

RESEARCH ARTICLE

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# Perception of a chronic volcanic hazard: persistent degassing at Masaya volcano, Nicaragua

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## Abstract

This study takes a combined qualitative and quantitative approach to examining the chronic hazard posed by persistent degassing at Masaya volcano, Nicaragua. The gas is a highly salient threat in communities surrounding Masaya volcano, with the elevated salience level of his invisible hazard deriving from the highly perceptible impacts of the degassing; these include individual and material impacts such as increased prevalence of self-reported respiratory disease and decreased crop diversification and productivity. Qualitative results concur with findings from a quantitative assessment of ambient SO<sub>2</sub> exposure using diffusion tubes: the current level of SO<sub>2</sub> degassing far exceeds international guideline values, making it a likely cause of adverse health effects for the general population. Conversely contaminant levels of heavy and toxic metals in foodstuffs were found to be below international standards. A community-based integrated hazard mitigation approach identified by this research is the cultivation of crops, particularly pineapple (*Ananas comosus*) and pitaya (*Hylocereus* sp.), that are better able to withstand the local environmental conditions (e.g. increased atmospheric SO<sub>2</sub> and acid gas deposition). Despite this, little is known regarding disaster response and risk reduction at the community level and the gas hazard is largely overlooked. This shows large scope for increasing resilience in collaboration with the community, through for example the development of community-level risk management committees, improvement and implementation of (gas) mitigation strategies and disaster preparedness approaches. By reducing the impacts of the chronic hazard posed by persistent volcanic degassing, resilience to acute hazards is also likely to improve.

**Keywords:** SO<sub>2</sub>; Hazard; Exposure; Disaster risk management; Mitigation

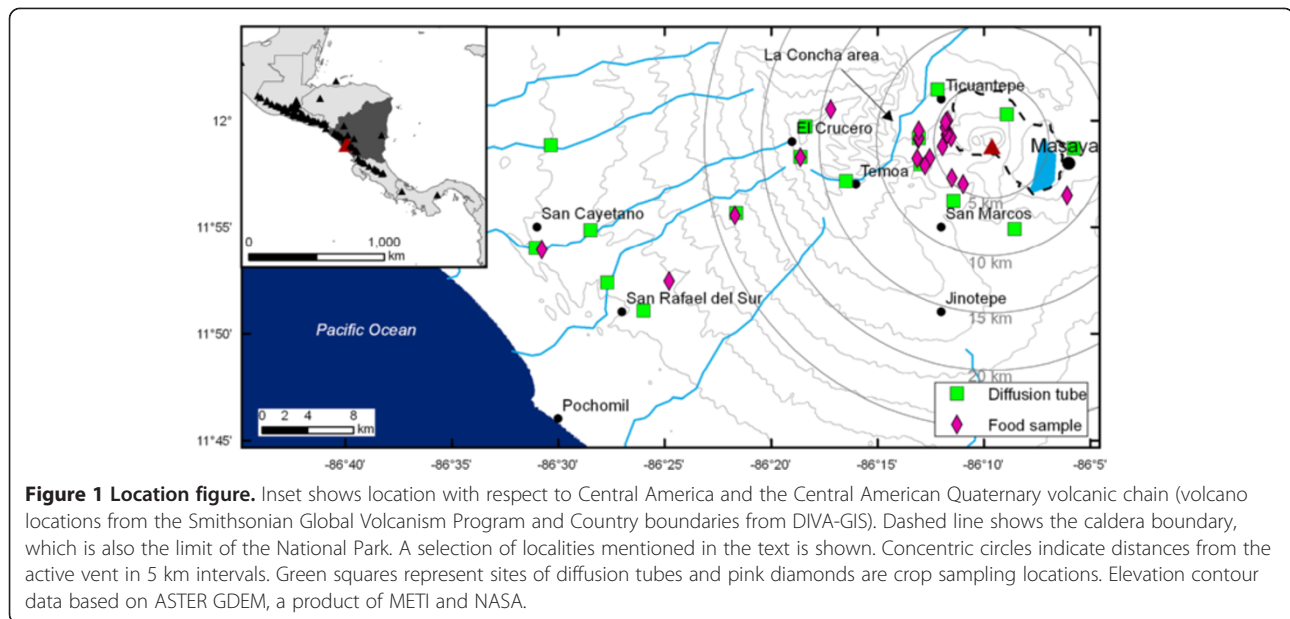
## Introduction

Inter- or cross-disciplinary studies in volcanic areas are becoming more commonplace as ways of improving disaster risk reduction are sought (e.g. Gregg et al. 2004; Ricci et al. 2013; Donovan 2010; Gaillard 2008; Haynes et al. 2008). The vast majority of these studies focus on explosive and/or acute volcanic hazards, however, chronic hazards, such as those posed by continued long-term exposure to the primary volcanic gases, such as sulphur dioxide (SO<sub>2</sub>), hydrogen sulphide (H<sub>2</sub>S), hydrogen chloride (HCl) and hydrogen fluoride (HF), can result in a range of chronic ailments (e.g. Longo et al. 2010; Baxter et al. 1982; Hansell and Oppenheimer 2004), reduced agricultural productivity, and acidification of rain and groundwater that contaminates

water supplies (e.g. Delmelle et al. 2002). In addition, indirect effects are thought to impede development and poverty reduction efforts (DFID 2006), contributing to the (economic) cost of low-level chronic hazards.

A prime example of a persistently degassing volcano is Masaya, Nicaragua (Figure 1), which has been almost continuously active since the mid 16<sup>th</sup> century (Viramonte and Incer-Barquero 2008). Studies have shown that the locality regularly exceeds WHO air quality standards with regards to atmospheric SO<sub>2</sub> concentrations (e.g. Delmelle et al. 2002; Stoiber et al. 1986; Baxter et al. 1982). As persistent volcanic degassing is not considered a primary volcanic hazard, it is frequently overlooked in terms of disaster preparedness (D'Alessandro 2006). However, it can be argued that based on its diffuse but wide-ranging impacts as listed in the previous paragraph, this chronic hazard is equally as important as acute hazards. Therefore

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**Figure 1 Location figure.** Inset shows location with respect to Central America and the Central American Quaternary volcanic chain (volcano locations from the Smithsonian Global Volcanism Program and Country boundaries from DIVA-GIS). Dashed line shows the caldera boundary, which is also the limit of the National Park. A selection of localities mentioned in the text is shown. Concentric circles indicate distances from the active vent in 5 km intervals. Green squares represent sites of diffusion tubes and pink diamonds are crop sampling locations. Elevation contour data based on ASTER GDEM, a product of METI and NASA.

mitigation strategies, here defined as ‘*The lessening or limitation of the adverse impacts of hazards and related disasters*’ (UNISDR 2009), should be considered. Policy and legislation are essential components of risk reduction strategies, but it has been found that the most successful results are achieved through community involvement and work at the local level (e.g. Cronin et al. 2004; UNDP 2004; Mercer et al. 2010; Kelman and Mather 2008).

The aim of this study was to evaluate the chronic gas hazard at Masaya from a predominantly qualitative perspective, as peoples’ response to hazards and risk (*‘The combination of the probability of an event and its negative consequences’* (UNISDR 2009) is proportional to their understanding and awareness. A limited quantitative investigation was also undertaken to provide context for the qualitative findings. Specific objectives of the study included (i) examining the level of awareness with respect to the hazards posed by the persistent degassing and how this related to the area affected (ii) documenting the perceived impacts of the volcanic activity and (iii) exploring if and how hazard perception in the area has affected individual and community-level hazard and risk management.

### Setting

Masaya is a basaltic shield volcano situated 20–25 km southeast of Nicaragua’s capital city Managua. Masaya is capable of Plinian activity and has experienced at least four caldera forming eruptions (Williams 1983). The caldera is approximately 11.5–6 km wide with its long axis oriented NW–SE, parallel to the Central American Quaternary volcanic chain. The active Masaya Volcanic Complex, approximately 3.5 km in diameter, lies within this and is composed of a number of collapse craters. In 1979 the

main area of the volcanic complex was declared Nicaragua’s first national park (Parque Nacional Volcán Masaya). The park is operated and maintained by the national park service (Servicio de Parques Nacionales), part of the Ministry of Environment and Natural Resources (MARENA; Ministerio del Ambiente y los Recursos Naturales).

Owing to its persistent activity Masaya features frequently in local folklore and historical accounts (Viramonte and Incer-Barquero 2008). Autochthonous people believed Masaya was a god and made frequent offerings and human sacrifices (Viramonte and Incer-Barquero 2008). In 1524 its activity, which included a lava lake, was first described by the Spaniards and subsequently it was mentioned in copious post-Columbian reports, frequently referred to as “The mouth of Hell” (Viramonte and Incer-Barquero 2008). During the last recorded effusive event in 1772 (McBirney 1956) the bishop of Granada carried the image of Christ of Nindiri in a procession and faced the incoming lava flow in order to stop it. Coincidentally the eruption ceased afterwards (Viramonte and Incer-Barquero 2008).

Current activity is constrained to the Santiago crater which was formed between 1850–1853 (Viramonte and Incer-Barquero 2008). Activity takes the form of continuous degassing and small vent clearing explosions and is monitored by INETER (Instituto Nicaragüense de Estudios Territoriales; <http://www.ineter.gob.ni>). The degassing is characterised by annual to decadal cycles (Rymer et al. 1998), with at least five cycles recognised since formation (Stoiber et al. 1986) and repose intervals of 3–5 years (SINAPRED 2005). Between 1972 and 2007 an average of approximately 1100 metric tonnes of SO<sub>2</sub> were emitted

per day (Nadeau and Williams-Jones 2009 and references therein). There was significant SO<sub>2</sub> release from the Santiago crater in 1981 (SINAPRED 2005) and there has been significant gas discharge since 1999, which has caused extensive environmental contamination of the area (Delmelle et al. 2001; Delmelle et al. 2002; Parnell 1986; SINAPRED 2005). The most recent vent clearing explosions were recorded between 30 April 30 and 3 May 2012 (INETER 2012), after this research was conducted. Prior to the work presented here, the most recent minor explosive activity occurred on June 18 2008 (INETER 2008) when an explosion discharged moderate quantities of volcanic ash. On April 23 2001 an explosion at the summit crater of Masaya produced fragments of up to 60 cm in diameter that travelled as far as 500 m from the vent (Smithsonian Institution 2001). Although no one was killed or severely injured during this event, there were numerous tourists present around the crater and a number of vehicles in the Santiago crater parking lot (Oviedo Plaza) were damaged. Ashfall and dangerous concentrations of volcanic gases were reported in Ticuantepe, 6 km NW of Masaya Smithsonian Institution (2001).

National- and departmental-level hazard response plans and hazard maps can be downloaded from SINAPRED (Sistema Nacional para la Prevencion, Mitigacion y Atencion de Desastres; [www.sinapred.gob.ni](http://www.sinapred.gob.ni)) and show that the area around Masaya is at risk of flooding, landslides, earthquakes and volcanic hazards (e.g. SINAPRED 2005, 2009). The volcanic hazard assessment at Masaya as presented in the 2005 SINAPRED report, prepared in collaboration with INETER and the World Institute for Disaster Risk Management, states that lava flows are thought to be able to travel up to 10 km (first mentioned by Williams (1983) based on prehistorical lava flows), pyroclastic flows up to 20 km, ejecta up to 1 km and ashfall is predicted to go 1–25 km west. Although lava flows are most likely to be contained within the caldera that encompasses the National Park, they would still present a hazard to the

tourism infrastructure and illegal settlements present within the Park. The biggest threat related to the gases is reported to be the potential for larger explosions, which has been assigned a high threat level, due to pressurisation of the system if vents become blocked (SINAPRED 2005). Secondary threats are impacts of acid rain on (human) health, vegetation and metal hardware, which have been assigned medium threat levels. The town of Masaya itself is considered to be at low–moderate risk of these gases due to the dominance of west blowing trade winds. Conversely, areas west of the crater are at high risk of the gases (SINAPRED 2009; AMUNIC, AMUSCLAM 2010).

## Methods

### Qualitative data collection

Semi-structured interviews were recorded using a hand-held Olympus voice recorder in a wide variety of locations around Masaya volcano between 20 February and 14 March 2012. Semi-structured interviews pose the same core questions to each participant, but they provide the opportunity to seek clarification of responses and discussion of subjects (Ritchie and Lewis 2003), which are advantages over the use of questionnaires or structured interviews. These types of interviews seek out the participant's point of view to gain a range of insights on specific issues, rather than attempting to generalise behaviour across a population. Drawbacks of this technique include that a lot of additional non-relevant information may be gathered complicating data organisation and analysis (Mikkelsen 1995). For this study eleven interview questions were designed a priori to provide a framework and examine various aspects of interest: hazard salience, hazard knowledge, hazard and risk perception (Table 1). Care was taken to cover all the relevant topics while not making the list of questions too long, the latter to aid participation rate.

An accidental sampling technique (Bird 2009) with a spatial component was used to cover the majority of the

**Table 1** Semi-structured interview questions

Question	Design reason
How long have you and your family lived in this area?	
How many family members (people) are living with you? How many of these are children?	
Tell me a little about your life. For example, what do you do on a typical day?	
Where do you spend most of the day?	Socio-demographic
What are the main problems/hazards in your town?	Salience
Did your ancestors talk about Masaya volcano? If so, what did they say?	Collective memory
How dangerous do you think Masaya volcano is?	Hazard and/or risk perception
How can you tell if Masaya volcano could become dangerous?	Hazard knowledge
What would you do if there were danger signs coming from Masaya volcano?	Knowledge emergency plans
Has the volcano had some effect or impact on you, your family or your community?	Risk perception
Is there anything good that the volcano provides you or your community?	

villages around Masaya. This means that all individuals encountered during the study period were considered for inclusion, while a wide geographical area surrounding the volcano was covered. Generally one or two individuals in each village or town visited were interviewed, and interviews were conducted at the place the participant had been approached (e.g. in their garden, house or place of work). All participants were local residents, no other stakeholders were specifically interviewed as part of this work. Initial contact with participants was established by direct approach. To establish eligibility for participation (>18 years old) in the study participants were asked their age if they appeared under 30. Prior to the interviews, potential participants were provided with a verbal explanation and introductory letter describing the nature of the research. The letter also contained the researcher's contact details to enable participants to withdraw from the interview at any point and retract their data without consequence during a specified time period after the interview had been conducted. None of the participants exerted this right. If participants agreed to take part in the research, consent for participation in the research and recording of the interview was always obtained verbally as Nicaragua has a relatively low literacy rate (~78%; <http://data.worldbank.org/country/nicaragua>). Once verbal consent was obtained, participants were asked to vocally repeat their consent while being recorded. To ensure confidentiality all data presented in this study have been anonymised. Ethical approval for the research was obtained from the Open University's Human Research Ethics Committee.

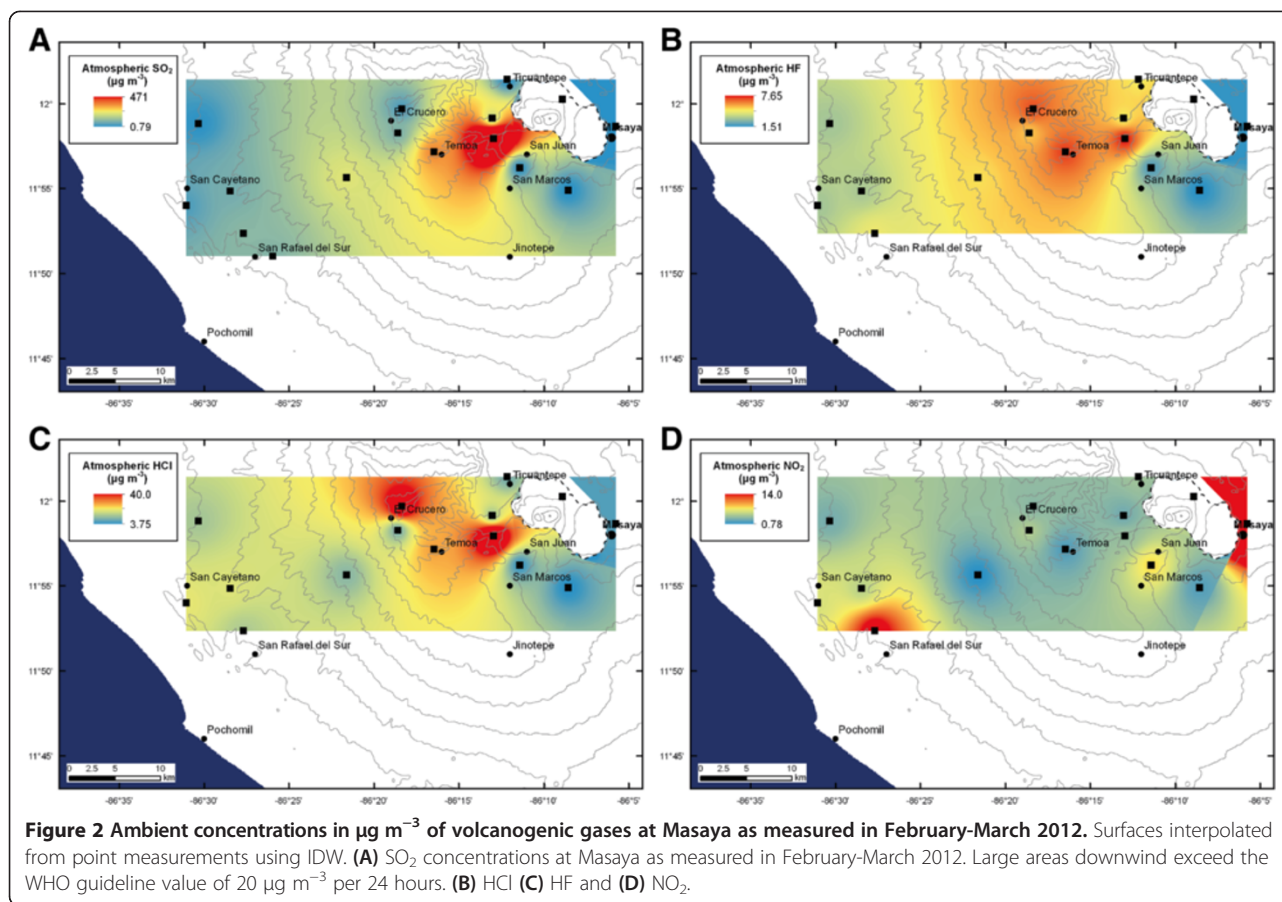
Due to time constraints transcription of the digital audio files was outsourced to a commercial company but translation, analysis and interpretation of the interview data were performed by the researcher. Transcripts were translated into English, however, frequent reference was made to the original Spanish texts and audio files to ensure the data were interpreted as they were intended. Data were coded using 'a priori' and 'grounded' codes using the software package NVivo 8. Coding of qualitative data is the process of identifying topics, themes and categories in the text. A priori codes are topics that have been established as relevant prior to the data collection, whereas grounded codes represent important subjects that have emerged from the text. Although there is much debate regarding the use and value of software in qualitative data analysis (e.g. Bergin 2011) NVivo 8 was used as it enables organisation, classification and sorting of qualitative data; as qualitative analysis is predominantly based on interpretation rather than analytics (e.g. Cassell and Symon 1994), using software just provides a systematic approach to data handling rather than answers. Mistakes were found in some of the transcriptions, these were mostly related to names or technical terms. Due to the researcher's familiarity with the subject and the area many of these could

be rectified and are therefore not thought to affect the content analysis performed here. In the results, discussion and conclusion sections those sentences between quotation marks and in italics are direct (translated) quotes from participants. For clarity on the origin of the quotes, a number in brackets at the end of the quote identifies the individual participant and the name of the area, town or village where they were interviewed. The quotes have been included to illustrate examples, and clarify links between data, interpretation and conclusions as they provide a clearer understanding than paraphrasing by the author.

#### Quantitative sampling

Between 26 January 2012 and 15 February 2012 two-types of commercially available (Gradko) diffusion tubes were used to examine (1) SO<sub>2</sub> and (2) acid gas (HCl, HF, HBr, and HNO<sub>3</sub>) concentrations around Masaya (Figure 1; Figure 2). The acid gas tubes also measured concentrations of NO<sub>2</sub>. Twenty-five tubes were exposed for durations between 10 and 26 days, with only one site being exposed less than the two weeks recommended and none of the sites exceeding the recommended maximum of 4 weeks ([www.gradko.co.uk](http://www.gradko.co.uk)). Diffusion tubes provide an average integrated concentration value (in µg m<sup>-3</sup>) for the length of time that the tube has been exposed, short-term variations are not recorded. Data have been blank corrected and inter-tube variability was recorded using two sets of twinned tubes (Table 2).

Twenty-nine crop samples were also obtained (Figure 1), these were predominantly banana (*Musa* sp.), plantain (*Musa* sp.), pineapple (*Ananas comosus*) and the root vegetable "quiquisque" (*Xanthosoma* sp.). Although pitaya (*Hylocereus* sp.) is widely cultivated in the area for the domestic and international market, only samples of the plant were obtained rather than the fruit as it was not in season during this fieldwork. Single specimens of mango (*Mangifera* sp.) and spinach (*Spinacia oleracea*) were also gathered. As a result of logistical constraints samples were cut to remove skin using a plastic knife and pieces of the edible flesh were subsequently air- and sun-dried while in the field, therefore wet and dry weights were not available. Dry samples were transported to the UK where a heavy metals analysis using ICP-MS was carried out by a commercial consultancy. Heavy and toxic metals (Al, Cr, Fe, Co, Ni, Cu, Zn, As, Se, Cd, Sn, Hg and Pb) were chosen as these are known to adversely impact health and the environment when encountered in excessive quantities (e.g. WHO 2013) and volcanoes present a natural source, either through degassing or ash emissions. Samples of approximately 5.0 g were weighed into in a 60 ml beaker and mixed with 5 ml 68-71% m/m HNO<sub>3</sub>, left for 1 hour at room temperature and then heated to 60°C for 2 hours. Subsequently 3 ml of 30% H<sub>2</sub>O<sub>2</sub> was added incrementally and the mixture was warmed to 60°C until a clear solution



formed. After digestion the mixture was cooled to room temperature and made up to 50 ml with deionised water. To convert concentrations back to fresh weight an average water content for each species was used (75% banana; 65% plantain; 87% for pineapple; potato water content, 79%, was used for quiquisque (USDA 2012); and 96% pitaya plant (Jaafar et al. 2009).

Ambient gas exposure guidelines and maximum levels of contaminants in foodstuffs vary across countries and organisations but in this work World Health Organisation (WHO) and UK guidelines have been used when Nicaraguan limits were not available. Exposure limits also change, for example the 2005 updated version of the WHO's guidelines on  $\text{SO}_2$  stated that an annual exposure limit was no

longer required as low annual levels are implied by compliance with the 24 hour limit.

## Results

To provide context for the qualitative results, the quantitative findings are presented first. Diffusion tube data from 2012 (Figure 2; Table 3), interpolated using inverse distance weighting (IDW) with a spatial barrier as no data were collected inside the National Park, show that large areas downwind of the Masaya caldera, covering three administrative departments (Managua, Masaya and Carazo) and numerous municipalities (e.g. Ticuantepe, El Crucero, Masaya, La Concepcion, San Marcos) therein, exceed Nicaraguan and WHO exposure guidelines with regards

**Table 2** Diffusion tube detection limit, inter-tube variability and blank concentrations

Gas	Limit of detection ( $\mu\text{g}$ )	Inter-tube variability ( $\pm\mu\text{g}$ )	Lab blank concentration ( $\mu\text{g}$ )	Travel blank concentration ( $\mu\text{g}$ )
$\text{SO}_2$	0.030	0.05	0.02	0.04, 0.06
$\text{NO}_2$	0.013	0.06	0.02	0.04, 0.04
HCl	0.157	0.05	0.04	0.42, 0.35
HF	0.017	0.01	0.01	0.15, 0.14
HBr	0.068	ND	0.05	0.05, 0.04

These results indicate minimal contamination of the tubes, particularly when compared with the measured values obtained. ND = Not Detected. If travel blanks were high, as with HCl and HF, the lab blank was used to blank correct the data.

to SO<sub>2</sub>. This was not the case for HCl, HF or HBr. HCl and HF are elevated in similar areas to SO<sub>2</sub> and also decrease with distance from the vent. HNO<sub>3</sub> was also only recorded at a single location where measured concentrations of SO<sub>2</sub>, HCl and HF were at their maximum levels. NO<sub>2</sub> (Figure 2D) shows a pattern not consistent with that shown by the volcanogenic gases, instead it is elevated in urban and industrialised areas implying anthropogenic sources. HBr was only found at a single site at 35 km from the vent, at a location also exhibiting elevated levels of NO<sub>2</sub>, again suggesting an anthropogenic source.

Results of the food sample analysis (dry weight) are shown in Table 4. Extrapolating dry weight concentrations to fresh weight (Figure 3) showed that on average concentrations of heavy metals are greater in pineapples than in plantain, banana or quiquisque. Estimating total daily intake at 0.014, 0.015, 0.011, 0.001 and 0.038 kg for banana, plantain, pineapple, quiquisque and mango respectively (FAO 2007; CATIE 1996; SAGARPA 2005) and using 70 kg for an adult weight and 20 kg for a child it was found that none of the concentrations measured exceed guidelines published in the Codex Alimentarius (WHO and FAO 1995).

### The perceived hazards

In February and March 2012, 37 semi-structured interviews were conducted with people living around Masaya volcano. A breakdown of basic demographics can be found in Figure 4. Depending on the participant it was found that there was not always a balance between the nature of the semi-structured interview and the length of the responses, with those more affected by the volcanic activity frequently providing more extensive and diverse accounts. Unfortunately high winds, common to the area, rendered parts of the interviews inaudible. The

data from the interviews can be categorized into three broad themes: (i) (spatio-temporal) hazard awareness, (ii) physical and material impacts and (iii) mitigation and response. Figure 5 provides a summary of the findings presented below.

### Hazard awareness

*“For me the volcano always erupts, [it’s] always active. [10, La Concha area]”*

In the 37 interviews conducted the volcano was acknowledged as a hazard in 35 of them, the remaining 2 explicitly stated that they do not think the volcano is dangerous. Knowledge of hazards in the area derives from personal experience or collective memory, with Masaya also featuring in local folklore, *“The volcano erupted March 16, 1762 (...). My mother told me that during the eruption the people of Nindiri and Masaya carried an image in procession. In Nindiri the Lord of Miracles was taken down to the beach and the population put their faith in his image to stop the lava. They also brought the Blessed Virgin Mary to the beach. They say the lava came to where he was, and burnt a finger of the image. (...) My mother also told me that her grandparents told her that their parents told them it was an eruption of a river of lava, which we know as a Hawaiian-style eruption (...). Today in Nindiri, (...) the tradition is maintained. On March 16 Lord of the Miracles is taken from the church down to part of the lagoon to commemorate this story [5, Masatepe]”*.

The main hazard mentioned, by 22 of the 37 participants, is the volcanic gas, recognised as a source of environmental pollution. However, two participants also view the degassing as reassuring as *“There is no danger*

**Table 3 Gas exposure guidelines and maximum values found at Masaya**

Gas	Exposure guidelines (µg m <sup>-3</sup> )		Source	Maximum recorded concentration (µg m <sup>-3</sup> )	Distance from vent (km)
	Time	Limit			
SO <sub>2</sub>	10 minutes	500	WHO <sup>a</sup>	471	6.34
	24 hours	20			
	Annual	365	Nicaragua <sup>b</sup>		
HCl	1 hour	50	WHO <sup>c</sup>	40.0	6.34
	Monthly	80	Nicaragua <sup>b</sup>		
HF	1 hour	750	UK <sup>d</sup>	7.65	6.34
	1 hour	160	UK <sup>e</sup>		
NO <sub>2</sub>	1 hour	200	WHO <sup>a</sup>	14.0	7.12
	Annual	400	Nicaragua <sup>b</sup>		
		40	WHO <sup>a</sup>		
HBr	1 hour	100	Nicaragua <sup>b</sup>	4.13	35.0
		700	UK <sup>e</sup>		

Sources: <sup>a</sup>WHO (2006), <sup>b</sup>MARENA (2002) <sup>c</sup>WHO (2000) <sup>d</sup>DEFRA (2006) <sup>e</sup>DEFRA (2009).

**Table 4 Results of ICP-MS analysis of food samples collected around Masaya in february-march 2012, reported in ppm dry weight**

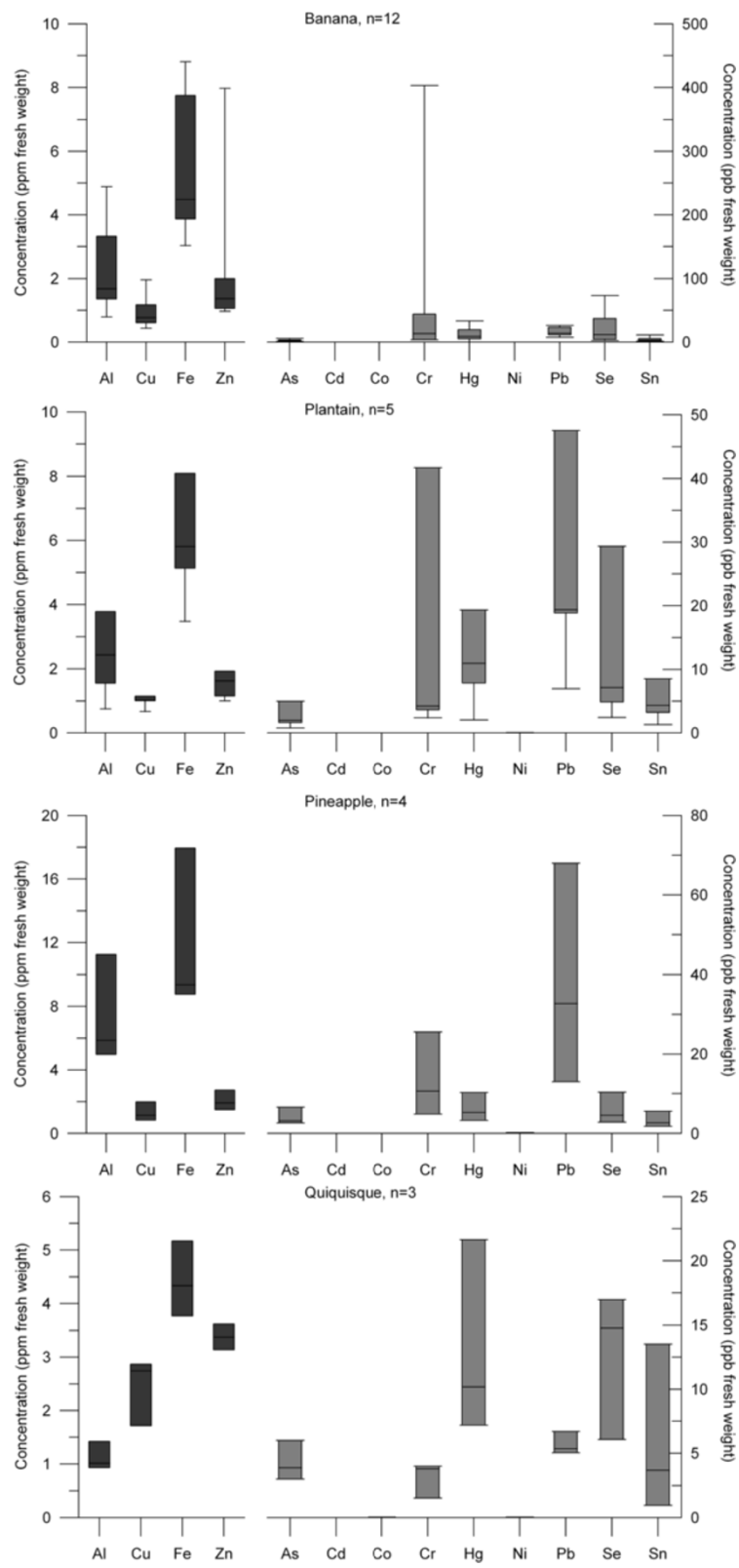
	Al	Cr	Fe	Co	Ni	Cu	Zn	As	Se	Cd	Sn	Hg	Pb
Banana	4.19	1.61	18.5	0.01	0.21	2.91	4.99	0.01	0.04	<LLD	0.01	<LLD	0.03
Banana	3.17	0.01	15.5	0.00	0.09	5.72	8.01	0.01	0.05	<LLD	0.01	0.02	0.04
Banana	18.0	0.04	31.4	0.02	0.05	1.75	4.25	0.01	0.01	<LLD	0.05	0.01	0.10
Banana	11.6	0.08	20.9	0.01	0.13	2.43	5.12	0.01	0.17	<LLD	0.01	0.04	0.06
Banana	5.93	0.02	17.4	0.00	0.07	4.13	6.00	0.02	0.05	<LLD	0.01	0.02	0.05
Banana	5.43	0.18	12.1	0.00	0.22	3.21	3.88	0.01	0.02	<LLD	0.02	0.09	0.06
Banana	6.02	0.06	12.2	0.00	0.04	1.84	4.08	0.01	0.05	<LLD	0.01	0.04	0.06
Banana	7.40	0.34	16.5	0.01	0.35	2.96	4.29	0.01	0.04	<LLD	0.01	0.13	0.06
Banana	13.3	0.02	30.6	0.01	0.05	4.69	15.4	0.02	0.15	<LLD	0.02	0.08	0.09
Banana	12.8	0.05	35.2	0.01	0.08	7.86	31.9	0.01	0.29	<LLD	0.03	0.03	0.08
Banana	19.5	0.02	31.0	0.01	0.04	3.08	5.85	0.00	0.02	<LLD	0.01	0.02	0.10
Banana	5.94	0.16	17.4	0.01	0.19	3.05	5.86	0.01	0.02	<LLD	0.02	0.07	0.05
Mango	26.6	0.07	40.5	0.02	0.06	3.77	4.23	0.01	0.10	<LLD	0.04	0.03	0.88
Pineapple	47.2	0.06	68.6	0.06	0.24	7.09	11.4	0.02	0.04	<LLD	0.04	0.08	0.19
Pineapple	38.3	0.04	75.3	0.17	1.61	15.3	21.0	0.05	0.08	<LLD	0.01	0.04	0.10
Pineapple	43.0	0.10	67.4	0.04	0.26	10.6	15.0	0.02	0.03	<LLD	0.03	0.04	0.31
Pineapple	86.6	0.20	138	0.08	0.34	6.40	14.4	0.03	0.02	<LLD	0.02	0.03	0.52
Pitaya plant	87.7	0.02	27.9	0.47	0.25	4.21	23.3	0.02	0.03	0.09	0.00	0.09	0.07
Pitaya plant	28.1	0.03	43.9	0.07	0.17	3.46	13.2	0.06	0.01	<LLD	0.01	0.01	0.16
Pitaya plant	90.1	0.63	80.4	0.71	1.90	4.16	27.4	0.05	0.08	<LLD	0.04	0.05	0.17
Plantain	4.44	0.12	14.7	0.00	0.19	2.94	4.63	0.00	0.08	<LLD	0.01	0.06	0.06
Plantain	6.95	0.01	16.6	0.01	0.06	3.28	5.50	0.01	0.02	<LLD	0.01	0.03	0.14
Plantain	8.19	0.01	18.9	0.01	0.02	2.88	3.30	0.01	0.01	<LLD	0.02	0.02	0.05
Plantain	2.13	0.01	9.95	0.00	0.04	1.90	2.87	0.00	0.05	<LLD	0.00	0.03	0.02
Plantain	10.8	0.01	23.1	0.01	0.03	3.08	5.34	0.01	0.01	<LLD	0.01	0.01	0.06
Quequisque	6.77	0.02	20.6	0.19	0.17	13.7	17.3	0.01	0.03	<LLD	0.02	0.05	0.02
Quequisque	4.83	0.02	24.6	0.12	0.22	13.1	14.9	0.03	0.08	<LLD	0.06	0.10	0.03
Quequisque	4.44	0.01	18.0	0.07	0.09	8.16	16.1	0.02	0.07	<LLD	0.00	0.03	0.03
Spinach	335	0.22	496	0.34	0.29	7.35	32.6	0.21	0.04	0.18	0.02	0.11	1.69

LLD = Lower limit of detection.

*of explosion, because [the vent] is open. When the gases are free, they can escape. That prevents an explosion. [4, San Marcos]*” Equally salient is the explosion hazard, also referred to as “*flying/throwing stones* [2, El Panama; 14, La Concha area; 36, La Concha area; 37, La Concha area]” again mentioned by 22 individuals, with 14 of those also having mentioned the gas hazard. A further 15 individuals mentioned volcanic ash and only 4 mentioned lava. Other hazards mentioned, included earthquakes (7 participants) and 5 or less participants named landslides and meteorological factors such as wind, cold, heavy rain and flooding.

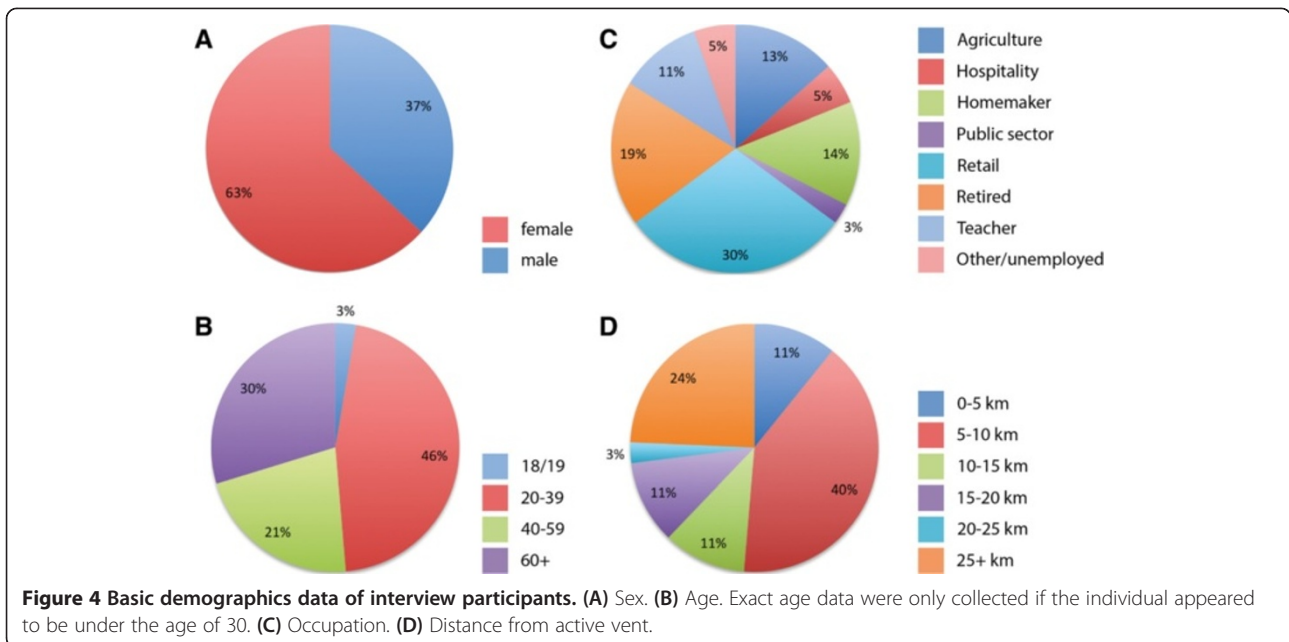
At least 25 participants recognised spatio-temporal variations in the hazards posed by Masaya, particularly with

respect to the area most affected and (recent) changes in activity. The six participants who explicitly named the worst affected areas identified them as ‘la Concha’ and ‘El Crucero’ (Figure 1). The presence of rain or wet conditions, including at the start of the rainy season (generally in April–May), intensified the salience of the gas hazard. One participant also reported being more aware of it on calm nights, whereas another reported feeling it more during windy conditions. Four participants, who themselves or their family had lived in the area all their lives, recalled the degassing crisis of the early 1980s, which was followed by a decrease in activity and a subsequent resurgence in the mid 1990s. In addition 11 participants mentioned that



**Figure 3** Heavy metal concentrations in selected food samples collected around Masaya volcano February-March 2012.



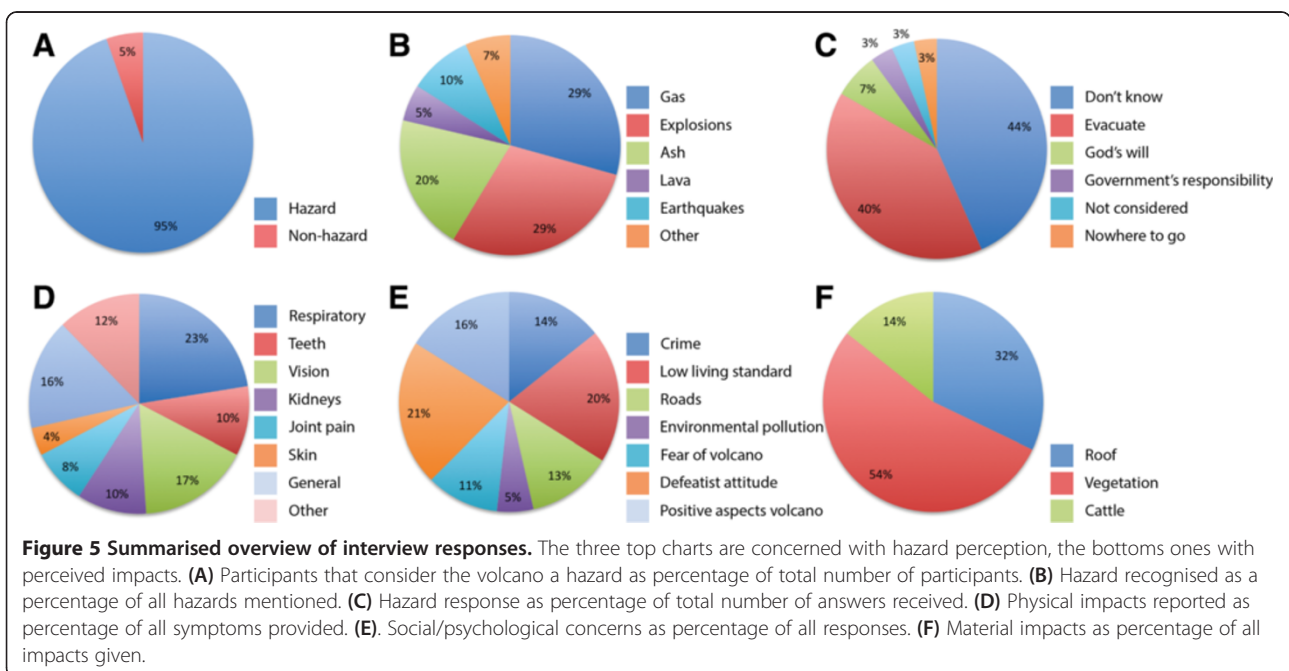


the level of degassing has decreased in the past few years. As a result there are “(...) changes in the community after it has been turned off. Yes, more homes are being built. [37, La Concha area]” In addition “There are plants now, that before didn’t produce much fruit. (...) it was known that on the hill avocados and citrus could not exist. But now they do exist. [16, Santa Terasita]” Coffee is also being planted in the area again. However, for those able to recall temporal changes in activity, the biggest

hazard identified was “(...) that it will come to life again and affect the area as before [37, La Concha area]”.

**Impacts**  
**Personal impacts**

“I truly feel that we are definitely affected [34, El Panama]”



Personal impacts can be divided into physical and emotional impacts. The former were expressed directly by 30 participants whereas the latter were deduced directly or indirectly from conversations.

Physical symptoms attributed to the volcanic degassing were: burning of the skin, burning of the eyes, a burning sensation in the throat, respiratory problems, teeth staining, kidney problems, joint pain, a heart problem and tumours. The burning sensation of the eyes was described by one participant as *“as though I had rubbed chilli in my eyes [29, along the road between El Crucero and Las Esquinas]”*. People also experienced increased susceptibility to cold and flu and children were identified as particularly vulnerable to respiratory conditions. Some participants also indicated that they realised the volcano was detrimental to their health but did not specify how. Areas where the most common physical symptoms were reported are roughly identified in Figure 6.

Social problems in the area appeared to be greater emotional stress factors than the volcano, with 21 participants mentioning a range of social issues, from crime (related to drugs, alcohol) to dangerous road conditions and anthropogenic environmental pollution. In addition, eleven participants conveyed problems resulting from basic human needs, such as clean drinking water, not being met. Specific volcano-induced stress was revealed directly by six participants who explicitly expressed fear of the volcano. Eleven participants displayed a defeatist attitude towards the volcano and its activity through statements such as *“I can not do anything [31, along the road between El Crucero and Las Esquinas]”, “it is what it is [32, Las Esquinas]”* and *“This volcano only causes problems. We would like to leave here forever (...) [34, El Panama]”*. Conversely, nine participants were able to identify positive aspects of the volcanic activity, which were related to tourism, *“The only [good] thing would be that sometimes there is a tour guide that belongs to a community [26, Temoa]”,* education *“It is a natural laboratory (...) So I think that it is good because taking students to the area, it pervades the theory with practice [5, Masatepe]”* or agriculture, *“The pineapple is the only thing not damaged by the volcano [13, La Concha area]”*.

### Material impacts

*“It affects all that is growing, the roofs of the houses. Therefore it destroys everything, it eats it, it damages everything. Yes, and the community as well. [32, La Esquinas]”*

The most frequently reported damage resulting from volcanic activity (Figure 7) is damage to roofs (mentioned by half of the participants) and agriculture (30 participants). Directly downwind of Masaya roofs, generally

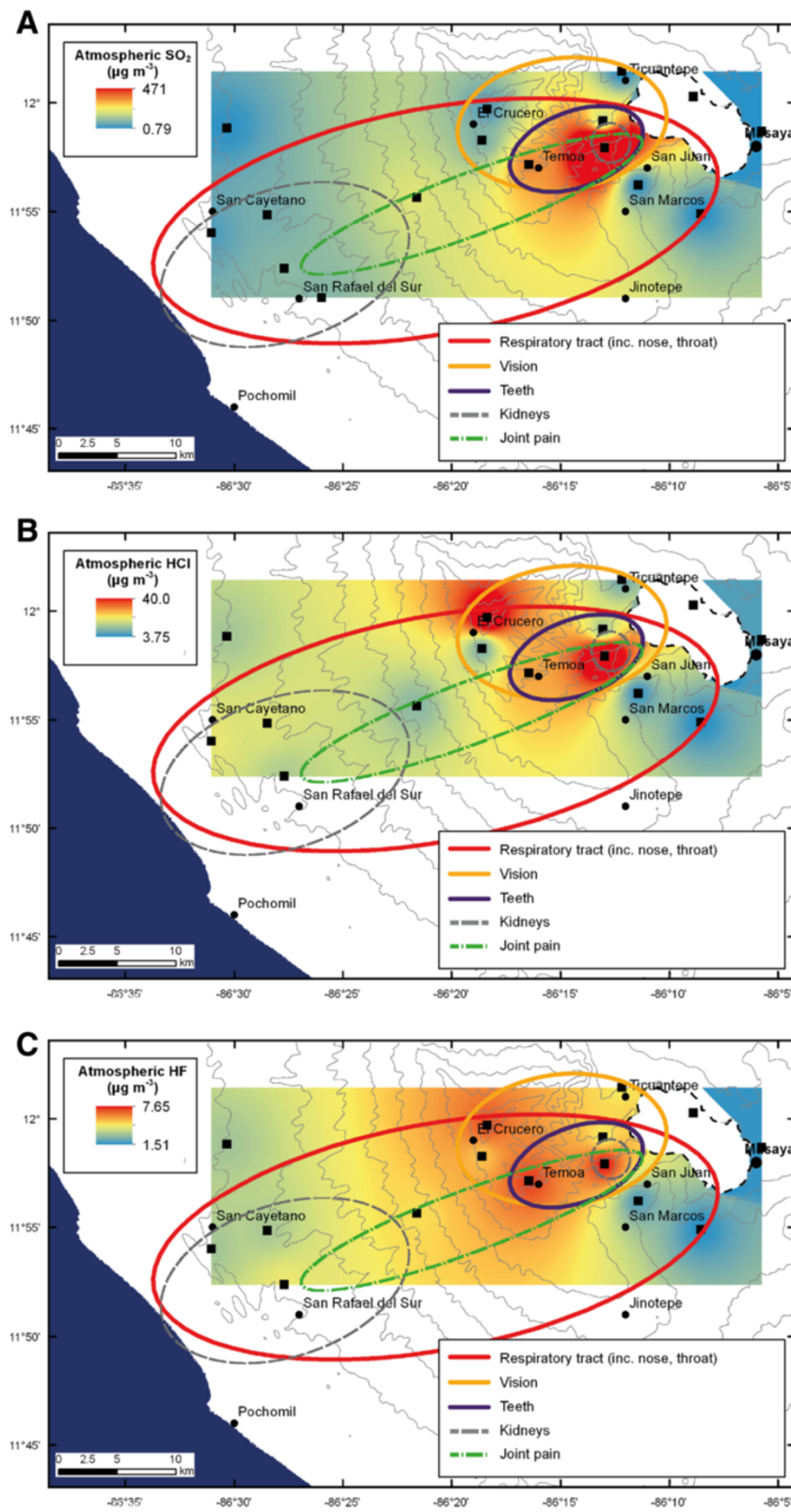
made of metal (zinc, iron and tin were reported), have to be painted or replaced every 6 months to a year as they corrode. The maintenance frequency decreases with distance from the volcanic vent. Although less easily corroded roofing options are available, these are beyond the financial means of those who require them. Three participants also mentioned damage to walls.

Damage to crops and inability to grow certain species was reported by 30 of the 37 participants. Damage to crops was described as burns, and at close proximity to the vent the gases turn plants yellow and stunt growth. The affected crops are avocados, bananas (*Musa* sp.), beans, citrus, coffee, corn, mango and papaya. However, pineapple, pitaya, quiquisque and cabbage were reported to thrive. A fifth of participants also mentioned impacts on livestock and animals. Most commonly effects included swelling, trouble walking (also termed arthritis) or death and these were attributed to eating *“poisoned [9, La Concha area]”* grass.

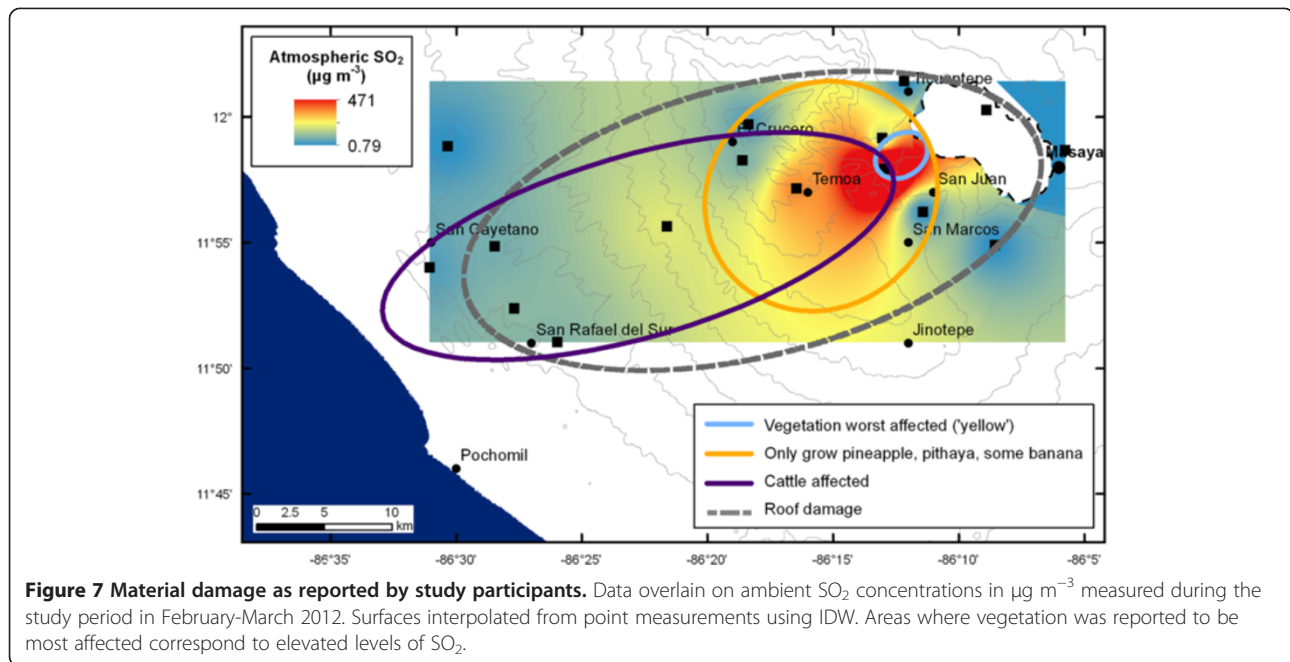
### Hazard mitigation and risk reduction

*“One tries to live with where you are [5, Masatepe]”*

The interviews revealed a desire for up-to-date factual information, *“To know if it is dangerous a little communication from the people who do the monitoring is required, you have to advise the population of its behaviour. So I guess I must have a [hazard] map (...) [5, Masatepe]”*. The need for information was re-iterated through direct statements such as *“Well, that’s where we need the voice of the scientist, to guide us [18, El Salto]”* or indirectly as participants recalled things they had heard about the volcano but could not confirm whether these were true or current: *“Not long ago I heard that it was covered and it was dangerous, but I do not know if that is or is not true. (...) [5, Masatepe]”*. At least a third of the participants said they rely on physical observations to know what the level of activity is, while six participants did not know how to tell if activity reached dangerous levels. Following on from this eight participants explicitly stated they did not know what to do if the volcano were to become dangerous, resulting in a circular argument: *“Well, in case of danger, I would not do anything because we will not know when it is dangerous. [15, La Concha area]”*. One participant stated they had not considered the possibility of activity reaching dangerous levels. In the case of a volcanic emergency, which all of the respondents assumed would be related to explosive and/or extrusive activity rather than a degassing crisis, two of the respondents placed their fate in the hands of God and another expects the government to get them out of the area. Two participants said they had not been told what to do, while another three stated that only scientists would know what to do. Twelve of the participants stated



**Figure 6** Physical symptoms as reported by study participants. Data overlay on ambient (A) SO<sub>2</sub>, (B) HCl and (C) HF concentrations in µg m<sup>-3</sup> measured during the study period in February-March 2012. Surfaces interpolated from point measurements using IDW. Areas of increased self-reported prevalence of respiratory disease, eye irritation and teeth staining correspond to increased levels of volcanogenic gases.



they would evacuate, with half of them going to areas of higher ground. One participant stated they had nowhere to go. Only two participants knew of the existence of the nationally coordinated responses to [volcanic] disasters, with one mentioning SINAPRED and the other Civil Defence (part of SINAPRED), though the latter added “*There is little guidance on volcanic problems* [18, El Salto]”. There was also a single mention of a local search and rescue initiative. In response to the gas hazard only one participant mentioned they stay inside and the children are not allowed to leave the house.

Despite the apparent lack of factual information and prevalence of pessimistic views towards the volcano local communities have established, through empirical observation, an intrinsic way to mitigate the gas hazard: only crops that are better able to withstand the local environmental conditions (e.g. increased atmospheric SO<sub>2</sub> and acid gas deposition), mainly pineapple and pitaya, are cultivated downwind of the active vent “*Only pineapple and pitaya are grown. [...] Pineapple and pitaya withstand the acid.* [10, La Concha area]” In fact, the SO<sub>2</sub> emitted by Masaya is seen as making a positive contribution to pineapple cultivation, “*The input from the volcano helps to protect [the crop] and produces a better quality pineapple.* [15, La Concha area]” Based on the interviews conducted no other mitigation strategies are currently actively utilised around Masaya.

## Discussion

“*Only God can save us from the volcano* [27, Las Nubes]”?

Nicaragua is ranked 26<sup>th</sup> in the GFDRR (Global Facility for Disaster Reduction and Recovery) list of countries at high economic risk from multiple hazards (GFDRR 2010b), whose report also states that “Nicaragua is considered a leader in Central America because of its legal framework that enables a comprehensive and multi-sectoral approach to disaster risk management”. Despite this, the fact that risk management is officially on the basic and adult education curriculum (Selby and Kagawa 2012) and Nicaragua’s freely available full suite of hazard response plans (<http://siger.sinapred.gob.ni/>), there is an apparent divide between government and local communities seemingly resulting from a disconnect in communication: irrespective of the high salience of the hazard(s) posed by Masaya there is little knowledge at the community level regarding hazard response and mitigation. This disconnect is not unique, the same gap has been identified in a 2009 survey of 7000 people in 48 countries (GNCSODR 2009), which raises the important question of how this could potentially be addressed.

## Hazards

The gas hazard is a highly salient threat in communities surrounding Masaya volcano and this study quantitatively reiterates the direct physical hazard posed by the volcanic gases to human health, previously noted by Baxter et al. (1982) and Delmelle et al. (2002). Conversely, there is no indication of a potential indirect effect on health via the food chain: based on the analyses of crop samples the concentration of heavy metals is below maximum and guideline measurements for contaminants in foods as established by the joint FAO and

WHO CODEX Alimentarius Commission (1995). However, total dietary intake of these heavy metals is not just restricted to the crops sampled here or the intake quantities used here but also includes other foods and drinks. Until a detailed dietary study is conducted in this area, the exact risk to of consuming crops grown in the area affected by the plume can not be accurately estimated.

#### Perceived personal impacts

The elevated level of salience of this invisible hazard clearly derives from the highly perceptible impacts of the degassing, in terms of individual, societal and material impacts. Many of the physical symptoms such as burning of the skin and eyes, nose and throat irritation and difficulty breathing, reported can be caused by exposure to elevated levels of SO<sub>2</sub> (Longo 2009; Longo et al. 2005; Longo et al. 2008) and other volcanic gases (Ostro et al. 1991; Weinstein and Cook 2005). Although levels of HCl and HF measured here did not exceed WHO guidelines, local populations are likely to be exposed to levels greater than those reported by this study as diffusion tubes provide an average over the duration of exposure, which will include variations in degassing and wind direction. It should also be noted that atmospheric pollutants such as those from volcanic emissions, are encountered as mixtures in which effects of a single gas such as SO<sub>2</sub>, even when present at sub-threshold levels, can be intensified by other gases (Johns and Linn 2011). In addition, a large proportion of the gas hazard derives from particulate matter (PM; suspended solid and liquid droplets composed of acids and organic and inorganic components such as metals and dust). Particles less than 10 µm in diameter are considered hazardous and volcanic PM is likely to have diameters less than 2.5 µm, increasing the potential for adverse health effects (e.g. Longo et al. 2008; Longo et al. 2010).

The teeth staining mentioned by participants appears to be confined to areas just downwind of the active vent. Teeth staining may indicate dental fluorosis, a preventable condition linked to ingestion of fluoride-rich drinking water during the tooth development stage (up to around 6–7 years of age). Although the majority of the area investigated utilises water deliveries rather than ground- or rainwater, one participant did indicate that rain water used to be collected in barrels and this may still be occurring in certain areas (SINAPRED 2009). Drinking of collected rainwater is a known aetiology for dental fluorosis (Allibone et al. 2012) and Delmelle et al. (2002) found levels of fluoride exceeding WHO guidelines at two locations where they collected rain water from roof catchments. Alternatively, teeth staining might be attributable to extrinsic staining from ferric or ferrous sulphide (e.g. Reid et al. 1977; Tirth et al. 2009; Ertugrul et al. 2003) formed in a reaction between Fe in the saliva or gingival exudate and H<sub>2</sub>S or H<sub>2</sub>SO<sub>4</sub>. As H<sub>2</sub>S is an insignificant

component of Masaya's degassing (de Moor et al. 2013), H<sub>2</sub>SO<sub>4</sub> formed when SO<sub>2</sub> comes into contact with moist membranes is a more likely aetiology at Masaya. Mention of kidney disease was mostly found in lower elevation areas towards the coast, away from the summit of Masaya. It is therefore unlikely that the kidney disease mentioned is related to the volcanic activity. There is a high prevalence of Chronic Kidney Disease (CKD) in Nicaragua, specifically at lower elevations where the main occupations are related to agriculture and mining (Ramirez-Rubio et al. 2013; Weiner et al. 2013).

Stress related to social issues is of much greater concern to most participants than uncertainty surrounding the volcanic activity. However, cultural factors may have played a role in the low number of participants expressing emotional stress resulting from their proximity to Masaya. Indirect references to stress originating from the volcano came through mention of coping mechanisms such as adaptation, avoidance, denial and religion.

#### Environmental impacts

Damage to materials is due to the electrochemical effects, which are accelerated by environmental conditions (e.g. acid rain (Johnson and Parnell 1986) generated by the chemical composition of the volcanic plume as it interacts with the atmosphere. The same environmental conditions are also responsible for damage to vegetation (Johnson and Parnell 1986; Delmelle et al. 2002; Delmelle et al. 2001; McBirney 1956; Stoiber et al. 1986), in the form of leaf chlorosis and necrosis and decreased productivity. However, local communities have empirically determined that pineapples and pitaya are more resistant to the effects of the plume and are therefore preferentially growing these downwind of the vent. The decreased effect of SO<sub>2</sub> on *Ananas comosus* is corroborated by experiments performed by Olszyk et al. (1987), which showed no effect of acute exposure to concentrations of 0.6–3 ppm over 2–8 hour durations or chronic exposure to concentrations of 0.35–0.9 ppm over 7–13 days.

#### Mitigation and risk management at the local level

Risk is defined as the product of the probability of an event and its consequences (UNISDR 2009; Hood and Jones 1996). In the case of volcanic hazards, there is nothing that can be done to minimise the former so the focus is on reducing the effects. Negative consequences arise from the interplay between resilience (the capacity to adjust and recover from a hazard) and vulnerability (the susceptibility to damage from a hazard) (Paton 2005; UNISDR 2009). Despite the high salience of the gas hazard and recognition of other volcanic hazards few resilience factors were identified: only a single mitigation strategy is currently employed in the region. Combined with this there are multiple factors contributing to vulnerability around

Masaya, these include lack of preparedness for (volcanic) hazards; issues of security and insufficient confidence in information provided by scientists and/or authorities; fatalistic attitudes towards risk reduction resulting from disproportionate perception of the risk of large scale and relatively low probability of occurrence acute hazards such as heavy ash fall, lava flows and pyroclastic surges; poverty; inequality; poor governance; and inadequate access to resources. In addition, the relative level of risk in the area is mounting as a result of the decrease in degassing during the past few years: the rising risk is due to increasing vulnerability as people are starting to grow different crops more susceptible to volcanogenic pollution and more homes are being built in the area suggesting an increase in population. An example of one of the crops now being grown is coffee: a crop previously grown and subsequently devastated directly downwind of Masaya (McBirney 1956). The promotion of coffee was reportedly promoted by a project although it was unclear who instigated this.

In light of Masaya's current and historical activity, characterised by cycles of chronic degassing of varying intensity, presently at a low point, increasing resilience should be prioritised. In addition, as natural disasters disproportionately affect poorer communities (e.g. Basher 2006), the contribution of persistent degassing to poverty, poor health and degradation of the local environment makes it a risk factor for acute hazards by increasing vulnerability in the area. Increasing resilience could occur through the identification and implementation of additional mitigation measures and increased education and outreach regarding emergency response at the community level. Implementing preparedness and mitigation measures related to an invisible underlying risk factor in a time of relative low gas flux is complex and multifaceted, but is likely to contribute to increased resilience in the face of acute hazards. It is therefore in line with 'reduce underlying risk factors,' one of the five priorities for action identified in the Hyogo framework (UNISDR 2005), whose goal is to substantially reduce disaster losses by 2015.

For disaster risk reduction measures to be adapted and adhered to critical factors are community involvement (e.g. Cronin et al. 2004; UNDP 2004; Mercer et al. 2010; Paton et al. 2008) and integrating (small) changes with existing behaviours (Brown 2009). To successfully reduce risk in the area a wide range of strategies will have to be employed (Paton et al. 2005), and integrating disaster risk reduction with everyday activities and livelihoods will require looking further than the traditional institutionally dictated remit of volcanology.

One way in which vulnerability around Masaya may be reduced is through cultivation of pineapple, pitaya and other crops more resistant to the volcanogenic pollution. Taking pineapple as an example, there is apparent scope to increase the revenue from this crop. The area, specifically

the municipality of Ticuantepe, has been one of the largest producers of pineapple in Nicaragua since the mid 1960s (Rose 2006). In 2006 there were around 800 pineapple producers in the area, operating on individual or small co-operatives. The variety most widely grown in the area is the 'Monte Lirio', which is not well regarded on the international market but cheaper to grow. Rose (2006) explored the pineapple cultivation from a purely economic perspective and concluded that if producers would convert to the internationally popular 'Smooth Cayenne' a higher profit margin would be obtained, ultimately leading to a reduction in poverty in the region. Of course implementation does not necessarily follow the simple process outlined here and it will require additional support and services such as access to financial means and infrastructure (Rose 2006). In addition monoculture brings with it its own costs (social, environmental and economic) and benefits. The possibility of more people settling in the at-risk area as the result of economic benefit is another factor that should be carefully considered. Certainly more research is required prior to implementation of crop conversion strategies as risk reduction measures.

A way of increasing resilience might be by addressing the poor governance in the area. Currently local communities do not receive adequate resources from the authorities to develop their adaptive capacity. In contrast, in neighbouring Costa Rica the Comisión Nacional de Prevención de Riesgos y Atención de Emergencias (CNE; www.cne.go.cr) coordinates a network of 400 community-level, 100 municipal-level, and 6 regional-level emergency Management Committees (GFDRR 2010a). These committees are set-up to facilitate the integration and coordination of communities, public and private institutions, NGOs and civil society in disaster (risk) management. Although a disconnect between national and local levels remains visible in Costa Rica (van Manen 2014), there is greater awareness at the local level. Awareness however, does not automatically convert to intentions to prepare, nor do intentions necessarily evolve into actions (e.g. Boura 1998; Paton et al. 2003), a challenge that is universal (e.g. Kievik and Gutteling 2011). It can potentially be addressed through the tailoring of risk management strategies to local concerns and context through increased empowerment of communities and community development. However, this does require adequate on-going support, resources and expertise from authorities.

## Conclusions

This study contributes to the growing body of inter- and cross-disciplinary work focussed on understanding socio-economic dimensions of disasters, and how this information can effectively be integrated into disaster risk management. Through the use of complementary quantitative and qualitative approaches this work confirms that

persistent degassing from Masaya volcano continues to pose a chronic health and economic hazard to the area on individual and community levels. Although the gases themselves are invisible, their effects are easily observed and therefore salience of this specific hazard is high. Salience of other volcanogenic hazards such as explosions are also high as a result of personal experience of collective memory. Future research at Masaya should include more detailed socio-economic and agronomy-oriented studies, which include data on land ownership. In addition, thorough surveys of dietary intake patterns and material damages would provide valuable additional data.

Despite high levels of hazard salience in the local communities there is a divide between action at the national and local levels, with insufficient risk management information finding its way from the national to the local level. In addition, there is little response to, or mitigation of, the gas hazard specifically, with the sole mitigation strategy identified by this research deriving from community-based empirical observations. This shows there is large scope for improvement and implementation of (gas) mitigation strategies and disaster preparedness at the level of, and in collaboration with, communities. As there is no single solution, this can only be achieved through the deployment of a wide range of strategies, and could include local level disaster management committees who have the ability to coordinate and tailor strategies to the local situation. This will require the availability of adequate levels of financial, technical and human resources, but by addressing the chronic hazard posed by persistent volcanic degassing, although intricate at a time of relatively low gas flux, capacity and resilience to chronic and acute hazards is likely to improve.

#### Competing interests

The author declares that she has no competing interests.

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