# Decomposition of a Synchronous Machine into an Asynchronous Submachine driving a Synchronous One

# G. B. GERACE AND G. GESTRI

## Centro Studi Calcolatrici Elettroniche del C.N.R. presso l'Università di Pisa, Pisa, Italy

To complete the study of the serial and parallel decompositions of a synchronous sequential machine into an asynchronous submachine and a synchronous one, discussed in *Information and Control*, 1967, Vol. 11, pp. 568-591, we consider the serial decomposition where the asynchronous submachine drives the synchronous one.

# I. INTRODUCTION

In a previous paper (Gerace and Gestri, 1967) we studied the serial and parallel decompositions of a synchronous sequential machine into a synchronous submachine and an asynchronous one. In the serial decomposition the synchronous submachine drove the asynchronous one. In this paper, to complete the study of the serial decomposition, we consider the case in which the asynchronous submachine drives the synchronous one.

Three types of sequential machines will be discussed, namely, machines of the Moore type, machines of the Mealy type, and anticipated machines defined in Gerace and Gestri (1967). Only machines without "don't care" conditions will be considered, and the possibility of state splitting (Hartmanis and Stearns, 1962) will not be taken into account. Arguments developed in Hartmanis (1961, 1962) and in Gerace and Gestri (1967) are assumed to be known.

#### II. PHYSICAL REALIZATION

Let I and  $S_h$  be an input state and an internal state, respectively, of a sequential machine M. Denote by  $N(I, S_h)$  the next-state of Mdetermined by I and  $S_h$ . A fundamental state machine is a state machine such that, for any I and  $S_h$ , if  $N(I, S_h) = S_k$ , then  $N(I, S_k) = S_k$ . Let us assume that a synchronous machine M is decomposed into two state machines serially connected,  $M_F$  and  $M_S$ , and into a combinational network  $C_0$  (Hartmanis, 1962). Let us assume further that the first machine  $M_F$  is a fundamental state machine. We shall denote by  $M_F \to M_S$  this decomposition.

The physical realization of M corresponding to this decomposition is shown in Fig. 1, where both  $M_F$  and  $M_S$  are realized by synchronous devices. Assuming that a finite time d is necessary to the combinational parts of  $M_F$  and  $M_S$  for the computation of the corresponding next states, the delay element  $\Delta_1$  which stores the internal state must be such that  $\Delta_1 + d = \Delta$ , where  $\Delta$  is the time interval of the input sequence of M.

To determine the conditions for  $M_F$  to be realizable by an asynchronous device, let us see what happens when the storage element  $\Delta_1$  is removed from the device realizing  $M_F$  (Fig. 2). Since  $M_F$  is a fundamental machine, its output sequence, identical to the present state sequence, remains unchanged. However, denoted by  $t_n$  any time when the input state changes, the present state (outputs) of  $M_F$  changes at time  $t_n + d$ ,



Fig. 1. Serial decomposition of a synchronous machine M into two synchronous submachines,  $M_F$  and  $M_S$ .



FIG. 2. Serial decomposition of M into an asynchronous submachine driving a synchronous one.

whereas it changes at time  $t_n + \Delta = t_{n+1}$  when  $\Delta_1$  is present in the device realizing  $M_F$ . Therefore, to realize  $M_F$  by an asynchronous device without changing the external behavior of M, we must ensure that the state behavior of  $M_S$  and the output of  $C_0$  are independent of any change of the present state (outputs) of  $M_F$  at time  $t_n + d$ .

For any state **a** of M, let A and K be the corresponding states of  $M_F$ and  $M_s$ , respectively; for any input I of M, let B be the next-state of  $M_F$  for present state A and input I,  $N_s(I,A,K)$  and  $N_s(I,B,K)$  be the next states of  $M_s$  for present state K and input states I,A and I,B, respectively, and  $C_0(I,A,K)$  and  $C_0(I,B,K)$  be the output states of the combinational network  $C_0$  for inputs I,A,K and I,B,K, respectively. The external behavior of M remains unchanged after the removal of  $\Delta_1$  from the device realizing  $M_F$ , if for any I and **a** of M we have:

(i)  $N_s(I,A,K) = N_s(I,B,K)$ 

(ii)  $C_0(I,A,K) = C_0(I,B,K)$ 

In fact, for any change at time  $t_n + d$  of the state of  $M_F$ , if condition (i) holds, the state behavior of  $M_S$  remains unchanged, and if conditions (i) and (ii) are satisfied, the output of  $C_0$  does not change.

In the following section we shall put in terms of partitions the conditions for the existence of an  $M_F \rightarrow M_S$  decomposition satisfying conditions (i) and (ii).

### III. DECOMPOSITION USING A FUNDAMENTAL SUBSTITUTION PROPERTY PARTITION

Let  $\pi$  be a partition with the substitution property (S.P.) of a sequential machine M.

DEFINITION. We shall say that  $\pi$  is fundamental if and only if for any state **a** and any input *I* of *M*, denoted by *B* the block of  $\pi$  containing  $N(I, \mathbf{a})$ , we have  $N(I, \mathbf{b}) \in B$  for any state **b** of *M* contained in *B*.

Trivially a  $M_F \to M_S$  decomposition exists for a sequential machine M if and only if a fundamental S.P. partition  $\pi$  exists for M. The following theorem gives in terms of partitions the conditions of existence of an  $M_F \to M_S$  decomposition such that  $M_F$  can be realized by an asynchronous device.

THEOREM 1. For a sequential machine  $M \ a \ M_F \to M_S$  decomposition such that  $M_F$  can be realized by an asynchronous device exists if a pair of partitions  $\pi, \pi^*$  exists which satisfy the following conditions:

(a)  $\pi \cdot \pi^* = 0$ 

(b)  $\pi$  is a fundamental S.P. partition

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(c) any two states of M in a common block of  $\pi^*$  which have the next state in the same block of  $\pi$  for some input I, have for this I the same next state.

(d) any two states of M in a common block of  $\pi^*$  which have the nextstate in the same block of  $\pi$  for some input I, have for this I the same output state.

*Proof.* Conditions (a) and (b) are the necessary and sufficient conditions for the existence of a serial decomposition  $M_F \rightarrow M_S$ . We have only to show that this decomposition satisfies condition (i) of the previous section if and only if condition (c) is satisfied, and satisfies condition (ii) if and only if condition (d) is met.

Assume first that the decomposition  $M_F \to M_S$  corresponding to the partitions  $\pi$  and  $\pi^*$  satisfies condition (i). Let **a** and **b** be any two states in a common block of  $\pi^*$ , say, K. Denote by A and B the blocks of  $\pi$ containing **a** and **b**, respectively, and let us assume that  $N(I, \mathbf{a})$  and  $N(I, \mathbf{b})$  belong to the same block of  $\pi$ , say, C. When M is in the state **a** and input I is applied,  $M_F$  is in the state A and makes a transition to the state C. For condition (i) we have  $N_S(I,A,K) = N_S(I,C,K)$ . When M is in state **b** and input I is applied,  $M_F$  is in state B and makes a transition to state C. For condition (i) we have  $N_S(I,B,K) = N_S(I,C,K)$ . Therefore,  $N_S(I,A,K) = N_S(I,B,K)$ ; since the next state of  $M_S$  is in the block of  $\pi^*$  containing the next state of M, this means that  $N(I, \mathbf{a})$ and  $N(I, \mathbf{b})$  are in the same block of  $\pi^*$ . Then  $N(I, \mathbf{a}) = N(I, \mathbf{b})$ , since  $N(I, \mathbf{a})$  and  $N(I, \mathbf{b})$  are in a common block of  $\pi$  and in a common block of  $\pi^*$ , and  $\pi \cdot \pi^* = 0$ . We have shown that if  $M_F \to M_S$  satisfies condition (i),  $\pi$  and  $\pi^*$  satisfy condition (c).

Conversely, let **a** be an internal state and I an input state of M, and A and K be the blocks of  $\pi$  and  $\pi^*$ , respectively, containing **a**, and B be the block of  $\pi$  containing  $N(I, \mathbf{a})$ . If  $B \cap K \neq \emptyset$ , let **b** be the state of M defined by  $B \cap K$ . Trivially, **a** and **b** belong to the same block of  $\pi^*$ . Furthermore, since  $\pi$  is fundamental,  $N(I, \mathbf{b})$  is contained in the block B of  $\pi$ ; then  $N(I, \mathbf{a})$  and  $N(I, \mathbf{b})$  belong to the same block of  $\pi$ , and we have  $N(I, \mathbf{a}) = N(I, \mathbf{b})$ , because of condition (c). Therefore  $N_s(I,A,K) = N_s(I,B,K)$ . If  $B \cap K = \emptyset$ , we have a "don't care" condition in the state table of  $M_s$  for  $N_s(I,B,K)$ ; to show that this condition can always be filled so that  $N_s(I,B,K) = N_s(I,A,K)$  we have only to show that no other state of M which requires a different filling can exist. In fact, assume that a state **b** of M belonging to the block K of  $\pi^*$  and such that  $N(I, \mathbf{b})$  belongs to B exists; let us denote by C the block of  $\pi$  containing b. Trivially,  $N_s(I,C,K) = N_s(I,A,K)$ , because of condition (c). Therefore, if condition (c) holds, condition (i) is satisfied, or it can be satisfied with careful filling of the "don't care" conditions in  $M_s$ .

The proof that condition (d) is satisfied if and only if condition (ii) holds is easily obtained by the substitution of the output state of M to the next state of M in the proof of condition (c) given above.

Note that for an anticipated machine, a pair of partitions which satisfy condition (c) also satisfy condition (d), as it is easily seen from the definition of this type of machine (Gerace and Gestri, 1967). Therefore for these machines it is not necessary to take under consideration condition (d). Furthermore, in the same paper it was shown that if we don't care to anticipate one time unit the output sequence, any Moore machine can be transformed into an anticipated machine with the same state table. Then, given a Moore machine having a pair  $\pi,\pi^*$  which does not satisfy condition (d), but which satisfies the other conditions of Theorem 1, if we don't care to anticipate one unit the output sequence, it is possible to transform the Moore machine into an anticipated machine for which the pair  $\pi,\pi^*$  satisfies Theorem 1. Therefore, this machine can be realized by an asynchronous device driving a synchronous device.

## IV. EXAMPLES

Let us consider the Mealy machine P described in Fig. 3. The S.P. partition

			I	I2	Iз	. 1	I,	I2	I3
	ď	1	8	4	3		000	101	101
A	В	2	7	5	2		010	110	111
	۲	3	6	4	1		100	111	000
B	В	4	7	5	5		010	110	011
	ά	5	8	4	4		00 0	101	001
с	А	6	7	8	2		010	011	111
	α	7	8	6	з		000	010	101
	K	8	6	7	1		100	001	000

$$\pi = \{\overline{1,2,3}; \overline{4,5}; \overline{6,7,8}\} \equiv \{A,B,C\}$$

FIG. 3. Machine P

is fundamental, as we can verify from the state table of machine P. The partition

$$\pi^* = \{\overline{1,5,7}; \overline{2,4,6}; \overline{3,8}\} \equiv \{\alpha,\beta,\gamma\}$$

satisfies, with  $\pi$ , Theorem 1. In fact, let us verify that the pair  $\pi,\pi^*$  satisfies condition (c). For the block  $\alpha$  of  $\pi^*$  and input  $I_1$ , we see that states "1," "5," "7" have the next states in the same block, C, of  $\pi$ ; condition (c) is satisfied since these states have the same next state. For the block  $\alpha$  and input  $I_2$ , states "1" and "5" have the next states in the same block, B, of  $\pi$ ; condition (c) is satisfied since these states have the same next state. For the block  $\alpha$  and input  $I_3$ , states "1" and "7" have the next states in the same block, A, of  $\pi$ ; condition (c) is satisfied since these states have the same next state. In the same way condition (c) can be verified for the states in the blocks  $\beta$  and  $\gamma$  of  $\pi^*$ .

The test for condition (d) of Theorem 1 follows the same line. For example, states "1," "5," and "7," which have for input  $I_1$  the next-states in the same block, C, of  $\pi$ , must have the same output state for input  $I_1$ . Since  $\pi$  and  $\pi^*$  satisfy Theorem 1, we know that in the corresponding  $M_F \to M_S$  decomposition of P,  $M_F$  can be realized by an asynchronous device (Fig. 2). The state tables of machines  $M_F$  and  $M_S$  are obtained as explained by Hartmanis (1962) and are shown in Fig. 4a and 4b, respectively. Since  $\gamma \cap B = \emptyset$ , we have don't care conditions in the state table of  $M_s$ , circled in Fig. 4b; as it was seen in the proof of Theorem 1, these don't-care conditions must be filled carefully. This is easily obtained. In fact, from the state table of  $M_F$ , we see that for input  $I_2$  we have a transition from state A to state B; therefore, in the state table of  $M_s$  the unspecified cell in column  $(I_2, B)$  must be filled as the cell in the same row and in column  $(I_2, A)$ , as shown in Fig. 4b. For inputs  $I_1$  and  $I_3$  we have no transition to the state B, so the don't-care conditions for state  $\gamma$  and inputs  $(I_1, B)$  and  $(I_3, B)$  need not be filled. In the same way we obtain the filling of the don't-care conditions in the output functions of  $C_0$ , shown in Fig. 4c. In the example given above, the pair  $\pi, \pi^*$  was given. We shall now give an example showing how, given a fundamental S.P. partition, the  $\pi^*$  with the least number of blocks can be obtained.

From the state table of machine R, shown in Fig. 5, we see that the S.P. partition

$$\pi = \{\overline{1,2}; \overline{3,4}; \overline{5,6}\} \equiv \{A,B,C\}$$

is fundamental. To obtain the partition  $\pi^*$ , let us denote by the symbols

	I <sub>1</sub>	I2	I3		I1A	I <sub>1</sub> B	I <sub>1</sub> C	I2A	I2B	I2C	I <sub>3</sub> A	1 <sub>3</sub> B	I3C
A	С	B	А	ά	۲	X	X	ß	ß	ß	ያ	ß	ጸ
в	с	в	в	В	d	d	ά	d	α	۲	ß	d	В
с	С	с	A	لا	В	Θ	ß	ß	( <u>)</u>	ά	d	$(\cdot)$	لا
		a)						ł	) )				

	I1A	IIB	I1C	I2A	I <sub>2</sub> B	I <sub>2</sub> C	I3A	I <sub>3</sub> B	I <sub>3</sub> C
۵	000	000	000	101	101	010	101	00 I	101
В	010	010	010	110	110	011	111	011	111
8	100	( )	100	111	$(\hat{\mathbb{O}})$	001	000	$(\bigcirc)$	000

FIG. 4. Serial decomposition of machine P. (a) State table of  $M_F$ ; (b) state table of  $M_S$ ; (c) output table of  $C_0$ .

c)

		I,	I2	I3	I,	I2	I3	
	1	2	3	1	00	11	10	
A	2	1	4	2	01	00	01	
В	3	4	4	5	10	00	11	
	4	3	з	6	11	11	00	
С	5	1	6	5	01	10	11	
	6	1	5	5	10	01	10	
Fig. 5. Machine R								

 $\alpha$ ,  $\beta$ , etc. the blocks of  $\pi^*$ . The states of R in the first block, A, of  $\pi$  are coded in an arbitrary manner, for example,

"1"  $\rightarrow \alpha$ , "2"  $\rightarrow \beta$ .

Then the second block of  $\pi$  is considered. Since for input  $I_2$  the blocks A and B are mapped in the same block, B, of  $\pi$ , we can give to one state of B the same symbol used for one state of the block A only if this pair of states has for input  $I_2$  the same next state and the same output state,

because of Theorem 1. From the state and output tables of R we see that it is possible to code both the states in B without using extra symbols, in the following way

$$3^{\prime\prime}3^{\prime\prime} \rightarrow \beta, \qquad {}^{\prime\prime}4^{\prime\prime} \rightarrow \alpha,$$

For the states in the block C, we see that input  $I_1$  maps A and C, and input  $I_3$  maps B and C, in the same block of  $\pi$ . From the state and output tables of R we see that symbol  $\beta$  can be used for state "5," which has for input  $I_1$  the same next and output states as state "2," and has for input  $I_3$  the same next and output state as state "3," whereas for state "6" an extra symbol is necessary. Then we give the code

$$5^{\prime\prime} \rightarrow \beta, \qquad 6^{\prime\prime} \rightarrow \gamma,$$

and we obtain

$$\pi^* = \{\overline{1,4}; \overline{2,3,5}; 6\} \equiv \{\alpha,\beta,\gamma\}.$$

Note that in this example, the  $\pi^*$  with the least number of blocks has more than  $n(\pi)$  blocks, where  $n(\pi)$  denotes the number of states in the largest block of  $\pi$ .

Figure 6 shows the state tables of  $M_F$  and  $M_S$ , and the output table of  $C_0$  which result from the pair  $\pi, \pi^*$ . The don't-care conditions are



	I, A	Ι <sub>1</sub> Β	I1C	I <sub>2</sub> A	I <sub>2</sub> B	I <sub>2</sub> Ç	I <sub>3</sub> A	I <sub>3</sub> B	I₃C
x	00	11	$(\mathbb{D})$	11	11	$(\overline{\mathbb{D}})$	10	00	00
ß	01	10	01	00	00	10	01	11	11
r	$\bigcirc$	( )	10	$(\cdot)$	$(\cdot)$	01	$\oplus$	$\bigcirc$	10

c)

FIG. 6. Serial decomposition of machine R. (a) State table of  $M_F$ ; (b) state table of  $M_S$ ; (c) output table of  $C_0$ .

circled in the figure and have been filled, according to the proof of Theorem 1, in such a way that conditions (i) and (ii) are satisfied.

Finally, let us consider two Moore machines. For the machine  $T_1$  in Fig. 7, the S.P. partition

$$\pi = \{\overline{1,2}; \overline{3,4}\} \equiv \{A,B\}$$

is fundamental. The  $\pi^*$  with the least number of blocks is easily obtained, and is given by

$$\pi^* = \{\overline{1,3}; \overline{2,4}\} \equiv \{\alpha,\beta\}.$$

The corresponding decomposition is shown in Fig. 8; we see that the output of  $C_0$  depends only on the state of  $M_s$ . It is not difficult to recognize that this is true for any strongly connected Moore machine having an  $M_F \rightarrow M_s$  decomposition such that  $M_F$  can be realized by an asynchronous device.

	I <sub>1</sub>	I2	I3	Q			
1	2	2 4		0			
2	1	3	2	1			
3	3	4	1	0			
4	4	3	2	1			
FIG. 7. Machine T <sub>1</sub>							







FIG. 9. Output table of machine  $T_2$ 

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Fig. 10. (a) Output table of the anticipated machine U; (b) Output table of  $C_0$ .

b)

a)



FIG. 11. Realization of machine U

For the Moore machine  $T_2$ , defined by the state table of machine  $T_1$  in Fig. 7 and by the output table in Fig. 9, the pair  $\pi,\pi^*$  given above does not satisfy condition (d) of Theorem 1. However, if we don't care to anticipate one unit the output sequence,  $T_2$  can be transformed into the anticipated machine U, which has the same state table as  $T_2$  and the output table shown in Fig. 10a; the pair  $\pi,\pi^*$  satisfies Theorem 1 for machine U, and therefore in the corresponding  $M_F \to M_S$  decomposition of U,  $M_F$  can be realized by an asynchronous device. The state tables of  $M_F$ and  $M_S$  have been already obtained; the output functions of  $C_0$ , which depend on the next states of  $M_F$  and  $M_S$ , are shown in Fig. 10b. The corresponding realization of machine U is shown in Fig. 11.

#### CONCLUSIONS

In this paper the serial decomposition of a synchronous sequential machine into an asynchronous machine driving a synchronous one has

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been studied. We have assumed that the asynchronous machine is described by a fundamental state table. Aside from the practical interest in reducing the number of delay elements in sequential machines, we feel that this study may contribute to clarify the conditions under which sequential networks of different types (synchronous and asynchronous) can be connected in a system of sequential networks.

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