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# Infinitely many periodic solutions for subquadratic second-order Hamiltonian systems

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**Abstract**

In this paper, we investigate the existence of infinitely many periodic solutions for a class of subquadratic nonautonomous second-order Hamiltonian systems by using the variant fountain theorem.

**1 Introduction**

Consider the second-order Hamiltonian systems

$$\begin{cases} \ddot{u}(t) + \nabla_u W(t, u) = 0, & \forall t \in \mathbb{R}, \\ u(0) = u(T), \quad \dot{u}(0) = \dot{u}(T), & T > 0, \end{cases} \quad (1.1)$$

where  $W(t, u)$  is also  $T$ -periodic and satisfies the following assumption (A):

(A)  $W(t, u)$  is measurable in  $t$  for all  $u \in \mathbb{R}^N$ , continuously differentiable in  $u$  for a.e.  $t \in [0, T]$  and there exist  $a \in C(\mathbb{R}^+, \mathbb{R}^+)$  and  $b \in L^1([0, T], \mathbb{R}^+)$  such that

$$|W(t, u)| \leq a(|u|)b(t), \quad |\nabla_u W(t, u)| \leq a(|u|)b(t)$$

for all  $u \in \mathbb{R}^N$  and a.e.  $t \in [0, T]$ .

Here and in the sequel,  $\langle \cdot, \cdot \rangle$  and  $|\cdot|$  always denote the standard inner product and the norm in  $\mathbb{R}^N$  respectively.

There have been many investigations on the existence and multiplicity of periodic solutions for Hamiltonian systems via the variational methods (see [1–7] and the references therein). In [6], Zhang and Liu studied the asymptotically quadratic case of  $W(t, u) = \frac{1}{2} \langle U(t)u, u \rangle + W_1(t, u)$  under the following assumptions:

(AQ<sub>1</sub>)  $W_1(t, u) \geq 0$  for all  $(t, u) \in [0, T] \times \mathbb{R}^N$ , and there exist constants  $\mu \in (0, 2)$  and  $R_1 > 0$  such that

$$\langle \nabla_u W_1(t, u), u \rangle \leq \mu W_1(t, u), \quad \forall t \in [0, T] \text{ and } |u| \geq R_1;$$

(AQ<sub>2</sub>)  $\lim_{|u| \rightarrow 0} \frac{W_1(t, u)}{|u|^2} = \infty$  uniformly for  $t \in [0, T]$ , and there exist constants  $c_2, R_2 > 0$  such that

$$W_1(t, u) \leq c_2 |u|, \quad \forall t \in [0, T] \text{ and } |u| \leq R_2;$$

$$(AQ_3) \liminf_{|u| \rightarrow \infty} \frac{W_1(t,u)}{|u|} \geq d > 0 \text{ uniformly for } t \in [0, T].$$

They obtained the existence of infinitely many periodic solutions of (1.1) provided  $W_1(t, u)$  is even in  $u$  (see Theorem 1.1 of [6]).

The subquadratic condition  $(AQ_1)$  is widely used in the investigation of nonlinear differential equations. This condition was weakened by some researchers; see, for example, [4] of Jiang and Tang. This paper considers the case of  $U(t) \equiv 0$ , then  $W(t, u) = W_1(t, u)$ . Motivated by [4] and [6], we replace  $(AQ_1)$  with the following condition:

$$(AQ'_1) \quad W(t, u) \geq 0 \text{ for all } (t, u) \in [0, T] \times \mathbb{R}^N, \text{ and}$$

$$\begin{aligned} \lim_{|u| \rightarrow \infty} (\langle \nabla_u W(t, u), u \rangle - 2W(t, u)) &= -\infty \quad \text{and} \\ \lim_{|u| \rightarrow \infty} \frac{W(t, u)}{|u|^2} &= 0 \quad \text{uniformly for } t \in [0, T]. \end{aligned}$$

The condition  $(AQ'_1)$  implies that for some constant  $R'_1 > 0$ ,

$$\langle \nabla_u W(t, u), u \rangle \leq 2W(t, u), \quad \forall t \in [0, T] \text{ and } |u| \geq R'_1. \tag{1.2}$$

By the assumption (A) and the condition  $(AQ'_1)$ , for any  $\epsilon > 0$ , there exists a  $\delta > 0$  such that

$$W(t, u) \leq \epsilon |u|^2 + \max_{s \in [0, \delta]} a(s)b(t), \tag{1.3}$$

for  $\forall u \in \mathbb{R}^N$  and a.e.  $t \in [0, T]$ .

Meanwhile, we weaken the condition  $(AQ_3)$  to  $(AQ'_3)$  as follows:

$$(AQ'_3) \quad \text{There exists a constant } \varrho \in (0, 1] \text{ such that}$$

$$\liminf_{|u| \rightarrow \infty} \frac{W(t, u)}{|u|^\varrho} \geq d > 0 \quad \text{uniformly for } t \in [0, T].$$

Then our main result is the following theorem.

**Theorem 1.1** *Assume that  $(AQ'_1)$ ,  $(AQ_2)$ ,  $(AQ'_3)$  hold and  $W(t, u)$  is even in  $u$ . Then (1.1) possesses infinitely many solutions.*

**Remark** The conditions  $(AQ_1)$  and  $(AQ_3)$  are stronger than  $(AQ'_1)$  and  $(AQ'_3)$ . Then Theorem 1.1 above is different from Theorem 1.1 of [6].

## 2 Preliminaries

In this section, we establish the variational setting for our problem and give the variant fountain theorem. Let  $E = H^1_T$  be the usual Sobolev space with the inner product

$$\langle u, v \rangle_E = \int_0^T \langle u(t), v(t) \rangle dt + \int_0^T \langle \dot{u}(t), \dot{v}(t) \rangle dt.$$

We define the functional on  $E$  by

$$\Phi(u) = \frac{1}{2} \int_0^T |\dot{u}|^2 dt - \Psi(u),$$

where  $\Psi(u) = \int_0^T W(t, u(t)) dt$ . Then  $\Phi$  and  $\Psi$  are continuously differentiable and

$$\langle \Phi'(u), v \rangle = \int_0^T \langle \dot{u}, \dot{v} \rangle dt - \int_0^T \langle \nabla_u W(t, u), v \rangle dt.$$

Define a self-adjoint linear operator  $\mathcal{B} : L^2([0, T]; \mathbb{R}^N) \rightarrow L^2([0, T]; \mathbb{R}^N)$  by

$$\int_0^T \langle \mathcal{B}u, v \rangle dt = \int_0^T \langle \dot{u}(t), \dot{v}(t) \rangle dt$$

with the domain  $D(\mathcal{B}) = E$ . Then  $\mathcal{B}$  has a sequence of eigenvalues  $\sigma_k = \frac{4k^2\pi^2}{T^2}$  ( $k = 0, 1, 2, \dots$ ). Let  $\{e_j\}_{j=0}^{+\infty}$  be the system of eigenfunctions corresponding to  $\{\sigma_j\}_{j=0}^{+\infty}$ , it forms an orthogonal basis in  $L^2$ . Denote by  $E^+ = \{u \in E \mid \int_0^T u(t) dt = 0\}$ ,  $E^0 = \mathbb{R}^N$ , it is well known that

$$E^0 = \ker \mathcal{B} = \text{span}\{e_0\},$$

$$E^+ = \text{span}\{e_j \mid j = 1, 2, \dots\},$$

and  $E$  possesses orthogonal decomposition  $E = E^0 \oplus E^+$ . For  $u \in E$ , we have

$$u = u^0 + u^+ \in E^0 \oplus E^+.$$

We can define on  $E$  a new inner product and the associated norm by

$$\langle u, v \rangle_0 = \langle \mathcal{B}u^+, v^+ \rangle_{L^2} + \langle u^0, v^0 \rangle_{L^2},$$

and

$$\|u\| = \langle u, u \rangle_0^{\frac{1}{2}}.$$

Therefore,  $\Phi$  can be written as

$$\Phi(u) = \frac{1}{2} \|u^+\|^2 - \Psi(u). \tag{2.1}$$

Direct computation shows that

$$\langle \Psi'(u), v \rangle = \int_0^T \langle \nabla_u W(t, u), v \rangle dt, \tag{2.2}$$

$$\langle \Phi'(u), v \rangle = \langle u^+, v^+ \rangle_0 - \langle \Psi'(u), v \rangle$$

for all  $u, v \in E$  with  $u = u^0 + u^+$  and  $v = v^0 + v^+$  respectively. It is known that  $\Psi' : E \rightarrow E$  is compact.

Denote by  $|\cdot|_p$  the usual norm of  $L^p$ , then there exists a  $\tau_p > 0$  such that

$$|u|_p \leq \tau_p \|u\|, \quad \forall u \in E. \tag{2.3}$$

We state an abstract critical point theorem founded in [8]. Let  $E$  be a Banach space with the norm  $\|\cdot\|$  and  $E = \overline{\bigoplus_{j \in \mathbb{N}} X_j}$  with  $\dim X_j < \infty$  for any  $j \in \mathbb{N}$ . Set  $Y_k = \bigoplus_{j=1}^k X_j$  and  $Z_k = \overline{\bigoplus_{j=k}^{\infty} X_j}$ . Consider the following  $C^1$ -functional  $\Phi_\lambda : E \rightarrow \mathbb{R}$  defined by

$$\Phi_\lambda(u) := A(u) - \lambda B(u), \quad \lambda \in [1, 2].$$

**Theorem 2.1** [8, Theorem 2.2] *Assume that the functional  $\Phi_\lambda$  defined above satisfies the following:*

- (T<sub>1</sub>)  $\Phi_\lambda$  maps bounded sets to bounded sets uniformly for  $\lambda \in [1, 2]$ , and  $\Phi_\lambda(-u) = \Phi_\lambda(u)$  for all  $(\lambda, u) \in [1, 2] \times E$ ;
- (T<sub>2</sub>)  $B(u) \geq 0$  for all  $u \in E$ , and  $B(u) \rightarrow \infty$  as  $\|u\| \rightarrow \infty$  on any finite-dimensional subspace of  $E$ ;
- (T<sub>3</sub>) There exist  $\rho_k > r_k > 0$  such that

$$\alpha_k(\lambda) := \inf_{u \in Z_k, \|u\| = \rho_k} \Phi_\lambda(u) \geq 0 > \beta_k(\lambda) := \max_{u \in Y_k, \|u\| = r_k} \Phi_\lambda(u), \quad \forall \lambda \in [1, 2]$$

and

$$\xi_k(\lambda) := \inf_{u \in Z_k, \|u\| \leq \rho_k} \Phi_\lambda(u) \rightarrow 0 \quad \text{as } k \rightarrow \infty \text{ uniformly for } \lambda \in [1, 2].$$

Then there exist  $\lambda_n \rightarrow 1$ ,  $u_{\lambda_n} \in Y_n$  such that

$$\Phi'_{\lambda_n} |_{Y_n}(u_{\lambda_n}) = 0, \quad \Phi_{\lambda_n}(u_{\lambda_n}) \rightarrow \eta_k \in [\xi_k(2), \beta_k(1)] \quad \text{as } n \rightarrow \infty.$$

Particularly, if  $\{u_{\lambda_n}\}$  has a convergent subsequence for every  $k$ , then  $\Phi_1$  has infinitely many nontrivial critical points  $\{u_k\} \subset E \setminus \{0\}$  satisfying  $\Phi_1(u_k) \rightarrow 0^-$  as  $k \rightarrow \infty$ .

In order to apply this theorem to prove our main result, we define the functionals  $A$ ,  $B$  and  $\Phi_\lambda$  on our working space  $E$  by

$$A(u) = \frac{1}{2} \|u^+\|^2, \quad B(u) = \int_0^T W(t, u) dt \tag{2.4}$$

and

$$\Phi_\lambda(u) = A(u) - \lambda B(u) = \frac{1}{2} \|u^+\|^2 - \lambda \int_0^T W(t, u) dt \tag{2.5}$$

for all  $u = u^0 + u^+ \in E = E^0 + E^+$  and  $\lambda \in [1, 2]$ . Then  $\Phi_\lambda \in C^1(E, \mathbb{R})$  for all  $\lambda \in [1, 2]$ . Let  $X_j = \text{span}\{e_j\}$ ,  $j = 0, 1, 2, \dots$ . Note that  $\Phi_1 = \Phi$ , where  $\Phi$  is the functional defined in (2.1).

### 3 Proof of Theorem 1.1

We firstly establish the following lemmas.

**Lemma 3.1** *Assume that (AQ'<sub>1</sub>) and (AQ'<sub>3</sub>) hold. Then  $B(u) \geq 0$  for all  $u \in E$  and  $B(u) \rightarrow \infty$  as  $\|u\| \rightarrow \infty$  on any finite-dimensional subspace of  $E$ .*

*Proof* Since  $W(t, u) \geq 0$ , by (2.4), it is obvious that  $B(u) \geq 0$  for all  $u \in E$ .

By the proof of Lemma 2.6 of [6], for any finite-dimensional subspace  $Y \subset E$ , there exists a constant  $\epsilon > 0$  such that

$$m(\{t \in [0, T] : |u| \geq \epsilon \|u\|\}) \geq \epsilon, \quad \forall u \in Y \setminus \{0\}, \tag{3.1}$$

where  $m(\cdot)$  is the Lebesgue measure.

For the  $\epsilon$  given in (3.1), let

$$\Lambda_u = \{t \in [0, T] : |u| \geq \epsilon \|u\|\}, \quad \forall u \in Y \setminus \{0\}.$$

Then  $m(\Lambda_u) \geq \epsilon$ . By  $(AQ'_3)$ , there exists a constant  $R_3 > R'_1$  such that

$$W(t, u) \geq d|u|^\rho/2, \quad \forall t \in [0, T] \text{ and } |u| \geq R_3, \tag{3.2}$$

where  $R'_1$  is the constant given in (1.2). Note that

$$|u(t)| \geq R_3, \quad \forall t \in \Lambda_u \tag{3.3}$$

for any  $u \in Y$  with  $\|u\| \geq R_3/\epsilon$ . Thus,

$$\begin{aligned} B(u) &= \int_0^T W(t, u) dt \geq \int_{\Lambda_u} W(t, u) dt \geq \int_{\Lambda_u} d|u|^\rho/2 dt \\ &\geq d\epsilon^\rho \|u\|^\rho \cdot m(\Lambda_u)/2 \geq d\epsilon^{\rho+1} \|u\|^\rho/2 \end{aligned}$$

for any  $u \in Y$  with  $\|u\| \geq R_3/\epsilon$ . This implies  $B(u) \rightarrow \infty$  as  $\|u\| \rightarrow \infty$  on  $Y$ . □

**Lemma 3.2** *Assume that  $(AQ'_1)$ ,  $(AQ_2)$  and  $(AQ'_3)$  hold. Then there exist a positive integer  $k_1$  and two sequences  $0 < r_k < \rho_k \rightarrow 0$  as  $k \rightarrow \infty$  such that*

$$\alpha_k(\lambda) := \inf_{u \in Z_k, \|u\| = \rho_k} \Phi_\lambda(u) > 0, \quad \forall k \geq k_1, \tag{3.4}$$

$$\xi_k(\lambda) := \inf_{u \in Z_k, \|u\| \leq \rho_k} \Phi_\lambda(u) \rightarrow 0 \quad \text{as } k \rightarrow \infty \text{ uniformly for } \lambda \in [1, 2], \tag{3.5}$$

and

$$\beta_k(\lambda) := \max_{u \in Y_k, \|u\| = r_k} \Phi_\lambda(u) < 0, \quad \forall k \in \mathbb{N}, \tag{3.6}$$

where  $Y_k = \bigoplus_{j=0}^k X_j = \text{span}\{e_0, e_1, \dots, e_k\}$  and  $Z_k = \overline{\bigoplus_{j=k}^\infty X_j} = \overline{\text{span}\{e_k, e_{k+1}, \dots\}}$  for all  $k \in \mathbb{N}$ .

*Proof* Comparing this lemma with Lemma 2.7 of [6], we find that these two lemmas have the same condition  $(AQ_2)$  which is the key in the proof of Lemma 2.7 of [6]. We can prove our lemma by using the same method of [6], so the details are omitted. □

Now it is the time to prove our main result Theorem 1.1.

*Proof of Theorem 1.1* By virtue of (1.3), (2.3) and (2.5),  $\Phi_\lambda$  maps bounded sets to bounded sets uniformly for  $\lambda \in [1, 2]$ . Obviously,  $\Phi_\lambda(-u) = \Phi_\lambda(u)$  for all  $(\lambda, u) \in [1, 2] \times E$  since  $W(t, u)$  is even in  $u$ . Consequently, the condition  $(T_1)$  of Theorem 2.1 holds. Lemma 3.1 shows that the condition  $(T_2)$  holds, whereas Lemma 3.2 implies that the condition  $(T_3)$  holds for all  $k \geq k_1$ , where  $k_1$  is given there. Therefore, by Theorem 2.1, for each  $k \geq k_1$ , there exist  $\lambda_n \rightarrow 1$  and  $u_{\lambda_n} \in Y_n$  such that

$$\Phi'_{\lambda_n}|_{Y_n}(u_{\lambda_n}) = 0, \quad \Phi_{\lambda_n}(u_{\lambda_n}) \rightarrow \eta_k \in [\xi_k(2), \beta_k(1)] \quad \text{as } n \rightarrow \infty. \tag{3.7}$$

For the sake of notational simplicity, in the following we always set  $u_n = u_{\lambda_n}$  for all  $n \in \mathbb{N}$ .

Step 1. We firstly prove that  $\{u_n\}$  is bounded in  $E$ .

Since  $\{u_n\}$  satisfies (3.7), one has

$$\lim_{n \rightarrow \infty} \left( \langle \Phi'_{\lambda_n}|_{Y_n}(u_n), u_n \rangle - 2\Phi_{\lambda_n}(u_n) \right) = -2\eta_k.$$

More precisely,

$$\lim_{n \rightarrow \infty} \int_0^T \left( \langle \nabla_u W(t, u_n), u_n \rangle - 2W(t, u_n) \right) dt = 2\eta_k. \tag{3.8}$$

Now, we prove that  $\{u_n\}$  is bounded. Otherwise, without loss of generality, we may assume that

$$\|u_n\| \rightarrow \infty \quad \text{as } n \rightarrow \infty.$$

Put  $z_n = \frac{u_n}{\|u_n\|}$ , we have  $\|z_n\| = 1$ . Going to a subsequence if necessary, we may assume that

$$z_n \rightharpoonup z \quad \text{in } E, \quad z_n \rightarrow z \quad \text{in } L^2 \quad \text{and} \quad z_n(t) \rightarrow z(t) \quad \text{for a.e. } t \in [0, T].$$

By (1.3), we have

$$\begin{aligned} \Phi_{\lambda_n}(u_n) &= \frac{1}{2} \|u_n^+\|^2 - \lambda_n \int_0^T W(t, u_n) dt \\ &\geq \frac{1}{2} \|u_n\|^2 - \frac{1}{2} \|u_n^0\|^2 - \lambda_n \left( \epsilon \int_0^T |u_n|^2 dt + \max_{s \in [0, \delta]} a(s) \int_0^T b(t) dt \right) \\ &\geq \frac{1}{2} \|u_n\|^2 - \left( \frac{1}{2} + \lambda_n \epsilon \right) \int_0^T |u_n|^2 dt - \lambda_n c_1, \end{aligned}$$

where  $c_1 = \max_{s \in [0, \delta]} a(s) \int_0^T b(t) dt$ . Therefore, one obtains

$$\begin{aligned} \frac{\Phi_{\lambda_n}(u_n)}{\|u_n\|^2} &\geq \frac{1}{2} - \left( \frac{1}{2} + \lambda_n \epsilon \right) \int_0^T \left( \frac{|u_n|}{\|u_n\|} \right)^2 dt - \frac{\lambda_n c_1}{\|u_n\|^2} \\ &= \frac{1}{2} - \left( \frac{1}{2} + \lambda_n \epsilon \right) \|z_n\|_2^2 - \frac{\lambda_n c_1}{\|u_n\|^2}. \end{aligned}$$

Passing to the limit in the inequality, by using  $\Phi_{\lambda_n}(u_n) \rightarrow \eta_k$  and  $\lambda_n \rightarrow 1$  as  $n \rightarrow \infty$ , we obtain

$$\frac{1}{2} - \left(\frac{1}{2} + \epsilon\right) \|z\|_2^2 \leq 0.$$

Thus,  $z \neq 0$  on a subset  $\Omega$  of  $[0, T]$  with positive measure.

By (1.2), we have

$$\langle \nabla_u W(t, u), u \rangle - 2W(t, u) \leq 0, \quad \forall t \in [0, T] \text{ and } |u| \geq R'_1,$$

and by the assumption (A), we obtain

$$\langle \nabla_u W(t, u), u \rangle - 2W(t, u) \leq c_3 b(t), \quad \text{for all } |u| \leq R'_1 \text{ and a.e. } t \in [0, T],$$

where  $c_3 = (2 + R'_1) \max_{[0, R'_1]} a(s)$ . So, we get

$$\langle \nabla_u W(t, u), u \rangle - 2W(t, u) \leq c_3 b(t)$$

for all  $u \in \mathbb{R}^N$  and a.e.  $t \in [0, T]$ . Hence,

$$\begin{aligned} & \int_0^T (\langle \nabla_u W(t, u_n), u_n \rangle - 2W(t, u_n)) dt \\ &= \int_{\Omega} (\langle \nabla_u W(t, u_n), u_n \rangle - 2W(t, u_n)) dt + \int_{[0, T] \setminus \Omega} (\langle \nabla_u W(t, u_n), u_n \rangle - 2W(t, u_n)) dt \\ &\leq \int_{\Omega} (\langle \nabla_u W(t, u_n), u_n \rangle - 2W(t, u_n)) dt + \int_{[0, T] \setminus \Omega} c_3 b(t) dt. \end{aligned}$$

An application of Fatou's lemma yields

$$\int_{\Omega} (\langle \nabla_u W(t, u_n), u_n \rangle - 2W(t, u_n)) dt \rightarrow -\infty \quad \text{as } n \rightarrow \infty,$$

which is a contradiction to (3.8).

Step 2. We prove that  $\{u_n\}$  has a convergent subsequence in  $E$ .

Since  $\{u_n\}$  is bounded in  $E$ ,  $E$  is reflexible and  $\dim E^0 < \infty$ , without loss of generality, we assume

$$u_n^0 \rightarrow u_0^0, \quad u_n^+ \rightarrow u_0^+ \quad \text{and} \quad u_n \rightharpoonup u_0 \quad \text{as } n \rightarrow \infty \tag{3.9}$$

for some  $u_0 = u_0^0 + u_0^+ \in E = E^0 \oplus E^+$ .

Note that

$$0 = \Phi'_{\lambda_n} |_{Y_n}(u_n) = u_n^+ - \lambda_n P_n \Psi'(u_n), \quad \forall n \in \mathbb{N},$$

where  $P_n : E \rightarrow Y_n$  is the orthogonal projection for all  $n \in \mathbb{N}$ , that is,

$$u_n^+ = \lambda_n P_n \Psi'(u_n), \quad \forall n \in \mathbb{N}. \tag{3.10}$$

In view of the compactness of  $\Psi'$  and (3.9), the right-hand side of (3.10) converges strongly in  $E$  and hence  $u_n^+ \rightarrow u_0^+$  in  $E$ . Together with (3.9), we have  $u_n \rightarrow u_0$  in  $E$ .

Now, from the last assertion of Theorem 2.1, we know that  $\Phi = \Phi_1$  has infinitely many nontrivial critical points. The proof is completed.  $\square$

#### Competing interests

The authors declare that they have no competing interests.

#### Authors' contributions

HG wrote the first draft and TA corrected and improved the final version. All authors read and approved the final draft.

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