

Modern pollen-vegetation relationship based on discriminant analysis across an altitudinal transect on Gongga Mountain, eastern Tibetan Plateau

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Quantitative relationship between modern pollen assemblage and altitudinal vegetation belt is crucial for the reconstruction of paleovegetation in the mountain regions. Modern pollen analysis on 70 topsoil samples was conducted across an altitudinal transect (1100–4500 m) on the eastern slope of Gongga Mountain in the eastern Tibetan Plateau with an elevation interval of 50 m. Distributions of major pollen types along the transect indicated a weak correlation between *Pinus* pollen and the elevation. Distributions of *Picea* and *Abies* pollen (percentage sum of 2%–8%) could fairly indicate the elevation range of 2700–3700 m, as well as the subalpine dark coniferous forest and the timberline in the region. High percentage intervals of alpine types of Ericaceae, Cupressaceae and Cyperaceae were correlated to the high-elevation regions (3700–4500 m) dominated by alpine shrub meadow and alpine meadow. Seven altitudinal vegetation belts on the eastern slope of Gongga Mountain were well defined by discriminant analysis conducted on the modern pollen assemblages, as reflected by high values of probability of modern analog. Most of the modern pollen assemblages (88.5%) were typical for the vegetation types at their sampling locations. Thus, the relationship between the modern pollen assemblages and vegetation across the altitudinal transect based on discriminant analysis can be applied to the quantitative reconstruction of paleovegetation changes in the mountain regions of the eastern Tibetan Plateau.

modern pollen assemblage, altitudinal vegetation belt, discriminant analysis, Gongga Mountain, Tibetan Plateau

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The Tibetan Plateau (TP) with an average elevation of 4000 m a.s.l. (above sea level) is featured with unique alpine vegetation covers, from subtropical forest in the southeastern margins, to alpine steppe and meadow in the interior plateau, and to alpine desert in the northwestern region [1]. In recent years, paleovegetation changes in the TP have attracted more and more attentions for the vulnerabilities of alpine vegetation to the environmental changes [2–7] and human activities [8,9]. Among numerous proxies, fossil pollen assemblage have become one of the major archives for the reconstructions of paleovegetation and paleoclimate in the TP [3–7,10–19]. Based on the modern pollen investigations in the TP, the sensitive responses of vegetation to

the climate changes have been reconstructed by the fossil pollen records with successive sedimentation and high resolution [12–19]. Most of these studies were focused on the vegetation changes of the horizontal vegetation zones in the northeastern [13–15,19], central-southeastern [3–5,10,16] and western TP [11]. Accordingly, previous modern pollen investigations have been limited to explore the relationships between surface pollen spectra, vegetation and climate conditions in the eastern regions [19,20], the western plateau [21], and the whole surface of the TP on a large scale [8,12,17,22].

Due to the marked changes of meteorological and hydrological conditions over relatively short distances, mountainous vegetation is very vulnerable to climate changes on different time scales [23]. The changes of altitudinal vegeta-

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tion belts, diagnostic species, ecotones and timberline in mountain regions can reflect the climate changes sensitively [24], as documented by fossil pollen records [17,25–27]. Therefore, modern pollen assemblage and its quantitative relationship with contemporary altitudinal vegetation belt are of great importance for the quantitative interpretations of fossil pollen records, as well as the quantitative paleovegetation changes in response to climate variations in the mountain regions [27]. In the eastern margins of the TP, altitudinal vegetation belts consist of various vegetation types from subtropical forest to alpine shrub and meadow along the elevation gradient [1]. However, little is known about the modern pollen distributions in the mountain regions of the eastern plateau.

The Gongga Mountain is situated in the eastern TP (101°30'–102°10'E, 29°20'–30°00'N) with an optimum elevation of 7556 m a.s.l. [28]. Modern vegetation on the eastern slope of Gongga Mountain is composed of integrated vegetation belts, from the valley arid shrub and grass vegetation, to subtropical evergreen forest and coniferous forest, and to alpine shrub and meadow [28]. The vertical distributions of vegetation in the region are mainly controlled by the humidity and temperature gradients related to elevation changes [29]. Vegetation investigation on the glacial forelands in this region suggested a rapid vegetation succession during the last 170 years, from bare land and herb community to the occupation of pioneer trees *Salix-Hippophae-Populus*, and to the climax community of *Picea* and *Abies* [30].

Here, a detailed modern pollen investigation is conducted

on the eastern slope of Gongga Mountain to explore the elevational distributions of pollen indicators of diagnostic species and the timberline, and the quantitative relationships between modern pollen assemblages and altitudinal vegetation belts by using discriminant analysis [31]. The results of modern pollen-vegetation relationships along an elevation gradient in the region could provide modern analogue and information for the reconstructions of paleovegetation changes in the mountain regions of the eastern TP.

1 Modern climate and vegetation

The eastern slope of Gongga Mountain is characterized by a distinct environmental gradient from warm/dry conditions at low-elevation regions to cold/moisture environment at high-elevation slopes, due to the relative elevation difference of about 6500 m [28]. The mean annual temperature (MAT) is 12.2°C, and mean annual precipitation (MAP) is about 1050 mm at 1640 m, while the MAT decreases to 4°C, and MAP increases to 1938 mm at 3000 m [28] (Figure 1).

Altitudinal vegetation belts on the eastern slope of Gongga Mountain are composed of valley arid shrub and grass vegetation belt, agro-forest vegetation, evergreen broad-leaved forest, mixed coniferous and broad-leaved forest, subalpine dark coniferous forest, alpine shrub meadow, alpine meadow, and alpine sparse vegetation (Figure 1). Details of the altitudinal vegetation belts in the region are described as the following [28,32,33].

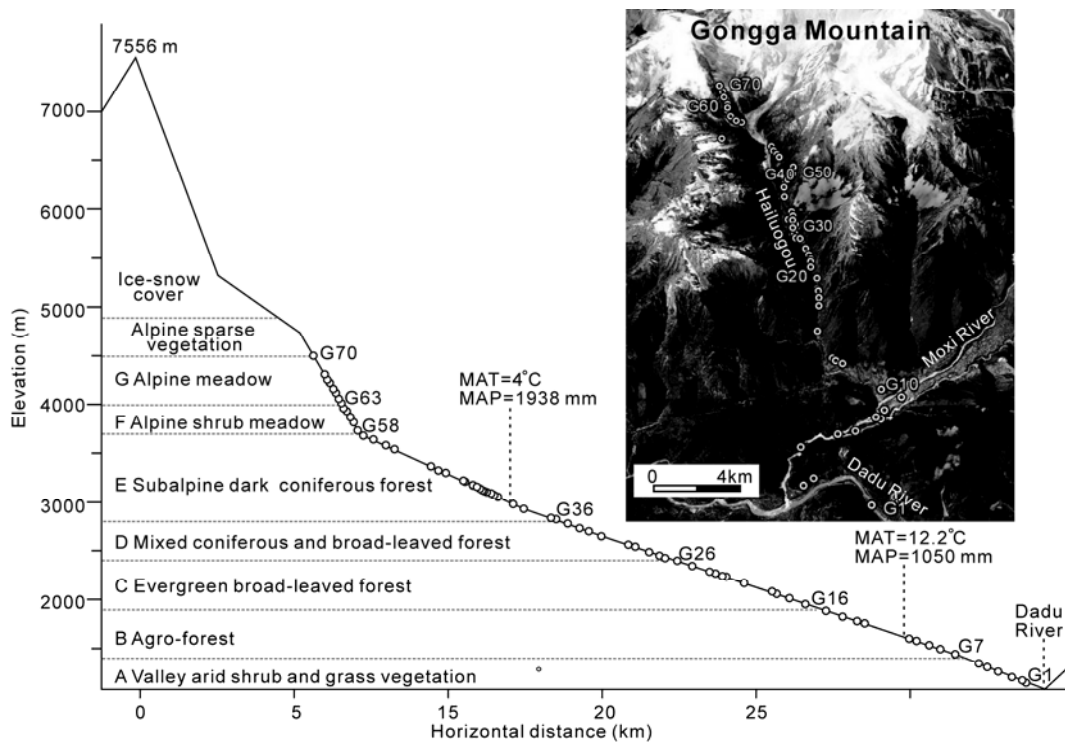


Figure 1 Sketch map of altitudinal vegetation belts and climatic observation data on the eastern slope of Gongga Mountain [28,32], and locations of modern pollen samples from G1 to G70 (indicated by circles).

(i) Valley arid shrub and grass vegetation (elevation <1400 m), occupying the valley regions of Dadu River and Moxi River, mainly consists of *Acacia* and *Sophora* shrubs, and *Andropogon*, *Heteropogon* and *Artemisia*, with some pine forest of *Pinus yunnanensis*.

(ii) Agro-forest under intensive influence of human activity (1400–1900 m) is dominated by economic forests of *Cyclobalanopsis*, *Cunninghamia lanceolata*, *Juglans acerba*, *Diospyros kaki*, *Morus*, etc., and farm crops of rice, wheat and maize [32].

(iii) Evergreen broad-leaved forest (1900–2400 m) is mainly composed of *Quercus engleriana*, *Lithocarpus cleistocarpus*, *Cinnamomum*, *Phoebe chinensis*, *Osmanthus*, etc.

(iv) Mixed coniferous and broad-leaved forest (2400–2800 m) is dominated by various species of *Tsuga* and deciduous trees of *Betula* and *Acer*, with some *Euptolea pleiospermum*, *Sorbus*, *Carpinus*, patchy community of *Pinus armandii* and a few *Picea brachytyla*.

(v) Subalpine dark coniferous forest (2800–3700 m) is characterized by *Picea brachytyla* and *Abies fabric*, with patchy secondary forests of *Betula*, *Salix* and *Sorbus*, and some shrubs of *Rhododendron* and *Bashania fangiana*. Usually, *Abies* penetrates to the upper slopes (2800–3600 m) above *Picea* (2300–2800 m) on the eastern slope of Gongga Mountain [33].

(vi) Alpine shrub meadow (3700–4000 m) is mainly composed of shrub species of *Rhododendron*, and meadow of *Kobresia* and *Festuca*, with patchy shrubs of *Sabina*, *Salix* and *Potentilla*.

(vii) Alpine meadow (4000–4500 m) is dominated by various species of *Kobresia*, *Potentilla* and *Festuca*.

Besides, alpine sparse vegetation (4500–4900 m) occurs below the snow line, composed mainly of *Saussurea*, *Soro-seris*, *Arenaria*, etc.

2 Materials and methods

In July 2004 and October 2005, modern pollen samples were collected at an average elevation interval of 50 m across the altitudinal transect from 1100 to 4500 m a.s.l. at Hailuogou, in the eastern slope of Gongga Mountain. In total, 70 topsoil samples (0–2 cm) from different altitudinal vegetation belts were analyzed palynologically, including 6 samples from valley arid shrub and grass vegetation, 9 from agro-forest vegetation, 11 from evergreen broad-leaved forest, 9 from mixed coniferous and broad-leaved forest, 22 from subalpine dark coniferous forest, 5 from alpine shrub meadow, and 8 from alpine meadow. These topsoil samples covered the major vegetation types on the eastern slope of Gongga Mountain [28,32,33] (Figure 1).

Topsoil samples were treated with a modified acetolysis procedure for palynological analysis, including 10% HCl, 5% KOH, 40% HF, acetolysis treatments, and sieving with

a 7- μ m screen to remove clay-sized particles [34]. The average number of counted pollen and spores is 450 grains per sample. Pollen percentages were calculated based on the sum of all pollen and spores.

Discriminant analysis has been effectively applied to investigate the relationship between modern pollen assemblage and vegetation [31,35,36]. It is a statistical inference technique to seek for the combinations of variables, called discriminant functions [31,36]. These discriminant functions can be used to separate samples among the a priori groups, and to classify new samples with unknown group memberships into one of the a priori groups [36]. Here, we conducted a discriminant analysis by using software SPSS 10.0 [37] to evaluate whether modern pollen assemblages could quantitatively represent different vegetation types along an elevation gradient. First, modern pollen samples were divided into seven a priori groups (actual vegetation), in consistence with vegetation types at sampling locations (indicated from A to G, Figure 2). Then percentage data of major pollen types were applied to establish the discriminant functions, and then the samples were classified into predicted vegetation groups. Based on the comparisons between a priori groups and predicted groups, two indices were introduced to quantitatively interpret the results. The one “probability of modern analog” represented the similarity between pollen assemblages and predicted vegetation groups, determined by the chi-square distance between a sample and its predicted group centroid defined by the discriminant functions. Another index “vegetation zonal index” (VZI) was converted from probabilities of predicted group membership between the first predicted and the second most probable group [31]. Detailed procedures and interpretations followed the published literatures [31,35,36].

3 Results

3.1 Modern pollen assemblages along an altitudinal transect

A total of 145 pollen types were identified in the modern pollen assemblages from the altitudinal transect on the eastern slope of Gongga Mountain. The most abundant arboreal pollen types mainly included *Pinus*, *Abies*, *Picea*, *Tsuga*, *Quercus* (evergreen), *Betula*, *Alnus*, *Corylus*, *Castanea*, *Quercus* (deciduous), *Carpinus*, *Juglans*, *Morus*, *Ulmus*, etc. Shrub pollen types mainly included *Salix*, Cupressaceae, Ericaceae, etc. Herb pollen types mainly included Poaceae, *Artemisia*, Cyperaceae, Chenopodiaceae, Cruciferae, Fabaceae, Labiatae, Ranunculaceae, *Thalictrum*, *Polygonum*, Solanaceae, *Androsace*, *Arenaria*, etc. Modern pollen assemblages showed marked differences between various altitudinal vegetation belts (Figure 2).

(i) In the valley arid shrub and grass belt (G1–G6), modern pollen assemblages are dominated by *Pinus* (mean

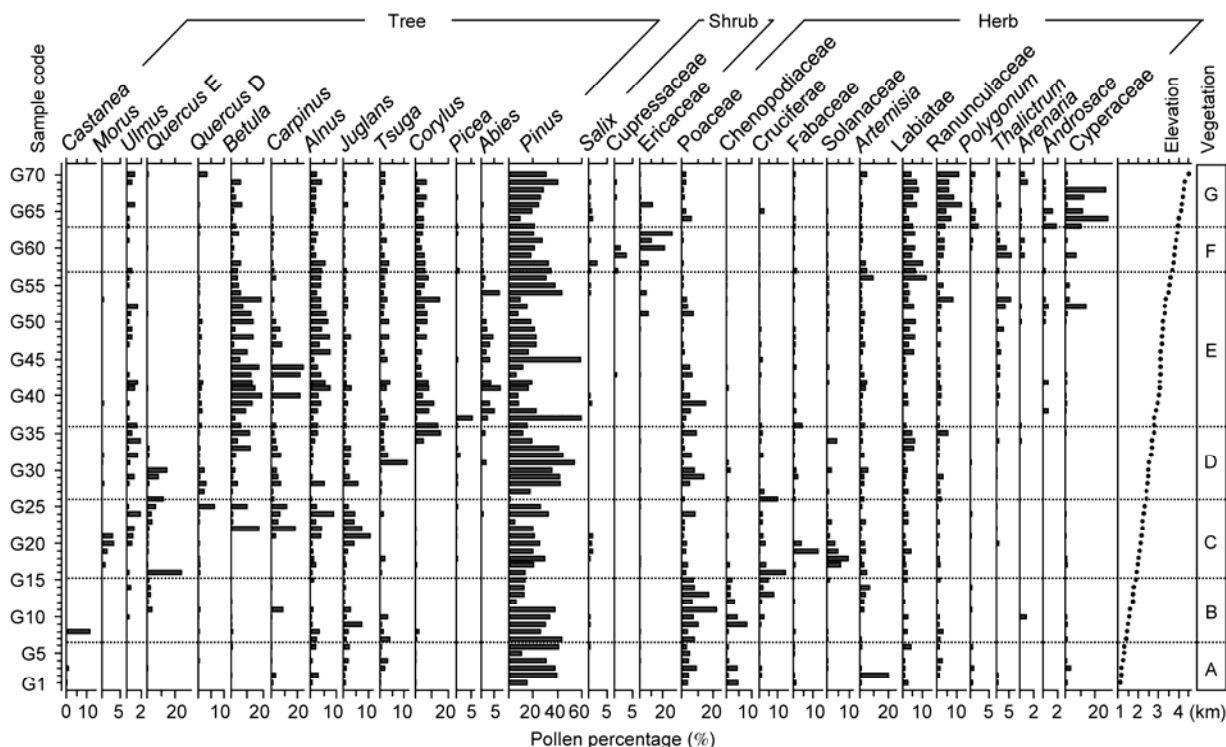


Figure 2 Pollen percentage diagram of topsoil samples across the altitudinal transect from 1000 to 4500 m on the eastern slope of Gongga Mountain, eastern Tibetan Plateau. Only selected taxa were shown. *Quercus* E and *Quercus* D indicated the evergreen and deciduous types of *Quercus* pollen, respectively. Please see Figure 1 for correspondence between samples and vegetation belts from vegetation type A to G.

29.8%), *Poaceae* (5.6%), *Artemisia* (up to 20.4%, mean 4.4%), *Chenopodiaceae* (2%), with some other herb types (<2%) such as *Labiatae*, *Cyperaceae* and *Ranunculaceae*. Other arboreal types are very few in the vegetation belt.

(ii) Modern pollen assemblages from the agro-forest vegetation (G7–G15) are characterized by the highest mean percentages of *Poaceae* (up to 22.3%, mean 10.7%), *Chenopodiaceae* (up to 8.5%, mean 2.7%) and *Cruciferae* (up to 8.7%, mean 2.6%), and a percentage peak of *Castanea* (up to 12.3%). *Pinus* (24.8%) and *Artemisia* (2.5%) are common.

(iii) Modern pollen assemblages from evergreen broad-leaved forest (G16–G26) are mainly composed of arboreal types, such as evergreen *Quercus* (up to 26%, mean 5.4%), *Juglans* (up to 11.4%, mean 3.7%), *Alnus* (up to 9.4%, mean 2.7%) and *Morus* (up to 3%). *Pinus* (20.2%) and *Betula* (3%) are common arboreal types.

(iv) In the mixed coniferous and broad-leaved forest, modern pollen assemblages (G27–G35) are characterized by percentage peaks of *Tsuga* (up to 11.6%, mean 2.7%), deciduous *Quercus* (up to 3.4%), and high proportions of *Pinus* (35%), with abundant *Betula* (5%) and *Carpinus* (4.5%). *Juglans* and *Alnus* are common arboreal types.

(v) In the subalpine dark coniferous forest, modern pollen assemblages (G36–G57) are featured by abundant *Picea* (up to 5.8%) and *Abies* (up to 7.4%, mean 2.6%). *Pinus* (23.6%), *Betula* (10.1%) and *Tsuga* (2.2%) are common.

Pollen percentages of *Ericaceae* (1.1%), *Labiatae* (3.6%), *Cyperaceae* (1.6%), *Ranunculaceae* (1.9%) and *Thalictrum* (1.2%) begin to increase in the coniferous forest belt, whereas *Quercus*, *Carpinus* and *Poaceae* pollen markedly reduce towards the upper slope.

(vi) Modern pollen assemblages from the alpine shrub meadow (G58–G62) are most characterized by abundant shrub types of *Ericaceae* (up to 29.8%, mean 14.4%), *Cupressaceae* (up to 3.4%, mean 1.2%) and *Salix* (up to 2.7%), as well as herb types of *Labiatae* (6%) and *Thalictrum* (2.6%). *Pinus* pollen usually takes up about one quarter of the total pollen sum. *Cyperaceae* (2.2%) and *Ranunculaceae* (2.9%) are common herb types.

(vii) In the alpine meadow, modern pollen assemblages (G63–G70) are characterized by the high percentages of *Cyperaceae* (up to 29.1%, mean 12.5%) and *Ranunculaceae* (up to 12.9%, mean 7.9%). *Pinus* (25.7%), *Poaceae* (2.7%), *Labiatae* (5.6%), *Androsace* (<1%) and *Arenaria* (<1%) are common.

3.2 Discriminant analysis on modern pollen assemblages

Percentage data of 30 major pollen types as shown in Figure 2 (more than 2% at least in one sample and of ecological importance) were used for the discriminant analysis of topsoil samples on the eastern slope of Gongga Mountain.

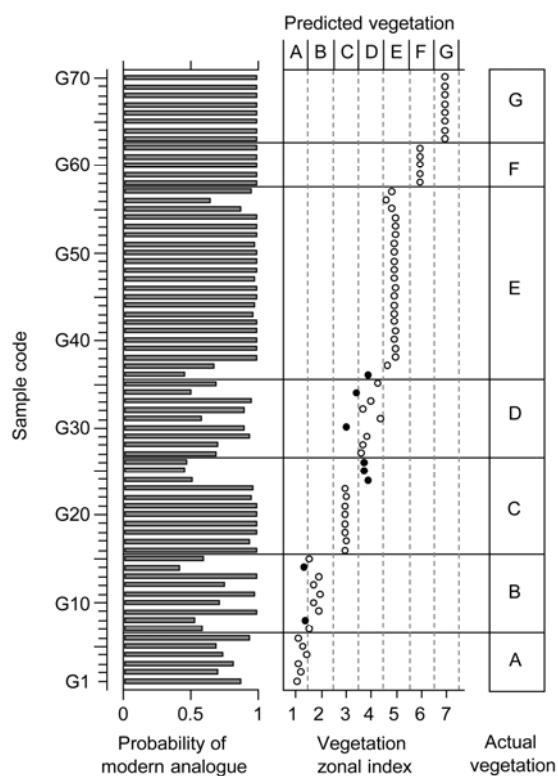


Figure 4 Comparisons between predicted vegetation groups of discriminant analysis and actual vegetation types at sampling locations on the eastern slope of Gongga Mountain. Misclassified samples were indicated by solid circles.

Pinus pollen (mean percentage of 25.6%), the predominant arboreal type in most samples, distributes more or less evenly across the altitudinal transect (Figures 2 and 5). On the eastern slope of Gongga Mountain, three pine species mainly distribute in the medium-elevation regions, such as *Pinus yunnanensis* (elevation range of 1100–1800 m, MAT of 11.5–18°C, MAP of 800–1200 mm), *P. armandii* and *P. densata* (2200–2600 m, MAT of 3–11°C, MAP of 600–1500 mm) [38]. Only pine forest of *P. armandii* can penetrate to the high elevations of 2900–3500 m on the western slope of Gongga Mountain [33]. However, abundant *Pinus* pollen grains are commonly found across the whole transect. It accounts for more than 27% in the low-elevation regions (1100–2600 m) where pine forests occur, and for 8%–59% in the high elevations above 2600 m. Even in the upmost part of the transect (3700–4500 m), *Pinus* pollen accounts for 10%–41% of the total pollen sum.

The high percentages of *Pinus* pollen across the altitudinal transect is probably caused by the strong upward wind transport into the high-elevation slope and an exotic input to the low-elevation region [39], as well as pollen morphological feature of air saccus and relatively low settling speeds [40]. In the southern TP, previous modern pollen investigation suggested that the high percentages of *Pinus* pollen exceeding 30% could indicate an occupation of pine forest [41]. However, our results reveal that *Pinus* pollen exhibits

a weak correlation with the elevation or the altitudinal vegetation belt due to long-distance dispersal by valley winds (Figure 3). Therefore, distribution of *Pinus* pollen could not necessarily indicate a certain elevation range or the pine forest on the mountain regions of the eastern TP.

It seems that the proportions of *Abies* and *Picea* (2%–8.5% at the elevations of 2700–3700 m) in our modern pollen spectra are much lower than those on the interior TP (as high as 26%) [40], and Changbai Mountain in the northeast China (>25%) [42]. Previous pollen investigations in Xinjiang [43] and Inner Mongolia [44] have indicated that pollen percentages of *Picea* could reach as high as 30%–80% in the spruce forests. On the eastern slope of Gongga Mountain, the high biodiversity [29] and a large amount of exotic input of *Pinus* pollen may be the main reasons for the low occurrences of *Picea* and *Abies* pollen. However, the distribution of *Abies* and *Picea* pollen clearly exhibit high correlation with the elevation (Figure 5). Relative high percentages of *Abies* and *Picea* (2%–8.5%) are restricted to the elevation range from 2700–3700 m, in which subalpine fir and spruce forests dominate (Figure 5). Besides, an optimum percentage of *Picea* (5.7%) occurs at the elevation of 2800 m, lower than the elevation range of high percentages of *Abies* (>5%, 2900–3500 m) (Figure 2). This probably reflects the ecological differences between spruce (MAT of 5–8°C, MAP of 1500–1600 mm, 2400–2800 m) and fir forest (MAT of 1–4.5°C, MAP of 1500–1700 mm, 2800–3600 m) on the eastern slope of Gongga Mountain [33].

Although *Tsuga* and *Betula* pollen show high percentages at the elevations of mixed coniferous and broad-leaved forest, they could also disperse upward by the valley winds, but to a lesser extent compared with that of *Pinus*. High percentages of *Quercus* pollen (>10%, sum of evergreen and deciduous types) are concentrated within the elevations of 2000–2600 m. However, it should be noticed that anthropogenic forestry has strongly altered the oak forests on the Gongga Mountain [29]. For instance, some forests of *Quercus variabilis*, *Q. acutissima* and *Q. monimotricha* (1600–1800 m), *Q. longispica* (2400–3400 m), *Q. pannosa* and *Q. gilliana* (3000–3900 m) have degraded to coppice forest and shrub after the intensive fuelwood consumption [38]. Ericaceae (>10%), Cupressaceae (>1%) and Cyperaceae pollen (>10%), as typical alpine pollen types, show high percentages only at the elevations of 3700–4500 m with peaks at around 4000 m. Distributions of Poaceae pollen exhibit confusing relationship with the elevation, probably attributed to intensive anthropogenic influences on the vegetation [32].

In summary, the elevational distributions of major pollen types across the altitudinal transect on the eastern slope of Gongga Mountain provide implications for the reconstruction of paleovegetation change in the mountain regions. *Pinus* pollen shows a weak correlation with the elevation, and its distribution could not indicate the elevation range of pine forest in the region. The distributions of some other

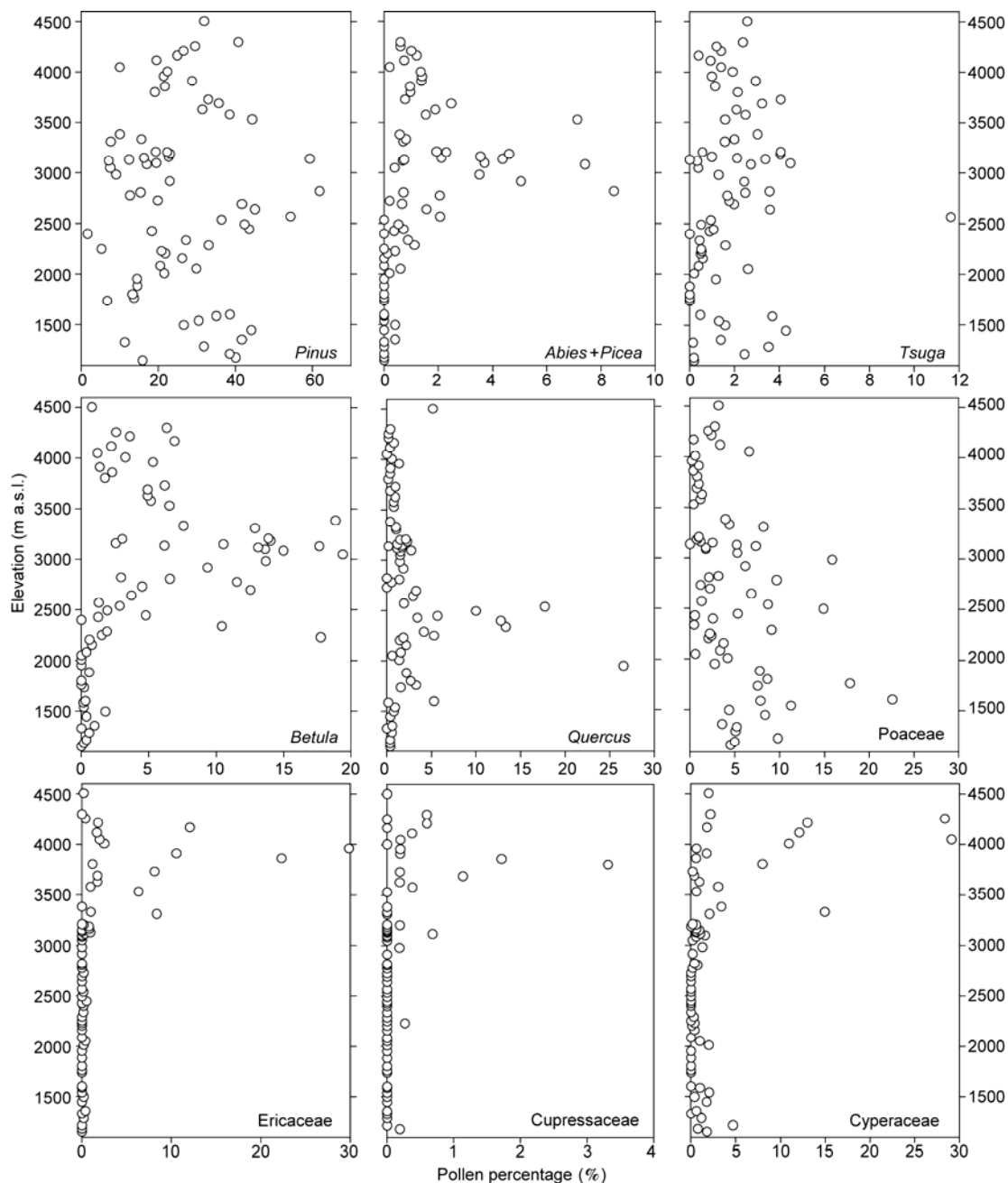


Figure 5 Elevational distributions of major pollen types in the topsoil samples from the eastern slope of Gongga Mountain, eastern Tibetan Plateau.

coniferous (*Tsuga*) and broad-leaved pollen types (*Betula* and *Quercus*) as well as *Poaceae* have no persistent indications to the elevation, due to influences from either valley winds or human activities. Distributions of *Picea* and *Abies* pollen show strong correlation with the elevation. Their sum percentage of 2%–8% could well indicate the elevation range of 2700–3700 m, as well as the distribution of subalpine dark coniferous forest (2800–3700 m) and the elevation of timberline (3700 m) on the eastern slope of Gongga Mountain [32]. Distributions of *Ericaceae*, *Cupressaceae* and *Cyperaceae* could approximately indicate the high ele-

vations in which alpine shrub and alpine meadow dominate.

4.2 Quantitative relationships between modern pollen assemblages and altitudinal vegetation belts

Based on the quantitative results of discriminant analysis conducted on 70 topsoil samples, major vegetation types across the altitudinal transect on the eastern slope of Gongga Mountain can be distinguished by their modern pollen assemblages (Table 1, Figure 4).

In the valley arid shrub-grass vegetation belt (<1400 m),

modern pollen assemblages are featured by abundant Poaceae, *Artemisia* and Chenopodiaceae. The mean VZI value (1.2) and high probability of modern analog of the samples (0.8) suggest a good representative of modern pollen assemblages to the valley arid shrub-grass vegetation.

Although vegetation in the agro-forest belt (1400–1900 m) is intensively influenced by human activities, results of discriminant analysis can approximately differentiate its modern pollen assemblages from those of other vegetation types, as indicated by a mean VZI of 1.7 and moderate probability of modern analog of 0.7. This could be probably denoted by the abundance of Poaceae, Chenopodiaceae, Cruciferae and *Castanea* pollen related to farm crops and economic forests [29].

About 73% of the samples from evergreen broad-leaved forest (1900–2400 m), and 78% of the samples from mixed coniferous and broad-leaved forest (2400–2800 m) are correctly defined to their actual vegetation types, both with the probability of modern analog of 0.7. However, some samples located close to the boundaries of vegetation belts are misclassified into the vicinity vegetation as suggested by low probability of modern analog (<0.5), reflecting the vegetation transition at the sampling locations [31] (Figure 4).

High probability of modern analog (0.9) and a mean VZI value of 4.9 demonstrate the fair representative of modern pollen assemblages to the dark coniferous forest (2800–3700 m). This is also indicated by the high percentages of *Picea* and *Abies* pollen within the coniferous forest belt.

Modern pollen assemblages from the alpine shrub meadow (3700–4000 m) and alpine meadow (4000–4500 m) distinctly reflect their source vegetation, as suggested by the high probabilities of modern analog close to 1 and accurate VZI values in the results of discriminant analysis.

In summary, seven major vegetation belts on the eastern slope of Gongga Mountain are well defined by discriminant analysis. Most of the modern pollen assemblages are typical for their source vegetation types along the elevation gradient, as reflected by the high value of probability of modern analog (mean 0.8) and high proportion of samples classified correctly (88.5%).

5 Conclusions

Modern pollen investigations are conducted across an altitudinal transect from 1100–4500 m on the eastern slope of Gongga Mountain in the eastern TP. Relationships of modern pollen-altitudinal vegetation belts have been explored based on the elevational distributions of major pollen types and discriminant analysis on modern pollen spectra of 70 topsoil samples at an elevation interval of 50 m. The main conclusions are summarized below.

(i) Major pollen types have different distribution patterns along the elevation gradient. Distribution of *Pinus* pollen exhibits a weak correlation with the elevation, and thus

could not indicate elevation range of pine forest on the Gongga Mountain. Distributions of *Picea* and *Abies* pollen (sum 2%–8%) show good correlation with the elevation range of 2700–3700 m, and implications for the distributions of subalpine dark coniferous forest and the timberline. Alpine pollen types of Ericaceae, Cupressaceae and Cyperaceae could be the indicators for the high elevations of 3700–4500 m.

(ii) Seven altitudinal vegetation belts on the eastern slope of Gongga Mountain can be well distinguished by discriminant analysis conducted on the modern pollen assemblages. The quantitative relationship between modern pollen assemblages and altitudinal vegetation belts could be used to reconstruct paleovegetation changes in the mountain regions of the eastern TP.

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