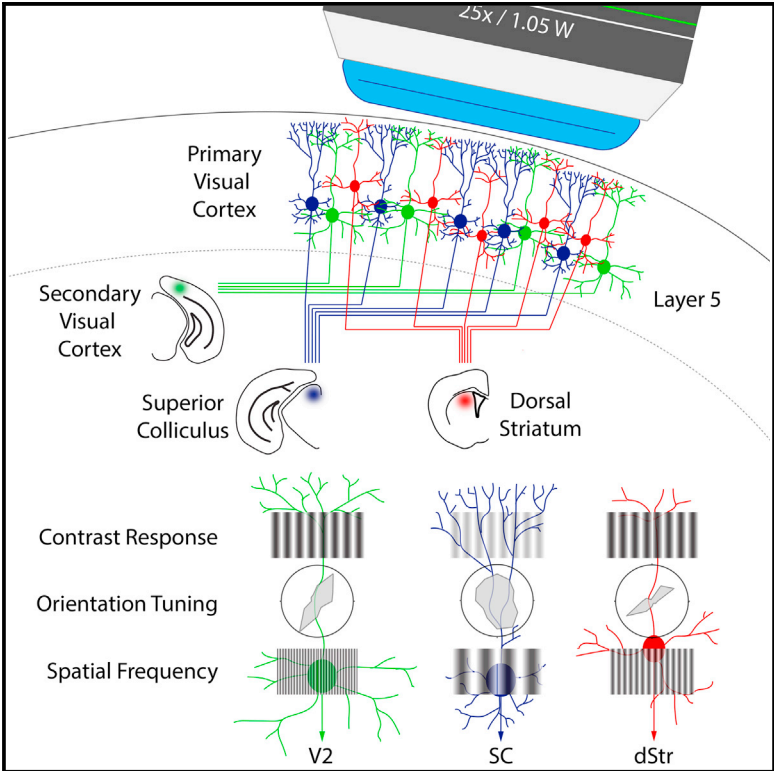


## Projection-Specific Visual Feature Encoding by Layer 5 Cortical Subnetworks

### Graphical Abstract



### Authors

Gyorgy Lur, Martin A. Vinck, Lan Tang, Jessica A. Cardin, Michael J. Higley

### Correspondence

jess.cardin@yale.edu (J.A.C.), m.higley@yale.edu (M.J.H.)

### In Brief

Lur et al. demonstrate that in L5 of mouse V1, corticotectal (CT) neurons exhibit higher contrast sensitivity and broader tuning properties in comparison to corticocortical (CC) and corticostriatal (CS) neurons. Additionally, CT cell activity is broadly correlated across L5 populations, whereas CC and CS cells are more strongly correlated within their respective groups.

### Highlights

- Projection targets define non-overlapping cell populations in L5 of mouse V1
- Corticotectal neurons are most broadly tuned for orientation and spatial frequency
- Corticostriatal and corticocortical neurons form correlated subnetworks in L5

# Projection-Specific Visual Feature Encoding by Layer 5 Cortical Subnetworks

Gyorgy Lur,<sup>1,2</sup> Martin A. Vinck,<sup>1</sup> Lan Tang,<sup>1,2</sup> Jessica A. Cardin,<sup>1,3,\*</sup> and Michael J. Higley<sup>1,2,3,\*</sup>

<sup>1</sup>Department of Neuroscience, Kavli Institute for Neuroscience

<sup>2</sup>Program in Cellular Neuroscience, Neurodegeneration and Repair

Yale School of Medicine, New Haven, CT 06520, USA

<sup>3</sup>Co-senior author

\*Correspondence: [jess.cardin@yale.edu](mailto:jess.cardin@yale.edu) (J.A.C.), [m.higley@yale.edu](mailto:m.higley@yale.edu) (M.J.H.)

<http://dx.doi.org/10.1016/j.celrep.2016.02.050>

This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

## SUMMARY

Primary neocortical sensory areas act as central hubs, distributing afferent information to numerous cortical and subcortical structures. However, it remains unclear whether each downstream target receives a distinct version of sensory information. We used *in vivo* calcium imaging combined with retrograde tracing to monitor visual response properties of three distinct subpopulations of projection neurons in primary visual cortex. Although there is overlap across the groups, on average, corticotectal (CT) cells exhibit lower contrast thresholds and broader tuning for orientation and spatial frequency in comparison to corticostriatal (CS) cells, whereas corticocortical (CC) cells have intermediate properties. Noise correlational analyses support the hypothesis that CT cells integrate information across diverse layer 5 populations, whereas CS and CC cells form more selectively interconnected groups. Overall, our findings demonstrate the existence of functional subnetworks within layer 5 that may differentially route visual information to behaviorally relevant downstream targets.

## INTRODUCTION

Recent evidence suggests that transmission of sensory information over distinct channels to different downstream targets is a key feature of cortical circuits (Wang and Burkhalter, 2013). Indeed, primary sensory cortex may act as a hub for routing information streams from a locally heterogeneous population of pyramidal neurons (PNs) (Glickfeld et al., 2013; Jarosiewicz et al., 2012). However, the extent to which pools of PNs extract distinct feature information from sensory inputs remains unclear. The relationships between sensory processing and functional connectivity within local and long-distance cortical networks are also poorly understood.

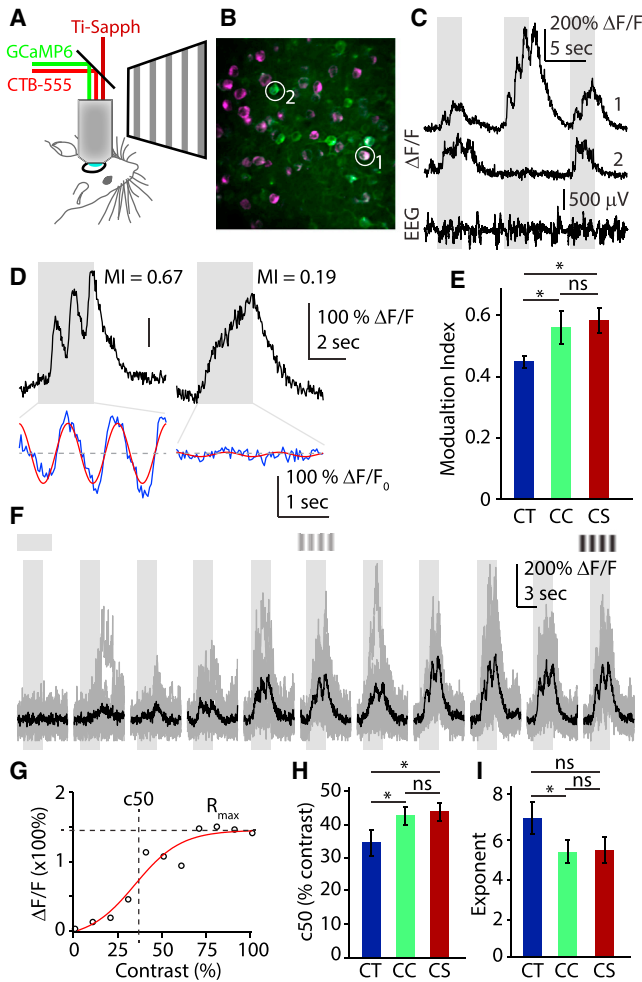
In the visual cortex, connection probability is elevated for neurons sharing similar feature selectivity (Ko et al., 2011; Kohn and

Smith, 2005; Okun et al., 2015). However, this relationship between connectivity and sensory tuning is not exclusive, as not all connected neurons respond to identical features (Ko et al., 2014). In addition, not all connected neurons share the same target structures (Brown and Hestrin, 2009). Along with diverse intracortical projections, V1 projects heavily from layers 2/3 and 5 to subcortical structures, including the basal ganglia and tectum (Khibnik et al., 2014; Oh et al., 2014).

Data from *ex vivo* preparations suggest that different populations of PNs in layer 5 (L5) may be functionally distinct. For example, corticotectal (CT) neurons projecting to the superior colliculus (SC) have thick apical trunks with prominent dendritic tuft arborizations and express high levels of hyperpolarization and cyclic nucleotide gated channels (HCNs) (Harris and Shepherd, 2015; Kasper et al., 1994). In contrast, non-CT cells, including corticostriatal (CS) and corticocortical (CC) neurons, have more modest apical dendritic tufts and exhibit little HCN expression (Shepherd, 2013; Larkman and Mason, 1990). Moreover, distinct L5 populations are differentially connected with superficial layers and with each other, suggesting the existence of distinct subnetworks within neocortical circuits (Lefort et al., 2009; Feldmeyer, 2012). Indeed, in mouse visual cortex, intra-group synaptic connectivity is highest for CS cells, contrasting with CT cells that broadly receive inputs from diverse L5 populations (Brown and Hestrin, 2009).

Previous *in vivo* work has shown that, in general, L5 neurons are more broadly tuned for orientation and spatial frequency than neurons in more-superficial layers (Niell and Stryker, 2008; Hoy and Niell, 2015). However, it is less clear how visual response properties vary across distinct cellular populations in L5. The striatum and SC are postulated to play important yet distinct roles in visually guided behavior (Sahibzada et al., 1986; Ragozzino et al., 2002), and the nature of the visual information directed to these areas from V1 is unclear. One possibility is that subcortical structures all receive a composite visual output, maximizing the efficacy and redundancy of visual signal transmission. Alternatively, subcortical projections may provide target-specific information content about visual features in the environment.

To address this issue, we combined retrograde fluorescent labeling with *in vivo* multiphoton calcium imaging to compare visual feature extraction across identified L5 PN populations. We



**Figure 1. CT Cells Exhibit Lower Visual Detection Threshold than CC and CS Neurons**

(A) Schematic of in vivo two-photon  $\text{Ca}^{2+}$  imaging of labeled L5 PN populations. (B) Example field of view. Green somata express GCaMP6s. Magenta cells express GCaMP6s and are retrogradely labeled with red fluorescent CTB-Alexa Fluor 555. (C) Example raw traces recorded from cells indicated in (B) and corresponding EEG signal. (D) Example  $\Delta\text{F}/\text{F}$  traces (black) and de-trended visual responses (blue) with best fit sine waves (red) to calculate modulation index (MI). (E) Bars represent mean  $\pm$  SEM MI for CT (blue), CC (green), and CS (red) cells. (F) Example raw (gray) and average (black)  $\Delta\text{F}/\text{F}$  traces recorded at varying contrast values. (G) Hyperbolic ratio function fit (red) to contrast response (black circles). Dashed lines highlight  $c_{50}$  and  $R_{\text{max}}$  points. (H) Bars represent mean  $\pm$  SEM  $c_{50}$  values of CT (blue), CC (green), and CS (red) cells. (I) Bars represent mean  $\pm$  SEM exponent values of CT (blue), CC (green), and CS (red) cells.

\* $p < 0.05$ ; Student's t test; semi-weighted statistics (see [Experimental Procedures](#)).

find that CS, CC, and CT cells comprise largely non-overlapping populations in L5 of mouse V1. Furthermore, CT cells are more sensitive to low contrast and are more broadly tuned for orienta-

tion and spatial frequency than CS cells, whereas CC cells exhibit intermediate properties. Both CS and CC cells exhibit strong intra-group correlational structure, suggesting they form distinct subnetworks in L5, whereas CT cells show broad correlations across groups. These findings indicate that visual features may be differentially extracted by target-specific subnetworks of L5 PNs that route behaviorally relevant information to divergent downstream areas.

## RESULTS

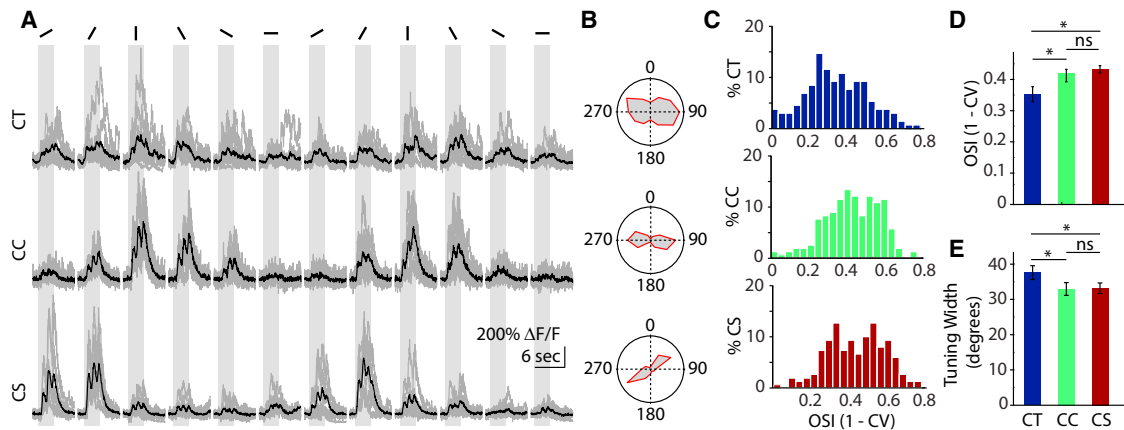
### Distinct Populations of PNs in V1 L5

Previous studies have suggested that L5 comprises diverse groups of PNs that differ in their projection targets, morphology, and electrophysiological characteristics ([Hattox and Nelson, 2007](#); [Shepherd, 2013](#); [Harris and Shepherd, 2015](#); [Kasper et al., 1994](#); [Larkman and Mason, 1990](#)). To investigate the distinct functional properties of L5 PN subpopulations in V1, we combined fluorescent retrograde labeling with in vivo two-photon calcium ( $\text{Ca}^{2+}$ ) imaging in lightly anesthetized mice ([Figures 1A–1C](#), [S1A](#), and [S1B](#)). We identified three separate groups of PNs by injecting the retrograde tracer cholera toxin B (CTB) into either the superior colliculus (SC), dorsal striatum (dStr), or contralateral medial V2 (cV2) ([Figure S1C](#); see [Experimental Procedures](#)). Using double injections of green and red fluorescent CTB, we confirmed that labeled populations in V1 are largely non-overlapping (<2% overlap) for the three classes ([Figures S1D and S1E](#)), which also differed in their morphology and intrinsic electrophysiological characteristics ([Figures S1G–S1I](#); [Table S1](#)). Notably, CT, CS, and CC cells showed considerable overlap in their distribution as a function of cortical depth ([Figure S1F](#)).

### Visual Feature Encoding by L5 PNs

For functional imaging, we injected red fluorescent CTB into one of the three target areas and expressed GCaMP6s ([Chen et al., 2013](#)) in V1 using a viral vector. We imaged 1,525 neurons in 20 animals, of which 1,279 were deemed visually responsive (see [Experimental Procedures](#)). Of these, 950 were identified by tracer injection (342 CT cells from six animals; 306 CC neurons from nine animals; 302 CS cells from five animals). The fraction of visually responsive cells was similar in all three populations (CT: 83%; CC: 80%; CS: 83%). Each cell was imaged during presentation of one or more visual stimulus sequences, consisting of whole-field sinusoidal drifting gratings with varied contrast, orientation, and spatial frequency. Importantly, ex vivo imaging revealed no differences across cell types with regard to the relationship between spiking and calcium signal ([Figures S2A–S2C](#)).

Consistent with previous recordings of both spiking and sub-threshold activity ([Hubel and Wiesel, 1962](#); [Mechler and Ringach, 2002](#); [Skottun et al., 1991](#)), we observed cells whose visually evoked  $\text{Ca}^{2+}$  transients were modulated to differing degrees at the temporal frequency of the grating stimulus. We quantified this property using a modulation index (MI) (see [Experimental Procedures](#)). Cells with higher MI values are more simple-like, whereas those with lower values are more complex-like ([Figure 1D](#)). Using this metric, CT cells showed



**Figure 2. CT Neurons Are More Broadly Tuned for Orientation Than CC and CS Cells**

(A) Example raw (gray) and average (black) traces of CT (top), CC (middle), and CS (bottom) neurons at varying orientations. (B) Polar plots indicating the orientation tuning of the cells in (A). (C) Distribution of OSI values for CT, CC, and CS populations. (D) Bars represent mean  $\pm$  SEM. OSI values of CT (blue), CC (green), and CS (red) cells. (E) Bars represent mean  $\pm$  SEM orientation tuning width of CT (blue), CC (green), and CS (red) cells. \* $p < 0.05$ ; Student's t test; semi-weighted statistics (see [Experimental Procedures](#)).

significantly weaker modulation ( $0.448 \pm 0.018$ ;  $n = 115$ ; six animals) in comparison to CC ( $0.56 \pm 0.051$ ;  $n = 116$ ; nine animals;  $p = 0.02$ ; Student's t test) and CS ( $0.582 \pm 0.037$ ;  $n = 104$ ; five animals;  $p = 0.0006$ ; t test; [Figures 1E](#) and [S3A](#)). There was no difference between CC and CS cells ( $p = 0.36$ ; t test). Moreover, the period of the best-fit sine wave for the data was  $0.9 \pm 0.2$  s, in agreement with the 1-Hz temporal frequency of the stimulus. There was no significant correlation between  $\text{Ca}^{2+}$  decay and the MI (Pearson's  $r = -0.045$ ;  $p = 0.3273$ ; [Figure S2D](#)), suggesting that disparate  $\text{Ca}^{2+}$  buffering did not contribute to the observed MI differences. Importantly, we also found no significant differences between the decay kinetics of the  $\text{Ca}^{2+}$  signal across populations, suggesting that GCaMP6 expression is similar in the different cell groups ([Figure S2E](#)).

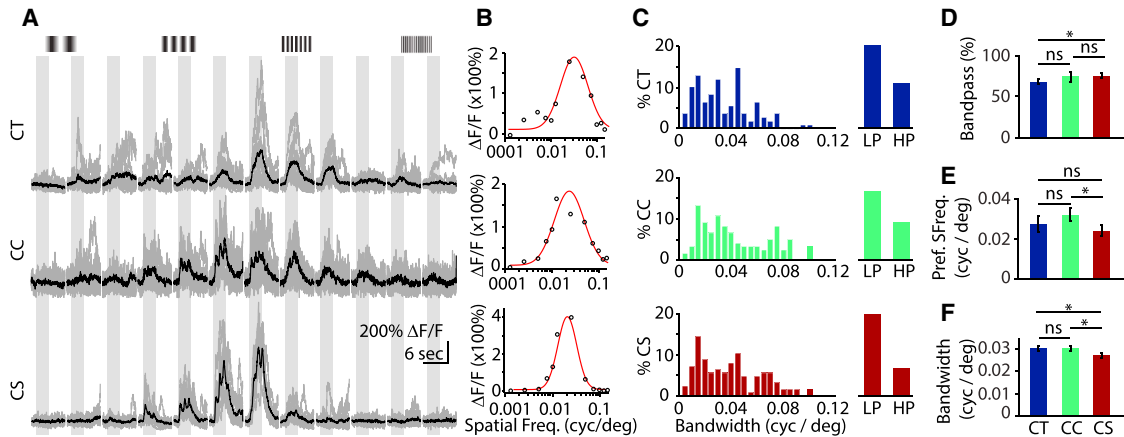
We then measured the sensitivity to stimulus contrast across cell populations. Only cells with a significant contrast-dependent increase in response magnitude were considered for analysis (272/438 cells; Spearman rank test  $r > 0$  and  $p < 0.05$ ; [Figure 1F](#)). For each cell, we fitted the data with a hyperbolic ratio function ([Figure 1G](#); see [Experimental Procedures](#); [Contreras and Palmer, 2003](#)). We calculated the  $c50$  value, exponent, and  $R_{\text{max}}$  for the resulting curves with goodness-of-fit  $R^2$  values  $> 0.4$ . The  $c50$  value of CT cells ( $34.44\% \pm 3.4\%$ ;  $n = 70$  cells; six animals) was significantly lower than that of CS cells ( $43.85\% \pm 2.3\%$ ;  $n = 75$  cells; five animals;  $p = 0.0112$ ; Student's t test) or CC cells ( $43.14\% \pm 3\%$ ;  $n = 108$  cells; nine animals;  $p = 0.0285$ ; t test; [Figures 1H](#) and [S3B](#)). Again, there was no difference between CS and CC cells ( $p = 0.426$ ; t test). The exponent value was significantly higher in CT neurons ( $6.96 \pm 0.8$ ) than in CC cells ( $5.27 \pm 0.57$ ;  $p = 0.043$ ; t test) but was not statistically different from CS cells ( $5.36 \pm 0.65$ ;  $p = 0.060$ ; t test; [Figures 1I](#) and [S3C](#)). There was no significant difference between CC and CS cells ( $p = 0.45$ ; t test). On average, CC cells exhibited a higher  $R_{\text{max}}$  value ( $0.536 \pm 0.042$ ) than CT ( $0.431 \pm 0.027$ ;  $p = 0.017$ ; t test) or CS cells ( $0.411 \pm 0.06$ ;  $p = 0.043$ ; t test; [Figures S3D](#)

and [S3E](#)). Together, these data indicate that, on average, CT cells are more complex-like and have a lower threshold for detecting visual stimuli compared with CS or CC cells.

We next compared the orientation tuning of the three L5 subpopulations by presenting sinusoidal drifting gratings at 100% contrast in 12 different orientations. All three groups exhibited orientation selective responses ([Figures 2A](#), [2B](#), [S4A](#), and [S4B](#)), and we therefore calculated an orientation selectivity index (OSI) (see [Experimental Procedures](#)). Across the three populations, CT cells had a significantly lower mean OSI ( $0.351 \pm 0.021$ ;  $n = 158$  cells; six animals) than CC ( $0.42 \pm 0.018$ ;  $n = 193$  cells; nine animals;  $p = 0.0071$ ; Student's t test) or CS ( $0.433 \pm 0.011$ ;  $n = 169$  cells; five animals;  $p = 0.0003$ ; t test) cells, whereas the latter two were not significantly different ( $p = 0.2796$ ; t test; [Figures 2C](#) and [2D](#)). We also calculated orientation tuning width by fitting the data with a flat top von Mises function (see [Experimental Procedures](#)). Cells deemed over-fitted (extremely narrow tuning with low OSI; [Figure S4C](#)) or yielding goodness-of-fit  $R^2$  values  $< 0.4$  were rejected from further analysis. Tuning widths were in good agreement with OSI measures, as CT cells had significantly broader tuning ( $37.675 \pm 1.796$  degrees;  $n = 123$  cells; six animals) than either CC ( $32.962 \pm 1.84$  degrees;  $n = 169$  cells; nine animals;  $p = 0.0334$ ; Student's t test) or CS ( $33.165 \pm 1.4$  degrees;  $n = 152$  cells; five animals;  $p = 0.0263$ ; t test) cells, whereas CC and CT cells did not differ ( $p = 0.4658$ ; t test; [Figure 2E](#)). Similar results were found with an alternative measure of orientation tuning ([Figure S4D](#)). As with previous findings in non-human primates ([Ringach et al., 2002](#)), we found that the OSI is a good predictor of the tuning width for individual cells (Pearson's  $r = 0.4118$ ;  $p < 0.001$ ; [Figure S4C](#)). Overall, these data indicate that, as a population, CT neurons are more broadly orientation tuned than either CS or CC neurons.

In a subset of experiments, we characterized the spatial frequency preferences of identified L5 PNs ([Figures 3A](#) and [S5A](#)). Data were plotted on a log scale and fit with a Gaussian function,





**Figure 3. CC and CT Neurons Filter Spatial Frequencies at a Broader Band Than CS Cells**

(A) Example raw (gray) and average (black) traces of CT (top), CC (middle), and CS (bottom) neurons at varying spatial frequencies. (B) Gaussian curves (red) fit over spatial frequency data (black circles) from (A) on a  $\log_{10}$  scale. (C) Distributions of bandwidths and fractions of low pass (LP) and high pass (HP) for CT, CC, and CS cells. (D) Bars represent mean  $\pm$  SEM fraction of band-pass cells in CT (blue), CC (green), and CS (red) populations. (E) Bars represent mean  $\pm$  SEM preferred spatial frequency of CT (blue), CC (green), and CS (red) cells. (F) Bars represent mean  $\pm$  SEM spatial frequency bandwidth of CT (blue), CC (green), and CS (red) cells. \* $p < 0.05$ ; Student's *t* test; semi-weighted statistics (see [Experimental Procedures](#)).

allowing us to calculate the preferred spatial frequency and the bandwidth of each cell (Figures 3B and 3C). Only cells with goodness of fit  $R^2 > 0.4$  were considered for further analysis. Cells were characterized as either low pass, high pass, or band pass (see [Experimental Procedures](#); Figures S5B and S5C). For all three L5 populations, the majority of cells were band pass (Figure 3C). Furthermore, we found that CC cells exhibited higher spatial frequency preference ( $0.032 \pm 0.003$  cyc/deg;  $n = 176$  cells; nine animals) than CS cells ( $0.024 \pm 0.003$  cyc/deg;  $n = 170$  cells; five animals;  $p = 0.0293$ ; Student's *t* test) but were not significantly different from CT cells ( $0.028 \pm 0.004$  cyc/deg;  $n = 168$  cells; six animals;  $p = 0.165$ ; *t* test). CT and CS cells did not differ ( $p = 0.2177$ ; *t* test; Figure 3E). Notably, spatial frequency bandwidth was significantly broader for CT cells ( $0.303 \pm 0.011$  cyc/deg;  $p = 0.0194$ ; *t* test) and CC cells ( $0.303 \pm 0.011$  cyc/deg;  $p = 0.0201$ ; Student's *t* test) versus CS cells ( $0.272 \pm 0.01$  cyc/deg; *t* test), whereas CT and CC cells did not differ ( $p = 0.49$ ; *t* test; Figure 3F). These findings suggest that both CT and CC cells are more sensitive to broadband spatial information in comparison to CS cells.

### Noise Correlations Suggest Functional L5 Subnetworks

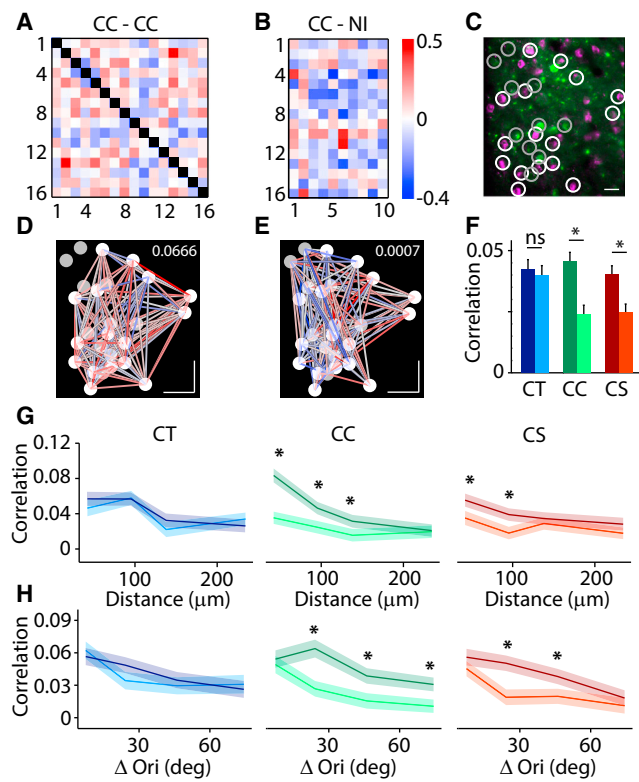
Studies in brain slices suggest that different populations of L5 PNs are selectively interconnected both within and across groups (Brown and Hestrin, 2009; Lefort et al., 2009). To assess the functional correlational structure of these circuits in vivo, we performed pairwise noise correlation analysis between individual cells (Figure S6). Higher correlation coefficients are thought to indicate a greater degree of either shared synaptic connectivity or common inputs (Cohen and Kohn, 2011; Schneidman et al., 2006). Within each field of view, we calculated the pairwise noise correlation between CTB-labeled neurons (within population) and between labeled and non-identified cells (across populations) during repeated presentation of whole-field drifting grat-

ings (Figures 4A–4E). We found that, on average, CT cells are as strongly correlated with each other ( $R_{CT-CT} = 0.042 \pm 0.04$ ) as with the non-identified neurons around them ( $R_{CT-NI} = 0.04 \pm 0.004$ ;  $n = 14$  fields of view; six animals;  $p = 0.3335$ ; paired *t* test). In contrast, both CC and CS cells are more strongly interconnected within their respective population than to the surrounding non-identified cells ( $R_{CC-CC} = 0.046 \pm 0.004$ ,  $R_{CC-NI} = 0.024 \pm 0.004$ ,  $n = 14$  fields of view, nine animals,  $p = 0.00001$ , paired *t* test;  $R_{CS-CS} = 0.04 \pm 0.004$ ,  $R_{CS-NI} = 0.025 \pm 0.004$ ,  $n = 11$  fields of view, five animals,  $p = 0.0011$ , paired *t* test; Figure 4F). These results suggest that CT cells form promiscuous local networks, whereas CC and CS cells preferentially participate in networks within their own subpopulation.

We found that activity correlation strength in all cell groups significantly decreased with increasing inter-somatic distance (Pearson's *r* ranging from  $-0.04$  to  $-0.15$ ;  $p < 0.05$  in all populations; Figure 4G). Notably for CC and CS cells, the correlation within groups was significantly higher ( $p < 0.05$  where indicated; paired *t* test) than across groups for short distances, indicating that group identity is important for the connectivity of local networks. We also found that pairwise correlations were related to the degree of co-tuning for orientation (Figure 4H). Again, for CC and CS cells, the correlations were higher within than across groups ( $p < 0.05$  where indicated; paired *t* test). Overall, our analyses suggest that CT cells are positioned to integrate visual information across large pools of L5 neurons, whereas CC and CS are preferentially interconnected within target-specific local networks.

### DISCUSSION

In this study, we characterized the functional properties of three PN subtypes in L5 of mouse V1, defined by their projection targets. We showed that CT, CS, and CC cells comprise



**Figure 4. CC and CS Neurons Form Local Subnetworks**

(A) Heatmap showing the strength of partial noise correlations between pairs of labeled CC neurons within an example field of view.  
 (B) Heatmap showing partial noise correlations between pairs of labeled CC and non-identified (NI) neurons in the same field of view as in (A).  
 (C) Two-photon fluorescent image of the field of view in (A) and (B) highlighting visually responsive CC (white circles) and NI (gray circles) neurons.  
 (D) Web graph showing the connections and correlation strength between CC neurons in the same field of view as in (A)–(C).  
 (E) Web graph showing the connections and correlation strength between CC and NI neurons in the same field of view as in (A)–(D).  
 (F) Bars representing mean  $\pm$  SEM correlation strength between CT-CT (dark blue), CT-NI (light blue), CC-CC (dark green), CC-NI (light green), CS-CS (dark red), and CS-NI (light red) cell pairs. \* $p < 0.05$ ; paired t test.  
 (G) Change in correlation strength with distance between CT-CT (dark blue), CT-NI (light blue), CC-CC (dark green), CC-NI (light green), CS-CS (dark red), and CS-NI (light red) cell pairs. \* $p < 0.05$ ; paired t test.  
 (H) Change in correlation strength related to the degree of co-tuning for orientation between CT-CT (dark blue), CT-NI (light blue), CC-CC (dark green), CC-NI (light green), CS-CS (dark red), and CS-NI (light red) cell pairs. \* $p < 0.05$ ; paired t test.

non-overlapping populations that display differences in contrast sensitivity, orientation tuning, and spatial frequency selectivity. In general, CT cells exhibit the highest contrast sensitivity and broadest tuning for orientation and spatial frequency, similar to a previous electrophysiological study of putative CT neurons (Mangini and Pearlman, 1980). Conversely, CS cells are more narrowly tuned for visual inputs, whereas CC cells exhibit intermediate properties. Moreover, analysis of noise correlations suggests that CT cells are widely connected to other L5 PNs, whereas CC and CS cells form more-circumscribed networks within their own groups. These

findings shed important light on the functional diversity of information processing by a cortical output layer and indicate that information streams routed to distinct downstream targets are functionally heterogeneous.

One caveat regarding our findings is that  $\text{Ca}^{2+}$  signaling may not accurately reflect underlying spike activity across different cell groups, potentially due to variations in GCaMP6 expression or nonlinearity of the indicator. However, using ex vivo imaging, we found no differences between spiking and calcium signaling for the three groups. Moreover, we found that the  $\text{Ca}^{2+}$  decay kinetics in vivo do not differ between the CT, CS, and CC cells (see Figure S2), suggesting that all cells express similar amounts of GCaMP6 (Higley and Sabatini, 2008). Finally, previous reports have suggested visually evoked firing rates for L5 PNs of less than 5 Hz (Hoy and Niell, 2015; Vinck et al., 2015), well within the linear regime for GCaMP6 signaling (Chen et al., 2013; Podor et al., 2015). Thus, we do not think it likely that variation in spike- $\text{Ca}^{2+}$  coupling explains the observed differences in visual tuning across populations of L5 PNs.

Previous work in brain slices has demonstrated the morphological, molecular, and electrophysiological heterogeneity of L5 PNs (Hattox and Nelson, 2007; Shepherd, 2013; Larkman and Mason, 1990; Harris and Shepherd, 2015; Kasper et al., 1994). Two major cell types have been described: thin tufted cells (also referred to as type B or intratelencephalic) and thick tufted cells (also called type A or pyramidal tract). Type B cells, likely corresponding to our CC and CS cells, are thought to be located primarily in L5A and are characterized by wider action potentials, adapting firing properties, and the expression of the transcription factor SATB2 (Shepherd, 2013). Conversely, type A neurons, likely corresponding to our CT cells, are thought to be located in L5B and exhibit narrower action potentials, bursting firing patterns, and expression of CTIP2 and FEZF2 (Hattox and Nelson, 2007; Kasper et al., 1994). Notably, in the auditory cortex of the rat, intrinsic-bursting L5 PNs have broader tuning properties than regular spiking cells (Sun et al., 2013).

Work from both in vivo and ex vivo preparations has suggested the existence of synaptically coupled subnetworks within cortical microcircuits (Brown and Hestrin, 2009; Lefort et al., 2009). For example, cells that share similar visual tuning properties exhibit higher monosynaptic connection probability (Ko et al., 2011; Kohn and Smith, 2005). In addition, paired recordings of L5 PNs in V1 indicate high interconnectivity between CS cells, whereas CT cells are broadly connected with multiple L5 populations (Brown and Hestrin, 2009). Here, we analyzed noise correlations, which have been used to assess functional (though not necessarily anatomical) connectivity between neurons in vivo (Cohen and Kohn, 2011; Hofer et al., 2011; Kohn and Smith, 2005; Ecker et al., 2010; Smith and Kohn, 2008). Our results expand these previous findings to show that both CC and CS cells exhibit strong within-group correlations, suggesting preferential connectivity among like-projecting neurons. In contrast, CT cells appear to be broadly connected both within and between groups. This divergent connectivity of CT cells is further supported by their lower MI, suggesting that CT cells are more complex-like. Complex cells

are hypothesized to arise from the summed input from upstream simple cells (Martinez and Alonso, 2003; Hubel and Wiesel, 1962), suggesting that CT cells function generally as integrators. Finally, in agreement with previous findings (Hofer et al., 2011; Ko et al., 2011; Smith and Kohn, 2008), we show that functional connectivity of all groups is significantly correlated both with similarity of orientation tuning as well as inter-somatic distance. Again, for CC and CS cells, there is greater correlation within versus across group. Thus, our results indicate that projection specificity is a key additional factor in determining functional circuit interactions.

These findings indicate that subpopulations of L5 cells relay varied information about visual stimuli to different downstream targets. This conclusion is supported by recent evidence that cells in V1 that project to different ipsilateral higher-order visual areas also convey distinct spatial and temporal information (Glickfeld et al., 2013; Jarosiewicz et al., 2012; El-Shamayleh et al., 2013; Movshon and Newsome, 1996; Andermann et al., 2011). In addition, a recent study found that different genetically defined L5 PN types exhibit tuning differences similar to those seen in our work (Kim et al., 2015). Ultimately, this organization may provide information necessary for appropriate processing by the target structures. For example, the SC is thought to play a prominent role in orienting behaviors, where fine information about spatiotemporal stimulus properties may be unnecessary (Sahibzada et al., 1986; Dean et al., 1986). This is consistent with the high contrast sensitivity and broad tuning properties of CT cells, which may function more like “detectors.” In contrast, the striatum plays a crucial role in motor planning and reward-based learning (Graybiel and Grafton, 2015). Furthermore, higher-order visual areas (e.g., V2) may play key roles in decision making about visually guided behaviors (Lee et al., 2002; Prusky and Douglas, 2004; Marshel et al., 2011). Therefore, cells projecting to these areas may require higher selectivity for visual features, functioning more like “discriminators.” Future studies are needed to investigate the behavioral contributions of these heterogeneous L5 populations.

Lastly, we note that our approach to the statistical analysis of population data was based on the inherent nested design of the study. Analyses based on individual cells (rather than animals) face an increased false positive rate for detecting significant differences (Galbraith et al., 2010; Cochran, 1937). To address this issue, we used a statistical approach that compares means across animals (DerSimonian and Laird, 1986; Chung et al., 2013; Experimental Procedures), with individual means weighted by the variance within and across groups. This method is commonly used in random-effects meta-analyses and reduces the false-positive rate while maintaining statistical power within acceptable limits (Aarts et al., 2014). This is an especially important analytical tool for multiphoton datasets that typically include many tens or hundreds of cells per mouse but do not involve large numbers of animals.

In conclusion, our findings indicate that, despite physical co-mingling of cell bodies, subpopulations of V1 neurons form specific functionally interconnected networks in L5 that are capable of extracting varied feature information about the visual world and relaying this information to different downstream targets.

## EXPERIMENTAL PROCEDURES

### Animals

Adolescent (6- to 8-week-old) wild-type C57/bl6 mice (Charles River Laboratories) were used in accordance with the Yale Institutional Animal Care and Use Committee and federal guidelines.

### In Vivo Imaging

GCaMP6s was expressed in V1 using an adenoassociated virus vector (AAV2-*hSynapsin1*-GCaMP6s, serotype 5; University of Pennsylvania Vector Core). Projection-specific subtypes of L5 PN types were labeled using CTB-Alexa Fluor 555 injected into the SC, dStr, or cV2. Imaging was performed 25–30 days after injection under light isoflurane anesthesia through an acutely implanted glass cranial window. Imaging was performed using a resonant scanner-based two-photon microscope (MOM; Sutter Instruments) coupled to a Ti:sapphire laser (MaiTai DeepSee; Spectra Physics) tuned to 940 nm for GCaMP6 and 1,000 nm for CTB-Alexa Fluor 555. Images were acquired using ScanImage 4.2 (Vidrio Technologies) at ~30 Hz from a depth of ~450–600  $\mu\text{m}$  relative to the brain surface. Visual stimuli consisted of full-screen sinusoidal drifting gratings with a temporal frequency of 1 Hz and with varied contrast, orientation, and spatial frequency. For all experiments, visual stimuli were 3 s in duration and separated by an inter-stimulus interval of 5 s.

### Data Analysis

Analysis was performed using custom-written routines in MATLAB (The Mathworks) and IgorPro (Wavemetrics). Regions of interest (ROIs) corresponding to single cells were selected as previously described (Chen et al., 2013).  $\text{Ca}^{2+}$  signals in response to visual stimuli were averaged and expressed as  $\Delta F/F$ . A cell was classified as visually responsive if the  $\text{Ca}^{2+}$  signals during stimulus presentation were statistically different from the signals during five blank periods ( $p < 0.05$ ; ANOVA test) and larger than 10%  $\Delta F/F$ .

The MI for each individual cell was determined by fitting data with a sine function and normalizing the peak-to-trough amplitude by the mean total  $\text{Ca}^{2+}$  response. Contrast response curves were fit by a hyperbolic ratio function (Contreras and Palmer, 2003). The OSI was calculated as  $1 - \text{circular variance}$  (Ringach et al., 2002). Orientation tuning bandwidth was measured as the half width at  $1/\sqrt{2}$  of a flat-top von Mises function fit to the data. For spatial frequency tuning, data were plotted on a log<sub>10</sub>-frequency scale and fit with a Gaussian function. Cells were classified as low pass or high pass if the low or high end of the tuning curve, respectively, failed to cross the half maximum point. For all analyses that required curve fitting, cells were only included if the goodness of fit yielded a  $R^2 > 0.4$ . Noise correlations were calculated as the partial correlation coefficient between pairs of cells.

### Statistical Analysis

For most analyses, we developed a method of using semi-weighted estimators to compare individual animals, rather than cells (Chung et al., 2013; DerSimonian and Laird, 1986). This approach minimizes false positives while maintaining statistical power (Aarts et al., 2014). We used this semi-weighted estimator to calculate the statistical significance of the difference between cell populations using a standard Student's *t* test. The only exception to this was the noise correlation analysis in Figure 4, where we used the weighted estimator to reflect the pairwise nature of the comparisons.

## SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures, six figures, and one table and can be found with this article online at <http://dx.doi.org/10.1016/j.celrep.2016.02.050>.

## AUTHOR CONTRIBUTIONS

G.L., J.A.C., and M.J.H. designed and G.L. and L.T. performed the experiments. G.L., M.A.V., and L.T. analyzed the data. G.L., J.A.C., and M.J.H. wrote the paper.

## ACKNOWLEDGMENTS

The authors thank members of the J.A.C. and M.J.H. labs for comments during the preparation of this manuscript. Special thanks to Daniel Barson for the neuropil subtraction script. The work was funded by grants from The Brain and Behavior Research Foundation (to G.L., J.A.C., and M.J.H.), the NIH (MH099045 to M.J.H. and EY022951 to J.A.C.), the Alfred P. Sloan Foundation (to J.A.C. and M.J.H.), the Whitehall Foundation (to J.A.C.), the Klingenstein Foundation (to J.A.C. and M.J.H.), the McKnight Foundation (to J.A.C.), and a Rubicon Grant (Netherlands Organization for Science) and a Human Frontiers postdoctoral fellowship award (to M.A.V.). We thank the GENIE Project at the Janelia Farm Research Campus for the development of GCaMP6.

Received: November 5, 2015

Revised: January 11, 2016

Accepted: February 7, 2016

Published: March 10, 2016

## REFERENCES

- Aarts, E., Verhage, M., Veenivliet, J.V., Dolan, C.V., and van der Sluis, S. (2014). A solution to dependency: using multilevel analysis to accommodate nested data. *Nat. Neurosci.* *17*, 491–496.
- Andermann, M.L., Kerlin, A.M., Roumis, D.K., Glickfeld, L.L., and Reid, R.C. (2011). Functional specialization of mouse higher visual cortical areas. *Neuron* *72*, 1025–1039.
- Brown, S.P., and Hestrin, S. (2009). Intracortical circuits of pyramidal neurons reflect their long-range axonal targets. *Nature* *457*, 1133–1136.
- Chen, T.W., Wardill, T.J., Sun, Y., Pulver, S.R., Renninger, S.L., Baohan, A., Schreiter, E.R., Kerr, R.A., Orger, M.B., Jayaraman, V., et al. (2013). Ultrasensitive fluorescent proteins for imaging neuronal activity. *Nature* *499*, 295–300.
- Chung, Y., Rabe-Hesketh, S., and Choi, I.H. (2013). Avoiding zero between-study variance estimates in random-effects meta-analysis. *Stat. Med.* *32*, 4071–4089.
- Cochran, W.G. (1937). Problems arising in the analysis of a series of similar experiments. Supplement to the *Journal of the Royal Statistical Society* *4*, 102–118.
- Cohen, M.R., and Kohn, A. (2011). Measuring and interpreting neuronal correlations. *Nat. Neurosci.* *14*, 811–819.
- Contreras, D., and Palmer, L. (2003). Response to contrast of electrophysiologically defined cell classes in primary visual cortex. *J. Neurosci.* *23*, 6936–6945.
- Dean, P., Redgrave, P., Sahibzada, N., and Tsuji, K. (1986). Head and body movements produced by electrical stimulation of superior colliculus in rats: effects of interruption of crossed tectoreticulospinal pathway. *Neuroscience* *19*, 367–380.
- DerSimonian, R., and Laird, N. (1986). Meta-analysis in clinical trials. *Control. Clin. Trials* *7*, 177–188.
- Ecker, A.S., Berens, P., Keliris, G.A., Bethge, M., Logothetis, N.K., and Tolias, A.S. (2010). Decorrelated neuronal firing in cortical microcircuits. *Science* *327*, 584–587.
- El-Shamayleh, Y., Kumbhani, R.D., Dhruv, N.T., and Movshon, J.A. (2013). Visual response properties of V1 neurons projecting to V2 in macaque. *J. Neurosci.* *33*, 16594–16605.
- Feldmeyer, D. (2012). Excitatory neuronal connectivity in the barrel cortex. *Front. Neuroanat.* *6*, 24.
- Galbraith, S., Daniel, J.A., and Vissel, B. (2010). A study of clustered data and approaches to its analysis. *J. Neurosci.* *30*, 10601–10608.
- Glickfeld, L.L., Andermann, M.L., Bonin, V., and Reid, R.C. (2013). Cortico-cortical projections in mouse visual cortex are functionally target specific. *Nat. Neurosci.* *16*, 219–226.
- Graybiel, A.M., and Grafton, S.T. (2015). The striatum: where skills and habits meet. *Cold Spring Harb. Perspect. Biol.* *7*, a021691.
- Harris, K.D., and Shepherd, G.M. (2015). The neocortical circuit: themes and variations. *Nat. Neurosci.* *18*, 170–181.
- Hattox, A.M., and Nelson, S.B. (2007). Layer V neurons in mouse cortex projecting to different targets have distinct physiological properties. *J. Neurophysiol.* *98*, 3330–3340.
- Higley, M.J., and Sabatini, B.L. (2008). Calcium signaling in dendrites and spines: practical and functional considerations. *Neuron* *59*, 902–913.
- Hofer, S.B., Ko, H., Pichler, B., Vogelstein, J., Ros, H., Zeng, H., Lein, E., Lescica, N.A., and Mrsic-Flogel, T.D. (2011). Differential connectivity and response dynamics of excitatory and inhibitory neurons in visual cortex. *Nat. Neurosci.* *14*, 1045–1052.
- Hoy, J.L., and Niell, C.M. (2015). Layer-specific refinement of visual cortex function after eye opening in the awake mouse. *J. Neurosci.* *35*, 3370–3383.
- Hubel, D.H., and Wiesel, T.N. (1962). Receptive fields, binocular interaction and functional architecture in the cat's visual cortex. *J. Physiol.* *160*, 106–154.
- Jarosiewicz, B., Schummers, J., Malik, W.Q., Brown, E.N., and Sur, M. (2012). Functional biases in visual cortex neurons with identified projections to higher cortical targets. *Curr. Biol.* *22*, 269–277.
- Kasper, E.M., Larkman, A.U., Lübke, J., and Blakemore, C. (1994). Pyramidal neurons in layer 5 of the rat visual cortex. I. Correlation among cell morphology, intrinsic electrophysiological properties, and axon targets. *J. Comp. Neurol.* *339*, 459–474.
- Khibnik, L.A., Tritsch, N.X., and Sabatini, B.L. (2014). A direct projection from mouse primary visual cortex to dorsomedial striatum. *PLoS ONE* *9*, e104501.
- Kim, E.J., Juavinett, A.L., Kyubwa, E.M., Jacobs, M.W., and Callaway, E.M. (2015). Three Types of Cortical Layer 5 Neurons That Differ in Brain-wide Connectivity and Function. *Neuron* *88*, 1253–1267.
- Ko, H., Hofer, S.B., Pichler, B., Buchanan, K.A., Sjöström, P.J., and Mrsic-Flogel, T.D. (2011). Functional specificity of local synaptic connections in neocortical networks. *Nature* *473*, 87–91.
- Ko, H., Mrsic-Flogel, T.D., and Hofer, S.B. (2014). Emergence of feature-specific connectivity in cortical microcircuits in the absence of visual experience. *J. Neurosci.* *34*, 9812–9816.
- Kohn, A., and Smith, M.A. (2005). Stimulus dependence of neuronal correlation in primary visual cortex of the macaque. *J. Neurosci.* *25*, 3661–3673.
- Larkman, A., and Mason, A. (1990). Correlations between morphology and electrophysiology of pyramidal neurons in slices of rat visual cortex. I. Establishment of cell classes. *J. Neurosci.* *10*, 1407–1414.
- Lee, T.S., Yang, C.F., Romero, R.D., and Mumford, D. (2002). Neural activity in early visual cortex reflects behavioral experience and higher-order perceptual saliency. *Nat. Neurosci.* *5*, 589–597.
- Lefort, S., Tomm, C., Floyd Sarria, J.C., and Petersen, C.C. (2009). The excitatory neuronal network of the C2 barrel column in mouse primary somatosensory cortex. *Neuron* *61*, 301–316.
- Mangini, N.J., and Pearlman, A.L. (1980). Laminar distribution of receptive field properties in the primary visual cortex of the mouse. *J. Comp. Neurol.* *193*, 203–222.
- Marshall, J.H., Garrett, M.E., Nauhaus, I., and Callaway, E.M. (2011). Functional specialization of seven mouse visual cortical areas. *Neuron* *72*, 1040–1054.
- Martinez, L.M., and Alonso, J.M. (2003). Complex receptive fields in primary visual cortex. *Neuroscientist* *9*, 317–331.
- Mechler, F., and Ringach, D.L. (2002). On the classification of simple and complex cells. *Vision Res.* *42*, 1017–1033.
- Movshon, J.A., and Newsome, W.T. (1996). Visual response properties of striate cortical neurons projecting to area MT in macaque monkeys. *J. Neurosci.* *16*, 7733–7741.
- Niell, C.M., and Stryker, M.P. (2008). Highly selective receptive fields in mouse visual cortex. *J. Neurosci.* *28*, 7520–7536.
- Oh, S.W., Harris, J.A., Ng, L., Winslow, B., Cain, N., Mihalas, S., Wang, Q., Lau, C., Kuan, L., Henry, A.M., et al. (2014). A mesoscale connectome of the mouse brain. *Nature* *508*, 207–214.



- Okun, M., Steinmetz, N.A., Cossell, L., Iacuruso, M.F., Ko, H., Barthó, P., Moore, T., Hofer, S.B., Mircic-Flogel, T.D., Carandini, M., and Harris, K.D. (2015). Diverse coupling of neurons to populations in sensory cortex. *Nature* 521, 511–515.
- Podor, B., Hu, Y.L., Ohkura, M., Nakai, J., Croll, R., and Fine, A. (2015). Comparison of genetically encoded calcium indicators for monitoring action potentials in mammalian brain by two-photon excitation fluorescence microscopy. *Neurophotonics* 2, 021014.
- Prusky, G.T., and Douglas, R.M. (2004). Characterization of mouse cortical spatial vision. *Vision Res.* 44, 3411–3418.
- Ragozzino, M.E., Ragozzino, K.E., Mizumori, S.J., and Kesner, R.P. (2002). Role of the dorsomedial striatum in behavioral flexibility for response and visual cue discrimination learning. *Behav. Neurosci.* 116, 105–115.
- Ringach, D.L., Shapley, R.M., and Hawken, M.J. (2002). Orientation selectivity in macaque V1: diversity and laminar dependence. *J. Neurosci.* 22, 5639–5651.
- Sahibzada, N., Dean, P., and Redgrave, P. (1986). Movements resembling orientation or avoidance elicited by electrical stimulation of the superior colliculus in rats. *J. Neurosci.* 6, 723–733.
- Schneidman, E., Berry, M.J., 2nd, Segev, R., and Bialek, W. (2006). Weak pairwise correlations imply strongly correlated network states in a neural population. *Nature* 440, 1007–1012.
- Shepherd, G.M. (2013). Corticostriatal connectivity and its role in disease. *Nat. Rev. Neurosci.* 14, 278–291.
- Skottun, B.C., De Valois, R.L., Grosof, D.H., Movshon, J.A., Albrecht, D.G., and Bonds, A.B. (1991). Classifying simple and complex cells on the basis of response modulation. *Vision Res.* 31, 1079–1086.
- Smith, M.A., and Kohn, A. (2008). Spatial and temporal scales of neuronal correlation in primary visual cortex. *J. Neurosci.* 28, 12591–12603.
- Sun, Y.J., Kim, Y.J., Ibrahim, L.A., Tao, H.W., and Zhang, L.I. (2013). Synaptic mechanisms underlying functional dichotomy between intrinsic-bursting and regular-spiking neurons in auditory cortical layer 5. *J. Neurosci.* 33, 5326–5339.
- Vinck, M., Batista-Brito, R., Knoblich, U., and Cardin, J.A. (2015). Arousal and locomotion make distinct contributions to cortical activity patterns and visual encoding. *Neuron* 86, 740–754.
- Wang, Q., and Burkhalter, A. (2013). Stream-related preferences of inputs to the superior colliculus from areas of dorsal and ventral streams of mouse visual cortex. *J. Neurosci.* 33, 1696–1705.