

## A Central Polynomial of Low Degree for $4 \times 4$ Matrices

VESSELIN DRENSKY\*

*Institute of Mathematics, Bulgarian Academy of Sciences, 1113 Sofia, Bulgaria*

AND

GIULIA MARIA PIACENTINI CATTANEO†

*Department of Mathematics, II University of Rome, Tor Vergata, 00133 Rome, Italy*

*Communicated by Susan Montgomery*

Received November 23, 1992

We have found a central polynomial of degree 13 for the  $4 \times 4$  matrix algebra over a field of characteristic 0. This result agrees with the conjecture that the minimal degree of such polynomials for  $n \times n$  matrices is  $(n^2 + 3n - 2)/2$ . The polynomial has been obtained by explicitly exhibiting an essentially weak polynomial identity of degree 9 for  $4 \times 4$  matrices. © 1994 Academic Press, Inc.

### INTRODUCTION

Let  $K\langle X \rangle$  be the free associative algebra over a field  $K$  of characteristic 0. An element  $f(x_1, x_2, \dots, x_n) \in K\langle X \rangle$  is called a *central polynomial* for a  $K$ -algebra  $R$  if  $f(r_1, r_2, \dots, r_n)$  lies in the center of  $R$  for all  $r_1, r_2, \dots, r_n \in R$ , and  $f$  is not a polynomial identity for  $R$ . The first central polynomials for the matrix algebras  $M_n = M_n(K)$  for any  $n$  were constructed by Formanek and Razmyslov in [3, 7] with two different methods. The construction of Formanek yields a central polynomial of degree  $n^2$ . The original Razmyslov polynomial was of higher degree but Halpin [6] showed that the method of [7] also gives rise to a central polynomial of degree  $n^2$ . For a

\* Partially supported by a grant of C.N.R. of Italy.

† Partially supported by M.U.R.S.T. and C.N.R.

long time this value was thought to be the minimal value for the degree of central polynomials for  $n \times n$  matrices, and this is in fact the case for  $n = 1$  and  $n = 2$ . But Drensky and Kasparian in [1] found a central polynomial of degree 8 for  $3 \times 3$  matrices. Formanek in [4] stated the problem to determine the minimal degree of the central polynomials for  $M_n(K)$ . In [5] he also conjectured that this minimal degree is  $(n^2 + 3n - 2)/2$ . The main result of this paper is that we determine a central polynomial for  $4 \times 4$  matrices of degree 13. We have not established that 13 is the minimal degree of the central polynomials for  $M_4(K)$ . Nevertheless, since  $13 = (n^2 + 3n - 2)/2$  for  $n = 4$ , this agrees with the conjecture. To obtain the central polynomial of degree 13 we have explicitly given the following essentially weak polynomial identity of degree 9 for  $4 \times 4$  matrices,

$$\begin{aligned} w(x_1, x_2, x_3, x_4, x_5) &= s_6(x_1^4, x_1, x_2, x_3, x_4, x_5) \\ &+ \sum_{i=2}^5 x_i s_6(x_1^2, x_1, x_2, \dots, x_i x_1, \dots, x_5) \\ &+ \sum_{2 \leq i < j \leq 5} s_6(x_1^2, x_1, x_2, \dots, x_i x_1, \dots, x_j x_1, \dots, x_5), \end{aligned}$$

where  $s_6(x_1, \dots, x_6)$  is the standard polynomial of degree 6. By the Razmyslov approach in [7] this gives rise to a central polynomial of the right degree. Initially we have found  $w(x_1, x_2, x_3, x_4, x_5)$  by computer. It turns out that it has so simple a form that we have been able to prove directly without using any computer that  $w(x_1, x_2, x_3, x_4, x_5)$  is an essentially weak polynomial identity for  $M_4(K)$ .

## 1. PRELIMINARIES

Let  $K$  be a field of characteristic zero. By  $K\langle x_1, x_2, \dots, x_m \rangle$  we mean the subalgebra of rank  $m$  of  $K\langle X \rangle$ . We shall also use other variables, e.g.,  $x, y_1, \dots, y_k$ , to denote the free generators. The aim of the paper is to find a new central polynomial for  $M_4(K)$ , the algebra of  $4 \times 4$  matrices. We refer to [4, 8] for a background on polynomial identities for matrices. We recall some basic facts.

( $\alpha$ ) Let  $K[t_1, t_2, \dots, t_{k+1}]$  be the polynomial ring in  $k + 1$  commuting variables. To any polynomial

$$g(t_1, \dots, t_{k+1}) = \sum \alpha_p t_1^{p_1} \cdots t_{k+1}^{p_{k+1}} \in K[t_1, \dots, t_{k+1}],$$

we associate the polynomial

$$\begin{aligned} \phi(g) &= \phi(g)(x, y_1, \dots, y_k) \\ &= \sum \alpha_p x^{p_1} y_1 x^{p_2} y_2 \cdots x^{p_k} y_k x^{p_{k+1}} \in K\langle x, y_1, \dots, y_k \rangle. \end{aligned}$$

Suppose we start with an element  $f(x, y_1, \dots, y_k) \in K\langle x, y_1, \dots, y_k \rangle$  which is multilinear in the  $y_i$ 's. Then  $f$  may be written as

$$\begin{aligned} f(x, y_1, \dots, y_k) &= \sum \alpha_{r_p} x^{p_1} y_{r_1} x^{p_2} y_{r_2} \cdots x^{p_k} y_{r_k} x^{p_{k+1}} \\ &= \sum \phi(g_r)(x, y_{r_1}, \dots, y_{r_k}). \end{aligned}$$

( $\beta$ ) Let  $n$  be fixed and let  $\bar{y}_1 = e_{i_1 j_1}, \bar{y}_2 = e_{i_2 j_2}, \dots, \bar{y}_k = e_{i_k j_k}$  be matrix units from  $M_n(K)$ . We relate to the set  $\{\bar{y}_1, \bar{y}_2, \dots, \bar{y}_k\}$  an oriented graph with  $n$  vertices  $1, 2, \dots, n$  and edges  $(i_1, j_1), (i_2, j_2), \dots, (i_k, j_k)$ . In order to decide whether  $\phi(g)$  is a polynomial identity or a central polynomial for  $M_n(K)$ , it is sufficient to set  $x = \bar{x} = \rho_1 e_{11} + \cdots + \rho_n e_{nn}$ , where  $\rho_1, \dots, \rho_n$  are commuting variables and  $y_1 = \bar{y}_1 = e_{i_1 j_1}, y_2 = \bar{y}_2 = e_{i_2 j_2}, \dots, y_k = \bar{y}_k = e_{i_k j_k}$  for all possible  $(i_1, j_1), (i_2, j_2), \dots, (i_k, j_k)$ . Then  $\bar{x} e_{ij} = \rho_i e_{ij}$  and  $e_{ij} \bar{x} = \rho_j e_{ij}$ , imply that

$$\phi(g)(\bar{x}, e_{i_1 j_1}, \dots, e_{i_k j_k}) = \delta g(\rho_{i_1}, \rho_{i_2}, \dots, \rho_{i_k}, \rho_{j_k}) e_{i_k j_k},$$

where  $\delta$  equals 1 or 0, depending on whether  $(i_1, j_1), (i_2, j_2), \dots, (i_k, j_k)$  is or is not a path in the graph.

**DEFINITION.** A polynomial  $f(x_1, \dots, x_n) \in K\langle X \rangle$  is called a *weak polynomial identity* for  $M_k$  if it is zero when evaluated on all elements of the Lie algebra  $sl_k$  of all traceless matrices of  $M_k$ . An *essentially weak polynomial identity* is a weak polynomial identity for  $M_k$  which is not a polynomial identity for  $M_k$ .

Keeping in mind the definition of weak identities and the previous paragraphs, we can make this last observation.

( $\gamma$ ) As in ( $\beta$ ), in order to prove that  $f(x, y_1, y_2, \dots, y_k)$  is a weak polynomial identity for  $M_k$  it is sufficient to consider  $x = \bar{x} = \rho_1 e_{11} + \dots + \rho_k e_{kk}$ , where  $\rho_1, \dots, \rho_k$  are commuting variables satisfying  $\rho_1 + \dots + \rho_k = 0$ . Let  $g_r(t_1, \dots, t_{k+1}) \in K[t_1, \dots, t_{k+1}]$  be such that  $f(x, y_1, \dots, y_k) = \sum \phi(g_r)(x, y_{r_1}, \dots, y_{r_k})$  is a polynomial identity for  $M_{k-1}$ . Then  $f(\bar{x}, \bar{y}_1, \dots, \bar{y}_k) = 0$  if  $\bar{y}_1 = e_{i_1 j_1}, \dots, \bar{y}_k = e_{i_k j_k}$  and in the related graph all the possible paths go through  $k - 1$  vertices only. Hence, if  $f(\bar{x}, \bar{y}_1, \dots, \bar{y}_k) \neq 0$  then the graph contains an oriented path  $(i_{r_1}, j_{r_1}), (i_{r_2}, j_{r_2}), \dots, (i_{r_k}, j_{r_k})$  going through all the  $k$  vertices. Up to a permutation of the indices  $1, \dots, n$ , this is one of the paths  $(1, 2), \dots, (i, i + 1), \dots, (j - 1, j), (j, i), (i, j + 1), \dots, (k - 1, k)$ , where  $i \leq j$ . Now, if  $g_r(t_1, \dots, t_i, t_{i+1}, \dots, t_j, t_i, t_{j+1}, \dots, t_k)$  is divisible by  $t_1 + t_2 + \dots + t_k$  for all  $i < j$ , this means that  $f(\bar{x}, \bar{y}_1, \dots, \bar{y}_k)$  vanishes on

$\bar{x} \in sl_k$  and  $\bar{y}_1, \dots, \bar{y}_k \in M_k$ . In particular,  $f(x, y_1, \dots, y_k)$  is a weak polynomial identity for  $M_k$ .

## 2. THE WEAK POLYNOMIAL IDENTITY

In order to obtain a central polynomial for  $4 \times 4$  matrices, we looked for essentially weak polynomial identities. In the beginning of our search we followed the method used by Drensky and Rashkova in [2]. Using a computer they found in [2] all the weak polynomial identities of degree 8 for  $M_3$ . One of these weak identities gives rise to the central polynomial of degree 8 from [1]. We sketch the way to find  $w(x_1, \dots, x_5)$  because it may be used for other computations with polynomial identities. We refer to [2] for details. We looked for a possible weak polynomial identity  $w(x_1, \dots, x_5)$  of degree 9 which is a highest weight vector of an irreducible  $GL_m(K)$ -submodule  $W$  of  $K\langle x_1, \dots, x_m \rangle$  corresponding to the partition  $(5, 1^4)$ . For each standard tableau of shape  $(5, 1^4)$  we associated a highest weight vector  $w_i(x_1, \dots, x_5)$ ,  $i = 1, \dots, d$ , where  $d = 70$  is the number of the standard  $(5, 1^4)$ -tableaux. Then the polynomial  $w(x_1, \dots, x_5)$  can be written uniquely as a linear combination  $\sum_{i=1}^d \xi_i w_i(x_1, \dots, x_5)$  with unknown coefficients  $\xi_i$ .

To this end we wrote a computer program which calculated all 70 highest weight vectors  $w_1, \dots, w_{70}$  corresponding to the given shape; we then calculated  $w_i(\bar{x}_1, \bar{x}_2, \dots, \bar{x}_5)$  for different values of  $\bar{x}_i \in sl_4$ . Since the  $4^2$  entries of the matrix  $f(\bar{x}_1, \dots, \bar{x}_5)$  are equal to 0, we obtain a homogeneous linear system in the 70 unknowns  $\xi_i$ . The number of equations equals  $4^2 \cdot (\text{number of "experiments"})$ , where by "experiment" we mean a complete set of replacements of the five variables with elements of  $sl_4$ . By making eight experiments we obtained a system which gave a nontrivial solution, which was expressed as a combination of 38 highest weight vectors; hence it was intractable. So we tried to express this solution as a consequence of the standard identity  $s_6(x_1, x_2, x_3, x_4, x_5, x_6)$ . We thus looked at consequences of degree 9 of  $s_6$  like

$$f = \sum x_1^a s_6(x_1^{d_1}, x_1^{d_2}, x_1^{b_2} x_2 x_1^{c_2}, \dots, x_1^{b_5} x_5 x_1^{c_5}), \quad (1)$$

where  $a, d_1$ , and  $d_2$  are fixed,  $d_1 > d_2 \geq 1$ , and the sum is symmetric under all permutations of the pairs  $(b_2, c_2), \dots, (b_5, c_5)$ . Since the degree of the polynomial is 9, the relation  $a + \sum_{i=2}^5 b_i + \sum_{i=2}^5 c_i + d_1 + d_2 = 9$  holds among the exponents. This allowed us to compute the 14 possible different consequences  $f_i$  of kind (1) and to check whether the solution  $w$  we had previously found could be expressed as a combination of these. This was the case, and the expression we obtained was particularly simple: we found that  $w = f_3 + f_6 + f_{14}$ , where  $f_3$  corresponded to the values  $d_1 = 4$ ,

$d_2 = 1$ , and all the rest were zero;  $f_6$  is the symmetric sum obtained from the values  $a = 1, d_1 = 2, d_2 = 1, c_2 = 1$ ; and  $f_{14}$  from the values  $d_1 = 2, d_2 = 1, c_2 = c_3 = 1$ .

The aim of this section is therefore to prove the following result.

**THEOREM 1.** *Let  $K$  be a field of characteristic equal to zero. The polynomial*

$$\begin{aligned}
 w(x_1, x_2, x_3, x_4, x_5) &= s_6(x_1^4, x_1, x_2, x_3, x_4, x_5) \\
 &+ \sum_{i=2}^5 x_i s_6(x_1^2, x_1, x_2, \dots, x_i x_1, \dots, x_5) \\
 &+ \sum_{2 \leq i < j \leq 5} s_6(x_1^2, x_1, x_2, \dots, x_i x_1, \dots, x_j x_1, \dots, x_5) \\
 &\in K(X)
 \end{aligned}$$

is an essentially weak polynomial identity for  $M_4(K)$ .

In order to prove the theorem, we need several lemmas.

**LEMMA 1.** *Let*

$$\begin{aligned}
 g(u_1, \dots, u_5; v_1, \dots, v_5) &= u_1(-v_2 + v_3 - v_4 + v_5) \\
 &+ u_2(-v_3 + v_4 - v_5 + v_1) \\
 &+ u_3(-v_4 + v_5 - v_1 + v_2) \\
 &+ u_4(-v_5 + v_1 - v_2 + v_3) \\
 &+ u_5(-v_1 + v_2 - v_3 + v_4)
 \end{aligned}$$

be a polynomial in the commuting variables  $u_i, v_i$ . Then, for any  $n$ , if we set

$$\begin{aligned}
 x_1 &= \bar{x}_1 = \rho_1 e_{11} + \dots + \rho_n e_{nn} \\
 y_h &= \bar{y}_h = e_{ih}
 \end{aligned}$$

for  $h = 2, \dots, 5$ , then

$$\begin{aligned}
 &s_6(\bar{x}_1^{d_1}, \bar{x}_1^{d_2}, \bar{y}_2, \dots, \bar{y}_5) \\
 &= \sum \text{sgn}(\sigma) g(\rho_{\sigma(i_2)}^{d_1}, \dots, \rho_{\sigma(i_5)}^{d_1}, \rho_{\sigma(j_3)}^{d_1}; \rho_{\sigma(i_2)}^{d_2}, \dots, \rho_{\sigma(i_5)}^{d_2}, \rho_{\sigma(j_3)}^{d_2}) \\
 &\qquad \qquad \qquad \cdot e_{\sigma(i_2)\sigma(j_2)} \cdots e_{\sigma(i_5)\sigma(j_5)}.
 \end{aligned}$$

*Proof.*  $s_6(x_1^{d_1}, x_1^{d_2}, y_2, y_3, y_4, y_5) = \sum_{\sigma \in S_6} (-1)^\sigma z_{\sigma(1)} z_{\sigma(2)} \cdots z_{\sigma(6)}$ , where  $z_1 = x_1^{d_1}, z_2 = x_1^{d_2}, z_3 = y_2, z_4 = y_3, z_5 = y_4, z_6 = y_5$ . Now, the values of the coefficients of this polynomial depend on the position of  $x_1^{d_1}$  and  $x_1^{d_2}$  in the single monomials  $y_{\sigma(2)} \cdots x_1^{d_1} \cdots x_1^{d_2} \cdots y_{\sigma(5)}$ . If we let  $\varepsilon$  to be the sign of

the permutation of  $S_6$  corresponding to  $y_2 \cdots x_1^{d_1} \cdots x_1^{d_2} \cdots y_5$ , we can express  $s_6$  as

$$s_6(x_1^{d_1}, x_1^{d_2}, y_2, \dots, y_5) = \sum \text{sgn}(\sigma) \{ \varepsilon(y_{\sigma(2)} \cdots x_1^{d_1} \cdots x_1^{d_2} \cdots y_{\sigma(5)}) - \varepsilon(y_{\sigma(2)} \cdots x_1^{d_2} \cdots x_1^{d_1} \cdots y_{\sigma(5)}) \},$$

where  $\sigma$  runs over all permutations of  $S_4$  acting on  $\{2, \dots, 5\}$  and where only positions of  $x_1^{d_1}$  and  $x_1^{d_2}$  which are not adjacent give a contribution. Now, each summand inside the braces corresponds (in the correspondence stated in point  $(\alpha)$  of Section 1) to the polynomial in the commutative variables  $u_i, v_i, i = 1, \dots, 5$ ,

$$g(u_1, u_2, u_3, u_4, u_5; v_1, v_2, v_3, v_4, v_5) = u_1(-v_2 + v_3 - v_4 + v_5) + u_2(-v_3 + v_4 - v_5 + v_1) + u_3(-v_4 + v_5 - v_1 + v_2) + u_4(-v_5 + v_1 - v_2 + v_3) + u_5(-v_1 + v_2 - v_3 + v_4),$$

where  $u_i = t_i^{d_1}, v_i = t_i^{d_2}$ , and the coefficient of  $u_i v_j$  of  $g$  is exactly the coefficient of the monomial  $y_{\sigma(2)} \cdots x_1^{d_1} \cdots x_1^{d_2} \cdots y_{\sigma(5)}$ , where  $x_1^{d_1}$  and  $x_1^{d_2}$  are respectively in the  $i$ th and in the  $j$ th position. If we now replace  $x_1$  by the diagonal matrix  $\bar{x}_1 = \rho_1 e_{11} + \dots + \rho_n e_{nn}$ , and the  $y_h$ 's by the matrix units  $e_{ih,ih} = \bar{y}_h$ , then the lemma follows from  $(\beta)$  of Section 1.

If we now set in  $s_6(x_1^{d_1}, x_1^{d_2}, y_2, \dots, y_5)$ ,  $y_k = x_1^{b_k} x_k x_1^{c_k}$ , for  $k = 2, \dots, 5$ , then, by  $(\beta)$  of Section 1, the following lemma is a simple consequence of Lemma 1.

LEMMA 2. *Let  $g(u_1, \dots, u_5; v_1, \dots, v_5)$  be the polynomial in commuting variables of Lemma 1. Then if we set  $x_1 = \rho_1 e_{11} + \dots + \rho_n e_{nn}$  and  $x_h = e_{ih,ih}$  for  $h = 2, \dots, 5$ , then*

$$x_1^{d_0} s_6(x_1^{d_1}, x_1^{d_2}, x_1^{b_2} x_2 x_1^{c_2}, \dots, x_1^{b_5} x_5 x_1^{c_5}) = \sum \text{sgn}(\sigma) g(\rho_{\sigma(i_2)}^{d_1}, \dots, \rho_{\sigma(i_5)}^{d_1}, \rho_{\sigma(j_2)}^{d_2}, \dots, \rho_{\sigma(j_5)}^{d_2}) \times \rho_{\sigma(i_2)}^{d_0} \rho_{\sigma(i_2)}^{b_{\sigma(i_2)}} \rho_{\sigma(i_3)}^{c_{\sigma(i_2)} + b_{\sigma(i_3)}} \rho_{\sigma(i_4)}^{c_{\sigma(i_1)} + b_{\sigma(i_4)}} \rho_{\sigma(i_5)}^{c_{\sigma(i_4)} + b_{\sigma(i_5)}} \rho_{\sigma(j_5)}^{c_{\sigma(j_5)}} \cdot e_{\sigma(i_2)\sigma(j_2)} \cdots e_{\sigma(i_5)\sigma(j_5)},$$

where  $\sigma \in S_4$  acts on  $\{2, \dots, 5\}$ .

In the notation of the previous lemmas, the following lemma then holds.

LEMMA 3.  $w(x_1, \dots, x_5) = \sum \text{sgn}(\sigma) \tilde{w}(\rho_{\sigma(i_2)}, \dots, \rho_{\sigma(i_5)}, \rho_{\sigma(j_5)}) \cdot e_{\sigma(i_2)\sigma(j_2)} \cdots e_{\sigma(i_5)\sigma(j_5)}$ , where

$$\tilde{w}(t_1, \dots, t_5) = g_4(t_1, \dots, t_5) + g_2(t_1, \dots, t_5) \cdot e_2(t_1, \dots, t_5),$$

where  $g_4 = g(t_1^4, \dots, t_5^4; t_1, \dots, t_5)$ ,  $g_2 = g(t_1^2, \dots, t_5^2; t_1, \dots, t_5)$ , and  $e_2(t_1, \dots, t_5)$  is the elementary symmetric function of second degree in five variables.

We are now ready to prove the main result of this section.

*Proof of Theorem 1.* By the Amitsur–Levitzki theorem,  $s_6(x_1, \dots, x_6)$  is a polynomial identity for  $M_3$ . In order to prove that  $w(x_1, x_2, \dots, x_5)$  is an essentially weak polynomial identity for  $M_4(K)$ , it is sufficient, by ( $\gamma$ ) of Section 1, to prove that the polynomial  $\bar{\omega}(t_1, \dots, t_i, t_{i+1}, \dots, t_j, t_i, t_{j+1}, \dots, t_4)$  is divisible by  $t_1 + t_2 + t_3 + t_4$  for all  $1 \leq i < j \leq 4$ , and that  $w(x_1, \dots, x_5)$  is not identically zero on  $M_4$ . Since  $\bar{\omega}$  is invariant with respect to cyclic permutations of the variables, it is sufficient to prove that  $t_1 + t_2 + t_3 + t_4$  divides  $\bar{\omega}(t_1, t_2, t_3, t_4, t_1)$  and  $\bar{\omega}(t_1, t_2, t_1, t_3, t_4)$  and that  $\bar{\omega}(t_1, t_2, t_3, t_4, t_1)$  is different from zero as a function of  $t_1, t_2, t_3, t_4$ . We show that whenever two arguments are the same,  $\bar{\omega}$  is divisible by  $\sum_{i=1}^4 t_i$ .

*Case I.* Computation of  $\bar{\omega}(t_1, t_2, t_3, t_4, t_1)$ . We compute separately  $g_4 = g(t_1^4, \dots, t_4^4, t_1^4; t_1, \dots, t_4, t_1)$  and  $g_2 \cdot e_2(t_1, \dots, t_4, t_1)$ , where  $g_2 = g(t_1^2, \dots, t_4^2, t_1^2; t_1, \dots, t_4, t_1)$ . In what follows  $e_2, h_i$  ( $i = 1, 2, 3$ ) are respectively the elementary symmetric function and the complete symmetric functions in the variables  $t_3$  and  $t_4$ .

Now,  $g(u_1, u_2, u_3, u_4, u_1; v_1, \dots, v_4, v_1) = u_2(-v_3 + v_4) + u_3(-v_4 + v_2) + u_4(-v_2 + v_3)$ . Hence,

$$\begin{aligned} g_4 &= t_2^4(-t_3 + t_4) + t_3^4(-t_4 + t_2) + t_4^4(-t_2 + t_3) \\ &= (t_4 - t_3)(t_2 - t_3)(t_2 - t_4)(t_2^2 + t_2h_1 + h_2), \\ g_2 &= t_2^2(-t_3 + t_4) + t_3^2(-t_4 + t_2) + t_4^2(-t_2 + t_3) \\ &= (t_4 - t_3)(t_2 - t_3)(t_2 - t_4). \end{aligned}$$

From this we obtain by easy calculations

$$\begin{aligned} \bar{\omega}(t_1, t_2, t_3, t_4, t_1) &= g_4 + g_2 \cdot e_2(t_1, t_2, t_3, t_4, t_1) \\ &= (t_4 - t_3)(t_2 - t_3)(t_2 - t_4)(t_1 + t_2 + t_3 + t_4)^2. \end{aligned}$$

This formula assures us at the same time that  $\sum_{i=1}^4 t_i$  divides  $\bar{\omega}(t_1, \dots, t_4, t_1)$  and that  $\bar{\omega}(t_1, \dots, t_4, t_1)$  is not identically zero.

*Case II.* Computation of  $\bar{\omega}(t_1, t_2, t_1, t_3, t_4)$ . Again we compute separately  $g_4 = g(t_1^4, t_2^4, t_1^4, t_3^4, t_4^4; t_1, t_2, t_1, t_3, t_4)$  and  $g_2 = g(t_1^2, t_2^2, t_1^2, t_3^2, t_4^2; t_1, t_2, t_1, t_3, t_4)$ :

$$\begin{aligned} g(u_1, u_2, u_1, u_3, u_4; v_1, v_2, v_1, v_3, v_4) &= 2u_1(v_4 - v_3) + u_2(v_3 - v_4) + u_3(2v_1 - v_2 - v_4) \\ &\quad + u_4(-2v_1 + v_2 + v_3) \\ &= (2u_1 - u_2)(v_4 - v_3) + u_3(2v_1 - v_2 - v_4) \\ &\quad + u_4(-2v_1 + v_2 + v_3) \end{aligned}$$

$$\begin{aligned}
 g_4 &= (2t_1^4 - t_2^4)(t_4 - t_3) + t_3^4(2t_1 - t_2 - t_4) + t_4^4(-2t_1 + t_2 + t_3) \\
 &= (t_4 - t_3)(2t_1^4 - 2t_1h_3 - t_2^4 + t_2h_3 + e_2h_2) \\
 &= (t_4 - t_3)[2(t_1 - t_3)(t_1 - t_4)(t_1^2 + t_1h_1 + h_2) \\
 &\quad - (t_2 - t_3)(t_2 - t_4)(t_2^2 + t_2h_1 + h_2)],
 \end{aligned}$$

$$\begin{aligned}
 g_2 &= (2t_1^2 - t_2^2)(t_4 - t_3) + t_3^2(2t_1 - t_2 - t_4) + t_4^2(-2t_1 + t_2 + t_3) \\
 &= (t_4 - t_3)[2(t_1^2 - t_1h_1 + e_2) - (t_2^2 - t_2h_1 + e_2)],
 \end{aligned}$$

$$\begin{aligned}
 \tilde{\omega}(t_1, t_2, t_1, t_3, t_4) &= g_4 + g_2 \cdot e_2(t_1, t_2, t_1, t_3, t_4) \\
 &= (t_4 - t_3)\{2(t_1 - t_3)(t_1 - t_4)(t_1^2 + t_1h_1 + h_2) \\
 &\quad - (t_2 - t_3)(t_2 - t_4)(t_2^2 + t_2h_1 + h_2) \\
 &\quad + [(2(t_1 - t_3)(t_1 - t_4) \\
 &\quad - (t_2 - t_3)(t_2 - t_4)][t_1^2 + 2t_1(t_2 + t_3 + t_4) \\
 &\quad + t_2t_3 + t_2t_4 + t_3t_4]\} \\
 &= (t_4 - t_3)(t_1 + t_2 + t_3 + t_4)[2(t_1^2 - t_1h_1 + e_2)(2t_1 + h_1) \\
 &\quad - (t_2^2 - t_2h_1 + e_2)(t_1 + t_2 + h_1)].
 \end{aligned}$$

Also in this case it is shown that  $t_1 + t_2 + t_3 + t_4$  divides  $\tilde{\omega}(t_1, t_2, t_1, t_3, t_4)$ .

The proof of the theorem is completed.

*Remark.* By  $(\gamma)$  from Section 1, in the proof of Theorem 1 we have established not only that  $w(x_1, \dots, x_5)$  is a weak polynomial identity for  $M_4$  but also that  $w(x_1, \dots, x_5)$  vanishes when replacing  $x_1$  by an element of  $sl_4$  and  $x_2, \dots, x_5$  by elements of  $M_4$ .

### 3. FROM WEAK POLYNOMIAL IDENTITIES TO CENTRAL POLYNOMIALS

Once we have at our disposal an essentially weak polynomial identity, the way to obtain a central polynomial is indicated by Razmyslov in [7], and we follow his work to show the procedure. Let  $f(x_1, x_2, \dots, x_n)$  be a multilinear polynomial identity. Then we can write  $f$  as

$$f(x_1, x_2, \dots, x_n) = \sum \alpha_{pq} p(x_2, \dots, x_n) x_1 q(x_2, \dots, x_n), \quad (2)$$

where  $p$  and  $q$  are monomials not depending on  $x_1$ .

**DEFINITION.** The polynomial

$$f^*(x_1, x_2, \dots, x_n) = \sum \alpha_{pq} q(x_2, \dots, x_n) x_1 p(x_2, \dots, x_n)$$

is called the *Razmyslov's transform* of  $f$ .

The following theorem holds.



**THEOREM 2 (Razmyslov).** *Let  $f(x_1, x_2, \dots, x_n)$  be multilinear. Then*

- (i) *If  $f$  is a polynomial identity for  $M_k$  then also  $f^*$  is a polynomial identity for  $M_k$ .*
- (ii) *If  $f$  is a weak identity of  $M_k$ , then  $f^*$  is either a weak identity of  $M_k$  or central on  $sl_k$ .*
- (iii) *If  $f$  is an essentially weak identity of  $M_k$ , then there is a central polynomial of  $M_k$ .*

The way to obtain the central polynomial guaranteed by the theorem is to start with a multilinear essentially weak polynomial identity  $f(x_1, x_2, \dots, x_n)$  for  $M_k$  such that  $f([x_1, x_{n+1}], x_2, \dots, x_n)$  is an ordinary polynomial identity for  $M_k$ . Then, if one writes  $f$  in the form (2), the polynomial  $f^* = \sum \alpha_{pq} q(x_2, \dots, x_n) x_1 p(x_2, \dots, x_n)$  is a central polynomial for  $M_k$ .

Let  $w(x_1, \dots, x_5)$  be the essentially weak polynomial identity for  $4 \times 4$  matrices that we have found in Section 2. By a complete linearization of  $w(x_1, \dots, x_5)$  we mean the multilinear component  $w'(x_1, \dots, x_9)$  of the polynomial  $w(x_1 + x_6 + \dots + x_9, x_2, \dots, x_5)$ .

**THEOREM 3.** *Let  $w'(x_1, x_2, \dots, x_9)$  be the complete linearization of  $w(x_1, \dots, x_5)$ . If we set*

$$f(x_1, x_2, \dots, x_{13}) = w'(x_1, x_2, x_3, x_4, x_5, [x_6, x_{10}], [x_7, x_{11}], [x_8, x_{12}], [x_9, x_{13}])$$

*and write it in the form*

$$\sum \alpha_{pq} p(x_2, \dots, x_{13}) x_1 q(x_2, \dots, x_{13})$$

*then the Razmyslov's transform  $f^*(x_1, \dots, x_{13})$  of  $f$  is a central polynomial for  $M_4$ .*

*Proof.* It is sufficient to show that  $f([x_1, x_{14}], \dots, x_{13})$  is an ordinary polynomial identity for  $M_4$  and  $f(x_1, x_2, \dots, x_{13})$  is not. By the remark of Section 2,  $w(x_1, \dots, x_5)$  vanishes for  $x_1 = \bar{x}_1 \in sl_4, x_h = \bar{x}_h \in M_4, h = 2, \dots, 5$ . Hence its linearization  $w'(x_1, \dots, x_9)$  vanishes for  $x_h = \bar{x}_h \in M_4, h = 2, \dots, 5, x_i = \bar{x}_i \in sl_4, i = 1, 6, \dots, 9$ . Since the commutators  $[\bar{x}_p, \bar{x}_q]$  belong to  $sl_4$  for any  $\bar{x}_p, \bar{x}_q \in M_4$ , we obtain that  $f([x_1, x_{14}], x_2, \dots, x_{13})$  is a polynomial identity for  $M_4$ .

Any diagonal matrix  $\bar{x} = \sum_{i=1}^4 \rho_i e_{ii} \in sl_4$  can be written as a commutator of two matrices, e.g.,

$$\bar{x} = [\rho_1 e_{12} + (\rho_1 + \rho_2) e_{23} + (\rho_1 + \rho_2 + \rho_3) e_{34}, e_{14} + e_{21} + e_{32} + e_{43}].$$

Hence we shall show that  $f(x_1, \dots, x_{13})$  is not a polynomial identity for  $M_4$  if we establish that

$$v(x_1, \dots, x_5) = w'(1, x_2, \dots, x_5, \underbrace{x_1, \dots, x_1}_4)$$

is not a weak polynomial identity for  $M_4$ . Clearly, up to a multiplicative constant (equal to 3!),  $v(x_1, \dots, x_5)$  is equal to the homogeneous component of  $w(1 + x_1, x_2, \dots, x_5)$  of degree 4 in  $x_1$ . We shall calculate  $v$  for  $x_1 = \bar{x}_1 = \sum_{i=1}^4 \rho_i e_{ii}$ ,  $x_2 = \bar{x}_2 = e_{12}$ ,  $x_3 = \bar{x}_3 = e_{21}$ ,  $x_4 = \bar{x}_4 = e_{13}$ ,  $x_5 = \bar{x}_5 = e_{34}$ . As in the proof of Theorem 1 it is easy to see that  $v(\bar{x}_1, \dots, \bar{x}_5)$  is equal to  $\bar{v}(\rho_1, \rho_2, \rho_3, \rho_4)e_{14}$ , where  $\bar{v}(t_1, t_2, t_3, t_4)$  is the homogeneous component of degree 4 of

$$\bar{\omega}(1 + t_1, 1 + t_2, 1 + t_1, 1 + t_3, 1 + t_4) = \sum_{i=1}^4 \frac{\partial \bar{\omega}(t_1, t_2, t_1, t_3, t_4)}{\partial t_i}$$

Using the expression of  $\bar{\omega}(t_1, t_2, t_1, t_3, t_4)$  from Case II of the proof of Theorem 1 we obtain

$$\begin{aligned} \bar{v}(t_1, \dots, t_4) &= (t_1 + t_2 + t_3 + t_4)u(t_1, \dots, t_4) \\ &\quad + (t_4 - t_3)[2(t_1^2 - t_1 h_1 + e_2)(2t_1 + h_1) \\ &\quad - (t_2^2 - t_2 h_1 + e_2)(t_1 + t_2 + h_1)] \end{aligned}$$

for some polynomial  $u(t_1, \dots, t_4)$ . Hence  $t_1 + t_2 + t_3 + t_4$  does not divide  $\bar{v}(t_1, \dots, t_4)$  and  $v(\bar{x}_1, \dots, \bar{x}_5) \neq 0$  for suitably choosen  $\rho_1, \rho_2, \rho_3$  and  $\rho_4 = -(\rho_1 + \rho_2 + \rho_3)$ . This completes the proof of the theorem.

#### REFERENCES

1. V. DRENSKY AND A. K. KASPARIAN, A new central polynomial for the  $3 \times 3$  matrices, *Comm. Algebra* **13** (1985), 745–752.
2. V. DRENSKY AND T. G. RASHKOVA, Weak polynomial identities for the matrix algebras, preprint.
3. E. FORMANEK, Central polynomials for matrix rings, *J. Algebra* **23** (1972), 129–132.
4. E. FORMANEK, The polynomial identities of matrices, *Contemp. Math.* **13** (1982), 41–79.
5. E. FORMANEK, "The Polynomial Identities and Invariants of  $n \times n$  Matrices," published for the Conference Board of Math. Sci., Washington, DC, CBMS Regional Conference Series in Math., Vol. 78, *Amer. Math. Soc.*, Providence, RI, (1991).
6. P. HALPIN, Central and weak identities for matrices, *Comm. Algebra* **11** (1983), 2237–2248.
7. JU. P. RAZMYSLOV, On a problem of Kaplansky, *Izv. Akad. Nauk SSSR Ser Mat.* **37** (1973), 483–501 [Russian]; *Math. USSR-Izv.* **7** (1973), 479–496.
8. L. H. ROWEN, "Polynomial Identities in Ring Theory," Academic Press, New York, 1979.