

## FOLIATED COBORDISM CLASSES OF CERTAIN FOLIATED $S^1$ -BUNDLES OVER SURFACES

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### INTRODUCTION

IN THIS paper we prove that the Godbillon–Vey invariant is the complete invariant for  $C^\infty$ -foliated cobordism classes of those foliated  $S^1$ -bundles over oriented surfaces whose structural group reduces to a certain discrete group  $G$  (for the definition of  $G$ , see §1). It should be noted that these cobordism classes contain Thurston's examples in [25] (see 1.3, 4.5, 5.2) and the Godbillon–Vey invariant for these classes ranges through the whole real numbers (Thurston[25], Brooks[2, 3]).

The Godbillon–Vey invariant is the only invariant known by now for  $\mathcal{F}\Omega_{3,1}^\infty$ , the group of foliated cobordism classes of oriented 3-manifolds with oriented codimension one foliations. There are several foliations whose Godbillon–Vey invariants are known to be zero (Wallet[28], Herman[9], Nishimori[18], Morita–Tsuboi[17], Mizutani–Morita–Tsuboi[14], Duminy–Sergiescu[30], Tsuchiya[31]). Some of them are known to be cobordant to zero (Mizutani[13], Sergeraert[24], Fukui[6], Oshikiri[19], Mizutani–Morita–Tsuboi[15], Tsuboi[26]). In the construction of their null cobordisms, the theory of the behavior of leaves of these foliations plays an essential role.

In the case of our foliated  $S^1$ -bundles, however, the behavior of leaves seems to be quite complicated (Sacksteder[21], Hector[8], Raymond[20]). In order to obtain our main result (Theorem 1.1), we use the homological properties of the discrete group  $G$ ; in particular, the calculation of  $H_2(SL(2, \mathbb{R}); \mathbb{Z}) = K_2(2, \mathbb{R})$  by Sah–Wagoner[23], and the fact that the Godbillon–Vey class coincides with a non-zero multiple of the Euler class for flat  $SL(2, \mathbb{R})$ -bundles[2, 3].

In §1, we give the precise definition of  $G$  and state our result. In §2, we give several results obtained from those of Sah–Wagoner[23]. We consider, in §3, the relative homology  $H_2(G, R)$ , where  $R$  is the subgroup consisting of rotations, and we will see that it is isomorphic to a countable direct sum of  $\mathbb{R}$ 's. In §4 we construct a homomorphism from  $H_2(G, R)$  to  $H_3(B\Gamma_1^\infty)$ , and we complete the proof of our theorem in §5.

### §1. DEFINITION OF $G$ AND THE STATEMENT OF THE RESULT

First, we define the group  $G$ .

Let  $G_1$  be the group  $PSL(2, \mathbb{R}) = SL(2, \mathbb{R})/\mathbb{Z}_2$ . For a positive integer  $n$ , let  $p_n: G_n \rightarrow G_1$  be the  $n$ -fold cyclic covering; then  $G_n$  has a topological group structure such that  $p_n$  is a homomorphism.

Consider the subgroup  $R_1$  of  $G_1$  which consists of rotations. For  $n \in \mathbb{N}$ , we put  $R_n = p_n^{-1}(R_1)$ . Then as a topological group,  $R_n$  is isomorphic to  $\mathbb{R}/\mathbb{Z}$ ;

$$R_1 \cong R_2 \cong \cdots \cong R_n \cong \cdots \cong \mathbb{R}/\mathbb{Z}.$$

We fix these isomorphisms.

For  $n \in \mathbb{N}$ , let  $G^{(n)}$  be the free product of  $G_i$  ( $i = 1, \dots, n$ ) with amalgamation

$$R_1 = R_2 = \cdots = R_n;$$

$$G^{(n)} = G_1 * G_2 * \cdots * G_n / R_1 = R_2 = \cdots = R_n.$$

Then we have a direct system of groups;

$$G^{(1)} \hookrightarrow G^{(2)} \hookrightarrow \cdots \hookrightarrow G^{(n)} \hookrightarrow \cdots.$$

We define  $\mathbf{G}$  to be the direct limit group;

$$\mathbf{G} = \varinjlim G^{(n)}.$$

We have the subgroup  $R$  of rotations of  $\mathbf{G}$  which corresponds to the subgroups of rotations  $R_1 = R_2 = \cdots = R_n \subset G^{(n)}$ .

$G_1$  acts on the Poincaré half-plane by the linear fractional transformations, hence on the boundary of it, which is  $S^1$ . This action is conjugate to that on  $S^1$  considered as the set of lines of  $\mathbb{R}^2$  through the origin, induced by the linear action of  $SL(2, \mathbb{R})$  on  $\mathbb{R}^2$ . The group  $G_n$  acts on  $S^1$ , the  $n$ -fold cyclic covering of  $S^1$  and the actions of  $R_n$  and  $R_1$  on  $S^1$  are compatible with respect to the isomorphism  $R_n \cong R_1$ . Therefore  $G^{(n)}$  acts on  $S^1$  and so does  $\mathbf{G}$ . These actions are orientation preserving  $C^\infty$ -actions (actually real analytic actions), so we have a homomorphism  $\mathbf{G} \rightarrow \text{Diff}_+^\infty(S^1)$ , where  $\text{Diff}_+^\infty(S^1)$  denotes the group of orientation preserving smooth diffeomorphisms of  $S^1$ . (This homomorphism is probably injective, but the author has not been able to prove it.)

Now we consider foliated  $S^1$ -bundles whose structural group reduces to the discrete group  $\mathbf{G}$ . For these foliated bundles, there exists a classifying space  $B\mathbf{G}$ . Naturally, in the second cohomology group of the group  $\mathbf{G}$ , i.e., in that of the space  $B\mathbf{G}$ , there are defined the Euler class  $e \in H^2(\mathbf{G}, \mathbb{Z})$  and the Godbillon–Vey class  $gv \in H^2(\mathbf{G}, \mathbb{R})$  (see [2]). Brooks[2, 3] showed that  $gv: H_2(\mathbf{G}, \mathbb{Z}) \rightarrow \mathbb{R}$  is surjective.

Our main result is the following theorem.

**THEOREM 1.1.** *Let  $\xi$  be a foliated  $S^1$ -bundle over a closed oriented surface  $\Sigma$ . Suppose that the structural group of  $\xi$  reduces to the discrete group  $\mathbf{G}$ . Then the foliation of  $\xi$  is  $C^\infty$ -foliated cobordant to zero if and only if  $gv(\xi)[\Sigma] = 0$ , where  $gv(\xi)$  is the Godbillon–Vey class  $gv$  pulled back to  $H^2(\Sigma, \mathbb{R})$  by the classifying map  $\Sigma \rightarrow B\mathbf{G}$ .*

Since a foliated oriented  $S^1$ -bundle is a  $\bar{\Gamma}_1$ -structure on the total space of the bundle, we have the following homomorphisms:

$$s_n: H_2(G_n; \mathbb{Z}) \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$$

and

$$s: H_2(\mathbf{G}; \mathbb{Z}) \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z}).$$

Let  $GV$  denote the Godbillon–Vey class in  $H^3(B\bar{\Gamma}_1^\infty; \mathbb{R})$ . Of course  $gv = GV \circ s$ . Since  $\mathcal{F}\Omega_{3,1}^\infty$  is isomorphic to  $H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$  (see e.g. [26]), Theorem 1.1 is equivalent to the following theorem.

**THEOREM 1.2.** *The restriction of  $GV$  to  $s(H_2(\mathbf{G}; \mathbb{Z}))$  is an isomorphism onto  $\mathbb{R}$ ;  $GV: s(H_2(\mathbf{G}; \mathbb{Z})) \xrightarrow{\cong} \mathbb{R}$ .*

*Remark 1.3.* Thurston's examples given in [25] are contained in  $t_1(H_2(G_1, R_1; \mathbb{Z}))$  (see 4.5, 5.2) which coincides with  $s(H_2(G; \mathbb{Z}))$ .

§2. SECOND HOMOLOGY OF  $G_n$

In this section, we study the structure of  $H_2(G_n; \mathbb{Z})$ .

For a perfect group  $G$ , we have the universal central extension  $v: U \rightarrow G$ , and  $\ker v$  is isomorphic to  $H_2(G; \mathbb{Z})$  (see Milnor[12]). This isomorphism is given as follows: Any element  $x$  of  $H_2(G; \mathbb{Z})$  is represented by a homomorphism  $\psi: \pi_1(\Sigma_k, *) \rightarrow G$ , where  $\Sigma_k$  is a closed oriented surface of genus  $k$ . Take generators  $a_i$  ( $i = 1, \dots, 2k$ ) of  $\pi_1(\Sigma_k, *)$  so that  $\pi_1(\Sigma_k, *) = \langle a_1, \dots, a_{2k}; [a_1, a_2] \dots [a_{2k-1}, a_{2k}] = 1 \rangle$ . For each  $i$  ( $i = 1, \dots, 2k$ ), choose an element  $\widetilde{\psi}(a_i)$  of  $U$  such that  $v(\widetilde{\psi}(a_i)) = \psi(a_i)$ . Then  $y = [\widetilde{\psi}(a_1), \widetilde{\psi}(a_2)] \dots [\widetilde{\psi}(a_{2k-1}), \widetilde{\psi}(a_{2k})]$  belongs to  $\ker v$ . This  $y$  is independent of the choices of the homomorphism  $\psi$ , the generators of  $\pi_1(\Sigma_k, *)$  and the lifts  $\widetilde{\psi}(a_i)$ , and this is the element of  $\ker v$  which corresponds to  $x \in H_2(G, \mathbb{Z})$ .

In the case of the perfect group  $SL(2, \mathbb{R})$ , we have the Steinberg group  $St(2, \mathbb{R})$  as the universal central extension of it. Moreover, in [23, (1.18)], Sah and Wagoner proved the following result.

**PROPOSITION 2.1** (*Sah-Wagoner* [23]).  $H_2(G_2; \mathbb{Z}) = H_2(SL(2; \mathbb{R}); \mathbb{Z}) (= K_2(2, \mathbb{R})$  in the usual notation) is a direct sum of an infinite cyclic group  $X_2$  and a  $\mathbb{Q}$ -vector space  $Y_2$ . As subgroups of  $St(2, \mathbb{R})$ , by using the Steinberg symbols, the generators of the subgroups  $X_2, Y_2$  of  $H_2(G_2; \mathbb{Z})$  are described multiplicatively as follows:  $X_2$  is generated by  $c(-1, -1) = h_{12}(-1)^2 = w_{12}(-1)^4$ .  $Y_2$  is generated by  $c(u, v) = h_{12}(u)h_{12}(v)h_{12}(uv)^{-1}$  where  $u, v > 0$ .

Using the following equality of Moore ([16], Lemma 3.2; [23], (1.9))

$$c(t, s)c(s, t)^{-1} = c(t^2, s) = c(s^2, t)^{-1} = h_{12}(t)h_{12}(s)h_{12}(t)^{-1}h_{12}(s)^{-1},$$

and the fact that the homomorphism  $v: St(2, \mathbb{R}) \rightarrow SL(2, \mathbb{R})$  maps  $h_{12}(u)$  to the element

$$\begin{pmatrix} u & 0 \\ 0 & u^{-1} \end{pmatrix},$$

we can see that  $c(u, v)$  ( $u, v > 0$ )  $\in Y_2 \subset H_2(G_2; \mathbb{Z})$  is represented by a 2-cycle

$$\left( \left( \begin{pmatrix} u^{1/2} & 0 \\ 0 & u^{-1/2} \end{pmatrix}, \begin{pmatrix} v & 0 \\ 0 & v^{-1} \end{pmatrix} \right) - \left( \begin{pmatrix} v & 0 \\ 0 & v^{-1} \end{pmatrix}, \begin{pmatrix} u^{1/2} & 0 \\ 0 & u^{-1/2} \end{pmatrix} \right) \right),$$

that is, it is represented by a homomorphism  $\psi: \pi_1(T^2, *) \cong \mathbb{Z}^2 \rightarrow G_2$  such that

$$\psi(1, 0) = \begin{pmatrix} u^{1/2} & 0 \\ 0 & u^{-1/2} \end{pmatrix}$$

and

$$\psi(0, 1) = \begin{pmatrix} v & 0 \\ 0 & v^{-1} \end{pmatrix}.$$

From Moore's equality, we can also see that  $c(u, v)$  is bimultiplicative if both  $u$  and  $v$  are positive.

Since  $G_1$  is the quotient group of  $G_2$  by the center ( $\cong \mathbb{Z}_2$ ), the composition  $St(2, \mathbb{R}) \rightarrow G_2 \rightarrow G_1$  is the universal central extension of  $G_1$ . Moreover, since  $G_n$  is a central

extension of  $G_1$ , we have a homomorphism  $St(2, \mathbb{R}) \rightarrow G_n$  which is the universal central extension of  $G_n$ . These facts imply the following proposition.

**PROPOSITION 2.2.** *For any positive integer  $n$ ,  $H_2(G_n; \mathbb{Z})$  is a direct sum of an infinite cyclic group  $X_n$  and a  $\mathbb{Q}$ -vector space  $Y_n$ . As a subgroup of  $St(2, \mathbb{R})$ ,  $X_n$  is generated by  $h_{12}(-1)^n = w_{12}(-1)^{2n}$  and  $Y_n = Y_2$ .*

It follows from this proposition that the projection  $H_2(G_n; \mathbb{Z}) \rightarrow X_n$  coincides with the Euler class (up to sign according to conventions). For the Euler class factors through this projection and, by Milnor[11] and Wood[29], there exists a flat  $G_n$ -bundle with the Euler class "one".

*Remark.* Sah-Wagoner[23] proved that  $Y_2$  is a  $\mathbb{Q}$ -vector space of dimension equal to the continuum. This implies that there are many non-zero elements of  $H_2(G_2; \mathbb{Z})$  which are represented by  $(f, g)-(g, f)$ 's with  $f, g$  belonging to a one parameter subgroup of  $G_2$ . In [26], however, the author proved that it is not the case for  $H_2(Diff_K^\infty(\mathbb{R}); \mathbb{Z})$ . Precisely, if  $f$  and  $g$  belong to a one parameter subgroup of  $Diff_K^\infty(\mathbb{R})$  generated by a smooth vectorfield on  $\mathbb{R}$ , then  $(f, g)-(g, f)$  is homologous to zero.

Now we prove the following lemma.

**LEMMA 2.3.** *Let  $i_n; R_n \rightarrow G_n$  be the inclusion for  $n \in \mathbb{N}$ . Then  $i_{n*}(H_2(R_n; \mathbb{Z})) = Y_n$ .*

*Proof of Lemma 2.3 for  $n = 2$ .* (In this case, this lemma may be implicitly proved in [23].) First, since  $R_2$  is the subgroup consisting of all rotations,  $H_2(R_2; \mathbb{Z})$  is divisible. Therefore,  $i_{2*}(H_2(R_2; \mathbb{Z})) \subset Y_2$ .

We prove that any 2-cycle

$$Z(x, y) = \left( \left( \begin{matrix} x & 0 \\ 0 & x^{-1} \end{matrix} \right), \left( \begin{matrix} y & 0 \\ 0 & y^{-1} \end{matrix} \right) \right) - \left( \left( \begin{matrix} y & 0 \\ 0 & y^{-1} \end{matrix} \right), \left( \begin{matrix} x & 0 \\ 0 & x^{-1} \end{matrix} \right) \right) \quad (x, y > 0)$$

is homologous to a union of 2-cycles of the form  $(r_1, r_2) - (r_2, r_1)$  ( $r_1, r_2 \in R_2$ ). By the bimultiplicativity, it suffices to prove it when  $1 < y < x < \sqrt{2}$ .

Let  $V$  be the complement of an open tubular neighborhood of the link of Fig. 1 in  $S^3$ . Let  $a, b, c$  be the element of  $\pi_1(V, *)$  corresponding to the meridians and let  $A, B, C$  be those corresponding to the longitudes. Then we have

$$\begin{aligned} \pi_1(V, *) &= \langle a, b, c, A, B, C : aA = Aa, bB = Bb, cC = Cc, \\ &\quad ba^{-1}ca = A, cb^{-1}ab = B, ac^{-1}bc = C \rangle. \end{aligned}$$

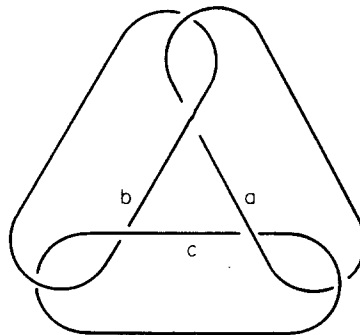


Fig. 1.

We shall define a homomorphism  $\psi: \pi_1(V, *) \rightarrow SL(2, \mathbb{R})$  such that

$$\psi(a) = \begin{pmatrix} x & 0 \\ 0 & x^{-1} \end{pmatrix}, \quad \psi(Aa^{-1}) = \begin{pmatrix} y & 0 \\ 0 & y^{-1} \end{pmatrix},$$

and

$$|\text{trace } \psi(b)| < 2, \quad |\text{trace } \psi(c)| < 2.$$

Put

$$\psi(b) = \begin{pmatrix} s & t \\ u & v \end{pmatrix} \quad sv - tu = 1.$$

A calculation shows that a homomorphism  $\psi: \pi_1(V, *) \rightarrow SL(2, \mathbb{R})$  with given  $\psi(a)$ ,  $\psi(Aa^{-1})$ ,  $\psi(b)$  exists if  $x, y, s, t, u, v$  satisfy the following equation

$$v^2x^2y^2 + sv(x^2 + y^2) + s^2 = (y^2 - x^4)/(1 - x^2).$$

Then, we have

$$\psi(c) = \begin{pmatrix} vxy & -ty/x \\ -ux/y & s/(xy) \end{pmatrix}.$$

Since we assumed that  $1 < y < x < \sqrt{2}$ , we can choose  $s, t, u, v$  so that

$$|\text{trace } \psi(b)| = |s + v| < 2$$

and

$$|\text{trace } \psi(c)| = |vxy + s/(xy)| < 2.$$

(In fact, we can put  $s = v = (x^4 - y^2)^{1/2}((x^4 - 1)(y^2 + 1))^{-1/2}$  and  $t = 1$ .) Thus our cycle  $Z(x, y)$  is homologous to

$$-((\psi(b), \psi(B)) - (\psi(B), \psi(b))) - ((\psi(c), \psi(C)) - (\psi(C), \psi(c))).$$

Since  $\psi(b)\psi(B) = \psi(B)\psi(b)$ ,  $\psi(c)\psi(C) = \psi(C)\psi(c)$  and  $|\text{trace } \psi(b)| < 2$ ,  $|\text{trace } \psi(c)| < 2$ ,  $\psi(b), \psi(B)$  and  $\psi(c), \psi(C)$  are simultaneously conjugate to pairs of elements of  $R_2$ , respectively. Since inner automorphisms act trivially on the homology of a group,  $(\psi(b), \psi(B)) - (\psi(B), \psi(b))$  and  $(\psi(c), \psi(C)) - (\psi(C), \psi(c))$  are homologous to 2-cycles of the form  $(r_1, r_2) - (r_2, r_1)$  ( $r_1, r_2 \in R_2$ ).

*Proof of Lemma 2.3 for general  $n$ .* First we note that, for  $n \in \mathbb{N}$ , the homomorphism  $(p_n|R_n)_*: H_2(R_n; \mathbb{Z}) \rightarrow H_2(R_1; \mathbb{Z})$  is induced by the chain map which maps a base  $(f, g)$  ( $f, g \in R_n$ ) of  $C_2(R_n)$  to  $(p_n(f), p_n(g))$ . Since, under the isomorphism  $R_n \cong R_1 \cong \mathbb{R}/\mathbb{Z}$ ,  $(p_n|R_n): \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$  is the multiplication by  $n$ , the homomorphism  $(p_n|R_n)_*: H_2(R_n; \mathbb{Z}) \rightarrow H_2(R_1; \mathbb{Z})$  is surjective.

Now the commutative diagram

$$\begin{array}{ccc} R_n & \xrightarrow{i_n} & G_n \\ \downarrow p_n|R_n & & \downarrow p_n \\ R_1 & \xrightarrow{i_1} & G_1 \end{array}$$

gives the following commutative diagram:

$$\begin{array}{ccc}
 H_2(R_n; \mathbb{Z}) & \xrightarrow{i_n^*} & H_2(G_n; \mathbb{Z}) \\
 (p_n|_{R_n})_* \downarrow & & \downarrow p_n^* \\
 H_2(R_1; \mathbb{Z}) & \xrightarrow{i_1^*} & H_2(G_1; \mathbb{Z}).
 \end{array}$$

We also note that  $p_n^*: H_2(G_n; \mathbb{Z}) \rightarrow H_2(G_1; \mathbb{Z})$  coincides with the inclusion  $X_n \oplus Y_n \rightarrow X_1 \oplus Y_1$  of subgroups of  $St(2, \mathbb{R})$ .

If  $n = 2$ , the above diagram implies that  $i_{1*}(H_2(R_1; \mathbb{Z})) = Y_1$ , because we know that  $i_{2*}(H_2(R_2; \mathbb{Z})) = Y_2$ . Then, if  $n \geq 3$ , the above diagram again implies that  $i_{n*}(H_2(R_n; \mathbb{Z})) = Y_n$ .

§3. SECOND HOMOLOGY OF  $(G, R)$

In this section we show that the second homology  $H_2(G, R; \mathbb{Z})$  of the pair  $(G, R)$  is a countable direct sum of  $\mathbb{R}$ 's.

Let  $H$  be a subgroup of a group  $G$ , and consider the classifying spaces  $BH$  and  $BG$ . We may assume that these are obtained as realizations of semi-simplicial complexes associated to these groups, and that  $BH$  is a subcomplex of  $BG$ . Let  $H_i(G, H; \mathbb{Z})$  denote the relative homology group  $H_i(BG, BH; \mathbb{Z})$  ( $i \geq 0$ ).

Considering  $BR_n$  as a subcomplex of  $BG_n$ , we can construct the classifying space  $BG^{(n)}$  as the space obtained from the disjoint union of  $BG_i$  ( $i = 1, \dots, n$ ) by identifying the subcomplexes  $BR_i$  ( $i = 1, \dots, n$ );

$$BG^{(n)} = BG_1 \cup \dots \cup BG_n / BR_1 = \dots = BR_n.$$

Then the classifying space  $BG$  is obtained as the direct limit  $\varinjlim BG^{(n)}$ .

The homology exact sequence for  $(BG_n, BR_n)$  is as follows:

$$H_2(R_n; \mathbb{Z}) \xrightarrow{i_n^*} H_2(G_n; \mathbb{Z}) \xrightarrow{k_n^*} H_2(G_n, R_n; \mathbb{Z}) \rightarrow H_1(R_n; \mathbb{Z}) \rightarrow H_1(G_n; \mathbb{Z}).$$

Here,  $H_2(G_n; \mathbb{Z}) = X_n \oplus Y_n$ ,  $X_n \cong \mathbb{Z}$  by Proposition 2.2,  $i_{n*}(H_2(R_n; \mathbb{Z})) = Y_n$  by Lemma 2.3,  $R_n$  is commutative and  $G_n$  is perfect, i.e.  $H_1(G_n; \mathbb{Z}) = 0$ . Hence we have an exact sequence

$$0 \rightarrow X_n \rightarrow H_2(G_n, R_n; \mathbb{Z}) \rightarrow R_n \rightarrow 0.$$

Now we prove the following lemma.

LEMMA 3.1. *There exists an isomorphism  $q_n: H_2(G_n, R_n; \mathbb{Z}) \rightarrow \mathbb{R}$  such that the following diagram commutes.*

$$\begin{array}{ccccccc}
 0 & \rightarrow & X_n & \rightarrow & H_2(G_n, R_n; \mathbb{Z}) & \rightarrow & R_n \rightarrow 0 \\
 & & \downarrow \cong & & \downarrow q_n & & \downarrow \cong \\
 0 & \rightarrow & \mathbb{Z} & \longrightarrow & \mathbb{R} & \longrightarrow & \mathbb{R}/\mathbb{Z} \rightarrow 0,
 \end{array}$$

where the first row is the above exact sequence and  $R_n \cong \mathbb{R}/\mathbb{Z}$  is the fixed isomorphism.

*Proof.* Let  $\tilde{G}_n: \tilde{G}_n \rightarrow G_n$  be the topological universal covering, and put  $\tilde{R}_n = (\tilde{\omega}_n)^{-1}(R_n)$ . Note that  $\tilde{G}_n \rightarrow G_n$  is a central extension.

First we define a homomorphism  $r_n: H_2(G_n, R_n; \mathbb{Z}) \rightarrow \tilde{R}_n$  as follows. Since  $BR_n$  is connected, any element  $x$  of  $H_2(G_n, R_n; \mathbb{Z})$  is represented by a homomorphism  $\psi: \pi_1(\Sigma_k - \mathring{D}^2, *) \rightarrow G_n$  such that  $\psi(\partial(\Sigma_k - \mathring{D}^2)) \in R_n$  where  $\Sigma_k - \mathring{D}^2$  is a closed oriented surface of genus  $k$  taken off a small disk in it, and  $* \in \partial(\Sigma_k - \mathring{D}^2)$ . Choose generators  $a_i$  ( $i = 1, \dots, 2k$ ) of  $\pi_1(\Sigma_k - \mathring{D}^2, *)$  so that  $[\sigma(\Sigma_k - \mathring{D}^2)] = [a_1, a_2] \dots [a_{2k-1}, a_{2k}]$ . For each  $i$ , choose an element  $\widetilde{\psi(a_i)}$  of  $\tilde{G}_n$  such that  $\tilde{\omega}_n(\widetilde{\psi(a_i)}) = \psi(a_i)$ ; then  $y = [\widetilde{\psi(a_1)}, \widetilde{\psi(a_2)}] \dots [\widetilde{\psi(a_{2k-1})}, \widetilde{\psi(a_{2k})}]$  is an element of  $\tilde{R}_n$ . This  $y$  is independent of the choices of the homomorphism  $\psi$ , generators of  $\pi_1(\Sigma_k - \mathring{D}^2, *)$  and lifts  $\psi(a_i)$ . We put  $r_n(x) = y$ . It is easy to see that  $r_n$  is a well-defined homomorphism.

If  $x$  comes from  $H_2(G_n; \mathbb{Z})$ , i.e.  $x \in k_n^*(H_2(G_n; \mathbb{Z}))$ , then  $r_n(x) \in \ker \tilde{\omega}_n$  and  $r_n(x)$  is given by Milnor's algorithm for computing the Euler class (Milnor[11], Wood[29]). Thus we have the following commutative diagram:

$$\begin{array}{ccccccc} 0 & \rightarrow & X_n & \rightarrow & H_2(G_n, R_n; \mathbb{Z}) & \rightarrow & R_n \rightarrow 0 \\ & & \downarrow r'_n & & \downarrow r_n & & \parallel \\ 0 & \rightarrow & \mathbb{Z} & \longrightarrow & \tilde{R}_n & \longrightarrow & R_n \rightarrow 0, \end{array}$$

where  $r'_n$  is an isomorphism ([11, 29]). Consequently,  $r_n$  is an isomorphism.

Identifying the second row with  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z} \rightarrow 0$ , we obtain the desired isomorphism  $q_n$ .

The homomorphism  $p_n: G_n \rightarrow G_1$  induces a homomorphism  $p_n^*: H_2(G_n, R_n; \mathbb{Z}) \rightarrow H_2(G_1, R_1; \mathbb{Z})$ . Since, in the commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & X_n & \rightarrow & H_2(G_n, R_n; \mathbb{Z}) & \rightarrow & R_n \rightarrow 0 \\ & & \downarrow p_n^* & & \downarrow p_n^* & & \downarrow p_n \\ 0 & \rightarrow & X_1 & \rightarrow & H_2(G_1, R_1; \mathbb{Z}) & \rightarrow & R_1 \rightarrow 0, \end{array}$$

$p_n^*: X_n \rightarrow X_1$  is the multiplication by  $n$  under the identifications  $X_n \cong \mathbb{Z}$ ,  $X_1 \cong \mathbb{Z}$  and  $p_n^*: R_n \rightarrow R_1$  is also the multiplication by  $n$  under the identifications  $R_n \cong \mathbb{R}/\mathbb{Z}$ ,  $R_1 \cong \mathbb{R}/\mathbb{Z}$ , we have the following formula.

LEMMA 3.2.  $q_n = (1/n)q_1 p_n^*$ .

Now we consider the exact sequence of the pair  $(BG, BR)$ :

$$H_2(R; \mathbb{Z}) \rightarrow H_2(G; \mathbb{Z}) \xrightarrow{k} H_2(G, R; \mathbb{Z}).$$

By excision, we have

$$H_2(G, R; \mathbb{Z}) \cong \bigoplus_{n=1}^{\infty} H_2(G_n, R_n; \mathbb{Z}).$$

We write by  $j_n$  the projection  $H_2(G, R; \mathbb{Z}) \rightarrow H_2(G_n, R_n; \mathbb{Z})$ .

We remark here that we can write down the Euler class and the Godbillon–Vey class by  $q_n$  and  $j_n$  by using Milnor's algorithm ([11, 29]) and a result of Brooks ([2, 3]).

$$e = \sum q_n j_n k$$

and

$$gv = C \sum n^2 q_n j_n k,$$

where  $C$  is a non-zero constant. We will explain the latter later.

§4. HOMOMORPHISM  $H_2(G, \mathbb{R}; \mathbb{Z}) \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$

In this section, we study the image of  $s_n: H_2(G_n; \mathbb{Z}) \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$ , and we will define a homomorphism  $t_n: H_2(G_n, R_n; \mathbb{Z}) \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$  such that  $t_n k_n = s_n$ . To compare  $t_n$  and  $t_1 p_{n^*}$  we use the following lemma.

LEMMA 4.1. *Let  $(M, \mathcal{H})$  be a  $\bar{\Gamma}_1^\infty$ -structure on a closed oriented 3-manifold. Let  $p: M^{(n)} \rightarrow M$  be an  $n$ -fold branched covering whose branching locus is a compact codimension 2 submanifold of  $M$ . Let  $(M^{(n)}, \mathcal{H}^{(n)})$  be the induced  $\bar{\Gamma}_1^\infty$ -structure. Then,  $(M^{(n)}, \mathcal{H}^{(n)})$  is  $\bar{\Gamma}_1^\infty$ -cobordant to a disjoint union of  $n$  copies of  $(M, \mathcal{H})$ .*

*Proof.* Let  $\tau: n(M, \mathcal{H}) \rightarrow (M, \mathcal{H})$  be the trivial  $n$ -fold covering. Let  $X$  be the space obtained from the mapping cylinders of  $p$  and  $\tau$  by identifying the images of  $p$  and  $\tau$ ,  $X$  has the induced  $\bar{\Gamma}_1^\infty$ -structure  $\mathcal{X}$ . Consider the classifying map  $B\mathcal{X}: X \rightarrow B\bar{\Gamma}_1^\infty$ ; then we have

$$\partial B\mathcal{X} = B\mathcal{H}^{(n)} \cup (-nB\mathcal{H}),$$

where  $B\mathcal{H}^{(n)}$  and  $B\mathcal{H}$  are the classifying maps for  $(M^{(n)}, \mathcal{H}^{(n)})$  and  $(M, \mathcal{H})$ , respectively. Since  $\Omega_3(B\bar{\Gamma}_1^\infty) = H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$ [4], we have proved Lemma 4.1.

As a colollary to this lemma, we have

Corollary 4.2.  $s_n = ns_1 p_{n^*}$ .

For, if an element  $x$  of  $H_2(G_n; \mathbb{Z})$  corresponds to a homomorphism  $\psi: \pi_1(\Sigma, *) \rightarrow G_n$  with  $\Sigma$  being a closed oriented surface,  $p_{n^*}(x)$  corresponds to  $p_{n^*} \circ \psi: \pi_1(\Sigma, *) \rightarrow G_1$ . It is easy to see that there is an  $n$ -fold covering map between the corresponding foliated  $S^1$ -bundles which respects the  $\bar{\Gamma}_1^\infty$ -structures.

For the homomorphism  $s_n$ , we have the following lemma. This is a special case of our theorem for foliated  $S^1$ -bundles whose structural groups reduce to  $G_n$ . Note that in this case the Godbillon–Vey class is proportional to the Euler class[2, 3].

LEMMA 4.3.  $\ker s_n = Y_n$ .

*Proof.* Every generator of  $Y_n$  is represented by a foliated  $S^1$ -bundle over a torus whose structural group reduces to  $R_n$  (Lemma 2.3) and this foliation can be defined by a non-vanishing closed 1-form. Hence this foliation is  $C^\infty$ -foliated cobordant to zero, that is,  $Y_n \subset \ker s_n$ . On the other hand, since for these bundles, the Godbillon–Vey class is a non-zero multiple of the Euler class and the Euler class is nothing but the projection  $H_2(G_n; \mathbb{Z}) \rightarrow X_n$ , we have  $Y_n \supset \ker s_n$ .

The above lemma implies that there exists a well defined homomorphism  $\bar{s}_n: \text{Im } k_n \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$  such that  $\bar{s}_n k_n = s_n$ . By Corollary 4.2, we also have  $\bar{s}_n = n\bar{s}_1 p_{n^*}$ . We shall define a homomorphism  $t_n: H_2(G_n, R_n; \mathbb{Z}) \rightarrow H_3(B\bar{\Gamma}_1^\infty; \mathbb{Z})$  so that  $t_n k_n = s_n$ ,  $t_n = nt_1 p_{n^*}$ ,  $s = \sum_n t_n j_n k$  and  $GVt_n = Cn^2 q_n j_n k$  with  $C$  being a non-zero constant.

In order to define  $t_n$ , we need a  $\bar{\Gamma}_1^\infty$ -structure  $\mathcal{H}^{(n)}$  on  $D^2$ , the 2-disk.

Let  $\eta: [0, 1/2] \rightarrow \mathbb{R}$  be a  $C^\infty$ -function such that  $\eta(x) = 0$ ,  $x \in [0, 1/3]$  and  $\eta(x) > 0$ ,  $x \in (1/3, 1/2]$ . Let  $f: (1/3, 1] \rightarrow \mathbb{R}$  be a  $C^\infty$ -function such that  $f(x) = 0$ ,  $x \in [2/3, 1]$  and  $(f'(x))^{-1} = \eta(x)$ ,  $x \in (1/3, 1/2]$ .

First we define a  $C^\infty$ -foliation  $\mathcal{H}$  of  $[0, 1] \times \mathbb{R}$ , invariant under translations in the direction of  $\mathbb{R}$ . For  $\theta \in \mathbb{R}$ , put

$$L_\theta = \{(x, y) \in [0, 1] \times \mathbb{R}; y = f(x) + \theta, x \in (1/3, 1]\},$$



and for  $r \in [0, 1/3]$ , put

$$L'_r = \{(x, y) \in [0, 1] \times \mathbb{R}; x = r, y \in \mathbb{R}\}.$$

Then the foliation  $\mathcal{H}$  is defined by

$$\mathcal{H} = \{L_\theta; \theta \in \mathbb{R}\} \cup \{L'_r; r \in [0, 1/3]\}.$$

Consider the map  $p'_n: [0, 1] \times \mathbb{R} \rightarrow D^2 = \{(x, y) \in \mathbb{R}^2; x^2 + y^2 \leq 1\}$  defined by  $p'_n(r, \theta) = (r \cos(2\pi\theta/n), r \sin(2\pi\theta/n))$ . Since  $\mathcal{H}$  is invariant under translations, we have a  $\Gamma_1^\infty$ -structure  $\mathcal{H}^{(n)}$  on  $D^2$  satisfying  $p_n'^* \mathcal{H}^{(n)} = \mathcal{H}$ . Note that  $\mathcal{H}^{(n)}$  is invariant under rotations.

For a rotation  $\alpha$ , let  $\sigma_\alpha(\mathcal{H}^{(n)})$  denote the “suspended  $\Gamma_1^\infty$ -structure”; more precisely,  $\sigma_\alpha(\mathcal{H}^{(n)})$  is the  $\Gamma_1^\infty$ -structure of  $D^2 \times S^1 = D^2 \times [0, 1]/(z, 0) \sim (\alpha(z), 1)$  which is induced from the product  $\Gamma_1^\infty$ -structure  $(D^2 \times [0, 1], \mathcal{H}^{(n)} \times [0, 1])$ .  $\sigma_\alpha(\mathcal{H}^{(n)})$  is well defined since  $\alpha$  preserves  $\mathcal{H}^{(n)}$ . This  $\Gamma_1^\infty$ -structure is not defined as foliation along  $\{0\} \times S^1$ . If one prefers to remain always in the category of foliations, one can put a Reeb component along  $\{0\} \times S^1$  without changing the following argument.

Let  $p_n'': D^2 \rightarrow D^2$  be the  $n$ -fold branched covering map defined by  $p_n''(r \cos \theta, r \sin \theta) = (r \cos n\theta, r \sin n\theta)$ . Then we have  $p_n''^* \mathcal{H}^{(1)} = \mathcal{H}^{(n)}$  and  $p_n''$  induces an  $n$ -fold branched covering map  $p_n: D^2 \times S^1 \rightarrow D^2 \times S^1$  such that  $\sigma_\alpha(\mathcal{H}^{(n)}) = p_n^*(\sigma_{n\alpha}(\mathcal{H}^{(1)}))$ .

Now we define the homomorphism  $t_n: H_2(G_n, R_n; \mathbb{Z}) \rightarrow H_3(B\Gamma_1^\infty; \mathbb{Z})$ . Any element  $x \in H_2(G_n, R_n; \mathbb{Z})$  is represented by a continuous map  $b: (N, \partial N) \rightarrow (BG_n, BR_n)$ , where  $N$  is a compact oriented 2-manifold with  $\partial N$  consisting of  $m$  circles ( $m$  can be zero). Then the boundary of the foliated  $S^1$ -bundle  $\mathcal{F}_b$  associated to  $b$  consists of foliated  $S^1$ -bundles over  $m$  (oriented) circles with the holonomies being rotations  $\alpha_1, \dots, \alpha_m$ . We attach  $(D^2 \times S^1, \sigma_{\alpha_i}(\mathcal{H}^{(n)}))$  ( $i = 1, \dots, m$ ) to the boundary of  $\mathcal{F}_b$ ; then  $\sigma_{\alpha_i}(\mathcal{H}^{(n)})$ 's and  $\mathcal{F}_b$  define a  $\Gamma_1^\infty$ -structure  $\mathcal{F}_b$  on the resulted closed oriented 3-manifold.

By the following lemma, we define  $t_n(x)$  to be the class of  $\mathcal{F}_b$  in  $H_3(B\Gamma_1^\infty; \mathbb{Z})$

**LEMMA 4.4.** *The class of  $\mathcal{F}_b$  in  $H_3(B\Gamma_1^\infty; \mathbb{Z})$  is independent of the choice of  $b$ .*

*Proof.* Let  $b': (N', \partial N') \rightarrow (BG_n, BR_n)$  represent also  $x$ ; then there exist a compact oriented 3-manifold  $W$  and a continuous map  $\beta: W \rightarrow BG_n$  such that  $\partial W = N \cup M \cup (-N')$ ,  $M$  being a 2-manifold,  $N \cap M = \partial N$ ,  $N' \cap M = \partial N'$  and  $\beta(M) \subset BR_n$  [4]. Since the  $\Gamma_1^\infty$ -structure of the foliated  $S^1$ -bundle corresponding to  $\beta|_{\partial W}$  is homologous to zero, the difference between  $\mathcal{F}_b$  and  $\mathcal{F}_{b'}$  is homologous to  $\mathcal{F}_{\beta|M}$ .

On the other hand, since  $\beta(M) \subset BR_n$ ,  $\beta|M$  defines a “foliated disk bundle” over  $M$ . More precisely, since  $\mathcal{H}^{(n)}$  is invariant under  $R_n$ , there exists a  $\Gamma_1^\infty$ -structure  $\mathcal{G}$  on the 2-disk bundle over  $M$  associated to  $\beta|M$ , which is transverse to the fibers with the restriction to a fiber isomorphic to  $\mathcal{H}^{(n)}$  and with the holonomy given by  $\beta|M$ . It is easy to see that the boundary of  $\mathcal{G}$  is precisely  $\mathcal{F}_{\beta|M}$ . Thus we have proved Lemma 4.4.

**Remark 4.5.** The above construction of  $\mathcal{F}_b$  is a generalization of Thurston’s examples given in [25] where he used a continuous map  $b$  from a 2-disk with small open disks deleted to  $(BG_1, BR_1)$  to represent a class of  $H_2(G_1, R_1)$  and constructed  $\mathcal{F}_b$ . Thus his examples are in the image of  $t_1$ .

**Remark 4.5'.** To obtain  $\mathcal{F}_b$ , it is not necessary to use the same  $\mathcal{H}^{(n)}$  for every boundary component. In fact we can use any  $\Gamma_1^\infty$ -structure on the 2-disk with a non-foliation point at the center which is invariant under rotations and transverse to the boundary circle. Then

we modify the proof of Lemma (4.4) as follows: the difference between  $\mathcal{F}_b$  and  $\mathcal{F}_{\beta|M}$  is homologous to  $\mathcal{F}_{\beta|M}$  as before. The  $\Gamma_1^\infty$ -structure  $\mathcal{F}_{\beta|M}$  is a foliation almost without holonomy off the non-foliation points and trivial in a neighborhood of the non-foliation points. (The non-foliation points correspond to the center of the 2-disk.) We can see that the holonomy of any compact leaf is contained in a one parameter group of germs of diffeomorphisms generated by a germ of a  $C^\infty$ -vectorfield. Moreover, since  $\beta(M) \subset BR_n$ , the Novikov transformations of components bounded by compact leaves are contained in the group of translations of  $\mathbb{R}$ . Thus, by a result of Mizutani–Morita–Tsuboi [15],  $\mathcal{F}_{\beta|M}$  is  $\Gamma_1^\infty$ -cobordant to zero. (However, for simplicity, we use the fixed  $\mathcal{H}^{(n)}$  in the rest of this paper.)

By Lemma 4.4,  $t_n$  is well defined. It is easy to see that  $t_n$  is a homomorphism and  $t_n k_n = s_n$ . Note that we can always represent an element  $x \in H_2(G_n, R_n)$  by a map  $b: (\Sigma_k - \dot{D}^2, \partial(\Sigma_k - \dot{D}^2)) \rightarrow (BG_n, BR_n)$ , that is, a homomorphism  $\psi: \pi_1(\Sigma_k - D^2, *) \rightarrow G_n$  with  $\alpha = \psi(\partial(\Sigma_k - \dot{D}^2)) = \partial x \in R_n$ . Then we write  $\mathcal{F}_b$  as  $\mathcal{F}_\psi \cup \sigma_{\partial x}(\mathcal{H}^{(n)})$ .

LEMMA 4.6.  $t_n = nt_1 p_n$ .

*Proof.* Let  $x \in H_2(G_n, R_n; \mathbb{Z})$  be represented by  $\psi: \pi_1(\Sigma_k - \dot{D}^2, *) \rightarrow G_n$  such that  $\alpha = \psi(\partial(\Sigma_k - \dot{D}^2)) \in R_n$ . Then  $t_n(x)$  is given by  $\mathcal{F}_\psi \cup \sigma_\alpha(\mathcal{H}^{(n)})$  by definition. On the other hand,  $p_n(x)$  is represented by  $p_n \circ \psi$ . Since  $p_n \circ \psi(\partial(\Sigma_k - \dot{D}^2)) = n\alpha \in R_1$ ,  $t_1 p_n(x)$  is represented by  $\mathcal{F}_{p_n \circ \psi} \cup \sigma_{n\alpha}(\mathcal{H}^{(1)})$ . Now we have an  $n$ -fold branched covering map  $\bar{p}_n: D^2 \times S^1 \rightarrow D^2 \times S^1$  such that  $\sigma_\alpha(\mathcal{H}^{(n)}) = \bar{p}_n^*(\sigma_{n\alpha}(\mathcal{H}^{(1)}))$ . Moreover  $\bar{p}_n$  is compatible with the  $n$ -fold covering map  $\mathcal{F}_\psi \rightarrow \mathcal{F}_{p_n \circ \psi}$  induced by  $p_n$  along the boundary. Thus we have an induced branched covering map from  $\mathcal{F}_\psi \cup \sigma_\alpha(\mathcal{H}^{(n)})$  to  $\mathcal{F}_{p_n \circ \psi} \cup \sigma_{n\alpha}(\mathcal{H}^{(1)})$ . By Lemma 4.1, we have  $t_n = nt_1 p_n$ .

LEMMA 4.7.  $s = \sum_n t_n j_n k$ .

*Proof.* For  $x \in H_2(G; \mathbb{Z})$ , put  $\Lambda = \{n; j_n k(x) \neq 0\}$ . Let  $M'$  be the two-sphere  $S^2$  with  $\# \Lambda$  small open disks deleted. We define a foliated  $S^1$ -bundle  $\zeta$  over  $M'$  such that the total holonomies along the boundaries are  $\partial(j_n k(x)) \in R_n \cong \mathbb{R}/\mathbb{Z}$ ,  $n \in \Lambda$ . Consider a  $\Gamma_1^\infty$ -structure  $\mathcal{H}'$  on  $S^1 \times M' \cup (\# \Lambda) D^2 \times S^1$  given as  $\mathcal{F}_\zeta \cup \bigcup_{n \in \Lambda} (\sigma_{\partial(j_n k(x))}(\mathcal{H}^{(n)}))$ . We can see that the difference between  $s(x)$  and  $\sum_n t_n j_n k(x)$  is represented by  $\mathcal{H}'$ . Since  $\mathcal{H}'$  belongs to the special type of  $\Gamma_1^\infty$ -structures that we described in remark 4.5', we see that  $\mathcal{H}'$  is  $\Gamma_1^\infty$ -cobordant to zero. This proves Lemma 4.7.

## §5. COMPLETION OF THE PROOF OF THE MAIN THEOREM

First we prove the following lemma which explains how the Godbillon–Vey invariant varies continuously.

LEMMA 5.1.  $GVt_1 = Cq_1$ , where  $C$  is a non-zero constant.

*Proof.* Let  $x \in H_2(G_1, R_1; \mathbb{Z})$  be represented by  $\psi$ . We consider Godbillon–Vey forms associated to the  $\Gamma_1^\infty$ -structure  $\mathcal{F}_\psi \cup \sigma_{\partial x}(\mathcal{H}^{(1)})$ . By a result of Mizutani–Morita–Tsuboi [14], since the holonomy of the compact leaf with nontrivial holonomy in  $\sigma_{\partial x}(\mathcal{H}^{(1)})$  is contained in a one parameter group generated by a  $C^\infty$ -vectorfield, we can take a Godbillon–Vey form for  $\mathcal{F}_\psi \cup \sigma_{\partial x}(\mathcal{H}^{(1)})$  which vanishes on  $\sigma_{\partial x}(\mathcal{H}^{(1)})$ . Then, by a result of Brooks [2, 3], recalling that  $q_1$  is computed by Milnor's algorithm (Lemma 3.1), we have  $GVt_1 = Cq_1$ .

The following lemma is an immediate consequence of Lemmas 3.1 and 5.1.

LEMMA 5.2. *Let  $x$  be an element of  $H_2(G_1, R_1; \mathbb{Z})$ . If  $GVt_1(x) = 0$ , then  $t_1(x) = 0$ .*

By Remark 4.5 and Lemma 5.2, Thurston's examples in [25] are  $C^\infty$ -foliated cobordant to zero if their Godbillon–Vey invariants are zero.

Now we are ready to prove Theorem 1.2, our main theorem.

*Proof of Theorem 1.2.* Let  $x$  be an element of  $H_2(\mathbb{G}; \mathbb{Z})$ . By Lemma 4.7, we have

$$s(x) = \sum_n t_n j_n k(x).$$

Then by Lemmas 4.6 and 4.4, we have

$$s(x) = \sum_n n t_n p_n j_n k(x) = t_1 \left( \sum_n n p_n j_n k(x) \right).$$

Now Lemma 5.2 implies that  $s(x) = 0$  provided that  $GV \circ s(x) = 0$ . Since  $GV \circ s$  is surjective onto  $\mathbb{R}$  (Brooks[2, 3]), we have proved Theorem 1.2.

Finally, we give the formula for  $gv: H_2(\mathbb{G}; \mathbb{Z}) \rightarrow \mathbb{R}$  which we gave at the end of §3.

PROPOSITION 5.3.  $gv = c \sum n^2 q_n j_n k$

*Proof.* By the above formula and Lemmas 5.1 and 3.2, we have

$$\begin{aligned} gv(x) &= GV \circ s(x) \\ &= GV t_1 \left( \sum n p_n j_n k(x) \right) \\ &= C q_1 \left( \sum n p_n j_n k(x) \right) \\ &= C \sum n^2 q_n j_n k(x). \end{aligned}$$

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