

Effect of elastic inhomogeneity on the surface displacements in the northeastern Japan: Based on three-dimensional numerical modeling

Kachishige Sato¹, Naoya Minagawa^{2*}, Mamoru Hyodo³, Toshitaka Baba⁴, Takane Hori⁴, and Yoshiyuki Kaneda⁵

¹Department of Astronomy and Earth Sciences, Faculty of Education, Tokyo Gakugei University, Nukuikita-machi 4-1-1, Koganei, Tokyo 184-8501, Japan

²Graduate School of Education, Tokyo Gakugei University, Nukuikita-machi 4-1-1, Koganei, Tokyo 184-8501, Japan

³Earth Simulator Center, Japan Agency for Marine-Earth Science and Technology, Showa-machi 3173-25, Kanazawa-ku, Yokohama 236-0001, Japan

⁴Institute for Research on Earth Evolution, Japan Agency for Marine-Earth Science and Technology, Showa-machi 3173-25, Kanazawa-ku, Yokohama 236-0001, Japan

⁵Department of Oceanfloor Network System Development for Earthquakes and Tsunamis, Japan Agency for Marine-Earth Science and Technology, Natsushima-cho 2-15, Yokosuka 237-0061, Japan

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In geodetic inversions such as estimation of coseismic slip and/or afterslip distribution on faults, the displacements on the surface calculated under an assumption of homogeneous elastic half space have been mostly used as the Green's functions (GF's). However, this seems not adequate for better estimations of such slip distribution, because the subsurface structures are more or less inhomogeneous, especially those in and around Japan where the structure must be much complicated. In this study, to examine how much the inhomogeneous subsurface structure affects on the surface displacements, we conduct some 3-D finite element calculations with a grid for the region of 1400 km (EW) × 1200 km (NS) × 200 km (depth) including the Tohoku and Hokkaido, northeastern Japan. Assuming homogeneous and inhomogeneous elastic models with various values for the Young's modulus and Poisson's ratio, we calculated the surface displacements due to a dip-slip type dislocation of 1 m on many cell-like subfaults assumed on the interface between the Pacific and land side plates. Comparing the results, we find a large discrepancy in the surface displacements between the homogeneous and inhomogeneous elastic models and less dependency of the surface displacements on the Poisson's ratio. The discrepancy is found to be more than 20% and can be as large as ~40% in some cases. Such a large discrepancy indicates that the surface displacements calculated for inhomogeneous elastic medium with realistic subsurface structure, unlike as in usual cases, should be used as the GF's for better geodetic inversions.

Key words: Geodetic inversion, Green's function, surface displacement, inhomogeneous subsurface structure, numerical modeling, finite element method.

1. Introduction

In geodetic inversions such as estimation of coseismic slip and/or afterslip distribution on subsurface faults from observed displacements on the ground, the surface displacements calculated under an assumption of homogeneous elastic half space have been mostly used as the Green's functions (GF's). For example, Heki *et al.* (1997) estimated the distributions of coseismic slip and afterslip associated with the 1994 far off Sanriku (Tohoku, northeastern Japan) earthquake (M 7.6) from surface displacements observed at some Global Positioning System (GPS) sites in Hokkaido and northern portion of Tohoku. Miyazaki *et*

al. (2004a, 2004b), Miura *et al.* (2004) and Ozawa *et al.* (2004) estimated the distribution of coseismic slip or afterslip associated with the 2003 Tokachi-oki (Hokkaido, northeastern Japan) earthquake (M 8.0) based on displacements at many sites of the dense GPS network GEONET (GPS Earth Observation Network; e.g., Miyazaki *et al.*, 1997) operated by the Geographical Survey Institute (GSI), Japan, in Hokkaido and northern Tohoku. Baba *et al.* (2006) also inverted the land-based GPS displacement data by GEONET together with vertical displacements at two ocean-bottom pressure gauges operated by the Japan Agency for Marine-Earth Science and Technology (JAMSTEC) (e.g., Hirata *et al.*, 2002) to estimate the afterslip distribution following the same earthquake. In these studies, most authors used as the GF's the theoretical surface displacements calculated for a uniform and homogeneous elastic half space, although a few authors (e.g., Miyazaki *et al.*, 2004a) used those calculated for a horizontally layered elastic media.

*Present address: Tokiwa-shobo Corporation, Chishirodaikita 3-21-1, Wakabaku, Chiba 264-0005, Japan.

However, the subsurface structure of any region is neither homogeneous nor simply layered, and such inhomogeneity or complexity in the subsurface structure should affect on the surface displacements to a certain extent. For instance, Wald and Graves (2001) numerically estimated and compared the surface displacements caused by distributed slips on a subsurface fault in the San Fernando basin region in California, U.S.A., between the cases of homogeneous and inhomogeneous elastic materials. They found that there should be a large discrepancy of $\sim 30\%$ at maximum between these two cases. Using finite element modeling technique, Masterlark (2003) also numerically evaluated the sensitivities of surface displacements, caused by dislocations distributed on the plate interface in a subduction zone off Mexico, to some factors such as subsurface inhomogeneity. His results also showed quite large ($\sim 100\%$ in some portion of his model space) differences in the surface displacements between homogeneous and inhomogeneous elastic materials. Presently the surface displacement data obtained with GPS are usually employed in geodetic inversions, and they have quite high precision, say, a few mm (horizontal displacements) to several mm (vertical displacements). Hence, the GF's for the surface displacements used in the inversions should also be quite precise and accurate ones estimated for a realistic subsurface structure incorporating the material inhomogeneity. Using the GF's estimated for homogeneous elastic half space must be inadequate (e.g., Masterlark *et al.*, 2001; Masterlark, 2003), especially in the case of inversions of geodetic data for the regions in and around Japan which are located near plate subduction zones with quite strong subsurface inhomogeneity.

In order to quantitatively estimate the effect of subsurface inhomogeneity on the surface displacements, we have calculated them caused by dislocations on cell-like subsurface faults by using a 3-D finite element grid for the northeastern portion of Japan which incorporates a realistic subsurface structure. The calculations were performed for various setting of the material properties, such as the Young's modulus and Poisson's ratio, for the upper crust (UC), lower crust (LC), upper mantle (UM) and Pacific plate (PL). In this paper, we discuss quantitatively the effect of elastic inhomogeneity of subsurface structure on the surface displacements based on these calculations.

2. Models and Method of Calculation

In this study, in order to quantitatively estimate how much the subsurface inhomogeneity affects on the surface displacements, we calculate the surface displacements with a finite element method by using a 3-D finite element grid for the northeastern Japan as a test case. The finite element method is a very powerful tool for calculation of deformation and/or stresses in heterogeneous materials, and has been successfully applied in various fields of science. The method has also been frequently used in the solid earth science, for example, to calculate the stresses and/or deformation in plate-subduction and/or plate-collision zones (e.g., Sato *et al.*, 1981, 1996; Sato, 1988, 1989; Hashimoto, 1984, 1985; Yoshioka and Hashimoto, 1989a, 1989b; Yoshioka *et al.*, 1989; Yoshioka and Suzuki, 1997; Kawachi and

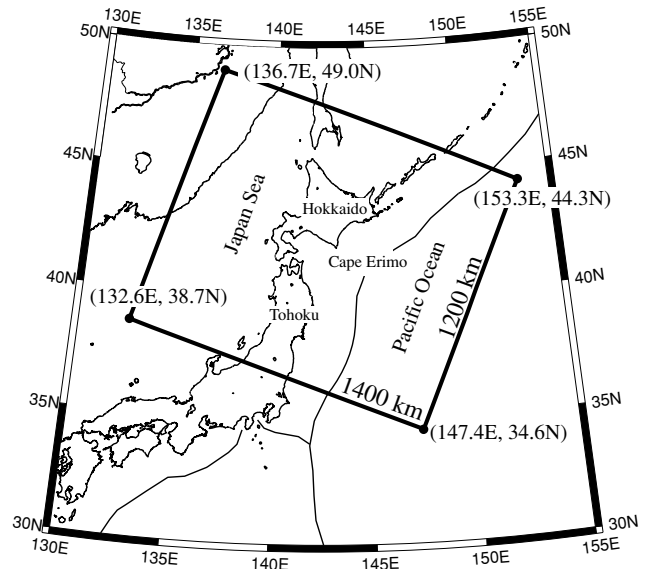


Fig. 1. Map showing the region modeled with a 3-D finite element method in this study. A rectangular region with a dimension of 1400 km (in \sim EW direction) \times 1200 km (in \sim NS direction) indicated by thick lines is modeled.

Miyashita, 1997; Suito and Hirahara, 1999; Hyodo and Hirahara, 2004). In this study, we have used a parallelized finite element code GeoFEM developed at the Research Organization for Information Science and Technology (RIST) (e.g., Iizuka *et al.*, 2002).

We set the model region as shown in Fig. 1, which includes the Tohoku and Hokkaido, northeastern Japan; the dimension of model region is approximately 1400 km (in \sim EW direction) \times 1200 km (in \sim NS direction) \times 200 km (depth). We did not take the curvature of the Earth's surface into account, so that the model surface is treated as a flat plane. The subsurface structure assigned to this model is shown in Fig. 2(a), which consists of four subregions, namely UC, LC, UM and PL. In making this subsurface structural model, we adopted the iso-depth contours of the Conrad and Moho planes given by Zhao *et al.* (1992, 1994). We also made use of the iso-depth contours of the upper plane of the Pacific plate drawn by Hagiwara (1986) (beneath Honshu area) and by Katsumata *et al.* (2003) (beneath Hokkaido area).

The model region was divided into a number of hexahedron elements to build a 3-D finite element grid (Fig. 2(b)). In this process, we employed a suit of utilities, called the CHIKAKU modeling system, including the CHIKAKU-DB and CHIKAKU-CAD developed at the RIKEN (e.g., Kanai *et al.*, 1999, 2000, 2001) and the CHIKAKU-MESH developed at the Japan Atomic Energy Agency (JAEA) (e.g., Miyamura *et al.*, 2004; Oishi *et al.*, 2004). The numbers of nodes and elements included in the finite element grid are 151,040 and 142,506, respectively. The typical element size near surface in the central portion of the grid is ~ 15 km (in the horizontal direction) \times 2 km (in the depth direction).

By using this 3-D finite element grid, in order to quantitatively estimate the effect of inhomogeneity of subsurface structure, we examine in detail the surface displace-

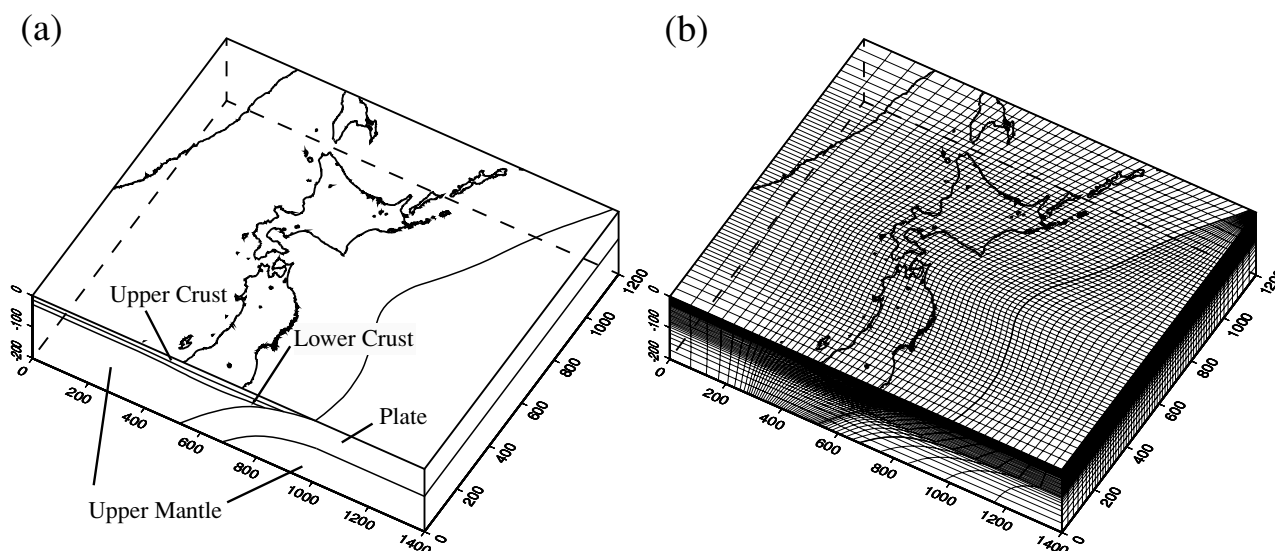


Fig. 2. (a) Outline of the 3-D model space with assumed subsurface structure. The model consists of four subregions, i.e., upper crust, lower crust, upper mantle and plate. (b) 3-D finite element grid with 151,040 nodes and 142,506 elements.

ments calculated with a variety of combinations of the elastic properties (i.e., the Young's modulus and Poisson's ratio) for UC, LC, UM and PL. We assume a set of cell-like subfaults distributed on the upper surface of the subducted Pacific plate in a depth range of about 8–100 km off and beneath Hokkaido. The number of these cell-like subfaults is 672, and in the calculation we imposed independently on each of the cell-like subfaults a constant unit dislocation (i.e., 1 m reverse type dislocation toward an azimuth of 130 degrees). We incorporated the so-called “split node technique” in the finite element method for imposing such dislocation on each subfault. Figures 3(a) and (b) illustrate the distributed cell-like subfaults and the dislocation vectors on each of the subfaults superposed on the finite element grid along the upper boundary of the slab of the Pacific plate. In these figures, the cell-like subfaults and the dislocation vectors together with the finite element grid are all projected onto the model surface. The reason for calculating in this paper the surface displacements due to the unit dislocations on such cell-like subfaults is that we want to examine the effect of inhomogeneity of subsurface structure in the actual cases where the GF's necessary for inversions of the afterslip distribution on these subfaults following the 2003 Tokachi-oki earthquake are calculated with realistic setting. Examples of the vertical cross-sectional views (in \sim EW direction) of subsurface structure and finite element grid are respectively shown in Figs. 4(a) and (b), which correspond to those for the southern end of the region on which the dislocations are imposed (the line A–B in Fig. 3(a)). The boundary conditions are as follows: we impose the so-called roller-conditions on the five model surfaces (i.e., the bottom surface and four side surfaces) except for the upper surface. In the roller-condition, the plane can move only in the tangential direction, but not to the normal direction, to the plane. The upper surface is assumed to be a free surface (i.e. a surface of stress free).

Next, description will be given for the elastic material parameters assigned to UC, LC, UM and PL. The subsurface

structure beneath the northeastern Japan has been studied with seismological method by many authors. Among them, seismological tomography by Nakajima *et al.* (2001) is one of the recent ones. The 3-D structures of the *P*- and *S*-wave velocities derived by them have been used together with the densities given by Dambara and Tomoda (1969) to calculate the Young's modulus and Poisson's ratio of UC, LC and UM. We summarize in Table 1 the *P*- and *S*-wave velocities, densities and resultant Young's modulus and Poisson's ratio of UC, LC and UM. On the other hand, we adopted the Young's modulus and Poisson's ratio of PL respectively given by Liu (1980) and Suito and Hirahara (1999), which are also presented in Table 1.

In order to accomplish our purpose of this paper, that is to quantitatively clarify how much the inhomogeneity of subsurface structure affects on the displacements at surface, we consider several models with various settings of the elastic material parameters for UC, LC, UM and PL other than those given in Table 1. The models considered in the present study are listed in Table 2. The models are classified into two categories, namely homogeneous elastic model (HE-model) and inhomogeneous elastic model (IE-model) groups. The HE-model group includes three models, i.e., HE-1, HE-2 and HE-3; whereas the IE-model group includes four models, i.e., IE-1, IE-2, IE-3 and IE-4. A detailed model description will be given below.

The entire model space of HE-1, HE-2 and HE-3 is homogeneous so that they have no subsurface structure. The displacements and strains due to dislocations within a homogeneous elastic material depend only on the Poisson's ratio but not on the Young's modulus. Hence, in our three homogeneous models, the Young's modulus is the same, but the Poisson's ratio is different from each other. HE-1 is assigned a standard value of 0.25 as the Poisson's ratio, whereas HE-2 and HE-3 are assigned respectively smaller or larger value by 0.02 than 0.25.

Next, the material parameters for inhomogeneous models are as follows. IE-1 is a basic inhomogeneous model for

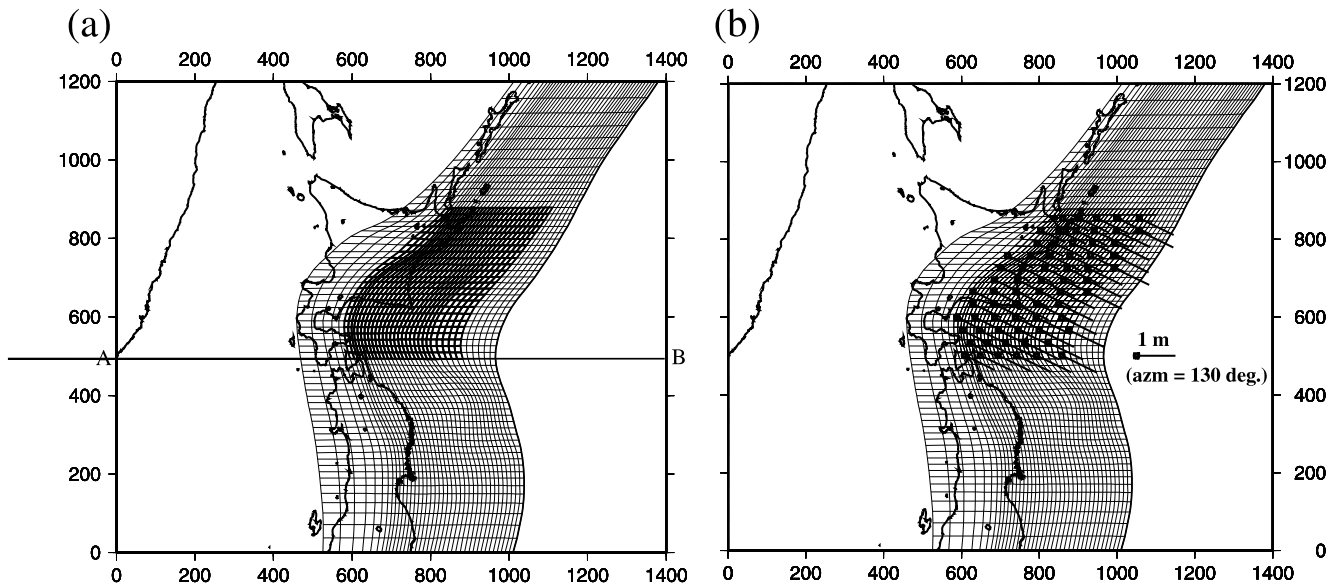


Fig. 3. (a) Cell-like subfaults assumed on the subducted Pacific plate interface. The subfaults are distributed in a depth range of approximately 8–100 km, and the number of them is 672 (= 24 × 28). The grid shown is the one for the Pacific plate interface and projected onto the model surface. (b) Vectors of 1 m reverse type dislocation imposed independently on each of the cell-like subfaults. Note that the vectors are shown also as those projected onto the model surface and, for simplicity, on each five subfaults.

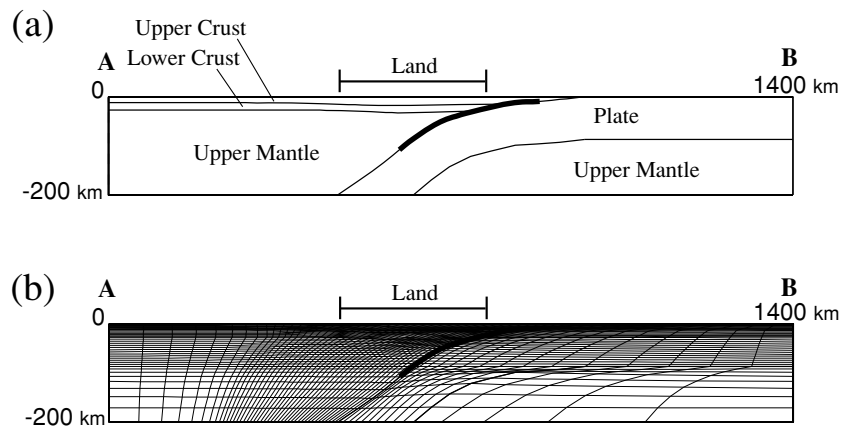


Fig. 4. Examples of vertical cross-sectional views (in ~EW direction) for (a) subsurface structure and (b) finite element grid. These figures correspond to the line A–B in Fig. 3(a), and the thick lines along the Pacific plate interface indicate the portion on which the dislocations are imposed.

Table 1. V_p , V_s , densities and elastic material parameters.

Region	V_p^a	V_s^b	ρ^c	E^d	ν^e
Upper Crust	5.664	3.300	2.67	72.3	0.243
Lower Crust	6.570	3.730	3.00	105	0.263
Upper Mantle	8.270	4.535	3.32	176	0.285
Plate	—	—	—	95.4	0.258
$^a P$ -wave velocity (km/s)	for Upper Crust, Lower Crust and Upper Mantle				
$^b S$ -wave velocity (km/s)	V_p, V_s : Nakajima <i>et al.</i> (2001)				
c density (g/cm^3)	ρ : Dambara and Tomoda (1969)				
d Young's modulus (GPa)	for Plate				
e Poisson's ratio	E : Liu (1980) ν : Suito and Hirahara (1999)				

which the material parameters given in Table 1 are assigned. IE-2 has the same Poisson's ratios as IE-1 for UC, LC, UM and PL, but the Young's moduli for all of these portions are assigned same value of 100 GPa (i.e., no contrast in the

Young's modulus of IE-2). On the other hand, IE-3 has the same Young's moduli as IE-1 for all of the portions, but the Poisson's ratios are unified to the same value of 0.25 for entire of the model space. Moreover, we consider one

Table 2. Model descriptions with material parameter settings.

Model	Young's modulus (GPa)				Poisson's ratio			
	UC ^a	LC ^b	UM ^c	PL ^d	UC ^a	LC ^b	UM ^c	PL ^d
HE-1	100	100	100	100	0.250	0.250	0.250	0.250
HE-2	100	100	100	100	0.230	0.230	0.230	0.230
HE-3	100	100	100	100	0.270	0.270	0.270	0.270
IE-1	72.3	105	176	95.4	0.243	0.263	0.285	0.258
IE-2	100	100	100	100	0.243	0.263	0.285	0.258
IE-3	72.3	105	176	95.4	0.250	0.250	0.250	0.250
IE-4	72.3	105	100	95.4	0.243	0.263	0.285	0.258

^aUC: Upper Crust, ^bLC: Lower Crust, ^cUM: Upper Mantle, ^dPL: Plate

more inhomogeneous model IE-4 in order to estimate the influence of the Young's modulus of UM, whose volume is much larger than those of UC, LC and PL, on the surface displacements. In this model, the Young's modulus of UM is set to 100 GPa, which is approximately half of that in IE-1 (i.e., 176 GPa), and those of UC, LC and PL are the same as those in IE-1 (the Poisson's ratios for UC, LC, UM and PL are also the same as those in IE-1).

3. Results

As described in the previous section, we calculate and compare the displacements on surface for the seven models (i.e., HE-1, HE-2, HE-3, IE-1, IE-2, IE-3 and IE-4) due to the dislocation independently given to each of the 672 cell-like subfaults on the plate interface. Using the displacement vectors at the nodes on the surface of the finite element grid, we recalculate the displacement vectors at 144 positions, i.e., the positions of 142 GEONET stations in the northern Tohoku district and Hokkaido and two ocean-bottom pressure gauges operated by JAMSTEC (e.g., Hirata *et al.*, 2002). Then, in order to evaluate numerically the differences in the surface displacements between models, we calculate the RMS discrepancies in the 144 surface displacements due to the 672 independent dislocations between each pair of the seven models. The RMS discrepancy ϵ between, for example, models A and B is calculated from

$$\epsilon = \left[\frac{1}{N_p N_f} \sum_{i=1}^{N_p} \sum_{j=1}^{N_f} \{ (U_{A,ij} - U_{B,ij})^2 + (V_{A,ij} - V_{B,ij})^2 + (W_{A,ij} - W_{B,ij})^2 \} \right]^{1/2}, \quad (1)$$

where (U, V, W) is the x -, y - and z -components of the displacement vector at each position, and suffixes A and B respectively correspond to models A and B. Suffixes i and j respectively correspond to the serial numbers of positions and cell-like subfaults, and N_p and N_f respectively mean the numbers of positions (i.e., 144) and cell-like subfaults (i.e., 672).

Thus calculated values of the RMS discrepancy ϵ for each model pair are tabulated in Table 3 (note that, for comparison, we also presented in this table the RMS discrepancies for each model pair in the case where only 288 subfaults in the seismogenic depth range of about 20–60 km, instead of 672 subfaults in the depth range of about 8–100 km, are considered). In the table, very small values

of ϵ (i.e., less than 0.01 cm) are presented in parentheses. From the table, we find some points as follows (they are valid for the both cases where the subfaults in the depth range of about 8–100 km are considered and where those only in the seismogenic depth range of about 20–60 km are considered). First, the values of ϵ for the pairs among the homogeneous models (i.e., HE-1, HE-2 and HE-3) are very small so that we can say no meaningful discrepancy in the surface displacements exists among these models. These three models have the same Young's modulus but the Poisson's ratios are different from each other by 0.02 (0.25 in HE-1, 0.23 in HE-2, and 0.27 in HE-3). Next, it is also found that the discrepancies in the surface displacements among the homogeneous and inhomogeneous models are large for some model pairs and very small for other ones. The pairs with small discrepancy are between each homogeneous model and an inhomogeneous model IE-2. On the other hand, those with large discrepancy are between each homogeneous model and the rest of inhomogeneous models, i.e., IE-1, IE-3 and IE-4. Although the inhomogeneous model IE-2 that shows small difference between homogeneous models has different Poisson's ratios for UC, LC, UM and PL, it has homogeneous Young's modulus of 100 GPa. The fact that this inhomogeneous model does not give rise to large discrepancy between homogeneous models means that the contrast in the Poisson's ratio between model subregions (i.e., UC, LC, UM and PL) gives little influence on the surface displacements. On the contrary, the inhomogeneous models IE-1, IE-3 and IE-4 which show large discrepancy between homogeneous models have different Young's moduli for UC, LC, UM and PL, while the Poisson's ratios are different between these subregions in some models but same in other models. Finally, we find that the discrepancies in the surface displacements among the inhomogeneous models are generally large with one exception. The exception with little difference in the surface displacements is the model pair (IE-1 and IE-3). The Young's moduli for UC, LC, UM and PL are equal between the models IE-1 and IE-3, and only the Poisson's ratios for these subregions are different between these two models. All of these points described above mean that the surface displacements do not much depend on the Poisson's ratio, but much depend on the Young's modulus. In other words, the Young's modulus or its contrast within models much affects on the surface displacements than the Poisson's ratio or its contrast. The reason for this will be discussed later. We should, however, emphasize here that it is of course only in the

Table 3. RMS discrepancies between model pairs (unit: cm).

Model	HE-2	HE-3	IE-1	IE-2	IE-3	IE-4
HE-1	(0.002)	(0.002)	0.029	(0.003)	0.028	0.017
	(0.002)	(0.003)	0.026	(0.003)	0.025	0.014
HE-2		(0.005)	0.029	(0.004)	0.028	0.017
		(0.005)	0.026	(0.006)	0.025	0.014
HE-3			0.029	(0.003)	0.028	0.017
			0.026	(0.003)	0.025	0.014
IE-1				0.028	(0.003)	0.020
				0.025	(0.003)	0.025
IE-2					0.028	0.016
					0.024	0.012
IE-3						0.020
						0.025

Note: values in upper rows and lower rows are respectively the RMS discrepancies in the cases where the subfaults in the depth ranges of about 8–100 km (i.e., 672 subfaults) and of about 20–60 km (i.e., 288 subfaults) are considered, and values in parentheses are negligibly small ones (i.e., less than 0.01).

case of inhomogeneous material that the Young's modulus much affects on the surface displacements (as mentioned already, the displacements due to dislocations within a homogeneous material depend only on the Poisson's ratio but not on the Young's modulus).

Then we compare the surface displacement vectors between the model pairs of large discrepancies in the displacements. We choose the model pair (HE-1 and IE-1) as a representative example of such ones, and plot the horizontal surface displacement vectors for this model pair in Fig. 5(a). The surface displacements shown in the figure are those caused by the dislocation at the cell-like subfault indicated by a square in the figure. It can be seen in the figure that, although the directions of horizontal surface displacement vectors in the inhomogeneous model IE-1 are not much different from those in the homogeneous model HE-1 (the maximum discrepancy in the directions of horizontal surface displacements between these two models is $\sim 15^\circ$ and most of them are less than 10°), the magnitudes of them are significantly smaller than those in the homogeneous model. Next, comparison of the vertical surface displacements for the same model pair is shown in Fig. 5(b). It is found that the vertical surface displacements in the inhomogeneous model IE-1 are also slightly smaller than those in the homogeneous model HE-1 at most positions in the land area. On the contrary, in the ocean area, those in the homogeneous model are smaller than those in the inhomogeneous model.

In order to see the dependence of surface displacements in HE-1 and IE-1 and that of their differences on the distance from the trench, we show in Figs. 6(a), (b) and (c) the profiles of total, horizontal and vertical surface displacements in these models along a line in SE-NW direction shown in Figs. 5(a) and (b) as line X–Y. It is noticeable that the total and horizontal surface displacements in HE-1 are larger than those in IE-1 at any point along the profile line. These displacements in both models gradually increase with distance from the trench and take their maximum values near the position above the subfault on which the dislocation is imposed (with an approximate distance of 200 km from the trench). Beyond this position

toward land area they rapidly decrease with distance up to ~ 400 km and then decrease gradually. Their differences also become maximum value near the position above the subfault on which the dislocation is imposed. On the other hand, vertical displacement profiles show somewhat complex behavior. Vertical displacements both in HE-1 and IE-1 are negative (i.e., down-ward) in the distance range from 0 to ~ 120 km and positive (i.e., up-ward) in the distance range between ~ 120 km and ~ 530 km. Beyond the position of a distance of ~ 530 km, both of them again become negative. However, absolute values of the vertical displacement in IE-1 is always larger than that in HE-1 in the distance range between 0 and ~ 280 km, while beyond this position the latter is larger than the former. The difference in the vertical displacements in HE-1 and IE-1 is larger near the trench. Although we do not show figures, it can be qualitatively said that such characteristics in the surface displacements are almost commonly found irrespective of the position of subfault on which the dislocation is imposed. Furthermore, these characteristics are almost similarly recognized for other model pairs of large discrepancies in the surface displacements such as (HE-2 and IE-1), (HE-3 and IE-1), (HE-1 and IE-3), (HE-2 and IE-3) and (HE-3 and IE-3).

Thus we can say that the surface displacements in the inhomogeneous models are generally smaller than those in the homogeneous models. In order to quantitatively clarify the spatial distributions of the discrepancies in the surface displacements between the homogeneous and inhomogeneous models, we plot them in Fig. 7. This figure shows such discrepancy between, as an example, HE-1 and IE-1 in the surface displacements caused by a dislocation on the same cell-like subfault as that shown in Figs. 5(a) and (b). In the figure, we show the discrepancies of surface displacements in IE-1 from those in HE-1 as to the magnitudes of total displacements, horizontal displacements, vertical displacements, and the directions of total displacement vectors. Since the relative discrepancies seem to be more meaningful than the absolute ones as to the magnitudes of discrepancies, the discrepancies shown in Figs. 7(a), (b) and (c) are the relative discrepancies calculated by $\{(\text{displacements}$

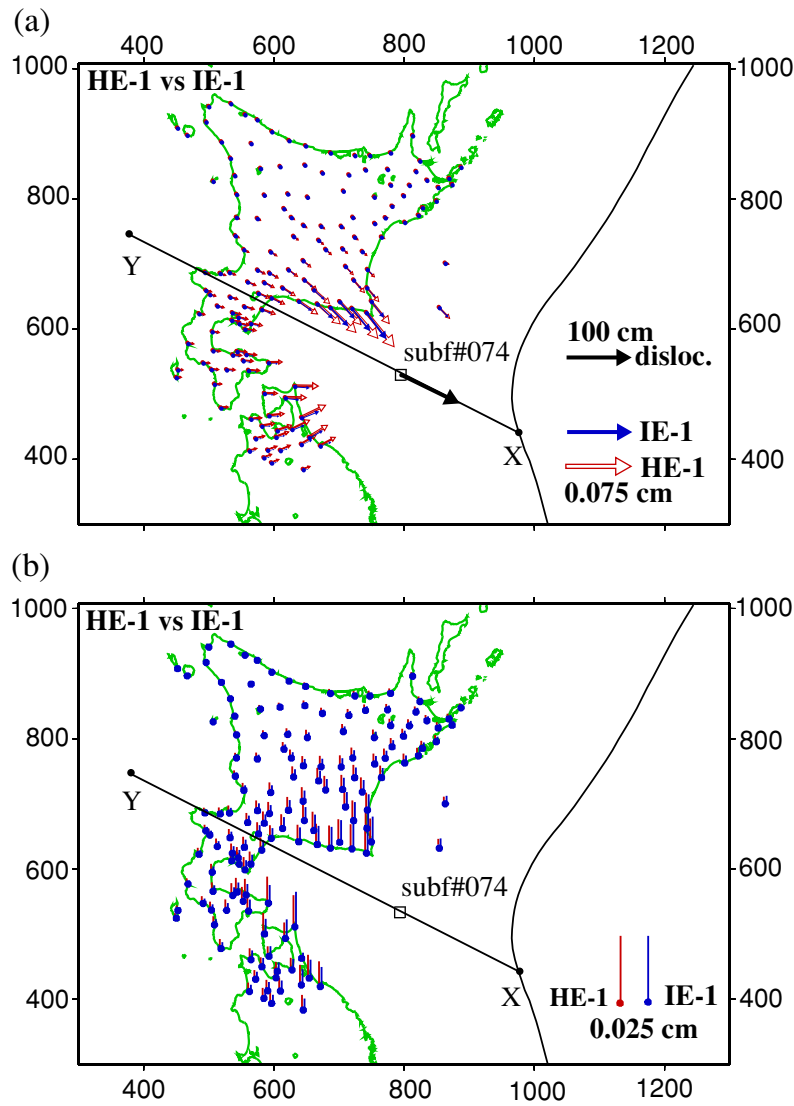


Fig. 5. Comparison of the surface displacements between homogeneous model HE-1 and inhomogeneous model IE-1. (a) Horizontal displacement vectors, and (b) vertical displacements. These figures show the surface displacements due to the reverse type dislocation of 1 m imposed on the cell-like subfault indicated in each figure. The line X–Y in each figure is that along which the surface displacement profiles are shown in Figs. 6(a), (b) and (c).

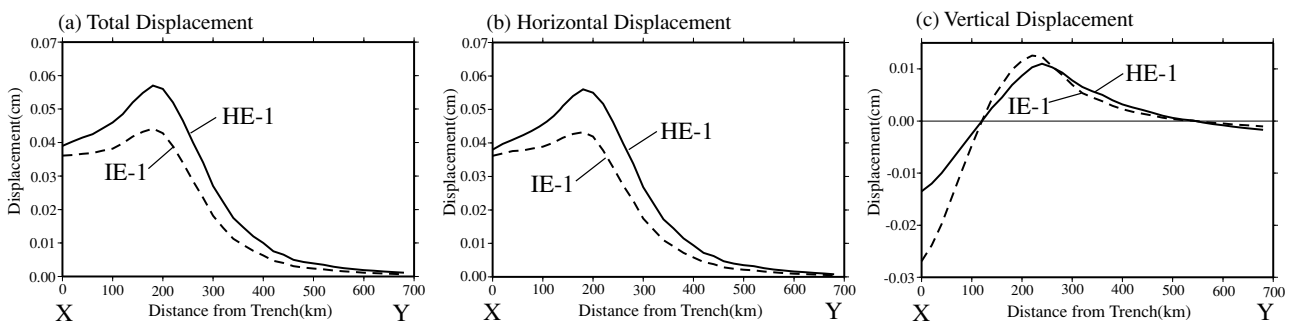


Fig. 6. Profiles of (a) total, (b) horizontal and (c) vertical surface displacements along the line X–Y shown in Figs. 5 (a) and (b). Profiles for both HE-1 and IE-1 are shown in each figure.

in IE-1) – (displacements in HE-1)} / (displacements in HE-1) (polarities are also considered for the vertical displacements so that up- and down-ward displacements are respectively treated as positive and negative values). For reference, in these figures the contours respectively for the

distribution of total, horizontal and vertical displacements (in cm) in HE-1 are also shown. In Fig. 7(d), the discrepancies in the directions are shown as the angles between the displacement vectors in IE-1 and those in HE-1. Note that we do not show in the figures the discrepancies and contours

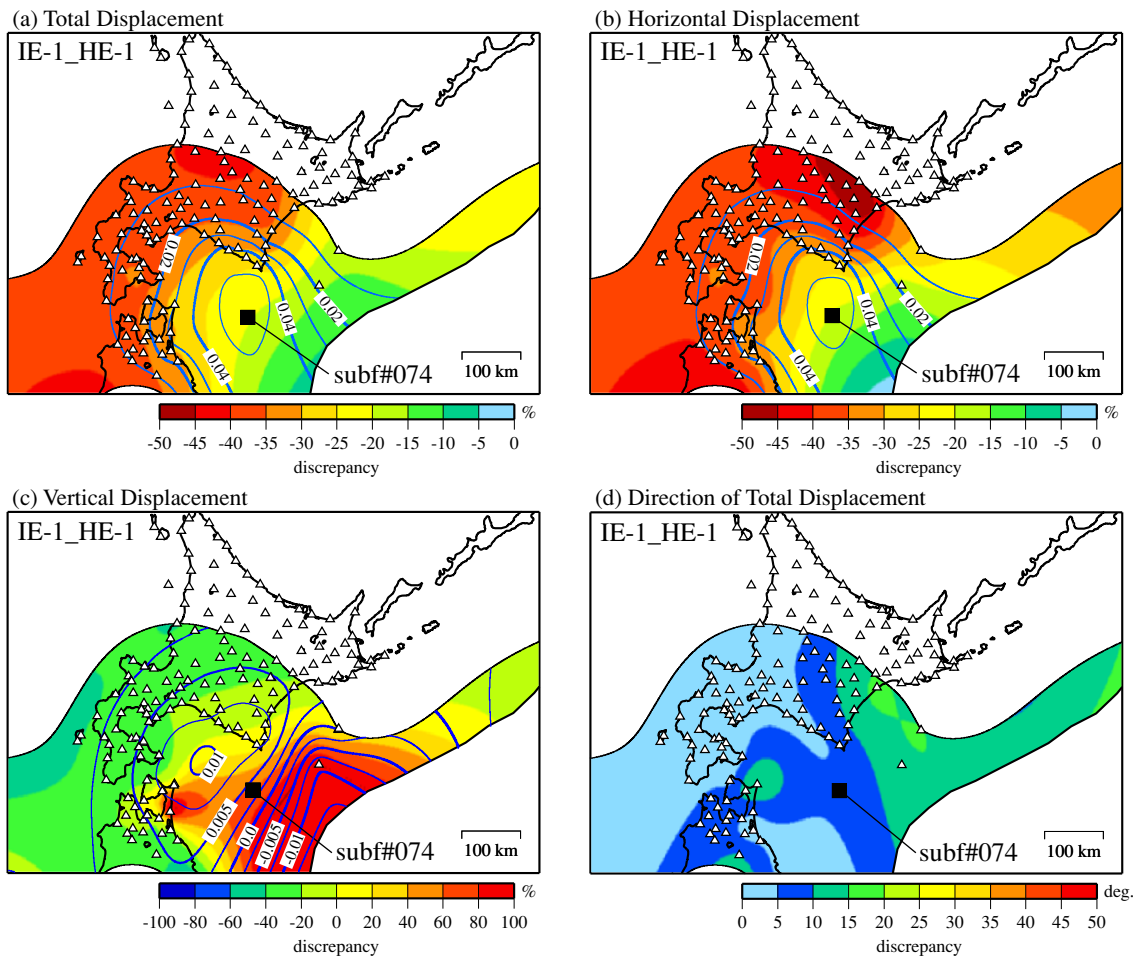


Fig. 7. Spatial distribution of the discrepancies in the surface displacements due to the dislocation on the same subfault as that in Figs. 5(a) and 5(b) between IE-1 and HE-1. Four figures respectively correspond to the discrepancies in (a) magnitudes of total displacements, (b) magnitudes of horizontal displacements, (c) magnitudes of vertical displacements, and (d) directions of the total displacements. The discrepancies in the magnitudes shown are the values calculated by $\{(\text{displacements in IE-1}) - (\text{displacements in HE-1})\} / (\text{displacements in HE-1})$ (polarities are also considered for the vertical displacements so that up- and down-ward displacements are respectively treated as positive and negative values), whereas the discrepancies in the directions are shown as the angles between the displacement vectors in IE-1 and those in HE-1. Contours in (a), (b) and (c) respectively show the distribution of total, horizontal and vertical displacements (in cm) in HE-1. Note that in each figure the discrepancies and contours are not shown for the region where the total surface displacements in HE-1 are less than 10% of the maximum ones. Triangles indicate the positions for which the horizontal and vertical displacements are plotted in Fig. 5.

for the region where the total surface displacements in HE-1 are less than 10% of the maximum ones, since comparing such negligibly small displacements between the models seems less meaningful. Some points as follows can be realized from these figures. First, the spatial distributions of discrepancies in the total and horizontal surface displacements are similar to each other; the discrepancies become larger gradually from the trench region toward land area. The discrepancies are $\sim 30\%$ in the Pacific coast region, and they reach $\sim 40\%$ at some portion of inland area. On the other hand, discrepancies in the vertical surface displacements are smallest at near the Cape Erimo, Pacific coast of Hokkaido, and become larger toward the trench region and toward the inland area to Japan Sea coast. The maximum discrepancy in the vertical displacements reaches $\sim 100\%$ near the trench. Note that the discrepancies in the vertical displacements in the Pacific coast area are positive (i.e., absolute values of the vertical displacements in the inhomogeneous model IE-1 are larger than those in the homogeneous model HE-1) while those in the inland to Japan Sea coast

areas are negative (i.e., absolute values of the vertical displacements in IE-1 are smaller than those in HE-1). The angles between the surface displacement vectors in IE-1 and HE-1 are not very large; they are less than 10° in most area and $\sim 15^\circ$ at maximum. Hence, the directions of surface displacements in the inhomogeneous and homogeneous models are not much different from each other. In a qualitative sense, it can be said that such characteristics of the discrepancies in the surface displacements between the inhomogeneous and homogeneous models does not depend on the position of the subfault on which the dislocation is imposed. However, in a quantitative sense, there are some differences between the cases where the dislocations are imposed on the subfaults in different areas. For instance, if the dislocation is imposed on the subfaults near the trench, the discrepancies in the total or horizontal surface displacements are 30–40% in the Pacific coast region with more than 40% in some of inland area, while they are $\sim 10\%$ near the trench region. On the contrary, if the dislocation is imposed on the subfaults near or beneath the land area, those are $\sim 10\%$ in

the Pacific coast region, whereas those are 30–40% in the trench region. Thus, roughly speaking, the discrepancies in the surface displacements between the inhomogeneous and homogeneous models are larger in the far field and smaller in the near field.

4. Discussion

It has been found as described in the previous section that the surface displacements in inhomogeneous models are significantly smaller than those in homogeneous models by $\sim 30\%$ in most region and more than 40% at maximum. Such discrepancies in the surface displacements generally depend on the distance from the source, i.e., the position of subfault on which the dislocation is imposed. Moreover, as the result of detailed examination of the effect of inhomogeneities in the Young's modulus and Poisson's ratio on the surface displacements with various models having different setting of these material parameters, it has also been found that inhomogeneity in the Young's modulus affects on the surface displacements more than that in the Poisson's ratio. In this section, some points on these results will be discussed.

First, it is understandable that the discrepancies in the surface displacements between the inhomogeneous and homogeneous models roughly depend on the distance from the source. The reason for this is that, since the effect of inhomogeneity (i.e., contrasts in the Young's modulus and/or Poisson's ratio between the subregions such as UC, LC, UM and PL) should be accumulated towards the calculation point from the source, it would be larger if the distance between the source and calculation point is larger.

Next, let us consider the reason why inhomogeneity in the Young's modulus affects more than that in the Poisson's ratio on the surface displacements caused by dislocations within the material. Dislocation is of course tangential or shearing motion on a fault, so that the deformation caused by it would much depend on the shear modulus or rigidity of the material. The shear modulus or rigidity G can be expressed as $G = E/2(1 + \nu)$, where E is the Young's modulus and ν is the Poisson's ratio. Therefore we obtain $(dG/G) = (dE/E) - \{\nu/(1 + \nu)\}(d\nu/\nu)$. If we put 0.25 as a typical value for the Poisson's ratio ν , this equation becomes $(dG/G) = (dE/E) - 0.2(d\nu/\nu)$. This means that the same amount of perturbation in the Young's modulus E (i.e., dE/E) can give rise to five times larger perturbation in the shear modulus or rigidity G (i.e., dG/G) than that in the Poisson's ratio (i.e., $d\nu/\nu$). Hence it is reasonable that inhomogeneity in the Young's modulus affects more than that in the Poisson's ratio on the deformation caused by dislocations. Therefore, in order to obtain accurate estimation of surface displacements due to dislocations, the Young's modulus for subsurface materials should be more accurately known than the Poisson's ratio. However, it is unfortunately more difficult to determine the Young's modulus than to determine the Poisson's ratio, since the latter can be derived from the P - and S -wave velocities of the material which are well constrained through seismic studies while determining the former requires an additional parameter, that is, the density, for which detailed information is not sufficiently obtained. Thus it is desirable to study the

distribution of densities for the subsurface structure as well as those of the seismic velocities.

By the way, there might be a doubt if these results are only apparent ones caused by the prescribed boundary conditions in the finite element calculations. In order to test this, some additional calculations with different boundary conditions have also been accomplished. In these calculations, we remove the so-called roller-conditions imposed on the bottom surface and four side surfaces of the model space. Instead, we constrain the four apexes included in the bottom surface out of eight apexes of the model space not to move horizontally and vertically, and the remaining four apexes included in the model surface not to move only horizontally. The surface displacements thus calculated show little difference from those with the roller-conditions except for those near the model boundaries. Hence, it is found that the roller-conditions do not much disturb the surface displacements in most area, so that the effect of the roller-conditions is negligibly small. Therefore, the discrepancies in the surface displacements between the homogeneous and inhomogeneous models presented in the previous section are not apparent ones due to the imposed boundary conditions.

Finally, we compare our results on the discrepancies in the surface displacements between homogeneous and inhomogeneous models with those in other similar studies. Here we pick up the studies by Wald and Graves (2001) and Masterlark (2003), mentioned earlier, as the examples of such studies and compare our results with theirs. Wald and Graves (2001) calculated and compared the surface displacements due to some distributed dislocations on a subsurface fault in the San Fernando basin region, California, with assumptions of homogeneous and inhomogeneous elastic materials. They found from the calculations that there are very large discrepancies of $\sim 30\%$ at maximum in the surface displacements between the cases of homogeneous and inhomogeneous materials. Although these discrepancies are somewhat smaller than those in the present study, their results also indicate that there should be very large differences in the surface displacements between homogeneous and inhomogeneous elastic materials. The results by Masterlark (2003), which were obtained for the surface displacements caused by dislocations distributed on the plate interface in a subduction zone off Mexico, indicated quite large ($\sim 100\%$ in some portion of his model space) differences in the surface displacements between homogeneous and inhomogeneous elastic materials. This amount of differences are much larger than those in the present study, suggesting that in subduction zones the subsurface inhomogeneities could give rise to significantly large discrepancies in the surface displacements.

In the present study, we intended to emphasize that there would be much discrepancies in the surface displacements between homogeneous and inhomogeneous cases. At the same time, we also intended to elucidate the effects of the elastic properties such as the Young's modulus and Poisson's ratio on the surface displacements. Hence we assigned artificial values to these elastic properties in the models except for IE-1, because it would be better to use much different values as these parameters in different models in

order to clearly show the effects of these parameters. It might be also important, and thus worthwhile in the future, to evaluate the effects of uncertainties in these elastic parameters, which are derived from the seismic velocities and densities, on the surface displacements.

The results obtained in this study that the surface displacements are much different from each other between homogeneous and inhomogeneous models and that those in homogeneous models are larger than those in inhomogeneous models by 20–30% with about 40% at maximum will give rise to an important influence. They mean that there should be a possibility that the dislocations on subsurface faults estimated by using GF's calculated for homogeneous elastic material are significantly smaller than actual ones. Hence, in order to estimate more accurate distributions of the subsurface dislocations, it is important to use the GF's calculated with realistic subsurface structures with adequate material properties such as the Young's modulus and Poisson's ratio, instead of those for the homogeneous elastic half space mostly used so far. For instance, as mentioned in the first section, there have already been some inversion studies in which the distribution of coseismic slip or afterslip associated with the 2003 Tokachi-oki (Hokkaido, northeastern Japan) earthquake (M 8.0) was derived from the displacements at many sites of GEONET in Hokkaido and northern Tohoku which are the same regions as those modeled in this work (e.g., Miyazaki *et al.*, 2004a, 2004b; Miura *et al.*, 2004; Ozawa *et al.*, 2004) or from the land-based GEONET data together with those by ocean-bottom pressure gauges (Baba *et al.*, 2006). However, most of these studies used the GF's for the surface displacements calculated with the assumptions of homogeneous elastic half space. Therefore, in order to estimate more accurately the afterslip distribution, it may be necessary to reevaluate it by using the GF's calculated for the realistic inhomogeneous subsurface structure such as those obtained in the present study. Hence we are now proceeding to the calculation of the afterslip distribution following the 2003 Tokachi-oki earthquake by using the surface displacements obtained in the present study as the GF's. The results will be presented elsewhere.

5. Conclusions

In this study, we quantitatively evaluate the effect of inhomogeneity of subsurface structure on the surface displacements through numerical calculations by using a 3-D finite element grid for the northeastern Japan (i.e., the Tohoku and Hokkaido districts). The finite element grid has a size of 1400 km (in \sim EW direction) \times 1200 km (in \sim NS direction) \times 200 km (depth). We consider two types of models as to the material parameter settings (i.e., the Young's modulus and Poisson's ratio) for the subsurface structure; one is the homogeneous type having no subsurface structure, while another is the inhomogeneous one having some subsurface structure. The homogeneous and inhomogeneous types include respectively three and four models with various material parameter settings. We calculate and compare the surface displacements in each model caused by a unit dislocation on each of 672 cell-like subfaults distributed on the upper interface of the Pacific plate.

The results of calculations can be summarized as follows:

- (1) The surface displacements in inhomogeneous models are significantly smaller than those in homogeneous models by \sim 30% in most region with a maximum discrepancy of \sim 40%.
- (2) Inhomogeneity in the Young's modulus affects on the surface displacements more than that in the Poisson's ratio.

Based on these results, we may conclude that using the GF's obtained for homogeneous elastic half space is not adequate, especially in the regions such as those in and around Japan where inhomogeneity of subsurface structure is large.

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K. Sato (e-mail: sato@u-gakugei.ac.jp), N. Minagawa, M. Hyodo, T. Baba, T. Hori, and Y. Kaneda