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# On decision and optimization $(k, l)$ -graph sandwich problems

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## Abstract

A graph  $G$  is  $(k, l)$  if its vertex set can be partitioned into at most  $k$  independent sets and  $l$  cliques. The  $(k, l)$ -Graph Sandwich Problem asks, given two graphs  $G^1 = (V, E^1)$  and  $G^2 = (V, E^2)$ , whether there exists a graph  $G = (V, E)$  such that  $E^1 \subseteq E \subseteq E^2$  and  $G$  is  $(k, l)$ . In this paper, we prove that the  $(k, l)$ -Graph Sandwich Problem is NP-complete for the cases  $k = 1$  and  $l = 2$ ;  $k = 2$  and  $l = 1$ ; or  $k = l = 2$ . This completely classifies the complexity of the  $(k, l)$ -Graph Sandwich Problem as follows: the problem is NP-complete, if  $k + l > 2$ ; the problem is polynomial otherwise. We consider the degree  $\Delta$  constraint subproblem and completely classify the problem as follows: the problem is polynomial, for  $k \leq 2$  or  $\Delta \leq 3$ ; the problem is NP-complete otherwise. In addition, we propose two optimization versions of graph sandwich problem for a property  $\Pi$ : MAX- $\Pi$ -GSP and MIN- $\Pi$ -GSP. We prove that MIN-(2, 1)-GSP is a Max-SNP-hard problem, i.e., there is a positive constant  $\varepsilon$ , such that the existence of an  $\varepsilon$ -approximative algorithm for MIN-(2, 1)-GSP implies  $P = NP$ .

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**Keywords:** Partition problems; Sandwich problems; NP-complete problems; Max-SNP-hard problems

## 1. Introduction

We say that a graph  $G^1 = (V, E^1)$  is a *spanning* subgraph of  $G^2 = (V, E^2)$  if  $E^1 \subseteq E^2$ ; and that a graph  $G = (V, E)$  is a *sandwich* graph for the pair  $G^1, G^2$  if  $E^1 \subseteq E \subseteq E^2$ . For notational simplicity in the sequel, we let  $E^3$  be the set of all edges in the complete graph with vertex set  $V$  which are not in  $E^2$ . Thus every sandwich graph for the pair  $G^1, G^2$  satisfies  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ . We call  $E^1$  the *forced edge set*,  $E^2 \setminus E^1$  the *optional edge set*,  $E^3$  the *forbidden edge set*. The GRAPH SANDWICH PROBLEM FOR PROPERTY  $\Pi$  is defined as follows [11]:

GRAPH SANDWICH PROBLEM FOR PROPERTY  $\Pi$

*Instance:* Vertex set  $V$ , forced edge set  $E^1$ , forbidden edge set  $E^3$ .

*Question:* Is there a graph  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  that satisfies property  $\Pi$ ?

We shall use both forms  $(V, E^1, E^2)$  and  $(V, E^1, E^3)$  to refer to an instance of a graph sandwich problem.

Graph sandwich problems have attracted much attention lately arising from many applications and as a natural generalization of recognition problems [6,8,10–12,14,15]. The recognition problem for a class of graphs  $\mathcal{C}$  is equivalent to the graph sandwich problem in which the forced edge set  $E^1 = E$ , the optional edge set  $E^2 \setminus E^1 = \emptyset$ ,  $G = (V, E)$  is the graph we want to recognize, and property  $\Pi$  is “to belong to class  $\mathcal{C}$ ”.

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Golumbic et al. [11] have considered sandwich problems with respect to several subclasses of perfect graphs, and proved that the GRAPH SANDWICH PROBLEM FOR SPLIT GRAPHS remains in  $P$ . On the other hand, they proved that the GRAPH SANDWICH PROBLEM FOR PERMUTATION GRAPHS turns out to be NP-complete.

We are interested in graph sandwich problems for properties  $\Pi$  related to decompositions arising in perfect graph theory: homogeneous set [6], join composition [8]. In this paper, we consider the decomposition of a graph into independent sets and cliques.

Let  $G$  be an undirected, finite, simple graph. A  $(k, l)$  partition of a graph  $G$  is a partition of its vertex set into at most  $k$  independent sets and  $l$  cliques. A graph is  $(k, l)$  if it admits a  $(k, l)$  partition. The complexity of  $(k, l)$  graph recognition has been completely classified as follows: if  $k = 3$  and  $l = 0$  then the corresponding problem is 3-coloring, which implies [2,3] that the recognition of  $(k, l)$  graphs is NP-complete, whenever  $k \geq 3$  or  $l \geq 3$ . For the remaining values of  $k$  and  $l$ , the problem is polynomial:  $(1, 1)$  graphs are split graphs;  $(2, 0)$  graphs are the bipartite graphs; the polynomial-time recognition of  $(2, 1)$  graphs and consequently of graphs  $(1, 2)$  was established in [2–4]; the polynomial time recognition of  $(2, 2)$  graphs was established in [2,3] and independently in [7].

The studies on sandwich problems focus on those problems which are interesting in terms of their complexity, i.e., neither trivially NP-complete nor trivially polynomial.

**Fact 1.** *If the recognition problem for a class of graphs  $\mathcal{C}$  is NP-complete, then its corresponding sandwich problem is also NP-complete.*

**Fact 2.** *If the property  $\Pi$  is hereditary then there exists a sandwich graph for  $(V, E^1, E^2)$  with the property  $\Pi$  if and only if  $G^1 = (V, E^1)$  has the property  $\Pi$ .*

**Fact 3.** *If the property  $\Pi$  is ancestral then there exists a sandwich graph for  $(V, E^1, E^2)$  with the property  $\Pi$  if and only if  $G^2 = (V, E^2)$  has the property  $\Pi$ .*

Thus, Fact 1 says that the sandwich problem for  $(k, l)$  graphs is NP-complete, whenever  $k \geq 3$  or  $l \geq 3$ . In addition, Fact 2 (respectively, Fact 3) says that for each property which is hereditary (respectively, ancestral), the graph sandwich problem reduces to the recognition problem for this property on the single graph  $G^1$  (respectively,  $G^2$ ). Therefore, the hereditary properties defining  $(1, 0)$  and  $(2, 0)$  graphs, and the ancestral properties defining  $(0, 1)$  and  $(0, 2)$  graphs reduces these graph sandwich problems to recognition problems that are polynomial. Given a property  $\Pi$ , we define its *complementary property*  $\bar{\Pi}$  as follows: for every graph  $G$ , say  $G$  satisfies  $\bar{\Pi}$  if and only if  $\bar{G}$  satisfies  $\Pi$ .

**Fact 4.** *There is a sandwich graph with property  $\Pi$  for the instance  $(V, E^1, E^3)$  if and only if there is a sandwich graph with property  $\bar{\Pi}$  for the instance  $(V, E^3, E^1)$ .*

Thus, our proof of the NP-completeness of the sandwich problem for  $(2, 1)$  graphs implies the NP-completeness of the sandwich problem for  $(1, 2)$  graphs.

Given  $V' \subset V$  and  $E$  a set of edges over the set  $V$ , the set  $E[V'] = \{uv : u \in V' \text{ and } v \in V'\}$  is the subset of edges  $e = uv$  of  $E$  such that  $e$  has its endpoints in  $V'$ . In this paper we propose two optimization versions of graph sandwich problem for a property  $\Pi$ . These versions are: one maximization problem, called MAX-II-GSP, and one minimization problem, called MIN-II-GSP. Let  $(V, E^1, E^2)$  be an instance of graph sandwich problem for a property  $\Pi$ . The problem MAX-II-GSP consists in finding the maximum subset  $V'$  of  $V$  such that  $(V', E^1[V'], E^2[V'])$  has answer YES for the decision version of the graph sandwich problem for the property  $\Pi$ . The problem MIN-II-GSP consists in finding the minimum subset  $V'$  of  $V$  such that  $(V \setminus V', E^1[V \setminus V'], E^2[V \setminus V'])$  has answer YES for the decision version of the graph sandwich problem for the property  $\Pi$ . In this paper we give a first application for these definitions. We prove that MIN-(2, 1)-GSP, MIN-(1, 2)-GSP and MIN-(2, 2)-GSP are Max SNP-hard problems [1,16], which means that there is a positive constant  $\varepsilon$ , where the existence of an  $\varepsilon$ -approximative algorithm implies  $P = NP$ .

This paper is organized as follows: in Section 2 we prove that the  $(2, 1)$ -Graph Sandwich Problem is NP-complete. Section 3 contains the proof that the  $(2, 2)$ -Graph Sandwich Problem is NP-complete. These results together with the facts above completely classify the complexity of the  $(k, l)$ -Graph Sandwich Problem as follows: the problem is NP-complete, if  $k + l > 2$ ; and polynomial otherwise. Section 4 defines and classifies the degree constraint subproblems obtained by bounding the maximum degree in  $G^2$ . Sections 5 and 6 consider our proposed optimization versions. We present in Section 7 our concluding remarks.

**2. (2, 1)-Graph Sandwich Problem**

In this section we prove that the (2, 1)-GRAPH SANDWICH PROBLEM is NP-complete by reducing the NP-complete problem 3-SATISFIABILITY to (2, 1)-GRAPH SANDWICH PROBLEM. These two decision problems are defined as follows.

**3-SATISFIABILITY (3SAT)**

*Instance:* Set  $X = \{x_1, \dots, x_n\}$  of variables, collection  $C = \{c_1, \dots, c_m\}$  of clauses over  $X$  such that each clause  $c \in C$  has  $|c| = 3$  literals.

*Question:* Is there a truth assignment for  $X$  such that each clause in  $C$  has at least one true literal?

**(2, 1)-GRAPH SANDWICH PROBLEM**

*Instance:* Vertex set  $V$ , forced edge set  $E^1$ , forbidden edge set  $E^3$ .

*Question:* Is there a graph  $G = (V, E)$ , such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ , and  $G$  is (2, 1)?

**Theorem 5.** *The (2, 1)-GRAPH SANDWICH PROBLEM is NP-complete.*

**Proof.** In order to reduce 3SAT to (2, 1)-GRAPH SANDWICH PROBLEM we need to construct a particular instance  $(V, E^1, E^3)$  of (2, 1)-GRAPH SANDWICH PROBLEM from a generic instance  $(X, C)$  of 3SAT, such that  $C$  is satisfiable if and only if  $(V, E^1, E^3)$  admits a sandwich graph  $G = (V, E)$  which is (2, 1). First we describe the construction of a particular instance  $(V, E^1, E^3)$  of (2, 1)-GRAPH SANDWICH PROBLEM; second we prove in Lemma 6 that every graph  $G = (V, E)$  satisfying  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  and such that  $G$  is (2, 1), defines a truth assignment for  $(X, C)$ ; third we prove in Lemma 10 that every truth assignment for  $(X, C)$  defines a graph  $G = (V, E)$  which is (2, 1) satisfying  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ . These steps are explained in detail below.  $\square$

**2.1. Construction of particular instance of (2, 1)-GRAPH SANDWICH PROBLEM**

The vertex set  $V$  contains: an auxiliary set of vertices:  $\{k_1, k_2, s_{11}, s_{12}, s_{21}, s_{22}\}$ ; for each variable  $x_i, 1 \leq i \leq n$ , two vertices  $x_i, \bar{x}_i$ , corresponding to its literals and a vertex  $p_i$ ; for each clause  $c_j = (l_1^j \vee l_2^j \vee l_3^j), 1 \leq j \leq m$ , three corresponding vertices  $t_1^j, t_2^j, t_3^j$ . In Fig. 1, solid edges are forced  $E^1$ -edges and dashed edges are forbidden  $E^3$ -edges.

The Forced Edge Set  $E^1$  contains: edges between auxiliary vertices  $\{k_1 k_2, k_1 s_{11}, k_1 s_{12}, s_{11} s_{12}, k_2 s_{21}, k_2 s_{22}, s_{21} s_{22}\}$ ; for each variable  $x_i, 1 \leq i \leq n$ , the set  $\{x_i s_{11}, \bar{x}_i s_{12}, x_i p_i, \bar{x}_i p_i\}$ ; for each clause  $c_j, 1 \leq j \leq m$ , the set  $\{t_1^j t_2^j, t_1^j t_3^j, t_2^j t_3^j\}$ .

The Forbidden Edge Set  $E^3$  contains: edges between auxiliary vertices:  $\{k_1 s_{21}, k_1 s_{22}, k_2 s_{11}, k_2 s_{12}, s_{11} s_{21}, s_{11} s_{22}, s_{12} s_{21}, s_{12} s_{22}\}$ ; for each variable  $x_i, 1 \leq i \leq n$ , the set  $\{x_i \bar{x}_i, p_i k_2\}$ ; for each clause  $c_j = (l_1^j \vee l_2^j \vee l_3^j), 1 \leq j \leq m$ ,  $\{t_1^j l_1^j, t_2^j l_2^j, t_3^j l_3^j\}$ .

We call (2, 1) *base graph* the subgraph of  $G^2 = (V, E^2)$  induced by  $\{k_1, k_2, s_{11}, s_{12}, s_{21}, s_{22}\}$  (see Fig. 1(BG)). For each  $i \in \{1, \dots, n\}$ , we call *variable gadget* the subgraph of  $G^2 = (V, E^2)$  induced by  $\{x_i, \bar{x}_i, p_i\}$  (see Fig. 1(VG)). For each  $j \in \{1, \dots, m\}$ , we call *clause gadget* the subgraph of  $G^2 = (V, E^2)$  induced by  $\{t_1^j, t_2^j, t_3^j\}$  (see Fig. 1(CG)). Lemmas 6 and 10 prove the required equivalence for establishing Theorem 5.

**Lemma 6.** *If the particular instance  $(V, E^1, E^3)$  of (2, 1)-GRAPH SANDWICH PROBLEM constructed above admits a graph  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  and  $G$  is (2, 1), then there exists a truth assignment that satisfies  $(X, C)$ .*

**Proof.** Suppose there exists a (2, 1) sandwich graph  $G = (V, E)$  with (2, 1) partition  $(S_1, S_2, K)$  where  $S_1, S_2$  are independent sets and  $K$  is a clique.

**Claim 7.**  $k_1, k_2 \in K$  and  $s_{11}, s_{12}, s_{21}, s_{22} \in S_1 \cup S_2$ .

**Proof.** Since  $S_1 \cup S_2$  induce a bipartite subgraph in  $G$ , any triangle induced in  $G^1$  must have at least one of its vertices in  $K$ . Hence, at least one vertex of the triangle induced by  $k_1, s_{11}$  and  $s_{12}$ ; and at least one vertex of the triangle induced

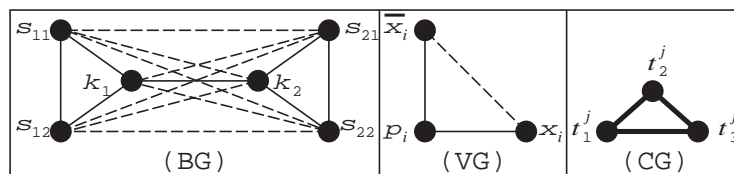


Fig. 1. Base graph (BG), Variable gadget (VG) and Clause gadget (CG).

by  $k_2, s_{21}$  and  $s_{22}$  belong to  $K$ . Now, each vertex in  $\{s_{11}, s_{12}, s_{21}, s_{22}\}$  is joined by  $E^3$ -edges to three vertices that induce a triangle in  $G^1$ . If one of the vertices of  $\{s_{11}, s_{12}, s_{21}, s_{22}\}$  belonged to  $K$ , then this would force at least one triangle to have no vertices in  $K$ , a contradiction. Thus, we must have  $\{s_{11}, s_{12}, s_{21}, s_{22}\} \subseteq S_1 \cup S_2$ , and  $\{k_1, k_2\} \subseteq K$ .  $\square$

Both  $\{s_{11}, s_{12}\}$  and  $\{s_{21}, s_{22}\}$  induce edges in  $G^1$ , which force  $\{s_{11}, s_{12}\} \cap S_i \neq \emptyset$ ,  $\{s_{21}, s_{22}\} \cap S_i \neq \emptyset$ ,  $i = 1, 2$ . We assume with no loss of generality that  $s_{11}, s_{21} \in S_1$ , which implies  $s_{12}, s_{22} \in S_2$ . In case the particular instance  $(V, E^1, E^3)$  admits a  $(2, 1)$  sandwich graph  $G = (V, E)$  any  $(2, 1)$  partition  $(S_1, S_2, K)$  for  $G$  satisfies  $S_1, S_2, K \neq \emptyset$ .

**Claim 8.** For each  $i \in \{1, \dots, n\}$ ,  $p_i \in S_1 \cup S_2$ ,  $x_i \in K \cup S_2$  and  $\bar{x}_i \in K \cup S_1$ .

**Proof.** Since  $p_i k_2 \in E^3$  and  $k_2 \in K$ , we have that  $p_i$  cannot be in  $K$ . In addition,  $x_i s_{11}, \bar{x}_i s_{12} \in E^1$  and  $s_{11} \in S_1$ ,  $s_{12} \in S_2$ , we have respectively  $x_i \in K \cup S_2$  and  $\bar{x}_i \in K \cup S_1$ ,  $i \in \{1, \dots, n\}$ .  $\square$

Observe that since  $x_i p_i \in E^1$  and  $x_i \bar{x}_i \in E^3$ , we have that if  $x_i \in K$ , then  $\bar{x}_i \in S_1$ , which implies  $p_i \in S_2$ ; if  $x_i \in S_2$ , then  $p_i \in S_1$ , which implies  $\bar{x}_i \in K$ . Therefore, for each  $i \in \{1, \dots, n\}$ , exactly one vertex of  $\{x_i, \bar{x}_i\}$  belongs to  $K$ .

**Claim 9.** For each  $j \in \{1, \dots, m\}$ , at least one of the vertices  $\{t_1^j, t_2^j, t_3^j\}$  must be in  $K$ .

**Proof.** Since  $S_1 \cup S_2$  induce a bipartite subgraph in  $G$ , for each  $j \in \{1, \dots, m\}$ , at least one of the vertices of the triangle induced in  $G^1$  by  $\{t_1^j, t_2^j, t_3^j\}$  must be in  $K$ .  $\square$

We now define the truth assignment for  $(X, C)$ : for  $i \in \{1, \dots, n\}$ , variable  $x_i$  is false if and only if the vertex  $x_i \in K$ . Suppose that for some  $j \in \{1, \dots, m\}$ , the clause  $c_j = (l_1^j \vee l_2^j \vee l_3^j)$  is false. By the construction of  $(V, E^1, E^3)$ , there is an edge of  $E^3$  between the vertex assigned to the literal  $l_k^j$  and the vertex  $t_k^j$ ,  $k \in \{1, 2, 3\}$ . Hence, if the literal  $l_k^j$  is false, then its corresponding vertex is in  $K$  which implies that  $t_k^j$  cannot be in  $K$ . So, all vertices of the triangle induced in  $G^1$  by  $\{t_1^j, t_2^j, t_3^j\}$  must be in  $S_1 \cup S_2$ . By Claim 9, this is a contradiction to the hypothesis that  $S_1, S_2$  and  $K$  is a  $(2, 1)$  partition of the set of vertices of  $G$ . Hence, the above defined truth assignment satisfies  $(X, C)$ . This ends the proof of Lemma 6.  $\square$

The converse of Lemma 6 is given next by Lemma 10.

**Lemma 10.** If there exists a truth assignment that satisfies  $(X, C)$ , then the particular instance  $(V, E^1, E^3)$  of  $(2, 1)$ -GRAPH SANDWICH PROBLEM constructed above admits a graph  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  and  $G$  is  $(2, 1)$ .

**Proof.** Suppose there is a truth assignment that satisfies  $(X, C)$ . We shall define a partition of  $V$  into sets  $S_1, S_2$  and  $K$  that in turn defines a solution  $G$  for the particular instance  $(V, E^1, E^3)$  of  $(2, 1)$ -GRAPH SANDWICH PROBLEM associated with the 3SAT instance  $(X, C)$ .

Place vertices  $k_1, k_2 \in K$  and  $s_{11}, s_{21} \in S_1$  and  $s_{12}, s_{22} \in S_2$ . For  $i \in \{1, \dots, n\}$  if variable  $x_i$  is false then place vertices  $x_i$  in  $K$ ,  $\bar{x}_i$  in  $S_1$  and  $p_i$  in  $S_2$ . Otherwise, if variable  $x_i$  is true, then place vertices  $x_i$  in  $S_2$ ,  $\bar{x}_i$  in  $K$  and  $p_i$  in  $S_1$ .

For  $j \in \{1, \dots, m\}$  and  $c_j = (l_1^j \vee l_2^j \vee l_3^j)$ , place the corresponding vertices  $t_1^j, t_2^j, t_3^j$  as follows. For  $k \in \{1, 2, 3\}$ , if the literal  $l_k^j$  is false then place  $t_k^j$  in  $S_1 \cup S_2$ ; otherwise, place  $t_k^j$  in  $K$ . Since the truth assignment satisfies  $(X, C)$ , for each  $j$ , we have at most two vertices  $t_k^j$  in  $S_1 \cup S_2$ . In addition, in case two vertices  $t_k^j$  and  $t_p^j$  are placed in  $S_1 \cup S_2$ , place one in  $S_1$  and the other one in  $S_2$ .

To show that  $(S_1, S_2, K)$  is a  $(2, 1)$  partition for a sandwich graph  $G = (V, E)$  we need to prove that there is no  $E^1$  edge with both endnodes in  $S_1$ , there is no  $E^1$  edge with both endnodes in  $S_2$  and there is no  $E^3$  edge with both endnodes in  $K$ .

By the above placement,  $s_{11}, s_{21}$  are in  $S_1$ , and  $\bar{x}_i, t_k^j$  and  $p_i$  can be in  $S_1$ ,  $i \in \{1, \dots, n\}$ ,  $j \in \{1, \dots, m\}$ ,  $k \in \{1, 2, 3\}$ . The only possible forced edges between these vertices are: the edge  $\bar{x}_i p_i$  which does not have both endnodes in  $S_1$ , because  $\bar{x}_i \in S_1$  if the variable  $x_i$  is false and  $p_i \in S_1$  if  $x_i$  is true; and the edge  $t_k^j t_q^j$  which does not have both endnodes in  $S_1$ ,  $k \neq q, k, q \in \{1, 2, 3\}$ . Hence, there is no  $E^1$  edge with both endnodes in  $S_1$ .

In the same way,  $s_{12}, s_{22}$  are in  $S_2$ , and the vertices  $x_i, t_k^j, p_i$  can be in  $S_2$ ,  $i \in \{1, \dots, n\}$ ,  $j \in \{1, \dots, m\}$ ,  $k \in \{1, 2, 3\}$ . The only possible forced edges between these vertices are: the edge  $x_i p_i$  which does not have both endnodes in  $S_2$ , because  $x_i \in S_2$  if the variable  $x_i$  is true and  $p_i \in S_1$  if  $x_i$  is false; and the edge  $t_k^j t_q^j$  which does not have both endnodes in  $S_1$ ,  $k \neq q, k, q \in \{1, 2, 3\}$ . Hence, there is no  $E^1$  edge with both endnodes in  $S_2$ .

For the set  $K$  we have that  $k_1, k_2$  are in  $K$ , and the vertices  $x_i, \bar{x}_i, t_k^j$  can be in  $K$ ,  $i \in \{1, \dots, n\}$ ,  $j \in \{1, \dots, m\}$ ,  $k \in \{1, 2, 3\}$ . The only possible forbidden edges between these vertices are: the edge  $\bar{x}_i x_i$  which does not have both endnodes in  $K$ , because  $\bar{x}_i \in K$  if and only if the variable  $x_i$  is true and  $x_i \in K$  if and only if  $x_i$  is false; and the edges

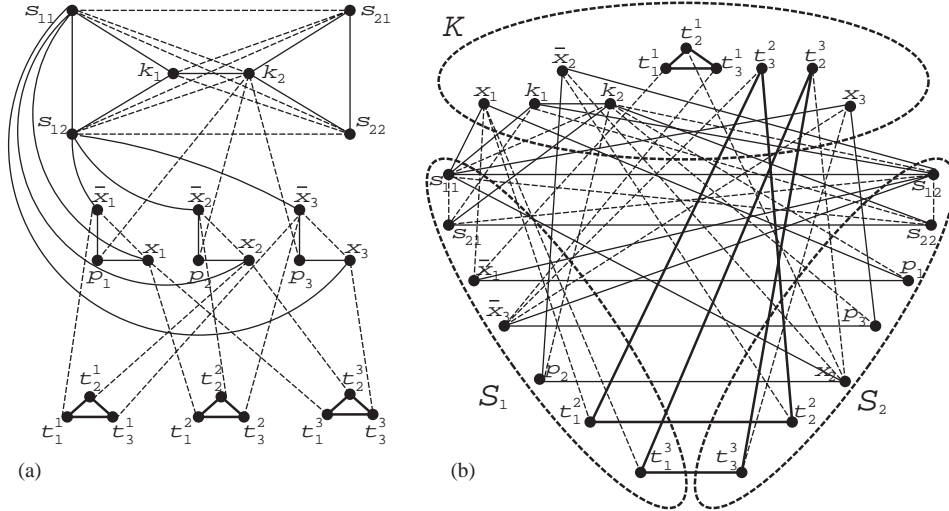


Fig. 2. (a) Instance  $(V, E^1, E^3)$  obtained from the satisfiable instance of 3SAT:  $I = (U, C) = (\{x_1, x_2, x_3\}, \{(\bar{x}_1 \vee x_2 \vee \bar{x}_3), (x_1 \vee \bar{x}_2 \vee \bar{x}_3), (x_1 \vee x_2 \vee x_3)\})$  and (b) respective partition for the  $(2, 1)$  graph  $G$  defined from the satisfying truth assignment  $x_1 = F, x_2 = T, x_3 = F$ .

$x_i t_k^j, \bar{x}_i t_k^j$ , by the above placement, we never have both vertices in  $K$ . Hence, there is no  $E^3$  edge with both endnodes in  $K$ . And this ends the proof of Lemma 10. In Fig. 2 we give an example of the constructed instance  $(V, E^1, E^3)$  for  $(2,1)$ -GRAPH SANDWICH PROBLEM, and of the  $(2,1)$  graph  $G$  given by the proof of Lemma 10.  $\square$

### 3. (2, 2)-Graph Sandwich Problem

In this section we prove that the  $(2, 2)$ -GRAPH SANDWICH PROBLEM is NP-complete by reducing the NP-complete problem 3SAT to  $(2, 2)$ -GRAPH SANDWICH PROBLEM.

$(2, 2)$ -GRAPH SANDWICH PROBLEM

*Instance:* Vertex set  $V$ , forced edge set  $E^1$ , forbidden edge set  $E^3$ .

*Question:* Is there a graph  $G = (V, E)$ , such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$ , and  $G$  is  $(2, 2)$ ?

**Theorem 11.** *The  $(2, 2)$ -GRAPH SANDWICH PROBLEM is NP-complete.*

**Proof.** In order to reduce 3SAT to  $(2, 2)$ -GRAPH SANDWICH PROBLEM we need to construct a particular instance  $(V, E^1, E^3)$  of  $(2, 2)$ -GRAPH SANDWICH PROBLEM from a generic instance  $(X, C)$  of 3SAT, such that  $C$  is satisfiable if and only if  $(V, E^1, E^3)$  admits a sandwich graph  $G = (V, E)$  which is  $(2, 2)$ .  $\square$

#### 3.1. Construction of particular instance of $(2, 2)$ -GRAPH SANDWICH PROBLEM

The vertex set  $V$  contains: auxiliary sets of vertices  $B_1 = \{k_1, k_2, s_{11}, s_{12}, s_{21}, s_{22}\}$  and  $B_2 = \{k_3, k_4, s_{31}, s_{32}, s_{41}, s_{42}\}$ ; for each variable  $x_i, 1 \leq i \leq n$ , two vertices  $x_i, \bar{x}_i$ , corresponding to its literals and a vertex  $p_i$ ; for each clause  $c_j = (l_1^j \vee l_2^j \vee l_3^j), 1 \leq j \leq m$ , three corresponding vertices  $t_1^j, t_2^j, t_3^j$ . See Fig. 1, where solid edges denote forced  $E^1$ -edges and dashed edges denote forbidden  $E^3$ -edges.

The Forced Edge Set  $E^1$  contains: sets of edges between auxiliary vertices  $F_1 = \{k_1 k_2, k_1 s_{11}, k_1 s_{12}, s_{11} s_{12}, k_2 s_{21}, k_2 s_{22}, s_{21} s_{22}\}$  and  $F_2 = \{k_3 k_4, k_3 s_{31}, k_3 s_{32}, s_{31} s_{32}, k_4 s_{41}, k_4 s_{42}, s_{41} s_{42}\}$ ; for each variable  $x_i, 1 \leq i \leq n$ , the set  $\{x_i s_{11}, \bar{x}_i s_{12}, x_i p_i, \bar{x}_i p_i\}$ ; for each clause  $c_j, 1 \leq j \leq m$ , the set  $\{t_1^j t_2^j, t_1^j t_3^j, t_2^j t_3^j\}$ .

The Forbidden Edge Set  $E^3$  contains: sets of edges incident to auxiliary vertices  $F_3 = \{k_1 s_{21}, k_1 s_{22}, k_2 s_{11}, k_2 s_{12}, s_{11} s_{21}, s_{11} s_{22}, s_{12} s_{21}, s_{12} s_{22}\}$ ,  $F_4 = \{k_3 s_{41}, k_3 s_{42}, k_4 s_{31}, k_4 s_{32}, s_{31} s_{41}, s_{31} s_{42}, s_{32} s_{41}, s_{32} s_{42}\}$ ,  $F_5 = \{uv: u \in B_1 \text{ and } v \in B_2\}$ ,  $F_6 = \{uv: u \in B_2 \text{ and } v \in V \setminus (B_1 \cup B_2)\}$ ; for each variable  $x_i, 1 \leq i \leq n$ , the set  $\{x_i \bar{x}_i, p_i k_2\}$ ; for each clause  $c_j, 1 \leq j \leq m$ ,  $\{t_1^j l_1^j, t_2^j l_2^j, t_3^j l_3^j\}$ .

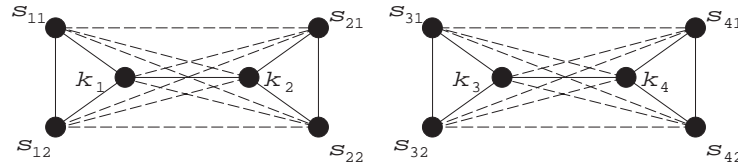


Fig. 3. (2,2) Base graph—all non-represented edges are  $E^3$  edges.

Call (2,2) *Base graph* the subgraph of  $G^2 = (V, E^2)$  induced by  $\{k_1, k_2, s_{11}, s_{12}, s_{21}, s_{22}, k_3, k_4, s_{31}, s_{32}, s_{41}, s_{42}\}$  (see Fig. 3). As in the previous problem, we have two kinds of gadgets: *Variable gadget* (Fig. 1(VG)) and *clause gadget* (Fig. 1(CG)). The special instance has a property similar to Theorem 5: if the particular instance  $(V, E^1, E^3)$  admits a (2,2) sandwich graph  $G = (V, E)$ , then any (2,2) partition  $(S_1, S_2, K_1, K_2)$  for  $G$  satisfies  $S_1, S_2, K_1, K_2 \neq \emptyset$ . Without loss of generality assume  $k_1, k_2 \in K_1, k_3, k_4 \in K_2, s_{11}, s_{21}, s_{31}, s_{41} \in S_1, s_{12}, s_{22}, s_{32}, s_{42} \in S_2$ . This implies  $K_2 = \{k_3, k_4\}$ .

The proof now follows from Theorem 5 and the equivalence: the particular instance  $(V, E^1, E^3)$  admits a (2,2) sandwich graph  $G = (V, E)$  with (2,2) partition  $(S_1, S_2, K_1, K_2)$  if and only if the particular instance  $(V - B_2, E^1 - F_2, E^3 - (F_4 \cup F_5 \cup F_6))$  admits a (2,1) sandwich graph with (2,1) partition  $(S_1, S_2, K)$ .

#### 4. (k, l)-bounded $\Delta$ Graph Sandwich Problem

In this section, we consider the complexity of the  $(k, l)$ -Graph Sandwich problem when restricted to inputs having  $G^2$  with bounded maximum degree.

##### (k, l)-BOUNDED $\Delta$ GRAPH SANDWICH PROBLEM ((k, l) - B $\Delta$ GSP)

*Instance:* Vertex set  $V$ , forced edge set  $E^1$ , forbidden edge set  $E^3$ , where  $G^2$  is a graph with no vertex degree exceeding  $\Delta$ .

*Question:* Is there a graph  $G = (V, E)$  such that  $E^1 \subseteq E$  and  $E \cap E^3 = \emptyset$  which is a  $(k, l)$  graph?

We completely classify the  $(k, l) - B\Delta GSP$  as follows:  $(k, l) - B\Delta GSP$  is polynomial for  $k \leq 2$  or  $\Delta \leq 3$ , and NP-complete otherwise.

**Lemma 12.** *If  $(k, l) - B\Delta GSP$  is solvable in polynomial time then the  $(k, l + 1) - B\Delta GSP$  is solvable in polynomial time.*

**Proof.** Let  $(V, E^1, E^3)$  be an instance for  $(k, l + 1) - B\Delta GSP$ . Suppose that there exists a polynomial time algorithm  $A$  to solve the  $(k, l) - B\Delta GSP$ . We observe that if there exists a sandwich graph for  $(V, E^1, E^3)$  which is  $(k, l + 1)$  then a clique in  $G$  is also a clique in  $G^2$ . Thus, in order to define a polynomial time algorithm for  $(k, l + 1) - B\Delta GSP$  we proceed as follows: for each subset  $S$  with less than or equal to  $\Delta + 1$  vertices we verify if  $S$  induces a clique in  $G^2$ . In the affirmative case we apply the algorithm  $A$  to test if there exists a sandwich graph for the instance  $(V \setminus S, E^1, E^3)$  of  $(k, l) - B\Delta GSP$ . Hence, we have designed an algorithm for  $(k, l + 1) - B\Delta GSP$  which runs in time  $O(n^{\Delta+1}P)$ , where  $P$  is the order of the algorithm  $\mathcal{A}$ .  $\square$

**Lemma 13.** *If  $k \leq 2$ , then  $(k, l) - B\Delta GSP$  is solvable in polynomial time.*

**Proof.** We argue by induction on  $l$ . As we said in the Introduction the (1,0) and (2,0)-Graph Sandwich Problems are solvable in polynomial time, so are the corresponding problems  $B\Delta GSP$ . Suppose that for  $k \leq 2$  and  $l \geq 0$  the  $(k, l) - B\Delta GSP$  is solvable in polynomial time. By Lemma 12 we have that the corresponding  $(k, l + 1) - B\Delta GSP$  is a polynomial time problem.  $\square$

Now, consider  $k \geq 3$ . Note that, as a consequence of Brook’s theorem [5],  $(k, 0)$  graph recognition is polynomial when restricted to inputs having  $\Delta \leq 3$ . This implies by Fact 2 that  $(k, 0) - B3GSP$  is solvable in polynomial time, and by Lemma 12,  $(k, l) - B3GSP$  is also polynomial. However, by Garey et al. [9],  $(k, 0)$  graph recognition is NP-complete, even when restricted to inputs having  $\Delta \leq 4$ , which implies by Fact 2 that  $(k, 0) - B\Delta GSP$  is NP-complete, and as remarked in [2,3],  $(k, l) - B\Delta GSP$  is NP-complete, for  $\Delta \geq 4$ .



## 5. Optimization versions of Graph Sandwich Problem for a Property $\Pi$

In this section we consider two natural extensions for the decision version of Graph Sandwich Problem for a Property  $\Pi$ .

Let  $(V, E^1, E^2)$  be an instance of Graph Sandwich Decision Problem for a Property  $\Pi$ . Let  $V'$  be a subset of  $V$ ; and  $E^1[V']$  and  $E^2[V']$  denote, respectively, the subsets of  $E^1$  and  $E^2$  satisfying  $E^1[V'] = \{uv \in E^1: u \in V' \text{ and } v \in V'\}$  and  $E^2[V'] = \{uv \in E^2: u \in V' \text{ and } v \in V'\}$ .

The proposed Optimization Versions consist in considering the maximum subset  $V \setminus V'$  of  $V$ , such that the graph sandwich instance  $(V \setminus V', E^1[V \setminus V'], E^2[V \setminus V'])$  for property  $\Pi$  has answer YES.

Note that with respect to  $\varepsilon$ -approximative algorithms for optimization problems a same decision problem can yield different optimization problems according to whether we are considering maximization or minimization versions. Next we formally define both a minimization and a maximization version for Graph Sandwich Problem for a Property  $\Pi$ .

**Version 1.** MINIMIZATION VERSION OF GRAPH SANDWICH PROBLEM FOR A PROPERTY  $\Pi$  (MIN- $\Pi$ -GSP).

*Instance:*  $(V, E^1, E^2)$ .

*Goal:* Minimize  $|V'|$ ,  $V' \subseteq V$ , such that  $(V \setminus V', E^1[V \setminus V'], E^2[V \setminus V'])$  has a sandwich graph satisfying property  $\Pi$ .

**Version 2.** MAXIMIZATION VERSION OF GRAPH SANDWICH PROBLEM FOR A PROPERTY  $\Pi$  (MAX- $\Pi$ -GSP).

*Instance:*  $(V, E^1, E^2)$ .

*Goal:* Maximize  $|V'|$ ,  $V' \subseteq V$ , such that  $(V', E^1[V'], E^2[V'])$  has a sandwich graph satisfying property  $\Pi$ .

We denote the optimum value for the problems MIN- $\Pi$ -GSP and MAX- $\Pi$ -GSP by writing, respectively,  $Opt_{\min-\Pi\text{-gsp}}(V, E^1, E^2)$  and  $Opt_{\max-\Pi\text{-gsp}}(V, E^1, E^2)$ .

We observe that both optimization problems are not constrained to the property  $(k, l)$  graphs.

Next, we prove that the minimization problem MIN-(2, 1)-GSP corresponding to the Version 1 of graph sandwich problem for the property (2, 1)-graphs is Max SNP-hard, i.e., there is an  $\varepsilon > 0$ , such that the existence of a  $\varepsilon$ -approximative algorithm for this problem implies P=NP [1,16].

## 6. The Max SNP-hardness of MIN-(2, 1)-GSP

In order to establish that MIN-(2, 1)-GSP is Max SNP-hard, we use the concept of L-reductions of Papadimitriou and Yannakakis [16], a special kind of reduction that preserves approximability. Let  $A$  and  $B$  be two optimization problems. We say that  $A$  L-reduces to  $B$  if there are two polynomial-time algorithms  $f$  and  $g$  and positive constants  $\alpha$  and  $\beta$ , such that for each instance  $I$  of  $A$ ,

- (1) Algorithm  $f$  produces an instance  $I' = f(I)$  of  $B$  such that the optima of  $I$  and  $I'$  satisfy  $Opt_B(I') \leq \alpha \cdot Opt_A(I)$ ;
- (2) Given any feasible solution of  $I'$  with cost  $c'$ , algorithm  $g$  produces a feasible solution of  $I$  with cost  $c$  such that  $|c - Opt_A(I)| \leq \beta \cdot |c' - Opt_B(I')|$ .

To prove that an optimization problem is Max SNP-hard, we need to L-reduce a suitable Max SNP-hard problem to it. We prove that MIN-(2, 1)-GSP is Max SNP-hard by L-reducing to it the optimization version of a suitable restriction of MAX3SAT. We have to strengthen the NP-completeness proof presented in Section 2, by considering the Max SNP-complete problem  $MAX3SAT_{\frac{2}{3}}$  defined as follows [16]:

$MAX3SAT_{\frac{2}{3}}$

*Instance:* Set  $U$  of variables, collection  $C$  of clauses over  $U$  such that each clause  $c \in C$  has  $|c| = 3$  literals, and each variable appears at most three times in the set of clauses.

*Goal:* Find a truth assignment for  $U$  which maximizes the number of clauses in  $C$  having at least one true literal.

### 6.1. The algorithm $f$

Let  $I = (U, C)$  be an instance of  $MAX3SAT_{\frac{2}{3}}$ , where  $U$  has  $n$  variables and  $C$  has  $m$  clauses. Algorithm  $f$  produces, in polynomial time on the size of  $I$ , an instance  $(V, E^1, E^3)$  of MIN-(2, 1)-GSP. The instance  $(V, E^1, E^3)$  is quite similar to the NP-complete instance of (2, 1) decision version presented in Section 2. Roughly speaking, the Clause graphs are the same

and the Base graph and the Variable graphs have their vertices replaced either by complete graphs or by independent sets. In addition, an edge between two vertices is replaced by the set of all edges between the corresponding sets of vertices.

Next, we precisely define the instance  $(V, E^1, E^3)$ .

6.1.1. The set  $V$

We have six auxiliary sets of vertices  $k_1, k_2, s_{11}, s_{12}, s_{21}$  and  $s_{22}$ , each containing  $3n$  vertices; for each variable  $x_i, 1 \leq i \leq n$ , we have three sets of vertices  $x_i, \bar{x}_i$  and  $p_i$ , each containing  $3n$  vertices; for each clause  $c_i = (l_1^j \vee l_2^j \vee l_3^j), 1 \leq j \leq m$ , we have three vertices  $t_1^j, t_2^j$  and  $t_3^j$ .

For each  $j \in \{1, \dots, m\}$ , we call *Clause gadget* the subgraph of  $G^2 = (V, E^2)$  induced by  $\{t_1^j, t_2^j, t_3^j\}$ .

6.1.2. The sets  $E^1$  and  $E^3$

The Forced Edge Set  $E^1$  contains the following edges:

- (1) If  $u, v \in V(k_1)$ , then  $uv \in E^1$ ,
- (2) If  $u, v \in V(k_2)$ , then  $uv \in E^1$ ,
- (3) If  $u \in V(k_1)$  and  $v \in V(k_2)$ , then  $uv \in E^1$ ,
- (4) If  $u \in V(k_1)$  and  $v \in V(s_{11})$  or  $v \in V(s_{12})$ , then  $uv \in E^1$ ,
- (5) If  $u \in V(s_{11})$  and  $v \in V(s_{12})$ , then  $uv \in E^1$ ,
- (6) If  $u \in V(k_2)$  and  $v \in V(s_{21})$  or  $v \in V(s_{22})$ , then  $uv \in E^1$ ,
- (7) If  $u \in V(s_{21})$  and  $v \in V(s_{22})$ , then  $uv \in E^1$ ,
- (8) For all  $i \in \{1, 2, 3, \dots, n\}$ , if  $u \in V(x_i)$  and  $v \in V(p_i)$ , then  $uv \in E^1$ ,
- (9) For all  $i \in \{1, 2, 3, \dots, n\}$ , if  $u \in V(\bar{x}_i)$  and  $v \in V(p_i)$ , then  $uv \in E^1$ ,
- (10) If  $u, v$  are vertices of a Clause Gadget, then  $uv \in E^1$ .

The Forbidden Edge Set  $E^3$  contains the following edges:

- (1) If  $u, v \in V(s_{11})$ , then  $uv \in E^3$ ,
- (2) If  $u, v \in V(s_{12})$ , then  $uv \in E^3$ ,
- (3) If  $u, v \in V(s_{21})$ , then  $uv \in E^3$ ,
- (4) If  $u, v \in V(s_{22})$ , then  $uv \in E^3$ ,
- (5) For all  $i \in \{1, 2, 3, \dots, n\}$ , if  $u, v \in V(p_i)$ , then  $uv \in E^3$ ,
- (6) For all  $i \in \{1, 2, 3, \dots, n\}$ , if  $u \in V(k_1)$  and  $v \in V(p_i)$ , then  $uv \in E^3$ ,
- (7) If  $u \in V(s_{11})$  or  $u \in V(s_{12})$  and  $v \in V(s_{21})$  or  $v \in V(s_{22})$ , then  $uv \in E^3$ ,
- (8) For all  $i \in \{1, 2, 3, \dots, n\}$ , if  $u \in V(x_i)$  and  $v \in V(\bar{x}_i)$ , then  $uv \in E^3$ ,
- (9) For all  $j \in \{1, 2, 3, \dots, m\}$ , if clause  $c_j = (l_1^j \vee l_2^j \vee l_3^j) \in C$ , then for all  $x \in V(l_1^j) t_1^j x \in E^3$ , for all  $x \in V(l_2^j) t_2^j x \in E^3$  and for all  $x \in V(l_3^j) t_3^j x \in E^3$ .

Note that, we have now as base graph the subgraph of  $G^2$  induced by the vertices in the sets  $k_1, k_2, s_{11}, s_{12}, s_{21}$  and  $s_{22}$ . Note that,  $k_1$  and  $k_2$  induce complete graphs in  $G^1$  and  $s_{11}, s_{12}, s_{21}$  and  $s_{22}$  are independent sets in  $G^3$ . For each  $i \in \{1, 2, 3, \dots, n\}$ , the variable gadget is now a subgraph of  $G^2$  induced by the vertices in the sets  $x_i, \bar{x}_i$  and  $p_i$ , where  $x_i$  and  $\bar{x}_i$  are independent sets in  $G^1$  but complete subgraphs of  $G^2$ , whereas  $p_i$  is an independent set in  $G^3$ . For each  $j \in \{1, 2, 3, \dots, m\}$ , the Clause gadget, a triangle of  $G^1$  induced by  $t_1^j, t_2^j, t_3^j$ , remains unchanged.

**Lemma 14.** *If  $I = (U, C)$  is an instance of  $\text{MAX3SAT}_{\frac{2}{3}}$  with  $n$  variables and  $m$  clauses, then*

$$\left\lceil \frac{n}{3} \right\rceil \leq \text{Opt}_{\text{max3sat}_{\frac{2}{3}}}(I) \leq m \leq 3n.$$

**Proof.** Consider  $I = (U, C)$  an instance of  $\text{MAX3SAT}_{\frac{2}{3}}$  with  $|U| = n$  and  $|C| = m$ . Since each variable must have at least one of its two literals occurring in the set of clauses, and since each clause has size 3, the number  $m$  of clauses satisfies  $3m \geq n$ . Hence  $m$  is limited below by the least integer greater or equal to  $n/3$ . On the other hand, since each variable occurs at most 3 times in the set of clauses, the number  $m$  of clauses satisfies  $3m \leq 3n$ . Therefore we have the inequalities  $\lceil n/3 \rceil \leq m \leq n$ , as required.

Now in order to establish the claimed bounds for  $\text{Opt}_{\text{max3sat}_{\frac{2}{3}}}(I)$ , note first that  $\text{Opt}_{\text{max3sat}_{\frac{2}{3}}}(I) \leq m$ . Now to establish the claimed lower bound, it is enough to exhibit a truth assignment for  $I$  with  $\lceil n/3 \rceil$  satisfied clauses. For each variable  $u_i \in U, i \in \{1, 2, \dots, n\}$ , set  $u_i = T$ , if and only if its positive literal occurs in  $C$ . Note that this truth assignment for  $U$  can be defined in time polynomial in the size of  $I$ . Now to each variable  $u_i$  we have a corresponding literal  $x_i$  occurring



in  $C$  with value true. Let  $k$  be the minimum number of clauses that fit those  $n$  literals with value true. Since each clause has size 3, integer  $k$  is the least integer satisfying  $3k \geq n$ , i.e.,  $k = \lceil n/3 \rceil$  is the least integer greater than or equal to  $n/3$ . Hence, we have at least  $\lceil n/3 \rceil$  satisfied clauses, and we have the inequalities  $\lceil n/3 \rceil \leq Opt_{\max 3sat_3}(I) \leq m$ , as required.  $\square$

**Lemma 15.** *Let  $I = (U, C)$  be a  $MAX3SAT_{\frac{2}{3}}$  instance,  $(V, E^1, E^2)$  be the  $MIN-(2, 1)$ -GSP instance yielded by algorithm  $f$  from  $I = (U, C)$  and  $\eta_I$  be a feasible solution of  $MAX3SAT_{\frac{2}{3}}$  for  $I(U, C)$ . Then, there is a feasible solution  $\phi_V$  of  $MIN-(2, 1)$ -GSP for  $(V, E^1, E^2)$  produced from  $\eta_I$  in polynomial time in the size of  $I$ , such that,  $|\phi_V| = m - |\eta_I|$ .*

**Proof.** Consider a truth assignment  $\eta_I$  for  $I = (U, C)$ . Let  $C' \subseteq C$  the subset of satisfied clauses of  $C$ . Then, the truth assignment  $\eta_I$  is a satisfiable truth assignment for the instance  $I' = (U, C')$ , with  $|\eta_I| = |C'|$ . Hence, we can construct  $V' = \phi_V$ , a feasible solution of  $MIN-(2, 1)$ -GSP for  $(V, E^1, E^2)$ , by selecting one vertex of each clause graph corresponding to each one of the  $m - |\eta_I|$  non satisfied clauses. This solution is feasible: since  $\eta_I$  is a satisfiable truth assignment for the instance  $I' = (U, C')$ , we can place all the vertices of  $V(k_1)$  and  $V(k_2)$  in  $K$ , place all the vertices of  $V(s_{11})$  and  $V(s_{21})$  in  $S_1$  and place all the vertices of  $V(s_{12})$  and  $V(s_{22})$  in  $S_2$ . In addition, for  $i \in \{1, \dots, n\}$ , if  $x_i$  is false then place all the vertices of  $V(x_i)$  in  $K$ , all the vertices of  $V(\bar{x}_i)$  in  $S_1$ , and all the vertices of  $V(p_i)$  in  $S_2$ . Otherwise, if  $x_i$  is true, then place all the vertices of  $V(x_i)$  in  $S_2$ , all the vertices of  $V(\bar{x}_i)$  in  $K$ , and all the vertices of  $V(p_i)$  in  $S_1$ . For every true clause of  $C$  the vertices of the corresponding Clause graph can be placed one in  $K$  and the other 2 vertices one into each of the 2 independent sets. As for each non satisfied clause of  $C$  we remove one vertex of the corresponding Clause gadget, we can place the remaining 2 vertices one in  $S_1$  and the other in  $S_2$ . Thus,  $\phi_V$  is feasible and  $|\phi_V| = m - |\eta_I|$ .  $\square$

**Claim 16.** *Let  $I = (U, C)$  be a  $MAX3SAT_{\frac{2}{3}}$  instance,  $(V, E^1, E^2)$  be the  $MIN-(2, 1)$ -GSP instance yielded by algorithm  $f$  from  $I = (U, C)$  and  $\phi_V$  be a feasible solution of  $MIN-(2, 1)$ -GSP for  $(V, E^1, E^2)$ , where  $|\phi_V| < 3n$  and  $S_1, S_2, K$  be the  $(2, 1)$ -partition for the sandwich graph defined by the removal of  $\phi_V$  from  $(V, E^1, E^2)$ . Then the truth assignment  $\eta_I$ , where the variable  $x_i = F$  if and only if there is a vertex of the set  $x_i$  in  $K$  is well defined.*

**Proof.** First of all, note that as  $|\phi_V| < 3n$ , there are vertices of  $x_i, \bar{x}_i$  and  $p_i$  that are not removed by  $\phi_V$ . It is enough to prove that if a non-removed vertex of the set  $x_i$  is placed in  $K$ , then all non-removed vertices of the set are also placed in  $K$ . Suppose there are two non-removed vertices  $u, v$  of the set  $x_i$ , such that  $u$  is placed in  $K$  and  $v$  is not placed in  $K$ . By Claim 8, vertex  $v$  is placed in  $S_2$ , and then all vertices of the set  $p_i$  are placed in  $S_1$ , because each one of them is adjacent to  $v$ . Now Claim 8 says all non-removed vertices of the set  $\bar{x}_i$  must be placed in  $K \cup S_1$ , a contradiction because each one of them is non adjacent to  $u$  and each one of them is adjacent to the vertices of  $p_i$ .  $\square$

**Lemma 17.** *Let  $I = (U, C)$  be a  $MAX3SAT_{\frac{2}{3}}$  instance,  $(V, E^1, E^2)$  be the  $MIN-(2, 1)$ -GSP instance yielded by algorithm  $f$  from  $I = (U, C)$  and  $\phi_V$  be a feasible solution of  $MIN-(2, 1)$ -GSP for  $(V, E^1, E^2)$ . Then, there is a feasible solution  $\eta_I$  of  $MAX3SAT_{\frac{2}{3}}$  for  $I(U, C)$  produced from  $\phi_V$  in polynomial time in the size of  $I$ , such that,  $|\eta_I| \geq m - |\phi_V|$ .*

**Proof.** By Lemma 14, we have that  $m \leq 3n$ . Consider a feasible solution  $\phi_V$  of  $MIN-(2, 1)$ -GSP for  $(V, E^1, E^2)$ . We consider two cases, according to  $|\phi_V| \geq 3n$  or  $|\phi_V| < 3n$ .

In the first case, when  $|\phi_V| \geq 3n$ , which implies  $m - |\phi_V| \leq 0$ . Hence, for any truth assignment  $\eta_I$  for  $I(U, C)$  the inequality  $|\eta_I| \geq m - |\phi_V|$  clearly holds.

In the second case, when  $|\phi_V| < 3n$ , as  $\phi_V$  is feasible, and each one of the graphs  $k_1, k_2, s_{11}, s_{12}, s_{21}$  and  $s_{22}$ , and  $x_i, \bar{x}_i$  and  $p_i$  has  $3n$  vertices, there is at least one vertex of each of them out of  $\phi_V$ . So the non-removed vertices of the literal graphs  $x_i$  and  $\bar{x}_i$ , according Claim 16 define a truth assignment  $\eta_I$  where  $c = |\eta_I|$  clauses are satisfied. This truth assignment forces that each one of the  $m - c$  non satisfiable clauses requires one additional vertex of the corresponding Clause graph in  $\phi_V$ . Hence,  $|\phi_V| \geq m - c = m - |\eta_I|$ .  $\square$

**Theorem 18** (fundamental property).  $Opt_{\min-(2,1)\text{-gsp}}(V, E^1, E^2) = m - Opt_{\max 3sat_3}(I)$ .

**Proof.** By Lemma 17, there is a truth assignment  $\eta_I$  to  $I = (U, C)$ , such that we have  $Opt_{\min-(2,1)\text{-gsp}}(V, E^1, E^2) \geq m - |\eta_I|$ . Since,  $MAX3SAT_{\frac{2}{3}}$  is a maximization problem,  $m - |\eta_I| \geq m - Opt_{\max 3sat_3}(I)$ , which gives the inequality  $Opt_{\min-(2,1)\text{-gsp}}(V, E^1, E^2) \geq m - Opt_{\max 3sat_3}(I)$ . By Lemma 15, there is a feasible solution  $\phi_V$  of  $MIN-(2, 1)$ -GSP for  $(V, E^1, E^2)$  such that,  $Opt_{\max 3sat_3}(I) = m - |\phi_V|$ . As  $MIN-(2, 1)$ -GSP is a minimization problem, we have that  $m - \phi_V \leq Opt_{\min-(2,1)\text{-gsp}}(V, E^1, E^2)$ , which gives the inequality  $Opt_{\max 3sat_3}(I) \leq m - Opt_{\min-(2,1)\text{-gsp}}(V, E^1, E^2)$ . Thus, we conclude that  $Opt_{\min-(2,1)\text{-gsp}}(V, E^1, E^2) = m - Opt_{\max 3sat_3}(I)$ .  $\square$

**Corollary 19.** *MIN-(2,1)-GSP is Max SNP-hard.*

**Proof.** We L-reduce  $\text{MAX3SAT}_3$  to MIN-(2,1)-GSP. We start the L-reduction by setting algorithm  $f$  defined in Section 6.1 to be the algorithm  $f$  of the L-reduction. By Lemma 14 and Fundamental Theorem 18, we have that  $\text{Opt}_{\text{min-(2,1)-gsp}}(V, E^1, E^2) = m - \text{Opt}_{\text{max3sat}_3}(I) \leq 3n - \frac{n}{3} = \frac{8n}{3} \leq 8\text{Opt}_{\text{max3sat}_3}(I)$ , Hence, we can set  $\alpha = 8$ .

Given a feasible solution  $\phi_V$  of MIN-(2,1)-GSP for  $(V, E^1, E^2)$ , Lemma 17 says that a feasible solution  $\eta_I$  of  $I(U, C)$  can be produced from  $\phi_V$  in polynomial time in the size of  $I$ , such that,  $|\eta_I| \geq m - |\phi_V|$ . Hence,  $|\text{Opt}_{\text{max3sat}_3}(I) - |\eta_I|| \leq |m - \text{Opt}_{\text{min-(2,1)-gsp}}(V, E^1, E^2) - (m - |\phi_V|)| = ||\phi_V| - \text{Opt}_{\text{min-(2,1)-gsp}}(V, E^1, E^2)|$ , showing that  $\beta = 1$  suffices.  $\square$

## 7. Conclusion

We proved that the  $(k, l)$ -Graph Sandwich Problem is NP-complete for the cases  $k = 1$  and  $l = 2$ ;  $k = 2$  and  $l = 1$ ; or  $k = l = 2$ . We note that the basic idea of the construction of the particular instance of these problems is a simple necessary condition: if a graph is  $(k, l)$  then it does not contain  $l + 1$  independent cliques of size  $k + 1$ . Recently, this condition was established sufficient for the class of the Chordal graphs, as proved by Hell et al. [13]. In addition, we considered the degree  $\Delta$  constraint subproblem  $(k, l) - B\Delta GSP$  and completely classified the problem as follows:  $(k, l) - B\Delta GSP$  is a polynomial problem for  $k \leq 2$  or  $\Delta \leq 3$ ; and NP-complete otherwise.

We observe that it is not possible to use our L-reduction transformation to prove that the maximization version for Graph Sandwich Problem for the property  $(k, l)$  graphs (MAX-(2,1)-GSP) is a Max SNP-hard problem. Note that, in our transformation we have an instance  $I = (U, C)$  with  $n$  variables and each variable corresponds to  $9n$  additional vertices in the corresponding instance of  $(V, E^1, E^2)$ . The structure of  $(V, E^1, E^2)$  implies the existence of a feasible solution with at least  $9n^2$  vertices. Hence, we have an optimum value of MAX-(2,1)-GSP for  $(V, E^1, E^2)$  of order  $\Omega(n^2)$ . As  $\text{Opt}_{\text{max3sat}_3}(I) = O(n)$ , it is impossible to define a positive constant  $\alpha$  for the first L-reduction inequality:  $\text{Opt}_{\text{min-(2,1)-gsp}}(V, E^1, E^2) \leq \alpha \text{Opt}_{\text{max3sat}_3}(I)$ . We conclude by noting that analogous arguments show that MIN-(1,2)-GSP and MIN-(2,2)-GSP are Max SNP-hard problems.

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