2007

An instrument for measuring size-resolved aerosol hygroscopicity at both sub- and super-micron sizes

Hegg, Dean A.

American Association for Aerosol Research
An Instrument for Measuring Size-Resolved Aerosol Hygroscopicity at both Sub- and Super-Micron Sizes

Dean A. Hegg,1 David S. Covert,1 Halldi Jonsson,2 and Paul A. Covert3
1Department of Atmospheric Sciences, University of Washington, Seattle, Washington, USA
2Naval Postgraduate School and CIRPAS, Marina, California, USA
3NOAA PMEL, Seattle, Washington, USA

A new instrument to measure the size-resolved hygroscopic growth of both sub- and super-micron atmospheric aerosol is described. It consists of two white-light optical particle counters measuring the same sample aerosol simultaneously at two different controlled relative humidities. Calibration with aerosols of different refractive index confirms the expected relative insensitivity of the instrument to index of refraction. Data obtained in the field from airborne sampling support the utility of the instrument in measuring differences in size-resolved hygroscopicity in the marine boundary layer and also in addressing the issue of kinetic limitations to aerosol condensational growth.

1. INTRODUCTION

The interaction of aerosols with water in the atmosphere is of fundamental importance in determining the physical and chemical characteristics of the aerosols. Because atmospheric aerosols, particularly marine aerosols, are largely soluble, or have soluble coatings, condensation of water vapor upon them takes place as relative humidity increases and, conversely, evaporation takes place as relative humidity decreases. Hence, their mass, volume or size, mean density, mean refractive index, and derivative radiative properties all depend on the atmospheric relative humidity. This is particularly important in marine environments where humidities are high and gradients large. In light of this, it is not surprising that the degree of aerosol hydration has been shown to be of great significance to the direct impact of aerosols on the atmospheric radiative balance (e.g., Charlson et al. 1992; Hegg et al. 1997). Additionally, the interaction of aerosols and water subsumes the activation of such aerosols as cloud condensation nuclei (CCN); indeed this phenomenon is simply an extension of aerosol hydration to humidities at or greater than 100%. Hence, the important and established relationship between aerosol and cloud drop number concentration, with its implications for indirect radiative forcing, is also a facet of aerosol-water interactions (cf., Henning et al. 2002). Finally, aerosol hygroscopicity is also an important factor in the chemical reactivity of aerosols, modulating the interaction of the aerosol surface with numerous gas phase species (Rossi 2003; Rudich 2003).

An important aspect of aerosol hygroscopicity is its size dependence. This size dependence derives largely from size-dependent chemistry and, given the strong interrelationship between solubility and aerosol lifetime, and vertical distribution (Rodhe 1983), plays an important role in the life cycle of various chemical species in the atmosphere. It can, furthermore, be an important factor in determining which aerosol types impact the atmospheric radiation balance. For example, super-micron sea salt can dominate aerosol light scattering in the MBL, in substantial part because of its high hygroscopicity.

Another facet of the issue of aerosol hygroscopicity and clouds encompasses the question of the impact of organics on the activation of aerosol particles as CCN, specifically delaying such activation. The concept of retardation of droplet growth by organic films was broached many years ago (cf., Podzime and Saad 1975; Gill et al. 1983). More recently, a number of theoretical assessments have made rather startling claims about the impact of organics on CCN activation and consequently on cloud microphysics and the associated radiative properties of clouds (e.g., Chuang et al. 1997; Feingold and Chuang 2002; Facchini et al. 1999). Evidence from laboratory studies is mixed, with some providing support (e.g., Hegg et al. 2001; Shantz et al. 2003; Xiong et al. 1998) but others showing little impact (e.g., Cruz and Pandis 1998). The issue is really a question of time scales. Certainly, with sufficient time, water can penetrate even rather thick films of some organic coatings (cf., Thomas et al. 1999). As can be inferred from the study of Hegg et al. (2001), if the cloud supersaturation field were constant at some value for even a few minutes, the plausible growth delays would have negligible impact. In actual clouds, the time variation of the saturation...
ratio in an ascending parcel (or, more generally, a cooling parcel) can be acute. The peak supersaturation may only persist for a few seconds after the first droplets activate. Hence, delays of a few seconds in the growth of droplets might preclude their growth to their activation radius prior to the collapse of the supersaturation field due to vapor depletion. In fact, this is precisely the analysis undertaken by Shantz et al. (2003), consisting of measured activation delays at various supersaturations in the laboratory followed by incorporation of these delays into a cloud parcel model that predicted supersaturation as a function of time. Significant impacts were found when comparison was made with the typical model formulation that assumes that droplets activate when they reach their critical radius under diffusional growth of inorganic haze particles. All studies agree, however, that the magnitude of the effect will depend on the nature of the organics present and their distribution with size, as well, of course, as on the cloud dynamics. Clearly, only atmospheric measurements can decisively address the issue and here, in contrast to the laboratory and theoretical work, very few analyses are in hand on growth time delays.

Work by Bigg (1986) suggested substantial delays in the activation of atmospheric CCN in a static diffusion chamber, with delay times of perhaps as much as 30 seconds being cited. Several more recent studies have suggested activation delays induced by organics as an explanation for discrepancies between predicted and observed CCN concentrations (e.g., Chuang et al. 2000). However, the only study of which we are aware that has actually quantified delay times in droplet growth for atmospheric aerosols is that of Chuang (2003). In this study, comparison of droplet diameters for various growth times to the measured equilibrium diameters of various sized particles revealed growth delays on the order of three seconds or more for a small subset (ca. 2% by number) of the ambient aerosol sampled. The measurements were made at the surface in Mexico City in the fall of 2000. An interesting aspect of the results was the different temporal patterns observed for delays as a function of the particle size; the sizes examined being 50 and 100 nm. The 100 nm particles most frequently showed delays in the 8–11 A.M. time frame while the 50 nm particles most frequently showed such delays in the afternoon. Although only a small percentage of the measured particles appeared to exhibit growth delays $≥3$ s, the study showed that such delays could in fact occur in atmospheric aerosols. Furthermore, delays of one to three seconds were likely for a larger fraction of the particles. The restricted particle size range, venue and season examined preclude any definitive assessment of the incidence of such delays in the atmosphere as a whole. Furthermore, in the MBL there is the issue of aging of potential organic layers prior to encounters with clouds. Organic layers have in fact been found on marine aerosol near the surface (Tervahattu et al. 2002) but not collided with observed changes in aerosol hygroscopicity. Such films would be expected to oxidize as the aerosol are transported upwards to cloud levels but the time scale for such oxidation, and thus changes in hygroscopicity, is unclear.

While the above issues are clearly important, few data are available with which to address them. Size-dependent aerosol hygroscopicity was first investigated using aerosols deposited on substrates and latter hydrated in laboratory settings (cf., Winkler and Junge 1972). Such data are difficult to acquire and there is always concern about the impact of the substrate on the results. More recent work has generally involved the use of Tandem Differential Mobility Analyzers (TDMAs), which have the advantage of measuring in situ. However, nearly all such TDMA data only measures hygroscopic growth up to around 0.5 μm dry diameter due to the upper size limit of most DMAs (e.g., Swietlicki et al. 2000; Santarpia et al. 2004).

One obvious methodology to get around the DMA limit would be to use optical particle counters (OPCs) as the particle sizing technique. There have in fact been a number of such studies addressing the issue of aerosol hygroscopicity that have utilized OPCs. Tang (1980) used a Carl Zeiss OPC to measure the change in size of particles of known composition as a function of RH in a laboratory setting. Schumann (1990) used a modified Climet CI-8060 OPC, switching between humidified and non-humidified inlets, to obtain hygroscopic growth data on aerosols at a ground site in the Alps. However, quantitative assessment of size-dependent hygroscopic growth was not made. Similarly, ten Brink et al. (2000) used a PMS LAS-X laser OPC to examine the wet and dry size distributions of ambient aerosols at a ground site in the Netherlands. Once again, quantitative assessment of hygroscopic growth was not done with the OPCs (though excellent results were obtained on overall growth with humidity-controlled nephelometers), due in part to reported problems with sample heating. More quantitatively, Kreisberg et al. (2001) employed a High Flow DMA followed by an optical particle detector in conjunction with relative humidity control. This system permitted hygroscopicity measurements up to 1.1 μm diameter but little ambient data was reported in this study and further work has not, to our knowledge, been reported. Finally, Hand et al. (2000) used PMS ASASP-X laser spectrometers as detectors in measurement of particle size as a function of RH. However, the ASASP-X response to particles is a strong function of their refractive index, necessitating an elaborate and non-deterministic correction process. Furthermore, once again no size-resolved hygroscopicity data are reported.

None of the instruments discussed in the above studies have been used in airborne sampling and all require rather long sampling times. Very little actual ambient data on size-resolved aerosol hygroscopicity have been reported. There thus appears to be a need for a field instrument that can measure aerosol hygroscopicity in situ at supermicron sizes, particularly for airborne use. For marine air, these measurements should encompass most of the sea salt mode and thus extend out to 3–4 μm diameter (Reid et al. 2006). Furthermore, an instrument that would also permit assessment of the kinetic limits to equilibration of particles at ambient RH would be very useful.
2. THE AEROSOL HYDRATION SPECTROMETER

2.1. Hardware

We have fabricated an instrument to address the above issues that we call the Aerosol Hydration Spectrometer (AHS). It is relatively portable and can be deployed on aircraft. It can be configured in three distinct ways: (1) measure the nonhydrated (or less hydrated) number-size distribution from 0.2 to 8 μm at ca 50% RH, (2) measure the hydrated size distribution at 85 to 95% RH, and (3) measure differences in condensational growth between the standard growth time of ~2 s and a growth time ~42 s longer, thus permitting assessment of kinetic limits to the aerosol growth to equilibrium with higher RHs. Figure 1 is a schematic of the system that forms the basis for the following discussion of the system’s details. (Note that the entire system as shown is in a vertical plane to minimize sedimentation problems.) The configuration permits several control measurements with particle-free air or monodisperse calibration particles, and identical RH and time delays in each particle sensor to insure identical operation on which the differential analysis of the data depends.

One aspect of the design which should be noted ab initio is the use of white light 90° scattering Optical Particle Counters (OPCs). The white light is supplied by Xenon short arc lamps (75 W) and the scattered pulses registered by a 4096 channel pulse height analyzer. The advantage of the white-light, 90° scattering OPCs is quite simple. The Mie resonance peaks in the critical sub-10 μm size range are much reduced, essentially washed-out by the superposed multiple wavelengths of white light. This results in a detector response that is linear and monotonic with particle size. This, in turn, renders determination of the aerosol size distribution in the 0.1–10 μm size range much more certain. Recent advances in the design of white-light OPCs have yielded several attractive commercial instruments. From these, we have selected the Welas 1200 optical systems manufactured by Palas GmbH (Greschbachstr. 3b, D-76229, Karlsruhe, Germany). These high-resolution instruments are specified to cover the size range from 0.18 to 40 μm diameter and have a dynamic range up to 10⁶ cm⁻³. Though our own measurements suggest an upper concentration limit substantially lower than this (~2 × 10⁴ cm⁻³), the range is more than adequate for most applications in ambient air. The sensor volumes are quite small and permit very short measurement time constants (<1 s). However, one modification to the standard instrument was necessary to meet our measurement goals. When tested upon receipt from the manufacturer, it was found that the flow constriction (essentially a jet) just upstream of the scattering volume, designed to focus the flow and decrease residence time in the sensed volume, was insufficient to permit differentiation of the smallest particle pulses from noise. We therefore redesigned the jet to more...
narrowly focus the sample air and also to reduce turbulence by means of a more gradual transition to the jet orifice. The new orifice narrows the flow channel from 0.6 cm diameter to 0.3 cm diameter over a distance of 0.4 cm, the profile being a hyperbolic section. The new jet channel is 0.8 cm in length, identical to the original jet length. After this modification, the detection efficiency of the two channels was assessed by monodisperse aerosols of various sized generated by a DMA and compared to a TSI 3010 CN counter. The efficiency was unity in both channels down to 0.5 μm. At 0.26 μm, close to the lower detection limit, the efficiencies had dropped to 0.74 for the delay channel and 0.68 for the direct. From this data, corrections were made to render the channels equivalent.

While the manufacturer supplies clear calibration curves using latex spheres (showing the expected single-valued relationship between particle size and output pulse height), we have made our own calibrations with a variety of particle sizes and compositions similar to those to be encountered in the atmosphere. The test aerosol calibration will be applied to the sensors’ pulse height data at RHs representative of the atmosphere to assess discrimination of sizes under conditions of varying index of refraction.

For aircraft use, air enters the aircraft and AHS system through an isokinetic inlet whose transmission efficiency has been established through extensive tests (Hegg et al. 2005). The 50% cutoff size is ~8 μm. After the inlet, the sample air is heated as necessary ca. 10°C to obtain a nominal RH in the 40–50% range. This “reference” RH has been selected to ensure that the aerosol has not effloresced, i.e., it is still on the upper branch of any potential hysteresis loop. The particles are thus always droplets, whose sizes as determined by the OPCs are easily interpretable. Furthermore, this represents the hydration degree and changes therein experienced by marine boundary layer aerosols and also allows hygroscopicity data to be fit by simple functions to interpolate to various desired RHs (cf., Kotchenruther et al. 1999). After the initial drying, the flow is then divided; the first, left, branch in Figure 1 passes into a humidifier which actively controls the sample stream RH to a nominal value of 85–90%. The humidifiers shown are microporous tubes with a temperature-controlled water jacket (Gasso et al. 2000). This stream then passes through one of two possible routes depending on the valve settings. In the first, it passes directly into the first OPC detector. In the second it first passes through an aging volume before entering the detector. In both instances, just after leaving the detector, the RH is monitored with a Vaisala RH sensor, which acts as a controller for the humidifier. The aging volume is a stainless steel chamber of ~15 times the diameter of the flow tubing (see below), appreciably increasing the time spent by the sample aerosol at the control RH prior to entering the detector. This assures that the aerosol equilibrates with this RH prior to measurement. As shown, temperature sensors are located at two points in the aging chamber. Coupled with an assumption of no appreciable vapor loss, this permits us to verify the flow RH remains at the nominal value throughout the flow path. We currently follow Chuang (2003) in estimating a time interval of >30 seconds should suffice to reach equilibrium.

The second, right, branch in Figure 1 divides again into two routes. The first flows through a humidifier to the second OPC detector. The second route simply bypasses the humidifier to permit measurement of the nonhydrated aerosol size distribution. Though not shown explicitly, the valving, heating and humidification are all monitored and controlled by a computer-based system, which permits the operation of this system in one of three different configurations.

Before turning to discussion of the three flow configurations of the AHS, we wish to note several aspects of the instrument structure that are likely unclear from the schematic alone. The tubing used in the AHS is 3/8 inch stainless steel (0.95 cm ID). Given the necessary aspiration flow for the OPCs, this results in an internal flow velocity of ~1 m s⁻¹. All bends in the system have radii of curvature of 1 cm or greater, implying Stokes numbers of 0.04 or less (0.04 for 8 μm diameter particles). Hence, transmission efficiencies in the bends are ~97% for 8 μm particles and exceed 99% for 1 μm particles. However, perhaps the most critical component of the AHS is the aging chamber. This is a vertically oriented cylindrical volume 33.7 cm in overall length with a maximum diameter of 10.8 cm. The first 8.9 cm constitute an expansion cone to smooth the flow transition to the larger channel diameter, as is the final 8.9 cm to smooth the transition back to the sample line. With the vertical orientation, sedimentation of the larger particles (maximum settling velocity of 0.3 cm s⁻¹) is not an issue in the chamber. Similarly, aspiration from the chamber back into the tubing leading to the OPCs takes place at close to 100% efficiency due to the very low Stokes numbers of the chamber flow (~10⁻³). The effect of this chamber is to produce a substantial additional delay in the residence time of the aerosol at the RH of the branch containing it (compared to the other, direct branch). However, the chamber acts as a mixing chamber due to its large size and there is thus a distribution of particle residence times associated with it.

Extensive laboratory tests with step function aerosol input have established the shortest delay time (relative to the direct flow branch) as 12 s while the median delay time is 42 s. Thus, the delay branch has a total residence time of at least 14 s and a median residence time of ~44 s.

In the first and simplest configuration of the system, valves 1 and 3 are open, and 2 and 4 are closed. The right branch of the system acts to measure the aerosol size distribution at relatively low RH with the OPC. The left branch in this configuration is measuring the aerosol in humidified air. This mode thus allows a comparison of the size distribution at ~85% to that at ~40% RH (the nominal RHs used in the two pathways). It is important to note that comparison of the two branches is predicated on their equivalent response to the same input. Hence, prior to each set of samples (or aircraft flights for typical deployments), a filter is placed just behind the inlet to test zero equivalency of the sensors for a period of several minutes. Periodically, a test aerosol with narrow size dispersion and independently known concentration...
is sampled by the AHS to determine the counting efficiency of each OPC and permit derivation of the counting efficiency correction factor necessary to render the OPCs equivalent in efficiency.

The second configuration has valves 1 and 4 open, and 2 and 3 closed. In this mode, the two flow paths are equivalent, permitting a comparison of the individual channel responses at either high or low RH. This is essentially a test mode to ensure that the channel responses are equivalent for actual ambient aerosols, particularly at high RH.

The third and most elaborate configuration of the system has valves 2 and 4 open, with 1 and 3 closed. On the right branch of the flow, valve 4 routes the flow once again through the humidifier. In this configuration, the OPC measures the humidified aerosol size distribution with a time scale for droplet growth similar to that usually employed, i.e., assuming a growth time on the order of two seconds or less. OPC 1, however, measures the hydrated size distribution after a median growth period of a nominal 44 s and a minimum growth time of 14 s. Hence, the aerosol sizes measured are the equilibrium sizes for the given RH (again, 85–95%). Comparison of the size distributions measured by the two detectors permits a quantitative assessment of the fraction of equilibrium size attained by the measured aerosols in typical measurement systems. It is important to note that, in a changing aerosol field, comparison of the size distributions involves the time collation of the two OPC signals by lagging the measurements from the right branch by the 42-second delay built into the left side of the system. Because of this necessity, the time response of the overall system is essentially a nominal 44 seconds. This dictates that the system is not capable of discriminating short time or spatial scale events with respect to growth delay. However, for the relatively horizontally homogeneous MBL scenarios where we employ it, this is not an issue. The delays in droplet growth measured by the system permit an estimation of the impact of growth delays on, for example, CCN activation.

For most uses of the AHS, it is vital that the two OPC sensors have an identical response with the same atmospheric aerosol input, or can be corrected to such equivalence. A baseline test in the first configuration with the humidifiers off verifies equivalence at lower RH. To determine equivalence at higher RH, the system is checked by running in configuration 2, as discussed above. This test is conducted for several minutes at some point during each flight.

2.2. Analysis Methodology

The analysis procedure utilized is similar to the “Descriptive Hygroscopic Growth Factor” (DHGF) methodology developed by Nowak (2005). First, the number-size distributions of both the "wet" and "dry" channels are integrated downward in size. For any particular dry diameter, the wet diameter at which the cumulative wet number concentration is equivalent to the cumulative dry number concentration defines the diameter to which the dry particles have grown for the given change in RH. The DHGF is simply the ratio of these wet to dry diameters and a DHGF spectrum is plotted for several months of aerosol data. The approach assumes that, above a certain large size, there are no particles sampled. The rapid fall off in atmospheric aerosol number concentration with size renders this a safe assumption, and it has several advantages. First, it avoids ambiguities concerning possible multiple dry sizes contributing to a given wet size due to different hygroscopicities. Second, the integration procedure itself acts as a smoothing filter, enhancing the signal to noise ratio. On the other hand, it does preclude the differentiation of aerosol particles with differing hygroscopicities within size ranges as analyzed, for example, by Svenningsson et al. (1992). Furthermore, because of this limitation, in some scenarios it will be difficult to differentiate between changes in aerosol hygroscopcity induced by chemical changes in aerosol particles and changes due to a differing external mix of more and less hygroscopic particles. What the DHGF methodology always permits is the operational recovery of the “wet” aerosol size distribution from the dry, or vice versa. This is the key relationship for assessing an impact of RH on, for example, aerosol optical properties for which only the particle distributions themselves are important, not how they are achieved.

2.3. Calibration

The two OPCs require a calibration, both to ensure the proper sizing and, in the AHS, to ensure size equivalency between the two channels for the same input. Hence, calibration aerosol for each channel of the AHS was introduced at the aerosol inlet of the instrument system rather than closer to the two OPCs. Four different calibration aerosols were employed. For sizes below ∼600 nm, a DMA was used to generate monodisperse salt aerosols with compositions—and indices of refraction—similar to those encountered in the atmosphere. We used NaCl and NH₄NO₃ aerosols at various RHs to encompass a range of indices of refraction from 1.4 to 1.48. To calibrate at larger sizes, above the range of most DMAs, we used silica spheres produced by Duke Scientific up to the largest available size of 1.6 μm. For sizes larger than this, polystyrene-latex (PSL) spheres, also from Duke Scientific, were employed. However, these particles had an index of refraction of 1.59, sufficiently different from our target aerosol to require adjustment of the OPC signal to compensate for the apparent size change produced by the higher index of refraction. We utilized the relationship between index of refraction and scattering intensity reported by van de Hulst (1957) for this adjustment. We also used PSL spheres at sizes below 1 μm to verify the equivalence of the signal between the PSL spheres and the other calibration aerosols.

The calibration data were used to linearly regress the calibration particle sizes onto the OPC pulse height analyzer (PHA)—size calibration provided by the manufacturer. Since the factory calibration is completely linear, this is equivalent to calibrating the PHA channels. The regression line for aerosols with indices of refraction between 1.4 and 1.48 is shown in Figure 2. The R² for the regression line is 0.95. When PSL spheres are
included in the analysis over the same size range, the slope of the regression line is within 1% of that shown and the intercepts differ by less than 15%, neither difference being significant. The regression analysis encompassing the larger sizes (and thus necessarily PSL spheres) is shown in Figure 2. The regression $R^2$ is 0.99. While both regressions provide excellent fits, they have slightly, but significantly, different slopes (0.87 for the lower indices of refraction only and 0.99 for all particles). We use the first regression for the analysis shown below to calibrate the PHA channels and to provide the best fit in the 0.5 to 2.0 $\mu$m size range which is of most interest for these cases, i.e., a calibration based on the data shown in Figure 2 was applied to the data obtained in this study. Based on the regression analysis, we also derived the effective size resolution of the OPCs. The resolution varies from 29 nm at the small end of the size range to 58 nm at the large end. This size resolution, while adequate for our needs, is much less than that associated with TDMA-based hygroscopic growth measurements at smaller sizes and is the major source of uncertainty in our DHGF measurements for particle diameters below 2 $\mu$m. Above this size, Poisson counting statistics begin to dominate the overall uncertainty for most aerosol samples.

Another critical aspect of the instrument calibration is verification that the DHGFs for aerosols of known composition are in fact as expected from previous laboratory and theoretical studies. To this end, both NH$_4$NO$_3$ and NaCl aerosols were generated and the measured DHGFs compared to literature values. For ammonium nitrate aerosols of 300 nm diameter, the measured DHGF was $1.34 \pm 0.04$ for the RH increment from 10 to 72%. This is entirely consistent with literature values (e.g., 1.32; Tang 1980, 1996). Similarly, 600 nm NaCl particles had a measured DHGF of $1.96 \pm 0.21$ for the RH range of 10 to 89% as compared to a literature value of $2.1 \pm 0.2$ (Tang 1996, 1997).

In addition to tests at specific particle sizes, polydisperse, NH$_4$NO$_3$ aerosol were measured to assess the AHS response for a constant composition but polydisperse aerosol. The DHGF for an RH couple of 25 and 81% ranged from 1.31 to 1.47 with a mean value of $1.38 \pm 0.06$ over a size range from 0.3 to 2.0 $\mu$m diameter. This compares quite well with the value of $1.39 \pm 0.03$ derived from Tang (1980).

3. **EXAMPLES OF AHS DATA ANALYSIS FROM THE CARMA STUDY**

3.1. **Venue and the CARMA Program**

In fact, some preliminary measurements using the AHS have already been reported (Hegg et al. 2006) but dealt mostly with the relationship between DHGFs and chemical composition. The additional analyses presented here are more general and meant to illustrate the capabilities of the AHS. They are based on data from the CARMA-III field campaign in August of 2005. This field study was part of the Cloud-Aerosol research in the Marine Atmosphere (CARMA) program supported by the Office of Naval Research (ONR). The objective of this program is to quantify the impact of aerosols on clouds in the MBL and the impact of these clouds on the aerosols processed by them. The venue for CARMA III was the region of high-frequency stratocumulus off of the central California coast. This is one
of the three most intensive stratocumulus areas in the world (Warren et al. 1988) and the clouds here have been shown to be susceptible to albedo modulation both by remote sensing studies (Platnick and Twomey 1994) and by a number of in-situ observational studies (cf., Durkee et al. 2000 and references therein). More relevant to the current analysis, it is an area where aerosol characteristics, both physical and chemical, vary widely due to the proximity of strong anthropogenic and biogenic emissions from California coupled with winds that can advect this air into the operational area but commonly also advect it from the pristine marine background airshed to the west. It is thus an excellent location for evaluating a new instrument to measure aerosol hygroscopicity.

3.2. Ancillary Instrumentation

The instrument platform that was utilized is the Center for Interdisciplinary Remotely Piloted Aircraft Studies (CIRPAS) Twin Otter research aircraft. The instrumentation aboard this aircraft, while variable according to mission, can be parsed from a number of recent publications (e.g., Hegg et al. 2001; Wang et al. 2002; Schmid et al. 2003). For particle size, optical properties and moisture analysis, the key standard instruments are summarized as follows.

• For fast (40 Hz) measurement of the water vapor mixing ratio and static air temperature Lyman alpha hygrometers and fast response Rosemont probes are utilized (Friehe et al. 1986; Friehe and Khelif 1992).
• For aerosol optical properties and scattering hygroscopicity, a TSI 3563 three-wavelength nephelometer and the University of Washington passive humidograph, respectively, are employed (cf., Gasso et al. 2000; Gao et al. 2003).
• For measurement of aerosols in the submicron size range most relevant to cloud drop nucleation, and thus cloud processing, the PMS/DMT PCASP (Passive Cavity Aerosol Spectrometer)-100 (size range from 0.2 to 3.2 μm diameter) is employed (cf., Hegg and Jonsson 2000).
• Larger aerosols, including cloud drops, are measured by two instruments, the PMS/DMT FSSP (Forward Scattering Scintillator-100 and the DMT CAPS (Cloud Aerosol Particle Spectrometer) probe (which measure from 2 to 40 and from 0.5 to 50 μm diameter, respectively). However, these probes are both laser-illuminated (monochromatic) and forward-scattering spectrometers. The FSSP was designed to measure cloud drops and the CAPS, though supposedly designed for aerosol in general utilizes essentially the same principles. Their failings as unactivated aerosol probes are well established and one of the motivations for our usage of white light sensors in the AHS. They nevertheless provide useful if only semi-quantitative data on large aerosol concentrations.

• For aerosol composition, 47 mm Teflon membrane filters (Teflo) were used to collect bulk aerosol samples. A number of bulk chemical analytical techniques can be used to determine composition. The techniques which we utilized are well described in Gao et al. (2003a).

3.3. Vertical Variations in Descriptive Hygroscopic Growth Factor (DHGF) Spectra

The surface layer of the world’s oceans is highly enriched in organics (cf., Schneider and Gagosian 1985) and, during the bubble-bursting process which creates sea-salt aerosol, these organics, comprised largely of insoluble or only sparingly soluble lipids, fatty acids, fatty alcohols, etc. (as well as numerous soluble organics such as neutral sugars), adsorb onto the salt particle surfaces as surfactants (e.g., Oppo et al. 1999; Cini and Loglio 1997). It is thus scarcely surprising that recent work in the clean marine atmosphere has found sea salt and organics to be commonly co-existent in the marine aerosols, with the organics constituting ~10% of the sea salt mass (cf., Middlebrook et al. 1998). More detailed studies have suggested a relative concentration of organics in fine particles under clean conditions (e.g., Neususs et al. 2000), a phenomenology in accord with the adsorption hypothesis (Oppo et al. 1999). It is also interestingly congruent with the relative enrichment of “less hygroscopic” particles at smaller sizes observed in recent H-TDMA analyses in marine air (e.g., Swietlicki et al. 2000). These aspects of the marine organic aerosol phenomenology have recently led to a novel conceptual model of sea-salt aerosol as “inverted micelles,” essentially sea-salt solution droplets coated by hyrophobic organic surfactants (Ellison et al. 1999). While the structure of the aerosol is similar to previous concepts, Ellison et al. point out explicitly that, while the hyrophobic coating will render the aerosol initially less capable of taking on water, this coating will likely be oxidized by OH radicals in the atmosphere as the aerosol ages and thus the hygroscopicity of the sea salt should vary with age. Hence, the rate of evolution of the surfactant coating will be an important element in the evolution of the aerosol optical properties as well as its water activity (Vaida et al. 2000). One might, on the basis of this model, expect an increase in marine aerosol hygroscopicity with altitude, with near surface hygroscopicities substantially lower than that expected for sea salt (inorganic) itself. Additionally, the well-known production of secondary sulfate aerosol mass, which might be expected to coat, in turn, any organic surface layer, could also render the aerosol more hygroscopic with age. On the other hand, if the aerosol does not have a complete organic surface layer, its hygroscopicity could be quite similar to that of inorganic sea salt, and could actually decrease with altitude if secondary sulfate displaces the more hygroscopic sea salt. Rendering the situation even more complex, it is conceivable that these factors could vary in impact for different size ranges. For example, one could have complete hyrophobic
surface layers for small particles but incomplete layers for larger particle, resulting in increases in hygroscopicity in some ranges with aging simultaneous with decreases in other size ranges.

With this discussion as background, the observations of DHGF spectra obtained with the AHS during CARMA-III are interesting. Seven flights had coupled pairs of “high” and “low” altitude DHGF spectra for comparison. The low altitude spectra were uniformly at 30 m MSL while the high altitude spectra were obtained at altitudes varying from 200 m (flight 822) to 470 m (flight 825). Average DHGF spectra for all of the high and low altitude samples are shown in Figure 3. The high and low altitude passes were selected from each flight in pairs to ensure that the averages were made over the same domains. The mean spectra show an increase in hygroscopicity with altitude over much of the particle size range examined, though it is only significant in the range from approximately 1 to 2 μm. This can easily be rationalized in terms of the previous discussion by oxidation of insoluble organics and/or secondary formation of soluble inorganics. However, examination of individual cases reveals several more complex scenarios. For example, Figure 4 (flight 825) suggests a substantial increase in hygroscopicity with altitude for particles in the ~0.5 to 2 μm size range, no significant difference between 2 and 2.5 μm followed by another significant increase between ~2.5 and 3.2 μm. This contrast is no doubt due to differences in chemical composition with size. But, as discussed in Section 2.2, one must always consider the possibility that one is dealing with an external mixture of more and less hygroscopic particles and that changes in number of either particle type have produced the observed changes in DHGFs. Nevertheless, for the scenario examined here, a well-mixed MBL, this seems unlikely. For example, for flight 825, which has the largest altitude difference between samples, the particle concentrations only differ by about 6% between the high and low samples. The observed changes in DHGF almost certainly must therefore involve changes in the composition of individual particles.

FIG. 4. Mean DHGF spectra for both the higher and lower altitude samples taken during CARMA-III. The higher altitudes ranged from 200 to 470 m MSL while the lower altitude samples were all at 30 m MSL. The error bars represent the standard errors of the mean values.
Clearly, however, the question is worth pursuing in future work.

### 3.4 Delayed Particle Hydration

Only three entirely credible samples were obtained with the AHS in its delay-analysis mode during CARMA-III due to the need to perform the more basic growth measurements and the constraints of level flight legs and profiles. Nevertheless, they present some interesting features as well as verifying the performance of the instrument in this mode. Two of these samples were of marine aerosol near the sea surface (30 m MSL) but the second was in the plume from a biomass fire sampled just above the MBL inversion. Figure 5 shows the normalized DHGF spectrum for the fire plume together with the normalized spectrum for the average of the two marine samples, which were very similar. Normalization consists of dividing the observed DHGFs with the AHS in the delay mode, which gives the diameter ratio with and without the aging chamber in line, by the size corresponding DHGFs from the AHS with both channels at the same RH but with the aging (delay) chamber off-line. This normalization then accounts for any anomalies in the instrument response along the two sample paths that is not associated with the longer growth period of the delay pathway. More concisely, perhaps, the normalized DHGF, or delay ratio, can be expressed as:

\[
\text{Delay ratio} = \frac{\text{DHGF}(\text{delay chamber online, high RH})}{\text{DHGF}(\text{delay chamber offline, high RH})}
\]

The normalized spectra for the marine aerosol show no evidence of enhanced growth associated with the longer growth time of the delay pathway within the uncertainty of the measurements. Indeed, they show some modest negative growth, i.e., evaporation, likely associated with fluctuating and on average slightly lower RH in the delay pathway. The fire plume aerosol, on the other hand, does show evidence of enhanced growth with the longer time of the delay pathway. It is noteworthy that the fire plume aerosol was over 80% organic by mass while the marine aerosols were 12 and 3% organic by mass, respectively. It is also interesting to note that the fire plume aerosol showed no evidence of a kinetic effect at the smaller sizes, consistent with the data of Chuang (2003) who made his measurements at particle sizes of 50 and 100 nm.

### 4 CONCLUSIONS

A new instrument for measuring size-resolved aerosol hygroscopicity has been described. It utilizes white-light OPCs to extend the range of hygroscopic growth measurement from ~0.2 to 3.5 μm diameter, a considerably broader range than has previously been accessible with a continuous, in situ instrument. Laboratory size calibration with spheres of different diameter and refractive index, and tests of hygroscopic growth of known monodisperse and polydisperse hygroscopic aerosols, verify the instrument design and operation. Preliminary ambient results, which verify the utility of the measurement, suggest that aerosol hygroscopicity in general increases with altitude in the MBL but that some size ranges can actually decrease in hygroscopicity with altitude. In the delay configuration, The AHS has also demonstrated an ability to detect kinetic effects on the condensational growth of aerosols, suggesting that for some aerosol types, such as largely organic aerosol from biomass combustion, a substantial time interval may be necessary for them to equilibrate at ambient humidities.
REFERENCES


