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Approximation with Sums of Exponentials in $L_p [0, \infty)^*$

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We consider the problem of approximating a given f from $L_p[0, \infty)$ by means of the family $V_n(S)$ of exponential sums; $V_n(S)$ denotes the set of all possible solutions of all possible n th order linear homogeneous differential equations with constant coefficients for which the roots of the corresponding characteristic polynomials all lie in the set S . We establish the existence of best approximations, show that the distance from a given f to $V_n(S)$ decreases to zero as n becomes infinite, and characterize such best approximations with a first-order necessary condition. In so doing we extend previously known results that apply in $L_p[0, 1]$.

1. INTRODUCTION

Given $\mathbf{b} = (b_1, \dots, b_n)$ and $\mathbf{c} = (c_1, \dots, c_n)$ from C^n (or from R^n if we choose to work with real valued functions), we define $Y_n(\mathbf{b}, \mathbf{c}, t)$ to be the solution of the initial value problem

$$[D^n + c_1 D^{n-1} + \dots + c_{n-1} D + c_n] y(t) = 0, \quad t \geq 0 \quad (1)$$

$$D^{j-1} y(0) = b_j, \quad j = 1, 2, \dots, n, \quad (2)$$

where $D = d/dt$ is the differential operator. A function y that satisfies (1) but does not satisfy any such equation of lower order will be called an exponential sum with order n . We let $P_n[\mathbf{c}, \lambda]$ denote the characteristic polynomial of the differential operator of (1) and let

$$A_n[\mathbf{c}] = \{\lambda \in C: P_n[\mathbf{c}, \lambda] = 0\} \quad (3)$$

denote the corresponding spectral set. Given a set $S \subseteq C$, we form the collection $V_n(S)$ of all possible exponential sums $Y_n(\mathbf{b}, \mathbf{c}, -)$ with order at

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most n for which $A_n[\mathbf{c}] \subseteq S$, $n = 1, 2, \dots$, with $V_0(S)$ defined to be the set whose only element is the zero function and with

$$V_\infty(S) = \bigcup_{n=1}^{\infty} V_n(S)$$

defined to be the collection of all possible exponential sums having spectra contained in S .

We define the space $L_p[0, \infty)$ with the associated norm $\| \cdot \|_p$, in the usual manner for $1 \leq p \leq \infty$ and let $C_0[0, \infty)$ denote the space of continuous functions that vanish at ∞ with the uniform norm $\| \cdot \|_\infty$. From the usual representation theorem for the solutions of (1) (e.g., as given in [3, p. 80]) we see that $V_\infty(S)$ is a proper subset of each of the spaces $C_0[0, \infty)$ and $L_p[0, \infty)$, $1 \leq p \leq \infty$, if and only if S is a subset of the open left half plane

$$L_0 = \{z \in C: \operatorname{Re} z < 0\} \quad (4)$$

so that L_0 forms a natural universal spectral set for these spaces. In addition to $V_\infty(L_0)$, the space $L_\infty[0, \infty)$ also contains those exponential sums y from $V_\infty(\bar{L}_0)$ (where \bar{L}_0 is the closure of L_0) that satisfy some equation of the form (1) having a characteristic polynomial with no repeated roots along the imaginary axis $\bar{L}_0 \setminus L_0$.

Our problem may now be stated as follows. Given $n = 1, 2, \dots$, $S \subseteq C$, $1 \leq p \leq \infty$, and $f \in L_p[0, \infty)$, we would like to find a best $\| \cdot \|_p$ -approximation to f from $V_n(S)$, i.e., we would like to find some $y_0 \in V_n(S)$ such that

$$\|f - y_0\|_p = \inf\{\|f - y\|_p : y \in V_n(S)\}. \quad (5)$$

The related problem of approximation on a finite interval instead of on a semiinfinite interval stems from the work of Rice [19], and has been studied in some detail, cf. [4, 5-7, 11, 12, 22]. The above also represents a generalization of the problem of best least squares time domain approximation (corresponding to the special case where $p = 2$ and $S = L_0$), which stems from the work of Aigrain and Williams [1] and which is of interest and importance in the field of circuit analysis, cf. [2, 10, 16], and the references cited therein.

We shall infer the existence of good approximations to a given f by showing that $V_\infty(S)$ is a dense subset of $C_0[0, \infty)$ (and thus, of $L_p[0, \infty)$, $1 \leq p < \infty$) when S is any nonvoid subset of L_0 , and under suitable smoothness and rate of decay hypotheses on f bound the rate at which the distance from f to $V_n(L_0)$ decays to zero as n becomes infinite. For fixed n we shall establish the existence of a best approximation to f from $V_n(S)$ when S satisfies a mild closure hypothesis. We shall characterize such a best approximation with

a first-order necessary condition. Finally, we shall show that, in principle, a best approximation to f on $[0, \infty)$ can be obtained from a sequence $\{y_\nu\}$ that is so constructed that y_ν is a best approximation to f on the finite sub-interval $[0, \sigma_\nu]$, $\nu = 1, 2, \dots$ where $\{\sigma_\nu\}$ is an unbounded sequence of positive real numbers.

2. EXISTENCE OF GOOD APPROXIMATIONS

Before proving a Weirstrass type density theorem we prepare two lemmas.

LEMMA 1. *Let $1 \leq p \leq \infty$, and for $m = 0, 1, 2, \dots$ let*

$$e_m(t) = t^m e^{-t}, \quad t \geq 0. \tag{6}$$

Then $\|e_m\|_p \leq m!$.

Proof. Using the Binet formula for the gamma function [21, p. 249] it can be shown that

$$\Gamma(1 + s) = [2\pi s]^{1/2} s^s e^{-s + \varphi(s)}, \quad s > 0,$$

where $\varphi(s)$ is a positive nonincreasing continuous function of s for $s > 0$. Thus, for $1 \leq p < \infty$ and $m = 1, 2, \dots$ we have

$$\begin{aligned} [\|e_m\|_p/m!]^p &= [m!]^{-p} \int_0^\infty t^{mp} e^{-pt} dt \\ &= [\Gamma(1 + m)]^{-p} p^{-1-mp} \Gamma(1 + mp) \\ &= p^{-1/2} \cdot [2\pi m]^{1/2-p/2} \cdot e^{\varphi(mp) - p\varphi(m)} \\ &\leq 1, \end{aligned}$$

so that the lemma holds for these values of m, p . Separate arguments show that it also holds when $p = \infty$ or $m = 0$. ■

LEMMA 2. *Let $\lambda, \delta \in C$, let $\alpha = -\text{Re } \lambda$, and assume that $\alpha > 0$, $\text{Re } \delta \leq 0$, and $|\delta| < \alpha$. Let m be a fixed nonnegative integer, and let*

$$\begin{aligned} y_n(t) &= t^m e^{\lambda t} \cdot \sum_{k=0}^{n-1} (\delta t)^k / k!, \quad n = 1, 2, \dots \\ y(t) &= t^m e^{\lambda t} \cdot e^{\delta t}. \end{aligned}$$

Then $\{y_n\} \|_p$ -converges to y , $1 \leq p \leq \infty$.

Proof. Using Taylor's formula we have

$$y(t) - y_n(t) = t^m e^{\lambda t} (\delta t)^n \int_0^1 [(1 - \sigma)^{n-1} / (n - 1)!] e^{\delta t \sigma} d\sigma,$$

and since $\text{Re } \delta = -\alpha$, this yields the pointwise bound

$$|y(t) - y_n(t)| \leq t^m e^{-\alpha t} |\delta t|^n / n! = \alpha^{-m} |\delta / \alpha|^n e_{n+m}(\alpha t) / n!,$$

where e_{n+m} is again as in (6). In conjunction with the norm bound of Lemma 1, this implies that $\|y - y_n\|_p \rightarrow 0$ as $n \rightarrow \infty$, provided that $|\delta / \alpha| < 1$. ■

THEOREM 1. *Let S be a nonvoid subset of the open left half plane L_0 . Then $V_\infty(S)$ is dense in each of the spaces $L_p[0, \infty)$, $1 \leq p < \infty$, and $C_0[0, \infty)$.*

Proof. Let λ be chosen from S so that $\alpha = -\text{Re } \lambda$ is positive, and let $f \in L_p[0, \infty)$ be given with $f \in C_0[0, \infty)$ if $p = \infty$. We shall show that we may $\|\cdot\|_p$ -approximate f as closely as we please with the exponential sums from $V_\infty(\{\lambda\})$. We define the transform

$$F(s) = (\alpha s)^{-1/p} f(-\alpha^{-1} \log s), \quad 0 < s \leq 1 \tag{7}$$

of f so that $F \in L_p[0, 1]$ with

$$\|F\|_p = \|f\|_p, \tag{8}$$

where $\|\cdot\|_p$ denotes the norm in $L_p[0, 1]$. The function F can be $\|\cdot\|_p$ -approximated as closely as we please by some function $G \in C[0, 1]$ for which $G(0) = 0$ (even when $p = \infty$, in which case F itself can be continuously extended to $[0, 1]$ by setting $F(0) = 0$.) Using the Müntz-Szász theorem [8, p. 197] we see that such a function G , and therefore, F can be $\|\cdot\|_p$ -approximated as closely as we please by using a function of the form

$$H(s) = (\alpha s)^{-1/p} Q(s), \tag{9}$$

where Q is a polynomial with $Q(0) = 0$. In view of the norm preserving property (8) of the transformation (7) it follows that we may $\|\cdot\|_p$ -approximate f as closely as we please by using an exponential sum of the form

$$h(t) = Q(e^{-\alpha t}) \tag{10}$$

(which transforms into (9)).

To complete the proof we must show that we may $\|\cdot\|_p$ approximate any such function (10) as closely as we please with an exponential sum from

$V_\infty(\{\lambda\})$, and since $V_\infty(\{\lambda\})$ is a linear space, it is sufficient to show that this may be done for every simple exponential

$$h(t) = e^{\lambda_0 t}, \quad t \geq 0, \tag{11}$$

for which $\lambda_0 \leq -\alpha$. When λ_0 is so close to λ that $|\lambda_0 - \lambda| < \alpha$, this is an immediate consequence of Lemma 2. When this is not the case, we define

$$\delta = (\lambda_0 - \lambda)/m,$$

where the positive integer m is chosen so large that $|\delta| < \alpha$, and set

$$\lambda_k = [k\lambda + (m - k)\lambda_0]/m, \quad k = 0, 1, \dots, m.$$

This being the case, $|\delta| < |\operatorname{Re} \lambda_k|$ for $k = 0, 1, \dots, m$, and by using Lemma 2 we see that each element of $V_\infty(\{\lambda_{k-1}\})$ can be $\|\cdot\|_p$ -approximated as closely as we please with elements of $V_\infty(\{\lambda_k\})$, $k = 1, 2, \dots, m$. It follows that the function $h \in V_\infty(\{\lambda_0\})$ can be $\|\cdot\|_p$ -approximated as closely as we please by using elements of $V_\infty(\{\lambda_m\}) = V_\infty(\{\lambda\})$ so that the proof is complete. ■

By suitably modifying the admissible polynomials Q allowed in (9) and (10) we obtain the following corollary (which for the case $p = 2$ may be found in [20]).

COROLLARY. *Let $0 < \lambda_1 < \lambda_2 < \dots$ and assume that $\sum_{\nu=1}^\infty 1/\lambda_\nu$ diverges. Then the set of exponential sums that may be written as finite linear combinations of the functions $e^{-\lambda_\nu t}$, $\nu = 1, 2, \dots$, is dense in each of the spaces $L_p[0, \infty)$, $1 \leq p < \infty$, and $C_0[0, \infty)$.*

Note. When $p = 2$, a variety of special identities (e.g., Parseval's identity) may be exploited in showing that any function of the form (11) lies in the closure of $V_\infty(\{\lambda\})$, cf. [9, p. 95–96] or [18, p. 154–155]. Indeed if h is given by (11) and we use

$$\varphi_k(t) = t^{k-1}e^{\lambda t}, \quad k = 1, \dots, n,$$

as a basis for $V_n(\{\lambda\})$, then Gram's lemma [8, p. 194] shows that the $\|\cdot\|_2$ -distance from h to $V_n(\{\lambda\})$ is given by

$$d_2[h, V_n(\{\lambda\})] = [G(\varphi_1, \dots, \varphi_n, h)/G(\varphi_1, \dots, \varphi_n)]^{1/2},$$

where G denotes the Gramian of its arguments. Arguments analogous to those customarily used in the proof of the Müntz–Szász theorem (cf. [8, p. 195–196]) then can be used to simplify this expression with the final result being

$$d_2[h, V_n(\{\lambda\})] = |2 \operatorname{Re} \lambda|^{-1/2} \cdot |(\lambda - \lambda_0)/\lambda + \lambda_0|^n. \tag{12}$$

In addition to forming a basis for yet another proof of Theorem 1 in the special case where $p = 2$, (12) provides a convincing illustration of the bad conditioning that is inherent in the exponential sum approximation problem when n is large (e.g., in view of (12) a term e^{-t} in an exponential sum y can be replaced by a suitable element from $V_n(\{-2^i\})$ without changing the $\| \cdot \|_2$ -norm of y by more than $3^{-n}/2$). ■

Application. As an interesting application of Theorem 1 we shall infer the existence of a solution the following circuit synthesis problem. Suppose we are given an arbitrary function $f(t)$, $t \geq 0$, (which is taken from $L_p[0, \infty)$ if $1 \leq p < \infty$ and from $C_0[0, \infty)$ if $p = \infty$), and a very unusual “kit” consisting of infinitely many identical resistors R, R, \dots ; capacitors C, C, \dots ; dry cells V, V, \dots ; and a single switch. The problem is to use these elementary components to build a circuit having a voltage transient response $V(t)$, $t \geq 0$, which $\| \cdot \|_p$ -approximates f to within some prescribed tolerance $\epsilon > 0$. To see how our problem might be solved, we first examine the circuit of Fig. 1, which has the voltage transient response

$$V(t) = \frac{R_1 V_0}{R_0 + R_1 + R_2} e^{-(t/R_1 C_1)} + \frac{R_2 V_0}{R_0 + R_1 + R_2} e^{-(t/R_2 C_2)} - \frac{R_1' V_0}{R_0' + R_1' + R_2'} e^{-(t/R_1' C_1')} - \frac{R_2' V_0}{R_0' + R_1' + R_2'} e^{-(t/R_2' C_2')}, \quad (13)$$

cf. [15, p. 30–34]. By connecting our cells in series, we can arrange for V_0 to be any integral multiple of the basic cell potential and we can arrange for the R_i, R_i' and C_i, C_i' to take arbitrary positive rational multiples of R and C , respectively, by using suitable series and parallel connections of the given resistors and capacitors. Analogous considerations hold when the circuit of Fig. 1 is extended by the insertion of additional R, C and R_i' ,

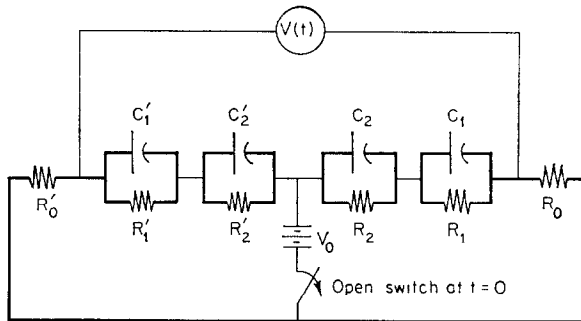


FIG. 1. A circuit for which the voltage transient response $V(t)$ is the exponential sum (13).

C_i' loops, and thus, we see that we can use our kit to build a circuit having any voltage transient response of the form

$$V(t) = A_1 e^{-\alpha_1 t} + \dots + A_n e^{-\alpha_n t},$$

where each A_i is a rational multiple of V and each α_i is a positive rational multiple of $(RC)^{-1}$. Since the set of all such exponential sums is dense in $L_p[0, \infty)$, $1 \leq p < \infty$, and in $C_0[0, \infty)$, it is clear that an appropriate circuit can be constructed from the elements of the given kit. ■

Theorem 1 provides no measure of the rate at which the $\| \cdot \|_p$ -distance $d_p[f, V_n(S)]$ from f to $V_n(S)$ approaches zero as n becomes infinite. By imposing suitable smoothness and rate-of-decay conditions on f , we obtain the following "Jackson" and "Bernstein" type estimates.

THEOREM 2. *Let m be a positive integer, let $f \in C^m[0, \infty)$, and assume that f is expressible in the form*

$$f(t) = e^{-\alpha t} \varphi(e^{-\alpha t}), \quad t \geq 0, \tag{14}$$

where $\alpha > 0$ and $\varphi \in C^m[0, 1]$. Then there is some constant C (depending only on f and m) such that

$$d_p[f, V_n(L_0)] \leq C \cdot n^{-m}, \quad 1 \leq p \leq \infty, n = 1, 2, \dots \tag{15}$$

Moreover, if the function $\varphi(s)$ in (14) can be chosen to be analytic for $0 \leq s \leq 1$, then

$$d_p[f, V_n(L_0)] \leq A \cdot q^n, \quad 1 \leq p \leq \infty, n = 1, 2, \dots, \tag{16}$$

where $A > 0$ and $0 < q < 1$ are suitable constants (depending only on f and m).

Proof. For $1 \leq p \leq \infty$ let

$$\begin{aligned} F_p(s) &= 0, & \text{if } s = 0 \\ &= (\alpha s)^{-1/p} \cdot s \cdot \varphi(s), & \text{if } 0 < s \leq 1, \end{aligned} \tag{17}$$

so that F_p is the transform (7) of f . For $n = 1, 2, \dots$, let Q_n be the unique polynomial of degree $n - 1$ or less that best approximates φ in the uniform norm, $\| \cdot \|_\infty$, on $[0, 1]$, and let

$$g_n(t) = e^{-\alpha t} Q_n(e^{-\alpha t}), \quad t \geq 0,$$

so that g_n is an exponential sum from $V_n(L_0)$ with the corresponding transform (7) given by

$$G_{n,p}(s) = (\alpha s)^{-1/p} \cdot s \cdot Q_n(s). \tag{18}$$

In view of the norm preserving property (8) of the transformation (7) and the identities (17) and (18) we see that

$$\begin{aligned} \|f - g_n\|_p &= \|F_p - G_{n,p}\|_p \\ &\leq \|F_p - G_{n,p}\|_\infty \\ &\leq \alpha^{-1/p} \|\varphi - Q_n\|_\infty \\ &\leq (1 + \alpha^{-1}) \|\varphi - Q_n\|_\infty. \end{aligned} \tag{19}$$

By using (19) in conjunction with Jackson's theorem [17, p. 89] and Bernstein's theorem [17, p. 183], we obtain the asymptotic estimates (15) and (16) in the respective cases where $\varphi \in C^m[0, 1]$ and where φ is analytic on $[0, 1]$. ■

Note. In the formulation and proof of the above theorem the spectral set L_0 could be replaced by the set of negative real numbers. More generally, L_0 could be replaced by the left ray $R_\alpha = \{\theta\alpha; \theta > 0\}$ with $\alpha \in L_0$ being used in the hypothesis (14). ■

Note. The hypothesis: f is expressible in the form (14), or equivalently, that for a suitable choice of $\alpha > 0$ the function

$$\varphi(s) = f(-\alpha^{-1} \log s)/s, \quad 0 < s \leq 1, \tag{20}$$

can be extended to a function $\varphi \in C^m[0, 1]$, may be replaced by the somewhat simpler hypothesis: f and its first m derivatives decay so rapidly that for some $\beta > 0$

$$f^{(k)}(t) = o(e^{-\beta t}), \quad k = 0, 1, \dots, m \tag{21}$$

as $t \rightarrow \infty$. From (21) it follows that the function φ of (20) lies in $C^m[0, 1]$ with $\varphi(0) = \varphi'(0) = \dots = \varphi^{(m)}(0) = 0$. ■

3. EXISTENCE OF BEST APPROXIMATIONS

We will find it convenient to relate the exponential sum approximation problem in $L_p[0, \infty)$ to that in $L_p[0, \sigma]$ when $\sigma > 0$ is large (but finite). In so doing we make use of the seminorm $\|\cdot\|_{p,\sigma}$, which we define on $L_p[0, \infty)$ in such a manner that

$$\|f\|_{p,\sigma} = \|f\chi_\sigma\|_p \tag{22}$$

where

$$\begin{aligned} \chi_\sigma(t) &= 1, & \text{if } 0 \leq t \leq \sigma \\ &= 0, & \text{if } t > \sigma, \end{aligned}$$

is the characteristic function of $[0, \sigma]$. In proving our basic existence theorem we shall need the following result (cf. [4, p. 164]), which is given in [11, Theorem 1 and Lemma 2].

LEMMA 3. *Let $1 \leq p \leq \infty$, let $0 < \sigma < \infty$, and let $\{y_\nu\}$ be any $\|\cdot\|_{p,\sigma}$ -bounded sequence of exponential sums from $V_n(C)$. Then there is a compact set $K \subset C$ and a decomposition*

$$y_\nu = v_\nu + x_\nu, \quad \nu = 1, 2, \dots, \tag{23}$$

of a suitable subsequence of $\{y_\nu\}$ (which we continue to denote by $\{y_\nu\}$) such that:

- (i) $v_\nu \in V_n(K)$ for $\nu = 1, 2, \dots$,
- (ii) $\{v_\nu\}$ $\|\cdot\|_{p,\sigma}$ -converges to some exponential sum $v \in V_n(K)$,
- (iii) only finitely many nonzero terms of the sequence $\{x_\nu\}$ lie in any set $V_{2n}(S)$ when $S \subset C$ is compact, and
- (iv) $\{x_\nu\}$ is ultimately $\|\cdot\|_{p,\sigma}$ -orthogonal to every $f \in L_p[0, \infty)$ in the sense that the inequality

$$\liminf \|f - x_\nu\|_{p,\sigma} \geq \|f\|_{p,\sigma} \tag{24}$$

holds for all such f . ■

Note. In the case where $p < \infty$, it can be shown that Lemma 3 remains valid when $\sigma = \infty$ provided we replace the universal spectral set C with the universal spectral set L_0 (with the proof being based upon the above version of Lemma 3, the corollary to Theorem 3, and Lemma 5.) When $p = \infty$, Lemma 3 has no such extension, e.g., the $\|\cdot\|_\infty$ -bounded sequence

$$y_\nu(t) = e^{-t/\nu} \cos(t/\nu), \quad \nu = 1, 2, \dots$$

from $C_0[0, \infty)$ has a decomposition (23) satisfying conditions (i), (ii), (iii) (with C replaced by L_0) only when $v_\nu = 0$ and $x_\nu = y_\nu$ for all but finitely many values of ν , in which case (24) (with $\sigma = \infty$) fails to hold for the function $f = 2\chi_1$, where χ_1 is the characteristic function of $[0, 1]$. ■

THEOREM 3. *Let $S \subset C$, let $1 \leq p \leq \infty$, let n be a positive integer, and let L denote the open left half plane L_0 if $p < \infty$ and the closed left half plane \bar{L}_0 if $p = \infty$. Then every $f \in L_p[0, \infty)$ has a best $\|\cdot\|_p$ -approximation from $V_n(S)$ if and only if $S \cap L$ is closed in L .*

Proof. Let $f \in L_p[0, \infty)$ be chosen and let the minimizing sequence $\{y_\nu\}$ be selected from $V_n(S)$ in such a manner that

$$\lim \|f - y_\nu\|_p = \inf\{\|f - y\|_p : y \in V_n(S)\}. \tag{25}$$

Such a sequence $\{y_\nu\}$ is $\| \cdot \|_p$ bounded, and therefore, $\| \cdot \|_{p,\sigma}$ bounded whenever $0 < \sigma < \infty$. This being the case we may effect the decomposition (23) of Lemma 3 and after passing to a subsequence, if necessary, assume that $\{v_\nu\}$ $\| \cdot \|_{p,\sigma}$ -converges to some $v \in V_n(K)$, where K is a compact subset of \bar{S} . Together with (24) and (25) this shows that

$$\begin{aligned} \|f - v\|_{p,\sigma} &\leq \liminf \|f - v - x_\nu\|_{p,\sigma} \\ &= \liminf \|f - v_\nu - x_\nu\|_{p,\sigma} \\ &\leq \lim \|f - y_\nu\|_p \\ &= \inf\{\|f - y\|_p : y \in V_n(S)\}, \end{aligned}$$

holds for a fixed positive σ , and since the resulting limit v is independent of σ we have

$$\|f - v\|_p \leq \inf\{\|f - y\|_p : y \in V_n(S)\}. \tag{26}$$

From (26) we see that v is $\| \cdot \|_p$ -bounded so that $v \in V_n(L)$. This being the case, if $S \cap L$ is closed in L we have

$$v \in V_n(\bar{S}) \cap V_n(L) = V_n(\bar{S} \cap L) = V_n(S \cap L) \subseteq V_n(S),$$

which together with (26) shows that v is a best $\| \cdot \|_p$ -approximation to f from $V_n(S)$.

Conversely, suppose that $S \cap L$ is not closed in L so that there is some sequence $\{\lambda_\nu\}$ from $S \cap L$ that converges to a point $\lambda \in (\bar{S} \setminus S) \cap L$. We shall set

$$y_\nu(t) = e^{\lambda_\nu t}, \quad t \geq 0, \quad \nu = 1, 2, \dots \tag{27}$$

and show that $\{y_\nu\}$ is a $\| \cdot \|_p$ -minimizing sequence for some function in $L_p[0, \infty)$ that has no best $\| \cdot \|_p$ -approximation in $V_n(S)$. In the case where $\text{Re } \lambda < 0$, we need only set

$$y_\infty(t) = e^{\lambda t}, \quad t \geq 0 \tag{28}$$

and note that $\lim \|y_\infty - y_\nu\|_p = 0$, while $\|y_\infty - y\|_p > 0$ holds for each $y \in V_n(S)$, i.e., there is no best $\| \cdot \|_p$ -approximation for y_∞ in $V_n(S)$. In the remaining case, where $\text{Re } \lambda = 0$ and $p = \infty$, we set

$$f(t) = e^{\lambda t} \{1 - \text{sgn}[\sin(\pi/t)]\}, \quad t > 0. \tag{29}$$

By construction, $f \in L_\infty[0, \infty)$ and $\|f - y\|_\infty \geq 1$ holds for every function $y \in C[0, \infty)$ with equality only if

$$y(1/m) = e^{\lambda/m}, \quad m = 1, 2, \dots \tag{30}$$

In particular, (30) holds for an exponential sum y only if y is the function y_∞ of (28) (since two entire functions that agree on a bounded infinite point set must be identical) so that $\|f - y\|_\infty > 1$ whenever $y \in V_n(S)$. Finally, using (27) and (29) we see that

$$|f(t) - y_\nu(t)| = |y_\nu(t)| \leq 1, \quad \text{when } t > 1$$

and

$$\begin{aligned} |f(t) - y_\nu(t)| &\leq |f(t) - e^{\lambda t}| + |e^{\lambda t} - e^{\lambda_\nu t}| \\ &\leq 1 + O(|\lambda - \lambda_\nu|), \quad \text{when } 0 < t \leq 1, \end{aligned}$$

so that $\{y_\nu\}$ is a minimizing sequence from $V_n(S)$ with $\lim \|f - y_\nu\|_\infty = 1$, i.e., there is no best $\|\cdot\|_p$ -approximation for f in $V_n(S)$. ■

COROLLARY. *Let p, n, L be as in the theorem, and let K, S be disjoint subsets of L with K being compact and S being closed. Then there is a constant $\delta > 0$ such that the inequality*

$$\|v - x\|_p \geq \delta \|v\|_p \tag{31}$$

holds whenever $v \in V_n(K)$ and $x \in V_n(S)$.

Proof. For each nonzero $v \in V_n(K)$ we determine the largest constant $\delta(v)$ for which (31) holds when x is a best $\|\cdot\|_p$ -approximation to v from $V_n(S)$. By taking the minimum of all such constants, $\delta(v)$, as v ranges over the compact set of $\|\cdot\|_p$ -normalized exponential sums from $V_n(K)$ we obtain the desired constant δ of the corollary. ■

4. A FIRST-ORDER NECESSARY CONDITION FOR A BEST APPROXIMATION

When $y = Y_n(\mathbf{b}, \mathbf{c}, -)$ is a best $\|\cdot\|_p$ -approximation to a given $f \in L_p[0, \infty)$ from $V_n(S)$, the inequality

$$\|f - Y_n(\mathbf{b}, \mathbf{c}, -)\|_p \leq \|f - Y_n(\mathbf{b}', \mathbf{c}', -)\|_p \tag{32}$$

must hold whenever $A_n[\mathbf{c}'] \subset S$. Following the same basic development given in [12] (for the simpler case where the interval of approximation is compact) we combine (32) with an analysis of the first-order effect of the perturbation $\mathbf{b}' - \mathbf{b}, \mathbf{c}' - \mathbf{c}$, and thereby, obtain a necessary condition that serves to characterize a best (or local best) approximation. In so doing we shall first prepare four lemmas.

LEMMA 4. Let $\delta > 0$ and let L_δ be the half plane $\{z \in C: \text{Re } z < -\delta\}$. For each $n = 0, 1, \dots$ there is a constant $M_n(\delta)$ such that the pointwise bound

$$|y(t)| \leq \|y\|_\infty \cdot M_n(\delta) \cdot e^{-\delta t/2}, \quad t \geq 0 \tag{33}$$

holds for every $y \in V_n(L_\delta)$.

Proof. From [13, Theorem 1] we see that there is some constant $\tau_{n\delta} > 0$ such that

$$\|y^*\|_\infty = \max\{|y^*(s)| : 0 \leq s \leq \tau_{n\delta}\} \quad \text{when } y^* \in V_n(L_{\delta/2}).$$

In particular, if we choose $y \in V_n(L_\delta)$ and take $y^*(t) = y(t) e^{\delta t/2}$, this identity gives

$$|y(t) e^{\delta t/2}| \leq \max\{|y(s)| e^{\delta s/2} : 0 \leq s \leq \tau_{n\delta}\} \leq \|y\|_\infty \cdot e^{\delta \tau_{n\delta}/2}, \quad t \geq 0,$$

so that (33) holds with $M_n(\delta) = e^{\delta \tau_{n\delta}/2}$. ■

When $V_n(C)$ is equipped with the $\|\cdot\|_{p,\sigma}$ norm, the mapping $\mathbf{b}, \mathbf{c} \rightarrow Y_n(\mathbf{b}, \mathbf{c}, -)$ is clearly Frechet differentiable, $1 \leq p \leq \infty$, $0 < \sigma < \infty$. Among other things, the next lemma shows that the mapping remains Frechet differentiable even when we set $\sigma = \infty$, provided that the parameters \mathbf{b}, \mathbf{c} remain in some suitably restricted neighborhood of a point $\mathbf{b}_0, \mathbf{c}_0$, for which $A_n[\mathbf{c}_0] \subset L_0$. (The necessity for this restriction is simply illustrated by means of the exponential sum

$$Y_1(\alpha, \alpha, t) = \alpha e^{-\alpha t},$$

which for $\alpha < 0$, $\alpha = 0$, and $\alpha > 0$ has the $\|\cdot\|_1$ -norm $\infty, 0$, and 1 , respectively.)

LEMMA 5. Let K be a compact subset of L_0 . Then $\|\cdot\|_{p,\sigma}$, $1 \leq p \leq \infty$, $1 \leq \sigma \leq \infty$, are uniformly equivalent norms on $V_n(K)$.

Proof. We establish the lemma by inferring the existence of positive constants m, M (depending only on n, K) such that the inequalities

$$m \|v\|_\infty \leq \|v\|_{p,\sigma} \leq M \|v\|_\infty \tag{34}$$

hold whenever $1 \leq p \leq \infty$, $\sigma \geq 1$, and $v \in V_n(K)$. We first choose $\delta \in (0, 2]$ so small that the translated set $K + \delta$ lies in L_0 so that the pointwise bound (33) holds for all $v \in V_n(K)$. It follows that

$$\|v\|_{p,\sigma} \leq (2M_n(\delta)/\delta) \cdot \|v\|_\infty,$$

whenever $1 \leq p \leq \infty$, $\sigma \geq 1$, and $v \in V_n(K)$, so that the right inequality of (34) holds with $M = 2M_n(\delta)/\delta$. Again using (33) we see that

$$\|v\|_\infty = \|v\|_{\infty, \tau},$$

whenever $\tau = 2 \log[M_n(\delta)]/\delta$, so that $\|\cdot\|_{\infty, \tau}$ and $\|\cdot\|_\infty$ are equivalent on $V_n(K)$. From [11, Lemma 1] we also see that $\|\cdot\|_{\infty, \tau}$ and $\|\cdot\|_{1,1}$ are equivalent on $V_n(K)$. It follows that there is some $m > 0$ such that the left inequality of (34) holds for all $v \in V_n(K)$ in the extreme case where $\sigma = 1$ and $p = 1$, and therefore, in the general case where $1 \leq p \leq \infty$ and $\sigma \geq 1$ as well. ■

In the process of characterizing a best $\|\cdot\|_p$ -approximation, we shall make use of the fact that the norm functional in $L_p[0, \infty)$ has a Gateaux variation when $1 < p < \infty$ and a one sided Gateaux variation when $p = 1$ or $p = \infty$, with the explicit representation given in the following lemma.

LEMMA 6. *Let $1 \leq p \leq \infty$ and let $\epsilon, h \in L_p[0, \infty)$. Then*

$$\|\epsilon + \alpha h\|_p = \|\epsilon\|_p + \alpha \cdot \Phi_p[\epsilon, h] + o(\alpha) \tag{35}$$

as α decreases to zero through positive values where

$$\begin{aligned} \Phi_p[\epsilon, h] &= \int_0^\infty T[\epsilon, h; t] dt, \quad \text{if } p = 1 \\ &= \|\epsilon\|_p^{1-p} \int_0^\infty |\epsilon(t)|^{p-1} T[\epsilon, h; t] dt, \quad \text{if } 1 < p < \infty \\ &= \lim_{\delta \rightarrow 0+} \text{ess sup}\{T[\epsilon, h; t]: t \geq 0 \text{ and } |\epsilon(t)| \geq \|\epsilon\|_\infty - \delta\}, \\ &\hspace{15em} \text{if } p = \infty, \end{aligned} \tag{36}$$

when $\|\epsilon\|_p > 0$, and $\Phi_p[\epsilon, h] = \|h\|_p$ when $\|\epsilon\|_p = 0$, and where

$$\begin{aligned} T[\epsilon, h; t] &= |h(t)|, \quad \text{if } \epsilon(t) = 0 \\ &= \text{Re}[h(t) \overline{\epsilon(t)} / |\epsilon(t)|], \quad \text{if } \epsilon(t) \neq 0 \end{aligned} \tag{37}$$

(with the bar denoting the complex conjugate).

Proof. Replace the finite interval $[0, 1]$ by the semiinfinite interval $[0, \infty)$ in the proof of [12, Lemma 3]. ■

Given $\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{c}^*$ from C^n we define

$$h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{c}^*, t) = \sum_{i=1}^n \left\{ b_i^* \frac{\partial}{\partial b_i} + c_i^* \frac{\partial}{\partial c_i} \right\} Y_n(\mathbf{b}, \mathbf{c}, t), \quad t \geq 0, \tag{38}$$

and

$$H_n(\mathbf{b}, \mathbf{c}) = \{h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{c}^*, -): \mathbf{b}^*, \mathbf{c}^* \in C^n\}. \tag{39}$$

Clearly, $H_n(\mathbf{b}, \mathbf{c})$ is a linear space that contains the n -dimensional space

$$L_n(\mathbf{c}) = \{h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{0}, -): \mathbf{b}^* \in C^n\} \tag{40}$$

of solutions of (1). We define

$$K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*) = \{h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \alpha\mathbf{c}^*, -): \mathbf{b}^* \in C^n, \alpha \geq 0\} \tag{41}$$

and refer to this set as the perturbation cone of y (with respect to the parametrization \mathbf{b}, \mathbf{c}) in the direction of \mathbf{c}^* . We say that y is accessible through this cone with respect to $V_n(S)$ provided there is a differentiable arc $\mathbf{z}: [0, 1] \rightarrow C^n$ such that

$$\mathbf{z}(\alpha) = \mathbf{c} + \alpha\mathbf{c}^* + o(\alpha), \quad \text{as } \alpha \rightarrow 0+ \tag{42}$$

and such that

$$A_n[\mathbf{z}(\alpha)] \subseteq S, \quad \text{for } 0 \leq \alpha \leq 1. \tag{43}$$

(An extended discussion of these concepts is given in [12, p. 177–180].)

Suppose now that the exponential sum $Y_n(\mathbf{b}, \mathbf{c}, -)$ can be decomposed in the form

$$Y_n(\mathbf{b}, \mathbf{c}, -) = Y_{n_1}(\mathbf{b}_1, \mathbf{c}_1, -) + Y_{n_2}(\mathbf{b}_2, \mathbf{c}_2, -), \tag{44}$$

where n_1, n_2 are positive integers with sum n , and where $\mathbf{c}_1, \mathbf{c}_2$ are related to \mathbf{c} through the factorization

$$P_n[\mathbf{c}, -] = P_{n_1}[\mathbf{c}_1, -] \cdot P_{n_2}[\mathbf{c}_2, -]$$

of the corresponding characteristic polynomial. When $Y_n(\mathbf{b}, \mathbf{c}, -)$ is accessible through the cone $K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ with respect to $V_n(S)$ and the spectral sets $A_{n_i}[\mathbf{c}_i], i = 1, 2$, are disjoint, then the components $Y_{n_i}(\mathbf{b}_i, \mathbf{c}_i, -), i = 1, 2$, are accessible through the corresponding cones $K_{n_i}(\mathbf{b}_i, \mathbf{c}_i, \mathbf{c}_i^*), i = 1, 2$, where

$$P_n[\mathbf{c} + \alpha\mathbf{c}^*, -] = P_{n_1}[\mathbf{c}_1 + \alpha\mathbf{c}_1^*, -] \cdot P_{n_2}[\mathbf{c}_2 + \alpha\mathbf{c}_2^*, -] + o(\alpha), \tag{45}$$

as we see by using considerations of continuity together with the following lemma.

LEMMA 7. *Let the arc $\mathbf{z}: [0, 1] \rightarrow C^n$ be differentiable, let n_1, n_2 be positive integers with $n_1 + n_2 = n$, let the arcs $\mathbf{z}_i: [0, 1] \rightarrow C^{n_i}, i = 1, 2$, be continuous, and assume that*

$$P_n[\mathbf{z}(\alpha), -] = P_{n_1}[\mathbf{z}_1(\alpha), -] \cdot P_{n_2}[\mathbf{z}_2(\alpha), -], \quad 0 \leq \alpha \leq 1, \tag{46}$$

and

$$A_{n_1}[\mathbf{z}_1(\alpha)] \cap A_{n_2}[\mathbf{z}_2(\alpha)] = \emptyset, \quad 0 \leq \alpha \leq 1. \tag{47}$$

Then the induced arcs $\mathbf{z}_1(\alpha), \mathbf{z}_2(\alpha)$ are differentiable for $0 \leq \alpha \leq 1$.

Proof. We first show that the arcs $\mathbf{z}_1(\alpha)$, $\mathbf{z}_2(\alpha)$ are differentiable at $\alpha = 0$. By hypothesis, $\mathbf{z}(\alpha)$ is differentiable at $\alpha = 0$, so that (42) holds with $\mathbf{c} = \mathbf{z}(0)$, $\mathbf{c}^* = \mathbf{z}'(0)$. Since $\mathbf{z}_i(\alpha)$ is continuous we can also write

$$\mathbf{z}_i(\alpha) = \mathbf{c}_i + \boldsymbol{\beta}_i(\alpha), \tag{48}$$

where $\mathbf{c}_i = \mathbf{z}_i(0)$, and where $\boldsymbol{\beta}_i : [0, 1] \rightarrow C^{n_i}$ is a continuous arc with $\boldsymbol{\beta}_i(0) = 0$, $i = 1, 2$. We must show that each of the ratios $\boldsymbol{\beta}_i(\alpha)/\alpha$ has a finite limit as $\alpha \rightarrow 0+$.

For convenience in notation we define

$$Q_n[\mathbf{c}, \lambda] = P_n[\mathbf{c}, \lambda] - \lambda^n = c_1\lambda^{n-1} + c_2\lambda^{n-2} + \dots + c_n,$$

so that Q_n is a polynomial of degree $n - 1$ or less in λ that depends linearly on the coefficients \mathbf{c} . When λ is a root of $P_{n_1}[\mathbf{c}_1, -]$ (and thus, by (46) a root of $P_n[\mathbf{c}, -]$ but by (47) not a root of $P_{n_2}[\mathbf{c}_2, -]$) we see that

$$\begin{aligned} \alpha Q_n[\mathbf{c}^*, \lambda] &= P_n[\mathbf{c}, \lambda] + \alpha Q_n[\mathbf{c}^*, \lambda] \\ &= P_n[\mathbf{z}(\alpha), \lambda] + o(\alpha) \\ &= P_{n_1}[\mathbf{z}_1(\alpha), \lambda] P_{n_2}[\mathbf{z}_2(\alpha), \lambda] + o(\alpha) \\ &= \{P_{n_1}[\mathbf{c}_1, \lambda] + Q_{n_1}[\boldsymbol{\beta}_1(\alpha), \lambda]\} \{P_{n_2}[\mathbf{c}_2, \lambda] + Q_{n_2}[\boldsymbol{\beta}_2(\alpha), \lambda]\} + o(\alpha) \\ &= Q_{n_1}[\boldsymbol{\beta}_1(\alpha), \lambda] \cdot \{P_{n_2}[\mathbf{c}_2, \lambda] + o(1)\} + o(\alpha), \end{aligned}$$

and thus, that

$$Q_n[\mathbf{c}^*, \lambda] = Q_{n_1}[\boldsymbol{\beta}_1(\alpha)/\alpha, \lambda] \cdot \{P_{n_2}[\mathbf{c}_2, \lambda] + o(1)\} + o(1),$$

as $\alpha \rightarrow 0+$. By using essentially the same argument together with the Leibnitz rule for differentiating a product, we see that if $P_{n_1}[\mathbf{c}_1, -]$ has distinct roots $\lambda_1, \dots, \lambda_l$ with multiplicities m_1, \dots, m_l , respectively, then, as $\alpha \rightarrow 0+$, we have

$$Q_n^{(m)}[\mathbf{c}^*, \lambda_i] = \sum_{j=0}^m \binom{m}{j} Q_{n_1}^{(j)}[\boldsymbol{\beta}_1(\alpha)/\alpha, \lambda_i] \{P_{n_2}^{(m-j)}[\mathbf{c}_2, \lambda_i] + o(1)\} + o(1),$$

for $0 \leq m < m_i$ and $1 \leq i \leq l$. Since $P_{n_2}[\mathbf{c}_2, \lambda_i] \neq 0$, it follows that $Q_{n_1}^{(j)}[\boldsymbol{\beta}_1(\alpha)/\alpha, \lambda_i]$ has a finite limit as $\alpha \rightarrow 0+$ for $0 \leq j \leq m_i$ and $1 \leq i \leq l$, and since the expression

$$\max\{ |Q^{(j)}(\lambda_i)| : 0 \leq j \leq m_i, 1 \leq i \leq l \}$$

defines a norm on the space of all polynomials Q of degree n_1 or less, it follows that $\boldsymbol{\beta}_1(\alpha)/\alpha$ has a finite limit as $\alpha \rightarrow 0+$. Thus, $\mathbf{z}_1(\alpha)$ and analogously, $\mathbf{z}_2(\alpha)$ is differentiable at $\alpha = 0$.

The same argument can be used at an arbitrary point $\alpha \in [0, 1]$ so that the proof is complete. ■

THEOREM 4. *Let $f \in L_p[0, \infty)$, and let the exponential sum $y_0 = Y_n(\mathbf{b}, \mathbf{c}, -)$ be accessible through the perturbation cone $K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ with respect to $V_n(S)$. Then a necessary condition for y_0 to be a best (or local best) $\| \cdot \|_p$ -approximation to f from $V_n(S)$ is that y_0 be a best $\| \cdot \|_p$ -approximation to f from $K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ so that*

$$\Phi_p[f - y_0, -h] \geq 0 \tag{49}$$

whenever $h \in K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$.

Proof. We shall assume that $y_0 = Y_n(\mathbf{b}, \mathbf{c}, -)$ is a best (or local best) $\| \cdot \|_p$ -approximation to f from $V_n(S)$ and that the differentiable arc $\mathbf{z}: [0, 1] \rightarrow C^n$ satisfies (42) and (43). Let $h \in K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ be selected. Since $y_0 = h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}, \mathbf{0}, -)$, it follows that $h - y_0 \in K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ so that for some choice of $\mathbf{b}^* \in C^n$ and $\alpha_0 > 0$ we have

$$h = h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \alpha_0 \mathbf{c}^*, -) + y_0. \tag{50}$$

By rescaling \mathbf{c}^* , if necessary, we arrange things so that $\alpha_0 = 1$. We must show that $\|f - h\|_p \geq \|f - y_0\|_p$, and in so doing we will assume that $h \in L_p[0, \infty)$.

When $A_n[\mathbf{c}] \subset L_0$ (so that $H_n(\mathbf{b}, \mathbf{c})$ is contained in $L_p[0, \infty)$) we obtain the estimate

$$\| Y_n(\mathbf{b} + \alpha \mathbf{b}^*, \mathbf{z}(\alpha), -) - Y_n(\mathbf{b}, \mathbf{c}, -) - \alpha h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{c}^*, -) \|_p = o(\alpha) \tag{51}$$

by using Lemma 5 together with the “compact” version of (51), which results when the norm $\| \cdot \|_p$ is replaced by the seminorm $\| \cdot \|_{p,1}$. On the other hand, when $A_n[\mathbf{c}] \not\subset L_0$ we decompose y_0 in the form

$$y_0 = Y_{n_1}(\mathbf{b}_1, \mathbf{c}_1, -) + Y_{n_2}(\mathbf{b}_2, \mathbf{c}_2, -)$$

of (44), where $A_{n_1}[\mathbf{c}_1] \subset L_0$ but $A_{n_2}[\mathbf{c}_2] \subset C \setminus L_0$. We simultaneously decompose h in the form $h = h_1 + h_2$, where

$$h_i = h_{n_i}(\mathbf{b}_i, \mathbf{c}_i, \mathbf{b}_i^*, \mathbf{c}_i^*, -) \in V_{2n_i}(A_{n_i}[\mathbf{c}_i]), \quad i = 1, 2,$$

and where $\mathbf{c}_1, \mathbf{c}_1^*, \mathbf{c}_2, \mathbf{c}_2^*, \mathbf{c}, \mathbf{c}^*$ are related by (45). A factorization of the form (46)–(47) then can be effected with $A_{n_i}[\mathbf{z}_i(\alpha)] \subset S$ holding for all sufficiently small $\alpha > 0$, and with

$$\mathbf{z}_i(\alpha) = \mathbf{c}_i + \alpha \mathbf{c}_i^* + o(\alpha)$$

for $i = 1, 2$. By again using Lemma 5 we find that as $\alpha \rightarrow 0+$

$$\begin{aligned} & \| Y_{n_1}(\mathbf{b}_1 + \alpha \mathbf{b}_1^*, \mathbf{z}_1(\alpha), -) - Y_{n_1}(\mathbf{b}_1, \mathbf{c}_1, -) \\ & \quad - \alpha h_{n_1}(\mathbf{b}_1, \mathbf{c}_1, \mathbf{b}_1^*, \mathbf{c}_1^*, -) \|_p = o(\alpha), \end{aligned}$$

since $\mathcal{A}_{n_1}[\mathbf{c}_1] \subset L_0$. By assumption $h \in L_p[0, \infty)$ and since $h_1 \in V_{2n_1}(\mathcal{A}_{n_1}[\mathbf{c}_1]) \subset L_p[0, \infty)$ we see that $h_2 = h - h_1$ is also in $L_p[0, \infty)$. Since $h_2 \in V_{2n_2}(C \setminus L_0)$ the requirement that $h_2 \in L_p[0, \infty)$ forces h_2 to vanish identically except in the case where $p = \infty$ when h_2 may be a linear combination of simple exponentials having exponential parameters on the imaginary axis. In any event it follows that $\mathbf{c}_2^* = \mathbf{0}$ so that $h_2 = h_{n_2}(\mathbf{b}_2, \mathbf{c}_2, \mathbf{b}_2^*, \mathbf{0}, -) = Y_{n_2}(\mathbf{b}_2^*, \mathbf{c}_2, -)$ and with no loss of generality we may assume at this point that $\mathbf{z}_2(\alpha) \equiv \mathbf{c}_2$. This being the case

$$\begin{aligned} & \| Y_{n_1}(\mathbf{b}_1 + \alpha \mathbf{b}_1^*, \mathbf{z}_1(\alpha), -) + Y_{n_2}(\mathbf{b}_2 + \alpha \mathbf{b}_2^*, \mathbf{c}_2, -) \\ & \quad - Y_n(\mathbf{b}, \mathbf{c}, -) - \alpha h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{c}^*, -) \|_p \\ & = \| Y_{n_1}(\mathbf{b} + \alpha \mathbf{b}_1^*, \mathbf{z}_1(\alpha), -) - Y_{n_1}(\mathbf{b}_1, \mathbf{c}_1, -) \\ & \quad - \alpha h_{n_1}(\mathbf{b}_1, \mathbf{c}_1, \mathbf{b}_1^*, \mathbf{c}_1^*, -) \|_p = o(\alpha). \end{aligned} \tag{52}$$

Using the fact that $y_0 = Y_n(\mathbf{b}, \mathbf{c}, -)$ is a best (or local best) $\| \cdot \|_p$ -approximation to f from $V_n(S)$ together with either (52) or the corresponding (51) (which we rewrite in the form (52) by setting $n_1 = n$ and $n_2 = 0$) and with (50) we have

$$\begin{aligned} \| f - y_0 \|_p & \leq \| f - [Y_{n_1}(\mathbf{b}_1 + \alpha \mathbf{b}_1^*, \mathbf{z}_1(\alpha), -) + Y_{n_2}(\mathbf{b}_2 + \alpha \mathbf{b}_2^*, \mathbf{c}_2^*, -)] \|_p \\ & = \| f - y_0 - \alpha h_n(\mathbf{b}, \mathbf{c}, \mathbf{b}^*, \mathbf{c}^*, -) \|_p + o(\alpha) \\ & = \| f - y_0 - \alpha(h - y_0) \|_p + o(\alpha) \\ & = \| (1 - \alpha)(f - y_0) + \alpha(f - h) \|_p + o(\alpha) \\ & \leq \| f - y_0 \|_p + \alpha[\| f - h \|_p - \| f - y_0 \|_p] + o(\alpha), \end{aligned}$$

for all sufficiently small $\alpha > 0$. Hence, $\| f - y_0 \|_p \leq \| f - h \|_p$ so that y_0 is a best $\| \cdot \|_p$ -approximation to f from the cone $K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$.

Finally, using Lemma 6 together with the fact that y_0 is a best $\| \cdot \|_p$ -approximation to f from $K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ we find

$$\| f - y_0 \|_p \leq \| f - y_0 - \alpha h \|_p = \| f - y_0 \|_p + \alpha \Phi_p[f - y_0, -h] + o(\alpha),$$

as α decreases to zero through positive values so that (49) holds. ■

When $\mathcal{A}_n[\mathbf{c}]$ lies in the interior of S , y_0 is accessible through every cone $K_n(\mathbf{b}, \mathbf{c}, \mathbf{c}^*)$ so that (49) holds for all h in $H_n(\mathbf{b}, \mathbf{c})$. When some point of $\mathcal{A}_n[\mathbf{c}]$ lies on the boundary of S this need no longer be the case, but in any event

(49) must hold for every h in the degenerate cone $K_n(\mathbf{b}, \mathbf{c}, \mathbf{0}) = L_n(\mathbf{c})$ of solutions of (1). In both of these two limiting cases (49) holds for all h in some linear space so that in (49) h may be replaced by θh when θ is any complex scalar with unit magnitude. Using this together with (36)–(37) and (49) we obtain the following corollary.

COROLLARY 1. *Let the exponential sum $y_0 = Y_n(\mathbf{b}, \mathbf{c}, -)$ be a best (or local best) $\|\cdot\|_p$ -approximation to f from $V_n(S)$, let $\epsilon = f - y_0$, and assume that $\|\epsilon\|_p > 0$. Then for each h in the n -dimensional linear space $L_n(\mathbf{c})$ we have*

$$\int_{\epsilon \neq 0} h(t) \operatorname{sgn} \overline{\epsilon(t)} dt \leq \int_{\epsilon=0} |h(t)| dt, \quad \text{if } p = 1 \tag{53a}$$

$$\int_{\epsilon \neq 0} h(t) |\epsilon(t)|^{p-1} \operatorname{sgn} \overline{\epsilon(t)} dt = 0, \quad \text{if } 1 < p < \infty \tag{53b}$$

$$\lim_{\delta \rightarrow 0^+} \operatorname{ess\,sup}\{\operatorname{Re}[h(t) \operatorname{sgn} \overline{\epsilon(t)}]: t \geq 0 \quad \text{and} \quad |\epsilon(t)| \geq \|\epsilon\|_\infty - \delta\} \geq 0 \tag{53c}$$

if $p = \infty$.

(Here $\operatorname{sgn}(z)$ is defined to be 0 or $z/|z|$ according as $z = 0$ or $z \neq 0$, respectively.) If in addition each element of $A_n[\mathbf{c}]$ is an interior point of S , then (53) holds for each h in the linear space $H_n(\mathbf{b}, \mathbf{c})$. ■

Following arguments analogous to those given in [4, p. 179] and [12, p. 183] we may show that under mild hypotheses a best approximation y_0 has full order.

COROLLARY 2. *Let $1 \leq p < \infty$, let y_0 be a best (or local best) $\|\cdot\|_p$ -approximation to f from $V_n(S)$ with $\|f - y_0\|_p > 0$, and assume that S possesses some finite accumulation point in L_0 , i.e., that S contains a sequence of distinct points $\lambda_1, \lambda_2, \dots$ with limit λ in L_0 . In the case where $p = 1$, assume in addition that $f(t) \neq y_0(t)$ holds for almost all t . Then y_0 has full order n , i.e., $y_0 \in V_n(S) \setminus V_{n-1}(S)$.*

Proof. Suppose that the zero function is a local best $\|\cdot\|_p$ -approximation to f from $V_1(S)$. Then from Corollary 1 we see that

$$\int_0^\infty |f(t)|^{p-2} \overline{f(t)} y(t) dt = 0 \tag{54}$$

holds whenever $y \in V_1(S)$, and thus, whenever y is any finite linear combination of the exponentials $\exp(\lambda_i t)$. Using this together with Lemma 5 and the fact that $\lambda \in L_0$, we see that (54) also holds whenever $y \in V_\infty(\{\lambda\})$.

Since $V_\infty(\{\lambda\})$ is dense in $L_p[0, \infty)$, it then follows that $\|f\|_p = 0$ so that the corollary holds when $n = 1$. Finally, since the zero function is a local best $\| \cdot \|_p$ -approximation to $f - y_0$ from $V_1(S)$ only if $\|f - y_0\|_p = 0$ it follows that y_0 cannot be a best (or local best) $\| \cdot \|_p$ -approximation to f from $V_n(S)$ when $y_0 \in V_{n-1}(S)$ unless $\|f - y_0\|_p = 0$. ■

Note. In carrying out this argument it is essential that the finite accumulation point lie within the interior of the left half plane. For example, $y_0 \equiv 0$ is the best $\| \cdot \|_\infty$ -approximation to $f \equiv 1$ from $V_1(S)$ when $S = \{z \in \mathbb{C}: \operatorname{Re} z = 0 \text{ and } \operatorname{Im} z \neq 0\}$. ■

By specializing the above corollaries to the case of unconstrained least squares approximation (where $p = 2$ and $S = L_0$) we obtain the following generalization of the Aigrain-Williams condition of [1, p. 598].

COROLLARY 3. *Let $f \in L_2[0, \infty)$, let the exponential $y_0 = Y_n(\mathbf{b}, \mathbf{c})$ be a best or local best least squares approximation to f on $[0, \infty)$ from $V_n(L_0)$, and assume that $\|f - y_0\|_2 > 0$. Then y_0 has full order, i.e., $y_0 \in V_n(L_0) \setminus V_{n-1}(L_0)$, and*

$$Y_0^{(i-1)}(\bar{\lambda}_j) = F^{(i-1)}(\bar{\lambda}_j), \quad i = 1, 2, \dots, 2k_j, \quad j = 1, 2, \dots, l, \quad (55)$$

where

$$Y_0(s) = \int_0^\infty e^{st} y_0(t) dt, \quad F(s) = \int_0^\infty e^{st} f(t) dt, \quad \operatorname{Re} s < 0,$$

are the Laplace transforms of y_0, f , respectively, where the parameters l, k_j, λ_j are taken from the canonical factorization

$$P(\mathbf{c}, \lambda) = (\lambda - \lambda_1)^{k_1} (\lambda - \lambda_2)^{k_2} \cdots (\lambda - \lambda_l)^{k_l}, \quad (56)$$

of the characteristic polynomial for the n th order differential operator that annihilates y_0 (and where the bar denotes the complex conjugate.)

Proof. By using Corollary 2 and the factorization (56) we see that y_0 has full order and that $H_n(\mathbf{b}, \mathbf{c})$ is spanned by the $2n$ functions

$$h_{ij}(t) = t^{i-1} e^{\lambda_j t}, \quad i = 1, 2, \dots, 2k_j, \quad j = 1, 2, \dots, l, \quad (57)$$

(cf. [12, Lemma 1].) Since $S = L_0$, (53b) holds for each h_{ij} of (57) and

$$\begin{aligned} F^{(i-1)}(\bar{\lambda}_j) - Y_0^{(i-1)}(\bar{\lambda}_j) &= (d/ds)^{i-1} \int_0^\infty [f(t) - y_0(t)] e^{st} dt \Big|_{s=\bar{\lambda}_j} \\ &= \int_0^\infty [f(t) - y_0(t)] t^{i-1} e^{\bar{\lambda}_j t} dt \\ &= 0, \quad i = 1, 2, \dots, 2k_j, \quad j = 1, 2, \dots, l. \quad \blacksquare \end{aligned}$$

EXAMPLE. We shall find a (actually the) best $\| \cdot \|_2$ -approximation to the unit step

$$f(t) = 1, \quad \text{if } 0 \leq t \leq 1$$

$$= 0, \quad \text{if } t > 1,$$

from $V_1(L_0)$. We let $y(t) = Ae^{\lambda t}$ denote such a best approximation and compute the Laplace transforms

$$F(s) = \int_0^\infty f(t) e^{st} dt = [e^s - 1]/s, \quad Y(s) = \int_0^\infty y(t) e^{st} dt = -A/(s + \lambda).$$

The Aigrain-Williams equations (55) require that

$$[e^\lambda - 1]/\lambda = -A/(\lambda + \bar{\lambda}), \quad [(\bar{\lambda} - 1) e^\lambda + 1]/(\bar{\lambda})^2 = A/(\lambda + \bar{\lambda})^2,$$

or equivalently, that

$$A = -e^\lambda(\lambda + \bar{\lambda})^2/\lambda, \quad e^{-\lambda} = 1 - \bar{\lambda} - (\bar{\lambda})^2/\lambda. \tag{58}$$

From Theorem 3 we know that a best $\| \cdot \|_2$ -approximation exists and from Corollary 3 above we know that any such best $\| \cdot \|_2$ -approximation must satisfy (55). Thus, we know that there exists some $\lambda \in L_0$ and $A \in C$ that satisfy (58). In this case there is only one such real valued solution

$$\lambda = -1.25643120\dots, \quad A = 1.43066372\dots \tag{59}$$

that can be shown to give the unique best $\| \cdot \|_2$ -approximation to f from $V_1(C)$. ■

For some applications in the physical and biological sciences, one is interested in obtaining a best uniform approximation to a given continuous real valued function f by means of a real valued exponential sum y having real exponents. Such an exponential sum may be parametrized in the form

$$y(t) = \sum_{i=1}^l \sum_{j=1}^{k_i} a_{ij} t^{j-1} \exp(\lambda_i t), \tag{60}$$

where

$$k_1 + k_2 + \dots + k_l = k \leq n,$$

$$\lambda_1 < \lambda_2 < \dots < \lambda_l, \tag{61}$$

$$a_{ij} \in R, \quad \text{with } a_{ik_i} \neq 0.$$

(When we work on $[0, \infty)$ we also require $\lambda_l \leq 0$ with $k_l = 1$ whenever $\lambda_l = 0$ so that $\|y\|_\infty < \infty$.) Within this context we may formulate an alternation type characterization of such a best approximation. In so doing,

we say that the bounded real valued function $\epsilon \in C[0, \infty)$ essentially alternates at least m times on $[0, \infty)$ provided that for each $\delta > 0$ there exist points $0 < t_0 < t_1 < \dots < t_m$ and some $s = \pm 1$ such that

$$s \cdot (-1)^i \epsilon(t_i) \geq \|\epsilon\|_\infty - \delta, \quad i = 0, 1, \dots, m,$$

(cf. [14] for an extended discussion).

COROLLARY 4. *Let the real valued exponential sum y_0 with the parametrization of (60)–(61) be a best $\|\cdot\|_\infty$ -approximation from $V_n(S)$ to the given bounded real valued function $f \in C[0, \infty)$, and let $\epsilon = f - y_0$.*

(a) *If the exponents $\lambda_{i_1} < \lambda_{i_2} < \dots < \lambda_{i_p}$ of (60) and (61) are in the interior of S with respect to the topology of L_0 , then either $\limsup |f(t)| = \|\epsilon\|_\infty$ as $t \rightarrow +\infty$, or else ϵ essentially alternates at least $n + k_{i_1} + k_{i_2} + \dots + k_{i_p}$ times on $[0, +\infty)$.*

(b) *If the exponents $\lambda_{i_1} < \lambda_{i_2} < \dots < \lambda_{i_p}$ of (60)–(61) are in the interior of S with respect to the topology of $(-\infty, 0)$, then either $\limsup |f(t)| = \|\epsilon\|_\infty$ as $t \rightarrow +\infty$, or else ϵ essentially alternates at least $n + p$ times on $[0, \infty)$.*

Proof. By using [14, Theorem 2] we may extend the proof of [12, Corollary 3 to Theorem 2] to apply in the above context where the interval of approximation is $[0, \infty)$ rather than $[0, 1]$. ■

In the special case where the exponential parameters λ_i are real valued but otherwise unconstrained, the above corollary requires the error curve corresponding to a best uniform approximation to a continuous function f to essentially alternate at least $n + l$ times if $\lambda_l < 0$, and at least $n + l - 1$ times if $\lambda_l = 0$. Thus, the Braess necessary condition of [5, Satz 1] must be weakened when the interval of approximation is extended from $[0, 1]$ to $[0, \infty)$ due to the weakened form of (b) that results when $\lambda_l = 0$.

EXAMPLE. Let $n \geq 1$ be selected and let the continuous real valued function f be defined on $[0, \infty)$ in such a manner that f varies linearly between the $n + 1$ points $(t, f(t)) = (j, 1 + (-1)^{n-j})$, $j = 0, 1, \dots, n$, with $f(t) = 2$ for $t \geq n$. We shall show that $y_0(t) \equiv 1$ with $\|f - y_0\|_\infty = 1$ is the unique best $\|\cdot\|_\infty$ -approximation to f from the set of real valued functions in $V_n(\mathbb{R})$. Indeed, if $y \in V_n(\mathbb{R})$ and $\|f - y\|_\infty \leq 1$, then y may be parametrized in the form (60)–(61) with $\lambda_i = 0$ and $k_i = 1$. But for any such fixed choice of the parameters λ_i, k_i the function y_0 is the unique best uniform approximation to f on $[0, n]$ (and thus, on $[0, +\infty)$) from the k -dimensional linear space spanned by the Haar system $\varphi_{ij}(t) = t^{j-1} \exp(\lambda_i t)$, $1 \leq j \leq k_i, 1 \leq i \leq l$, since $f - y_0$ alternates $n \geq k$ times on $[0, n]$. In this case we have the mini-

num number $n + l - 1 = n$ alternations of the optimum error curve with $n - 1$ alternations being lost because y_0 is in the set $V_1(R)$ and with one additional alternation being lost because the exponential parameter $\lambda_1 = 0$ does not lie in the interior of $(-\infty, 0)$. ■

Note. Using Lemmas 4–6, one can extend the sufficiency condition of [12, Theorem 3] to the present context, where the interval of approximation is $[0, \infty)$.

5. APPROXIMATION ON COMPACT SUBINTERVALS OF $[0, \infty)$

For computational purposes it is desirable to work on a compact interval of approximation rather than on the whole semiinfinite interval $[0, \infty)$. In principle, it is always possible to obtain a best $\| \cdot \|_p$ -approximation on $[0, \infty)$ from a sequence of best $\| \cdot \|_p$ -approximations on compact subintervals of $[0, \infty)$ as we see from the following result.

THEOREM 5. *Let $1 \leq p \leq \infty$, let $f \in L_p[0, \infty)$, and let the positive integer n be given. Let $S \subseteq C$ be closed, let $\{\sigma_\nu\}$ be an unbounded strictly increasing sequence of positive real numbers, and for each $\nu = 1, 2, \dots$ let y_ν be a best $\| \cdot \|_{p, \sigma_\nu}$ -approximation to f from $V_n(S)$ (where $\| \cdot \|_{p, \sigma}$ again denotes the seminorm (22)). Then there is some subsequence of $\{y_\nu\}$ that may be decomposed in the form (23) satisfying conditions (i)–(iv) of Lemma 3 for positive σ , with the limit function v being a best $\| \cdot \|_p$ -approximation to f from $V_n(S)$. Moreover, if S is a compact subset of L_0 , or if $v \in V_n(L_0) \setminus V_{n-1}(L_0)$, then some subsequence of $\{y_\nu\}$ $\| \cdot \|_p$ -converges to this best $\| \cdot \|_p$ -approximation, v .*

Proof. Since $\{\sigma_\nu\}$ is unbounded and since y_ν is a best $\| \cdot \|_{p, \sigma_\nu}$ -approximation to f from $V_n(S)$, we see that for positive σ , we have

$$\begin{aligned} \limsup \|y_\nu\|_{p, \sigma} &\leq \limsup \|y_\nu\|_{p, \sigma_\nu} \\ &\leq \limsup \{\|f\|_{p, \sigma_\nu} + \|f - y_\nu\|_{p, \sigma_\nu}\} \leq 2\|f\|_p, \end{aligned}$$

so that $\{y_\nu\}$ is $\| \cdot \|_{p, \sigma}$ -bounded. After passing to a subsequence, if necessary, we may effect the decomposition (23) satisfying conditions (i)–(iv) of Lemma 3 with $\{v_\nu\}$ $\| \cdot \|_{p, \sigma}$ -converging to some fixed $v \in V_n(S)$ for each choice of $\sigma > 0$. If we let y_∞ be some best $\| \cdot \|_p$ -approximation to f from $V_n(S)$ and use (24) we find

$$\begin{aligned} \|f - v\|_{p, \sigma} &\leq \liminf \|f - v - x_\nu\|_{p, \sigma} = \liminf \|f - y_\nu\|_{p, \sigma} \\ &\leq \liminf \|f - y_\nu\|_{p, \sigma_\nu} \leq \liminf \|f - y_\infty\|_{p, \sigma_\nu} \\ &= \|f - y_\infty\|_p, \end{aligned}$$

holds for positive σ , and it follows that v is a best $\| \cdot \|_p$ -approximation to f from $V_n(S)$.

In the special case where S is a compact subset of L_0 , the condition (iii) of Lemma 3 requires that $x_\nu = 0$, and thus, that $y_\nu = v_\nu$ for all but finitely many values of ν . This being the case, some subsequence of $\{y_\nu\}$ $\| \cdot \|_{p,\sigma}$ -converges to v , and in view of Lemma 5, the convergence must also take place with respect to the norm $\| \cdot \|_p$. Finally, if $v \in V_n(L_0) \setminus V_{n-1}(L_0)$, then the $\| \cdot \|_{p,\sigma}$ -convergence of $\{v_\nu\}$ to v requires that all but a finite number of the v_ν must lie in $V_n(K)$ when K is any compact subset of L_0 for which $v \in V_n(K^0)$ (where K^0 denotes the interior of K with respect to C), cf. [12, Theorem 1]. This being the case, we may replace the closed set S by the compact set $S \cap K$ and again conclude that some subsequence of $\{y_\nu\}$ $\| \cdot \|_p$ -converges to v . ■

COROLLARY. *Let $1 < p < \infty$, let $S = L_0$, and let $\{y_\nu\}$ be selected as in the theorem. Then some subsequence of $\{y_\nu\}$ $\| \cdot \|_p$ -converges to a best $\| \cdot \|_p$ -approximation to f from $V_n(C)$.*

Proof. If $f \in V_n(L_0)$, then $y_\nu = f$ for each ν . If $f \notin V_n(L_0)$, then the best $\| \cdot \|_p$ -approximation v , of the theorem must have full order (as we see from Corollary 2 to Theorem 4) and thus, lie in $V_n(L_0) \setminus V_{n-1}(L_0)$. ■

Note. When $p = 1$ or $p = \infty$, the sequence $\{y_\nu\}$ of Theorem 5 need not possess any $\| \cdot \|_p$ -convergent subsequence. For example, the unique best $\| \cdot \|_{\infty,\nu}$ approximation to the function

$$f(t) = te^{-t}, \quad t \geq 0$$

from $V_1(R)$ has the form

$$y_\nu(t) = a_\nu e^{\lambda_\nu t}, \quad a_\nu > 0, \quad \lambda_\nu > 0 \tag{62}$$

(as we see by using the alternation characterization of [12, Corollary 3 to Theorem 2]). In this case, we must clearly have $\lim a_\nu = (2e)^{-1}$ and $\lim \lambda_\nu = 0$ so that for each $\sigma > 0$, $\{y_\nu\}$ $\| \cdot \|_{\infty,\sigma}$ -converges to the unique best $\| \cdot \|_\infty$ -approximation

$$y(t) = (2e)^{-1}$$

for f from $V_1(R)$, but since $\|y_\nu\|_\infty = \infty$ for each ν , there is no subsequence of $\{y_\nu\}$ that $\| \cdot \|_\infty$ -converges to y .

As a second example, by using arguments analogous to those presented in the analysis of [12, Example 1] we see that the unique best $\| \cdot \|_1$ -approximation to the function

$$\begin{aligned} f(t) &= 1, & \text{if } m - 2^{-m} \leq t \leq m, \quad m = 1, 2, \dots \\ &= 0, & \text{otherwise} \end{aligned}$$

from $V_1(L_0)$ is the function $y \equiv 0$. Again we find that the best $\|\cdot\|_{1,\nu}$ -approximation to f takes the form (62), where now, $\lim a_\nu = 0$ and $\lim \lambda_\nu = +\infty$ so that $\{y_\nu\} \|\cdot\|_{1,\sigma}$ -converges to y for each $\sigma > 0$, but no subsequence of $\{y_\nu\}$ has the $\|\cdot\|_1$ -limit, y . ■

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