# A Throughput Model of IEEE 802.11aa Intra-Access Category Prioritization

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**Abstract** In this paper the first saturation throughput model of an IEEE 802.11 network is presented, which includes the intra-access category prioritization introduced in the recently approved IEEE 802.11aa standard. This new feature was proposed to support finer grained prioritization of audio video streams in comparison to the existing Enhanced Distributed Channel Access (EDCA) function. The presented model implements different transmission probabilities for the primary and alternate voice and video queues. Additionally, it includes such features as virtual collision handling, backoff differentiation, and Arbitration Inter-Frame Space differentiation. The presented results show the difference in the operation of IEEE 802.11aa intra-access category prioritization and EDCA inter-access category prioritization. They also allow to derive several novel conclusions.

**Keywords** Intra-access category prioritization · QoS · IEEE 802.11aa · Audio video streaming

## **1** Introduction

The new 802.11aa standard has recently been approved by IEEE [2]. It defines a number of enhancements to IEEE 802.11 to allow robust audio and video streaming for consumer and enterprise applications. These enhancements include: groupcast with retries (GCR), stream classification service (SCS), overlapping basic service set (OBSS) management, interworking with the IEEE 802.1Q stream reservation protocol (SRP), and intra-access category (intra-AC) prioritization. This last feature is investigated in this paper.

Intra-AC prioritization is an extension of the Enhanced Distributed Channel Access (EDCA) function defined in the IEEE 802.11 standard [1]. Instead of only four transmit

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Fig. 1 Intra-AC traffic prioritization defined by the IEEE 802.11aa standard

queues, six of them are used to provide finer grained prioritization between individual audio and video streams (Fig. 1). They are defined as follows: two queues for voice traffic (primary—AC\_VO and alternate—AAC\_VO), two queues for video traffic (primary— AC\_VI and alternate—AAC\_VI), one queue for best effort traffic (AC\_BE), and one queue for background traffic (AC\_BK). These transmit queues are mapped to four independent EDCA functions (EDCAF) to enable traffic differentiation over the wireless channel (Fig. 1) using four EDCA Access Categories (ACs): VO, VI, BE, and BK [1]. The head-of-line frames belonging to AC\_VO and AAC\_VO (AC\_VI and AAC\_VI) are passed to VO EDCAF (VI EDCAF) according to a credit-based scheduler (with two queues) as defined in IEEE 802.1Qav [3]. Additionally, the IEEE 802.11aa standard specifies that, for voice and video streams, frames belonging to the primary queues are selected with a higher probability than frames from the alternate queues.

In the literature, to the author's best knowledge, no analytical model including the intra-AC prioritization feature of IEEE 802.11aa has thus far been proposed. Therefore, this paper presents the first such model. It is based on a previous comprehensive EDCA model [4]. The modelling of BE and BK traffic remains the same as proposed in [4] while for VO and VI traffic two additional transmit queues are considered.

#### 2 Analytical Model

For each AC, let s(t), b(t), and c(t) denote the stochastic processes representing the backoff stage, the backoff counter, and the remaining number of time slots during the deferring period at time t, respectively. The 3-D process  $\{s(t), b(t), c(t)\}$  can be modelled as discrete-time Markov chains shown in Fig. 2 (for BE and BK) and in Fig. 3 (for VO and VI). The parameter d in Fig. 2 indicates the differing period, i.e., the difference in the number of time slots between the minimum AIFS (AIFS<sub>min</sub>) and the AIFS of the vth AC (for EDCA  $v \in \{0, 1, 2, 3\}$ , which corresponds to BK, BE, VI, and VO, respectively). In Fig. 3, since both VO and



**Fig. 2** Markov chain for BE (v = 1) and BK (v = 0) ACs

VI have AIFS equal to AIFS<sub>min</sub>, the parameter *d* equals zero. Additionally, Fig. 3 includes the intra-AC feature of the IEEE 802.11aa standard in the form of different transmission probabilities for the primary and alternate queues ( $p_v^p$  and  $p_v^a$ , respectively).

Let  $b_{i,j,k}$  be the stationary distribution of the proposed Markov chains. Then, based on the chain regularities the steady state probabilities for the *v*th AC can be calculated:

$$b_{i,0,0} = p_v^i b_{0,0,0}, \quad 0 \le i \le m, \tag{1}$$

$$b_{i,j,0} = \frac{W_{iv} - j}{p_{bv} W_{iv}} p_v^i b_{0,0,0}, \quad 0 \le i \le m, 1 \le j \le W_{iv} - 1,$$
(2)

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**Fig. 3** Markov chain for VO (v = 3) and VI (v = 2) ACs including the intra-AC prioritization feature of the IEEE 802.11aa standard

where *m* is the frame retransmission limit,  $W_{iv} - 1$  is the size of the contention window after the *i*th retransmission,  $p_v$  is the probability of collision, and  $p_{bv}$  is the probability of an idle medium in a time slot after AIFS.

From Fig. 2 and from the normalization condition, for BE and BK we have:

$$b_{i,j,k} = \frac{b_{i,0,0}}{p_{tv}^k W_{iv}} + \frac{1 - p_{bv}}{p_{tv}^k} b_{i,j,0}, \quad 0 \le i \le m, 1 \le j \le W_{iv} - 1, 1 \le k \le d, \quad (3)$$

$$\sum_{i=0}^{m} b_{i,0,0} + \sum_{i=0}^{m} \sum_{j=1}^{W_{iv}-1} b_{i,j,0} + \sum_{i=0}^{m} \sum_{j=1}^{W_{iv}-1} \sum_{k=1}^{d_v} b_{i,j,k} = 1.$$
(4)

From the normalization condition for VO and VI we get (Fig. 3):

$$\sum_{i=0}^{m} b_{i,0,0} + \sum_{i=0}^{m} \sum_{j=1}^{W_{iv}-1} b_{i,j,0} = 1.$$
(5)

Equations (1)–(4) allow us to calculate  $b_{0,0,0}$  for BE and BK:

$$b_{0,0,0} = \left[\frac{\left(1 - p_{lv}^{d_v}\right)}{\left(1 - p_{lv}\right)p_{lv}^{d_v}}\left(\left(1 - p_{bv}\right)\sum_{i=0}^m \frac{W_{iv} - 1}{2p_{bv}}p_v^i + \sum_{i=0}^m \frac{p_v^i}{W_{iv}}\right) + \sum_{i=0}^m \frac{W_{iv} - 1}{2p_{bv}}p_v^i + \frac{1 - p_v^{m+1}}{1 - p_v}\right]^{-1}$$
(6)

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Equations (1), (2), and (5) allow us to calculate  $b_{0,0,0}$  for VO and VI:

$$b_{0,0,0} = \left[\sum_{i=0}^{m} \frac{W_{iv} - 1}{2p_{bv}} p_{v}^{i} + \frac{1 - p_{v}^{m+1}}{1 - p_{v}}\right]^{-1}$$
(7)

For a network with *n* stations, the following probabilities are additionally defined:

- 1.
- the overall transmission probability for the vth AC: $\tau_v = \frac{(1-p_v^{m+1})}{1-p_v} b_{0,0,0}$ , the transmission probability of a frame from the primary queue of the VO and VI AC: 2.  $\tau_v^p = p_v^p \tau_v$ , where  $p_v^p$  is the probability of selecting the head-of-line frame from the primary queue of the vth AC to be passed to the vth EDCAF,<sup>1</sup>
- the transmission probability of a frame from the alternate queue of the VO and VI AC: 3.  $\tau_{v}^{a} = p_{v}^{a} \tau_{v}$ , where  $p_{v}^{a}$  is the probability of selecting the head-of-line frame from the alternate queue of the vth AC to be passed to the vth EDCAF, additionally it is assumed that under saturation  $p_v^a$  equals  $1 - p_v^p$ ,
- the probability that after AIFS the wireless channel is idle:  $p_{bv} = \prod_{x=0, x \neq v}^{3} (1 \tau_x)^n$ 4.  $(1-\tau_v)^{n-1}$
- the probability of virtual and/or physical collisions:  $p_v = 1 \prod_{x < v} (1 \tau_x)^{n-1}$ 5.  $\prod_{x>v} (1 - \tau_x)^n, 0 \le x \le 3,$
- probability of an idle channel in a time slot during the deferring period  $d_{p_{tv}} =$ 6.  $\prod_{x>v} (1 - \tau_x)^n, 0 \le x \le 3.$

For each AC, we define the throughput as:

$$TH_{v} = \frac{PS_{v}L}{(1 - PT)\delta + \sum_{x=0}^{N-1} PS_{x}T_{sx} + \left(PT - \sum_{x=0}^{N-1} PS_{x}\right)T_{cv}},$$
(8)

where L is the expected time spent on transmitting a DATA frame,  $PS_v$  is the probability of a successful transmission for the vth AC:  $PS_v = n\tau_v \prod_{x < v} (1 - \tau_v)^{n-1} \prod_{x > v} (1 - \tau_v)^n$ ; *PT* is the probability that at least one AC transmits in a time slot:  $PT = 1 - \prod_{\nu=0}^{3} (1 - \tau_{\nu})^{n}$ ;  $\delta$ is the time duration of one time slot,  $T_{cx}(T_{sv})$  is the average time of a collision (successful transmission) for the *x*th (*v*th) AC.

 $T_{sv}$  and  $T_{cv}$  depend on the channel access method used. For the basic channel access we have:

$$T_{sv} = \mathbf{H} + L + \mathbf{SIFS} + \mathbf{ACK} + AIFS_{\min} + 2 \times \varepsilon, \tag{9}$$

$$T_{cv} = \mathbf{H} + L + \varepsilon + \mathrm{EIFS},\tag{10}$$

where H is the time occupied by the PHY and MAC headers,  $\varepsilon$  is the propagation delay, SIFS is the Short Inter-Frame Space, and ACK is the time occupied by an ACK transmission. For the four-way handshake mechanism we have:

$$T_{sv} = \text{RTS} + \text{CTS} + \text{H} + L + \text{ACK} + AIFS_{\min} + 3 \times \text{SIFS} + 4 \times \varepsilon, \tag{11}$$

$$T_{cv} = \text{RTS} + \text{EIFS},\tag{12}$$

<sup>&</sup>lt;sup>1</sup> This probability is directly based on the parameter configuration of the credit-based scheduler. However, the exact configuration has not been specified in the IEEE 802.11aa standard.

where RTS and CTS is the time required to send the Request To Send (RTS) and Clear To Send (CTS) frames, respectively.

Additionally, for VO and VI, we can calculate the average saturation throughput for frames belonging to the primary queues defined by IEEE 802.11aa:

$$TH_{v}^{p} = \frac{PS_{v}^{p}L}{(1 - PT)\delta + \sum_{x=0}^{3} PS_{x}T_{sx} + \left(PT - \sum_{x=0}^{3} PS_{x}\right)T_{cv}},$$
(13)

where  $PS_v^p$  is the probability of a successful transmission for the vth AC of frames belonging to the primary queue:

$$PS_{v}^{p} = n\tau_{v}^{p}\prod_{x \le v} (1 - \tau_{v})^{n-1}\prod_{x > v} (1 - \tau_{v})^{n}, \quad 0 \le x \le 3$$
(14)

Consequently, the average saturation throughput for frames belonging to the alternate VO and VI queues defined by IEEE 802.11aa is:

$$TH_{v}^{a} = \frac{PS_{v}^{a}L}{(1 - PT)\delta + \sum_{x=0}^{3} PS_{x}T_{sx} + \left(PT - \sum_{x=0}^{3} PS_{x}\right)T_{cv}},$$
(15)

where  $PS_v^a$  is the probability of a successful transmission for the vth AC of frames belonging to the alternate queue:

$$PS_{v}^{a} = n\tau_{v}^{a}\prod_{x \le v} (1 - \tau_{v})^{n-1}\prod_{x > v} (1 - \tau_{v})^{n}, \quad 0 \le x \le 3.$$
(16)

Finally, the overall saturation throughput for the VO and VI ACs is:

$$TH_v = TH_v^p + TH_v^a, (17)$$

and it is equal to the throughput defined in (8).

#### 3 Theoretical and Simulation Results

The proposed IEEE 802.11aa model was compared with simulations. The calculations were done in Wolfram Mathematica 8.0 [5] and the simulations were performed using an extended version of the ns-2 simulator [6]. IEEE 802.11b was chosen as the PHY layer and the standard EDCA parameters were set at the MAC layer [1]. The DATA frame size was set to 1000 bytes. Nodes were placed randomly, saturation conditions were assumed for each AC, and hidden nodes were avoided. Finally, the simulations were repeated until the error of each simulation point was smaller than 3 % assuming 95 % confidence intervals.

Table 1 presents a comparison of simulated and theoretical throughput values for voice and video EDCA ACs, as well as their corresponding primary and alternate IEEE 802.11aa queues. Two networks were analyzed: one composed of 10 nodes and the second composed of 20 nodes. In both cases each node transmitted traffic belonging to all four ACs. Additionally, different values of  $p_v^p$  and  $p_v^a$  were chosen for different priority queues in each of the analyzed networks. A close matching between the theoretical and simulated throughput values was achieved.

Figure 4 constitutes a graphical representation of the gathered theoretical results for both analyzed networks. The following conclusions can be derived. Firstly, prioritization between

	Normalized throughput (%)							
	EDCA AC VO	EDCA AC VI	EDCA AC BE	EDCA AC BK	802.11aa AC_VO $(p_3^p = 0.75)$	802.11aa AAC_VO $(p_3^a = 0.25)$	802.11aa AC_VI $(p_2^p = 0.80)$	802.11aa AAC_VI $(p_2^a = 0.20)$
Configuration 1								
Model	23.14	10.24	0.82	0.01	17.36	5.79	8.19	2.05
Simulation	26.17	7.99	0.14	0.01	19.63	6.54	6.39	1.60
	EDCA AC VO	EDCA AC VI	EDCA AC BE	EDCA AC BK	802.11aa AC_VO $(p_3^p = 0.70)$	802.11aa AAC_VO $(p_3^a = 0.30)$	802.11aa AC_VI $(p_2^p = 0.60)$	802.11aa AAC_VI $(p_2^a = 0.40)$
Configuration 2								
Model	18.82	8.60	0.43	0.00	13.17	5.65	5.16	3.44
Simulation	20.06	6.93	0.03	0.00	14.04	6.02	4.16	2.77

 Table 1
 Comparison of simulated and theoretical normalized throughput values for configuration 1 (10 node network) and configuration 2 (20 node network)



Fig. 4 Normalized throughput comparison for primary and alternate IEEE 802.11aa VO and VI queues as well as for EDCA VO and VI ACs

AC VO and AC VI (i.e., inter-AC prioritization) is achieved. Furthermore, if frames are chosen with higher probability from the primary queues  $(p_3^p, p_2^p)$  than from alternate queues  $(p_3^a, p_2^a)$ , as defined by the IEEE 802.11aa standard, then adequate intra-access category prioritization between primary and alternate queues is also achieved. Interestingly, for certain values of  $p_3^a$  frames belonging to the primary VI queue can achieve higher throughput than those belonging to the alternate VO queue (c.f., configuration 1 in Fig. 4). In such a case the majority of frames are selected from the VO primary queue (75%) and, therefore, the probability  $p_3^a$  is small (equal to 0.25). At the same time, a larger number of frames is selected from the VI alternate queue (80%) than from the VO alternate queue (25%), i.e.,  $p_2^a > p_3^a$ . Therefore, to assure strict prioritization of VO streams over VI streams under saturation such configurations should be avoided. Finally, different networks can be served with different prioritization patterns which was not possible when only EDCA inter-AC prioritization procedures were applied (c.f., configuration 1 vs. configuration 2 in Fig. 4).

### 4 Conclusions

In this paper the first analytical saturation throughput model of the intra-AC prioritization feature of the IEEE 802.11aa standard was described. The presented results show that the new IEEE 802.11aa intra-AC prioritization feature provides a finer grained prioritization of VO and VI streams, in comparison to the currently used EDCA inter-AC prioritization. Additionally, it was shown that the proposed model can be used to analyze and compare networks in which the intra-AC and inter-AC prioritization are applied.

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## Author Biography



Katarzyna Kosek-Szott received her M.Sc. and Ph.D. degrees in telecommunications (both with honours) from the AGH University of Science and Technology, Krakow, Poland in 2006 and 2011, respectively. Currently she is working as an assistant professor at the Department of Telecommunications, AGH University of Science and Technology. Her general research interests are focused on wireless networking. The major topics include wireless LANs (especially ad-hoc networks) and quality of service provisioning within these networks. She is a reviewer for international journals and conferences. She has been involved in several European projects: DAIDALOS II, CONTENT, CARMEN, FLAVIA as well as grants supported by the Polish Ministry of Science and Higher Education. She has also co-authored a number of research papers.