



# Transcatheter Aortic and Mitral Valve-in-Valve Implantation for Failed Surgical Bioprosthetic Valves

## An 8-Year Single-Center Experience

Jian Ye, MD,\* Anson Cheung, MD,\* Michael Yamashita, MD,\* David Wood, MD,† Defen Peng, PhD,‡  
Min Gao, MD, PhD,‡ Christopher R. Thompson, MD,† Brad Munt, MD,† Robert R. Moss, MD,†  
Philipp Blanke, MD,§ Jonathon Leipsic, MD,§ Danny Dvir, MD,† John G. Webb, MD†

### ABSTRACT

**OBJECTIVES** We report our 8-year experience in transcatheter aortic and mitral valve-in-valve (VinV) implantation.

**BACKGROUND** Feasibility and good early outcomes associated with transcatheter aortic and mitral VinV implantation into failed surgical bioprostheses have been confirmed, but the mid-term and long-term outcomes of transcatheter aortic and mitral VinV is unknown.

**METHODS** A total of 73 patients with aortic (n = 42) and mitral (n = 31) bioprosthetic valve dysfunction underwent transcatheter VinV implantation between April 2007 and December 2013. Edwards balloon-expandable transcatheter valves (Edwards Lifesciences Inc., Irvine, California) were used. Median follow-up was 2.52 years with a maximum of 8 years.

**RESULTS** Seventy-two patients (mean age  $79.7 \pm 9.4$  years, 32 women) underwent successful VinV implantation (success rate 98.6%). At 30 days, all-cause mortality was 1.4%, disabling stroke 1.4%, life-threatening bleeding 4.1%, acute kidney injury requiring hemodialysis 2.7%, and coronary artery obstruction requiring intervention 1.4%. No patient had greater than mild paravalvular leak. Estimated survival rates were 88.9%, 79.5%, 69.8%, 61.9%, and 40.5% at 1, 2, 3, 4, and 5 years, respectively. The small surgical valve size (19 and 21 mm) was an independent risk factor for reduced survival in aortic VinV patients. At 2-year follow-up, 82.8% of aortic and 100% of mitral VinV patients were in New York Heart Association functional class I or II.

**CONCLUSIONS** Transcatheter VinV for failed surgical bioprostheses can be performed safely with a high success rate and minimal early mortality and morbidity. Transcatheter VinV provides encouraging mid-term clinical outcomes in this high-risk elderly cohort of patients. Transcatheter VinV is an acceptable alternative therapy for failed aortic or mitral bioprostheses in selected high-risk patients. (J Am Coll Cardiol Intv 2015;8:1735-44) © 2015 by the American College of Cardiology Foundation

Although open-heart aortic or mitral valve replacement remains the standard therapy for failed prosthetic valves and can be performed with clinically acceptable operative mortality and morbidity in many patients, there are some patients with prosthetic dysfunction/degeneration who are either elderly or at high risk for reoperation in terms of mortality and/or morbidity (1,2). Such

From the \*Division of Cardiac Surgery, St. Paul's Hospital, University of British Columbia, Vancouver, British Columbia, Canada; †Division of Cardiology, St. Paul's Hospital, University of British Columbia, Vancouver, British Columbia, Canada; ‡St. Paul's Hospital, University of British Columbia, Vancouver, British Columbia, Canada; and the §Department of Radiology, St. Paul's Hospital, University of British Columbia, Vancouver, British Columbia, Canada. Drs. Ye, Munt, Moss, Blanke, and Webb are consultants to Edwards Lifesciences, Inc. Dr. Cheung has served as a consultant to Edwards Lifesciences, Inc. and St. Jude Medical. Dr. Wood has served as a consultant for and received grant support from Edwards Lifesciences, Inc. Dr. Thompson has received minor travel fees. Dr. Leipsic has served in the core laboratory and as a consultant to Edwards Lifesciences, Inc. Dr. Dvir has served as a consultant to Edwards Lifesciences, Inc. and Medtronic. All other authors have reported that they have no relationships relevant to the contents of this paper to disclose.

Manuscript received June 29, 2015; revised manuscript received July 29, 2015, accepted August 3, 2015.

## ABBREVIATIONS AND ACRONYMS

**AVR** = aortic valve replacement

**LVEF** = left ventricular ejection fraction

**MVR** = mitral valve replacement

**NYHA** = New York Heart Association

**TAVI** = transcatheter aortic valve implantation

**VinV** = valve-in-valve

patients are often declined, or not referred, for redo aortic valve replacement (AVR) or mitral valve replacement (MVR).

The feasibility and good early outcomes of transcatheter aortic and mitral valve-in-valve (VinV) implantation into failed surgical bioprostheses have been demonstrated (3-12). A lesser invasive approach for failed surgical bioprostheses is desirable for this ever-expanding high-risk elderly population.

SEE PAGE 1745

We report our 8-year experience in transcatheter aortic and mitral VinV implantation in 73 consecutive patients with surgical biological valve dysfunction.

## METHODS

**PATIENT SELECTION.** From April 2007 to December 2013, 73 consecutive patients with symptomatic severe aortic (n = 42) and mitral (n = 31) bioprosthetic valve dysfunction underwent transcatheter aortic and mitral VinV implantation, respectively, at a single center (St. Paul's Hospital, Vancouver, British Columbia, Canada). All patients had previous AVR or MVR with bioprostheses and were reviewed at our multidisciplinary transcatheter valve rounds. The indications for reoperative AVR or MVR generally followed the American College of Cardiology/American Heart Association guidelines for valve surgery (13). Patients who were deemed to be too high risk for conventional redo valve replacement by cardiac surgeons were considered as potential candidates for transcatheter VinV implantation. Written and informed consents were obtained. Echocardiography and clinical follow-up was performed pre- and post-operatively.

**VinV IMPLANTATION.** Balloon-expandable transcatheter valves (Edwards Lifesciences, Inc., Irvine, California) were used in all cases. Various generations of valves and delivery systems were used from April 2007 to December 2013. The first-in-human aortic and mitral VinV implantations were performed by the implantation of 23- and 26-mm Cribier-Edwards equine valves, respectively, using a transapical approach (4,14). In all subsequent cases, bovine Edwards SAPIEN and SAPIEN XT valves were implanted through either a transfemoral or transapical approach. Transapical access was the only approach that was used for mitral VinV implantation. All operations were performed in our hybrid operating room equipped with standby cardiopulmonary

bypass support. The techniques of transcatheter aortic and mitral VinV implantation were described previously (4,14,15). Balloon valvuloplasty of the bioprosthesis was utilized in our initial experience, but was subsequently not utilized during transapical VinV implantation. During transfemoral aortic VinV implantation, balloon valvuloplasty was used in some cases with critical bioprosthetic stenosis. Selection of an appropriately sized SAPIEN or SAPIEN XT valve depended on the internal diameter of the pre-existing surgical bioprosthesis as reported by the manufacturer. Fluoroscopic and/or transesophageal echocardiography imaging were sufficient for valve positioning. Intraoperative conventional aortography was not performed during transapical VinV implantation, but occasionally performed prior to transfemoral aortic VinV implantation and/or following aortic VinV implantation to assess coronary ostia. Contrast was not utilized during mitral VinV procedures. Optimal positioning of the transcatheter valve was achieved with rapid ventricular pacing immediately prior to valve deployment. Post-operatively, patients were instructed to take aspirin indefinitely and clopidogrel for at least 3 months. Warfarin and aspirin were used for mitral VinV implantation or if other indications were present, such as atrial fibrillation.

**FOLLOW-UP AND DATA COLLECTION.** All patients were followed-up by transcatheter valve therapy team staff or clinical fellows. Follow-up included telephone interviews and office visits. Data were prospectively collected and entered into our transcatheter aortic valve implantation (TAVI) database. The median follow-up period was 2.52 years, with longest follow-up of 8 years. Procedural success and complications were reported according to Valve Academic Research Consortium-2 definitions (16).

**STATISTICAL ANALYSIS.** Continuous variables were summarized using mean  $\pm$  SD or median with interquartile range (IQR) and compared between aortic surgical valve sizes ( $\leq 21$ , 23, or  $> 23$  mm) or surgical types (aortic valve vs. mitral valve) with the use of the Student *t* test or Wilcoxon rank sum test. Categorical variables were summarized using frequencies and percentages and compared between surgical valve sizes or surgical types with the use of the Fisher exact test or chi-square test. The Kaplan-Meier method was used to obtain the survival curves on the basis of time-to-event data. Cox proportional hazards regression analyses were conducted to evaluate risk factors influencing mid-term survival rates. The proportional hazards assumption of the Cox regressions was satisfied for the models. Follow-up echocardiographic

data were evaluated by the paired *t* test or Wilcoxon signed rank test, and the longitudinal model with covariance structure for continuous variables. The covariance structure, heterogeneous compound symmetry was chosen for modeling for pulmonary artery systolic pressure (PASP), and the covariance structure, autoregressive with heterogeneous variance was selected for modeling for mean gradient. Dichotomized New York Heart Association (NYHA) data obtained at baseline and follow-ups were compared using McNemar’s test. All tests were 2-sided, and a *p* value <0.05 was considered statistically significant. All statistical analyses were performed using SAS software version 9.4 (SAS Institute, Cary, North Carolina) and R software version 3.1.1 (R Foundation for Statistical Computing, Vienna, Austria).

**RESULTS**

**PATIENTS.** The mean ages were 80.5 ± 9.8 years and 78.7 ± 8.8 years in patients undergoing aortic and mitral VinV implantation, respectively. Patients undergoing mitral VinV were more likely to be female relative to those undergoing aortic VinV (58.0% vs. 32.3%). Surgical bioprosthetic valves included Carpentier-Edwards porcine and pericardial (Edwards Lifesciences, Irvine, California), Mosaic (Medtronic, Minneapolis, Minnesota), Mitroflow (Sorin Group, Milan, Italy), Trifecta (St. Jude Medical, Minneapolis, Minnesota), and Ionescu-Shiley (Shiley Inc., Irvine, California) valves. Surgical aortic and mitral valves were implanted 13.4 ± 5.5 years and 9.3 ± 3.1 years before the VinV procedure, respectively. Baseline demographics are listed in **Table 1**.

The median of the calculated Society of Thoracic Surgeons risk score was 9.6 (IQR: 6.2 to 11.4) and 9.7 (IQR: 5.0 to 16.6) in patients with aortic and mitral VinV implantation, respectively. Mechanisms of bioprosthetic failure included stenosis, regurgitation, and mixed stenosis and regurgitation (**Table 1**). No patient had significant perivalvular bioprosthetic regurgitation. The manufacturers’ labeled size ranged from 19 to 29 mm and 25 to 33 mm for aortic and mitral bioprostheses, respectively.

**INTRAOPERATIVE OUTCOMES.** The transcatheter valve was successfully implanted within the failed surgical valve in 72 of 73 patients (98.6%). Major intraoperative complications were observed in 3 patients (4.1%). Embolization of a SAPIEN valve into the left ventricle occurred in 1 patient due to an extremely poor implantation angle during a transapical aortic VinV procedure. Emergency conversion

**TABLE 1 Baseline Characteristics**

|                                       | All (n = 73)    | Aortic (n = 42) | Mitral (n = 31) |
|---------------------------------------|-----------------|-----------------|-----------------|
| Age, yrs                              | 79.7 ± 9.4      | 80.5 ± 9.8      | 78.7 ± 8.8      |
| Male                                  | 41 (56.2)       | 28 (67.7)       | 13 (42.0)       |
| Diabetes mellitus                     | 17 (23.3)       | 10 (23.8)       | 7 (22.6)        |
| Coronary artery disease               | 45 (61.6)       | 29 (69.0)       | 16 (51.6)       |
| PASP ≥60 mm Hg                        | 20 (27.4)       | 7 (16.7)        | 13 (41.9)       |
| Coronary artery bypass grafting       | 32 (43.8)       | 19 (45.2)       | 13 (41.9)       |
| NYHA functional class III or IV       | 69 (94.5)       | 39 (92.9)       | 30 (96.8)       |
| COPD (moderate + severe)              | 11 (15.1)       | 4 (9.5)         | 7 (22.6)        |
| Cerebrovascular accident              | 17 (23.3)       | 7 (16.7)        | 10 (32.3)       |
| Surgical valve size <23 mm            | 8 (11.0)        | 8 (19.0)        | 0 (0.0)         |
| Peripheral vascular disease           | 17 (23.3)       | 13 (31.0)       | 4 (12.9)        |
| Left ventricular ejection fraction, % | 60 (45, 65)     | 57.5 (47, 65)   | 60 (40, 65)     |
| Creatinine 100-149 mmol/L             | 32 (43.8)       | 20 (47.6)       | 12 (38.7)       |
| Creatinine ≥150 mmol/L                | 11 (15.1)       | 9 (21.4)        | 2 (6.5)         |
| STS score, %                          | 9.6 (5.9, 13.4) | 9.6 (6.2, 11.4) | 9.7 (5, 16.6)   |
| Failed surgical valves                |                 |                 |                 |
| Stenosis                              | 34 (46.6)       | 22 (52.4)       | 12 (38.7)       |
| Regurgitation                         | 27 (37.0)       | 13 (31.0)       | 14 (54.2)       |
| Mixed                                 | 12 (16.4)       | 7 (16.7)        | 5 (16.1)        |

Values are mean ± SD, n (%), or median (quartile 1, quartile 3).  
 COPD = chronic obstructive pulmonary disease; NYHA = New York Heart Association; PASP = pulmonary artery systolic pressure; STS = Society of Thoracic Surgeons.

to open-heart surgery was required, and the patient survived. Left main coronary obstruction occurred in 1 patient following implantation of a SAPIEN valve into a Sorin Mitroflow aortic valve. Despite emergency conversion to open-heart AVR, the patient died the following day (17). A third patient with a failed mitral bioprosthesis required implantation of a second valve because of an initial implant placed distal (more apical) to the mitral annulus.

**EARLY CLINICAL OUTCOMES.** Life threatening bleeding (packed red blood cells ≥4 U) and major bleeding (2 to 3 U) occurred in 3 (4.1%) aortic and 6 (8.2%) mitral VinV patients. No patient required reoperation for bleeding or tamponade. One patient (1.4%) had an in-hospital disabling stroke following mitral VinV implantation. Two patients (2.7%) had stage III acute kidney injury by Valve Academic Research Consortium-2, requiring temporary renal replacement therapy. One patient with chronic atrial fibrillation required a pacemaker following mitral VinV implantation due to pre-existing sick sinus syndrome. One patient, who had left main obstruction and was converted to open-heart surgery, died on the first day following the procedure, resulting in an overall 30-day mortality of 1.4% (**Table 2**). The median of the hospital length of stay was 5 days (IQR: 3 to 7 days).

**MID-TERM CLINICAL OUTCOMES.** Overall survival in 73 patients who underwent either aortic or mitral

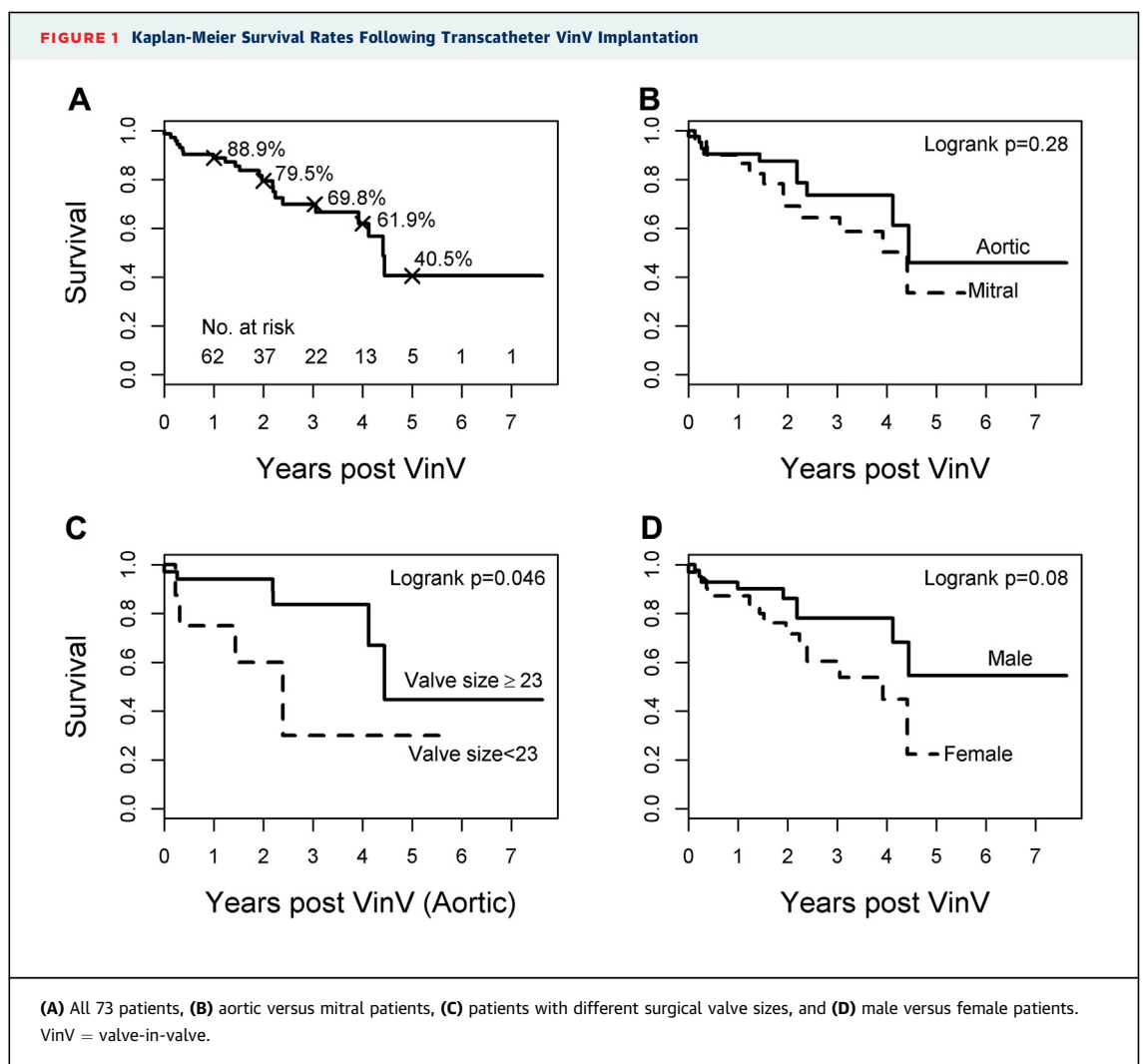
**TABLE 2 Early and Late Complications**

|  | Aortic VinV (n = 42) |          | Mitral VinV (n = 31) |          |
|--|----------------------|----------|----------------------|----------|
|  | 30 Days              | >30 Days | 30 Days              | >30 Days |
| Major bleeding (2-3 U PRBC)                  | 0                    | 0        | 6                    | 0        |
| Life-threatening bleeding ( $\geq 4$ U PRBC) | 2                    | 1        | 1                    | 0        |
| Conversion to open surgery                   | 1                    | 0        | 0                    | 0        |
| Valve migration                              | 0                    | 0        | 0                    | 1        |
| ARF requiring hemodialysis                   | 1                    | 0        | 1                    | 0        |
| Myocardial infarction                        | 0                    | 0        | 0                    | 0        |
| Major vascular complication                  | 0                    | 0        | 0                    | 0        |
| Disabling stroke                             | 0                    | 0        | 1                    | 1        |
| Left main obstruction                        | 1                    | 0        | 0                    | 0        |
| Endocarditis                                 | 0                    | 0        | 0                    | 0        |
| Valve thrombosis                             | 0                    | 2        | 0                    | 2        |
| Failed valve (structural)                    | 0                    | 1        | 0                    | 0        |
| THV-in-THV deployment                        | 0                    | 0        | 1                    | 0        |
| Permanent PM implantation                    | 0                    | 0        | 1                    | 0        |

Values are n.  
ARF = acute renal failure; PM = pacemaker; PRBC = packed red blood cells; THV = transcatheter heart valve; VinV = valve-in-valve.

VinV implantation was 88.9%, 79.5%, 69.8%, 61.9%, and 40.5% at 1, 2, 3, 4, and 5 years, respectively (Figure 1A). Peripheral vascular disease and previous stroke were independent risk factors for reduced mid-term survival, with hazard ratios of 3.2 and 3.0, respectively (Table 3). Female patients appeared to have relatively poor survival (Figure 1D). There was no significant difference in late survival between patients undergoing aortic and mitral VinV implantation (Figure 1B). The median survival rates were 4.5 and 4.4 years following aortic and mitral VinV implantation, respectively.

Multivariate model showed that the small size (19 and 21 mm) of failed aortic surgical valves was an independent risk factor for reduced mid-term survival, with a hazard ratio of 6.2 in patients with aortic VinV implantation (Table 4). Poorer estimated survival was observed in patients who had small aortic surgical valves (19 and 21 mm) relative to those with surgical valves of  $\geq 23$  mm ( $p = 0.046$ ).



(Figure 1C). There were significant smaller aortic valve area and higher mean transaortic pressure gradient following aortic VinV implantation in patients with surgical valve sizes of 19 and 21 mm relative to those with surgical valve sizes of >23 mm (Table 5). There was no difference in survival following aortic VinV implantation between the patients with bioprosthetic stenosis and those with bioprosthetic regurgitation.

Cumulative valve-related complications were listed in Table 2. Delayed valve migration occurred in 1 patient following uneventful mitral VinV implantation with a 26-mm SAPIEN prosthesis into a failed 27-mm Edwards Perimount pericardial valve (Edwards Lifesciences, Inc.). In this patient, acute heart failure 2 months after the procedure resulted from atrial migration of the SAPIEN valve and severe periparavalvular regurgitation. A second transcatheter mitral VinV implantation with a 29-mm SAPIEN valve was performed without complications, and the patient survived for 21 months. Four patients (5.5%) who underwent aortic or mitral VinV implantation and received ASA and clopidogrel antiplatelet therapy post-operatively developed valve thrombosis, which was resolved with anticoagulation using warfarin. One patient developed congestive heart failure symptoms 1 year after transfemoral aortic VinV implantation of a 23-mm SAPIEN valve into a 23-mm Mitroflow surgical aortic tissue valve and subsequently underwent successful conventional redo AVR. Pathology of the explanted SAPIEN valve showed mild calcification. In this patient, residual aortic stenosis was observed immediately following VinV implantation (mean transaortic pressure gradient 39 mm Hg and aortic valve area 0.8 cm<sup>2</sup> at 1-month follow-up). One patient with aortic VinV experienced cerebral hemorrhage due to a fall and warfarin, which was resolved.

Significant clinical improvement in heart failure symptoms was observed following VinV implantation in the majority of patients. In all patients who had 2-year follow-up data, NYHA functional class I and II was observed in 82.8% and 100% patients with aortic and mitral VinV implantation, respectively (Figure 2). The majority of patients who had longer follow-up after VinV implantation had NYHA functional class I and II heart failure symptoms: 27 of 27 patients at the third year, 14 of 15 patients at the fourth year, 6 of 6 patients at the fifth year, and 4 of 4 patients at the sixth year. One patient remained at NYHA functional class I at 8 years.

**PERFORMANCE OF TRANSCATHETER VALVES.**

Transcatheter valve performance was determined by echocardiography. A significant reduction in prosthetic

**TABLE 3 Factors Influencing Survival (n = 73)**

|                           | Univariate Model      |         | Multivariate Model    |         |
|---------------------------|-----------------------|---------|-----------------------|---------|
|                           | Hazard Ratio (95% CI) | p Value | Hazard Ratio (95% CI) | p Value |
| Female                    | 2.136 (0.904-5.049)   | 0.084   | 2.570 (0.983-6.719)   | 0.054   |
| PVD                       | 1.466 (0.590-3.644)   | 0.411   | 3.153 (1.070-9.288)   | 0.037   |
| PASP ≥60 mm Hg            | 1.880 (0.775-4.556)   | 0.162   | 2.941 (0.963-8.982)   | 0.058   |
| LVEF <50%                 | 1.459 (0.611-3.482)   | 0.395   | 2.658 (0.891-7.931)   | 0.080   |
| COPD (moderate + severe)  | 0.645 (0.151-2.763)   | 0.555   |                       |         |
| CABG ± CAD                | 1.231 (0.479-3.160)   | 0.666   |                       |         |
| Creatinine 100-149 mmol/l | 1.116 (0.325-3.835)   | 0.862   |                       |         |
| Creatinine ≥150 mmol/l    | 1.532 (0.593-3.958)   | 0.379   |                       |         |
| DM                        | 1.447 (0.530-3.948)   | 0.471   |                       |         |
| CVA                       | 2.001 (0.794-5.046)   | 0.142   | 2.956 (1.033-8.461)   | 0.043   |

CABG = coronary artery bypass grafting; CAD = coronary artery disease; CI = confidence interval; CVA = cerebrovascular accident; DM = diabetes mellitus; LVEF = left ventricular ejection fraction; PVD = peripheral vascular disease; other abbreviations as in Table 1.

valvular pressure gradients and an increase in aortic prosthetic valve areas were seen at 12-month follow-up in the survivors with aortic VinV implantation (Figures 3E and 3G). A significant reduction in mean transmitral pressure gradient was also observed in patients following transcatheter mitral VinV implantation (Figure 3F). Aortic VinV implantation resulted in significant improvement in left ventricular ejection fraction (LVEF) within 1-year follow-up in patients who had baseline LVEF of ≤50% (Figure 3A). By contrast, there was no improvement in LVEF in patients following mitral VinV implantation (Figure 3B). PASP was significantly decreased within 1 year following aortic VinV implantation in the patients who had baseline PASP of >50 mm Hg (p < 0.001) (Figure 3C), but there was only a trend toward a decrease in PASP in the patients who had mitral VinV implantation (p = 0.08) (Figure 3D). Further analysis (F test on the basis of longitudinal models with covariance structure) showed that PASP and mean

**TABLE 4 Factors Influencing the Survival of Aortic VinV Patients (n = 42)**

|                            | Univariate Model      |         | Multivariate Model    |         |
|----------------------------|-----------------------|---------|-----------------------|---------|
|                            | Hazard Ratio (95% CI) | p Value | Hazard Ratio (95% CI) | p Value |
| Female                     | 2.485 (0.614-10.07)   | 0.202   |                       |         |
| PVD                        | 2.752 (0.747-10.14)   | 0.128   |                       |         |
| PASP ≥60 mm Hg             | 2.906 (0.692-12.21)   | 0.145   |                       |         |
| LVEF <50%                  | 1.742 (0.489-6.207)   | 0.392   | 2.945 (1.472-25.99)   | 0.049   |
| CABG ± CAD                 | 0.784 (0.177-3.475)   | 0.749   |                       |         |
| Creatinine 100-149 mmol/l  | 0.925 (0.127-6.749)   | 0.938   |                       |         |
| Creatinine ≥150 mmol/l     | 2.126 (0.428-10.57)   | 0.357   |                       |         |
| DM                         | 2.601 (0.639-10.59)   | 0.182   | 4.779 (0.741-11.71)   | 0.125   |
| CVA                        | 0.773 (0.995-6.304)   | 0.810   |                       |         |
| Surgical valve size <23 mm | 3.420 (0.951-12.30)   | 0.060   | 6.186 (1.001-22.82)   | 0.013   |

Abbreviations as in Tables 1 and 3.

**TABLE 5 Influence of Surgical Valve Sizes on Transcatheter Valve Hemodynamics in Aortic VinV Patients**

| Group        | Surgical Valve Size (mm) | THV Size (mm) | Post-Op AVA (cm <sup>2</sup> ) | Post-Op MG (mm Hg) |
|--------------|--------------------------|---------------|--------------------------------|--------------------|
| I (n = 8)    | 19 or 21                 | 20 or 23      | 0.88 ± 0.15                    | 25.7 ± 9.5         |
| II (n = 14)  | 23                       | 23 or 26      | 1.02 ± 0.17*                   | 22.5 ± 7.9         |
| III (n = 19) | 25, 27, or 29            | 23, 26, or 29 | 1.35 ± 0.27*†                  | 15.8 ± 6.2*†       |

Values are mean ± SD unless otherwise indicated. \*p < 0.05 vs. Group I. †p < 0.05 vs. Group II.  
AVA = aortic valve area; MG = mean gradient; Post-Op = post-operative; THV = transcatheter heart valve; VinV = valve in valve.

gradient significantly decreased with time following either aortic or mitral VinV implantation (p < 0.001). There was a continuing decrease in PASP from 12 to 36 months following mitral VinV implantation (Figure 4).

Of the patients who underwent aortic VinV implantation, 4 (9.5%) had mild regurgitation and 38 (90.5%) had no or trivial regurgitation at up to 8-year follow-up. In patients who underwent mitral VinV implantation, 4 (12.9%) and 27 (87.1%) had mild and no or trivial regurgitation at up to 5-year follow-up, respectively. Following VinV implantation, no patient had greater than mild regurgitation during follow-up.

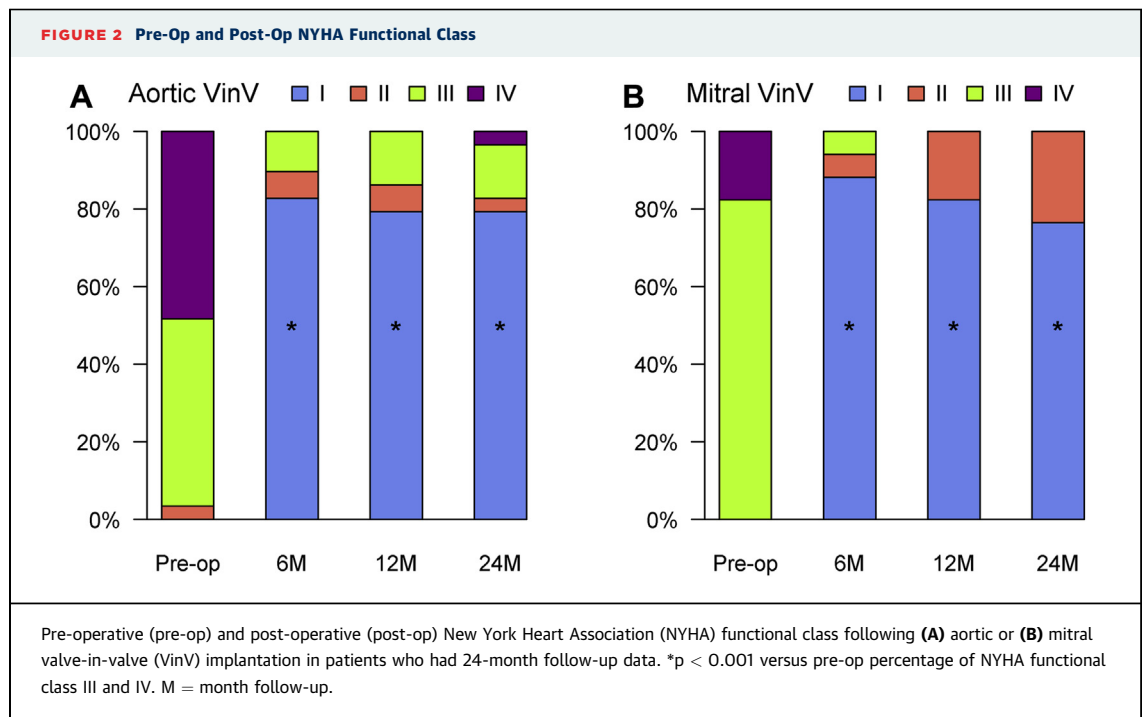
**DISCUSSION**

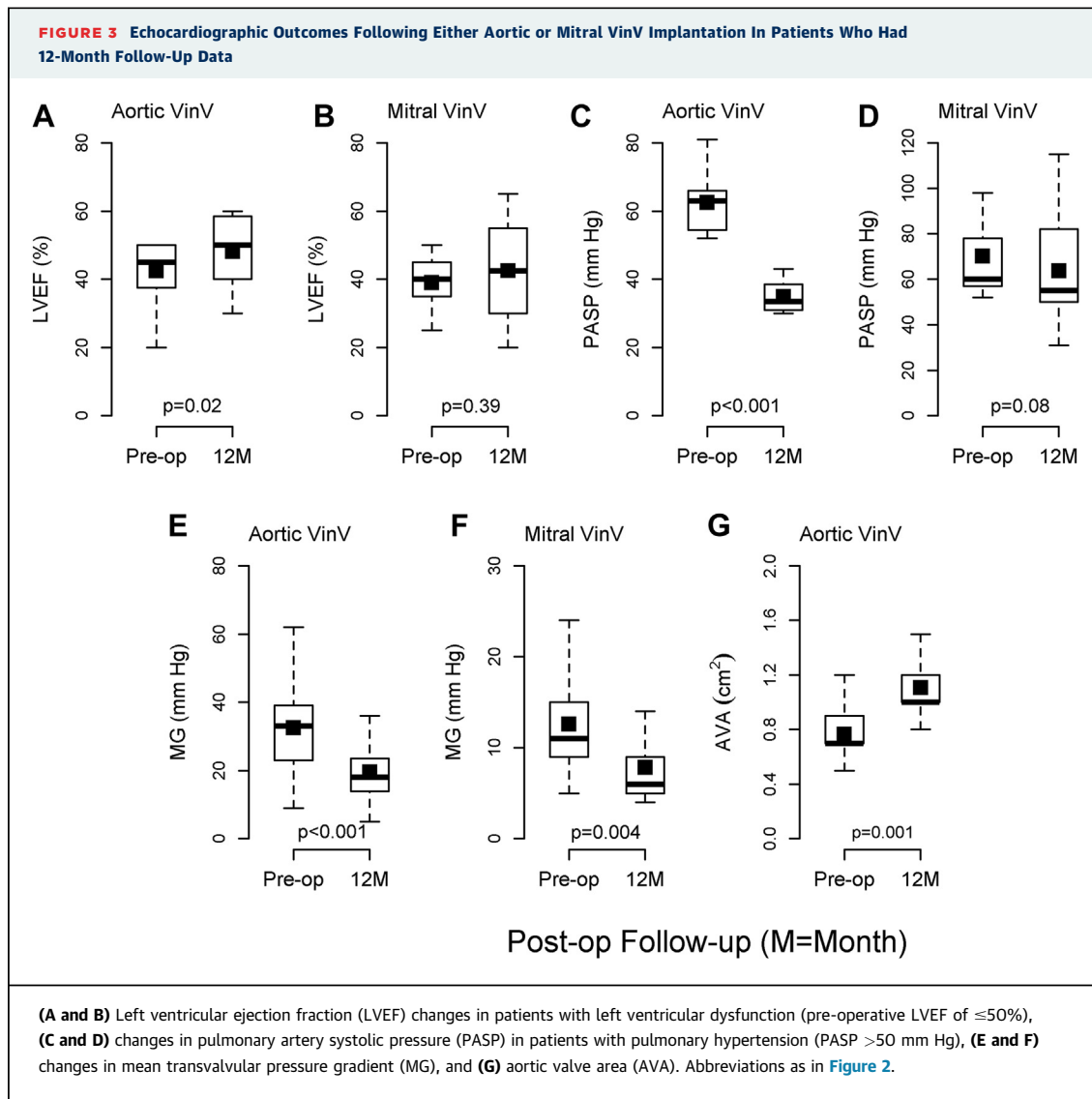
Feasibility and good early outcomes associated with transcatheter aortic and mitral VinV implantation

into failed surgical bioprostheses have been confirmed, but the mid-term and long-term outcomes of transcatheter aortic and mitral VinV is unknown. This study has demonstrated that transcatheter VinV implantation provides encouraging mid-term clinical and hemodynamic outcomes in this high-risk elderly cohort of patients. The small surgical valve size (19 and 21 mm) was an independent risk factor for reduced survival in aortic VinV patients. Long-term follow-up in a larger sample size is needed to understand independent risk factors for reduced survival, which will optimize patient selection for the VinV procedure.

**SURGICAL TECHNIQUES.** In our center, transcatheter aortic VinV implantation was initially performed using transapical access, which provided the most direct, shortest, and coaxial access to the aortic valve. However, more recently, the transfemoral approach has gained favor, as it is similarly effective but relatively less invasive. Transcatheter mitral valve implantation has remained exclusively a transapical procedure. Although the procedure can be replicated with direct transeptal access, this remains a complex procedure (18).

Initially, we routinely utilized valvuloplasty prior to valve implantation. However, this has been found largely unnecessary. Currently, we do not utilize balloon valvuloplasty during transapical procedures, although valvuloplasty still remains necessary in



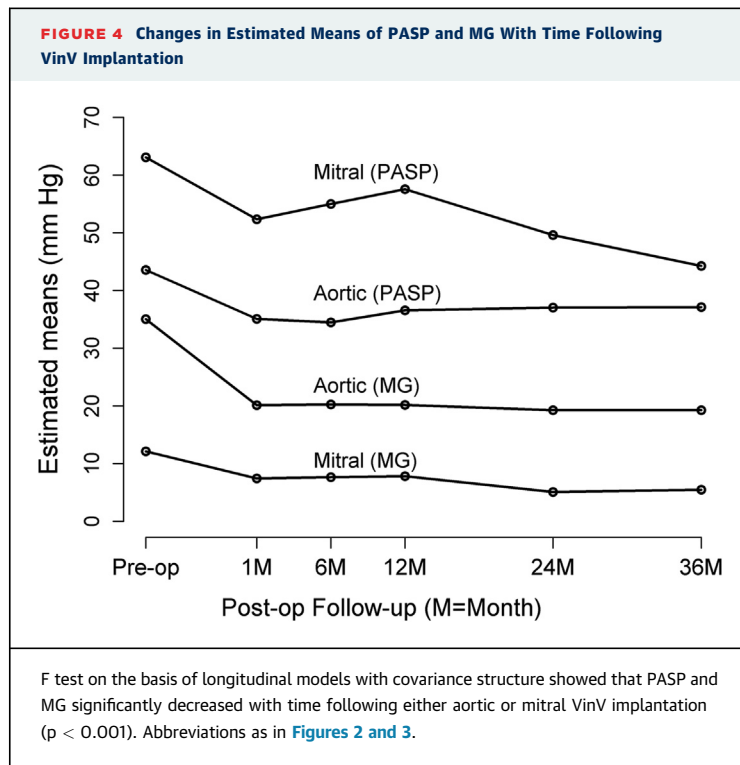


some patients with severe bioprosthetic aortic stenosis undergoing a transarterial access procedure. Final fine adjustment of transcatheter valve positioning is usually required during rapid ventricular pacing to achieve an optimal positioning, as surgical valve motion particularly at the mitral position is significant during cardiac cycles. Therefore, slow inflation of a balloon during valve deployment is strongly recommended for VinV implantation.

**EARLY CLINICAL OUTCOMES.** A recent report from the Global registry documented a 9.4% 30-day all-cause mortality rate after aortic VinV implantation in patients with an average Society of Thoracic Surgeons predicted risk of  $11.8 \pm 9.9\%$ . (19). Our 1.4% 30-day all-cause mortality compares very favorably,

possibly due to our relatively large TAVI experience prior to initiating VinV procedures. The size of surgical mitral valves is generally much larger than that of aortic surgical valves, which leads to better hemodynamic outcomes following mitral VinV implantation. Our 30-day mortality following mitral VinV implantation was 0%.

Stroke following aortic TAVI is one of the major concerns in patients with native aortic stenosis. Degenerated bioprosthetic valve leaflets are generally more friable than stenotic native valve leaflets and prone to tearing, which theoretically leads to a higher risk of acute neurological events. However, the incidence of stroke in patients with VinV implantation is equivalent to those in patients with TAVI for native aortic stenosis. Our stroke rate was 1.4% following



VinV, which is similar to the global registry data (2%). Heart block or aortic rupture was an extremely rare complication because the transcatheter valve is implanted into failed surgical valves without contacting the aortic root or annulus.

Left main occlusion is a potentially fatal complication of TAVI, and may be even more common in association with aortic VinV implantation. In this VinV series, 1 patient experienced left main coronary obstruction following aortic VinV implantation into a failed Mitroflow aortic tissue valve. Following this case, we initiated more extensive pre-operative assessment for the risk of left main occlusion, including routine screening with computed tomographic angiography. The size of sinus of Valsalva, the height of a coronary ostium related to the height of a surgical bioprosthetic leaflet, and the bulk of a surgical bioprosthetic leaflet are the major determinants of the risk for coronary ostial obstruction. Generally speaking, stentless bioprosthetic valves or those that are internally stented (e.g., Mitroflow and Trifecta) may be at a slightly higher risk, as the leaflets of these surgical valves may extend outward in a tubular fashion following VinV implantation (17). To avoid this significant complication, careful pre-operative assessment of the aortic root is still important in patients undergoing the aortic VinV procedure.

**MID-TERM CLINICAL OUTCOMES.** Mid-term clinical outcomes following VinV implantation were encouraging, with a 1-year survival of 88.9% and 5-year survival of 40.5% in a very high-risk cohort. There was no significant difference in the survival following aortic versus mitral VinV implantation. However, later 5-year survival was relatively poor (40.5%), likely a consequence of the multiple comorbidities and advanced age of our patients, with the majority of patients over age 80 years at the time of VinV implantation. Peripheral vascular disease and history of cerebrovascular accident are independent risk factors for reduced survival following VinV implantation. Female patients and patients with severe pulmonary hypertension (pulmonary artery systolic pressure of  $\geq 60$  mm Hg) appear to have poor survival following VinV implantation. This study has also demonstrated the significant effect of failed surgical valve size on clinical outcomes in patients undergoing aortic VinV implantation. The patients with small aortic surgical valve sizes ( $< 23$  mm) are associated with significantly poorer survival compared with those with aortic valve sizes of  $\geq 23$  mm. Multivariate analysis has demonstrated that the small size of the aortic surgical valve is the only independent risk factor of reduced survival.

Transcatheter VinV implantation provides significantly symptomatic relief and improved quality of life in the majority of patients with either aortic or mitral prosthetic disease. Symptomatic improvement following VinV implantation is also seen in patients with small surgical bioprostheses sizes despite relatively suboptimal hemodynamic performance (small effective orifice valve area and high residual mean transaortic pressure gradient). The improvement in symptoms and quality of life are persistent in all survival patients during up to 8-year follow-up.

**TRANSCATHETER VALVE PERFORMANCE.** The hemodynamic performance of transcatheter valves following VinV implantation is largely dependent on the surgical valve size. The larger the surgical valve size, the better the hemodynamics. The hemodynamic performance of all mitral implants and in aortic patients with surgical valve sizes of  $> 23$  mm is excellent, with a low residual pressure gradient and a relatively large effective orifice. Residual stenosis of an implanted transcatheter valve is rare in mitral patients, as mitral surgical valves are generally  $\geq 25$  mm. In contrast, this is frequently seen in patients with smaller aortic tissue valves (19 and 21 mm).

Left ventricular systolic function improves significantly following aortic VinV implantation, but not



following mitral VinV implantation. This is consistent with the report following conventional aortic or mitral valve replacement (20-22).

A significant reduction in pulmonary artery pressure following VinV implantation was observed in both aortic and mitral patients. Unexpectedly, the maximal reduction was immediately observed following aortic VinV implantation, whereas in patients with mitral VinV implantation, a continuing decline in pulmonary artery pressure was observed from 12 to 36 months. Although speculative, the pulmonary hypertension is probably more chronic in patients with mitral regurgitation than in patients with aortic stenosis, which therefore requires more time for the recovery following mitral VinV implantation. In addition, this may be due to the higher incidence of chronic lung disease in the mitral group.

Importantly, significant paravalvular regurgitation was not observed following VinV implantation into either aortic or a mitral surgical bioprostheses.

The durability of aortic or mitral VinV implants is encouraging at a median follow-up of 2.52 years with maximum of 8 years follow-up. Structural failure of transcatheter valves or valve reoperation was observed only in 1 patient in our series. In this patient who developed congestive heart failure and underwent conventional redo AVR at 1 year following aortic VinV implantation, the newly developed symptoms are likely due to mismatch (small surgical valve), rather than true structural valve dysfunction. This is also supported by pathological study of the explanted valve. Furthermore, the patient was only 53 years of age when she had the VinV implantation and was also on hemodialysis; both are known to be major risk factors for reduced longevity of surgical bioprostheses.

#### CONSIDERATIONS OF SURGICAL VALVE SIZE.

VinV implants in smaller (19 and 21 mm) surgical aortic valves were associated with significant symptomatic improvement. However, late survival was disappointing. Whether this is the result of patient-prosthesis mismatch and inadequate relief of aortic stenosis or the consequence of the multiple factors that lead to implantation of small surgical bioprostheses is unknown. It is also unknown if the outcomes of redo surgical valve replacement in conjunction with aortic root enlargement would be better. However, it seems reasonable to recommend caution when implanting intra-annular balloon-expandable Sapien-type valves in small bioprostheses. There is some evidence to suggest that better hemodynamic outcomes may be achieved with transcatheter valves that incorporate supra-annular

leaflets (19,23,24), or smaller balloon-expandable transcatheter valves (20 mm) (25).

In contrast, hemodynamic function was excellent with encouraging clinical outcomes when VinV implantation into larger (>23 mm) surgical bioprostheses. It seems reasonable to conclude that surgeons should make every effort to implant surgical aortic bioprostheses of at least 23 to 25 mm, particularly in young patients. At the same time, it is suggested to keep the valve away from the coronary ostia to avoid coronary occlusion with VinV implantation. If necessary to achieve these goals, enlargement of the aortic annulus and/or aortic root (sinus Valsalva) may be considered to allow future VinV therapy with satisfactory outcomes. As an alternative, a more durable mechanical valve might be considered.

**POST-OPERATIVE ANTICOAGULATION.** It has been our practice to recommend aspirin indefinitely and clopidogrel for at least 3 months to patients following native aortic valve TAVI. In aortic VinV patients, we continue using the same protocol that is used in patients with TAVI. However, the observation of mitral valve thrombus in 2 patients has led to our current practice of aspirin indefinitely with the addition of warfarin for at least 3 months following mitral VinV implantation in patients without atrial fibrillation. An embolic complication from either aortic or mitral valve thrombosis appears rare, as we did not observe this in our patients who were diagnosed with valve thrombosis. Clearly, optimal anticoagulation following VinV therapy requires further study.

#### CONCLUSIONS

Transcatheter VinV implantation with balloon-expandable valves for failed aortic or mitral surgical bioprostheses can be performed safely with a high success rate and minimal early mortality and morbidity. Transcatheter VinV implantation provides encouraging mid-term clinical and hemodynamic outcomes in this high-risk elderly cohort of patients. The appeal of this less-invasive approach to bioprosthetic valve failure may be compelling in patients for whom repeat surgery would be high risk. In patients undergoing conventional surgery with a bioprosthesis, efforts should be made to implant a bioprostheses large enough to allow for a future VinV implant with optimal hemodynamics and clinical outcomes.

#### REPRINT REQUESTS AND CORRESPONDENCE:

Dr. Jian Ye, St. Paul's Hospital, 1081 Burrard Street, Vancouver, British Columbia V6Z 1Y6, Canada. E-mail: [jye@providencehealth.bc.ca](mailto:jye@providencehealth.bc.ca).

## PERSPECTIVES

**WHAT IS KNOWN?** Feasibility and good early outcomes associated with transcatheter aortic and mitral VinV implantation into failed surgical bioprostheses have been confirmed, but the mid-term and long-term outcomes of transcatheter aortic and mitral VinV is unknown.

**WHAT IS NEW?** Transcatheter VinV implantation provides encouraging mid-term clinical and hemodynamic outcomes in this high-risk elderly cohort of patients. The

small surgical valve size (19 and 21 mm) was an independent risk factor for reduced survival in aortic VinV patients.

**WHAT IS NEXT?** Long-term follow-up in a larger sample size is needed to understand independent risk factors for reduced survival, which will optimize patient selection for the VinV procedure.

## REFERENCES

- Jones JM, O'Kane H, Gladstone DJ, et al. Repeat heart valve surgery: risk factors for operative mortality. *J Thorac Cardiovasc Surg* 2001;122:913-8.
- Maganti M, Rao V, Armstrong S, et al. Redo valvular surgery in elderly patients. *Ann Thorac Surg* 2009;87:521-5.
- Cheung AW, Gurvitch R, Ye J, et al. Transcatheter transapical mitral valve-in-valve implantations for a failed bioprosthesis: a case series. *J Thorac Cardiovasc Surg* 2011;141:711-5.
- Ye J, Webb JG, Cheung A, et al. Transcatheter valve-in-valve implantation. 16 month follow-up. *Ann Thorac Surg* 2009;88:1322-4.
- Seiffert M, Conradi L, Baldus S, et al. Transcatheter mitral valve-in-valve implantation in patients with degenerated bioprostheses. *J Am Coll Cardiol Interv* 2012;5:341-9.
- Elmariah S, Arzamendi D, Llanos A, et al. First experience with transcatheter valve-in-valve implantation for a stenotic mitral prosthesis within the United States. *J Am Coll Cardiol Interv* 2012;5:e13-4.
- Wilbring M, Alexiou K, Tugtekin SM, et al. Transapical transcatheter valve-in-valve implantation for deteriorated mitral valve bioprostheses. *Ann Thorac Surg* 2013;95:111-7.
- Webb JG, Wood DA, Ye J, et al. Transcatheter valve-in-valve implantation for failed bioprosthetic heart valves. *Circulation* 2010;121:1848-57.
- Dvir D, Webb JG, Bleiziffer S, et al. Transcatheter aortic valve implantation in failed bioprosthetic surgical valves. *JAMA* 2014;312:162-70.
- Pasic M, Unbehaun A, Dreyse S, et al. Transapical aortic valve implantation after previous aortic valve replacement: clinical proof of the "valve-in-valve" concept. *J Thorac Cardiovasc Surg* 2011;142:270-7.
- Ye J, Webb JG, Cheung A, et al. Transapical transcatheter aortic valve-in-valve implantation: clinical and hemodynamic outcomes beyond 2 years. *J Thorac Cardiovasc Surg* 2013;145:1554-62.
- Cheung A, Webb JG, Barbanti M, et al. Five-year experience with transcatheter transapical mitral valve-in-valve implantation for bioprosthetic valve dysfunction. *J Am Coll Cardiol* 2013;61:1759-66.
- Bonow RO, Carabello BA, Chatterjee K, et al. 2008 focused update incorporated into the ACC/AHA 2006 guidelines for the management of patients with valvular heart disease: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Writing Committee to Develop Guidelines for the Management of Patients With Valvular Heart Disease). *J Am Coll Cardiol* 2008;52:e1-142.
- Cheung A, Webb JG, Wong DR, et al. Transapical transcatheter mitral valve-in-valve implantation in a human. *Ann Thorac Surg* 2009;87:e18-20.
- Webb JG, Dvir D. Transcatheter aortic valve replacement for bioprosthetic aortic valve failure: the valve-in-valve procedure. *Circulation* 2013;127:2542-50.
- Kappetein AP, Head SJ, Généreux P, et al. Updated standardized endpoint definitions for transcatheter aortic valve replacement: the VARC-2 consensus document. *Eur Heart J* 2012;33:2403-18.
- Gurvitch R, Cheung A, Bedogni F, Webb JG. Coronary obstruction following transcatheter aortic valve-in-valve implantation for failed surgical bioprostheses. *Catheter Cardiovasc Interv* 2011;77:439-44.
- Schaefer U, Frerker C, Schewel D, et al. Transfemoral and transseptal valve-in-valve implantation into a failing mitral xenograft with a balloon-expandable biological valve. *Ann Thorac Surg* 2012;94:2115-8.
- Dvir D, Webb J, Brecker S, et al. Transcatheter aortic valve replacement for degenerative bioprosthetic surgical valves: results from the global valve-in-valve registry. *Circulation* 2012;126:2335-44.
- Pandis D, Sengupta PP, Castillo JG, et al. Assessment of longitudinal myocardial mechanics in patients with degenerative mitral valve regurgitation predicts postoperative worsening of left ventricular systolic function. *J Am Soc Echocardiogr* 2014;27:627-38.
- Shin S, Park PW, Han WS, Sung KI, Kim WS, Lee YT. Mass reduction and functional improvement of the left ventricle after aortic valve replacement for degenerative aortic stenosis. *Korean J Thorac Cardiovasc Surg* 2011;44:399-405.
- Ding WH, Lam YY, Kaya MG, et al. Echocardiographic predictors of left ventricular functional recovery following valve replacement surgery for severe aortic stenosis. *Int J Cardiol* 2008;128:178-84.
- Jategaonkar SR, Scholtz W, Horstkotte D, Gummert J, Ensminger SM, Börgermann J. Transfemoral aortic valve-in-valve implantation with the corevalve evolut for small degenerated stented bioprosthesis. *J Invasive Cardiol* 2014;26:291-4.
- Diemert P, Seiffert M, Frerker C, et al. Valve-in-valve implantation of a novel and small self-expandable transcatheter heart valve in degenerated small surgical bioprostheses: the Hamburg experience. *Catheter Cardiovasc Interv* 2014;84:486-93.
- Azadani AN, Jaussaud N, Ge L, Chitsaz S, Chuter TA, Tseng EE. Valve-in-valve hemodynamics of 20-mm transcatheter aortic valves in small bioprostheses. *Ann Thorac Surg* 2011;92:548-55.

**KEY WORDS** aortic valve, bioprosthesis, mitral valve, transcatheter, valve-in-valve