

Distance-Regular Digraphs of Girth 4

H. ENOMOTO

*Department of Information Science,
University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo, 113 Japan*

AND

R. A. MENA*

*Department of Mathematics,
University of Wyoming, Laramie, Wyoming 82071*

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It is shown that all possible parameters for distance-regular digraphs of girth 4 consist of two one-parameter families. The smallest non-trivial example in one family is constructed while in the other family it is shown not to exist. © 1987 Academic Press, Inc.

I. INTRODUCTION

In recent years there has been considerable work done on distance-regular graphs—to cite a few examples cf. [2-4, 9, 10]. The non-symmetric version, distance-regular digraphs, introduced by Lam in [8], was worked on in [1, 7]. But, as is pointed out in [2, 7], examples were not abundant. Here we show that for girth 4, parameters are indeed not common, nevertheless we do build a new example on 64 vertices.

We will use the definitions of Damerell in [7]. Namely, $\mathcal{D} = (V, E)$ is a digraph simply means V is a (finite) set of vertices and E , the edges, is a subset of $V \times V$. We use $\alpha \rightarrow \beta$ to denote $(\alpha, \beta) \in E$. The adjacency matrix of \mathcal{D} is the square $(0, 1)$ -matrix A with rows and columns indexed by V so that its (α, β) -entry is 1 exactly when $\alpha \rightarrow \beta$. By a path from α to β we mean a sequence $\alpha = \alpha_0 \rightarrow \alpha_1 \rightarrow \cdots \rightarrow \alpha_t = \beta$, and t is the length of the path. The distance from α to β , $d(\alpha, \beta)$, is the smallest length of a path from α to β ,

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and the diameter of \mathcal{D} is the largest distance between two vertices in \mathcal{D} . Throughout we assume \mathcal{D} is connected in the sense that for any α, β , there is a path from α to β . We will let d denote the diameter of \mathcal{D} , and for $i = 0, \dots, d$, let $\Gamma_i(\alpha)$ denote the set of points β at distance i from α , $\Gamma_i(\alpha) = \{\beta \mid d(\alpha, \beta) = i\}$. For notation and definitions concerning association schemes, see [2].

With an arbitrary (loopless) digraph $\mathcal{D} = (V, E)$ of diameter d we can associate a collection of digraphs for $i = 0, \dots, d$, where $\alpha \rightarrow \beta$ in the i th digraph if $d(\alpha, \beta) = i$ in \mathcal{D} . Let A_0, A_1, \dots, A_d be the adjacency matrices of the respective digraphs, then the following are clear:

- (1) A_1 is the adjacency matrix of \mathcal{D} ,
- (2) $A_0 = I$,
- (3) $A_0 + A_1 + \dots + A_d = J$, the matrix of all ones,
- (4) $A_i \neq 0$ for $i = 0, \dots, d$,
- (5) for any i , $A_1^i + A_1^{i-1} + \dots + A_1 + I$ has the same zero pattern (nonzero entries) as $A_i + A_{i-1} + \dots + A_1 + I$.

If we let $\mathcal{A} = [I, A_1, \dots, A_d]$ denote the span of I, A_1, \dots, A_d , then also clear is the fact that a matrix X belongs to \mathcal{A} if and only if for any vertices $\alpha, \beta \in V$, the (α, β) -entry of X depends only on $d(\alpha, \beta)$. Now, \mathcal{A} is a vector space closed under Hadamard products, but we also have from Damerell [7],

THEOREM. *\mathcal{A} is a commutative association scheme (i.e., closed under products and transposes) if and only if $A_i A_1^T \in \mathcal{A}$ for any $i = 0, \dots, d$.*

An equivalent (and more customary) condition to the latter half of the theorem is that for any $i = 0, \dots, d$, $|\Gamma_i(\alpha) \cap \Gamma_1(\beta)|$ is the same for all vertices α, β such that $d(\alpha, \beta) = j$.

EXAMPLE. Let \mathcal{D} have adjacency matrix $A_1 = \begin{pmatrix} 0 & x \\ x & 0 \end{pmatrix}$, where

$$X = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{pmatrix},$$

then $A_2 = \begin{pmatrix} J & -I \\ 0 & -I \end{pmatrix}$, $A_3 = \begin{pmatrix} J & -X \\ 0 & -X \end{pmatrix}$ and \mathcal{A} is closed under products, but not transposes.

We say, following Lam [8], that \mathcal{D} is *distance-regular* if the theorem above is satisfied. If $A_1 = A_1^T$, then \mathcal{D} is a *distance-regular graph*.

From now on, we will assume $A_1 \neq A_1^T$ and that \mathcal{D} is distance-regular. It follows then that $A_1 * A_1^T = 0$. We will let g denote the girth of \mathcal{D} (shortest length of a cycle in \mathcal{D}), and note $g \geq 3$.

EXAMPLE. Let \mathcal{D} be the directed cycle on n vertices, so $A_1 = C_n$, the basic circulant, and for all i , $A_i = C^i$; here $d = n - 1$, $g = n$.

The following facts are from [7]:

- (1) if $1 \leq d(\alpha, \beta) < g$, then $d(\beta, \alpha) = g - d(\alpha, \beta)$;
- (2) $d = g$ or $d = g - 1$;
- (3) if \mathcal{D} satisfies $d = g - 1$, and A_1 is its adjacency matrix, then $A_1 \otimes J_m$ for any $m \geq 2$ is the adjacency matrix of a distance-regular digraph with $d = g$, and conversely, any such digraph satisfying $d = g$ comes about this way from one with $d = g - 1$.

From now on we assume $d = g - 1$.

$$(4) \quad A_i^T = A_{d+1-i} \text{ for } i = 1, \dots, d.$$

If $g = 3$, then it is well-known that

$$H = \begin{pmatrix} 1 & 1 & \dots & 1 \\ -1 & & & \\ \vdots & & I + A_1 - A_1^T & \\ -1 & & & \end{pmatrix}$$

is a skew-Hadamard, and conversely from any skew-Hadamard, an A_1 can so be obtained. Thus, $g = 4$ (and $d = 3$) is the smallest case.

II. PARAMETRIC CONSIDERATIONS

Let \mathcal{D} be a distance-regular digraph with $g = 4$ and $d = 3$. To simplify notation we will write the adjacency matrices as $A = A_1$, $B = B^T = A_2$, $A^T = A_3$, so the Bose-Mesner algebra $\mathcal{A} = [I, A, B, A^T]$. Since \mathcal{A} is four-dimensional, and generated by A , A has four distinct eigenvalues, and since A is normal but not symmetric, two of its eigenvalues are non-real, another one is k , the line sums of A , and hence the fourth one is also real. Writing down the eigenmatrix P for \mathcal{A} we get that P is of the form

$$P = \begin{pmatrix} 1 & k & l & k \\ 1 & \lambda_1 & \lambda_3 & \bar{\lambda}_1 \\ 1 & \lambda_2 & \lambda_4 & \lambda_2 \\ 1 & \bar{\lambda}_1 & \lambda_3 & \lambda_1 \end{pmatrix},$$

where k and l are the respective line sums of A and B .

Another natural matrix in our discussions will be the (first) intersection matrix $S = (s_{ij})$, where $AA_i = \sum_{j=0}^3 s_{ij}A_j$ for $i = 0, 1, 2, 3$. By girth and other basic considerations we get that

$$S = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & a & b & 0 \\ 0 & x & y & z \\ k & p & q & p \end{pmatrix},$$

where all entries are nonnegative, b and z are positive.

So $A^2 = aA + bB$, hence $\lambda_1^2 = a\lambda_1 + b\lambda^3$. Let $\lambda_1 = \alpha + \beta i$, $\beta \neq 0$. Then $\lambda_1^2 = (\alpha^2 - \beta^2) + 2\alpha\beta i$, so $2\alpha\beta = a\beta$, hence $\alpha = a/2$. Since $\text{tr } A = 0$, $\lambda_2 < 0$. Also $\alpha^2 - \beta^2 = a\alpha + b\lambda_3$, so $\lambda_3 = -(1/b)((a^2/4) + \beta^2)$. But $1 + \lambda_1 + \lambda_3 + \overline{\lambda_1} = 0$ (since $I + A + B + A^T = J$), thus

$$\lambda_3 = -(a + 1).$$

Solving for β^2 we get

$$\beta^2 = \frac{4(a + 1)b - a^2}{4}.$$

We also have $\lambda_2^2 = a\lambda_2 + b\lambda_4$, and $1 + \lambda_2 + \lambda_4 + \lambda_2 = 0$, hence eliminating λ_4 , we have

$$\lambda_2^2 + (2b - a)\lambda_2 + b = 0.$$

We see then that λ_2 has to be an integer, and that it divides b . Let $\lambda_2 = -\lambda$ where λ is a positive integer, and let $b = \lambda\mu$ where μ is also a positive integer. Since $-\lambda, -\mu$ are the roots of the quadratic, $\lambda + \mu = 2b - a = 2\lambda\mu - a$ hence

$$\begin{aligned} b &= \lambda\mu, \\ a &= 2\lambda\mu - \lambda - \mu. \end{aligned}$$

From which we get

$$\begin{aligned} \lambda_1 &= \lambda\mu - \left(\frac{\lambda + \mu}{2}\right) + \sqrt{\lambda^2\mu^2 - \left(\frac{\lambda - \mu}{2}\right)^2} \ i, \\ \lambda_2 &= -\lambda, \\ \lambda_3 &= -(2\lambda\mu - \lambda - \mu + 1) = -(a + 1), \\ \lambda_4 &= 2\lambda - 1. \end{aligned}$$

By considering the third row of S , one obtains $AB = xA + yB + zA^T$, hence

$$\lambda_1 \lambda_3 = x\lambda_1 + y\lambda_3 + z\bar{\lambda}_1$$

and

$$\lambda_2 \lambda_4 = x\lambda_2 + y\lambda_4 + z\lambda_2.$$

Solving these equations we have

$$x = (\lambda - 1)(2\lambda\mu - \lambda - \mu + 1) = (\lambda - 1)(a + 1),$$

$$y = \lambda(2\lambda\mu - \lambda - \mu) = \lambda a,$$

$$z = \lambda(2\lambda\mu - \lambda - \mu + 1) = \lambda(a + 1).$$

Using the first row of P and the second and third rows of S , one has

$$k^2 = ak + bl,$$

and

$$kl = xk + yl + zk.$$

Eliminating l , one obtains a quadratic on k ,

$$k^2 - ((2\lambda^2\mu - \lambda^2 + \lambda\mu - \mu) - \lambda)k + (-\lambda)(2\lambda^2\mu - \lambda^2 + \lambda\mu - \mu) = 0,$$

with roots $2\lambda^2\mu - \lambda^2 + \lambda\mu - \mu$, and $-\lambda$. So

$$k = 2\lambda^2\mu - \lambda^2 + \lambda\mu - \mu,$$

and then

$$l = \frac{k(a+1)}{\mu} = 4\lambda^3\mu - 4\lambda^3 + 2\lambda^2 + 2\lambda - 3\lambda\mu + \mu - 1 + \frac{\lambda^2 - \lambda^2}{\mu}.$$

Finally, one computes

$$p = a,$$

$$q = \mu(\lambda - 1).$$

Already we can prove

LEMMA 1. *Let $\lambda = 1$. Then $\mu = 1$ and $A = C_4$.*

Proof. Suppose $\lambda = 1$. Then $A^2 = (\mu - 1)A + \mu B$. Consider $X = \Gamma_1(\alpha)$. Then the subdigraph X is $(\mu - 1)$ -regular on $2\mu - 1$ vertices, and the girth

of X is at least 4. This means that X is a (regular) tournament. However, a strongly connected tournament has girth 3. Hence $\mu = 1$ and $\mathcal{D} = C_4$.

Observe that the symmetrization of the scheme, $[I, A + A^T, B]$, may exist in some of these cases, e.g., if $\mu = 2$, B is the Petersen graph while if $\mu = 3$, $A + A^T$ is the Clebsch graph.

So far we have not considered the coeigenmatrix Q of the scheme, in particular we have not considered the fact that the multiplicities are integral and that A, B and A^T have trace 0. Let $1, m_1, m_2, m_1$ be the respective multiplicities of $1, \lambda_1, \lambda_2, \overline{\lambda_1}$ in A . Then

$$1 + 2m_1 + m_2 = n = 1 + 2k + l$$

and

$$l + 2m_1\lambda_3 + m_2\lambda_4 = 0$$

since B has trace 0. Eliminating m_2 ,

$$\delta m_1 = 2k\lambda - k + l\lambda, \tag{2.1}$$

where $\delta = \lambda_4 - \lambda_3 = a + 2\lambda = 2\lambda\mu + \lambda - \mu$. This δ is the standard factor, the difference of the two eigenvalues, that occurs when studying strongly regular graphs. But we also have

$$\delta(\lambda + 1) = k + (2\lambda^2 + \lambda)$$

and

$$\delta(2\lambda^2 + \lambda - 1) = l + \left(6\lambda^3 - \lambda^2 - 3\lambda + 1 - \frac{\lambda^3 - \lambda^2}{\mu}\right).$$

Substituting in (2.1), we obtain

$$m_1 = 2\lambda^3 + 3\lambda^2 - 1 - \frac{1}{\delta} \left(6\lambda^4 + 3\lambda^3 - 3\lambda^2 - \frac{\lambda^4 - \lambda^3}{\mu}\right). \tag{2.2}$$

Thus,

$$6\lambda^4 + 3\lambda^3 - 3\lambda^2 - \frac{\lambda^4 - \lambda^3}{\mu} \equiv 0 \pmod{\delta}. \tag{2.3}$$

Let $\lambda = d\gamma, \mu = dv$ where γ and v are relatively prime. Then $\delta = d(2d\gamma v + \gamma - v)$ and (2.3) becomes

$$6d^4\gamma^4 + 3d^3\gamma^3 - 3d^2\gamma^2 - \frac{d^4\gamma^4 - d^3\gamma^3}{dv} \equiv 0 \pmod{d(2d\gamma v + \gamma - v)}. \tag{2.4}$$

Since we have that $\mu \mid \lambda^3 - \lambda^2$, we can cancel d , and since γ, v and $2d\gamma v + \gamma - v$ are pairwise relatively prime, (2.4) can be reduced to

$$6d^3\gamma^2v + 3d^2\gamma v - 3dv - d^2\gamma^2 + d\gamma \equiv 0 \pmod{2d\gamma v + \gamma - v},$$

which can be further reduced to

$$2d(2d\gamma + 1) \equiv 0 \pmod{2d\gamma v + \gamma - v}.$$

Multiplying by v and reducing again, we obtain

$$2d(2v - \gamma) \equiv 0 \pmod{2d\gamma v + \gamma - v}.$$

If $2v = \gamma$, then $v = 1, \gamma = 2$. If $2v > \gamma$, $4dv - 2d\gamma \geq 2d\gamma v + \gamma - v$, so $\gamma \leq 2$; and finally $2v < \gamma$ is impossible. These together with the condition $\mu \mid \lambda^3 - \lambda^2$ and the lemma, lead easily to

$$\lambda = \mu \quad \text{or} \quad \lambda = 2\mu.$$

III. THE FAMILY $\lambda = \mu$

Substituting in the formulas of the previous section, one gets

$$k = 2\mu^3 - \mu = \mu(2\mu^2 - 1),$$

$$l = 4\mu^4 - 4\mu^3 + 2\mu - 1 = (2\mu^2 - 1)(2\mu^2 - 2\mu + 1),$$

so

$$n = 1 + 2k + l = 4\mu^4.$$

Then

$$P = \begin{pmatrix} 1 & \mu(2\mu^2 - 1) & (2\mu^2 - 1)(2\mu^2 - 2\mu + 1) & \mu(2\mu^2 - 1) \\ 1 & \mu^2 - \mu + \mu^2i & -(2\mu^2 - 2\mu + 1) & \mu^2 - \mu - \mu^2i \\ 1 & -\mu & 2\mu - 1 & -\mu \\ 1 & \mu^2 - \mu - \mu^2i & -(2\mu^2 - 2\mu + 1) & \mu^2 - \mu + \mu^2i \end{pmatrix}$$

and $Q = \bar{P}$. In particular, $m_1 = k$ and $m_2 = l$. The intersection matrix is given in

$$S = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 2\mu(\mu - 1) & \mu^2 & 0 \\ 0 & (\mu - 1)(2\mu^2 - 2\mu + 1) & 2(\mu - 1)\mu^2 & \mu(2\mu^2 - 2\mu + 1) \\ \mu(2\mu^2 - 1) & 2\mu(\mu - 1) & \mu(\mu - 1) & 2\mu(\mu - 1) \end{pmatrix}.$$

Let $E_0, E_1, E_2, E_3 = \overline{E_1} = E_1^T$ be the principal idempotents of \mathcal{A} . Then

$$E_1 * E_1 = \frac{\mu-1}{2\mu^3} E_1 + \frac{1}{4\mu^2} E_2,$$

$$E_1 * E_2 = \frac{2\mu^3 - 4\mu^2 + 3\mu - 1}{4\mu^4} E_1 + \frac{\mu-1}{2\mu^2} E_2 + \frac{2\mu^2 - 2\mu + 1}{4\mu^4} E_3,$$

$$E_1 * E_3 = \frac{2\mu^2 - 1}{4\mu^3} E_0 + \frac{\mu-1}{2\mu^3} E_1 + \frac{\mu-1}{4\mu^3} E_2 + \frac{\mu-1}{2\mu^3} E_3.$$

So the scheme would always be Q -polynomial. Moreover, the Krein conditions are satisfied for all μ .

EXAMPLE. If $\mu = 1$, then \mathcal{D} is the 4-cycle.

EXAMPLE. If $\mu = 2$, then $n = 64$, $k = 14$, $l = 35$. Since $Q = \overline{P}$, it is possible to require that the strongly regular graph $A + A^T$ have a regular abelian group of automorphisms. By techniques developed in [5, 6], using $G = Z_4 \times Z_4 \times Z_4$ (where Z_4 is the integers mod 4), one finds that if we let $T \subset G$ be

$$T = \{100, 003, 003, 033, 023, 210, 233, 333, 323, 312, 302, 331, 101, 330\},$$

and let $A = \sum_{g \in T} A_g$, where A_g denotes the 64×64 permutation matrix induced by g in the regular representation of G , then A is the desired digraph. Note that $A + A^T$ is a $(64, 28, 12)$ -design, or equivalently, $I + B - A - A^T$ is a regular Hadamard matrix.

IV. THE FAMILY $\lambda = 2\mu$

Similar substitutions yield

$$k = 8\mu^3 - 2\mu^2 - \mu = \mu(2\mu - 1)(4\mu + 1),$$

$$l = 32\mu^4 - 32\mu^3 + 10\mu^2 + \mu - 1 = (2\mu - 1)(4\mu + 1)(4\mu^2 - 3\mu + 1),$$

$$n = 32\mu^4 - 16\mu^3 + 6\mu^2 - \mu = \mu(4\mu - 1)(8\mu^2 - 2\mu + 1),$$

$$m_1 = (2\mu - 1)(8\mu^2 - 2\mu + 1),$$

$$m_2 = (2\mu - 1)(4\mu - 1)(4\mu^2 - 3\mu + 1),$$

$$\lambda_1 = \frac{1}{2}(4\mu^2 - 3\mu + \mu \sqrt{16\mu^2 - 1}i),$$

$$\lambda_2 = -2\mu,$$

$$\lambda_3 = -(4\mu^2 - 3\mu + 1),$$

$$\lambda_4 = 4\mu - 1.$$

The intersection matrix is

$$S = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & \mu(4\mu - 3) & 2\mu^2 & 0 \\ 0 & (2\mu - 1)(4\mu^2 - 3\mu + 1) & 2\mu^2(4\mu - 3) & 2\mu(4\mu^2 - 3\mu + 1) \\ k & \mu(4\mu - 3) & \mu(2\mu - 1) & \mu(4\mu - 3) \end{pmatrix}.$$

Although the computations are more tiresome in this case, one can verify that these schemes are never Q -polynomial, and also that the Krein conditions are satisfied for all μ .

The smallest digraph in this family has $n = 21, k = 5, l = 10$. As a matter of fact A would be the incidence matrix of a plane of order 4, but, unfortunately, one can prove

THEOREM 2. *The digraph in the family $\lambda = 2\mu$ with $\mu = 1$ does not exist.*

Proof. As noted above, A is $21 \times 21, A^2 = A + 2B$ and $AA^T = A^T A = 4I + J$. By permutational similarity, we can put A in symmetric block decomposition (of type $1 + 5 + 5 + 5 + 5$) in the form

$$A = \begin{pmatrix} 0 & 1 \cdots 1 & 0 \cdots 0 & 0 \cdots 0 & 0 \cdots 0 \\ 0 & & & & \\ \vdots & A_{11} & A_{12} & A_{13} & A_{14} \\ 0 & & & & \\ 1 & & & & \\ \vdots & A_{21} & A_{22} & A_{23} & A_{24} \\ 1 & & & & \\ 0 & & & & \\ \vdots & A_{31} & A_{32} & A_{33} & A_{34} \\ 0 & & & & \\ 0 & & & & \\ \vdots & A_{41} & A_{42} & A_{43} & A_{44} \\ 0 & & & & \end{pmatrix}.$$

One easily gets $A_{12} = 0$ since $A^2 * A^T = 0$. Similarly, A_{11} is a permutation, and since $A_{11} * I = A_{11} * A_{11}^T = 0, A_{11}$ is a 5-cycle. Without loss, $A_{11} = C_5$ which we will abbreviate to C . One can also assume $A_{13} = I + C^4, A_{14} = I + C^2$. Moreover, A_{21} is also a permutation and since $A_{12} = 0$, we can permute so $A_{21} = I$. From the $(2, 2)$ -position of A^2 , we have $(I + C^4)A_{31} + (I + C^2)A_{41} = C + C^2 + 2C^3$, and A_{31}, A_{41} have row sums one.

These conditions force $A_{31} = C^3$, $A_{41} = C$. Proceeding with the (3, 2)-positions of A^2 and AA^T we get

$$A_{22} + A_{23}C^3 + A_{24}C = C^2 + C^3 + C^4, \quad (4.1)$$

$$A_{23} + A_{23}C + A_{24} + A_{24}C^3 = I + C + C^2 + C^3. \quad (4.2)$$

Multiplying (4.1) by C^2 on the right and subtracting from (4.2), we obtain

$$A_{23}C + A_{24} - A_{22}C^2 = C^2 + C^3 - C^4. \quad (4.3)$$

But observing that A_{22} , A_{23} and A_{24} all have columns sums 1, we have

$$\begin{aligned} A_{22} &= C^2, \\ A_{23}C + A_{24} &= C^2 + C^3. \end{aligned} \quad (4.4)$$

Substituting in (4.2) we also have

$$A_{23}C + A_{24}C^4 = C + C^2, \quad (4.5)$$

and subtracting (4.5) from (4.4),

$$A_{24} - A_{24}C^4 = C^3 - C.$$

By column sums again, $A_{24} = C^3$ and $A_{24}C^4 = C$ are forced, which is nonsense.

On this scanty evidence, one may venture to conjecture that none of the distance-regular digraphs in the family $\lambda = 2\mu$ exist.

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