Anaplastic Lymphoma Kinase Translocation A Predictive Biomarker of Pemetrexed in Patients with Non-small Cell Lung Cancer

Jeong-Ok Lee, MD,* Tae Min Kim, MD,†‡ Se-Hoon Lee, MD,†‡ Dong-Wan Kim, MD,†‡ Soyeon Kim, MS,‡ Yoon-Kyung Jeon, MD,§ Doo Hyun Chung, MD,§ Woo-Ho Kim, MD,§ Young Tae Kim, MD,‡|| Seok-Chul Yang, MD,† Young Whan Kim, MD,† Dae Seog Heo, MD,†‡ and Yung-Jue Bang, MD†‡

Introduction: This study compared the efficacy of pemetrexed in patients with anaplastic lymphoma kinase (*ALK*)-positive versus *ALK*-negative (epidermal growth factor receptor [*EGFR*] mutant or wild type [WT] for both *ALK* and *EGFR*) non-small cell lung cancer (NSCLC). **Methods:** Patients with advanced NSCLC who received second-line pemetrexed and beyond between March 2007 and April 2010 were screened for *EGFR* mutations and *ALK* rearrangements at Seoul National University Hospital. The clinical and in vitro efficacy of pemetrexed was evaluated for each genotypic group.

Results: Ninety-five NSCLC patients were genotyped as follows: 43 (45%) *EGFR* mutation, 15 (16%) *ALK* translocation, and 37 (39%) WT. The overall response rate was superior in *ALK*-translocated patients compared with *EGFR* mutant or WT patients (46.7 versus 4.7 versus 16.2%, p = 0.001). *ALK*-positive patients showed longer time to progression than *EGFR* mutant or WT patients (9.2 versus 1.4 versus 2.9 months, p = 0.001). *ALK* positivity alone was a significant predictor for overall response rate (hazard ratio [HR] = 0.07, 95% confidence interval [CI]: 0.01-0.32; p = 0.001) and time to progression (HR = 0.44, 95% CI: 0.24-0.80; p = 0.007). *ALK* positivity remained independently significant regardless of treatment line (HR = 0.43, 95% CI: 0.24-0.77; p = 0.005). Thymidylate synthase mRNA levels in *ALK*-positive cells were significantly lower compared with control cells (p < 0.05).

Conclusion: Pemetrexed is an effective treatment in patients with *ALK*-positive NSCLC. *ALK* positivity was independently predictive of pemetrexed efficacy in NSCLC patients.

Key Words: Lung cancer, ALK, Pemetrexed.

(J Thorac Oncol. 2011;6: 1474–1480)

Disclosure: The authors declare no conflicts of interest.

Address for correspondence: Tae Min Kim, MD, Department of Internal Medicine, Seoul National University Hospital, 28 Yeongeon-Dong, Jongno-Gu, Seoul 110-744, Korea. E-mail: gabriel9@snu.ac.kr

Copyright $\ensuremath{\mathbb{O}}$ 2011 by the International Association for the Study of Lung Cancer

ISSN: 1556-0864/11/0609-1474

Lung adenocarcinoma is heterogeneous with diverse somatic mutations associated with carcinogenesis.¹ Most East Asian patients with lung adenocarcinoma who have never smoked harbor targetable oncogenic mutations, including epidermal growth factor receptor (*EGFR*) mutations, fusions of echinoderm microtubule-associated protein-like 4 (*EML4*) and anaplastic lymphoma kinase (*ALK*), and human epidermal growth factor receptor 2.² The discovery of these mutations led to the era of targeted therapies. Recent phase III studies showed that first-line treatment with gefitinib lengthened survival time in patients with lung adenocarcinoma with *EGFR* mutations.^{3,4}

An *EML4-ALK* fusion transcript derived from a small inversion within chromosome 2p has a transforming activity.⁵ Tumors of *EML4-ALK* transgenic mice were effectively cleared by an *ALK* inhibitor, indicating that *ALK* inhibition shows strong therapeutic potential in patients with *ALK*-rearranged non-small cell lung cancer (NSCLC) (overall response rate [ORR], 57%; disease control rate (DCR) at 8th week, 87%; and 6-month progression-free survival, 72%).⁶

Several studies have shown that *ALK*-translocated NSCLC was most common in patients of younger age, patients with adenocarcinoma, and patients who never or lightly smoked.^{5,7–10} Only one study has examined treatment outcomes of patients with *ALK* translocation.⁷ *ALK*-positive NSCLC patients did not respond to *EGFR* TKIs and showed a similar response rate to platinum-based agents compared with wild-type (WT) patients. Therefore, typical clinical and pathologic findings, as well as responsiveness to *EGFR* TKIs, are useful in identifying *ALK*-translocated NSCLC patients. However, considering the low frequency of *EML4-ALK* translocation in NSCLC (3–13%),^{5,7,8} it is crucial to find novel clinical features for *ALK*-positive lung cancer.

Pemetrexed is a multitargeted antifolate that inhibits thymidylate synthase (TS), dihydrofolate reductase, glycinamide ribonucleotide formyltransferase, and aminoimidazole carboxamide ribonucleotide formyltransferase. Pemetrexed prevents the formation of precursor pyrimidine and purine nucleotides.

Pemetrexed is currently approved for treatment of patients with nonsquamous cell histology as a first-line treatment in

Journal of Thoracic Oncology • Volume 6, Number 9, September 2011

^{*}Department of Internal Medicine, Seoul National University Bundang Hospital, Seongnam; †Department of Internal Medicine, Seoul National University Hospital; ‡Cancer Research Institute, Seoul National University College of Medicine; and Departments of §Pathology and ||Thoracic Surgery, Seoul National University Hospital, Seoul, Korea.

combination with platinum,^{11,12} as a second-line single agent,¹³ and as maintenance therapy after first-line platinum-based chemotherapy.¹⁴ In addition, pemetrexed showed modest efficacy as a third- or fourth-line treatment.^{15,16}

A recent study demonstrated that pemetrexed plus cisplatin significantly prolonged time to progression (TTP) (9 versus 6.2 months) and overall survival (17 versus 11 months) in *ALK*-positive NSCLC patients, compared with *ALK*-negative patients, suggesting a potential role of pemetrexed in *ALK*-rearranged cases.¹⁷ Therefore, this retrospective study was designed to compare the efficacy of pemetrexed between *ALK*-positive and *ALK*-negative cases and to identify features associated with treatment outcome in *ALK*translocated NSCLC.

PATIENTS AND METHODS

Study Population

Korean patients with advanced NSCLC who received pemetrexed between March 2007 and April 2010 were identified from the database at Seoul National University Hospital. Patients were invited to participate in this study if they met all of the following inclusion criteria: (1) single-agent pemetrexed therapy, as a second-line, third-line, or subsequent treatment; (2) genotypic screening for EGFR mutation and EML4-ALK fusion; (3) histologically confirmed NSCLC at stage IIIB/IV or relapse; (4) at least one prior systemic chemotherapy, including platinum-based doublet; and (5) adequate bone marrow, renal, and hepatic functions. Ten and three ALK-positive patients were enrolled in phase I (NCT00585195)⁶ and phase II (NCT00932451) trials of crizotinib (PF-02341066), respectively. Patients enrolled in the phase III trial (NCT00932893) were excluded because it was designed to compare the efficacy of PF-02341066 versus pemetrexed or docetaxel. Patients were categorized by their smoking histories. A never-smoker was defined as a patient who had smoked ≤ 100 cigarettes in their lifetime. Former light-smokers were defined as patients who had a history of \leq 10 pack-years of smoking.⁷ This study was approved by the Institutional Review Board of Seoul National University Hospital.

Determination of Molecular Subtypes

Patients were divided to three groups according to molecular subtype: *EGFR* mutation, *ALK* translocation, and WT. *ALK* positivity was defined as split signals \geq 15% by

	No. of Patients (%)							
Characteristic	Total $(n = 95)$	ALK Translocation $(n = 15)$	EGFR Mutation $(n = 43)$	Wild Type $(n = 37)$	р			
Age, yr								
Median	58	52	63	56	0.043			
Range	28-79	34-67	34-79	28-79				
Sex								
Male	44 (46.3)	7 (46.7)	20 (46.5)	17 (45.9)	0.998			
Female	51 (53.7)	8 (53.3)	23 (53.5)	20 (54.1)				
PS								
0-1	91 (95.8)	14 (93.3)	42 (97.7)	35 (94.6)	0.695			
2-3	4 (3.2)	1 (6.7)	1 (2.3)	2 (5.4)				
Smoking								
Never	59 (62.1)	8 (53.3)	28 (65.1)	23 (62.2)	0.099			
Light	7 (7.4)	0	6 (14.0)	1 (2.7)				
Former/current	29 (30.5)	7 (46.7)	9 (20.9)	13 (35.1)				
Histology								
Adenocarcinoma	78 (82.1)	14 (93.3)	37 (86.0)	27 (73.0)	0.282			
Nonadenocarcinoma ^a	17 (17.9)	1 (6.7)	6 (14.0)	10 (27.0)				
Stage								
IA	1 (1.1)	0	1 (2.3)	0	0.408			
IB	8 (8.4)	3 (20.0)	3 (7.0)	2 (5.4)				
IIB	2 (2.1)	0	2 (4.7)	0				
IIIA	6 (6.3)	1 (6.7)	2 (4.7)	3 (8.1)				
IIIB	7 (7.4)	0	2 (4.7)	5 (13.5)				
IV	71 (74.7)	11 (73.3)	33 (76.6)	27 (73.0)				
Pemetrexed								
Second-line	38 (40.0)	6 (40.0)	6 (14.0)	26 (70.3)	< 0.001			
\geq Third-line	57 (60.0)	9 (60.0)	37 (86.0)	11 (29.7)				

^a Nonadenocarcinoma included adenosquamous, squamous, large cell carcinoma, and non-small cell lung cancer, not otherwise specified (NSCLC, NOS). One wild-type patient had squamous cell carcinoma.

ALK, anaplastic lymphoma kinase; EGFR, epidermal growth factor receptor; PS, performance status.

break-apart fluorescent in situ hybridization (FISH).⁶ The *EGFR* mutant group harbored an activating mutation on exon 19 or 21. WT patients were neither *EGFR* mutant nor *ALK* positive. *EGFR* mutations on exons 18, 19, 20, and 21 were determined by direct DNA sequencing.¹⁸

Dual-probe hybridization for *ALK* was performed using the LSI *ALK* break-apart probe set (Vysis, Downers Grove, IL). The probe mixture was applied to the slides, which were then incubated in a humidified atmosphere with HYBrite (Vysis) at 77°C for 5 minutes to simultaneously denature the probe and target DNA. An additional 16-hour incubation at 37° C was required for hybridization. Next, the slides were immersed in 0.3% NP-40/0.4× SSC for 5 minutes at room temperature, followed by 0.3% NP-40/0.4× SSC for 5 minutes at 72°C. Nuclei were counterstained with DAPI (4',6diamidino-2-phenylindole).

ALK FISH was considered positive when more than 15% of 50 or more analyzed cells showed splitting apart of the fluorescent probes flanking the *ALK* locus. Immunohistochemistry (IHC) by a mouse monoclonal antibody for *ALK* (Novocastra, Clone 5A4, Newcastle upon Tyne, United Kingdom) was performed using a Bond-max automated immunostainer (Leica Microsystems, Milton Keynes, United Kingdom). Various normal and cancer tissue microarray blocks were included as positive and negative controls. *ALK* IHC was considered positive if moderate staining was identified in 10% or more of the tumor cells.¹⁹ The *EGFR* mutation, *ALK* break-apart FISH, and *ALK* IHC were analyzed by experienced pathologists (Y.-K.J., D.H.C., and W.-H.K.).

Pemetrexed Treatment and Response

All patients received pemetrexed alone at a dose of 500 mg/m² every 21 days. Folic acid supplementation (1000 mg) was taken orally daily beginning 1 to 2 weeks before the first dose of pemetrexed and continued until 3 weeks after treatment ended. Dexamethasone (4 mg) was taken twice daily on the day before, the day of, and the day after each dose of pemetrexed. An injection of vitamin B₁₂ (1000 μ g) was given 1 to 2 weeks before the first dose of pemetrexed and was repeated approximately every 9 weeks during treatment. Treatment was continued until disease progression warranted termination, unacceptable toxicity was found, or until the patient or physician decided to discontinue therapy. Tumor response was evaluated every two cycles, or earlier if there were clinical signs of progression, by the Response Evaluation Criteria in Solid Tumors version 1.0.²⁰

In Vitro Cytotoxicity of Pemetrexed

A modified MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide) assay was analyzed using CCK-8 (Dojindo, Rockville, MD). The inhibitory concentration at 50% (IC₅₀) was calculated. *ALK*-positive cells included NCI-H3122, kindly provided by Pasi A. Jänne at the Dana Farber Cancer Institute, and NCI-H2228. *EGFR* mutant cells (PC-9) were kindly provided by Mayumi Ono at Kyushu University. *ALK*-positive cells (NCI-H3122 and NCI-H2228), *EGFR* mutant cells (PC-9), WT cells (NCI-H1666), and control cells (NCI-H157, squamous cell carcinoma) were continuously exposed to pemetrexed at a concentration of 0.001 to 1 μ M for 48 or 96 hours. After 1 hour of incubation at 37° C, the absorbance was measured at 450 nm in a microplate reader. TS mRNA expressions of NSCLC tumor cells were quantified by reverse-transcriptase polymerase chain reaction. All experiments were repeated three times. A two-sided *t* test was used to evaluate group differences.

Statistical Analysis

Analyzed variables include age, sex, performance status, smoking history, histology, stage, pemetrexed treatment line, and molecular subtypes. Pearson's χ^2 and one-way analysis of variance tests were performed to assess differences in clinical and pathological characteristics between the three molecular subgroups. The association between clinical factors and the response rate to pemetrexed was analyzed using Pearson's χ^2 test or Fisher's exact test, as appropriate. TTP was calculated

 TABLE 2.
 Efficacy of Pemetrexed Based on Genotype

	No. of Patients (%)								
Molecular Subtypes	ALK Translocation $(n = 15)$		EGFR Mutation $(n = 43)$		Wild Type $(n = 37)$		р		
Cycle									
Median (range)	9 (1–36)		2 (1–23)		4 (1–33)				
Best response									
PR	7	46.7	2	4.7	6	16.2			
SD	6	40.0	9	20.9	15	40.5			
PD	2	13.3	32	74.4	16	43.2			
ORR	7	46.7	2	4.7	6	16.2	0.001		
DCR (PR + SD)	13	86.7	11	25.6	21	56.8	0.000		
Median TTP, mo (95% CI)	9.2 (4.6	5–13.74)	1.4 (1.	.27–1.52)	2.9 (0.	51–5.28)	0.001		

ALK, anaplastic lymphoma kinase; EGFR, epidermal growth factor receptor; PR, partial response; SD, stable disease; PD, progressive disease; ORR, overall response rate; DCR, disease control rate; CI, confidence interval; TTP, time-to-progression.

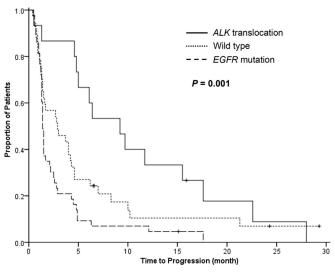


FIGURE 1. Kaplan-Meier plot of time to progression in all patients.

from the first date of pemetrexed therapy to the date of documented progression. Survival curves were plotted by the Kaplan-Meier method.²¹ Differences between groups were compared using the log-rank test. Factors independently associated with TTP and response to pemetrexed were identified by multivariate analysis using the Cox's proportional hazards regression model and binary logistic regression, respectively. Two-sided p values less than 0.05 were considered significant. All analyses were performed using SPSS, version 12.0 (Chicago, IL).

RESULTS

Patients and Molecular Subtypes

Of 142 NSCLC patients who were treated with pemetrexed alone and underwent molecular screening for *EGFR* or *ALK*, 95 met the study inclusion criteria. Patients were segregated into *EGFR* mutant (n = 43), *ALK*-translocated (n = 15), and WT (n = 37) groups. Twenty-five patients had an exon 19 deletion, whereas 18 patients harbored an L858R mutation. Baseline characteristics are summarized in Table 1.

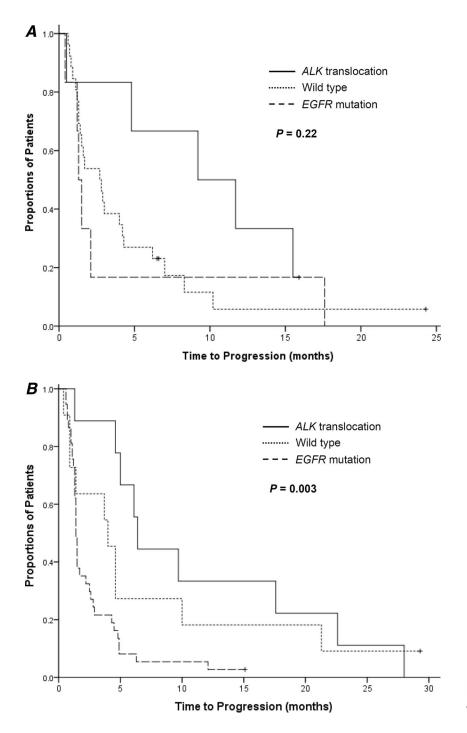


FIGURE 2. Kaplan-Meier plots of time to progression in patients treated with second-line pemetrexed (*A*) and in those treated with third-line pemetrexed and beyond (*B*).

Copyright © 2011 by the International Association for the Study of Lung Cancer

	Age	σo		Smoking		RFS	Pemetrexed				OS ^b		
Pt	(yr)	Sex	Histology	(Pack-Year)	Surgery	(mo)	Linex	Cycle	BR	TTP (mo)	OS ^a (mo)	(mo)	Status
1	52	F	Ad	0			5	24	PR	17.6	39.3	133.9	Alive
2	49	F	Ad	25	Yes	16	2	6	SD	4.8	25.4	46.1	Death
3	45	Μ	Ad^c	20			3	36	PR	28.0	35.2	60.4	Alive
4	54	Μ	Ad	20	Yes	109	5	23	SD	22.6	34.3	263.3	Alive
5	34	Μ	NSCLC, NOS	10			6	13	SD	9.7	33.6	62.9	Alive
6	64	Μ	Ad	0			3	6	SD	6.1	31.3	38.3	Alive
7	67	Μ	Ad	0			2	9	PR	15.5	25.6	34.8	Alive
8	60	F	Ad	0	Yes	17	3	5	SD	5.0	24.7	52.4	Alive
9	48	F	Ad	0			4	6	PR	4.6	22.5	30.6	Death
10	54	Μ	Ad	30			3	2	PD	1.3	10.5	19.6	Death
11	63	Μ	Ad	17			2	22	PR	15.9	17.9	23.3	Alive
12	55	F	Ad	20			2	12	SD	9.2	13.1	61.5	Alive
13	49	F	Ad	0			2	1	PD	0.5	12.9	20.0	Alive
14	51	F	Ad^c	0			2	15	PR	11.7	11.7	17.7	Death
15	43	F	Ad	0	Yes	49	3	9	PR	6.4	7.5	75.8	Alive

TABLE 3.	Summary for	ALK-Positive	NSCLC	Patients
----------	-------------	--------------	-------	----------

^a The time from the first date of pemetrexed treatment to the date of death or the last visit of the patient.

^b The time from diagnosis to the date of death or the last visit of the patient.

^c Adenocarcinoma with signet ring cell component.

Pt, patient; RFS, relapse-free survival; BR, best response; Ad, adenocarcinoma; SRC, signet ring cell; NSCLC NOS, non-small cell lung cancer not otherwise specified; TTP, time to progression.

There were no significant differences between the groups with respect to sex, performance status, smoking history, histology, and stage. *ALK*-positive patients were younger than others (p = 0.043). Regarding treatment line, more patients with WT and *ALK* translocation received pemetrexed as a second-line treatment than those with *EGFR* mutation (p < 0.001). Of 43 *EGFR*-mutant patients, 39 (90.7%) received *EGFR* TKIs before pemetrexed treatment.

Efficacy of Pemetrexed

After a median follow-up of 11.2 months (range, 0.9– 39.3) from the start of pemetrexed treatment, 44 patients were still alive. Five patients continued to receive pemetrexed at the cutoff date for data collection (November 30, 2010). All patients could be evaluated for tumor response and treatment outcomes based on subtypes were described in Table 2. ORR was 15.8%, whereas DCR (partial response [PR] + stable disease [SD]) was 47.4%. Response rates were similar between second-line and \geq third-line pemetrexed groups (ORR, 21.1 versus 12.3%, p = 0.251; and DCR, 52.6 versus 43.9%, p = 0.402, respectively). The median TTP in all patients was 2.2 months (95% confidence interval [CI]: 1.36–3.03).

There was no significant difference in TTP between second-line and \geq third-line pemetrexed groups (2.7 versus 1.7 months; p = 0.618). Patients with *ALK* translocation showed higher response rates than those with *EGFR* mutation or WT (Table 2). In 38 patients treated with second-line pemetrexed, *ALK*-positive patients showed higher response rates, compared with *EGFR* mutated and WT patients (ORR, 50.0 versus 0 versus 19.2%; p = 0.096; and DCR, 83.3 versus 16.7 versus 53.8%; p = 0.067, respectively). For the \geq thirdline pemetrexed group (57 patients), ORR and DCR were significantly higher in *ALK* translocation patients than in *EGFR* mutation and WT patients (ORR, 44.4 versus 5.4 versus 9.1%; p = 0.006; and DCR, 88.9 versus 27.0 versus 63.6%; p = 0.001, respectively). *ALK* positivity was the only significant factor correlated with favorable ORR in univariate (p < 0.001) and multivariate analyses (hazard ratio [HR] = 0.07, 95% CI: 0.01–0.32; p = 0.001).

ALK-translocated patients showed superior TTP compared with EGFR mutant or WT patients (regardless of treatment line, 9.2 versus 1.4 versus 2.9 months, p = 0.001, Figure 1; and third line or beyond, 6.4 versus 1.4 versus 4.0 months, p = 0.003, Figure 2B). Second-line pemetrexed tended to prolong TTP in NSCLC patients with ALK translocation as compared with those with EGFR mutation and WT (9.2 versus 1.3 versus 2.7 months; p = 0.224, Figure 2A). ALK positivity was a significant predictor for TTP, as determined by univariate (p = 0.004) and multivariate analyses (HR 0.44, 95% CI, 0.24–0.80; p = 0.007). ALK positivity remained independently significant in treatment-line stratified multivariate analysis (HR = 0.43, 95% CI: 0.24-0.77; p =0.005). Other clinical and pathologic factors were not predictive of TTP (p > 0.05). Treatment outcomes of pemetrexed in ALK-translocated NSCLC are detailed in Table 3. At the time of data cutoff, 11 patients were alive and the median overall survival had not been reached.

In Vitro Cytotoxicity of Pemetrexed

Although the IC₅₀ values (mean \pm SD) were significantly lower in *ALK*-positive cells (H3122, 68.7 \pm 21.1 nM; H2228, 33.0 \pm 10.7 nM) than in control cells (H157, >1 μ M), there were no statistical differences between *ALK*-positive cells and WT cells (H1666, 50.9 \pm 30.2; Figure 3*A*). The TS/GAPDH mRNA ratio of *ALK*-positive cells (H3122, 0.13 \pm 0.10; H2228, 0.48 \pm 0.08) was significantly lower

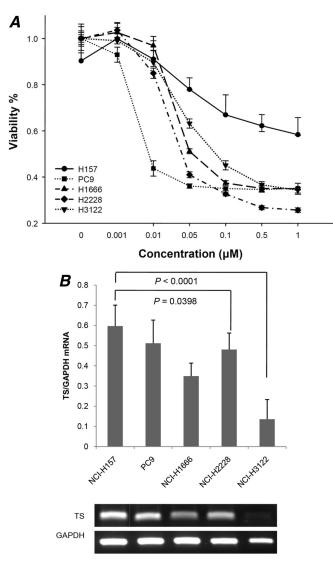


FIGURE 3. In vitro cytotoxicity of pemetrexed (*A*) and thymidylate synthase mRNA level (*B*) in non-small cell lung cancer cells.

compared with that of control cells (H157, 0.60 ± 0.10 ; Figure 3*B*). However, the TS/GAPDH mRNA ratio was similar between H2228 and *ALK*-negative cells (PC9, 0.51 ± 0.11 ; H1666, 0.35 ± 0.06).

DISCUSSION

Our study demonstrates that pemetrexed treatment produced significantly better outcomes in *ALK*-translocated NSCLC patients than in *EGFR* mutant or WT patients. DCRs, as well as overall response rates, were excellent in *ALK*positive patients (86.7% of DCR and 46.7% of ORR). In addition, median TTP was nearly sixfold higher in *ALK*positive NSCLC than in *ALK*-negative patients. *ALK* positivity alone was an independent predictor for the efficacy of pemetrexed treatment.

EGFR TKIs were ineffective in treating *ALK*-rearranged NSCLC.⁷ However, pemetrexed significantly delayed

TTP in *ALK*-positive patients compared with *ALK*-negative patients. Considering that the median progression-free survival times of NSCLC is 2.9 months when pemetrexed is used as a second-line therapy¹³ and 3.0 months as a third-line treatment,¹⁵ it is encouraging that *ALK*-positive patients remained progression-free at 9.2 months with second-line pemetrexed treatment and 6.4 months for third- or greater-line treatment in this study. Furthermore, the response rate to pemetrexed in *ALK*-positive NSCLC was more than 45%, which is superior to the ORR of 9.1 to 12.1% in the literature.^{13,15} Disease was controlled in approximately 90% of *ALK*-positive patients after pemetrexed, regardless of treatment line.

In addition, two of four patients relapsed at 49 and 109 months after surgery and survived for more than 6 and 21 years, respectively. This is comparable with published reports of disease-free intervals of 73 months²² and 20 years²³ in *ALK*-positive Japanese patients. This indicates an indolent clinical course. Less differentiated tumor grade and a low frequency of p53 mutations might reflect favorable prognosis in *EML4-ALK* translocated NSCLC.²⁴

Because cytotoxicities of pemetrexed are similar in lung adenocarcinoma cells regardless of *ALK* positivity, an indolent clinical course rather than a direct cytotoxic effect of pemetrexed may be the mechanism by which pemetrexed prolongs survival time in *ALK*-positive NSCLC.

Recently, a heat-shock protein 90 (HSP90) inhibitor was found to suppress *ALK*-translocated NSCLC, both preclinically²⁵ and in an early-phase clinical trial.²⁶ The HSP90 inhibitor reduced *EML4-ALK*-driven tumor by disrupting phospho (p)-AKT and p-ERK 1/2 signals.²⁵

Although the effect of pemetrexed on HSP90 is unknown, pemetrexed significantly downregulated p-AKT in NSCLC cells.²⁷ Therefore, pemetrexed may work on *ALK*rearranged NSCLC cells by suppressing a downstream signal, p-AKT, shared by *ALK*. However, the exact mechanism of pemetrexed on *ALK*-translocated NSCLC cells is unknown. In addition, the role of first-line or maintenance pemetrexed should be resolved, even though pemetrexed plus cisplatin significantly prolonged survival in *ALK*-positive NSCLC patients.¹⁷ Efficacy of pemetrexed in *ALK*-positive NSCLC will be shown as a second-line treatment (NCT00932893)²⁸ and first-line combination chemotherapy (NCT01154140).²⁹

High TS expression has been considered as a resistance mechanism in NSCLC^{30–32} and may be a predictive biomarker of pemetrexed sensitivity. TS mRNA levels were relatively low in *ALK*-positive cells in our study. However, it is difficult to conclude the mechanism of pemetrexed sensitivity based on TS mRNA levels because of the lack of TS evaluation in tumor tissue. However, *ALK* positivity can be a predictive biomarker for pemetrexed sensitivity in NSCLC in nonsquamous histology. Reversely, pemetrexed effectiveness can be used as one of selection criteria for *ALK* screening in lung cancer. Retrospective analysis of response to chemotherapy and prospective tracking of a small number of *ALK*positive patients may weaken the association between pemetrexed sensitivity and *ALK* positivity in NSCLC. However,

it is worthwhile to elucidate the efficacy of pemetrexed based on the molecular subtypes.

In conclusion, pemetrexed significantly delayed TTP and showed excellent antitumor effects in patients with *ALK*translocated NSCLC. *ALK* positivity could be a predictive biomarker for pemetrexed efficacy in patients with NSCLC. Nevertheless, caution is warranted in interpreting these findings, because of the unbalanced number of patients treated with pemetrexed in the *EGFR* mutant group. This can be attributed to the second-line use of *EGFR* TKIs in most cases. Future studies should focus on determining the mechanism of pemetrexed action on *ALK*-positive NSCLC. Moreover, the efficacy of pemetrexed in *ALK*-positive patients needs to be further investigated using prospective clinical trials.

ACKNOWLEGMENTS

Supported by grants from the Korea Health 21 R&D Project, Ministry of Health and Welfare, Republic of Korea (0412-CR01-0704-0001), and the Innovative Research Institute for Cell Therapy, Republic of Korea (A0622660).

The authors thank Su Jung Huh for assistance of in vitro experiments. They also thank the members of Seoul National University Hospital Lung Study Group for assistance with this study.

REFERENCES

- Ding L, Getz G, Wheeler DA, et al. Somatic mutations affect key pathways in lung adenocarcinoma. *Nature* 2008;455:1069–1075.
- Sun Y, Ren Y, Fang Z, et al. Lung adenocarcinoma from East Asian never-smokers is a disease largely defined by targetable oncogenic mutant kinases. J Clin Oncol 2010;28:4616–4620.
- Mok TS, Wu YL, Thongprasert S, et al. Gefitinib or carboplatinpaclitaxel in pulmonary adenocarcinoma. N Engl J Med 2009;361:947– 957.
- Maemondo M, Inoue A, Kobayashi K, et al. Gefitinib or chemotherapy for non-small-cell lung cancer with mutated EGFR. N Engl J Med 2010;362:2380–2388.
- Soda M, Choi YL, Enomoto M, et al. Identification of the transforming EML4-ALK fusion gene in non-small-cell lung cancer. *Nature* 2007; 448:561–566.
- Kwak EL, Bang YJ, Camidge DR, et al. Anaplastic lymphoma kinase inhibition in non-small-cell lung cancer. N Engl J Med 2010;363:1693– 1703.
- Shaw AT, Yeap BY, Mino-Kenudson M, et al. Clinical features and outcome of patients with non-small-cell lung cancer who harbor EML4-ALK. J Clin Oncol 2009;27:4247–4253.
- Inamura K, Takeuchi K, Togashi Y, et al. EML4-ALK fusion is linked to histological characteristics in a subset of lung cancers. *J Thorac Oncol* 2008;3:13–17.
- Wong DW, Leung EL, So KK, et al. The EML4-ALK fusion gene is involved in various histologic types of lung cancers from nonsmokers with wild-type EGFR and KRAS. *Cancer* 2009;115:1723–1733.
- Rodig SJ, Mino-Kenudson M, Dacic S, et al. Unique clinicopathologic features characterize ALK-rearranged lung adenocarcinoma in the western population. *Clin Cancer Res* 2009;15:5216–5223.
- Scagliotti GV, Parikh P, von Pawel J, et al. Phase III study comparing cisplatin plus gemcitabine with cisplatin plus pemetrexed in chemotherapy-naive patients with advanced-stage non-small-cell lung cancer. *J Clin Oncol* 2008;26:3543–3551.
- 12. Grønberg BH, Bremnes RM, Fløtten O, et al. Phase III study by the Norwegian lung cancer study group: pemetrexed plus carboplatin compared with gemcitabine plus carboplatin as first-line chemotherapy in advanced non-small-cell lung cancer. J Clin Oncol 2009;27:3217–3224.
- Hanna N, Shepherd FA, Fossella FV, et al. Randomized phase III trial of pemetrexed versus docetaxel in patients with non-small-cell lung cancer

previously treated with chemotherapy. J Clin Oncol 2004;22:1589-1597.

- Ciuleanu T, Brodowicz T, Zielinski C, et al. Maintenance pemetrexed plus best supportive care versus placebo plus best supportive care for non-small-cell lung cancer: a randomised, double-blind, phase 3 study. *Lancet* 2009;374:1432–1440.
- Sun JM, Lee KW, Kim JH, et al. Efficacy and toxicity of pemetrexed as a third-line treatment for non-small cell lung cancer. *Jpn J Clin Oncol* 2009;39:27–32.
- Chang MH, Ahn JS, Lee J, et al. The efficacy of pemetrexed as a thirdor fourth-line therapy and the significance of thymidylate synthase expression in patients with advanced non-small cell lung cancer. *Lung Cancer* 2010;69:323–329.
- Altavilla G, Santarpia M, Arrigo C, et al. EML4-ALK fusion gene in lung adenocarcinoma: a retrospective analysis of the outcome of cisplatin plus pemetrexed treated patients. *J Clin Oncol* 2010;28(15s, Suppl): abstr 7610.
- Kim YT, Kim TY, Lee DS, et al. Molecular changes of epidermal growth factor receptor (EGFR) and KRAS and their impact on the clinical outcomes in surgically resected adenocarcinoma of the lung. *Lung Cancer* 2008;59:111–118.
- Koh Y, Kim DW, Kim TM, et al. Clinicopathologic characteristics and outcomes of patients with anaplastic lymphoma kinase-positive advanced pulmonary adenocarcinoma: suggestion for an effective screening strategy for these tumors. *J Thorac Oncol* 2011;6:905–912.
- Therasse P, Arbuck SG, Eisenhauer EA, et al. New guidelines to evaluate the response to treatment in solid tumors. European Organization for Research and Treatment of Cancer, National Cancer Institute of the United States, National Cancer Institute of Canada. J Natl Cancer Inst 2000;92:205–216.
- Kaplan EL, Meier P. Nonparametric estimation from incomplete observation. J Am Stat Assoc 1958;53:457–481.
- Takahashi T, Sonobe M, Kobayashi M, et al. Clinicopathologic features of non-small-cell lung cancer with EML4-ALK fusion gene. *Ann Surg Oncol* 2010;17:889–897.
- Murakami S, Yokose T, Saito H, et al. Recurrent EML4-ALK-associated lung adenocarcinoma with a slow clinical course. *Lung Cancer* 2010; 69:361–364.
- Inamura K, Takeuchi K, Togashi Y, et al. EML4-ALK lung cancers are characterized by rare other mutations, a TTF-1 cell lineage, an acinar histology, and young onset. *Mod Pathol* 2009;22:508–515.
- Chen Z, Sasaki T, Tan X, et al. Inhibition of ALK, PI3K/MEK, and HSP90 in murine lung adenocarcinoma induced by EML4-ALK fusion oncogene. *Cancer Res* 2010;70:9827–9836.
- Sequist LV, Gettinger S, Senzer NN, et al. Activity of IPI-504, a novel heat-shock protein 90 inhibitor, in patients with molecularly defined non-small-cell lung cancer. J Clin Oncol 2010;28:4953–4960.
- Tekle C, Giovannetti E, Sigmond J, et al. Molecular pathways involved in the synergistic interaction of the PKC beta inhibitor enzastaurin with the antifolate pemetrexed in non-small cell lung cancer cells. *Br J Cancer* 2008;99:750–759.
- 28. An investigational drug, PF-02341066 is being studied versus standard of care in patients with advanced non-small cell lung cancer with a specific gene profile involving the anaplastic lymphoma kinase (ALK) gene, NCT00932893. Available at: http://clinicaltrial.gov/ct2/show/ NCT00932893?term=NCT00932893&rank=1. Accessed April 3, 2011.
- 29. A clinical trial testing the efficacy of crizotinib versus standard chemotherapy pemetrexed plus cisplatin or carboplatin in patients with ALK positive non squamous cancer of the lung (PROFILE 1014), NCT01154140. Available at: http://clinicaltrial.gov/ct2/show/ NCT01154140?term=NCT01154140&rank=1. Accessed April 3, 2011.
- Ozasa H, Oguri T, Uemura T, et al. Significance of thymidylate synthase for resistance to pemetrexed in lung cancer. *Cancer Sci* 2010;101:161– 166.
- Nakagawa T, Otake Y, Yanagihara K, et al. Expression of thymidylate synthase is correlated with proliferative activity in non-small cell lung cancer (NSCLC). *Lung Cancer* 2004;43:145–149.
- Hashimoto H, Ozeki Y, Sato M, et al. Significance of thymidylate synthase gene expression level in patients with adenocarcinoma of the lung. *Cancer* 2006;106:1595–1601.