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ORIGINAL PAPER

## Association between cervical dysplasia and human papillomavirus in HIV seropositive women from Johannesburg South Africa

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### Abstract

**Objective** To examine the association between CD4 counts, HPV infection and the risk of cervical neoplasia among HIV-seropositive women.

**Methods** A cross-sectional observational study was conducted among 1,010 HIV-seropositive women using cytology-based Pap smears. HPV DNA testing using Linear Array genotyping assay (Roche) was carried out in a subset of 191 patients. Multivariable-adjusted prevalence ratios (mPR) and 95% confidence intervals (CIs) were estimated with log-binomial regression.

**Results** Among 1,010 HIV-seropositive women, the prevalence of AGC/ASCUS, LSIL and HSIL or greater was 8.3, 23.5 and 18.0%, respectively. The risk of cervical lesions was higher with CD4 < 200 cells/mm<sup>3</sup> vs. CD4 levels > 500/mm<sup>3</sup>. HPV types 16 (41.7%) and HPV 56

(22.2%) were the most common types in HSIL cases. Women with CD4 levels < 200/mm<sup>3</sup> had a higher prevalence of HPV types 16 ( $p < 0.01$ ) and 66 ( $p = 0.04$ ). No statistical relationship between cervical lesions and HAART use was found.

**Conclusion** The burden of HPV infection and HSIL was high and correlated with HIV-induced immunosuppression. HPV 16 was the most common type in HSIL and increased in prevalence with greater immune suppression. Prophylactic HPV 16 vaccination could prevent approximately 40% of HSIL cases. Strengthening screening programs is imperative in this population.

**Keywords** Cervical dysplasia · HIV · South Africa · Human papillomavirus

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## Introduction

Cervical cancer is the second most common female cancer worldwide, and the most common cancer among women in many less developed countries without adequate access to quality screening programs [1]. Oncogenic human papillomavirus (HPV) is a necessary cause of invasive cervical cancer (ICC) [2, 3], with HPV types 16 and 18 being attributable causes in ~70% of cases [4]. Although most HPV infections are cleared within 6 months to 2 years [5], persistence of HPV infection is consistently associated with an increased risk of high-grade cervical neoplasia and ICC [6].

Globally in 2007, an estimated 33.2 million people were HIV infected; 22.5 million of these people live in sub-Saharan Africa with 61% (13.75 million) of these being women [7]. HIV-seropositive women have a notably higher risk of cervical neoplasia and ICC than HIV-seronegative women [8]. HIV-induced immunosuppression has been associated with a higher risk of cervical neoplasia and a higher prevalence of overall and oncogenic type HPV infections [9]. Among HIV-seropositive women, HPV infections are not only more common [10, 11], but are more likely to persist [12, 13] and consequently result in a higher prevalence of high-grade cervical lesions [14, 15] than among HIV-seronegative women.

Data concerning the relative importance of the most common HPV types in ICC among HIV-seronegative women (type 16) have been inconsistent among HIV-seropositive women [4, 16] and [17]. These data have important implications for currently available HPV prophylactic vaccines targeting oncogenic HPV types 16 and 18 [18, 19]. A global review of HPV types in HIV-seropositive women found that HPV type 16 prevalence increased with increasing severity of cervical lesions [17], but was less commonly found in high-grade squamous intra-epithelial lesions (HSIL) cases in HIV-seropositive women when compared to HSIL among HIV-seronegative women [4, 17]. In contrast, a case-series from Kenya found a similar proportion of HPV 16 positive among HIV-seropositive (41%) than HIV-seronegative women (44%) with ICC [8]. Among HIV-seropositive women from North America and Europe, HPV 16 prevalence was more weakly associated with immune suppression (as measured by reduced CD4 counts) than other HPV types [9, 20], suggesting that HPV 16 may be better able to evade immune responses than other HPV types. Data are currently lacking, however, on associations between HIV-induced immune suppression and type-specific HPV 16 infection among HIV-seropositive women within the African context.

With the global increase in funding to facilitate the treatment of more HIV-infected individuals in less developed countries, more HIV-infected women are now

accessing antiretroviral therapy. Some previous studies have shown a regression of cervical lesions among HIV-infected women receiving highly active antiretroviral therapy (HAART) [21, 22]; however, others have shown no difference between women untreated or treated with different antiretroviral regimens [14, 23]. One study found that HAART therapy did not appear to significantly affect oncogenic HPV persistence [24], and cervical HPV infection has been shown to persist in a high proportion of patients using HAART [21, 23]. Although HAART for HIV-infected women has a clear effect on restoration of the immune status, the effect of antiretroviral treatment on cervical neoplasia is little known and still debated.

We present here an observational study examining HIV-induced immune suppression (measured by reduced CD4 counts) and other factors on the risk of HPV infection and cervical neoplasia among HIV-seropositive women in South Africa.

## Materials and methods

### Study population and enrollment

A baseline, cross-sectional study of HIV-infected women was conducted within the South Africa Cervical Cancer Cohort (SACCC) [25]. HIV-infected women aged from 18 to 65 were recruited from an adult HIV outpatient clinic in a teaching hospital affiliated with the University of Witwatersrand in Johannesburg. Women were eligible to participate in this study unless they (1) were pregnant; (2) had undergone a hysterectomy or conization; (3) were severely ill; or (4) had symptoms and/or signs suggestive of a sexually transmitted infection (STI). Women were study eligible following the treatment of any symptomatic STI, and, if pregnant, 6 weeks after delivery. Of 1,574 women who met eligibility criteria, 66% agreed to join the study, resulting in 1,039 participating women. After the exclusion of 29 women with cytological data not available, a total of 1,010 HIV-infected women were included.

After an educational session was presented on cervical cancer screening in English or Zulu, women were invited for a Pap smear and to participate in this observational study. Health workers screened for exclusion criteria, explained study aims and obtained written informed consent. A medical history was obtained by participant interview including information on sociodemographics, antiretroviral therapy status and lifestyle factors, including smoking/snuff (traditional chewing tobacco), reproductive/menstrual characteristics, previous Pap smear results if applicable, sexual history/behaviors, history of STIs and contraceptive use. All protocols were reviewed and cleared by the Ethical Committee of the University of Witwatersrand Human Research

Ethics Committee (Medical) and, for secondary data analysis, from the University of North Carolina.

Gynecological examination, specimen collection and processing

During a pelvic examination, cervical exfoliated cells were collected using two individual endocervical brushes for a conventional Pap smear diagnosis and HPV DNA detection. Conventional cervical smears were performed as liquid-based cytology is currently not available in South Africa. Cytology slides were read and analyzed according to the Bethesda 2001 reporting guidelines [26]. Women with atypical squamous cell-high (ASC-H) and HSIL were referred for immediate colposcopy. Women with ASCUS or low-grade intra-epithelial lesions (LSIL) were followed with a repeat Pap smear after 1 year if their CD4 count was  $\geq 200$ , or after 6 months if their CD4 count was  $<200/\text{mm}^3$ . Women were also referred for colposcopy if there were 3 consecutive LSIL results over 18 months or greater. For quality control, 10% of the conventional cytology slides were sent to University of North Carolina for blinded double-reading on two occasions, and a high rate of concordance was observed (81–85%). HPV brushes were placed in PBS solution and stored in a 4°C refrigerator and shipped to University of Cape Town on ice for HPV DNA laboratory testing within 2 weeks of collection.

HIV-seropositive women were treated according to the HIV South African Guidelines on Comprehensive HIV and AIDS Care, Management and Treatment [27], which initiates highly active antiretroviral therapy (HAART) at WHO stage 4 or CD4 count  $\leq 200$  cells/ $\text{mm}^3$ . The women who had CD4 counts over 500 had originally started HAART according to the above criteria and had good clinical response to treatment.

HPV DNA PCR laboratory testing

HPV DNA testing was conducted on samples from randomly selected women using the Roche Linear Array HPV genotyping test (Roche Molecular Systems Inc. California USA), and the results were validated utilizing the beta-globin according to the manufacturer's instructions. Laboratory personnel were blinded of all other laboratory and medical history data. HPV DNA results were limited to 191 women (~20% of the study population) due to funding constraints.

The Pap smear was done at the same visit that the HPV DNA was collected from women without any knowledge of any previous Pap smear results, and the woman chosen were the first 191 women who agreed to have the HPV testing done. The Linear Array assay detects a total of 37 HPV types. Individual HPV types were divided into 14

oncogenic (high risk) types: 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66, 68, and 23 non-oncogenic types (low risk): HPV 6, 11, 26, 40, 42, 53, 54, 55, 61, 62, 64, 67, 69, 70, 71, 72, 73, 81, 82, 83, 84, IS39 and CP6108 [28].

Statistical analysis

Current antiretroviral therapy status, sociodemographic, reproductive and sexual behavior characteristics were calculated, stratified by three CD4 count categories ( $<200$ , 200–500 and  $>500/\text{mm}^3$ ). Age-adjusted prevalence ratios (PRs) for cervical lesions and corresponding 95% confidence intervals (CIs) were calculated by means of log-binomial regression [29] for each grade of cervical abnormality according to the Bethesda classification system [26] (ASCUS, LSIL, and HSIL or greater). Multivariable-adjusted prevalence ratios (mPR) and 95% CIs were estimated with log-binomial regression to determine factors associated with cervical lesion outcomes. An initial multivariable model included all potential confounders, and final multivariable model was built using a backward selection method with 10% change-in-estimate criteria. We systematically choose to retain variables in the final multivariable model if they were significant for any clinical outcome (AGC/ASCUS, LSIL,  $\geq$  HSIL) in order to allow the direct comparison of risk factors between different stages of cervical neoplasia. Multivariable models included age categorized into three levels:  $<30$ , 30–40 and  $>40$  years. Polychotomous variables were included as sets of indicator variables.

The number and relative percentage of HPV types were calculated among a subgroup of 191 women with available data on HPV DNA, stratified by cervical status and CD4 count grouping. The prevalence of overall HPV, multiple and single oncogenic HPV types was also calculated. Women in the oncogenic group have at least one or more oncogenic types. Relative differences in HPV prevalence were tested using chi-squared statistics using two-sided  $p$  values and were not adjusted for other risk factors.

## Results

A total of 1,010 HIV-seropositive women participated, with a median age 34 years (range 18–65). Approximately one-third of women (32.4%) reported having graduated from high school. Few women reported ever smoking (5.5%) and 20.8% reported current alcohol use. A high proportion of women (42.8%) reported having had five or more lifetime sexual partners. The most commonly reported method of contraception was male condoms (75.4%), while 12.4% reported a history of oral contraceptive use.

Over two-thirds (72%) of women reported having never had a Pap smear. Less than 1% of women reported a previous history of treatment of cervical dysplasia.

When examining differences by stratified CD4 counts (Table 1), more women with lower CD4 counts < 200/mm<sup>3</sup> were currently on antiretroviral (HAART) therapy (74.5%) than with higher CD4 counts > 500/mm<sup>3</sup> (51.7%) ( $p < 0.01$ ). Women with CD4 counts < 200/mm<sup>3</sup> were also less likely to report current use of oral contraceptives compared to women with CD4 counts > 500/mm<sup>3</sup> (9.6 vs. 11.9%,  $p < 0.05$ ) (Table 1). Other factors did not appear to be associated with HIV-induced immune suppression, including educational attainment, smoking, alcohol status, age at first intercourse, history of condom use or reported number of sexual partners.

### Cervical lesions and other characteristics

The overall prevalence of ASCUS/AGC (atypical glandular cells), LSIL, HSIL or greater was 8.3, 23.5 and 18.0%, respectively. A total of 2 cases of ICC were found, resulting in a prevalence rate of 198/100,000. Lower CD4 count levels after adjusting for age were associated with an increased prevalence of abnormal cervical cytology. Only in the ASCUS/AGC category this trend was not found to be statistically significant: ASCUS/AGC (including 2 cases of AGC): (PR = 1.9; 95% CI: 0.9–3.7 for CD4 < 200/mm<sup>3</sup> vs. >500/mm<sup>3</sup>), LSIL (PR = 2.5; 95% CI: 1.6–4.0 for CD4 < 200/mm<sup>3</sup> vs. >500/mm<sup>3</sup> and HSIL (PR = 2.5; 95% CI: 1.5–4.4 for CD4 < 200/mm<sup>3</sup> vs. >500/mm<sup>3</sup>)(Table 2). When compared to women under 30 years of age, LSIL was

**Table 1** Sociodemographic, sexual behavior and reproductive characteristics of 1,010 HIV-infected women in Johannesburg, South Africa

	CD4 < 200/mm <sup>3</sup>	CD4 200–500/mm <sup>3</sup>	CD4 > 500/mm <sup>3</sup>	Overall
<i>n</i>	428	464	118	1,010
Age (median in years, range)	34 (20–57)	34 (18–65)	34 (19–56)	34 (18–65)
CD4 count/mm <sup>3</sup> (median, range)	102 (1–199)	304 (200–499)	626 (501–1,789)	231 (1–1,789)
HAART <sup>a</sup> (%) ( $p$ for difference < 0.001)				
None	25.5	40.5	48.3	35.1
Regime 1a <sup>b</sup>	61.7	37.7	22.9	46.1
Regime 1b <sup>b</sup>	8.4	8.4	10.2	8.6
Regime 2 <sup>b</sup>	1.4	3.5	5.1	2.8
Others	3.0	9.9	13.6	7.4
Cervical status (%) ( $p$ for difference < 0.001)				
Normal	39.5	55.6	67.8	50.2
AGC <sup>c</sup> /ASCUS	9.1	7.8	7.6	8.3
LSIL	31.8	18.1	14.4	23.5
≥HSIL <sup>d</sup>	19.6	18.5	10.7	18.0
Education (%)				
Up to grade 8	30.4	24.1	24.6	26.8
Grade 8–12	39.3	43.5	35.6	40.8
≥12	30.4	32.3	39.8	32.4
Ever smoking (%)	4.2	7.1	4.2	5.5
Current alcohol use (%)	18.0	23.3	21.2	20.8
Age at first intercourse (%)				
<15 years	11.5	11.2	9.3	11.1
15–18 years	58.4	55.8	55.9	56.9
≥19 years	30.1	33.0	34.8	32.0
Number of lifetime sexual partners ≥ 5 (% <sup>e</sup> )	45.0	42.6	35.6	42.8
Parity (median, range)	2 (0–9)	2 (0–7)	2 (0–7)	2 (0–15)
Current oral contraceptive use (%) ( $p$ for difference < 0.05)	9.6	15.1	11.9	12.4
Current condom use (%)	80.0	76.9	81.4	75.4

<sup>a</sup> HAART—highly active antiretroviral therapy

<sup>b</sup> 1a Stavudine, Lamivudine, Efavirenz; 1b Stavudine, Lamivudine, Nevirapine; 2 Zidovudine, Didanosine, Lopinavir/Ritonavir

<sup>c</sup> AGC/ASCUS include 2 cases of AGC

<sup>d</sup> ≥HSIL includes 2 cases of ICC

<sup>e</sup> Denominators for percentages excluded observations with missing values

**Table 2** Risk factors and prevalence ratios among 1,010 HIV-infected women in Johannesburg, South Africa

	Normal	AGC/ASCUS <sup>a</sup>		LSIL		≥HSIL <sup>b</sup>	
	<i>n</i> = 507	<i>n</i> = 84	PR <sup>c</sup> (95% CI)	<i>n</i> = 237	PR <sup>c</sup> (95% CI)	<i>n</i> = 182	PR <sup>c</sup> (95% CI)
Age (years)							
<30 <sup>d</sup>	106	27	1	74	1	38	1
30–40	267	40	0.6 (0.4–1.0)	120	0.8 (0.6–0.9)	103	1.1 (0.8–1.5)
>40	134	17	0.6 (0.3–1.0)	43	0.6 (0.4–0.8)	41	0.9 (0.6–1.3)
CD4 count/mm <sup>3</sup>							
<200	169	39	1.9 (0.9–3.7)	136	2.5 (1.6–4.0)	84	2.5 (1.5–4.4)
200–500	258	36	1.2 (0.6–2.5)	84	1.4 (0.9–2.3)	86	1.9 (1.1–3.3)
>500 <sup>d</sup>	80	9	1	17	1	12	1
			<i>p</i> for trend < 0.01		<i>p</i> for trend < 0.001		<i>p</i> for trend < 0.001
Education attainment (grade)							
<8 <sup>d</sup>	147	22	1	54	1	48	1
8–12	198	31	1.0 (0.9–1.1)	102	0.9 (0.8–1.1)	81	0.9 (0.9–1.1)
>12	162	31	1.0 (0.9–1.1)	81	1.0 (0.8–1.1)	53	1.0 (0.9–1.1)
Current alcohol use							
No <sup>d</sup>	393	61	1	187	1	159	1
Yes	114	23	1.0 (0.9–1.1)	50	1.0 (0.9–1.1)	23	1.2 (1.1–1.3)
Age at first intercourse (years)							
≥19 <sup>d</sup>	180	21	1	71	1	51	1
15–18	267	52	1.6 (1.0–2.6)	146	1.2 (1.0–1.6)	110	1.3 (1.0–1.8)
<15	60	11	1.4 (0.7–2.8)	20	0.8 (0.5–1.3)	21	1.1 (0.7–1.8)
Number of lifetime sexual partners <sup>e</sup>							
<5 <sup>d</sup>	281	40	1	140	1	114	1
≥5	221	44	1.4 (0.9–2.0)	97	0.9 (0.8–1.2)	68	0.8 (0.6–1.1)
Parity							
0	78	13	1.0 (0.6–1.9)	30	1.0 (0.6–1.1)	13	0.6 (0.3–1.0)
1 <sup>d</sup>	164	24	1	84	1	51	1
≥2	265	47	1.4 (0.8–2.2)	123	1.0 (0.8–1.3)	118	1.4 (1.0–1.8)
Current oral contraceptive use							
No <sup>d</sup>	442	72	1	216	1	155	1
Yes	65	12	1.0 (0.9–1.1)	21	1.1 (1.0–1.3)	27	1.0 (0.8–1.1)
Current condom use							
No <sup>d</sup>	117	20	1	54	1	58	1
Yes	390	64	0.9 (0.5–1.4)	183	0.9 (0.7–1.2)	124	0.7 (0.5–0.9)

<sup>a</sup> AGC/ASCUS include 2 cases of AGC

<sup>b</sup> ≥HSIL includes 2 cases of ICC

<sup>c</sup> Age-adjusted prevalence ratio (PR) vs. 507 HIV-seropositive women with normal cervical status

<sup>d</sup> Reference category

<sup>e</sup> Denominators for percentages exclude observations with missing values

also less prevalent among women 30–40 years of age (PR = 0.8; 95% CI: 0.6–0.9) and women over 40 (PR = 0.6; 95% CI: 0.4–0.8). Both ASCUS and HSIL were also less likely among women over age 40, although associations were not statistically significant (Table 2). Approximately, one-third of women with LSIL (31.2%, 74/237) and one-fifth of women with HSIL (20.9%, 38/182) were 30 years or younger.

Current alcohol use was associated with slightly higher prevalence of HSIL (age-adjusted PR = 1.2; 95% CI: 1.1–1.3) (Table 2). Age-adjusted prevalence of abnormal cervical cytology was not significantly associated with the sexual history such as age at first intercourse, number of life time sexual partners and higher parity. However, abnormal cytology prevalence appeared to be lower for women who reported current condom use vs. non-users for



HSIL cases (PR = 0.7; 95% CI: 0.5–0.9 for HSIL (Table 2). A history of smoking, using snuff or current oral contraceptive use did not show any association with abnormal cervical cytology (Table 1).

In the multiple log-binomial regression models, lower CD4 count (<200 vs. >500/mm<sup>3</sup>) was consistently associated with all grades of cervical neoplasia: HSIL (mPR = 2.4; 95% CI: 1.4–4.2), LSIL (mPR = 2.4; 95% CI: 1.5–3.8) and ASCUS (mPR = 1.8; 95% CI: 0.9–3.6) (Table 3). Associations between current HAART use were not statistically significant in the multivariate model (mPR = 1.3; 95% CI: 0.9–1.7 for HSIL; mPR = 1.2; 95% CI: 0.9–1.5 for LSIL; PR = 1.3; 95% CI: 0.8–1.9 for ASCUS).

#### Associations between HPV infection, cervical lesions and CD4 count

Among the 191 women with HPV DNA results, the prevalence and distribution of abnormal lesions were the same as the main cohort: AGC/ASCUS 7.3% (14/191), LSIL 27% (52/191) and HSIL 18.3% (35/191). There was no statistical difference between the subgroup of women with HPV typing and the main cohort in terms of age, CD4 count, HAART use, educational level, age of first sexual encounter, number of lifetime sexual partners, condom use or smoking habits.

There was a higher prevalence of overall ( $p < 0.01$ ), single ( $p < 0.01$ ) and multiple oncogenic HPV types ( $p < 0.01$ ) with increasing grade of cervical abnormalities.

The prevalence of HPV 16 ( $p < 0.01$ ), 56 ( $p < 0.01$ ), HPV 33 ( $p = 0.03$ ), HPV 59 ( $p = 0.06$ ) and HPV 66 ( $p = 0.01$ ) was also higher in HIV-seropositive women with HSIL or LSIL compared to those with HIV-seropositive women with normal or ASCUS diagnoses (Fig. 1). Compared to women with normal cervical cytology, women with LSIL, HSIL or AGUS/ASCUS had a higher prevalence of any HPV positivity (HSIL: 88.9%, LSIL: 98.0%, AGUS/ASCUS: 100% and normal: 74.4%,  $p < 0.01$ ), any oncogenic HPV (HSIL: 77.8%, LSIL: 90.2%, AGUS/ASCUS: 71%, normal: 60.0%,  $p < 0.01$ ) or multiple oncogenic HPV types (HSIL: 58.3%, LSIL: 68.6%, AGUS/ASCUS: 42.9%, normal: 38.9%,  $p < 0.01$ ). The prevalence of oncogenic and multiple HPV types appeared to be generally similar in LSIL and HSIL cases. However, the absolute number of HPV types found in LSIL appeared to be higher in that in HSIL cases (Fig. 2).

HPV type 16 appeared to be more prevalent in more advanced cervical dysplasia (HSIL: 41.7%, 95% CI: 24.8–58.6% (15/36 women), LSIL: 37.3%, 95% CI: 23.5–51.0%, (19/51 women), ASCUS: 14.3%, 95% CI: 0.0–35.3% (2/14 women) and normal: 17.8%, 95% CI: 9.7–25.8% (16/90 women) ( $p = 0.002$ ). In women with negative cytology results, a significant association was seen between CD4 count and HPV 16 with CD4 < 200: 27.3% (9/33), 200–500: 15.2% (7/46) and >500: 0% (0/11),  $p = 0.03$ . HPV type 18 was relatively less common in HSIL (2.8%, 95% CI: 0.0–8.4%). No significant difference in HPV positivity by grade of cervical lesion was found for any other individual HPV type. The most common oncogenic HPV types

**Table 3** Risk factors and multivariate prevalence ratios among 1,010 HIV-infected women in Johannesburg, South Africa

	Normal	AGC <sup>a</sup> /ASCUS		LSIL		≥HSIL <sup>b</sup>	
	<i>n</i> = 507	<i>n</i> = 84	mPR <sup>c</sup> (95% CI)	<i>n</i> = 237	mPR <sup>c</sup> (95% CI)	<i>n</i> = 182	mPR <sup>c</sup> (95% CI)
Age							
<30 years <sup>d</sup>	106	27	1	74	1	38	1
30–40 years	267	40	0.7 (0.4–1.0)	120	0.8 (0.6–0.9)	103	1.0 (0.7–1.4)
>40 years	134	17	0.5 (0.3–1.0)	43	0.6 (0.4–0.8)	41	0.8 (0.6–1.2)
CD4 count/mm <sup>3</sup>							
<200	169	39	1.8 (0.9–3.6)	136	2.4 (1.5–3.8)	84	2.4 (1.4–4.2)
200–500	258	36	1.2 (0.6–2.4)	84	1.4 (0.9–2.2)	86	1.9 (1.1–3.3)
>500 <sup>d</sup>	80	9	1	17	1	12	1
HAART <sup>e</sup>							
No <sup>d</sup>	201	27	1	71	1	55	1
Yes	306	57	1.3 (0.8–1.9)	166	1.2 (0.9–1.5)	127	1.3 (0.9–1.7)

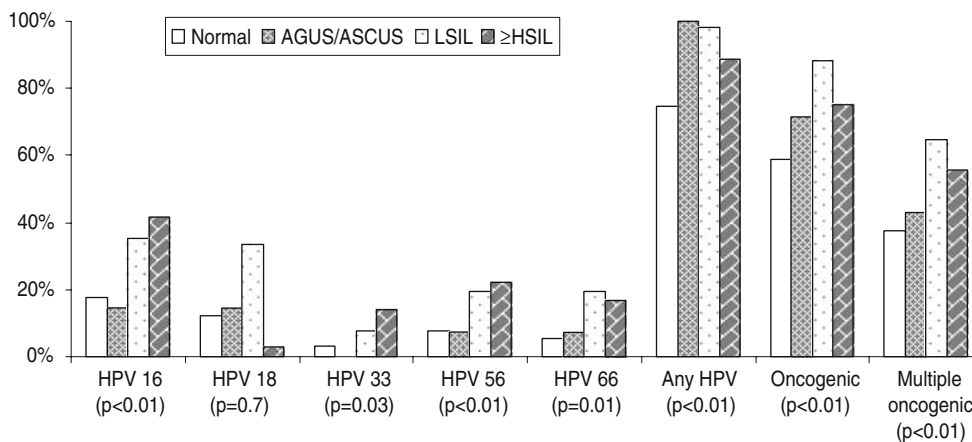
<sup>a</sup> AGC/ASCUS include 2 cases of AGC

<sup>b</sup> ≥HSIL includes 2 cases of ICC

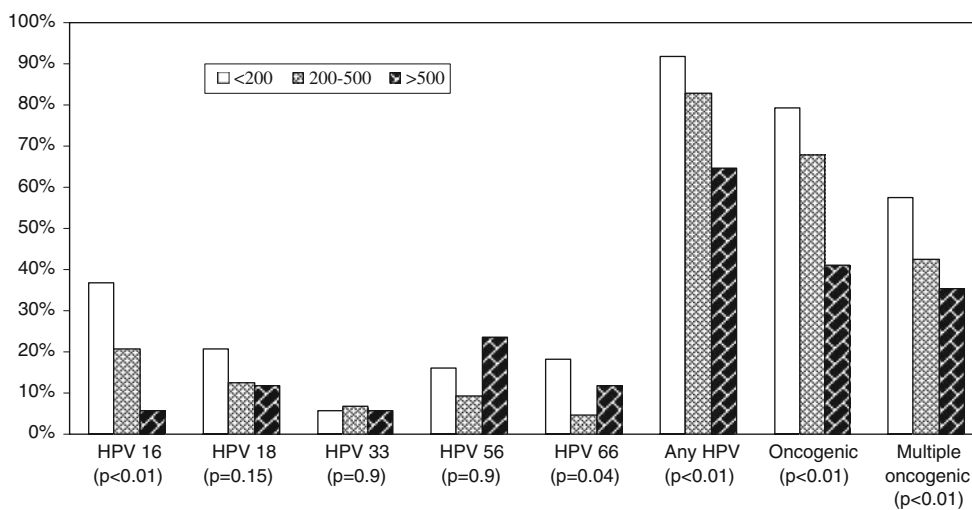
<sup>c</sup> Multivariate prevalence ratio (mPR) adjusted for all variables listed in the table vs. 507 HIV-seropositive women with normal cervical status

<sup>d</sup> Reference category

<sup>e</sup> HAART—highly active antiretroviral therapy: 1a Stavudine, Lamivudine, Efavirenz; 1b Stavudine, Lamivudine, Nevirapine; 2 Zidovudine, Didanosine, Lopinavir/Ritonavir



**Fig. 1** Prevalence of HPV types by cervical status among 191 HIV-infected women in Johannesburg, South Africa (Normal = 90 women, AGUS/ASCUS = 14 women, LSIL = 51 women and HSIL = 36 women)



**Fig. 2** Prevalence of HPV types by CD4 count levels among 191 HIV-infected women in Johannesburg, South Africa (CD4 < 200/mm<sup>3</sup>: 87 women, CD4 200–500/mm<sup>3</sup>: 87 women, CD4 > 500/mm<sup>3</sup>: 17 women)

found in women with HSIL lesions or greater were HPV type 16 (41.7%), HPV 56 (22.2%), HPV type 66 (16.7%), HPV type 33 (13.9%) and HPV type 59 (11.1%), whereas in LSIL, the most common types included HPV types 16 and 18 (each at 35.3%), HPV 56 and 66 (each at 19.6%) and 61 (23.5%). Combined prevalence of HPV 16 and/or 18 were 41.7% for HSIL, 52.9% for LSIL, 28.9% for women with normal diagnoses ( $p = 0.02$ ).

HIV-seropositive women with CD4 levels < 200/mm<sup>3</sup> had higher prevalence of overall HPV types {92.0% (80/87) vs. 64.7% (11/17) for >500 cells/mm<sup>3</sup>,  $p < 0.01$ }, any oncogenic HPV type {81.6% (71/87) vs. 41.2% (7/17)} for CD4 counts > 500 cells/mm<sup>3</sup>,  $p < 0.01$  and multiple oncogenic HPV types {59.8% (52/87) vs. 35.3% (6/17),  $p < 0.01$ }. The prevalence of HPV type 16 was also more common among women with lower CD4 counts {37.9% (33/87) for <200/mm<sup>3</sup> vs. 5.9% (1/17) for >500/mm<sup>3</sup>,

$p < 0.01$ }, as well as HPV type 66 {18.4% (16/87) vs. 11.8% (2/17),  $p = 0.04$ }.

**Discussion**

This cervical cancer study in Johannesburg, South Africa is, to our knowledge, the largest to date among HIV-seropositive women in Africa. Half of 1,010 HIV-seropositive women had cervical lesions, with cervical abnormalities prevalence increasing with lower CD4 immune status. High observed prevalence of ASCUS or greater is similar to that observed in 397 HIV-seropositive women from Cape Town, South Africa (54%) [30], yet somewhat lower than among 150 HIV-seropositive women from Zambia (76%) [30]. Overall observed prevalence of LSIL (24%) and HSIL (18%) was also higher than among

HIV-seropositive women in the United States (15.4 and 7.9%, respectively), a European cohort (21.0 and 2.8%, respectively) [32, 33] or Zimbabwe (9.7 and 3.4%) [34].

In this study from Johannesburg, 42% of HIV-seropositive women had CD4 counts  $< 200$  cells/mm<sup>3</sup>. Our results of HSIL prevalence of 18% are not as high as that observed in Zambia (33%) among a smaller number of HIV-seropositive women who also had low median CD4 counts of 165/mm<sup>3</sup>, [31]. Observed prevalence, however, was higher than among women with unknown HIV serostatus screened in the Free State province, South Africa (LSIL of 18% and HSIL of 8%) [35]. The high prevalence of cervical neoplasia in our study could be partially explained by more advanced stages of HIV immunosuppression among female participants when compared to previous studies from the United States and Europe [32, 33]. In addition, the lack of cervical cancer screening is also a likely cause of this phenomenon since cervical cancer in non-HIV-infected women is higher in South Africa than the United States. Of note, one-fifth of HSIL cases were 30 years of age or younger. Given these results, cervical cancer screening should be considered in HIV-seropositive women upon diagnosis rather than being delayed until 30 years of age [36].

The number of different types of any HPV or oncogenic HPV DNA types among HIV-seropositive women was smaller with increasing severity of cervical neoplasia (i.e. HIV-seropositive women with HSIL appear to have fewer HPV types than those with LSIL). These findings are consistent with data among largely HIV-seronegative women [4, 17], indicative of the relatively fewer number of HPV types that may be etiologically important for the development of HSIL vs. lower grades of cervical neoplasia in HIV-seropositive women. Our results, however, are limited to HPV DNA detection within cervical exfoliated cells, rather than biopsy specimens. Although cytological results were presented in current analyses, as used in current clinical practice, histological confirmation of study outcomes may have lead to the reclassification of some clinical endpoints. Of the 182 cases of HSIL or greater, 83 had available pathology results. Most HSIL cases were histologically confirmed as CIN-2 (30%) or CIN-3 (47%), whereas slightly less than one quarter (23%) were classified as CIN-1 by histology. Measures of association between risk factors and grades of cervical neoplasia presented, respectively, in Tables 2 and 3, were similar, however, when CIN, rather than SIL, classifications were used.

HPV 16 was the most common HPV type in HIV-seropositive women with HSIL (41.7%) in the present study, with HPV 18 being relatively rare (2.8%). These results are similar to a review of African data among largely HIV-seronegative women where HPV 16 and/or 18 prevalence was 45% in HSIL [4]. Among 77 HIV-seropositive women

with HSIL from Zambia [31], HPV 52 was the most common type, followed by HPV types 58. A previous review indicated that HSIL cases among HIV-seropositive women may have a lower proportion of HPV-16 positivity than HIV-seronegative HSIL cases [17]. Given recent data from Kenya indicating that HPV 16 prevalence was similar in HIV-seropositive and HIV-seronegative ICC cases [8], further data on HPV oncogenic types in HSIL and ICC cases are needed among a larger number of HIV-seropositive HSIL and ICC cases from Africa.

Combined HPV 16 and/or 18 prevalence among HIV-seropositive women in South Africa was 42% HSIL and 53% in LSIL, respectively. Thus, as with HIV-seronegative women, a notable proportion of HSIL and LSIL cases in HIV-seropositive women could be potentially prevented by the vaccination of female adolescents prior to first sexual intercourse. Notwithstanding, a non-negligible proportion of HSIL and LSIL cases will not be prevented by HPV prophylactic vaccination, highlighting that cervical cancer screening remains paramount for optimal cervical cancer prevention. Further, approximately half of HSIL cases among HIV-seropositive women in this study harbored other high-risk HPV types 33, 56 and 66 (~47%). This is important to consider for the development of future therapeutic vaccines that are urgently needed in regions with a high burden of ICC.

Among HIV-seropositive women from Johannesburg, lower CD4 counts were consistently associated with a higher risk of cervical lesions (ASCUS, LSIL and HSIL or greater). Our results are consistent with previous screening studies of HIV-seropositive women [37, 38]. It is not surprising that HIV-seropositive women with greater immunosuppression are at a higher risk of cervical disease. Consistently, lower CD4 counts ( $< 500$  cells/mm<sup>3</sup>) have been associated with a higher probability of progression to higher cervical disease grades [32, 37].

The relatively broader distribution of HPV types among HIV-seropositive women with lower CD4 counts suggests reactivation of latent HPV viral infections [38]. Our results are similar to previous studies indicating that HIV-seropositive women with CD4 counts  $< 200$ /mm<sup>3</sup> have a higher prevalence of any HPV or oncogenic HPV types [11, 39, 40] when compared to those with CD4 counts  $> 500$ /mm<sup>3</sup>. Of interest, HPV 16 prevalence in our study increased with greater immune suppression, declining from 38% among women with CD4 counts  $< 200$  cells/mm<sup>3</sup> to 6% for CD4 counts  $> 500$  cells/mm<sup>3</sup>. As a sensitivity analysis, we limited analyses to HIV-seropositive women with normal cytology and found similar results. Albeit based on relatively smaller sample sizes, these results suggest that within the African content that HPV 16 may not be better at evading host immune responses than other HPV types, as previously suggested [6, 9]. Strickler



et al. showed a relatively weaker association of type 16 with decreasing CD4 counts than other HPV types [9] among HIV-seropositive women from the United States consistently, Koshiol et al. found that the persistence of HPV 16 among HIV-seropositive women did not appear to be associated with CD4 counts [6]. These results from South Africa, although based on relatively small sizes, suggest that HPV 16 prevalence may be affected by the level of CD4 immune suppression. As previously hypothesized, the relationship of HPV 16 infection with the immune suppression in our population may differ from European and US HIV-infected women, potentially due to higher levels of immunosuppression in the underlying population within the African context [9]. Further data are needed to investigate the prevalence and persistence of HPV 16 and other high-risk HPV types, stratified by the level of CD4 count, in HIV-seropositive women in both African and relatively more developed populations.

Another interesting finding in this study was that HIV-seropositive women who used condoms had a lower risk of HSIL than non-users (table 2): PR = 0.7 95% CI (0.5–0.9). A study of HIV-seronegative women ( $n = 82$ ) also found a lower risk of cervical neoplasia among women who reported consistent condom use compared with those who did not [41]. There is also evidence in HIV-seronegative women that the consistent use of condoms was associated with a higher clearance rate of HPV and of cervical neoplasia [42]. In our present study, a protective effect was not found with ASCUS or LSIL with condom use.

Given the cross-sectional design, the current study can not reliably address the temporal effect of HAART on HPV persistence or the progression of cervical neoplasia. The multivariate analysis did not find any association between HAART use and any grade of cervical neoplasia, and is in agreement with previous research [14, 23]. One study among 328 US women found no difference in cervical disease prevalence between HIV-seropositive women treated and untreated with either mono- or combination therapy (non-HAART) over study follow-up [14]. An Italian study of 163 HIV-seropositive women also found no beneficial effect of HAART therapy on the risk of incident SIL, or on the progression rate of cervical lesions after adjusting for CD4 cell count [23]. Given inconsistent associations between HAART use and the risk of cervical neoplasia, the effect of HAART therapy on cervical neoplasia is still being debated. Although potent anti-HIV regimens are effective for the restoration of patient's immune system by increasing CD4 counts, limited data suggest that HAART use may not affect HPV viral persistence [21, 23]. Further prospective studies in this cohort will be done to evaluate whether HAART has any role in modifying the progression of cervical dysplasia in these HIV-seropositive women. Further studies are also needed

to determine whether the earlier initiation of HAART at higher CD4 counts than is currently recommended for clinical practice will be useful for the prevention of high-grade cervical lesions among HIV-seropositive women.

One limitation of this study is the lack of HIV viral load data and analysis as a measure of HIV disease status. HIV baseline viral loads before the initiation of HAART are generally not done in the South African government HIV treatment clinics [27]. Another possible bias in the study is that very ill women were excluded from the study. These women might have had lower CD<sub>4</sub> counts; therefore, we may actually be underestimating the prevalence of high-grade lesions that would have been found if these women had not been excluded. However, we do not think that this was a significant selection bias, given that women with CD<sub>4</sub> counts < 200 represents 43% of our study population.

Given that many African HIV-seropositive women are living longer in the era of HAART, they now face longer-term HIV-related complications including invasive cervical cancer. The wide-spread introduction of currently available prophylactic HPV vaccines would reduce, but not eliminate, a large proportion of high-grade cervical lesions. Thus, strengthening and expanding cervical cancer screening program in settings where HIV prevalence is high remains imperative.

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