

## A Genomewide Scan for Attention-Deficit/Hyperactivity Disorder in an Extended Sample: Suggestive Linkage on 17p11

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Attention-deficit/hyperactivity disorder (ADHD [MIM 143465]) is a common, highly heritable neurobehavioral disorder of childhood onset, characterized by hyperactivity, impulsivity, and/or inattention. As part of an ongoing study of the genetic etiology of ADHD, we have performed a genomewide linkage scan in 204 nuclear families comprising 853 individuals and 270 affected sibling pairs (ASPs). Previously, we reported genomewide linkage analysis of a “first wave” of these families composed of 126 ASPs. A follow-up investigation of one region on 16p yielded significant linkage in an extended sample. The current study extends the original sample of 126 ASPs to 270 ASPs and provides linkage analyses of the entire sample, using polymorphic microsatellite markers that define an ~10-cM map across the genome. Maximum LOD score (MLS) analysis identified suggestive linkage for 17p11 (MLS = 2.98) and four nominal regions with MLS values >1.0, including 5p13, 6q14, 11q25, and 20q13. These data, taken together with the fine mapping on 16p13, suggest two regions as highly likely to harbor risk genes for ADHD: 16p13 and 17p11. Interestingly, both regions, as well as 5p13, have been highlighted in genomewide scans for autism.

### Introduction

Attention-deficit/hyperactivity disorder (ADHD [MIM 143465]) is a pervasive neurobehavioral disorder affecting ~5% of children and adolescents and ~3% of adults (Wolraich et al. 1996; Goldman et al. 1998; Swanson et al. 1998; Scahill and Schwab-Stone. 2000). A growing body of epidemiological and clinical data indicate globally similar prevalence rates across diverse geographic and cultural settings, including studies in Brazil, Germany, New Zealand/Australia, Turkey, the United Kingdom, and the United States (Anderson et al. 1987; Baumgaertel et al. 1995; Wolraich et al. 1996; Gomez et al. 1999; Rohde et al. 1999; Tahir et al. 2000; Wilens et al. 2002). ADHD is more frequently diagnosed in boys, with male:female ratios between 3:1 and 4:1 (Cantwell 1996; Swanson et al. 1998). ADHD is defined by the presence of six or more symptoms of inattention and/or hyperactivity-impulsivity that lead to significant impairment in at least two settings and have their onset

by age 7 years (American Psychiatric Association 1994). Under criteria of the Diagnostic and Statistical Manual of Mental Disorders, 4th edition (DSM-IV) (American Psychiatric Association 1994), three subtypes are recognized: inattentive (I), hyperactive-impulsive (HI), and combined (C), reflecting the presence of six or more symptoms on dimensions of inattention, hyperactivity-impulsivity, or both, respectively. Comorbidity is common in ADHD, with oppositional disorders, mood disorders, anxiety, and learning disabilities being the most prevalent conditions, likely reflecting the complexity of the biological etiology (August and Garfinkel 1989; Pelham et al. 1992; Biederman et al. 1996; Wolraich et al. 1998; Brown et al. 2001; Wilens et al. 2002).

ADHD has a significant genetic component. Data from clinical studies consistently support the familial nature of ADHD (Biederman et al. 1992; Faraone and Biederman 1994). Twin studies of categorically defined ADHD and/or continuous rating scales of hyperactivity, impulsivity, and inattention lead to estimates of heritability of ~60%–90% (Levy et al. 1997; Smalley 1997; Faraone and Doyle 2001) and reported sibling relative-risk ratios ( $\lambda_s$ ) of 4.0–8.0 (Smalley et al. 1997; Faraone et al. 2000). In addition, adoption studies demonstrate an increased frequency of ADHD diagnoses in biological relatives of probands (Morrison and Stewart 1973; Cantwell 1975; van den Oord et al. 1994), consistent with genetic underpinnings. Although segregation anal-

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**Table 1****ASP Families Included in Genomewide Scan**

	Wave 1 <sup>a</sup>	Wave 2	Combined <sup>b</sup>
No. of families with:			
Two affected sibs	96	86	180
Three affected sibs	6	12	18
Four affected sibs	<u>2</u>	<u>3</u>	<u>6</u>
Total	104	101	204
Total independent ASPs <sup>c</sup>	114	119	234
Total ASPs <sup>d</sup>	126	140 <sup>e</sup>	270

<sup>a</sup> Subset of families reported elsewhere (Fisher et al. 2002).

<sup>b</sup> The number of possible ASPs in the combined set reflects the sum of possible pairs in wave 1 and wave 2, plus an additional ASP created from the addition of 2 affected siblings from a wave 1 family that were collected after wave 1 was completed. The combined set includes this ASP, while wave 2 does not, so that the families in wave 1 and wave 2 are completely independent. Thus, in the combined data set there is 1 additional independent ASP and 4 additional total ASPs over and above the sum of wave 1 and wave 2.

<sup>c</sup> A family with  $n$  sibs contributes  $n-1$  independent pairs.

<sup>d</sup> A family with  $n$  sibs contributes  $n(n-1)/2$  possible pairs.

<sup>e</sup> Of these 140 ASPs, 107 were included in a previous analysis of chromosome 16p (Smalley et al. 2002).

ysis (Deutsch et al. 1990; Faraone et al. 1992) suggested a possible major-gene effect, there are indications of multiple genes, with minor-to-moderate effect sizes, from molecular studies of candidate genes (Faraone et al. 2001) and genomewide exclusion mapping (Fisher et al. 2002).

Molecular genetic studies have primarily focused on candidate genes involved in catecholamine processes, synaptic transmission, and serotonin pathways. The selection of candidate genes has been driven by biological observations, such as the treatment efficacy of stimulants, and by the notion that the primary behavioral and cognitive deficits in ADHD are related to functions associated with the frontal-cortex and frontal-striatal networks (Barkley 1997; Yamasake et al. 2002). However, the results from >50 candidate gene studies are equivocal, and the effect sizes of purported susceptibility alleles are small (Comings et al. 1995; Cook et al. 1995; Lahoste et al. 1996; Gill et al. 1997; Smalley et al. 1998; Daly et al. 1999; Palmer et al. 1999; Comings et al. 2000; McCracken et al. 2000; Barr et al. 2001; Curran et al. 2001; Payton et al. 2001). Although polymorphisms in the dopamine D4 receptor gene (DRD4) and/or the dopamine transporter protein (DAT-1) have shown association in independent studies (Cook et al. 1995; Lahoste et al. 1996; Gill et al. 1997; Smalley et al. 1998; Daly et al. 1999; Faraone et al. 2001; Mill et al. 2001), the estimated effect sizes are small (genotype relative risks of ~1.5 or less), and other studies have failed to replicate the observed associations, despite adequate power (Palmer et al. 1999; Holmes et al. 2000).

The alternative and complementary strategy of ge-

nomewide linkage analysis enables the detection of susceptibility loci without any a priori knowledge regarding the specific genes involved in the disease state. We have employed an affected-sibling-pair (ASP) strategy, with parental genotyping, and have reported elsewhere the results of a genomewide scan in a set of 126 ASPs (Fisher et al. 2002). Linkage analysis yielded multipoint maximum LOD scores (MLSs)  $\geq 1.0$  in 12 genomic regions, including 16p13. In a follow-up investigation of 277 ASPs, using a dense set of microsatellite and SNP markers on 16p, fine-mapping yielded significant linkage (MLS 4.22; Smalley et al. 2002). Here, we present the results of a genomewide linkage analysis (~10-cM density) in an extended cohort of 270 ASPs. In addition to the linkage we previously detected on 16p13, we find suggestive linkage for a region on 17p11 (near marker D17S1857; MLS 2.98) and nominal support (MLS  $\geq 1.0$ ) for four genomic regions >1.0 on 5p13, 6q14, 11q25, and 20q13.

## Subjects and Methods

### *Ascertainment and Diagnostic Procedures*

Families that included at least two children with ADHD were recruited from multiple sources, including previous research studies of ADHD, clinics, hospitals, and schools in the greater Los Angeles area (Smalley et al. 2000). Families were evaluated at the University of California, Los Angeles (UCLA), and both consent and assent forms (approved by the UCLA institutional review board) were signed during the initial visit. Assessment of psychiatric disorders was performed using semistructured interviews, the Schedule for Affective Disorders and Schizophrenia for School-Age Children—Present and Lifetime version (KSADS-PL) (Kaufman et al. 1997) for subjects 5–17 years of age and the Schedule for Affective Disorders and Schizophrenia—Lifetime Version, modified for the study of anxiety disorder (Fyer et al. 1995), with the Disruptive Disorders section of the KSADS-PL for subjects aged  $\geq 18$  years. Interviews were conducted by clinical psychologists and highly trained interviewers and were administered to the mother as well as the child if the child was  $\geq 8$  years of age. In addition, the Swanson, Nolan, and Pelham, version IV (SNAP-IV) (parent and teacher versions; Swanson 1995), the Child Behavior Checklist, and the Teacher's Report Form (Achenbach 1993) were utilized to supplement information obtained in the direct interviews. Diagnosis was determined using a best-estimate procedure, with senior psychiatrists (J.J.M. and J.T.M.) reviewing all positive diagnoses. The mean weighted  $\kappa$  for diagnoses was 0.84 (SD = 0.14), including values for ADHD (1.0), oppositional defiant disorder (0.93), and conduct disorder (1.0).

ADHD was diagnosed according to DSM-IV criteria and was defined as “definite” when all criteria were met or as “probable” when the subject met only five criteria, instead of the six required for definite diagnosis, but was accompanied by significant impairment. In the present study, we have qualitatively assigned all individuals with definite and probable diagnoses as affected, similar to the “broad” definition used in the first genomewide scan (Fisher et al. 2002). Families were excluded from analysis if an affected child met criteria for autism or schizophrenia. Full-scale IQ was measured using the Wechsler Intelligence Scale for Children, 3rd edition (Wechsler 1991), and academic achievement was determined using the Peabody Individual Achievement Test–Revised (Markwardt 1989). Affected children were excluded from analysis if their full-scale IQ was <70. A thorough description of the cohort and all associated procedures has been reported elsewhere (Smalley et al. 2000).

### Demographic and Clinical Characteristics

The total sample includes 204 families, comprising 270 possible ASPs and 234 independent pairs (table 1). The initial set of 104 families, termed “wave 1,” included 126 ASPs (Fisher et al. 2002). We extended the sample with an additional set of 101 families, termed “wave 2” and comprising 140 ASPs. Together, the wave 1 and wave 2 families constitute the “combined” set. Family 580, a four-sibling family, contributed a single ASP to both wave 1 and wave 2, because of the logistics of data collection. The cohort is 72% male and 80% white, with the average age of affected children being 11.1 years (SD = 3.6) and the average full-scale IQ being 105.9 (SD = 14.2). The majority of the sample met “definite” diagnostic criteria (95%), with 24 affected siblings classified as “probable” (5%). All ASP families had at least one member with a definite diagnosis. As shown in table 2, the distribution of subtypes and comorbid conditions in the sample is similar to that expected on the basis of clinical and epidemiological studies of ADHD.

The extended sample of 277 ASPs reported elsewhere (Smalley et al. 2002) for fine-mapping on 16p overlaps with the current data set by 237 ASPs. The lack of overlap of 73 ASPs in the fine-mapping sample and the current sample was due to logistic issues stemming from the timing of the genotyping efforts at the two independent laboratories: the 10-cM markers (Wellcome Trust Centre for Human Genetics) and fine-mapping markers on 16p (UCLA). Specifically, 40 ASPs unique to the 16p fine-mapping sample were not genotyped for the 10-cM grid, and 33 ASPs included in the 10-cM genotyping were not genotyped for the fine-mapping analyses.

**Table 2**

### Demographics and Clinical Characteristics of 438 Affected Siblings

Characteristic	No. of Affected Siblings (%)
Sex:	
Male	315 (72)
Female	123 (28)
Ethnicity:	
White	351 (80)
Latino	20 (5)
Asian	11 (3)
Other <sup>a</sup>	56 (12)
Socioeconomic status <sup>b</sup> :	
I	97 (22)
II	153 (35)
III	135 (31)
IV	46 (10)
V	7 (2)
ADHD diagnosis:	
Definite	414 (95)
Probable	24 (5)
ADHD subtype:	
Combined <sup>c</sup>	211 (48)
Inattentive <sup>d</sup>	196 (45)
Hyperactive-impulsive <sup>e</sup>	31 (7)
Comorbidity:	
Oppositional defiant disorder	239 (45)
Conduct disorder	64 (12)
Mood <sup>f</sup>	97 (18)
Anxiety <sup>g</sup>	45 (9)

<sup>a</sup> Includes subjects whose parents are of different ethnicities.

<sup>b</sup> According to Hollingshead (1957).

<sup>c</sup> Subjects exceed symptom thresholds in both inattentive and hyperactive-impulsive domains.

<sup>d</sup> Subjects with inattentive ADHD exceed symptom thresholds in the inattentive domain but not in the hyperactive-impulsive domain.

<sup>e</sup> Subjects with hyperactive-impulsive ADHD exceed symptom thresholds in the hyperactive-impulsive domain, but not in the inattentive domain.

<sup>f</sup> Includes major depression, dysthymia, and/or bipolar disorder.

<sup>g</sup> Includes two or more of the following: panic disorder, social or simple phobia, obsessive-compulsive disorder, and/or agoraphobia.

### Genotyping and Laboratory Procedures

Affected siblings and their parents were genotyped with 423 polymorphic microsatellite markers, spanning the entire human genome. Both parents were genotyped in 188 (92%) of the 204 families, and a single parent was genotyped in the remaining 16 families. The majority of autosomal markers represent the ABI Prism Linkage Mapping Set (LMS) 2.5 panels, supplemented with additional markers to compensate for large intervals and/or poorly amplifying markers. X-chromosome markers were taken from the LMS 2.5 panels and from the Cooperative Human Linkage Center (CHLC)/Weber

Human Screening Set version 6 (Research Genetics). Sex-averaged marker maps were determined from CHLC, Généthon (Dib et al. 1996), Marshfield, and maps generated from recombination fractions within the sample, using both Genehunter version 2.1 (Kruglyak et al. 1996) and ASPEX version 2.3. The average intermarker interval was 9.2 cM. Fine-mapping data for 16p13, from the previously published study (Smalley et al. 2002), were combined with the present data set to present the most complete analysis possible. The incorporated data set consists of 10 additional markers spanning 20 cM on 16p13, described in detail elsewhere (Smalley et al. 2002).

PCR was performed in 9- $\mu$ l volumes in 96-well plates. Each reaction contained ~24 ng of genomic DNA, 0.2 mM MgCl<sub>2</sub>, 0.2 mM dNTPs, and 0.25 U of AmpliTaq gold DNA polymerase (ABI). Standard thermocycling protocols were performed in 96-well MJ Research tetrad thermocyclers. For a minority of DNA samples with low yields, primer extension preamplification (PEP) was performed as described elsewhere (Zhang et al. 1992). PCR products were run on ABI 3700 capillary-action sequencers, and trace files were analyzed in Genotyper version 3.7. Random subsets of trace files were independently analyzed and reviewed by both M.N.O. and I.L.M., to ensure accurate and consistent allele designation. GAS version 2.0 (A. Young; Genotype Linkage Analysis—GAS Web site) was used to eliminate violations of Mendelian inheritance and to assign global allele designations. Haplotypes were generated in Genehunter version 2.1 and all double recombinants, as well as any chromosome with an excessive number of crossovers, were reviewed extensively. LINKAGE format files were generated with the use of MAKEPED and RECODE version 1.4.

#### ASP Linkage Analysis

MLS analysis (Risch 1990) was performed under a multiplicative model, utilizing the restrictions of the “possible triangle” (Holmans 1993). Such ASP likelihood-ratio methods are based on the comparison of the observed maximum-likelihood estimates of allele-sharing with allele-sharing proportions derived under the null hypothesis. Autosomal single-point MLSs were generated in Genehunter version 2.1, using Mapmaker/SIBS options (Kruglyak and Lander 1995). X-chromosome single-point MLS values were generated in Mapmaker/SIBS. To facilitate combined analysis of the entire data set, wave 2 alleles were globally binned to ensure that allele designations were consistent throughout both waves. All allele frequencies were derived from founders and random individuals in RECODE version 1.4 (Dan Weeks). Multipoint MLS analysis was performed using ASPEX 2.3 sib\_ibd, with incremental estimates set to

~1-cM intervals. Values for locus-specific sibling relative-risk ratios ( $\lambda_s$ ) were calculated from the  $Z_0$  parameter, assuming a recombination fraction of 0 ( $\lambda_s = 0.25/Z_0$ ; Risch, 1990). Simulations to determine the empirical significance of MLS values attained in the current study were performed using Simulate (Terwilliger et al. 1993). One thousand replicates of the entire autosomal genome were generated under the null hypothesis of no linkage, using actual marker parameters (e.g., allele number and frequency and recombination fraction) and genotype recovery frequencies. All replicates were analyzed in ASPEX 2.3, and the resultant MLS values were analyzed at various thresholds to determine empirical  $P$  values. Exclusion mapping was performed in ASPEX sib\_ibd under defined  $\lambda_s$  values, assuming no dominance variance. Any region yielding a LOD score  $\leq -2.00$  was considered excluded. Exclusion mapping compares the likelihood of the genotype data under the assumption of specified values of  $\lambda_s$  with the likelihood under the null hypothesis of no linkage.

## Results

### Multipoint MLS Linkage Analysis

Multipoint MLS analysis of the combined data set yielded six genomic regions with values  $>1.0$  (table 3). These constitute the six most promising regions for susceptibility loci within our sample: 5p13, 6q14, 11q25, 16p13, 17p11, and 20q13. Regions 16p13 (MLS = 3.73) and 17p11 (MLS = 2.98) yielded the strongest evidence for linkage in the present sample. To indicate relative contributions to the combined MLS values, separate analyses of wave 1, wave 2, and the combined data sets are presented (fig. 1). Region 17p11 is the only one that yields nominal evidence (MLS  $>0.74$ ; Nyholt 2000) of linkage in the multipoint analysis of both wave 1 (MLS = 0.79) and wave 2 (MLS = 2.33) data sets. The sibling relative-risk ratios estimated from the  $Z_0$  parameter are as follows: 5p13,  $\lambda_s = 1.3$ ; 6q14,  $\lambda_s = 1.4$ ; 11q25,  $\lambda_s = 1.3$ ; 16p13,  $\lambda_s = 1.5$ ; 17p11,  $\lambda_s = 1.5$ ; and 20q13,  $\lambda_s = 1.2$ .

### Single-Point MLS Linkage Analysis

Single-point MLS analysis in the combined set supports the multipoint MLS findings for four of the regions highlighted (table 4). Specifically, single-point MLS values are  $>1.0$  for markers on 5p13 (D5S418), 6p12-6q14 (D6S257 and D6S460), 16p13 (D16S3047, rs153783, rs127293, and D16S3060) and 17p11 (D17S799 and D17S1857). In addition, 11q13 (D11S978) and 15q26 (D15S127) both yielded single-point MLS values  $>1.0$ , but the multipoint MLS values were  $<1.0$ . The most striking single-point MLS was on chromosome 5 at marker D5S418 (MLS 3.09). D5S418 is the only marker

**Table 3****Regions Yielding Multipoint LOD Scores >1 in MLS Analyses of ASPs with ADHD**

CHROMOSOME	NEAREST MARKER	LOCATION		MLS					
		Cytogenetic <sup>a</sup>	Genetic (cM) <sup>b</sup>	Wave 1 <sup>c</sup>	<i>P</i> <sup>d</sup>	Wave 2	<i>P</i> <sup>d</sup>	Combined	<i>P</i> <sup>d</sup>
5	D5S418	5p13	59	.82	.02599	.61	.04686	1.77	.00215
6	D6S460	6q14	79	.19	.17479	2.42	.00042	1.75	.00226
11	D11S1320	11q25	133	.85	.02394	.34	.10541	1.27	.00779
16	D16S3060	16p13	18	3.13	.00007	.50	.06458	3.73	.00002
17	D17S1857	17p11	46	.79	.02824	2.33	.00053	2.98	.00011
20	D20S196	20q13	90	.01	.41504	1.68	.00271	1.19	.00962

<sup>a</sup> The most likely cytogenetic location of marker, according to draft genome sequence data.

<sup>b</sup> Distance from the most p-terminal genome-scan marker of the chromosome.

<sup>c</sup> Subset of families previously reported. The current wave 1 MLS values differ slightly from those published elsewhere (Fisher et al. 2002), because of additional markers (e.g., 16p13) and the use of ASPEX rather than Genehunter as the analysis program.

<sup>d</sup> LOD scores were converted into nominal *P* values by multiplying by  $2\log_{10}$  and determining significance from  $\chi^2$  tables, taking into account the one-sided nature of the linkage test, as described elsewhere (Lander and Kruglyak 1995).

in the present study that yielded single-point MLS values >1.0 in both wave 1 (MLS 2.089) and wave 2 (MLS 1.158) data sets.

#### Exclusion Mapping

Exclusion mapping under a  $\lambda_s$  of 1.5 excluded 56.3% of the genome, indicating that the current study lacks sufficient power to effectively exclude loci with minor-effect size from approximately half of the genome. Exclusion mapping under a  $\lambda_s$  of 2.0 excluded 94.3% of the genome, indicating that it is unlikely that any loci with a moderate-effect size reside outside 10 discrete genomic regions: 5p13, 6p21-6q14, 8p23, 8q21, 11q25, 15q26, 16p13, 16q21, 17p11, and 20q13. Under a  $\lambda_s$  of 2.5, 99.8% of the genome was excluded, with only four intervals yielding LOD scores >-2.00: 5p13, 6p21-6q14, 16p13, and 17p11.

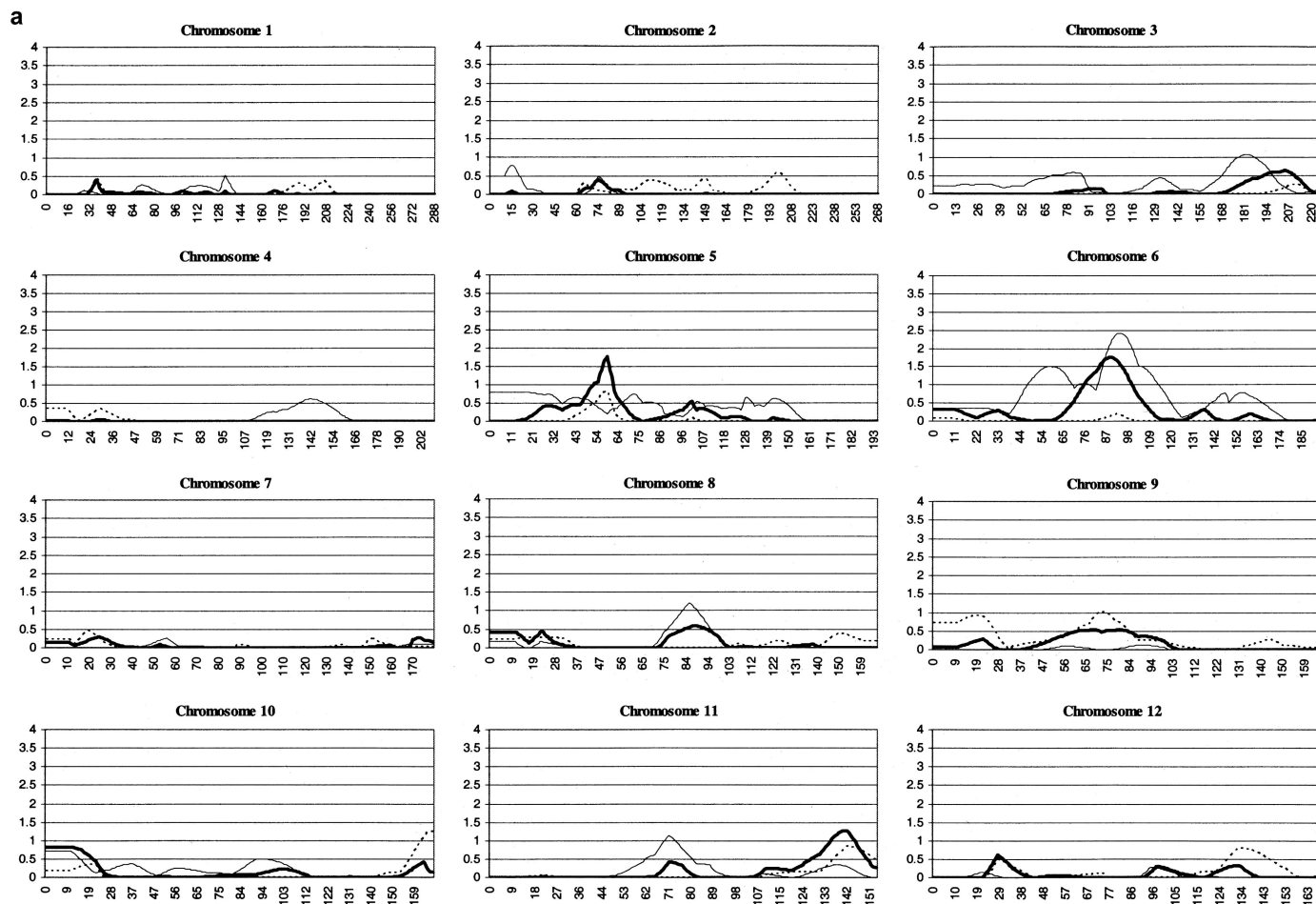
#### Discussion

A genomewide linkage scan in 270 ASPs represents the largest linkage study in ADHD to date. Previously, our group reported linkage analysis in an initial set of 126 ASPs (104 families) and identified 12 genomic regions yielding multipoint MLS values above a defined nominal threshold of 1.0 (Fisher et al. 2002). We extended the sample by 144 ASPs (101 families) and found multipoint MLS values >1.0 in six regions, of which three show support (MLS  $\geq$ 0.5) in both wave 1 and wave 2 families. We previously reported a significant linkage of the 16p13 region (MLS 4.22) (Smalley et al. 2002), and we observe a slight difference (MLS 3.73) in the present study, because of the incomplete overlap between the two sets of ASPs.

The current analysis shows that the second strongest

region for linkage is on 17p11, where suggestive evidence (MLS 2.98) is seen in the combined sample and where support is present in both wave 1 and wave 2 families. An additional region on chromosome 5p13 shows support in wave 1 and wave 2, with a combined multipoint MLS of 1.77, falling short of suggestive linkage. The other three regions, 6q14, 11q25, and 20q13, show support in only one of the two waves of families. When a nominal LOD threshold of 1.0 is used, the present sample of 204 families has >90% power to detect susceptibility loci with  $\lambda_s$  of 1.6 (Risch 1990; Weeks and Lathrop 1995).

The three regions of strongest linkage in the present study, 5p13, 16p13, and 17p11, overlap those found in studies of autism (MIM 209850 and MIM 607373). Although ADHD and autism are clinically distinct disorders, the symptoms overlap (Smalley et al. 2000). As discussed elsewhere (Smalley et al. 2002), the region of 16p13 has been highlighted in three independent genomewide scans (Philippe et al. 1999; International Molecular Genetic Study of Autism Consortium [IMGSAC] 2001; Liu et al. 2001). Interestingly, the 1-LOD support interval of the new region on 17p11 overlaps the IMGSAC study (MLS 2.34) (2001), and a QTL analysis of language-related endophenotypes in the Liu et al. 2001 data set yields additional support for linkage to autism ( $Z > 3.0$ ; D. Geschwind and M. Alarcon, personal communication). Lastly, the 5p13 region highlighted in the current scan yielded the most significant evidence of linkage (MLS 2.55) in the Liu et al. (2001) genomewide scan and yielded nominal evidence (non-parametric linkage score of 1.65;  $P = .05$ ) in a second independent linkage study in autism (Buxbaum et al. 2001). The convergence of these three linkage peaks (16p13, 17p11, and 5p13) in ADHD and autism, as

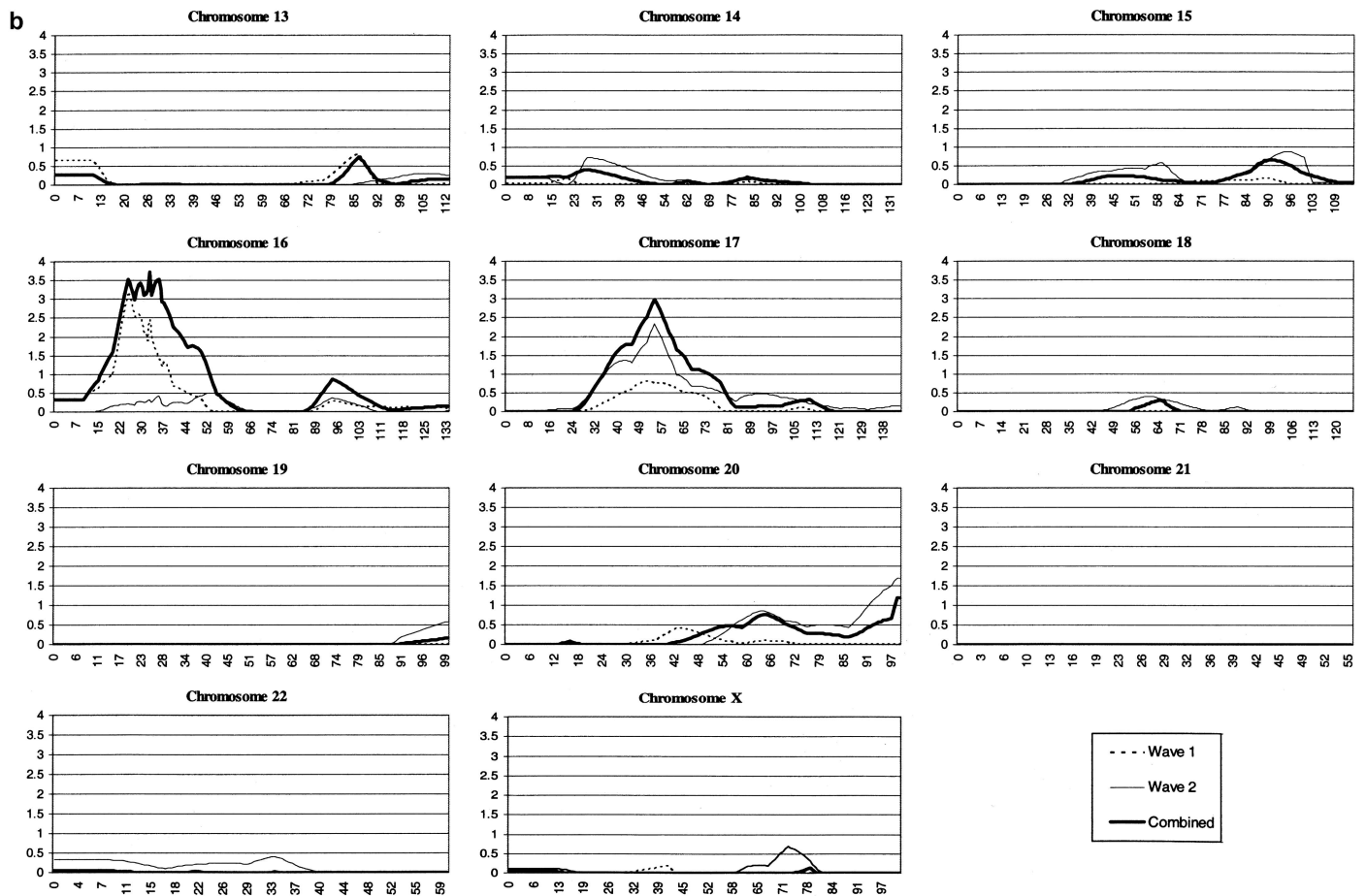


well as the overlap for two other regions (7p and 15q) with autism in an independent ASP linkage study in ADHD (Bakker et al. 2003), suggests further research on the overlap is strongly warranted.

The candidate region on 17p is broad, with a 1-LOD support interval spanning 25 cM and covering 17p11-17q11. The serotonin transporter gene (5HTT [MIM 182138]) resides on 17q11 beneath the 1-LOD support described in the present analysis (fig. 1), ~28 Mb from the p-telomere and 8 cM from D17S1857 (Marshfield Center for Medical Genetics; UCSC Genome Bioinformatics). The product of 5HTT is a sodium-calcium-dependent transporter that clears serotonin from the synaptic cleft into presynaptic neurons and is believed to be the site of action of antidepressants and amphetamines. Notably, three independent studies have dem-

onstrated association of an insertion-deletion polymorphism in the promoter of 5HTT with ADHD (Manor et al. 2001; Seeger et al. 2001; Kent et al. 2002).

Region 5p13 yielded the third highest multipoint peak in the combined data set (MLS 1.77) and is the only genomic interval yielding nominal evidence of linkage in both the present study and the Bakker et al. (2003) linkage scan in ADHD (MLS 1.43). Marker D5S418 yielded a single-point MLS of 3.09 in the combined data set, the highest single-point MLS in the present study, and is the only marker yielding single-point MLS values >1.0 in both the wave 1 (MLS 2.089) and wave 2 (MLS 1.158) data sets. Interestingly, glial cell line-derived neurotrophic factor (GDNF), a gene necessary for the development of the sympathetic, parasympathetic, and enteric ganglia, is located in this region. GDNF is a



**Figure 1** MLS values for individual chromosomes. The Y-axis indicates the MLS values and the X-axis indicates the positions in cM. The dotted line indicates the wave 1 set of families, the thin line represents the wave 2 set, and the thick line represents the combined set. All graphs begin at 0 cM, which was designated as 10 cM distal to the most p-terminal marker. The current wave 1 MLS values differ slightly from those reported elsewhere (Fisher et al. 2002), because of the presence of additional markers (e.g., 16p13) and the use of ASPEX, rather than Genehunter, for the analysis.

protein that is crucial to the development of the peripheral autonomic nervous system (Pattyn et al. 1999), and it promotes survival, differentiation, and dopamine reuptake in dopaminergic neurons (Schaar et al. 1993; Beck et al. 1995).

The multipoint linkage peak on chromosome 6 (MLS 1.75), the fourth-highest peak in the combined analysis, contains a 30-cM 1-LOD support interval spanning 6p21-6q14. Although the combined linkage peak is centered at 6q14, wave 2 single-point MLS values indicate excess sharing across a 50-cM region spanning 6p22 (D6S422; MLS 1.14) to 6q14 (D6S460; MLS 0.64). Molecular genetic studies into reading disability (RD [MIM 600202]), or dyslexia, have converged on a putative susceptibility locus on 6p21, within the 1-LOD support interval presented here. A significant proportion of individuals affected with ADHD also have learning disabilities (Brown et al. 2001), and evidence in-

dicates high rates of comorbidity with RD (Gilger et al. 1992; Semrud-Clikeman et al. 1992; Willcutt and Pennington. 2000). Cardon et al. (1994) first reported the QTL contributing to RD on 6p21, and genetic studies in three independent RD samples have produced evidence of linkage to the same region (Fisher et al. 1999; Gayan et al. 1999; Grigorenko et al. 2000). In addition, this region on 6p21 has recently been implicated as a susceptibility locus for ADHD (Willcutt et al. 2002) in a study of ADHD within sib pairs identified for RD. It has also been suggested, by another independent linkage study in RD (Petryshen et al. 2001), that a distinct susceptibility locus for RD may exist on 6q12.

The 6q region highlighted by the combined analysis contains two serotonin receptors. Serotonin receptor 1B is located 85 cM from the p-telomere, directly under the combined peak. Serotonin receptor 1E is located ~95 cM from the p-telomere, at the distal edge of the

**Table 4**  
**Markers Yielding Single-Point LOD Scores >1 in MLS Analyses of ASPs with ADHD**

CHROMOSOME AND MARKER	LOCATION		HETEROZYGOSITY <sup>c</sup> (%)	MLS					
	Genetic (cM) <sup>a</sup>	Cytogenetic <sup>b</sup>		Wave 1	<i>P</i> <sup>d</sup>	Wave 2	<i>P</i> <sup>d</sup>	Combined	<i>P</i> <sup>d</sup>
5:									
D5S418	61	5p13	84	2.089	.00094	1.158	.01046	3.091	.00008
6:									
D6S257	69	6p12	73	.617	.04587	1.581	.00349	1.837	.00181
D6S460	79	6q14	81	.267	.13357	.638	.04327	1.067	.01333
11:									
D11S987	64	11q13	88	.137	.21336	1.057	.01369	1.172	.01009
15:									
D15S127	76	15q26	81	.444	.07634	1.176	.00998	1.287	.00745
16:									
D16S3114	13	16p13	79	1.942	.00139	.043	.32778	1.738	.00233
D16S3047	13	16p13	73	1.741	.00232	.257	.13831	1.501	.00427
rs153783	18 <sup>e</sup>	16p13	36	.645	.04231	1.409	.00543	2.436	.00040
rs127293	18 <sup>e</sup>	16p13	47	1.014	.01536	.253	.14016	1.555	.00373
D16S3060	18	16p13	81	1.268	.00784	.053	.31297	1.421	.00526
17:									
D17S799	33	17p12	76	.625	.04493	.477	.06917	1.061	.01355
D17S1857	45	17p11	71	.441	.07708	1.247	.00771	1.326	.00637

NOTE.—Table includes only markers yielding an MLS >1 in the combined data set.

<sup>a</sup> Distance from the most p-terminal genome-scan marker of the chromosome.

<sup>b</sup> The most likely cytogenetic location of marker, according to draft genome sequence data (Ensembl and UCSC).

<sup>c</sup> Heterozygosity of each marker, as estimated from the entire study sample.

<sup>d</sup> LODs were converted into nominal *P* values by multiplying by 2log<sub>10</sub> and then determining significance from  $\chi^2$  tables, taking into account the one-sided nature of the linkage test, as described elsewhere (Lander and Kruglyak 1995).

<sup>e</sup> SNP distances are based on physical distances (UCSC Genome Bioinformatics and Celera).

1-LOD support interval. The human leukocyte antigen (HLA) region resides on 6p21 and is just distal to the wave 2 1-LOD support interval presented here. Association of ADHD and two genes in the HLA has been reported; Warren et al. (1995) found association with the null allele of the C4B gene, and Odell et al. (1997) reported significant association with both the null allele of the C4B gene and the  $\beta$ 1 allele of the dopamine receptor gene.

Bakker et al. (2003) present a genomewide scan in a large independent ADHD sib pair cohort. Notably, their analyses also revealed nominal evidence for linkage on 5p13 (MLS 1.42); however, the results of MLS analysis in the two studies showed little concordance for other regions. The discordance between linkage findings in the two studies may reflect variation between the clinical samples in the two studies, the polygenic nature of ADHD, and/or stochastic fluctuations. There are notable differences in the clinical samples. The sample reported by Bakker et al. (2003) consists of fewer individuals with the inattentive subtype of ADHD (12.6%) than our sample (45%) ( $P < .0001$ ), and the Bakker et al. (2003) broad definition of ADHD includes individuals with clear-cut autism spectrum disorder (33%). In contrast, all autistic phenotypes are excluded in our sample. Whether these clinical differences account for

the lack of repeatability of linkage findings across samples requires additional investigation. The polygenic nature of ADHD suggests that replication of true linkages will likely require substantially larger samples (Suarez 1994) than those of Bakker et al. (2003) or of the present study. A direct comparison of results from the two studies is complicated by the variability in fine-mapping data available in each (~5-cM resolution on 7p13, 9q33, 10cen, and 15q15 in the sample reported by Bakker et al. [2003], and ~1-cm resolution on 16p13 in our sample). Linkage signals will vary substantially on the basis of marker density, as is evident when our own analysis of the 16p13, using only the 10-cM marker set (MLS .92), is compared with the dense, ~1-cM marker set (MLS 3.73); the variation is due, in part, to increased information content and inclusion of SNP data. We are in the process of pooling data across the two samples, to evaluate the 10-cM dense marker set within a single large sample and to fine-map a common set of regions on the basis of these analyses. Lastly, the discordance across studies may be due to stochastic variation. Some positive linkage signals within each study are likely to be false-positive results, and further evaluation of specific regions within a joint analysis of the two samples, as well as independent samples, will likely uncover true signals within the highlighted regions. Notably, the re-



gion on 5p, which is highlighted in both scans, may ultimately prove to be a true linkage signal, despite the finding that the MLS values found for this region in each study are  $<2.0$ . Further support for the possibility that some of the current peaks are true linkages stems from simulations (Wiltshire et al. 2003). Simulations performed within the unique parameters of our data set demonstrate that the observed number ( $n = 6$ ) of independent regions of linkage at the nominal pointwise  $P$  value  $\leq .01$  (MLS  $>1.18$ ) is greater than the empirical expectation ( $n = 2.8$ ). The empirical  $P$  value of obtaining an MLS of 2.98 (17p) is  $P = .03$ , and that of obtaining an MLS of 3.73 (16p) is  $P = .01$ , indicating that both are significant. Overall, the variability of findings across the two available genomewide scans in ADHD reflect the need for larger ASP cohorts and population samples, providing both power to detect loci of minor effect and coverage of the genetic heterogeneity present in ADHD.

Exclusion mapping at  $\lambda_s$  of 1.5 indicates that the current study has sufficient power to exclude loci of minor effect size from only 56.3% of the genome. Several proposed susceptibility genes in ADHD (e.g., DAT-1 and DRD4) have estimated effect sizes (genotype relative risks) that would correspond to  $\lambda_s$ ,  $<1.5$  (Waldman et al. 1998; Faraone et al. 2001) and would likely not be detected in the current sample, using an identity-by-descent sharing methodology. Furthermore, at a  $\lambda_s$  of 1.5, two of the nine regions highlighted by Bakker et al. (2003) fall within excluded regions, but under a  $\lambda_s$  of 1.4, we cannot exclude any of the nine regions identified by Bakker et al. (2003). Finally, exclusion mapping was performed under an assumption of no dominance variance and should not be overinterpreted beyond the constraints of the model.

Although the present study indicates that there is unlikely to be a major-gene effect in ADHD, we have identified four relatively strong candidate regions on the basis of linkage findings in the present data set, as well as reports from other investigations of ADHD (5p13), comorbid RD (6p21-6q14), and autism (5p13, 16p13, and 17p11). Replication of the present findings is needed in larger samples, and fine-mapping is required to identify allelic variants contributing to susceptibility to ADHD. The data also highlight possible pleiotropic effects of putative loci underlying ADHD, autism, and RD. Until the specific risk loci are identified, it is impossible to determine whether the overlap in linkage peaks reflects pleiotropy or distinct loci in close proximity to one another. However, the emerging pattern of substantial overlap of regions for these three disorders is certainly intriguing. Efforts focused on identifying genetic variants that contribute to common phenotypic presentations across distinct clinical conditions (e.g., RD and ADHD) may provide a powerful approach to

gene detection. Furthermore, a neurobiological model that provides a unifying mechanism of ADHD, autism, and RD, such as variations in cerebral asymmetry (Geschwind and Miller 2001; Rinehart et al. 2002), may prove useful in ranking potential candidate genes within chromosome locations suggested by linkage studies.

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## Electronic-Database Information

URLs for data presented herein are as follows:

ASPEX Linkage Analysis Package, <ftp://lahmed.stanford.edu/pub/aspex/index.html>  
 Celera, <http://www.celera.com/>  
 Center for Medical Genetics, Marshfield Medical Research Foundation <http://research.marshfieldclinic.org/genetics/>  
 Cooperative Human Linkage Centre, <http://gai.nci.nih.gov/CHLC/>  
 Ensembl, <http://www.ensembl.org/> (for cytogenetic markers)  
 Génethon, <http://ftp.genethon.fr/php/index.php>  
 Genotype Linkage Analysis-GAS, <http://hpcio.cit.nih.gov/lserver/GAS.html>  
 Kruglyak Laboratory, <http://www.fhcrc.org/labs/kruglyak/Downloads/> (for Genehunter)  
 Mapmaker/SIBS, <http://www-genome.wi.mit.edu/ftp/distribution/software/sibs/>  
 Online Mendelian Inheritance in Man (OMIM), <http://www.ncbi.nlm.nih.gov/Omim/> (for ADHD, autistic disorder, and RD)  
 Research Genetics, <ftp://ftp.resgen.com/pub/mappairs/humanset/>  
 Statgen Software, <http://watson.hgen.pitt.edu/register> (for RECODE)  
 UCSC Genome Bioinformatics, <http://genome.cse.ucsc.edu/>

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