# Efficacy and Safety of Erlotinib Monotherapy for Japanese Patients with Advanced Non-small Cell Lung Cancer A Phase II Study

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**Introduction:** The aim of this study was to evaluate the efficacy and safety of Erlotinib in Japanese patients with previously treated non-small cell lung cancer (NSCLC). Available tumor biopsy samples were analyzed to examine relationships between biomarkers and clinical outcome.

**Methods:** This open-label phase II trial enrolled stage III/IV NSCLC patients who had progressive disease after at least one prior platinum-based chemotherapy regimen. Erlotinib was administered at a dose of 150 mg/d orally until disease progression or intolerable toxicity. Analysis of epidermal growth factor receptor gene mutations in exon 18–21 by direct sequencing was performed in tumor tissue specimens obtained at the first diagnosis.

**Results:** Sixty-two patients were enrolled and 60 patients were evaluable for efficacy. Objective response rate and disease control rate were 28.3% and 50.0%; median time to progression and overall survival were 77 days and 14.7 months, respectively. In logistic regression analysis, only smoking history was proved to be a statistically significant predictive factor for response (odds ratio: 0.06, p < 0.001). Only 7 patients had samples available for mutation analysis. Three patients who had deletion mutations on exon 19 (del E746-A750 or del S752-I759) exhibited objective response. Common toxicities were rash (98%), dry skin (81%), and diarrhea (74%). Discontinuation due to adverse events occurred in 11 patients (18%). Four patients (6%) experienced interstitial lung disease-like events, one of whom died.

**Conclusion:** Erlotinib is efficacious in Japanese patients with previously treated NSCLC. The toxicity profile was similar to that in Western patients, except for a somewhat higher incidence of skin disorders and interstitial lung disease. Further studies are needed to determine the relationship between epidermal growth factor receptor mutations and outcomes with Erlotinib in Japanese patients.

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ung cancer affects approximately 1.2 million people an-Lnually, and is the leading cause of cancer death in the world.<sup>1</sup> More than 80% of affected patients are diagnosed with non-small cell lung cancer (NSCLC). The standard first-line treatment for metastatic NSCLC is a combination of platinum chemotherapy with a third-generation agent such as docetaxel, paclitaxel, gemcitabine, vinorelbine, and irinotecan.<sup>2,3</sup> Although patients with stage II, IIIA, or IIIB NSCLC receive platinum-based chemotherapy as part of combined modality treatment with thoracic radiotherapy or surgery, many will be candidates for second or third-line chemotherapy. Docetaxel is the only cytotoxic agent with a proven survival advantage over supportive care in patients with disease progression after cisplatin-based chemotherapy for NSCLC.<sup>4</sup> The other agent for which a survival benefit has been demonstrated in this setting is erlotinib,<sup>5</sup> which was approved in Japan for the treatment of relapsed NSCLC in October 2007. Erlotinib is a selective, orally active epidermal growth factor receptor tyrosine kinase inhibitor (EGFR-TKI). In contrast to the experience with the cytotoxic chemotherapeutic agents, response to treatment with EGFR-TKIs has been reported to be influenced by gender, histological type, race or ethnic origin, and smoking status.5-8

Tumor molecular markers, including EGFR gene mutations and protein expression, have been widely studied in patients with NSCLC, and there is strong evidence that the presence of EGFR gene mutations is a predictor of tumor response and resistance.<sup>9–12</sup> However, few prospective studies have evaluated molecular markers as predictors of outcome, and their clinical usefulness is unproven.

This report presents the results of the first phase II study of erlotinib conducted in Japanese patients with NSCLC. The purpose was to evaluate the efficacy and safety of erlotinib in this population. Where available, tumor biopsy samples were analyzed for EFGR-related markers.

Disclosure: Kazuhiko Nakagawa had served as an adviser for pre-approval consulting of this drug. Masahiro Fukuoka was paid an honorarium as the chairman of the meeting and as medical advisor for clinical trial in relation to this drug. Nagahiro Saijo had received research grant in relation to this drug. The other authors declare no conflicts of interest.

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#### PATIENTS AND METHODS

This phase II, multicenter, open-label study recruited patients at 11 hospitals in Japan. The primary end point was the objective response rate (ORR) to erlotinib treatment (150 mg/d). Secondary endpoints were disease control rate (DCR), response duration, time to progression, overall survival (OS), quality of life (QoL), and safety. The protocol was approved by the ethics review boards of all participating institutions, and conducted in accordance with Japanese Good Clinical Practice guidelines.

#### **Patient Selection**

Patients with histologically or cytologically documented stage IIIB or IV NSCLC at study entry (not curable with surgery or radiotherapy) that was recurrent or refractory to treatment with one or more chemotherapy regimens (including at least one platinum-containing regimen), were enrolled into this study. Additional eligibility criteria included: the presence of measurable lesions by Response Evaluation Criteria in Solid Tumors (RECIST); age  $\geq 20$ , <75 years; Eastern Cooperative Oncology Group performance status (ECOG PS) of 0-2, and adequate bone marrow, hepatic, and renal function, i.e., aspartate aminotransferase and alanine aminotransferase (ALT) levels  $\leq 2.5$  times the upper limit of normal and total bilirubin of  $\leq 1.5$  times the upper limit of normal. Patients with existing or previous interstitial lung disease (ILD) were excluded, although a history of radiation pneumonitis (limited to the field of radiation treatment) was permitted. Concomitant anticancer treatment and prophylactic medication for adverse events (AEs) were not permitted, nor was prior use of anti-EGFR or anti human epidermal growth factor receptor (HER2) agents (small molecules and monoclonal antibodies). Written informed consent was obtained from all patients.

# **Treatment Procedure**

After completion of the baseline assessments (see below), all patients received erlotinib (150 mg orally) each morning, 1 hour before breakfast, until the occurrence of progressive disease (PD) or unacceptable toxicity (all AEs were graded using the National Cancer Institute Common Toxicity Criteria Version 2.0). In the event of treatmentrelated toxicity, 2 dose reductions of 50 mg were permitted per patient, and dosing could also be interrupted for up to 14 days. For grade 3 or intolerable grade 2 rash, treatment was withheld until the rash improved to grade 2 or less, when a lower dose of erlotinib was initiated. For grade 3 diarrhea, treatment was withheld until the diarrhea was grade 1 or less, when a lower dose was started. For ILD of any grade, or any grade 4 toxicity, treatment was immediately and permanently discontinued.

# **Evaluation of Efficacy**

Objective tumor response was assessed in accordance with RECIST.<sup>13</sup> Tumor assessments were performed at baseline, then every 4 weeks until week 16, and then every 8 weeks thereafter. Confirmation of complete or partial responses (PR) was required, by means of a second assessment conducted 28 days or more after the initial assessment. Stable disease (SD) was defined as disease control (absence of progression) maintained for at least 6 weeks. An independent response evaluation committee consisting of 2 oncologists and a radiologist reviewed images of patients with complete response, PR, and SD. Individual survival times were determined from the survival status of each patient during the study period and at the post study follow-up survey conducted in June-July 2005 and May-July 2006. OS was defined as the time from first administrated to death.

# **Quality of Life Evaluation**

The Functional Assessment of Cancer Therapy-Lung (FACT-L) questionnaire (Version 4-A)<sup>14</sup> was used to assess QoL. The full FACT-L questionnaire was administered at baseline and then every 28 days. In addition, the Lung Cancer Subscale (LCS), an independently validated component of FACT-L, was administered weekly during the treatment period. Best responses on the LCS were analyzed for all patients with a baseline LCS score of 24 or less (out of a possible 28 points) and symptomatic improvement was defined as an increase from the baseline score of 2 or more points, sustained for at least 4 weeks.

# **Evaluation of Safety**

Baseline assessment included a full patient history, physical examination, standard laboratory tests, electrocardiography, chest radiography, pregnancy test, and ophthalmologic tests (vision test and slit-lamp examination). Every week until week 8 and every 2 weeks thereafter, vital signs and ECOG PS were monitored and blood samples were taken for hematology and blood chemistry tests. A radiograph examination to assess pulmonary toxicity was conducted weekly until week 4 and every 2 weeks thereafter. Ophthalmologic examinations were repeated at week 8 and at the end of the study. Observation and evaluation of AEs was conducted as appropriate throughout the study period. All AEs were graded using National Cancer Institute Common Toxicity Criteria Version 2.0. For all ILD-like events, the data safety monitoring board (which consisted of oncologists and pneumonologists) reviewed the clinical data and images; the images were also examined by a review committee of radiologists with expertise in drug-induced pulmonary disorders.

# **Biomarker Analysis**

*EGFR* mutations and EGFR and HER2 protein expression were assessed in patients with suitable tumor tissue specimens at first diagnosis or surgery; these assessments were done only with separate written consent. Tumor samples were obtained from each center as formalin-fixed and paraffin-embedded blocks, or as thinly sliced tissue sections mounted on glass microscope slides. For the mutation analysis, the tissue was microdissected by Targos Molecular Pathology (Kassel, Germany) and direct sequencing was conducted at the Roche Centre of Medical Genomics (Basel, Switzerland), using a nested polymerase chain reaction of exon 18-21. EGFR protein expression was analyzed by Lab Corp (Mechelen, Belgium). EGFR expression analysis was conducted by immunohistochemistry using Dako EGFR PharmDx<sup>TM</sup> kits (Dako, Carpinteria, CA). A positive test was defined as membranous staining in  $\geq 10\%$  of the tumor cells. HER2 protein expression was measured using HercepTest<sup>TM</sup> (Dako, Carpinteria, CA), and a score of 1+ or above (possible scores were: 0, 1+, 2+, 3+) was regarded as positive.

#### **Statistical Analysis**

Given an expected ORR of 20%, a Fisher's exact test was performed (one-sided  $\alpha = 2.5\%$ ). Based on 50 patients, the power to test the null hypothesis (ORR = 5%) was 89.66%. The target sample size of 60 patients was chosen on the expectation that a proportion of patients would prove to be ineligible for the study. The main analysis of efficacy was conducted on the full analysis set (FAS), which was produced by omitting ineligible patients. The 95% confidence interval (CI) for ORR, DCR, and symptom improvement rate was calculated by the Clopper-Pearson method. The time-to-event variables were estimated by the Kaplan-Meier method. Logistic regression and Cox proportional hazards regression analysis was conducted on best response and survival time, respectively. In both cases, univariate and multivariate analyses were used to evaluate the effects of 11 factors relating to patient and disease characteristics, and previous treatment.

#### RESULTS

#### **Patient Characteristics**

A total of 62 patients were enrolled between December 2003 and January 2005. All were evaluable for safety and 60 were evaluable for efficacy (FAS). Two patients did not have a measurable lesion according to RECIST. The baseline characteristics of the patients, including their treatment history, are shown in Table 1. The median age was 60.5 years (range: 28–74 years), and 71% of patients were male. Fiftyseven patients (92%) had adenocarcinoma, and 20 (32%) were never-smokers. Twenty-seven patients (44%) had received only one previous chemotherapy regimen.

# Efficacy

Tumor response rates in the FAS (as assessed by extrainstitutional review) are shown in Table 2. Seventeen patients were assessed as having a PR and 13 as having SD. The ORR was 28.3% (95% CI: 17.5-41.4%) and the DCR was 50% (95% CI: 36.8-63.2%). In three patients, objective response could not be adequately confirmed, because each discontinued treatment early in the study due to AEs. The median duration of response was 278 days (95% CI: 203-422 days), and time to progression was 77 days (95% CI: 55-166 days). OS was determined based on information collected until the follow-up survey conducted in May-July 2006. The median survival time was 14.72 months (95% CI: 11.07-20.57 months; 19 censored cases) and the 1-year survival rate was 56.5% (95% CI: 43.9-69.1%) (Figure 1). The median OS of patients with PD was 9.95 months. The symptom improvement rate measured using the LCS was 42.1% (24/ 57; 95% CI: 29.1-55.9%).

The overall response rate was higher in women (58.8%; 10/17) than in men (16.3%; 7/43,  $\chi^2$  test: p = 0.0029), and in never-smokers (63.2%; 12/19) than in current or former smokers (12.2%; 5/41, p = 0.0002). There was no statisti-

**TABLE 1.** Summary of Baseline Patient Characteristics and

 Demographics

Age (yr)Median $60.5$ Range $28-74$ SexFemale $18$ $29$ Male $44$ $71$ Performance status $0$ $20$ $32$ 1 $41$ $66$ 2 $1$ $2$ Histology $41$ $66$ 2 $1$ $2$ Kape carcinoma $57$ $92$ Squamous cell $4$ $6$ Unclassified $1$ $2$ Stage $1$ $2$ IIIB $8$ $13$ IV $54$ $87$ Smoking history $8$ $304.0$ Never smoked $20$ $32$ Current- or former smoker $42$ $68$ Time since initial diagnosis (d) $Median$ $304.0$ Range $2-2353$ $27$ $44$ $2$ $23$ $37$ $\geq 3$ $12$ $19$ Prior taxanes $10$ $16$ Yes $52$ $84$ Time since last regimen (d) $80.0$ Range $29-528$	Patient and Disease characteristics	No. of Patients $(n = 62)$	%
Median $60.5$ RangeRange $28-74$ SexFemale1829Male4471Performance status0203214166212Histology4166212Histology4166212Squamous cell4612Unclassified1223Stage1228IIIB813IV5487Smoking history548730304.0Never smoked2032322Current- or former smoker426868Time since initial diagnosis (d)304.0304.08Range2-23531219Prior chemotherapy regimens12744223372312231016Yes5284Time since last regimen (d)Median80.08Range29-5285284	Age (yr)		
Range       28–74         Sex       Female       18       29         Male       44       71         Performance status       0       20       32         0       20       32       1       66         2       1       2       1       2         Histology       41       66       2       1       2         Adenocarcinoma       57       92       Squamous cell       4       6         Unclassified       1       2       2       Stage       1       2         IIIB       8       13       IV       54       87         Smoking history       Never smoked       20       32       32         Current- or former smoker       42       68       68         Time since initial diagnosis (d)       Median       304.0       304.0         Range       2–353       12       19         Prior chemotherapy regimens       1       2       19         Prior taxanes       10       16       Yes       52       84         No       10       16       Yes       52       84         Time since last regimen (d)       Median	Median	60.5	
Sex Female 18 29 Male 44 71 Performance status 0 20 32 1 41 66 2 1 2 Histology Adenocarcinoma 57 92 Squamous cell 4 6 Unclassified 1 2 Stage IIIB 8 13 IV 54 87 Smoking history Never smoked 20 32 Current- or former smoker 42 68 Time since initial diagnosis (d) Median 304.0 Range 2–2353 Prior chemotherapy regimens 1 27 44 2 28 Prior taxanes No 10 16 Yes 52 84 Time since last regimen (d) Median 80.0 Range 29–528	Range	28-74	
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$\begin{array}{cccccc} 2 & 23 & 37 \\ ≥3 & 12 & 19 \\ Prior taxanes & & & \\ No & 10 & 16 \\ Yes & 52 & 84 \\ Time since last regimen (d) & & \\ Median & 80.0 \\ Range & 29-528 \end{array}$	1	27	44
≥3 12 19 Prior taxanes No 10 16 Yes 52 84 Time since last regimen (d) Median 80.0 Range 29–528	2	23	37
Prior taxanes No 10 16 Yes 52 84 Time since last regimen (d) Median 80.0 Range 29–528	≥3	12	19
No1016Yes5284Time since last regimen (d)80.0Median80.0Range29–528	Prior taxanes		
Yes 52 84 Time since last regimen (d) Median 80.0 Range 29–528	No	10	16
Time since last regimen (d) Median 80.0 Range 29–528	Yes	52	84
Median 80.0 Range 29–528	Time since last regimen (d)		
Range 29–528	Median	80.0	
	Range	29-528	

#### TABLE 2. Response Assessment

Parameter	n	(%)
Partial response	17	28.3
Stable disease	13	21.7
Progressive disease	27	45.0
Not assessable	3	5.0
Response rate (%) (95% CI)	28.3 (17.5-41.4)	
Disease control rate (%) (95% CI)	50.0 (36.8-63.2)	
Duration of response (median: days) <sup>a</sup> (95% CI)	278 (203.0–422.0)	
Time to progression (median: days) <sup>a</sup> (95% CI)	77 (55–166)	
<sup><i>a</i></sup> Kaplan–Meier method. CI, confidence intervals.		

cally significant difference between the response rate in patients with adenocarcinoma (28.6%; 16/56) and nonadenocarcinoma histology (25.0%; 1/4, p = 1.0000). The response



FIGURE 1. Kaplan-Meier plot showing overall survival.

rate was not affected by the number of previous chemotherapy regimens, however, being 27% for patients with one previous regimen (7/26) and 29% for those with 2 or more

**TABLE 3.** Logistic and Cox Regression Analysis

regimens (10/34). No statistically significant differences were found between other patient subgroups. In a multivariate logistic regression analysis, only smoking history was found to be a statistically significant predictor of response. A multivariate Cox regression analysis showed that both smoking history and ECOG PS were significant predictors for OS (Table 3).

#### Safety

All 62 patients who received erlotinib were assessed for safety. Treatment-related AEs were observed in all patients, and there were 24 serious AEs in 18 patients (29%). AEs led to discontinuation of erlotinib in 11 patients (18%), including 3 due to ILD-like events, 2 due to ALT elevation, and one each due to rash, paronychia, punctate keratitis, dyspnea/ hypoxia, pneumonia and fever/inflammatory neck swelling, and to dose interruptions in 30 patients (48.4%). While the main reasons for the dose interruptions were rash (n = 15; 24.2%) and diarrhea (n = 4; 6.5%), only one patient with rash

	Odds Ratio <sup>b</sup>	(95% CI)	р
Logistic regression analysis of response			
Univariate analysis			
Sex (female vs male)	0.14	0.04–0.48	0.002
Age (<65 vs ≥65)	1.26	0.38-4.13	0.704
Histology (non-AD vs AD)	1.20	0.12-12.41	0.878
Smoking history (never vs current or former)	0.08	0.02-0.30	< 0.001
Performance status (0 vs $\geq$ 1)	0.62	0.19-1.98	0.420
Prior regimens (1 vs $\geq$ 2)	1.13	0.36-3.53	0.832
Stage (IIIB vs IV)	0.99	0.17-5.65	0.988
KL-6 (baseline) ( $<$ median [496.5 U/ml <sup>a</sup> ] vs $\ge$ median)	1.64	0.53-5.12	0.392
Best response to previous chemotherapy (non-PR vs PR)	0.90	0.24-3.33	0.869
Prior taxanes (no vs yes)	0.43	0.10-1.84	0.253
Time since initial diagnosis ( $\leq 12 \text{ mo vs} > 12 \text{ mo}$ )	1.02	0.31-3.30	0.976
Multivariate analysis			
Smoking history (never vs current or former)	0.06	0.02-0.28	< 0.001
Time since initial diagnosis (<12 mo vs $\geq$ 12 mo)	2.22	0.49-10.20	0.304
Cox regression analysis of survival			
Univariate analysis			
Sex (female vs male)	1.76	0.85-3.61	0.126
Age ( $<65 \text{ vs} \ge 65$ )	0.86	0.44-1.71	0.675
Histology (non-AD vs AD)	0.55	0.19-1.55	0.255
Smoking history (never vs current or former)	1.90	0.93-3.90	0.079
Performance status (0 vs $\geq$ 1)	2.31	1.12-4.73	0.023
Prior regimens (1 vs $\geq$ 2)	0.93	0.50-1.75	0.833
Stage (IIIB vs IV)	1.38	0.49-3.89	0.542
KL-6 (baseline) ( <median [496.5="" ml<sup="" u="">a] vs <math>\geq</math>median)</median>	1.64	0.87-3.06	0.125
Best response to previous chemotherapy (non-PR vs PR)	0.66	0.31-1.44	0.300
Prior taxanes (no vs yes)	2.09	0.74-5.90	0.163
Time since initial diagnosis ( $\leq 12 \text{ mo vs} > 12 \text{ mo}$ )	0.76	0.40-1.47	0.418
Multivariate analysis			
Smoking history (never vs current or former)	2.20	1.06-4.56	0.035
Performance status (0 vs $\geq$ 1)	2.59	1.25-5.37	0.011
<sup>a</sup> Or 629 ng/ml			

" Or 629 ng/ml.

<sup>b</sup> Left site of 'vs' indicates reference group.

PR, partial response; AD, adenocarcinoma; CI, confidence interval.

							NCI-CTC Grad			
Event <sup>a</sup>			n	%	1		2	3	>4	
Rash				61	98.4	18	4	-1	2	0
Dry sk	in			50	80.6	44		6		_
Diarrh	ea			46	74.2	33	1	0	3	0
Pruritu	IS			45	72.6	38		7	0	_
Stoma	titis			24	38.7	19		4	1	0
Fatigu	e			21	33.9	15		6	0	0
Anore	xia			19	30.6	11		6	2	0
Paronychia		18	29.0	12	5		1	0		
C-reactive protein increased		15	24.2	8	7		0	0		
Alanin	e aminotrai	nsferase	increased	15	24.2	11	1 2 2		2	0
Total I	oilirubin inc	creased		15	24.2	8	8 7 0		0	0
Weigh	t loss			13	21.0	13	13 0 0		0	_
ILD-li	ke events			4	6.5	1	1 0 2		$1^b$	
Case	Sex	Age	Smoking History	Brinkman Index	Performance Status	Histology	Onset (day)	Outcome	Relation to	) Erlotinib <sup>c</sup>
1	Male	75	Former	640	1	Adenocarcinoma	52	Recovery	Prob	able
2	Male	67	Never		1	Adenocarcinoma	103	Death (145)	Poss	sible
3	Female	39	Never	_	0	Adenocarcinoma	85	Recovery	Prob	able
4	Male	69	Former	1000	1	Adenocarcinoma	13	Recovery	Unli	kely

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<sup>b</sup> Grade 5.

<sup>c</sup> Judged by ILD review committee.

NCI-CTC, National Cancer Institute Common Toxicity Criteria; ILD, interstitial lung disease.

had to discontinue treatment, and no patients had to discontinue because of diarrhea or any other digestive toxicity. Fourteen patients (23%) had dose reductions due to AEs, mostly due to rash (n = 9; 15%). Treatment-related AEs with an incidence of 20% or more are shown in Table 4; the main events were rash (98%), dry skin (81%), and diarrhea (74%). Elevated laboratory test values related to liver function were found in some patients (total bilirubin: 24%, ALT: 24%), and grade 3 ALT elevation led to treatment discontinuation in 2 patients. Four patients had ILD-like events, including worsening of radiation pneumonitis in one patient, and one died (Table 4). All four (three men; one woman) had an ECOG PS of 0-1 and 2 were former smokers. The patient who died was a 67-year-old man with adenocarcinoma and no history of smoking who discontinued treatment on day 84 due to PD. He developed interstitial pneumonia on day 103 and received 3 days of palliative thoracic irradiation from day 99, after completing the study (3 Gy  $\times$  3 days). A computed tomography scan showed characteristic features of ILD (cryptogenic organizing pneumonia-like pattern), and the ILD review committee decided that use of erlotinib could not be excluded as the cause. For the patient with worsening of radiation pneumonitis (case 4), the committee concluded that there was a possible influence of previous radiation therapy, and that this could be seen in the computed tomography scan on day 1. There was, therefore, little reason to suspect that the use of erlotinib had been the cause. Rather, it appeared that the radiation pneumonitis had worsened according to the normal course of illness.

#### **Biomarker Analysis**

Tissue samples for measurement of EGFR mutations were available for 16 of the 60 patients evaluated for efficacy. For 7 patients, all base sequences were successfully identified in the 4 segments of exons 18–21. All seven (three men, four women) had adenocarcinoma; three were never-smokers, three former smokers and one a current smoker. Three had PR, two SD and two PD. Five of the seven patients had EGFR gene mutations and, in all, seven different mutations were detected. The 3 patients with PR all had deletion mutations in exon 19 (del E746-A750 or del S752-I759). One of the 2 patients with PD had no mutations and the other had 2 substitution mutations: L858R in exon 21 and the resistance mutation T790M in exon 20 (Table 5).

Paraffin-embedded tissue samples for immunohistochemistry were available from 12 patients, among whom, 11 had successful determinations of immunohistochemical staining (including 3 patients with PR). Six of the 11 were found to be EGFR-positive and 4 were HER2-positive. However, there were no notable relationships between the EGFR and HER2 expression status and either tumor response or patient characteristics such as sex, histological type or smoking history (data not shown).

#### DISCUSSION

The present study was conducted on the basis of results from a phase I study of erlotinib in Japanese patients with solid tumors,<sup>15</sup> which showed erlotinib to be well tolerated at

TABLE 5.	EGFR M	utation Analys	sis						
Response	TTP (d)	Survival (d)	Sex	Histology	Smoking history	Mutation status	Exon	Type of Mutation	
PR	222	546	Female	Adenocarcinoma	Never	+	19	del E746-A750	
PR	230	811 +	Male	Adenocarcinoma	Current	+	19	del S752 –I759 and T751N	
PR	278 +	911	Female	Adenocarcinoma	Never	+	19	V786M, del E746-A750	
SD	224	649+	Male	Adenocarcinoma	Former	+	21	del V834-	
SD	77	737	Female	Adenocarcinoma	Former	_			
PD	60	604 +	Female	Adenocarcinoma	Never	+	20, 21	L858R, T790M	
PD	19	347	Male	Adenocarcinoma	Former	-	_		
TTP, time	TTP, time to progression; PR, partial response; SD, stable disease; PD, progressive disease.								

a dose of 150 mg/d, as well as a phase II study of erlotinib in NSCLC conducted in the United States.<sup>16</sup> In this study, erlotinib achieved an ORR of 28.3%, which was higher than expected, and a DCR of 50%. The response rate was higher than that determined in the above-mentioned phase II study<sup>16</sup> and in keeping with the rate seen in the Japanese subgroup in the phase II study of gefitinib (IDEAL1; 27.5%).<sup>6</sup> Assessment of QoL using the LCS demonstrated a clinically meaningful rate of symptom improvement of 42.1%.

The characteristics of the patients in this study were generally similar to those of NSCLC patients as a whole, in terms of their demographics and disease and treatment history, with the exception of a particularly high proportion of patients with adenocarcinoma (92%). The possibility of enrollment bias on the basis of histological type cannot be ruled out, in part because enrollment coincided with the emergence of reports that the efficacy of EGFR-TKI therapy was greater in patients with adenocarcinoma.17 However, we also observed one PR and two SDs among three patients with squamous cell carcinoma (FAS population), and our results do not rule out the efficacy of erlotinib in any patient subtype. A multivariate logistic regression analysis showed that smoking status was significantly associated with tumor response, in agreement with previous studies of predictive factors for response to EGFR-TKIs.5,18,19

The median survival time with erlotinib was an encouraging 14.7 months. One of the reasons for this long survival may be the high proportion of never-smokers and patients with adenocarcinoma compared with those of other studies, particularly the multinational phase III erlotinib study (BR.21).<sup>5</sup> On the other hand, the presence of *EGFR* gene mutations is currently regarded as an important determinant of treatment response to EGFR-TKIs<sup>20,21</sup> and may be the most important factor in relation to the favorable results seen in the present study. However, it is important to recognize that the potential prognostic effect of mutation status cannot be excluded. The sample size of this and previous trials limits the interpretation of this effect, which will be adequately assessed only by means of appropriately powered trials specifically designed to examine these factors.

Assessment of the presence or absence of EGFR gene mutation was possible in only seven patients in the present study. Despite this, the results were consistent with the results of some previous studies. All three of the patients who had a PR (including a male current smoker) had an in-frame dele-

tion in exon 19, which is considered to be the most frequent mutation site in the EGFR-TK domain.<sup>22</sup> One of the 2 patients with PD had a point substitution mutation (L858R) in exon 21, the second most frequent mutation site,<sup>22</sup> and a point mutation (T790M) in exon 20, which is suggested to be involved in tolerance to EGFR-TKI.<sup>12,23,24</sup> It would be valuable to conduct further prospective randomized studies on the association between these markers and survival during treatment with erlotinib in Japanese patients.

Rash and diarrhea were the main AEs reported by patients on erlotinib treatment, as reported in previous studies.<sup>5,15,16</sup> Rash was observed in almost all patients, and was the main reason for treatment interruptions or dose reductions. Although the protocol allowed treatment to be interrupted for grade 3 rash (or intolerable grade 2 rash), grade 3 rash only occurred in 2 patients, leading to discontinuation of treatment in one. Most cases of rash responded to symptomatic treatment and either interruption or dose reduction of erlotinib. Despite suggestions in some reports that the presence of erlotinib-related rash is associated with treatment efficacy and can be used to predict response,<sup>25</sup> no supportive evidence was found in the present study.

The incidence of ILD, which is the most clinically problematic AE associated with erlotinib, tended to be higher than that reported in other clinical studies of erlotinib.<sup>5,26</sup> This is in keeping with this class of agent, and is not unexpected in the Japanese population.

We would recommend that careful screening of patients for ILD risk factors, particularly signs of interstitial pneumonia and pulmonary fibrosis, is done before erlotinib therapy is initiated. Individuals with any previous history of ILD were excluded from this study.

In conclusion, erlotinib (150 mg/d) was shown to have promising antitumor efficacy in Japanese patients with previously treated NSCLC, leading to clinically meaningful improvements in symptoms and an encouraging median survival time. Despite, as expected, a high rate of rash and diarrhea, erlotinib was well tolerated at a dose of 150 mg/d by the majority of patients.

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