1 ± 5.9	111	16.8 ± 5.5	79	NS
	De Silva et al. 2005 [201]	ng/mL	7.0 ± 3.7	23	8.7 ± 4.3	21	NS
	Bottiglieri et al. 2001 [203]	nmol/L	8.0 ± 3.4	48	12.1 ± 10.0	14‡	NS
	Miller et al. 2002 [209]	ng/mL	461 ± 159	32	496 ± 212	22	NS
	Köseoglu et al. 2007 [205]	ng/mL	9.45 ± 1.94	51	12.4 ± 1.50	40	<0.001
	Renvall et al. 1989 [210]	ng/mL	428 ± 213	27	582 ± 230	10	<0.06
Vitamin B ₁₂	Joosten et al. 1997 [187]	ng/L	284 (80–999)	52	284 (119–673)	49	NS
	Clarke et al. 1998 [188]	pmol/L	236 ± 112	164	253 ± 100	108	NS
	Leblhuber et al. 2000 [190]	pg/mL	352.4 ± 129.3	19	383.3 ± 188.2	19	NS
	Postiglione et al. 2001 [191]	pmol/L	491 ± 144	74	780 ± 211	74	<0.001
	McIlroy et al. 2002 [193]	ng/L	4.5 (3.2–6.6)	83	5.1 (3.8–7.6)	71	NS
	Religa et al. 2003 [195]	pg/mL	316.7 ± 139.5	99	413.5 ± 241.3	100	<0.05
	Gallucci et al. 2004 [196]	pg/mL	375.9 ± 228.4	137	479.7 ± 402.8	42	NS
	Malaguarnera et al. 2004 [197]	pmol/L	392.1 ± 65.32	22	438.6 ± 61.62	24	<0.05
	Mizrahi et al. 2004 [198]	pmol/L	322.9 ± 136.0	75	350.5 ± 175.3	155	NS
	Nilsson et al. 2004 [199]	pmol/L	254 ± 97	159	257 ± 129	51	NS
	Quadri et al. 2004 [200]	pmol/L	281 ± 111	74	278 ± 99	55	NS
	Quadri et al. 2005 [208]	pmol/L	272 ± 108	111	275 ± 96	79	NS
	De Silva et al. 2005 [201]	pg/mL	483 ± 134.5	23	494 ± 139	21	NS
	Bottiglieri et al. 2001 [203]	pmol/L	353 ± 20.5	48	481 ± 22.6	14‡	<0.05
	Nägga et al. 2003 [204]	pmol/L	274 ± 80	47	307 ± 153	94	NS
	Köseoglu et al. 2007 [205]	pg/mL	280 ± 20.9	51	389.2 ± 28.58	40	<0.001
	Miller et al. 2002 [209]	pg/mL	533 ± 281	32	452 ± 202	22	NS
	Renvall et al. 1989 [210]	pg/mL	442 ± 37	21	542 ± 178	22	<0.05
Vitamin B ₆	McIlroy et al. 2002 [193]	nmol/L	5.6 (4.0–8.5)	83	6.4 (4.7–10.4)	71	<0.05
	Malaguarnera et al. 2004 [197]	nmol/L	52.0 ± 10.78	22	57.5 ± 8.19	24	NS
Vitamin C	Miller et al. 2002 [209]	nmol/L	121 ± 254	32	64 ± 37	22	NS
	Rinaldi et al. 2003 [145]	µmol/L	25.9 ± 8.9	63	52.4 ± 16.5	56	<0.0001
Vitamin E	Rinaldi et al. 2003 [145]	µmol/L	37.7 ± 5.8	63	50.2 ± 10.2	56	<0.0001
	Bourdelle-Marchasson et al. 2001 [211]	mg/L	15.1 ± 3.5	20	18.2 ± 3.6	23	<0.01
Vitamin A	Managialasche et al. 2012 [116]	µmol/mmol cholesterol	6.49 ± 1.54	168	7.80 ± 2.07	187	<0.0001
	Rinaldi et al. 2003 [145]	µmol/L	2.1 ± 0.4	63	2.6 ± 0.3	56	<0.001
B-Carotene	Bourdelle-Marchasson et al. 2001 [211]	mg/L	0.54 ± 0.19	20	0.71 ± 0.24	23	<0.05
	Jiménez-Jiménez et al. 1999 [212]	µmol/L	1.60 ± 0.40	38	1.89 ± 0.53	42	<0.05
Thiamine	Rinaldi et al. 2003 [145]	µmol/L	0.59 ± 0.28	63	0.57 ± 0.33	56	NS
	Jiménez-Jiménez et al. 1999 [212]	µmol/L	0.21 ± 0.14	38	0.32 ± 0.26	42	<0.05
Vitamin D	Renvall et al. 1989 [210]	IU mL ⁻¹ h ⁻¹	4.3 ± 1.6 [¶]	15	3.9 ± 1.6	33	NS
	Renvall et al. 1989 [210]	IU min ⁻¹ g Hb ⁻¹	1.5 ± 0.5 [¶]	14	1.8 ± 0.4 [¶]	28	NS
Vitamin K ₁	Sato et al. 1998 [213]	ng/mL	7.1 ± 3.9	46	21.6 3.1	140	<0.0001
	Martyn et al. 1989 [214]	µg/L	11.5 [#]	27	14.3 [#]	34	<0.05
ω-3	Sato et al. 2005 [215]	ng/mL	9.2 ± 3.5	100	24.5 ± 6.0	100	<0.0001
	Evatt et al. 2008 [216]	ng/mL	34.8 ± 15.4	97	37.0 ± 14.5	99	NS
Fatty acids	Buell et al. 2010 [217]	ng/mL	16.9 ± 6.3	41	20.0 ± 8.2	211	<0.01
	Ferrier et al. 1990 [218]	nmol/L	32 ± 9	15	40 ± 25	15	NS
DHA	Kipen et al. 1995 [219]	nmol/L	61 ± 33	20	90 ± 38	40	<0.05
	Sato et al. 2005 [215]	ng/mL	0.12 ± 0.11	100	0.24 ± 0.11	100	<0.0001
	Tully et al. 2003 [220]	g EPA/100 g fatty acid	0.98 ± 0.87	108	1.58 ± 0.62	45	<0.001
DHA		g DHA/100 g fatty acid	0.56 ± 0.41	108	1.15 ± 0.88	45	<0.001

DHA, docosahexaenoic acid; EPA, eicosapentaenoic acid; Hb, hemoglobin

* Geometric mean with interquartile range (all such values).

† Mean ± SD (all such values).

‡ Clinically diagnosed patients, for histologically confirmed cases (n = 76), mean serum folate decreases to 15.2 ± 9.5 (P < 0.01) and vitamin B₁₂ to 215 ± 79 (<0.05).

§ Elderly patients with neurologic conditions other than dementia.

¶ Transketolase activity measured as µmol sedoheptulose-7-phosphate produced/mL blood/h.

¶ Transketolase activity measured as pentose-5-phosphate used/min/g Hb.

Mean value.

The therapeutic effect of an 8-mo treatment with an antioxidant drink containing polyphenols and vitamins B and C for AD treatment was tested in an RCT enrolling 48 mild and moderate

AD patients and 52 age-matched controls [159]. Daily consumption of the antioxidant and vitamin drink for a relatively short time led to a smaller increase in Hcy levels, compared with

the placebo group, not only in cognitively healthy individuals, but also in patients with moderate AD. These data are suggestive of the potency of such treatments to reduce AD risk in healthy individuals and in early stages of AD.

Because hippocampal atrophy is an established indicator for conversion from the normal aging process to developing MCI and dementia, one group [160] studied whether vitamin B supplementation, which lowers levels of total plasma Hcy, could slow the rate of brain atrophy in individuals with MCI. High-doses of folic acid and vitamins B₆ and B₁₂ or placebo were given to participants with MCI ages ≥ 70 y for 24 mo and changes in the rate of atrophy of the whole brain were assessed by serial volumetric magnetic resonance imaging scans. The treatment response was related to baseline Hcy levels and a greater rate of atrophy was associated with lower final cognitive test scores. These results indicate that treatment with Hcy-lowering B vitamins slows brain atrophy and improves cognitive scores in older adults with MCI. Moreover, the mean total plasma Hcy was 30% lower in those treated with B vitamins relative to placebo. B vitamins also stabilized executive function relative to placebo during the treatment period as there were significant benefits of B vitamin treatment among participants with baseline Hcy above the median (11.3 mmol/L) in global cognition, episodic memory, and semantic memory [161].

These results were confirmed by another trial (N = 156) that demonstrated that B vitamin treatment reduced cerebral atrophy in gray matter (GM) regions specifically vulnerable to AD. In the placebo group, higher Hcy levels at baseline were associated with faster GM atrophy, which was largely prevented by B vitamin treatment [162]. A causal Bayesian network analysis indicated the following chain of events: B vitamins lower Hcy, which directly leads to a decrease in GM atrophy, thereby slowing cognitive decline. These results show that B vitamin supplementation can slow the atrophy of specific brain regions that are a key component of the AD process and that are associated with cognitive decline [162]. They also indicated that B vitamins slow cognitive and clinical decline in MCI patients, which is reflected by a reduced progression of age-related brain atrophy [161].

Plasma levels of antioxidant micronutrients, including vitamins E and C and β -carotene, are reduced in MCI and AD individuals when compared with age-matched controls. As oxidative damage appears early in the course of AD pathophysiology, increased intake of these micronutrients may reduce risk for AD [145]. A RCT showed that various tocopherol forms contribute to the vitamin E protective effects against AD [163]. These data suggest lower antioxidant defenses in various neurologic conditions (i.e., against lipid peroxidation). Most recently, a multicenter RCT treated 613 patients with mild to moderate AD with either 2000 IU/d of α -tocopherol (n = 152), 20 mg/d of memantine (n = 155), a combination of both (n = 154), or placebo (n = 152) [164]. The study showed that high-dose α -tocopherol supplementation in patients with mild to moderate AD (who were already receiving acetylcholinesterase inhibitors as a drug therapy) resulted in slower functional decline. The α -tocopherol-treated group exhibited a delay in clinical progression of 19% per year compared with placebo. These findings suggest benefit of (synthetic) α -tocopherol in mild to moderate AD by slowing functional decline and decreasing caregiver burden [164]. These are encouraging data for vitamin E because of the significant results obtained for the primary outcome and for the absence of severe adverse effects. These findings also confirmed those of an earlier RCT in individuals with moderate to severe AD. In that particular trial, AD progression, as determined by cognitive testing, was delayed in patients receiving 2000 IU/

d of α -tocopherol both alone and in combination with selegiline (a selective monoamine oxidase inhibitor) [165].

Because PUFAs are enriched in the brain and are among the most oxygen-sensitive constituents of cells, it is conceivable that a reduction in the level of a potent antioxidant such as vitamin E has a strong effect on brain physiology and function.

Several clinical trials investigating the effects of (ω -3) PUFA supplementation in AD have been completed [166], and they provide evidence for the role of (ω -3) PUFAs, especially DHA, in improving brain health and cognitive function. A multicenter RCT was performed at 51 U.S. research sites and involved 402 patients with mild to moderate AD. Participants were randomly assigned to algal DHA at a dose of 2 g/d or to identical placebo supplementation for 18 mo. Cognitive scores and the rate of brain atrophy were not changed by the treatment in this cohort [167]. DHA, however, did increase cognitive performance in another RCT that tested mentally healthy individuals >55 y of age (n = 485) [168,169]. Supplementation of 900 mg/d algal DHA for 24 wk led to significantly fewer paired associative learning errors than placebo. Significant positive effects on verbal recognition memory and significant decreases in resting heart rate with DHA also were observed, indicating improved learning and episodic memory functions as well as cardiovascular benefits associated with normal aging [168]. The studies just cited confirmed previous data, obtained by smaller supplementation studies, comparing PUFA effects on cognitive and psychiatric symptoms in older people [170–173]. These RCTs provided evidence that PUFA supplementation consistently proved beneficial to improvement of cognitive abilities in individuals with MCI, whereas the effects on AD patients were not obvious [170,172]. In parallel, other RCTs showed that PUFA supplements improved depressive symptoms in individuals with MCI [173], whereas neuropsychiatric symptoms were not affected by a similar supplementation regimen in patients with AD [171]. It is also noteworthy that recent data showed that (ω -3) PUFA supplementation in MCI patients for 6 mo may attenuate telomere shortening occurring with age in erythrocytes [174].

Collectively, the data reveal a beneficial role for prophylactic DHA in preventing or ameliorating cognitive decline in healthy older adult populations, although its therapeutic effect for AD has not been demonstrated. Also, the benefits of DHA and lutein in unimpaired older women was explored in the context of a 4-mo RCT [175] revealing that verbal fluency scores improved significantly in the DHA, lutein, and combined treatment groups. Measures of mental processing speed, accuracy, and mood were not affected by supplementation [175]. Finally, the carotenoid β -carotene was tested to support cognitive function in adults. The Physicians' Health Study II showed that short β -carotene supplementation (50 mg, alternate days) had no effect on cognitive performance. In contrast, among 4052 participants with a mean treatment of 18 y, the global score and verbal memory were significantly higher in the treated group [176].

Discussion

Epidemiologic associations, mechanistic studies, and intervention trials in humans deliver mounting evidence for the importance of micronutrients in supporting cognitive performance. These mechanisms can be categorized into pathways modulating energy requirements of the brain, influencing cellular structure, integrity, and membrane properties, modifying receptor activity through changes in neurotransmitter release and signal transduction, and by altering Hcy metabolism.

AD is one of the most prevalent, severe, and disabling disorders that places a heavy burden on individuals and their families. Experiencing lack of curative effects of current pharmacologic therapies, it was suggested that the research must fundamentally rethink the way AD is treated, namely after the onset of clinical symptoms [24–26]. It is noted that the neuronal loss might be too advanced when the disease is clinically obvious and therefore a presymptomatic therapy is probably a promising way of preventing dementia. AD is best understood as a “dynamic and compensatory” disease that damages the brain depending mainly on the severity of the external aggression and on the structural reserve [76]. This model suggests that the clinical expression of the disease may vary widely over time, depending on individual vulnerability to the initial phases of the degenerative process, the severity of the AD pathologic process at the molecular and cellular levels, and the efficiency and evolution over time of compensatory brain mechanisms. Curative treatments are suggested by this model to be functional only if they are administrated long before the emergence of clinically overt symptoms, either to counterbalance the biological compromise that precedes the cognitive breakdown or to promote functional compensation [177]. Regardless of its exact molecular mechanisms, AD-type dementia may be viewed as a failure of these compensatory mechanisms in the course of progressive neurodegeneration. Researchers agree that AD decreases the number of synapses thereby impairing cognition leading ultimately to dementia [178]. Thus, they advocate strongly that treatment scenarios should not only concentrate on treating AD hallmarks, that is, decreasing amyloid or tau brain load, but also must preserve these natural compensatory mechanisms [76]. More importantly, it is suggested that A β deposition may be a consequence, rather than a causative factor, in disease pathogenesis [37,38,179], but this is still a matter of scientific debate.

Preclinical and clinical findings indicate that the benefits of micronutrients, including vitamins, on the aging brain exceed normalizing the vitamin deficiencies associated with aging conditions. As explained by triage theory [97] and substantiated by several intervention trials, high vitamin levels in the blood and tissue may slow cellular and chemical changes that may be responsible for the suboptimal functioning of the aged brain, ultimately resulting in neuronal loss and compromised cognition (Fig. 3). A balanced diet should cover the overall micronutrient requirements. Nonetheless, even in industrialized countries many segments of the population do not consume sufficient vitamins and minerals as they do not comply with dietary recommendations [50]. Moreover, needs may be elevated due to demanding life circumstances in which people with adequate intake may become insufficiently covered. Nutritional needs are known to be increased during periods of extensive physical exercise, growth, emotional and physiological stress, demanding cognitive tasks, the use of oral contraceptives, pregnancy and lactation, smoking, high alcohol consumption, prolonged therapy with antibiotics, older age, and restricted dietary intake due to disease or dieting for weight loss [89]. In such situations, people are particularly prone to develop vitamin deficiencies and require specific coverage with micronutrients including vitamins, minerals, and essential fatty acids.

It must be mentioned here that several factors may result in inconsistent findings in studies of micronutrient supplementation and cognitive performance in aged and AD individuals. A detailed assessments of the challenges in conducting human studies to investigate effects of (micro)nutrients was published recently [180]. An editorial article [181], however, seriously challenged the effectiveness of multivitamins to positively affect

brain functions. As for the cognitive performance, it was cited that Grodstein et al. found no beneficial effects of multivitamins in their cohort of healthy male physicians [181]. These authors, however, provided the explanation that the doses consumed may be too low or the population may be too well nourished to benefit from multivitamin supplementation [182]. These data are remarkable because they hint to the difficulties of designing and interpreting RCTs using (micro)nutrients considering the fact that Grodstein et al. have already published in the same cohort of healthy physicians, the usefulness of β -carotene to improve cognitive performance [176]. Other explanations for inconsistent results may include the fact that as these supplements are nutritional products, they have modest effect sizes and cannot be expected to benefit all individuals equally because the effects may be modified by genetic background, lifestyle factors, and nutritional habits. Obviously, the outcome of supplementation studies also depend on the health status of the participants. Moreover, some work has been performed with poorly defined supplements, making it likely that the dose and bioavailability of such supplements were low or variable and thus unlikely to have clinical effects. Furthermore, statistically significant effects may be masked by various technical details, such as the types and routes of administration of the supplements or failure to systematically quantify and understand the pharmacokinetics and pharmacodynamics of a given supplement. Finally, the choice of appropriately sensitive, validated, and standardized test measures for measuring biomarkers and behavior, sufficient sample sizes for statistical power, and the duration of supplementation are all instrumental in establishing the effects of supplementation.

Some of the most promising data has been reported for B vitamins, vitamin E, and DHA supporting brain functions and improving memory for healthy older adults experiencing declines in cognitive function that occur naturally with age. Such decline is known to precede AD. Acknowledging these benefits, the EFSA approved health claims for these micronutrients such as “contributes to normal mental performance,” “contributes to normal psychological functions,” “contributes to normal homocysteine metabolism,” and “contributes to the maintenance of normal brain function” to mention a few (efsa.europa.eu).

Conclusion

AD-type brain alterations increase with age in individuals without dementia. AD-like marker patterns are reported in cerebrospinal fluid of about one-third of cognitively unaffected older adults [4] or in their brains [78,79,82]. New therapeutics were put to the test in the last decade that target the production or accelerated removal of A β from AD brains with the hope to directly interfere with AD pathophysiology. Unfortunately, these therapeutic strategies delivered mixed results accompanied by lack of efficacy and severe side effects (53). In summary, continued and progressive cognitive decline in AD patients is inevitable with current therapies.

We summarized here the data showing that a large portion of the general population is inadequately supplied with various vitamins, even in industrialized countries. Notably, aged populations, especially those living in institutionalized settings, are at higher risk for receiving insufficient levels of essential micronutrients. Moreover, several vitamins and DHA are necessary for proper brain functioning, and their deficiencies negatively influence cognitive performance. Epidemiologic studies show that such insufficiencies are associated with age-related cognitive decline, the prodromal stage of AD, and to AD. Therefore, some experts argue that it is important to diagnose AD as early as

possible [166–168] to enable disease treatment before full-blown pathology affects the brain. Such efforts would open the possibility of prophylactic (nutritional) and drug therapies for AD [169].

In recent years we have witnessed many well-designed RCTs providing evidence that different dietary compounds could help to reduce risks for MCI and AD and to promote the maintenance of cognitive performance. Therefore, essential nutrients may have great potential in delaying the onset of AD if individuals have a lifelong optimal supply. The lack of an established therapy against AD, whose incidence is anticipated to increase further and rapidly in an aging society, and the established roles of a whole host of (micro)nutrients draw our attention toward optimizing the nutritional status of not only older adults but also the general population. Such efforts will sharpen our knowledge of preventive strategies and provide additional support to established therapeutic concepts as they become available.

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