# Molecular Signature of CD8<sup>+</sup> T Cell Exhaustion during Chronic Viral Infection

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#### **SUMMARY**

Chronic viral infections often result in T cell exhaustion. To determine the molecular signature of exhaustion, we compared the gene-expression profiles of dysfunctional lymphocytic choriomeningitis virus (LCMV)-specific CD8+ T cells from chronic infection to functional LCMV-specific effector and memory CD8+ T cells generated after acute infection. These data showed that exhausted CD8<sup>+</sup> T cells: (1) overexpressed several inhibitory receptors, including PD-1, (2) had major changes in T cell receptor and cytokine signaling pathways, (3) displayed altered expression of genes involved in chemotaxis, adhesion, and migration, (4) expressed a distinct set of transcription factors, and (5) had profound metabolic and bioenergetic deficiencies. T cell exhaustion was progressive, and gene-expression profiling indicated that T cell exhaustion and anergy were distinct processes. Thus, functional exhaustion is probably due to both active suppression and passive defects in signaling and metabolism. These results provide a framework for designing rational immunotherapies during chronic infections.

# INTRODUCTION

After acute infection, naive antigen-specific CD8<sup>+</sup> T cells become activated, proliferate, acquire effector functions, and differentiate into effector CD8<sup>+</sup> T cells. Most effector CD8<sup>+</sup> T cells die by apoptosis, but ~5%–10% survive and differentiate into memory CD8<sup>+</sup> T cells. These memory CD8<sup>+</sup> T cells downregulate some properties of effector CD8<sup>+</sup> T cells but retain the capacity to rapidly reactivate effector functions upon antigen encounter (Wherry and Ahmed, 2004). Memory T cells also undergo rapid prolifer-

ation upon re-exposure to antigen. In addition, memory T cells can survive and persist long term in the absence of antigen and acquire the ability of "self-renewal" by undergoing homeostatic proliferation in response to IL-7 and IL-15 (Surh et al., 2006; Wherry and Ahmed, 2004). This combination of antigen-independent persistence and the ability to rapidly reactivate effector functions allows memory CD8<sup>+</sup> T cells to confer robust long-term protective immunity.

During many chronic infections, in contrast, severe defects in CD8+ T cell responses develop, and virus-specific CD8<sup>+</sup> T cells often fail to differentiate into memory CD8<sup>+</sup> T cells (Shin and Wherry, 2007). CD8+ T cell exhaustion was first described with chronic LCMV infection of mice during which virus-specific CD8+ T cells persist but lack effector function (Zajac et al., 1998). During chronic LCMV infection, virus-specific CD8+ T cells initially develop the ability to perform effector functions. These functions are lost. however, in a hierarchical manner during chronic infection with some functions that are exhausted early (e.g., IL-2, cytotoxicity, and proliferation), whereas others (e.g., IFNγ) persist longer (Wherry et al., 2003). Eventually, especially if viral load is high and CD4+ help is lacking, virusspecific CD8+ T cells fully lacking effector functions are found (Fuller and Zajac, 2003; Ou et al., 2001; Wherry et al., 2003). Similar types of dysfunction have also been described in other experimental models of viral infection, during human chronic infections and during cancer (Shin and Wherry, 2007).

CD8<sup>+</sup> T cell exhaustion during persisting infection is likely to have an important impact on viral control, and some of the underlying mechanisms for this dysfunction are beginning to be elucidated. Recently, we have found that the inhibitory receptor PD-1 is an important pathway regulating CD8<sup>+</sup> T cell exhaustion during chronic LCMV infection in mice (Barber et al., 2006). Blockade of the PD-1:PD-L pathway during chronic LCMV infection leads to recovery of T cell function and reduced viral load (Barber et al., 2006). These results have been extended to primates and humans in which the PD-I:PD-L1 pathway also regulates function of SIV-, HIV-, and HCV-specific T

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cells in vitro (Day et al., 2006; Petrovas et al., 2006; Petrovas et al., 2007; Radziewicz et al., 2006; Trautmann et al., 2006; Urbani et al., 2006; Velu et al., 2007; Zhang et al., 2007). Our previous studies identified the importance of the PD-1:PD-L pathway during chronic infection by using a gene-expression profiling approach (Barber et al., 2006). IL-10 has also been recently implicated in CD8<sup>+</sup> T cell dysfunction during chronic viral infection (Brooks et al., 2006; Ejrnaes et al., 2006). It is likely, however, that pathways in addition to PD-1 and IL-10 influence CD8<sup>+</sup> T cell function and differentiation during persisting infections. In this study, we report the analysis of global gene-expression profiles for virus-specific exhausted CD8<sup>+</sup> T cells.

We have examined the molecular signature of CD8<sup>+</sup> T cell exhaustion by using the well-characterized model of chronic LCMV infection. We have compared the gene-expression profiles of exhausted LCMV-specific CD8+ T cells to those of functional LCMV-specific effector and memory CD8+ T cells generated after acute infection. This approach revealed key insights into the biology of CD8<sup>+</sup> T cell dysfunction during chronic infection. First, exhausted CD8<sup>+</sup> T cells overexpressed multiple cell-surface inhibitory receptors, in addition to PD-1. Second, the fact that transcription of genes encoding molecules involved in signaling from TCR and cytokine receptors was downregulated probably compromised the efficiency of these pathways. Third, many genes for chemotaxis, migration, and adhesion were changed in exhausted CD8<sup>+</sup> T cells. Fourth, exhausted CD8<sup>+</sup> T cells displayed a dramatically altered pattern of differentiation compared to effector and memory CD8<sup>+</sup> T cells including a distinct expression pattern of transcription factors. Fifth, CD8+T cell exhaustion was associated with profound translational, metabolic, and bioenergetic deficiencies. Finally, exhausted CD8<sup>+</sup> T cells possessed a gene-expression profile distinct from that reported for anergic T cells. It is likely that the defects in effector functions in exhausted CD8+ T cells are due to both active suppression, for example by inhibitory receptors, and passive defects in signaling and metabolism. The defects and altered pathways identified in exhausted CD8<sup>+</sup> T cells provide an important framework from which to begin dissecting the control of CD8<sup>+</sup> T cell differentiation when pathogens persist and suggest novel potential targets of therapeutic intervention during chronic infections.

#### **RESULTS**

# Function and Phenotype of Exhausted CD8<sup>+</sup> T Cells

To address the molecular mechanisms of CD8<sup>+</sup> T cell exhaustion, we compared the gene-expression profiles of exhausted LCMV-specific CD8<sup>+</sup> T cells from chronic infection to fully functional LCMV-specific effector and memory CD8<sup>+</sup> T cells generated after acute LCMV infection. Infection with the Armstrong strain (Arm) of LCMV causes an acute infection that is cleared by day 8–10 p.i., resulting in the generation of functional effector and memory CD8<sup>+</sup> T cells (Ahmed et al., 1984). In contrast,

the clone 13 strain of LCMV causes a chronic infection with loss of effector functions (exhaustion) and ineffective viral control (Ahmed et al., 1984; Wherry et al., 2003; Zajac et al., 1998). In the present study, we have used chronic LCMV infection of CD4<sup>+</sup> T cell-depleted mice because these conditions result in the most profound CD8+ T cell exhaustion and we wanted to gain an understanding of the molecular pathways involved in highly dysfunctional virus-specific CD8+ T cells. The main features of exhaustion, however, are preserved in CD4+ T cell-sufficient mice (Wherry et al., 2003; Zajac et al., 1998). LCMV Arm infection or clone 13 infection resulted in similar viral replication in the spleen 3 days p.i. (Figure 1). LCMV Arm is completely cleared by day 8-10 p.i., whereas replication of clone 13 continues at a high level for life in CD4+ T cell-deficient mice ([Figure 1] and Zajac et al. [1998]). In contrast to functional effector and memory CD8+ T cells generated after Arm infection, exhausted CD8+ T cells during clone 13 infection were nonfunctional and unable to produce substantial levels of IFN-γ and TNF-α upon peptide stimulation (Figures 1B-1D). It is important to point out that the studies of gene-expression profiling described below were performed on antigen-specific effector, memory, and exhausted CD8+ T cells of the same antigen specificity (e.g., the DbGP33 LCMV epitope).

#### **Patterns of Gene Expression**

To define the molecular signature of CD8<sup>+</sup> T cell dysfunction during chronic viral infection, we profiled the gene-expression patterns of effector, memory, and exhausted CD8<sup>+</sup> T cells by using Affymetrix U74A microarrays containing ~12,500 mouse genes. The gene-expression profiles of sorted effector, memory, and exhausted CD8<sup>+</sup> T cells were each compared to naive CD8<sup>+</sup> T cells so that direct comparisons between all three groups could be facilitated. An example of presort and postsort analysis of exhausted CD8<sup>+</sup> T cells is shown in Figure 2A. A total of 490 genes was upregulated or downregulated by at least 2-fold in the exhausted CD8<sup>+</sup> T cells (Figure 2B). The vast majority of genes (338) were differentially expressed only in the exhausted CD8<sup>+</sup> T cells.

There was more similarity in gene expression between exhausted and effector CD8<sup>+</sup> T cells compared to exhausted and memory CD8<sup>+</sup> T cells as revealed by both numerical representation of genes differentially expressed (Figure 2B) and gene clustering analyses (Figures 2C and 2D and Figure S1 in the Supplemental Data available online). This clustering analysis, however, also reveals a number of genes uniquely upregulated (n = 123) or downregulated (n = 135) in exhausted CD8<sup>+</sup> T cells, indicating that CD8<sup>+</sup> T cell exhaustion reflects a unique state of gene expression compared to that of naive, effector, and memory CD8<sup>+</sup> T cells.

A gene-expression signature could also be identified that uniquely defined naive, effector, memory, and exhausted CD8<sup>+</sup> T cells (Figure 2E). Some expression of the genes found in the effector signature was noted in the exhausted CD8<sup>+</sup> T cells. The panel of genes that identified exhausted CD8<sup>+</sup> T cells, however, was not present in



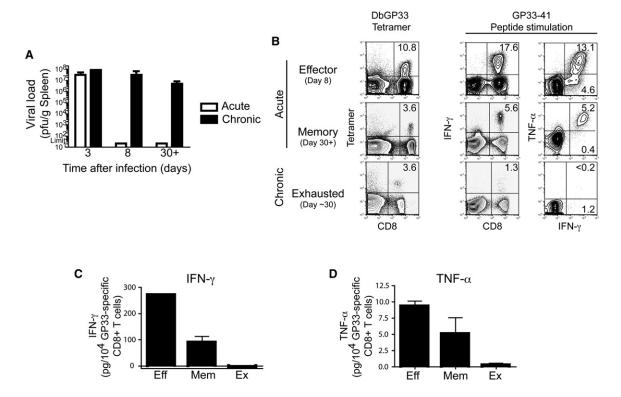


Figure 1. Characterization of Effector, Memory, and Exhausted LCMV-Specific CD8\* T Cells

(A) LCMV in the spleen was quantified by plaque assay at the indicated time points after LCMV Arm and clone 13 infections. A similar pattern of viral load was also seen in other tissues (data not shown and Wherry et al. [2003]).

(B) DbGP33 tetramer staining was performed at effector and memory time points after Arm infection or clone 13 infection. ICS was performed for IFN- $\gamma$  and TNF- $\alpha$  production. (Note that the higher frequency of cytokine producing effector and memory CD8<sup>+</sup> T cells is accounted for by the KbGP34 response that is deleted during chronic LCMV infection [Wherry et al., 2003].)

(C and D) Effector, memory, and exhausted CD8 $^+$  T cells were stimulated for 5 hr, supernatants were collected, and IFN- $\gamma$  (C) or TNF- $\alpha$  (D) concentrations were determined by ELISA. The amount of cytokine produced per 10 $^4$  GP33-specific (both DbGP33 and KbGP34) CD8 $^+$  T cells was calculated. Data are representative of five or more (A and B) experiments or two (C and D) independent experiments. Error bars represent SD.

any of the other three cell types, supporting the notion that exhaustion is a unique state of CD8<sup>+</sup> T cell differentiation. An advantage of the approach used in Figure 2E is that we isolated LCMV-specific CD8<sup>+</sup> T cells at multiple time points after acute infection to gain a kinetic portrait of gene expression during memory CD8<sup>+</sup> T cell differentiation similar to previous studies (Kaech et al., 2002). With this analysis, it is clear that the similarities between exhausted and effector CD8<sup>+</sup> T cells do not persist after day 8 of acute infection, suggesting that the patterns of memory T cell differentiation and exhaustion diverge or differ substantially as functional CD8<sup>+</sup> T cells differentiate into memory CD8<sup>+</sup> T cells.

# Gene-Expression Signatures of CD8<sup>+</sup> T Cell Exhaustion

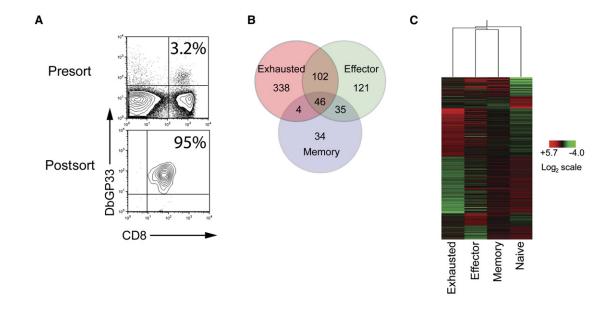
To begin to identify the molecular pathways associated with CD8<sup>+</sup> T cell exhaustion, we identified genes that differed in expression by more than 2-fold by microarray analysis. (Table 1 and Tables S2–S5). The gene-expression profiles of exhausted CD8<sup>+</sup> T cells were also compared directly to that of effector (Table S2) and memory (Table S3) CD8<sup>+</sup> T cells. A subset of samples was also

hybridized to the U74Bv2 and U74Cv2 Affymetrix microarrays containing an additional  $\sim$ 25,000 mouse genes and ESTs (Table S4). The major findings from the data shown in Table 1 and Tables S2–S5 are outlined below.

# Overexpression of Inhibitory Receptor Genes during Exhaustion

One of the more striking results from the gene-expression profiles was the overexpression of mRNA for cell-surface molecules known or suspected to have inhibitory activity. We have recently identified PD-1 as a major cell-surface inhibitory receptor capable of regulating CD8+ T cell exhaustion (Barber et al., 2006). In the current analysis, in addition to PD-1, 13 other potentially inhibitory cellsurface pathways were identified, and most of these molecules were specifically overexpressed in exhausted CD8<sup>+</sup> T cells (Table 1 and Tables S2, S3, and S5). These inhibitory receptors included those with well-described inhibitory function such as 2B4 (Assarsson et al., 2005), Ly49 family members (Klra7 also known as Ly49D-GE, Klra3 also known as Ly49c) (Lanier, 1998), and GP49B (Katz et al., 1996; Rojo et al., 1997). Molecules with less well-characterized but potential inhibitory capacity were





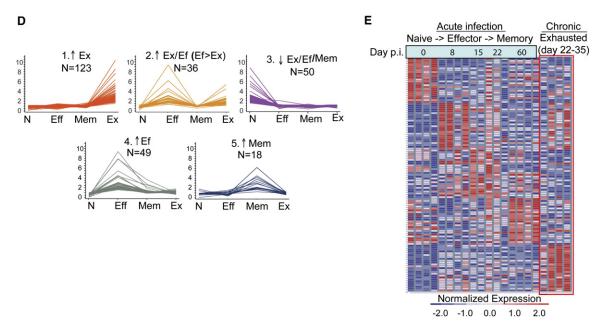


Figure 2. Gene-Expression Profiles of Effector, Memory, and Exhausted CD8<sup>+</sup> T Cells Compared to Naive CD8<sup>+</sup> T Cells

- (A) Presort and postsort analysis of exhausted LCMV-specific CD8<sup>+</sup> T cells. The upper panel indicates the percentage of CD8<sup>+</sup> T cells presort. (B) The number of genes differentially expressed by the different LCMV-specific CD8<sup>+</sup> T cell populations on the basis of Affymetrix U74A microarrays is shown.
- (C) K means-cluster analysis of the gene-expression profiles of naive, effector, memory, and exhausted CD8<sup>+</sup>T cells indicating the relatedness of the populations.
- (D) K means-clustering identifying individual clusters of gene expression for the exhausted, effector, memory gene-expression profiles. Examples of five such clusters out of 15 are shown. Additional clustering data is provided in Figure S1 and a full list of genes in each cluster is given in Table S1. (E) Clustering analysis for a panel of genes that identifies each CD8 $^+$ T cell population. Naive (acute infection day 0; n = 4), effector (acute infection day 8; n = 4), and memory (acute infection day 60, n = 4) are indicated. In addition, day 15 (n = 2) and day 22 (n = 3) time points from acute infection are also included. The cluster of genes from exhausted CD8 $^+$ T cells (n = 4 samples) is also shown.

also overexpressed by exhausted CD8<sup>+</sup> T cells including CD160 (Maeda et al., 2005), Ptger4 (Kabashima et al., 2002), and LAG-3 (Workman et al., 2002).

The CD28:CTLA-4 family contains three related inhibitory receptors that can be expressed by T cells: CTLA-4, PD-1 and BTLA (Greenwald et al., 2005). PD-1 was



Tab	le 1	. G	ene	e-Exp	oressi	on P	rofi	les	of	Exha	uste	d, E1	fec	tor,	an	d Me	mory	/ T C	ells	COI	np	ared	to Na	ive CI	)8 1	Γ Ce	ells	i
Gene	Ex N	Eff N	Mem N	Acc. #	Other Names	Gene	Ex N	Eff N	Mem N	Acc. # 0	Other Name		Ex N	Eff N	Mem N	Acc.#	Other Name		Ex N	Eff N	Mem N	Acc.#	Other Names		Ex N	Eff N	Mem N	Acc.#
I. Inhibite Gp49b Cd244 Ctta4 Pdcd1 Cd160 Kirc1 Ptger4 Gp49b Lag3 Kira9	12.24 11.02 6.93 6.55 4.08 4.07 4.04 3.25 2.88	4.29 2.30 4.41 2.56 2.04	4.48 2.33 2.03 2.49 7.36	M65027 L19057 X05719 X67914 AF069982 AF095447 D13458 U05265 X98113 U49866	2B4 PD-1 NKG2A Lv49D-GE	VI. TGF8. Tieg1 Smad7 Smad1 Smad1 VII. Effect Gzmb Gzma Gzmk Ifng	2.13 -2.68 -2.96	-2.01 -5.29 -6.21	5.13 2.94 17.20	AF064088 AF015260 U58992 U58992 M12302 M13226 AF011446 K00083		XIII. DN: Top2a Rpa2 Top2a Rpa2 Dntt Ddit4 Dntt Ssbp2	3.10 2.87	4.11 2.28 -2.12 -2.22 -3.86	-2.33 -2.19 -2.38	U01915 D00812 U01915 D00812 AV312871 AI849939 X68670 AI837107		XVII. Cell 0 Cks2 Mki67 Cks2 Gas2 Nusap1 Mad211 Cdc6 Chek1 Ccnb1 Cdc2a	7.33 5.51 3.04 2.73 2.72 2.49 2.42 2.35	7.61 3.43 2.16 2.90 2.69 2.23 2.22		AA681998 X82786 AA681998 M21828 AA275196 U83902 AJ223087 AF016583 X64713 M38724	Ki67	XX. Misc. Emp1 Kdt1 Fgl2 Penk1 Coch Wbp5 Crip1 Ect2 5-Sep Trim47	7.93 5.48 5.09 4.80 3.91 3.76 3.63 3.48	9.56 3.21 3.29 3.79 2.57	2.85 2.52	X98471 U13371 M16238 M55181 AF006741 U92454 M13018 L11316 X61452 AV077293
Kirg1 Kira3 Ptger2	2.59 2.20 2.08	36.90	7.36	AF097357 U56404 AB007696	Lv49c	Gzmm Prf1 Ltb	-2.31	2.62	2.66	AB015728 X12760 U16985		XIV. Tran	scription 13.97	2.03		AF020200		Cks1 Prc1 Smc2l1 Ccnb2	2.30 2.21 2.19	2.34 2.99 2.77		AB025409 AA856349 U42385 X66032	cvclin B2	Nr4a2 Cyfip1 Dstn Nrp	3.18 3.16	2.19 2.97 2.89	2.07	U86783 AF072697 AB025406 D50086
II. Cell su Trifsf6 Ctla2b Ctla2b Itgax	16.29 8.64 8.30	6.12 14.44 12.72 7.60	5.61 11.60 12.77 3.07	X15592 X15591 Al035495	FasL CD11c	VIII. Sign: S100a6 II18rap Rgs16 S100a4 Ptpn13	17.03 5.75 4.83 4.75	40.33 6.37 12.93	20.29 3.09 2.54	X66449 AF077347 AV349152 M36579 D83966		Bhlhb2 Eomes Hist1h2a Bhlhb2 Fosb Ier5	10.59	12.44 2.48 3.91	7.55 4.34 6.60	Y07836 AW120579 M33988 Y07836 X14897 AF079528		Mki67 Clk Skp1a Clk4 Clk2 Gas5	2.11 -2.04 -2.05 -2.14	-2.03 -2.04 -2.21		AV309347 M38381 Z47088 AF033566 AF033564 AI849615	cyclin B2	gag p. Bub1 Stard10 Bach Nucb Rnf138	2.67 2.65 2.46 2.43	2.49 3.34	2.30	M26005 AI428843 AW049732 AI841279 M96823 AB025011 M90535
Nrp Tnfrsf9 Pglyrp1 Tmem49 II18r1 Kirk1 Adam19	5.65 5.42 5.01 4.62	2.79 4.21 4.05	4.93 3.86	D50086 AA798611 AV092014 AW122274 U43673 AF030313	neuropilin 4-1BB NKG2-D	Gpr56 S100a10 Sh2d2a Fyn S100a11 S100a13	3.85 3.64 3.11 2.87 2.65 2.55	3.80 2.11 3.84 3.49		AI841654 M16465 AI646422 M27266 U41341 AV007820		Eomes Hod Nr4a2 Idb2 Prdm1 Litaf Klf4	4.29 3.92 3.56 3.54	4.82 5.29 4.06	2.33 4.75 4.35	AB031037 AW123564 U86783 AF077861 U08185 AI852632	Nurr1 Blimo-1	Ccnd2 Ccnd2 Pak2 Anapc5 Gadd45a	-2.36 -2.38 -2.56	-2.32 -2.32 -2.67		M83749 M83749 AW122689 AI847314 U00937	cvclin D2 cvclin D2	Mtv43 Cit Fignl1 Clic1 Spp1 Pon2 Bub1	2.38 2.38 2.34 2.31 2.30	-3.12 2.10 2.32		U39904 AI047076 AF109905 X13986
Itga4 Kirk1 Ly6c Itgb1 Tnfrsf1b Ly6a Alcam	3.52 3.48 3.24	2.37 3.75 3.58 3.56 3.94 4.06	2.56 2.79 3.61 4.07	AA726223 X53176 AF054819 D86232 X15202 X87128 X04653	CD49d NKG2-D CD29/VLA4 TNFr p75	Rcn Rhoq Plk4 Prkwnk1 S100a13 Sh2d1a Tank	2.46 2.43 2.40 2.31	2.36		D13003 AW060401 L29480 AV319920 AV067606 AF097632 U59864	SAP	Nfatc1 Hmgb2 Runx2 Jak3 Rora Junb	3.14 2.95 2.94 2.63	3.47 3.45 2.61	3.49	U20344 AF087434 X67668 D14636 AV266650 U53228 U20735		XVIII. Meta Kcnj8 Hba-a1 Entpd1 Aqp9 Apobec2 Car2	3.72 3.13	3.76 3.40	4.58 3.55	D88159 V00714 AF037366 AA967194 AW124988 M25944	CD39	Shkbp1 Rnf138 Lxn Klk6 Cpt2 Tor3a	2.24 2.15 2.15 2.15	2.29	2.20	AF002823 AV149482 AW209763 D88769 M13500 AV372996 AV290846
Cd9 Pglyrp1 Igsf10 Cd7 Glycoprot.	2.92 2.60 2.50 2.45 2.38 2.35	2.48		L25274 L08115 AF076482 AA536958 D31956 Z22552 AA189389		S100a13 Sh3bgrl Racgap1 Gtpbp1 Lck Peli1 Fkbp4	2.18 2.14 -2.00 -2.01 -2.03 -2.09	4.15 2.39 2.05		X99921 AI848671 AW122347 U87965 M12056 AW124934 X17069		Atf1 Tfdp1 H2afz Cebpb Nfil3 Ndfip1 Zfp91	2.40 2.28 2.10 2.08 2.08	2.27	2.22	M63725 X72310 U70494 M61007 U83148 AI835257 U05343		Atp5I Pfkp Gpd2 Clic4 Slo4a7 Art3 Odc	2.77 2.60 2.52 2.43	2.93 2.83 2.09	2.43	Y17223 AI853802 D50430 AI845237 AI594427 Y08027 M12330		Cst7 Smc2l1 Mcm3 Dp1 Spock2 Kpna2 Phf13	2.12 2.09 2.08 2.07	2.11 2.09 2.19 2.06		AB015224 U42385 X62154 U28168 AI844853 D55720 AI605405
Itga4 Tnfrsf1a Cd48 Itgb2 Fcgr2b II1rl1 Itgav Itgb1	2.31 2.18 2.17	2.26 2.26 2.23 2.19	2.45	AV252882 X53526 M31039 M31312 D13695 AI843901 X15202	TNFr p55 CD18 CD51 CD29	Gnb2-rs1 ltpr5 Map4k4 Arhgap1 Rgs10 Arhgef1 Blk	-2.17 -2.22 -2.22 -2.33	-2.21 -2.33	-2.40	D29802 AF031127 U88984 AI846773 AV335997 U58203 AA204265		Ezh2 Zfp288 Ets2 Nedd4l Bzw1 Ets2 Gtf2i	-2.00 -2.02 -2.03	2.02 -2.00 -2.04		U52951 AI987985 J04103 AI840868 AI852534 J04103 AF017085		Txndc5 Txn1 Sec61g Ltb4dh Rrm2 Csda LOC14433	2.35 2.33 2.27 2.17	2.37 2.36 3.01 2.33 2.27 2.34	2.01	AI841920 X77585 U11027 AA596710 M14223 D14485 M32599		Dnajd1 Birc5 Dp1 Tacc3 Gabarapl2 Wdr13 Ftl1	2.00 -2.00 -2.00	2.05 2.02 2.01 2.58 2.00		AI850983 AB013819 AI850356 AW209238 AI851243 AI850378 L39879
Itgb1 Gpr65 Crtam Mox2 Cd79b Cd1d1 Itm2a Sema4b	2.12 2.03 -2.02	-2.06 -2.07	2.11 -2.00	U39827 AF001104 AF029215 J03857 M63697 L38971 AA266467	0023	Ikbke Dtx1 Tgtp Tec Mapk8 Pik3cd	-2.44 -2.50 -2.51 -2.64 -2.66 -2.77 -2.81	-2.03 -3.36 -2.71	2.01	AB016589 U38252 L38444 X55663 AW122136 AW124359 AI847399		Hmgn1 Fos Tcf12 Klf3 Tcf7 Spred2 Klf13	-2.08 -2.11 -2.18 -2.24	-2.84 -3.86 -2.15 -2.20 -2.29	2.51	X53476 V00727 X64840 U36340 X61385 AI851250 AW125783		Cpsf2 Glrx1 Ech1 Ndufa6 Ndufa5 Cmah	2.16 2.11 2.00 -2.00 -2.04	2.27 2.26 2.08		AW047563 AB013137 AF030343 AW047339 AA823381 D21826 AB025048		Akap8 Numb Ubp1 Morf4l2 H2-Ke6 Etnk1 Asoc1	-2.00 -2.01 -2.01 -2.02 -2.02 -2.02	-2.01		Al646981 U70674 Al836100 AA529583 AF100956 Al853226 AW211207
Icam2 Itgae Cd24a Sema4a II17r Edg6	-2.09 -2.09 -2.17 -2.26 -2.28 -2.29	-2.08	-2.46	X65493 AV268095 M58661 X85991 AI286698 AJ006074	S1P4	Rgs10 Gadd45a Hcph Ptk9l Stk38 Ramp1 Il6st	-2.85 -2.92 -3.18 -3.25 -3.30 -3.36	-3.76		U00937 M68902 Y17808 AA691445 AJ250489 AI843709	Shp1	Smc4l1 Bzw2 Myb Klf2 Smarca2 Myc	-2.43 -2.50 -2.51	-2.35 -2.44 -3.14 -2.86	-6.80	AA032310 AW060951 M12848 U25096 AI842968 L00039		Prps1 Gart Ppic Apex1 Tmc6 Hbb-b1 Hexa	-2.14 -2.23 -2.16	-2.04 -2.11 -2.11	-3.04	U20892 M74227 D90374 AI874754 V00722 U05837		Cct4 Ddx5 Ddx3x Supt5h Rpn2 Dnaib10	-2.02 -2.03 -2.02 -2.03 -2.04	-2.07 -2.04		L25913 X65627 L25126 U88539 D31717 AI843164
Tm4sf13 Itgae Lbr Ms4a1 Cd72 Kird1 II17r	-2.37 -2.40 -2.46 -2.49 -2.56	-2.42 -3.70	-2.32 -3.14	Al837621 AV268095 AW214587 M62541 J04170 AF030311 U31993	CD20	Socs3 Dusp1 Dgka Il6st IX. Cytosi Myo1f	-7.46 keleton	-3.36 -3.47 -2.36 -7.94	2.60 -3.95 2.39	AV374868 X61940 AF085219 AI843709		Kif3 Nfe2l2 Tcf7 Lef1 Satb1	-3.68 -3.79 -3.86 -5.41 -5.85	-5.05 -2.05		AI851658 U70475 AI019193 D16503 U05252		Amd1 Hmgcs1 Sfrs7 Dntt Acadm Abce1 Hba-a1	-2.28 -2.30 -2.32 -2.33 -2.43	-2.24 -2.28		D12780 AW124932 AA711516 X68670 U07159 U90446 V00714		Bzw2 Rap1gds1 Cct5 Hspa8 GNAS Ttc3 Trim28	-2.06 -2.06 -2.08 -2.09 -2.10 -2.13	-2.72		AW060951 AW124597 Z31555 X54401 AW048640 AB008516 X99644
Tir6 Crif3 Ptprc II4ra Ephb4 Sell II7r	-2.56 -2.71 -2.74 -2.82 -3.13 -3.14 -3.52	-3.25 -2.38 -4.50 -5.05		AB020808 AF046060 M23158 M27960 U06834 M36058 M29697	CD124 CD62L CD127	Kif11 Tubb5 Tubb5 Actn1 Actn1 Macf1 Actb	-2.07 -2.21 -2.26 -2.43 -2.44 -3.40	2.19 -5.18 -2.71 2.09	-2.64	AJ223293 X04663 X04663 AI195392 AI195392 AI843799 J04181		XV. Apor Anxa1 Casp3 Anxa1 Anxa1	etosis, ce 8.77	II death 13.17 8.19	caspas	ses, and an M69260 U54803 AV003419 AV003419	nexins	Kcnn4 Kctd10 Dntt Mat2a Kctd12 Pdha1 P2rx4	-2.43 -2.46 -2.53 -2.53 -2.56	-2.53 -2.64		AF042487 AI852623 AV312871 AW124835 AI842065 M76727 AF089751		Osbpi11 Srpk1 Bat1a Igfbp4 Npc2 Supl4h Rere	-2.14 -2.15 -2.16 -2.19 -2.19 -2.22	-2.16 -2.03	-2.11	AW124363 AB012290 AF118128 X76066 AB021289 U96810 AW122831
Itgb7 Il6ra Vcam1 Itgae	-3.70 -4.15 -5.56	-3.91 -4.20 -5.56	-2.58 -5.71	M68903 X51975 M84487 U12236	00121	X. IFN res Isg20 Mx1 Irf4			-2.22	AW122677 M21038 U20949 AV152244		Bag3 Bcl2a1c Casp4 Bag3 Casp1	3.56 3.43 3.41 3.37	2.56 2.77 2.22 3.25 8.09	2.38 6.13 2.89	AV373612 U23778 Y13089 AV373612 L28095 M69260 M14044		Adcy7 Idh2 Atp6v0b Sdha Hspa9a Hbb-b1 Adh5	-2.74 -2.76 -2.82 -2.96 -3.01	-2.98 2.66	-2.03	U12919 U51167 AI842889 AI835718 D17666 J00413 M84147		Prdx6 Frat2 Cxxc5 Rnpc1 Nrip1 Pscdbp Ss18	-2 22 -2 22 -2 22 -2 23	-2.62 -2.23		AF093857 AI646638 AW124069 X75316 AF053062 AI120844 X93357
III. Homin Ccr5 Ccl5 Ccr2 Ccl3 Ccl4 Ccr5	42.08 23.45 10.69 4.01 3.48	32.63 30.80 16.65	21.29 22.87 5.77	AV370035 AF065947 U56819 J04491 X62502 AF022990		G1p2 Icsbp1 Ifnar1 XI. Protes Serpinb9 Serpina3g	2.20 ases	-2.04 4.59		M32489 M89641 U96700 M64085		Anxa2 Perp Bcl2a1a Tnfaip3 Casp3 Pawr Tnfaip8	2.85 2.46 2.02	2.72 2.08	2.25	M14044 AI854029 U23781 U19463 U54803 AA260005 AI839109	A20	Adh5 Scd1 Impdh2 Acas2l Slc12a7 XIX. Trans	-3.02 -3.03 -3.07 -3.58 -3.80	-2.82 -3.92	-4.31	M84147 M21285 M33934 AW125884 AI182203		Kpnb1 Cct8 Supt4h Eng Phr1 Siah1a	-2.26 -2.27 -2.27 -2.30 -2.30	-2.30		D45836 Z37164 U96810 X77952 Al836915
Cxcr3 Ccr5 Ccl9 Ccrl2 Cxcl10 Ccr7 Cxcr4	3.05 2.35 2.06 -5.99	4.52 2.62 2.03 -8.26 -2.22		AF045146 X94151 U49513 AF030185 M33266 L31580 Z80112		Rnf11 Capn2 Ctsd Serpinb6a Serpinb9 Mcpt5 Serpina3g	2.70 2.35 2.32	2.51 2.39 2.13	2.13	AB024427 D38117	catheo. D	Bnip3l Bcl2	-2.76	-4.63	nd vesi	AF067395 L31532	rt.	Ell2 Rn18s Rpl27a Toeb2 Eef1d Rps2	3.48 -2.00 -2.00	2.70 2.66 2.09	2.53 3.21	Al197161 X00686 X05021 AA795613 Al839632 M20632 AW123979	18SrRNA L27a	Nsun2 Cct3 5830431A10 Add1 Acp5 Jmjd1a	-2.35 -2.35 -2.36 -2.38 -2.38 -2.39 -2.43			AI787627 L20509 AA168476 AW121844 M99054 AW049513
IV. MHC H2-Q7 H2-Q10 H2-Q8 Tap2	-2.65	2.85 2.62 2.13 -2.69	2.98	M27134 X16426 D90146		Ctsl Nedd4l Ctss Lyzs Prss19	-2.02 -2.38 -2.65	-2.16 -2.12 -2.14	-2.22	X06086 AI840868 AJ223208 M21050 D30785	catheo. S	Plscr1 Rab6ip1 Vamp8 Snx10 Lamp1 Atp6v0a2 Snx4	8.63 2.13 2.02 -2.00 -2.05 -2.08	2.49 2.21 4.94		D78354 AJ245569 AF053724 AI746846 M32015 AW049274 AI838691		Rpl13 Rplp1 Hnrph1 Nxf1 Eif4g1 Eif4a2 Hnrpa1	-2.01 -2.02 -2.05 -2.06 -2.07	-2.04 -2.10 -2.13 -2.13 -2.07		U28917 U29402 Y14196 AF093140 AV380793 X12507 AI183202	L13e LP1	Atxn2 Rab3ip D3Ertd789e Spnb2 Pdlim1 Nme2 Lef1	-2.58 -2.60 -2.64 -2.70	-2.43 -2.54	-2.19 -2.55	AV276058 AA798971 AA673252 M74773 AF053367 X68193 D16503
H2-Eb1 H2-Aa Ii		-2.16	-2.06 -3.92 -3.06	X00958 X52643 X00496		Tcrg-V4 Tcrg-V4 Tcrb-V13 Igk-V28 Igk-V21 Igk-V28	4.32 2.58 2.11 -2.08 -2.31		-2.43	X00697 M18858 AF093870 M18237 M80423 M18237		Piga Pld3 Elovl5 Rabac1 Piga	-2.19 -2.62 -2.87 -3.46	-2.25 -2.26	-2.17	AV308550 AF026124 AI852098 L40934 D31863		Rps28 Rpi3 Rps3 Prpf39 Arbp Rps3a	-2.13 -2.16 -2.17 -2.21 -2.21	-2.17		AF110520 Y00225 X76772 AI155120 X15267 Z83368	S28 L3 S3	Ets1 Pkp4 Xlr3a Dnajc7 Gsn Ilvbl	-2.70 -2.82 -2.85 -2.87 -2.87	-2.80 -2.14 -2.46	-3.76	AI882555 AI837021 L22977 AI835917 J04953 AI842668
Lgals1 Lgals3 Lgals1 Lgals3 Siat10 St6gal1	5.22 4.00 -9.09	15.96 5.30 2.79 -10.27	3.58 -8.06	X15986 X16834 X15986 X16834 Al153959 Al117157	galectin-3	Igk-V21 Iga Iga Igh-6 Igh-6 Tord-D2J1 Igm-hc6	-3.08 -4.72 -6.02 1 -11.67	-3.13 -3.29 -5.13	-2.55 -3.77 -3.80 -8.33 -2.58	M80423 J00475 J00475 V00817 V00821 L36135 AI183084								Rps4x Rpl10 Snrpd3 Rpl28 Rps8 Rpl10a Rpl27a	-2.22 -2.24 -2.35 -2.36 -2.37 -2.39 -2.41			M73436 M93980 AA796831 X74856 X73829 U12403 X05021	S4 L10 L10 L28 S8 L10A L27a	Plac8 AW550801 Rnu22 Sfmbt2 D10Wsu52e Smc4l1 Ramp1	-2.89 -2.92 -3.02 -3.02	-3.40 -2.94 -3.16	-3.02 -3.06	AA790307 AI840579 AA684508 AA407367 AI842208 AA032310 AJ250489
						-												Eif2s1 Rps7 Rpl8 Rps16 Eef2	-2.58 -2.71 -2.87 -2.97 -3.12			AW061243 AF043285 U67771 M11408 M76131	S7 L8 S16	Phemx Evi Nsg2 lap Sesn1 Cnn3 lap11-1 Erdr1	-3.73 -4.03 -4.41 -5.05 -5.05 -6.49 -6.94	-2.89 -5.38 -4.41 -5.92 -2.37	-3.32 -2.52 -6.49	AW208513 U72519 U17259 M10062 AI843106 AW125626 X04120 AJ007909

identified in the current analysis as one of the most over-expressed inhibitory receptors by exhausted CD8<sup>+</sup> T cells (Table 1 and Tables S2 and S3) consistent with our previous studies (Barber et al., 2006). CTLA-4 mRNA was also overexpressed by exhausted CD8<sup>+</sup> T cells, but it is worth pointing out that blockade of CTLA-4 during chronic LCMV infection had little impact on viral load or CD8<sup>+</sup> T cell responses (Barber et al., 2006). It is interesting that the inhibitory molecule BTLA (Watanabe et al., 2003) did not appear to be upregulated by exhausted CD8<sup>+</sup> T cells (Table S5). Thus, within the CD28:CTLA-4 family there

appears to be selective expression or utilization of inhibitory receptors on CD8<sup>+</sup>T cells during chronic viral infection.

Many of these molecules contain immunotyrosine inhibitory motifs ITIM, immunotyrosine switch motifs (ITSMs), or both, that can deliver negative signals. For others, the mechanism of signaling is less clear and, in some cases, the available data are conflicting on whether the receptor is activating or inhibitory (e.g., CD160 and 2B4). Of the inhibitory receptors identified by gene-expression profiling, all but two, KLRG1 and KLRA9, are strongly biased or uniquely expressed by exhausted CD8<sup>+</sup> T cells



compared to effector and memory CD8<sup>+</sup> T cells. It will be important to determine whether these inhibitory receptors impact specific and distinct CD8<sup>+</sup> T cell functions or to what extent the inhibitory signals from the pathways might overlap.

### **Changes in Signaling Potential during Exhaustion**

Several genes-encoding key signaling molecules were also differentially expressed by exhausted CD8 $^{\rm +}$  T cells. Lck and NFATc were transcriptionally downregulated in exhausted CD8 $^{\rm +}$  T cells, whereas Fyn was upregulated in both exhausted and effector CD8 $^{\rm +}$  T cells (Table 1 and Tables S2 and S3). In addition, Dgk $\alpha$  was downregulated, whereas the related Dgk $\gamma$  was upregulated (Table 1 and Tables S2, S3, and S5). Genes involved in calcium binding (up: s100a6, s100a4, s100a11, and s100a13) and Map kinase signaling (up: Map3k4; down: Map4k4 and Mapk8) were also modulated in exhausted CD8 $^{\rm +}$  T cells. The phosphatase Shp1 was transcriptionally reduced in exhausted CD8 $^{\rm +}$  T cells, and this observation might be relevant for the function of some inhibitory receptors or attenuation of TCR signaling.

A number of genes involved in cytokine signaling were also altered in exhausted CD8 $^{\rm +}$  T cells. Cytokine receptor transcripts were altered including both chains of the TNFR (p55 and p75), the IL-4R $\alpha$  (CD124), and IL-17R. When compared directly to effector or memory CD8 $^{\rm +}$  T cells, IL-18R1 was also downregulated in exhausted CD8 $^{\rm +}$  T cells as were signaling components of the IL-18 and IL-6 receptors pathways (IL-18Rap and IL-6st). It is also worth noting that IL-10 and IL-10R1 mRNA expression was not different among effector, memory, and exhausted CD8 $^{\rm +}$  T cells (data not shown).

A major defect in CD8<sup>+</sup> T cells during chronic viral infections is the failure to develop self-renewal and optimal responsiveness to the homeostatic cytokines IL-7 and IL-15 (Shin and Wherry, 2007). Previous studies demonstrated a defect in expression of IL-7 and IL-15 receptors during chronic viral infections, but it was unclear whether receptor expression was the only deficiency in IL-7 and IL-15 responsiveness in these virus-specific CD8+T cells. IL-7, IL-15, and other gamma-chain cytokine signals in T cells are mediated predominantly by the kinases Jak1, Jak3, and Stat5. The gene-expression profiles of exhausted CD8+ T cells confirmed the low expression of CD127 during exhaustion (Table 1 and Table S3) but also indicated that the deficiencies in cytokine signaling are probably not confined to expression of cell-surface receptors. Although Jak3 message was increased in exhausted CD8<sup>+</sup> T cells compared to effector or memory CD8+ T cells (Tables S2 and S3), both Jak1 and Stat5b transcription were reduced (Tables S2 and S5). Indeed, we have recently found that virus-specific CD8<sup>+</sup> T cells generated during chronic infection are dependent on viral antigen rather than IL-7 and IL-15 for persistence (Shin et al., 2007). The observations from the gene-expression profiles might provide additional insights into the mechanism behind the poor responsiveness of virus-specific CD8+T cells to IL-7 and IL-15 during chronic viral infections. These data suggest that

CD8<sup>+</sup> T cell exhaustion is accompanied by perturbations in the signaling apparatus available to communicate extracellular information via both the TCR and cytokine receptors to the nucleus.

#### **Effector Function**

Exhausted CD8<sup>+</sup> T cells have a severe defect in cytokine production including IFN- $\gamma$  (see Figure 1). It was, therefore somewhat surprising that exhausted CD8+ T cells continued to express IFN-γ mRNA in amounts that were intermediate between effector and memory CD8+ T cells (Table 1). Exhausted CD8+ T cells are also poorly cytotoxic ex vivo (Wherry et al., 2003; Zajac et al., 1998), but granzyme B mRNA remains expressed by exhausted CD8+T cells (Table 1). Perforin mRNA is upregulated in effector and memory CD8<sup>+</sup> T cells compared to naive CD8<sup>+</sup> T cells, but not in exhausted CD8+T cells (Table 1). This observation suggests that a deficiency in perforin expression could underlie poor ex vivo cytotoxicity by exhausted CD8<sup>+</sup> T cells. In addition, the expression of several genes involved in vesicle transport (down: Snx4, Snx10, and Rabac1) and/or regulation of cytoskeleton (down: Tubb5, Actn1, Macf1, and Actb) was altered in exhausted CD8+ T cells (Table 1 and Tables S2 and S3). It is possible that changes in vesicle trafficking or cytoskeletal rearrangements impact the generation or release of properly formed lytic granules. In contrast, FasL mRNA expression was substantially elevated by exhausted CD8+ T cells compared to naive, effector, and memory CD8+ T cells, and this pathway might provide an alternate cytolytic mechanism during chronic infection. Together, however, poor IFN-γ production and cytotoxicity by exhausted CD8<sup>+</sup> T cells cannot be solely attributed to lack of IFN-γ or granzyme B gene expression.

### **Chemokines and Migration**

Exhausted CD8+ T cells displayed upregulation of several chemokine genes including Ccl3 (Mip1 $\alpha$ ), Ccl4 (Mip1 $\beta$ ), and Cxcl10 (IP-10) compared to effector and memory T cells. Ccl5 (Rantes) expression was similar in exhausted and memory CD8+ T cells, both of which expressed slightly lower amounts of this mRNA compared to effector cells. Thus, even in the absence of robust effector function, exhausted CD8+ T cells might retain the ability to "sound the alarm" in an attempt to recruit more effective antiviral T cells to the sites of active viral replication. Future studies will be necessary for determining the importance of these elevated chemokine mRNA levels in the in vivo response of exhausted CD8+ T cells.

Exhausted CD8<sup>+</sup> T cells also have altered expression of molecules involved in cell adhesion (up: Itgax [CD11c], neuropilin, Itga4 [CD49d], Itgb1 [CD29], CD166, Itgb2 [CD18], and ItgaV [CD51]; down: Icam2, Itgae, Sema4a, and Itgb7) and migration and chemotaxis (up: Ccr5, Cxcr3, Ccrl2; down: Edg6, Ccr7, CD62L), suggesting possible changes in migratory properties in vivo. Indeed, several studies have documented altered tissue distribution of virus-specific CD8<sup>+</sup> T cells during chronic infections (He et al., 1999; Wherry et al., 2003).



## Transcription Factors in CD8<sup>+</sup> T Cell Exhaustion

Transcription factors and genes involved in transcriptional regulation was another group of mRNAs substantially altered by exhausted CD8+T cells. This set of genes included at least 15 mRNAs that were uniquely or selectively upregulated and 11 that were dowregulated in exhausted CD8+T cells. These observations are consistent with the notion that exhausted CD8+T cells arise from an altered pattern of differentiation compared to functional effector and memory T cells.

PBX3 was the most differentially expressed transcription factor in exhausted CD8<sup>+</sup> T cells (Table 1 and Tables S2 and S3). PBX3 is a TALE class homeodomain transcription factor implicated in developmental regulation (Sagerstrom, 2004), but the role of PBX3 in CD8<sup>+</sup> T cell differentiation has not been explored.

A second transcription factor that was of considerable interest and that was revealed by the gene-expression profiles is Blimp-1 (Prdm1). The increased expression of Blimp-1 in virus-specific effector and exhausted CD8<sup>+</sup> T cells is intriguing, given the role of this transcription factor in regulating terminal differentiation in germinal center B cells (Calame, 2006). Recent studies have revealed an important role for Blimp-1 in regulating T cell responses (Kallies et al., 2006; Martins et al., 2006), but precisely how this transcriptional repressor controls T cell differentiation remains unclear. It will be of considerable interest to investigate how Blimp-1 regulates virus-specific CD8<sup>+</sup> T cell differentiation during chronic viral infection.

Several additional transcription factors were also revealed by the gene-expression profiles of exhausted CD8<sup>+</sup> T cells including several upregulated (e.g., Eomes, NFaTc1, Jak3, nurr1, and Maf) and downregulated (e.g., Fos, Fosb, junb, myb, and Myc) molecules of potential immunological interest. Eomes is of note given the influence of this molecule on the transcriptional regulation of effector genes (e.g., granzyme B and IFN-γ) and cd122 (Intlekofer et al., 2005; Pearce et al., 2003). Several KIf family members are also downregulated in exhausted CD8+ T cells including Klf2, Klf3, Klf4, and Klf13. In particular, changes in Klf2 expression might be important in the exhausted CD8+ T cells because Klf2 can regulate T cell quiescence and migration (Carlson et al., 2006; Kuo et al., 1997). Together, these findings show that the expression of genes involved in regulating transcription in exhausted CD8+ T cells highlights the altered pattern of differentiation in these T cells.

### Metabolic Defects in Exhausted CD8<sup>+</sup> T Cells

Metabolic and bioenergetic deficiencies in exhausted CD8<sup>+</sup> T cells were among the most striking patterns that emerged from the gene-expression profiles. A large number of ribosomal subunits were transcriptionally downregulated in exhausted CD8<sup>+</sup> T cells compared to naive, effector, and memory T cells (Table 1 and Tables S2, S3, and S5). Up to 17 ribosomal subunits, three elongation factors, and four initiation factors were downregulated in exhausted CD8<sup>+</sup> T cells.

In addition to translational defects, the gene-expression profiles also suggest other fundamental metabolic and bioenergetic changes during CD8<sup>+</sup> T cell exhaustion. For example, a number of genes involved in energy metabolism and the citric-acid cycle were transcriptionally downregulated in exhausted CD8<sup>+</sup> T cells but not effector or memory CD8<sup>+</sup> T cells including Acas2I, Sdha, Adcy7, Pdha1, and Acadm. Other metabolic pathways transcriptionally altered in exhausted CD8<sup>+</sup> T cells included solute and ion channels and aquaporins (Table 1 and Tables S2, S3, and S5). These observations suggest that bioenergetic deficiencies in exhausted CD8<sup>+</sup> T cells might impact functional responsiveness and perhaps the long-term fitness and survival of this CD8<sup>+</sup> T cell population.

#### **Other Genes and Pathways of Interest**

Several other major classes of mRNAs were altered in exhausted CD8+ T cells. These include changes in expression of genes involved in glycosylation, increases in IFNresponsive genes, and several protease mRNAs including upregulation of serpins, some of which can protect from T cell-mediated cytotoxicity (Liu et al., 2004). Several caspases (1, 3, and 4) were upregulated, and Bcl-2 family members were upregulated and downregulated. The precise balance of these proapoptotic and antiapoptotic molecules is difficult to infer simply from the mRNA-expression profiles. However, the mRNA profiles suggest that the regulation of apoptosis is likely to be quite different in the exhausted CD8+ T cells compared to naive, effector, and memory CD8+ T cells. Many cell-cycle genes were changed in exhausted CD8+ T cells, and these changes are predominantly consistent with increased expression of checkpoint proteins and reduced expression of prodivision signals.

Several specific genes are also worth noting simply on the basis of their expression profile including plekstrin (up  $\sim$ 35- and  $\sim$ 60-fold in exhausted and effector CD8<sup>+</sup> T cells), Folate receptor 4 (up in exhausted), and Tmem2 (up in exhausted) (Table 1 and Table S5). Finally, KLRG1 was the most effector-biased gene when exhausted and effector CD8<sup>+</sup> T cells were directly compared to each other (Table S2).

# Progression of CD8<sup>+</sup> T Cell Dysfunction during Exhaustion

It was of interest to understand how CD8<sup>+</sup> T cell exhaustion and the expression of markers of T cell differentiation change during the course of chronic infection and development of exhaustion. To address this issue, we performed a kinetic analysis of both functional exhaustion and phenotypic differentiation of virus-specific CD8<sup>+</sup> T cells after infection with either Arm or clone 13. At day 6 p.i. GP33-specific CD8<sup>+</sup> T cells in both Arm- and clone 13-infected mice were functional, and a similar proportion of tetramer<sup>+</sup> CD8<sup>+</sup> T cells was capable of producing IFN- $\gamma$  during both infections (Figures 3A and 3B). Although there were slightly fewer IFN- $\gamma$  and TNF- $\alpha$  coproducers during clone 13 infection at day 6, the amount of IFN- $\gamma$ , TNF- $\alpha$ , and IL-2 produced (MFI) by the virus-specific CD8<sup>+</sup> T cells



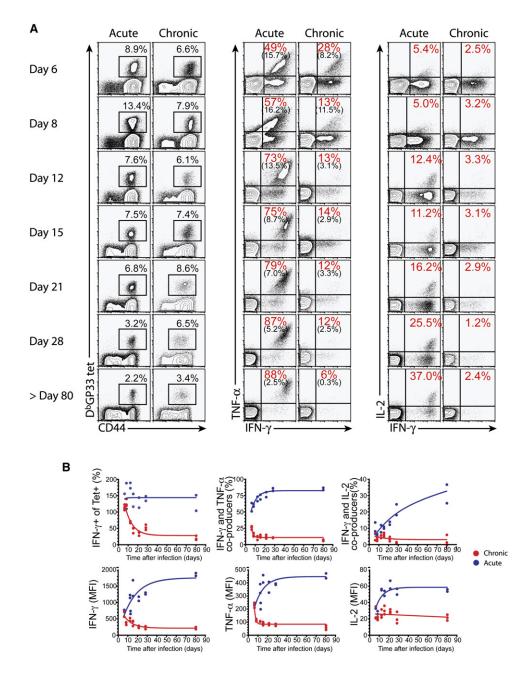


Figure 3. Kinetics of Exhaustion during Acute versus Chronic LCMV Infection: Functional Exhaustion

(A) LCMV DbGP33-specific CD8<sup>+</sup> T cells were identified by tetramer staining in the spleen at the indicated times p.i. with Arm versus clone 13 (left panels). Numbers represent the percent of tetramer<sup>+</sup> of CD8<sup>+</sup> T cells. Samples from the same mice were also stimulated with GP33 peptide and IFN- $\gamma$ , TNF- $\alpha$ , and IL-2 assessed by ICS (IFN- $\gamma$  + TNF- $\alpha$  coproduction, middle panel; IFN- $\gamma$  + IL-2 coproduction, right panel). The percentage in red is the percentage of cytokine coproducers out of the total IFN- $\gamma$ <sup>+</sup> population. The percentage in parentheses indicates the total percent of CD8<sup>+</sup> T cells producing IFN- $\gamma$  (middle panels).

(B) The percent of tetramer<sup>+</sup> cells capable of making IFN- $\gamma$  is shown in the upper left panel. The upper middle and upper right panels indicate the percentage of IFN- $\gamma$  + TNF- $\alpha$  and IFN- $\gamma$  + IL-2 coproducers at various times p.i. The bottom panels display the MFI for IFN- $\gamma$  (left), TNF- $\alpha$  (middle), and IL-2 (right). All responses are for GP33-specific CD8<sup>+</sup> T cells. Data represents two to six independent experiments depending on the time point.

after either infection was very similar (Figure 3B). Over the ensuing weeks after clearance of infection, virus-specific CD8 $^+$ T cells generated during acute infection all remained functional (all tetramer $^+$  cells produced IFN- $\gamma$ ), and in fact, the percentage cells coproducing multiple cytokines (IFN-

 $\gamma$  + TNF- $\alpha$  and IFN- $\gamma$  + IL-2 coproducers) and the amount of cytokine produced per cell (MFI) increased. In contrast, during chronic LCMV infection, virus-specific CD8+ T cells became progressively less functional over time. By 2–3 weeks p.i., 50% or more of the tetramer+ cells could not



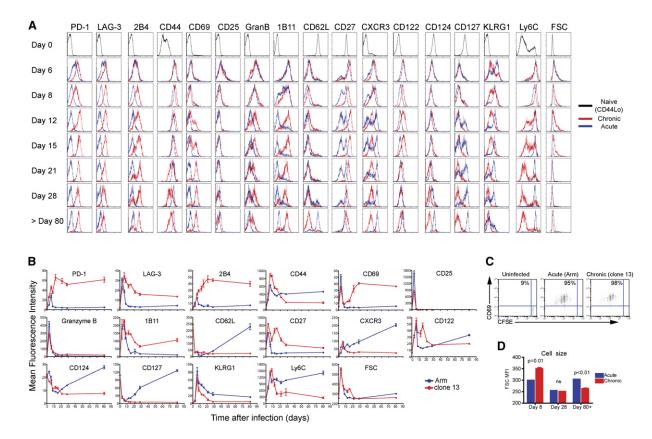


Figure 4. Kinetics of Exhaustion during Acute versus Chronic LCMV Infection: Phenotypic Differentiation

(A) The expression of the indicated molecules was assessed over time on DbGP33 tetramer\* CD8\* T cells after Arm (blue histograms) or clone 13 (red histograms) infection. All plots are gated on CD8\*DbGP33\* except the top row, which is gated on CD8\*CD44Lo cells.

(B) A summary of the kinetic analysis in (A) is shown for each marker at multiple time points after Arm (acute infection; blue lines) or clone 13 (chronic infection; red lines).

(C) Naive CFSE-labeled DbGP33-specific P14 cells were adoptively transferred to naive mice, and these mice were either left uninfected or infected with LCMV Arm or clone 13. Three days later, CD69 expression and division of the donor P14 cells was assessed by flow cytometry.

(D) The MFI of FSC for DbGP33 tetramer<sup>+</sup> CD8<sup>+</sup> T cells is summarized for acute versus chronic infection (n = 2–3 per group). Data represents two to six independent experiments depending on the time point. Error bars indicate SD, and p values were determined by t test.

produce IFN- $\gamma$ , and the frequency of cytokine coproducers and amount (MFI) of individual cytokines produced per cell declined dramatically (Figure 3B). Thus, although virus-specific CD8<sup>+</sup> T cells were initially functional after both Arm and clone 13 infections, during chronic LCMV infection, virus-specific CD8<sup>+</sup> T cells become progressively less functional (i.e., exhausted) after the first week of infection.

#### **Altered T Cell Differentiation during Exhaustion**

We next profiled the phenotypic changes in virus-specific CD8<sup>+</sup> T cells during the progression to exhaustion versus development of memory by using a set of genes identified by microarray analysis. At day 6 p.i., the inhibitory receptors PD-1, LAG-3, and 2B4 were upregulated on DbGP33-specific CD8<sup>+</sup> T cells during both Arm and clone 13 infection (PD-1 was perhaps slightly higher during clone 13 infection at this time point.). However, by day 8 p.i., the expression of these inhibitory receptors was low during Arm infection and remained low thereafter, consistent

with the clearance of the virus. In contrast, during chronic infection, PD-1, LAG-3, and 2B4 remained highly expressed, and especially for PD-1 and 2B4, the expression increased on virus-specific CD8+ T cells after day 8 (Figures 4A and 4B). Thus, expression of inhibitory receptors is not unique to chronic viral infection. Rather, during initial T cell activation during both acute and chronic infection, these pathways are upregulated; yet, at these early time points, T cells are functional. It is possible that early during infection signals from positive costimulatory pathways outweigh those from negative pathways. Later, during chronic infection, the persistent (and increased) expression of inhibitory receptors might shift the balance toward inhibition of T cell function. Future studies will be necessary for determining precisely when and how these inhibitory pathways control antiviral T cell function in vivo.

At early times p.i. (day 6), virus-specific CD8<sup>+</sup> T cells primed during acute versus chronic infection expressed a similar pattern of activation and differentiation markers including CD44, CD25, granzyme B, 1B11, CD62L, CD27,



CXCR3, and Ly6C. In contrast to acute infection, however, virus-specific CD8+ T cell expression of granzyme B and 1B11 remained elevated during chronic infection, whereas CD62L remained low. The activation marker CD69 was low at day 6 (Figure 4C) after both Arm and clone 13 infection despite the presence of antigen. To examine whether CD69 was expressed earlier during infection, we used a P14 adoptive transfer approach to visualize virus-specific CD8+T cells at day 3 p.i. At this time point, CD69 expression was elevated after both Arm and clone 13 infections, and a similar profile of CD69 expression versus cellular division was observed (Figure 4C). During clone 13 infection, CD69 again began to increase after day 12 and was uniformly high on virus-specific CD8<sup>+</sup> T cells from chronically infected mice after day 21. The reasons for this dynamic regulation of CD69 are not clear but suggest that there might be a period of time early during infection when T cells are refractory to further upregulation of CD69.

Although CD44 expression was elevated compared to naive CD8<sup>+</sup> T cells after both infections, beginning ~3 weeks p.i., CD44 expression was lower on exhausted CD8<sup>+</sup> T cells compared to memory CD8<sup>+</sup> T cells consistent with the microarray data (Table S5). CD27 is of interest because this molecule has been used extensively to characterize human antiviral CD8<sup>+</sup> T cells responding to different persisting infections (van Lier et al., 2003). Although two subsets of antiviral CD8+ T cells were clearly present on the basis of CD27 expression during the first  $\sim$ 3-4 weeks after acute infection, only CD27hi cells were found during chronic infection. Memory CD8+ T cells also eventually all became CD27hi. Other molecules including CXCR3 and Ly6C also displayed dynamic expression patterns that differed between the development of memory (CXCR3hiLy6Chi) and exhausted (CXCR3intLy6Clo) CD8+ T cells.

The expression of the cytokine receptors CD122, CD124, and CD127 was low on exhausted CD8+ T cells at late time points during chronic infection compared to memory CD8+ T cells. CD124 and CD127 were permanently downregulated early after infection in contrast to the re-expression of these cytokine receptors after acute infection (Figure 4C and Figure S2). CD122, on the other hand, was similar after acute or chronic infection at day 6, was higher on exhausted CD8+ T cells from day 8 to day 21, but eventually became lower in exhausted compared to memory CD8+ T cells at later times p.i. The expression pattern of these receptors is consistent with the gene-expression data (Table 1 and Tables S2, S3, and S5) and suggests that exhausted CD8+ T cells will not efficiently respond to IL-2, IL-4, IL-7, and IL-15 at later times p.i.

KLRG1 was one of the few molecules examined that differed in protein expression at early time points after infection. During clone 13 infection, virus-specific CD8<sup>+</sup> T cells expressed lower amounts of KLRG1 at day 6 (and at all subsequent time points) compared to virus-specific CD8<sup>+</sup> T cells generated after Arm infection. Expression of KLRG1 by CD8+ T cells has been associated with repetitive antigen stimulation, cellular senescence, and terminal

differentiation (Joshi et al., 2007; Masopust et al., 2006; Voehringer et al., 2001). The KLRG110 phenotype of exhausted CD8+ T cells was, therefore, a bit surprising because these cells have a poor proliferative capacity upon antigen stimulation (Wherry et al., 2004; Wherry et al., 2005). It will be interesting to determine how the relationship between KLRGI expression and proliferative potential applies to chronic infection during which the T cells experience continuous rather than intermittent antigen stimulation.

Finally, virus-specific CD8+ T cells were larger in size during chronic infection compared to after acute infection between days 8-15. This difference likely reflects persisting TCR stimulation during clone 13 infection, but not following Arm infection at these time points. However, exhausted CD8+ T cells were significantly smaller than memory CD8+ T cells at later time points (Figures 4A, 4B, and 4D). This reduced cell size for exhausted CD8+ T cells is one of the last differences to emerge. Cell size is an excellent indicator of metabolic fitness, and when metabolism is compromised, lymphocytes become considerably smaller (Rathmell et al., 2000). The observations on the size of exhausted compared to memory CD8+ T cells are in good agreement with the gene-expression profile of metabolic fitness described above.

# **Gene-Expression Profile of Exhaustion Is Distinct** from Anergy

Exhaustion of antigen-specific CD8+ T cells has been described during a number of both experimental and human infections. Anergy also results in T cell dysfunction. Anergy occurs when initial TCR signals are received in the absence of optimal costimulation leading to a state of hyporesponsiveness (Schwartz, 2003). A transcriptional signature of anergy has been defined, resulting in the identification of 14 anergy-associated genes (Macian et al., 2002). In this study, anergized T cells were refractory to subsequent anti-CD3 stimulation and had decreased transcription of several cytokine genes including II2, Ifng, Tnf, and Ccl3. The expression profile of anergy-associated genes was also examined with an in vivo tolerance model in which many of the anergy-associated genes identified in vitro were confirmed, thus defining a molecular signature of anergy both in vitro and in vivo (Macian et al., 2002).

To examine whether exhaustion and anergy shared similar transcriptional signatures, we examined the expression pattern of the 14 anergy associated genes identified by Macian et al. (2002) in the exhausted CD8<sup>+</sup> T cells. Few of the anergy-associated genes were upregulated in exhausted CD8+ T cells (Figure 5A). Also, this panel of 14 genes did not clearly distinguish exhausted CD8+ T cells from effector or memory CD8+ T cells. In this type of analysis, the expression of individual anergy-associated genes was assessed relative to a threshold value (i.e., ±2-fold). It remained possible that, although the anergy-associated genes were not individually overexpressed >2-fold in exhausted CD8+ T cells, these genes as a set might be specifically enriched during exhaustion. To test this possibility, we next used gene-set-enrichment



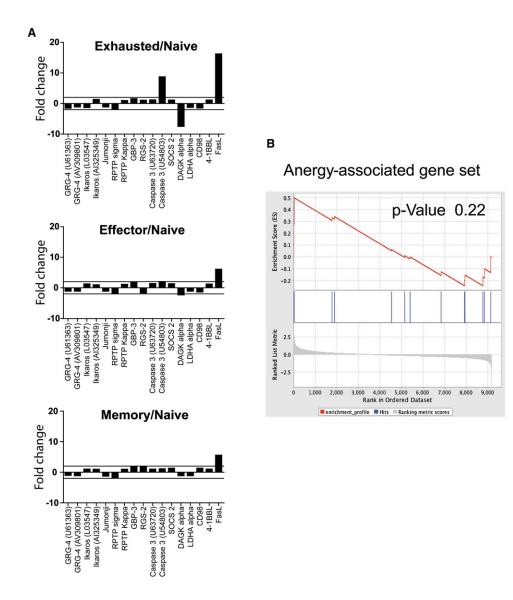


Figure 5. Gene-Expression Profiles of Exhaustion Are Distinct from Anergy

(A) The expression of 14 anergy-associated genes was determined for the exhausted, effector, and memory profiles with the U74A gene-expression data.

(B) We performed gene-set-enrichment analysis (GSEA) to determine whether the anergy-associated gene set showed specific enrichment in the exhausted CD8<sup>+</sup> T cells. The p value for specific enrichment of the gene set is indicated.

analysis (GSEA; [Subramanian et al., 2005]) (Figure 5B). GSEA is performed by assigning a rank order to the expression of the ~9000 genes from the U74A arrays (ESTs are excluded from this analysis.). The location of each gene of interest is then determined in the rank-ordered data set, and the specific enrichment of the set of genes at the higher (or lower) ends of the rank order is determined. As shown in Figure 5B, the anergy-associated gene set did not show specific enrichment in the exhausted CD8+ T cells, and the "hits" for the individual genes were distributed essentially throughout the rank order and not accumulated on the left side as is expected for enriched genes (Subramanian et al., 2005). Taken together, the data in Figure 5 suggest that CD8+ T cell

exhaustion examined in the current study is distinct from the process of anergy profiled in previous studies (Macian et al., 2002). Thus, T cell exhaustion and anergy, at least for these two models, do not appear to share the same transcriptional signature.

### **DISCUSSION**

A number of pathways, identified in the present study, are associated with CD8<sup>+</sup> T cell exhaustion during chronic viral infection. One of the most obvious patterns that emerged was the overexpression of cell-surface inhibitory molecules by virus-specific CD8<sup>+</sup> T cells during chronic infection. Our previous observations on the role of PD-1



in regulating exhaustion (Barber et al., 2006) suggest that the group of inhibitory pathways identified in the current study might provide additional targets for investigation. It will be important to determine whether inhibitory pathways such as LAG-3, 2B4, and GP49B have distinct or overlapping roles in regulating T cell responses during chronic infection. A puzzling issue is why exhausted CD8<sup>+</sup> T cells would express multiple receptors with inhibitory function. One possibility is that these are redundant pathways for ensuring adequate control of immunopathology. A second possibility is that these different receptors have nonoverlapping intracellular signaling targets. A third possibility is that the expression of the ligands for these receptors is a key to their effective inhibition of T cell responses. The ligands for the inhibitory receptors overexpressed by exhausted CD8+ T cells include a diverse array of both cell-surface and soluble molecules expressed in a restricted (e.g., professional APC only) or broadly distributed manner. A combination of these mechanisms might explain the apparent redundancy of inhibitory receptors. Future studies are aimed at investigating the roles of these different pathways in regulating CD8+ T cells during chronic viral infections.

A second novel observation revealed by the transcriptional profiles of exhausted CD8+ T cells was the dramatic alteration in metabolic and bioenergetic pathways compared to the pathways of naive, effector, and memory T cells. Exhausted CD8+ T cells downregulated components of the translational machinery, molecules involved in the citric-acid cycle, and energy metabolism, and these cells also became considerably smaller in size. One implication of downregulated ribosomal subunits is that these cells might have difficulty producing the quantity of cytokines or other effector proteins normally produced by functional effector and memory CD8+ T cells. This interpretation would also fit with the predominantly intact expression of the mRNA for such as IFN- $\gamma$  and granzymes B, A, and K.

Cell size is a useful indicator of metabolic fitness (Rathmell et al., 2000), suggesting that the reduction in cell size for exhausted CD8+T cells reflects some of the metabolic deficiencies observed in the gene-expression profiles. It is interesting to note that the inhibitory receptors PD-1 and CTLA-4 can impair optimal AKT activation, a key signaling pathway for glucose utilization and cellular metabolism (Parry et al., 2005). Impaired translational machinery and metabolic fitness is also likely to impede robust proliferation. Production of a new complement of cellular proteins and the increase in cell size necessary to give rise to two daughter cells during mitosis might be substantially compromised by the bioenergetic and ribosomal deficiencies present in exhausted CD8+ T cells. It should be noted, however, that these metabolic changes do not universally prevent protein translation because a number of molecules, such as the inhibitory receptors, are upregulated in exhausted CD8+T cells at the protein level. It will probably be important to address these bioenergetic and translantion deficiencies in therapeutic strategies aimed at restoring optimal function from exhausted T cells.

Kinetic profiling of T cell dysfunction and differentiation during chronic infection confirmed the expression pattern of many proteins predicted by the gene-expression profiles. More importantly, this kinetic analysis demonstrated the progressive nature of CD8<sup>+</sup> T cell exhaustion. Of the 22 properties examined by flow cytometry (including cytokine coproduction), only three differed between virus-specific CD8<sup>+</sup> T cells from Arm and clone 13 infection at day 6 p.i. (TNF- $\alpha$  + IFN- $\gamma$  coproduction, PD-1, and KLRG1). By day 8 p.i., nine differences were apparent. After day 8 of acute infection, virus-specific CD8+T cells continued to progressively differentiate into memory T cells and acquired new phenotypic and functional characteristics such as IL-2 production. T cell exhaustion, in contrast, followed an altered pattern of differentiation, and after day 8 of infection, the differences between functional memory T cell differentiation and exhaustion continued to increase. Between 1-2 weeks p.i., 18 of 22 properties profiled differed, and after  $\sim$ 1 month, this had increased to 20 or 21 differences out of 22 properties examined. Thus, our results indicate that CD8<sup>+</sup> T cell exhaustion is a graded process during chronic viral infection and that there are stages in the differentiation and development of exhausted CD8+ T cells. Such a graded or progressive development of CD8+ T cell exhaustion might have implications for therapeutic strategies that could be tailored to overcome specific defects of a particular stage of exhaustion.

Our data also suggest that T cell anergy and exhaustion occur by distinct molecular mechanisms. In addition to the anergy-associated gene set identified by Macian et al. (2002), several other genes have been reported to be associated with T cell anergy including Egr-2, Egr-3 (Harris et al., 2004; Safford et al., 2005), and grail (Mueller, 2004). These genes were not selectively expressed by exhausted CD8+ T cells compared to functional effector and memory CD8<sup>+</sup> T cells. Recent evidence suggests that the proper NFAT:AP-1 ratio promotes T cell activation, whereas NFAT activity in the absence of AP-1 favors anergy (Macian et al., 2002). Indeed, NFATc1 is transcriptionally elevated in exhausted CD8+ T cells, and Fos (part of the AP-1 dimer), Fosb, and Junb are downregulated in exhausted CD8+ T cells compared to memory CD8+ T cells. Thus, some alterations in the NFAT:AP-1 balance might exist in exhausted CD8+ T cells compared to memory CD8+T cells, but the lack of expression of target genes of AP-1-independent NFAT transcription such as E3 ubiquitin ligases (Heissmeyer et al., 2004) suggest that the major transcriptional mechanisms of anergy and exhaustion are predominantly distinct.

Mouse models including LCMV have been invaluable in dissecting T cell responses to chronic viral infections. For example, recent studies on the role of the PD-1 pathway in regulating CD8<sup>+</sup> T cell exhaustion first during LCMV, and soon thereafter for HIV, HBV, and HCV infection highlight the translation of observations from mouse models to human viral infection (Barber et al., 2006; Boni et al., 2007; Day et al., 2006; Petrovas et al., 2006; Radziewicz et al., 2006; Trautmann et al., 2006; Urbani et al., 2006). It will be important in the future to determine whether additional



features of the molecular signature of CD8<sup>+</sup> T cell exhaustion in the LCMV model can also be extended to humans. Recent studies suggest that the transcriptional profile of HIV-specific CD8<sup>+</sup> T cells from humans might indeed share similarities to exhausted LCMV-specific CD8<sup>+</sup> T cells (W.N.H. and T.R. Golub, unpublished data). Future studies will be necessary to determine the therapeutic implications of pathways revealed by transcriptional profiling of mouse and human CD8<sup>+</sup> T cells responding to chronic viral infections.

In summary, these studies provide a framework with which to begin dissection of the factors that influence virus-specific CD8<sup>+</sup> T cell differentiation during chronic infections. In addition, these studies identify a number of inhibitory, signaling, and metabolic pathways that might underlie the functional defects in these CD8<sup>+</sup> T cells and might also represent novel targets for immunotherapy.

#### **EXPERIMENTAL PROCEDURES**

#### **Mice and Infections**

Four- to six-week-old female C57BL/6 mice were purchased from The Jackson Laboratory. We used the LCMV-specific P14 TCR transgenic system to generate effector and memory CD8+ T cells as described (Kaech et al., 2002). In brief, P14 chimeras were made by adoptive transfer of a small number of naive P14 CD8+ T cells ( $\sim\!5\times10^4$ ) to naive congenic recipient mice followed by LCMV infection. For acute infections, mice were infected with 2  $\times$  10 $^5$  pfu of LCMV Arm i.p. For chronic infections, mice were given 2  $\times$  10 $^6$  pfu of LCMV clone 13 i.v. and depleted of CD4+ T cells with 200  $\mu g$  GK1.5 on days -1 and +1 p.i. Viral titers in chronically infected mice were verified by plaque assay in the serum prior to use. Plaque assays were performed as previously described (Wherry et al., 2003).

# Lymphocyte Isolation, Flow Cytometry, and Functional Analysis

Lymphocytes were isolated and MHC class I peptide tetramers were generated and used as previously described (Wherry et al., 2003). All antibodies were obtained from BD Bioscience (San Diego, CA) except for granzyme B and KLRG1 (Caltag). Intracellular cytokine staining was performed as previously described (Wherry et al., 2003). Elisas were performed with kits from R&D systems according to the manufacturer's instructions.

#### **Cell Sorting**

Naive LCMV DbGP33-specific P14 T cells isolated directly from naive P14 mice. Effector and memory CD8+ T cells from P14 chimeras were sorted on day 8 or >day 30 after LCMV Arm infection respectively. LCMV-specific CD8+ T cells were sorted on a BD Facs Vantage or Cytomation MoFlo with congenic markers (Thy1.1) for naive, effector, and memory CD8+ T cells as described (Kaech et al., 2002). For technical reasons, it was not possible to use the P14 system during chronic LCMV infection. Exhausted CD8+ T cells (day 22–35 p.i.) were sorted with MHC tetramers (DbGP33 n = 3 and DbGP276 n = 1). All samples were maintained at  $4^{\circ}$ C for the duration of the sort, and purity was 95%-98% for all populations.

# **RNA Amplification and Hybridization**

Total RNA was isolated from sorted naive, effector, memory, and exhausted CD8<sup>+</sup> T cells using Trizol (GIBCO/BRL Life Technologies, Rockville, MD) according to the manufacture's instructions. cDNA was synthesized and amplified as described (Kaech et al., 2002). Samples were hybridized to Affymetrix U74Av2, Bv2, or Cv2 microarrays at Emory University or at the Vanderbilt University Microarray Shared Resource. Three to four samples were hybridized to the U74Av2 micro-

arrays for each cell type, whereas two exhausted, two naïve, and one each for memory and effector were hybridized to U74Bv2 and U74Cv2 arrays. Because of the lower number of samples for the B and C arrays, these data were not included in clustering analyses.

#### Microarray-Data Analysis

Gene Chip Murine Genome U74Av2 Array was used for the microarray-data-analysis study (see: http://www.affymetrix.com/products/arrays/specific/mqu74.affx).

We performed quality-control checks with R-pack (BioConductor) to verify technical replicates and their quality. Data analysis and clustering was done in gene-chip-analysis software GeneSpring GX 7.3 Agilent (see: http://www.chem.agilent.com/Scripts/PDS.asp?IPage = 27881). The data were preprocessed with RMA (Robust Multichip Average) normalization. There were four technical replicates for each cell type, naive, memory, and exhausted, and three for effectors. Technical replicates were averaged for fold-change study. RMA expression values for genes upregulated and downregulated by 2.0-fold change between each cell types were exported from Genespring to Microsoft Excel and analyzed further. We used K means clustering with Pearson correlation to understand how similar or distant each of the cell types were from one another with respect to naive. RMA expression values with 1.4-fold cutoff between all four cell types were used as input for pathway analysis in Ingenuity pathway analysis software (Ingenuity Systems). GSEA was performed as described (Subramanian et al.,

#### **Supplemental Data**

One figure and five tables are available at http://www.immunity.com/cgi/content/full/27/4/670/DC1/.

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#### **Accession Numbers**

The gene-expression data described in this paper have been deposited in the NCBI's Gene Expression Omnibus and are accessible through GEO accession numbers GSM235537-GSM235551.