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Research Article **Phasor Representation for Narrowband Active Noise Control Systems**

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The phasor representation is introduced to identify the characteristic of the active noise control (ANC) systems. The conventional representation, transfer function, cannot explain the fact that the performance will be degraded at some frequency for the narrowband ANC systems. This paper uses the relationship of signal phasors to illustrate geometrically the operation and the behavior of two-tap adaptive filters. In addition, the best signal basis is therefore suggested to achieve a better performance from the viewpoint of phasor synthesis. Simulation results show that the well-selected signal basis not only achieves a better convergence performance but also speeds up the convergence for narrowband ANC systems.

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1. INTRODUCTION

The problems of acoustic noise have received much attention during the past several decades. Traditionally, acoustic noise control uses passive techniques such as enclosures, barriers, and silencers to attenuate the undesired noise [1, 2]. These passive techniques are highly valued for their high attenuation over a broad range of frequency. However, they are relatively large in volume, expensive at cost, and ineffective at low frequencies. It has been shown that the active noise control (ANC) system [3–14] can efficiently achieve a good performance for attenuating lowfrequency noise as compared to passive methods. Based on the principle of superposition, ANC system can cancel the primary (undesired) noise by generating an antinoise of equal amplitude and opposite phase.

The design concept of acoustic ANC system utilizing a microphone and of a loudspeaker to generate a canceling sound was first proposed by Leug [3]. Since the characteristics of noise source and environment are nonstationary, an ANC system should be designed adaptively to cope with these variations. A duct-type noise cancellation system based on adaptive filter theory was developed by Burgess [4] and Warnaka et al. [5]. The most commonly used adaptive approach

for ANC system is the transversal filter using the least mean square (LMS) algorithm [6]. In addition, the feedforward control architecture [6–8] is usually applied to ANC systems for practical implementations. In the feedforward system, a reference microphone, which is located upstream from the secondary source, detects the incident noise waves and supplies the controller with an input signal. Alternatively, a transducer is suggested to sense the frequency of primary noise, if to place the reference microphone is difficult. The controller sends a signal, which is in antiphase with the disturbance, to the secondary source (i.e., loudspeaker) for canceling the primary noise. In addition, an error microphone-located downstream picks up the residual and supplies the controller with an error signal. The controller must accommodate itself to the variation of environment.

The single-frequency adaptive notch filter, which uses two adaptive weights and a 90◦ phase shift unit, was developed by Widrow and Stearns [9] for interference cancellation. Subsequently, Ziegler [10] first applied this technique to ANC systems and patented it. In addition, Kuo et al. [11] proposed a simplified single-frequency ANC system with delayed-X LMS (DXLMS) algorithm to improve the performance for the fixed-point implementation. In addition, the fact that convergence performance depends on the normalized frequency is pointed. Generally, a periodic noise contains tones at the fundamental frequency and at several harmonic frequencies of the primary noise. This type of noise can be attenuated by a filter with multiple notches [12]. If the undesired primary noise contains *M* sinusoids, then *M* two-weight adaptive filters can be connected in parallel. This parallel configuration extended to multiplefrequency ANC has also been illustrated in [6]. In practical applications, this multiple narrowband ANC controller/filter has been applied to electronic mufflers on automobiles in which the primary noise components are harmonics of the basic firing rate. Furthermore, the convergence analysis of the parallel multiple-frequency ANC system has been proposed in [12]. It is found by Kuo et al. [12] that the convergence of this direct-form ANC system is dependent on the frequency separation between two adjacent sinusoids in the reference signal. In addition, the subband scheme and phase compensation have been combined with notch filter in the recent researches [13–15].

Using the representation of transfer function [6–13], the steady state of weight vector for the ANC systems can be determined and the convergence speed can be analyzed by eigenvalue spread. However, it can not explain the fact that the performance will be degraded at some frequencies. Based on the concepts of phasor representation [16], this paper discusses the selection of reference signals in narrowband ANC systems to illustrate the effect of phase compensation in delayed-X LMS approach [11]. The different selections of signal phasor to the reference signal are considered to describe the operation of narrowband ANC systems. In addition, this paper intends to modify the structure of Kuo's FIR-type ANC filter in order to achieve a better performance. This paper is organized as follows. Section 2 briefly reviews the basic two-weight adaptive filter and the delayed two-tap adaptive filter in the single-frequency ANC systems. Besides, the solution of weight vectors will be solved by using the phasor concept. In Section 3, the signal basis is discussed and illustrated for the above-mentioned adaptive filters based on the phasor concept. In Section 4, the eigenvalue spread is discussed to compare the convergence speed for different signal basis selections. The simulations will reflect the facts and discussions. Finally, the conclusions are addressed in Section 5.

2. TWO-WEIGHT NOTCH FILTERING FOR ANC SYSTEM -

The conventional structure of two-tap adaptive notch filter with a secondary-path estimate *S* (*z*) is shown in Figure 1 [6–8]. The reference input is a sine wave $x(n) = x_0(n) =$ $sin(\omega_0 n)$, where f_0 is the primary noise frequency and $\omega_0 = 2\pi (f_0/f_s)$ is the normalized frequency with respect to sampling rate *fS*. For the conventional adaptive notch filter, a $90°$ phase shifter or another cosine wave generator [17, 18] is required to produce the quadrature reference signal $x_1(n)$ = $cos(\omega_0 n)$. As illustrated in Figure 1, $e(n)$ is the residual error signal measured by the error microphone, and $d(n)$ is the primary noise to be reduced. The transfer function *P*(*z*) represents the primary path from the reference microphone to the error microphone, and *S*(*z*) is the secondary-path

Figure 1: Single-frequency ANC system using two-tap adaptive notch filter.

transfer function between the output of adaptive filter and the output of error microphone. The secondary signal $y(n)$ is generated by filtering the reference signal $\mathbf{x}(n)$ = $[x_0(n)$ $x_1(n)]^T$ with the adaptive filter $H(z)$ and can be expressed as

$$
y(n) = \mathbf{h}^{T}(n)\mathbf{x}(n),
$$
 (1)

where *T* denotes the transpose of a vector, and $h(n)$ = $[h_0(n)$ $h_1(n)]^T$ is the weight vector of the adaptive filter $H(z)$. By using the filtered-X LMS (FXLMS) algorithm $[6-8]$, the reference signals, $x_0(n)$ and $x_1(n)$, are filtered by secondarypath estimation filter $\hat{S}(z)$ expressed as
 $x'_i(n) = \hat{s}(n) * x_i(n),$ *i*

$$
x'_{i}(n) = \hat{s}(n) * x_{i}(n), \quad i = 0, 1,
$$
 (2)

 $x'_i(n) = \hat{s}(n) * x_i(n), \quad i = 0, 1,$ (2)
where $\hat{s}(n)$ is the impulse response of the secondarypath estimate $S(z)$, and $*$ denotes linear convolution. The adaptive filter minimizes the instantaneous squared error using the FXLMS algorithm as

$$
\mathbf{h}(n+1) = \mathbf{h}(n) + \mu e(n)\mathbf{x}'(n),\tag{3}
$$

where $\mathbf{x}'(n) = [x'_0(n) \ x'_1(n)]^T$ and $\mu > 0$ is the step size (or convergence factor).

Let the primary signal be $d(n) = A \sin(\omega_0 n + \phi_P)$ with amplitude *A* and phase φ_P . And, assume that the phase and amplitude responses of the secondary-path $S(z)$ at frequency ω_0 is ϕ_S and *A*, respectively. Since the filtering of secondarypath estimate $S(z)$ is linear, the frequencies of the output signal $y'(n)$ and the input signal $y(n)$ will be the same. To perfectly cancel the primary noise, the antinoise from the output of the adaptive filter should be set as $y(n) = \sin(\omega_0 n +$ $\varphi_P - \varphi_S$). Therefore, the relationship $y'(n) = \hat{s}(n) * y(n) =$ $\lim_{x \to 0} \sec x$
t as $y(n)$
 $(n) = \hat{s}$ $d(n)$ holds. In the following, the concept of phasor [16] is used for representing the system to solve the optimal weight solution instead of using the transfer function and control theory $[6-8]$. The output phasor of adaptive filter $H(z)$ would be the linear combination of signal phasors $x_0(n)$ and $x_1(n)$, that is,
 $y(n) = \sin(\omega_0 n)h_0(n) + \cos(\omega_0 n)h_1(n)$ $x_1(n)$, that is,

$$
y(n) = \sin (\omega_0 n) h_0(n) + \cos(\omega_0 n) h_1(n)
$$

= sin $(\omega_0 n + \varphi_P - \varphi_S)$. (4)

Figure 2: Single-frequency ANC system using delayed two-tap adaptive filter.

Therefore, the optimal weight vector is readily obtained as

$$
\mathbf{h}_{\text{Notch}}(\varphi) = \begin{bmatrix} \cos(\varphi_P - \varphi_S) \\ \sin(\varphi_P - \varphi_S) \end{bmatrix} \equiv \begin{bmatrix} \cos(\varphi) \\ \sin(\varphi) \end{bmatrix}, \quad (5)
$$

which depends on the system parameter $\phi = \phi_P - \phi_S$.

This conventional notch filtering technique requires two tables or a phase shift unit to concurrently generate the sine and cosine waveforms. This needs extra hardware or software resources for implementation. Moreover, the input signals, $x_i'(n)$, $i = 0, 1$, should be separately processed in order to obtain a better performance. To simplify the structure, Kuo et al. [11] replaced the 90◦ phase shift unit and the two individual weights by a second-order FIR filter. As shown in Figure 2, the structure does not need two quadratic reference inputs and the filter-x process is reduced. Especially, Kuo et al. inserted a delay unit located in the front of the secondorder FIR filter to improve the convergence performance for considering the implementation over the finite wordlength machine. This inserted delay can be called the phase compensation to the system parameter $\phi = \phi_P - \phi_S$. For Kuo's approach, the output phasor of adaptive filter would be the linear combination of sin($\omega_0(n - D)$) and sin($\omega_0(n - D - 1)$),
where *D* is the inserted delay. That is,
 $y(n) = \sin (\omega_0(n - D))h_0(n) + \sin (\omega_0(n - D - 1))h_1(n)$ where *D* is the inserted delay. That is, here *D* is the inserted delay. That is,
 $y(n) = \sin (\omega_0(n - D))h_0(n) + \sin (\omega_0(n - D))$

$$
y(n) = \sin (\omega_0(n - D))h_0(n) + \sin (\omega_0(n - D - 1))h_1(n)
$$

= sin (\omega_0 n + \varphi). (6)

Therefore, the optimal weight vector is the function of *D*,

and ϕ shown as
 $\int \sin (\omega_0 (D + 1) + \phi)$ ω_0 , and ϕ shown as

$$
\mathbf{h}_{\text{FIR}}(D,\omega_0,\phi) = \begin{bmatrix} \frac{\sin(\omega_0(D+1)+\phi)}{\sin(\omega_0)} \\ -\frac{\sin(\omega_0D+\phi)}{\sin(\omega_0)} \end{bmatrix} . \tag{7}
$$

To enhance the effect of delay-inserted approach, Kuo et al. compared the performance with the case of no phasecompensation $(D = 0)$ for the fixed-point implementation.

If no delay is inserted, that is, *D* = 0, the optimal weight
vector is simplified as
 $\int \sin (\omega_0 + \phi)$ vector is simplified as

$$
\mathbf{h}_{\text{FIR}(D=0)}(\omega_0, \phi) = \begin{bmatrix} \frac{\sin(\omega_0 + \phi)}{\sin(\omega_0)} \\ -\frac{\sin(\phi)}{\sin(\omega_0)} \end{bmatrix} . \tag{8}
$$

Kuo et al. [11] have experimented and pointed out that the delay-inserted approach can improve the convergence performance for two-tap adaptive filter in some frequency band. Based on the phasor representation, the reference signals with different phase can further improve the performance of narrowband ANC systems.

3. SIGNAL BASIS SELECTION

In practical applications, adaptive notch filter is usually implemented on the fixed-point hardware. Therefore, the finite precision effects play an important role on the convergence performance and speed for the adaptive filter. It is difficult to maintain the accuracy of the small coefficient and to prevent the order of magnitude of weights from overflowing simultaneously, as the ratio of two weights in the steady state is very large. When the ratio of two weights in the steady state, $\lim_{n\to\infty}$ $|h_0(n)/h_1(n)|=|h_0/h_1|$, is close to one, the dynamic range of weight value in adaptive processing is fairly small [11]. Thus, the filter can be implemented on the fixed-point hardware with shorter word length, or the coefficients will have higher precision (less coefficient quantization noise) for given a word length.

Based on the concepts of signal space and phasor, the relationship of signal phasors for the above-mentioned twoweight adaptive filters is shown in Figure 3. Figure 3(a) illustrates that the combination of the signal bases (phasors), $\sin(\omega_0 n)$ and $\cos(\omega_0 n)$, with the respective components in $\mathbf{h} = \begin{bmatrix} h_0 \\ h_1 \end{bmatrix}$, is able to synthesize the signal phasor *y*(*n*). Since the weight vector $\mathbf{h} = \mathbf{h}_{\text{Notch}}(\phi)$ is only the function of system parameter ϕ , it is difficult to control the ratio of these two weights in steady state by the designer. Figure 4 shows that only some narrow regions in the (ϕ, ω_0) -plane with specified values of ϕ satisfy the condition $1 - \varepsilon < |h_0/h_1| < 1 + \varepsilon$ (i.e., $1 - \varepsilon < |\cos(\phi)/\sin(\phi)| < 1 + \varepsilon$), where ε is a small value. If the FIR-type adaptive filter $[11]$ is used, Figure $3(b)$ shows the relationship of the signal phasors $y(n)$, $sin(\omega_0 n)$ and $\sin(\omega_0(n-1))$, where the inserted delay *D* = 0 holds. Figure 5 illustrates that the desired regions, in which the ratio of two taps satisfies 1 − *ε <* |sin(*ω*⁰ + *φ*)*/* sin(*φ*)| *<* 1 + *ε* (*ε* = 0.1), in (ω_0, ϕ) -plane have been rearranged. We can find that there are two solutions to achieve the requirement, 1 − *ε <* $|h_0/h_1| < 1 + \varepsilon$. One solution is to translate the operation point along the vertical axis (*ω*0-axis) by way of changing the sampling frequency. Therefore, the ratio of two weights for the optimal solution $h_{FIR(D=0)}(\omega_0, \phi)$ can be controlled by changing the sampling frequency to design the normalized frequency *ω*0. That is, when the system parameter *φ* and the primary noise frequency f_0 are given, the designer can adjust the sampling rate f_S to locate the operation point S in the desired region as shown in Figure 5. Another solution

Figure 3: Relationship of signal phasors for different two-taps filter structures. (a) Orthogonal phasors. (b) Single-delayed phasors. (c) Single-delayed phasors with phase compensation. (d) Near orthogonal phasors.

FIGURE 4: The desired regions in (ω_0, ϕ) -plane for conventional two-weight notch filter $(\varepsilon = 0.1)$.

is that we can shift the operation point along the horizontal axis to locate the operation point S in the desired region by compensating the system phase *φ*.

If the multiple narrowband ANC systems are used, the same sampling frequency is suggested such that the synthesis noises for secondary source can therefore work concurrently. If the sampling rate has been fixed, Kuo et al. [11] suggested inserting a delay unit to control the quantity of weights. The inserted delay can compensate the system phase parameter $\phi = \phi_P - \phi_S$. This system-phase compensation can move the operation point from *S* to W_i ($i = 1, \ldots, 4$) along the *φ*-axis, as shown in Figure 5. When the system phase has been compensated, the operation point in (ω_0, ϕ) -plane can locate in the desired region which the ratio of two weights

is close to one. Using the signal bases $sin(\omega_0(n - D))$ and $\sin(\omega_0(n - D - 1))$, the ratio of two weights satisfies $\frac{1}{1}$ $\frac{15}{11}$ the
e rat
sin (

$$
\left| \frac{h_0}{h_1} \right| = \left| \frac{\sin (\omega_0 (D + 1) + \phi)}{\sin (\omega_0 D + \phi)} \right| = 1.
$$
 (9)

The solution to (9) is $\omega_0 D = -\phi - \omega_0/2 \pm k\pi/2$, where *k* is any integer. The optimal delay D can be expressed as $D =$ $[(-\phi/2\pi \pm k/4)(f_S/f_0) - 1/2]$ samples, where the operation [\cdot] denotes to take the nearest integer. These solutions confirm the results in [11] in which the solution is derived by transfer-function representation. Besides, since the relationship $-\pi < \omega_0 D < \pi$ holds, there are four solutions for delay *D* ; these solutions are the possible operation points, *W*1, *W*2, *W*3, and *W*4, as shown in Figure 5. From the phasor point of view, the operation points W_1 and W_3 mean that the synthesis phasor *y* (*n*) is located in the acute angle formed by basis phasors $sin(\omega_0(n - D))$ and $sin(\omega_0(n - D - 1))$, as shown in Figure $3(c)$. Therefore, the range of weights value can be efficiently used. In addition, observing Figure 5, it can be found that the area of the desired regions varies with the normalized frequencies. It means that the performance will vary with the normalized frequency. This fact also confirms the experimental results in [11]. To solve the problem that the performance depends on the normalized frequency, another signal bases should be found for the two-tap adaptive filters.

In the desired signal space, the phasors $sin(\omega_0(n - D))$ and $sin(\omega_0(n - D - 1))$ are linearly independent but not orthogonal. Based on the convergence comparison [19] according to the eigenvector and eigenvalue, the convergence speed of Kuo's FIR-type approach will be slow. To accelerate the convergence speed, the signal bases can be setup as orthogonal as possible. As shown in Figure 3(d), the near orthogonal bases $sin(\omega_0(n - \Delta_1))$ and $sin(\omega_0(n - \Delta_2))$ should be found to improve the performance. Based on this motivation, a new delay unit $z^{-(\Delta_2-\Delta_1)}$, $(\Delta_2-\Delta_1) \geq 1$ is introduced as shown in Figure 6. The optimal weight vector

FIGURE 5: The desired regions in (ω_0, ϕ) -plane for the delayed twotaps adaptive filter ($\varepsilon = 0.1$).

Figure 6: Single-frequency ANC system using proposed two-tap adaptive filtering.

of the proposed two-tap adaptive filter is therefore obtained
as
 $\lceil \sin (\omega_0 \Delta_2 + \phi) \rceil$ as

$$
\mathbf{h}_{\text{FIR,opt}}(\Delta_1, \Delta_2, \omega_0, \phi) = \begin{bmatrix} \frac{\sin (\omega_0 \Delta_2 + \phi)}{\sin (\omega_0 (\Delta_2 - \Delta_1))} \\ -\sin (\omega_0 \Delta_1 + \phi) \\ \frac{-\sin (\omega_0 (\Delta_2 - \Delta_1))}{\sin (\omega_0 (\Delta_2 - \Delta_1))} \end{bmatrix}, \quad (10)
$$

such that the signal $y(n)$ can be represented as a linear combination of $sin(\omega_0(n - \Delta_1))$ and $sin(\omega_0(n - \Delta_2))$. That is,

$$
y(n) = \sin(\omega_0(n - \Delta_1))h_0(n) + \sin(\omega_0(n - \Delta_2))h_1(n)
$$

= $\sin(\omega_0 n + \varphi)$. (11)

Since the signal bases in the proposed two-tap adaptive filter can be controlled by the delays Δ_1 and Δ_2 , the signal bases can be setup as orthogonal as possible in order to accelerate the convergence speed and to compensate the system phase. Therefore, the delay $(\Delta_2 - \Delta_1) = \max\{ [f_S/4 f_0], 1 \}$ should hold such that the signal phasor $sin(\omega_0(n - \Delta_2))$ can be approximated as close as possible to $cos(\omega_0(n - \Delta_1))$. The

ratio of two weights will be close to one when the system
phase has been compensated by the delay Δ_1 . That is,
 $|h_0| = |\sin(\omega_0 \Delta_2 + \phi)|$

phase has been compensated by the delay
$$
\Delta_1
$$
. That is,
\n
$$
\left| \frac{h_0}{h_1} \right| = \left| \frac{\sin (\omega_0 \Delta_2 + \phi)}{\sin (\omega_0 \Delta_1 + \phi)} \right|
$$
\n
$$
= \left| \frac{\sin (\omega_0 [\Delta_1 + f_S/4 f_0] + \phi)}{\sin (\omega_0 \Delta_1 + \phi)} \right| \qquad (12)
$$
\n
$$
\approx 1.
$$

The solution to (12) is $\omega_0 \Delta_1 = -\phi - \omega_0 (f_s/8 f_0) \pm k\pi/2$, $k \in \mathbb{Z}$. The optimal delays can therefore be found as $\Delta_1 = [(-\phi/2\pi - 1/8 \pm k/4)(f_S/f_0)]$ samples. The desired regions in (ω_0, ϕ) -plane for the proposed two-tap adaptive filter are similar to that of the desired regions shown in Figure 4. Theoretically, the desired regions do not depend on the normalized frequency in theory. To achieve a better performance for fixed-point implementation, the operation point in (ω_0, ϕ) -plane can be shifted to the desired area along the horizontal axis (ϕ -axis) after the delay Δ_1 is inserted.

4. DISCUSSION AND SIMULATIONS

The data covariance matrix for the conventional two-weight
notch filter is described as [9]
 $\mathbf{R}_{\text{Notch}} = E\{\mathbf{x}(n)\mathbf{x}^T(n)\} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}.$ (13) notch filter is described as [9]

$$
\mathbf{R}_{\text{Notch}} = E\{\mathbf{x}(n)\mathbf{x}^T(n)\} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}.
$$
 (13)

It is evident that both the corresponding eigenvalues are equal to 1/2. This leads to the fact that eigenvalue spread is one; the conventional two-weight notch filter has the better performance on However, since the optimal weight

$$
\mathbf{h}_{\text{Notch}}(\phi) = \begin{bmatrix} \cos(\phi) \\ \sin(\phi) \end{bmatrix} \tag{14}
$$

depends on the system phase parameter *φ*, the convergence performance will depend on *φ*. For the Kuo's FIR-type adaptive filter [11], the data covariance matrix is

$$
\mathbf{R}_{\text{FIR}} = \frac{1}{2} \begin{bmatrix} 1 & \cos(\omega_0) \\ \cos(\omega_0) & 1 \end{bmatrix} . \tag{15}
$$

The corresponding two eigenvalues are $(1/2)[1 \pm \cos \omega_0]$; the

eigenvalue spread is
 $\rho_{\text{FIR}} = \frac{\lambda_{\text{max}}}{\lambda_{\text{max}}} = \frac{1 + |\cos \omega_0|}{1 - |\cos \omega_0|} > 1.$ (16) eigenvalue spread is

read is
\n
$$
\rho_{\text{FIR}} = \frac{\lambda_{\text{max}}}{\lambda_{\text{min}}} = \frac{1 + |\cos \omega_0|}{1 - |\cos \omega_0|} > 1.
$$
\n(16)

Since the eigenvalue spread ρ _{FIR} is larger than one, the convergence speed will be slower than the conventional twoweight notch filter. It can be found that the convergence speed will depend on the normalized frequency *ω*0.

The proposed two-tap adaptive filter uses the data co-

ance:
 $\frac{1}{\sqrt{1-\lambda}} \left[\frac{1}{\cos(\omega_0(\Delta_2-\Delta_1))} \right]$ variance:

$$
\mathbf{R}_{\text{FIR},\text{opt}} = \frac{1}{2} \begin{bmatrix} 1 & \cos(\omega_0 (\Delta_2 - \Delta_1)) \\ \cos(\omega_0 (\Delta_2 - \Delta_1)) & 1 \end{bmatrix} . \tag{17}
$$

The corresponding eigenvalue spread is

orresponding eigenvalue spread is
\n
$$
\rho_{\text{FIR,opt}} = \frac{\lambda_{\text{max}}}{\lambda_{\text{min}}} = \frac{1 + |\cos(\omega_0 (\Delta_2 - \Delta_1))|}{1 - |\cos(\omega_0 (\Delta_2 - \Delta_1))|}.
$$
\n(18)

Using the optimal delay found in (12), the data covariance is V

$$
A_{\min} = 1 - \left[\cos(\omega_0(\Delta_2 - \Delta_1))\right]
$$

ng the optimal delay found in (12), the data covariance is

$$
\mathbf{R}_{\text{FIR,opt}} = \frac{1}{2} \begin{bmatrix} 1 & \cos\left(\omega_0 \left[\frac{f_S}{8f_0}\right]\right) \\ \cos\left(\omega_0 \left[\frac{f_S}{8f_0}\right]\right) & 1 \end{bmatrix}, \quad (19)
$$

and the corresponding eigenvalue spread is $\rho_{\text{FIR}, opt} = 1 +$ $|\cos(\omega_0[f_S/8f_0])|/1 - |\cos(\omega_0[f_S/8f_0])| \approx 1$. Since the eigenvalue spread has been reduced from 1 + |cos*ω*0|*/*1 − $|\cos\omega_0|$ to \approx 1, the proposed two-tap adaptive filter will have higher convergence speed.

In the following simulations, the primary noise is set as $d(n) = \cos(\omega_0 n + \varphi_P) + r(n)$, where φ_P is a random phase and *r*(*n*) is the environmental noise with power σ_n^2 . The primary noise with frequency f_0 Hz is sampled with a fixed rate $f_s =$ 1000 Hz. The ratio of the primary noise to environmental noise for the signal is defined as $SNR = 10 \log(1/2\sigma_n^2)$ (dB). All the examples are simulated with SNR = 20 dB. The phase response of the secondary-path has been experimented to obtain a determined delay according to the designed sampling rate and frequency of primary noise. In addition, all input data and filter coefficients are quantized using word length of 16 bits within fraction length, and 8 bits to simulate the operation of fixed-point hardware. The temporary data is represented by 64-bit precision, and the rounding is performed only after summation. Therefore, the step size in FXLMS algorithm is $\mu = 2 \times 10^{-8}$, which is the precision of this simulation. All the learning curves are obtained after 200 independent runs with random system parameters ϕ_P . For the frequency of primary noise $f_0 = (\omega_0/2\pi) f_s = 100 \text{ Hz}$, Figure 7 illustrates that Kuo's delayed two-tap adaptive filter can improve the performance of the nondelayed one, but the convergence speed is still slow. Besides, the proposed approach, which is with well-selected bases, has the fast convergence speed and the best convergence performance.

In theory, the convergence performance of the proposed approach does not depend on the normalized frequency. However, simulations could not verify this statement and it also could not be explained by the representation of transfer function. Based on the concept of phasor rotation, we can find that the location of possible synthesis phasors would have variation for each adaptation if the number of samples in a cycle is not an integer, for example, f_S/f_0 = 1000*/*97. The phasor-location variation will be significant as the amplitude of synthesis phasors increasing and will also lead to degradation in performance. Figure 8 illustrates that Kuo's approach and the proposed approaches are degraded in performance when the frequency of primary noise is 97 Hz with the sampling rate 1000 Hz. In addition, when the normalized frequency is low, for example, f_0 = 50 Hz, the angle of signal-basis phasors is small. In this case, the phase compensation is more important for Kuo's FIR-type adaptive filter. Figure 9 illustrates that the phase compensation can greatly improve the performance for the

FIGURE 7: Comparison of convergence performance for f_S/f_0 = 1000*/*100.

Figure 8: Comparison of convergence performance for different frequencies.

FIGURE 9: Comparison of convergence performance for f_S/f_0 = 1000*/*50.

FIGURE 10: Comparison of convergence performance for f_S/f_0 = 1000*/*240.

case of low frequency for Kuo's FIR-type adaptive filter. However, the convergence speed of Kuo's two-tap adaptive filter is extremely low, since their eigenvalue spread is large; in this simulation, the eigenvalue spread is 39.8635. In addition, when the normalized frequency is close to 0.5, the eigenvalue spread of all approaches is close to 1 and the angle of the signal bases is inherently near-orthogonal. Therefore, the convergence speed for all approaches will be the same. For example, when the frequency of the primary noise is set as f_0 = 240 Hz, all the approaches have the same convergence performance and speed as illustrated in Figure 10. Observing Figure 10, the performance of the phase-compensated and noncompensated approaches is the same, since the 16-bit fixed-point hardware with 8-bit fraction length is enough for this simulation. These experiments confirm the results presented in [11], in which their experiments found that there is no improvement for convergence performance when the normalized frequency is 0.5. Observing Figures 7–10, the proposed approach not only achieves a good performance, but also preserves the FIR adaptive filter structure.

5. CONCLUSION

In this paper, the phasor representation instead of transfer function is introduced and discussed for the narrowband ANC systems. Based on the concepts of signal basis and phasor rotation, the reference signal/phasor for two-tap adaptive filters has been modeled and well-selected. Using the representation of phasor can explain the reason why the performance of the narrowband ANC systems is degraded for some normalized frequency. In addition, to achieve a better performance, the proposed two-tap adaptive filter can choose the near-orthogonal phasors for the fixed-point hardware implementation. With the same complexity, the inserted delay in Kuo's two-tap adaptive filter can be moved

back to construct the proposed approach, which would achieve a better performance.

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